# **Table of Contents**

# Introduction

About GBC	1.1
Download	1.2
File Format	1.3
API Document	1.4
Usage Guide	
Command Line Program	2.1
Compress Genotypes	2.1.1
Program Options	2.1.1.1
Example	2.1.1.2
Algorithm Details	2.1.1.3
Extract Genotypes	2.1.2
Program Options	2.1.2.1
Examples	2.1.2.2
Display Summary Information	2.1.3
Program Options	2.1.3.1
Examples	2.1.3.2
Sort Variants by Coordinates	2.1.4
Program Options	2.1.4.1
Examples	2.1.4.2
Combine Multiple GTBs	2.1.5
Concatenate multiple GTBs	2.1.5.1
Program Options	2.1.5.1.1
Examples	2.1.5.1.2
Merge Multiple GTBs	2.1.5.2
Program Options	2.1.5.2.1
Examples	2.1.5.2.2
Reset the Subject Names	2.1.6
Program Options	2.1.6.1
Examples	2.1.6.2
Prune GTB Tree	2.1.7
Program Options	2.1.7.1

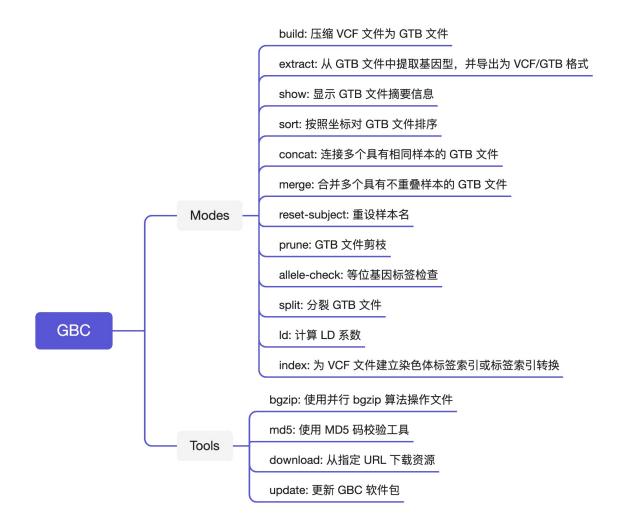
Examples	2.1.7.2
Examples  Align Coordinates and Base Labels  Program Options  Examples  Split GTB  Program Options  Examples  LD Calculation for GTB  Program Options  Examples  Set Chromosome Tags  Contig File Format  Build Contig File for VCF	2.1.8
	2.1.8.1
Examples	2.1.8.2
Align Coordinates and Base Labels  Program Options  Examples  Split GTB  Program Options  Examples  LD Calculation for GTB  Program Options  Examples  Set Chromosome Tags  Contig File Format	2.1.9
Program Options	2.1.9.1
Examples	2.1.9.2
	2.1.10
Program Options	2.1.10.1
Examples	2.1.10.2
Set Chromosome Tags	2.1.11
Contig File Format	2.1.11.1
Build Contig File for VCF	2.1.11.2
Reset Chromosome Tags with New Contig File	2.1.11.3

## **About GBC**

GBC (short for GenoType Blocking Compressor) is a blocking compressor for genotype data, which aims at creating a unified and flexible structure-GenoType Block (GTB) for genotype data in the variant call format (VCF) files. There will be a less occupation of hard disk space, a faster data access and extraction function, a more convenient management of population files and a more efficient precess of data analysis with the GTB structure compared with the conventional gz format. GBC provides the following functions:

- **Efficient compression:** Linearly increasing time overhead with sample and variant size, stable memory utilization and competitive compression ratio.
  - Memory: Single-threaded compression with < 4 GB memory usage.
  - Speed: up to 78516269 genotypes/s.
- **Quality control:** Quality control at variant-level, genotype-level, population-allele frequency/count-level. The open API facilitates users to customize their own filtering methods.
- **Quick query:** query continuous/random variants, filter variants by allele frequency/count, extract subset of samples, etc;
- File management: merge, join, split, subset sample selection, sorting, allele label checking, etc;
- Complex calculations: LD calculations.
- Genotype coding for a wide range of haploid/diploid species.

GBC is a free standalone toolkit for improved the efficiency of storage and file management of large-scale genotypes. GBC is also a library of fundamental API development tools that can be easily integrated into existing tool flows to accelerate the analysis and computation process of genotype-based data.



[!COMMENT|label:Contact Developer]

Liubin Zhang, suranyi.sysu@gmail.com

### **Download**

GBC is developed based on Oracle JDK 8. It is available on any computer device that supports or is compatible with Oracle JDK 8. Users are required to download and install the Oracle JDK or Open JDK firstly. Apple Silicon devices can use the zulu JDK as an alternative. In addition, we also provide Docker image for building the GBC runtime environment.

Туре	URL					
Software	http://pmglab.top/gbc/download/gbc.jar					
Source Code	https://github.com/Zhangliubin/gbc					
Online Manual	http://pmglab.top/gbc/					
API docs	http://pmglab.top/gbc/api-docs/					
Example Data	http://pmglab.top/gbc/download/example.zip http://pmglab.top/genotypes/#/					

## Download software package by wget

```
# Download GBC software
wget http://pmglab.top/gbc/download/gbc.jar -0 gbc.jar
# setup GBC
java -jar gbc.jar
```

#### Use the GBC in Docker

```
# download dockerfile
wget http://pmglab.top/gbc/download/Dockerfile -0 Dockerfile
# build image
docker build -t gbc .
# setup GBC
docker run -it --rm gbc
```

#### **Download GBC from Github**

```
# download source code from github
git clone https://github.com/Zhangliubin/gbc gbc-source
# go to the folder
cd gbc-source
# setup GBC
java -jar gbc.jar
```

## **Update GBC**

GBC has now iterated to a stable release, and generally we only add new features to existing packages, or minor code architecture updates to enhance stability and improve performance. To check the availability of new releases, please use:

```
java -jar gbc.jar update
```

## **System requirements**

GBC has a strict memory requirement control and can usually be run at the default memory allocation for small genomic data. For large scale genomic data, the memory usage of GBC is limited to a maximum of 4 GB for a single thread, therefore, we recommend running GBC programs in a heap memory of no less than 4 GB at all times. The user allocates the GBC runtime heap memory with the following command:

```
java -Xms4g -Xmx4g -jar gbc.jar
```

When running GBC with Docker, we recommend using the following template command:

```
# Macos or Linux
docker run -v `pwd`:`pwd` --rm -it -m 4g gbc [options]
# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -e -m 4g gbc [options]
```

In this command, -v means to map the specified host path to the container path (host\_path:container\_path), -w means to set the current working path (equivalent to running the cd path command), -it means to run in an interactive terminal, and -m 4g means to set the maximum heap size of the JVM to 4GB.

## **Updates**

[!UPDATE|label:2022/07/01]

- Release the second version of GBC, version number 1.2,
  - Github repository address: https://github.com/Zhangliubin/gbc
  - Online Manual: http://pmglab.top/gbc/
- Note that GBC-1.1 and GBC-1.2 are fully compatible versions, but GBC-1.2 and later versions will focus on the development of API tools and no longer maintain GUI programs.

[!UPDATE|label:2022/04/02]

- Release the first version of GBC, version number 1.1.
  - Github repository address: https://github.com/Zhangliubin/Genotype-Blocking-Compressor
  - Online Manual: http://pmglab.top/gbc/history/

## **Input and Output File Format**

GBC supports the conversion between VCF format, compressed VCF format (by BGZIP), and GTB format. In general, we recommend user to use GTB as input and output format, which will get full multi-threaded support.

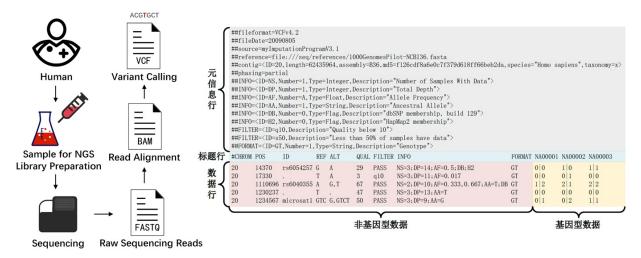
Other Formats $\iff$ VCF	$/ { m compressed VCF}$ (	(by BGZIP)	$\iff$ GTB
--------------------------	---------------------------	------------	------------

Input Format	Output Format	Tool	
Other Formats	VCF or VCF.GZ	Other tools	
VCF	compressed VCF	GBC - bgzipc	
compressed VCF (by BGZIP)	VCF	GBC - bgzipd	
compressed VCF (by GZIP)	VCF / compressed VCF (by BGZIP)	GBC - bgzipd	
VCF / compressed VCF (by BGZIP)	GTB	GBC - build	
GTB	VCF / compressed VCF (by BGZIP)	GBC - extract	

## **VCF** Format

VCF (Variant Call Format) is a standard format for storing variant sites, which is a text format specifically designed for recording and describing variant information such as SNP, InDel, SV, and CNV.

[!NOTE|label:For the details of the VCF file, we recommend reading: https://samtools.github.io/hts-specs/VCFv4.2.pdf and https://en.wikipedia.org/wiki/Variant\_Call\_Format]

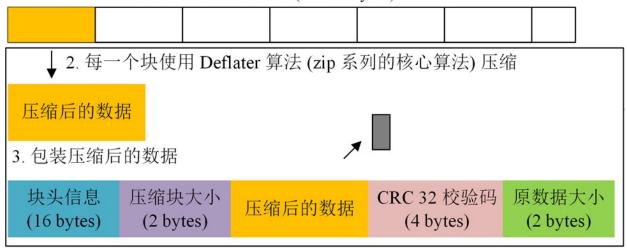


## **Compressed VCF with BGZIP**

VCF files usually take up a large amount of disk space, so it is common to compress VCFs using BGZIP (the files produced using BGZIP compression are also called BGZF). BGZIP is a block compression method implemented on the standard GZIP file format, which aims to provide a good compression ratio while allowing random access to the data.

#### a.

1. 将原文件划分为近似 64KB 的块 (65498 bytes)



4. 完成所有压缩后,在末尾追加一个空数据块(长度为28 bytes)



Java Implementation of Parallel BGZIP Compression

Algorithm: http://pmglab.top/commandParser/en/example/BGZToolkit.html

[!NOTE|label:About BGZIP: http://www.htslib.org/doc/bgzip.html]

#### **GTB Format**

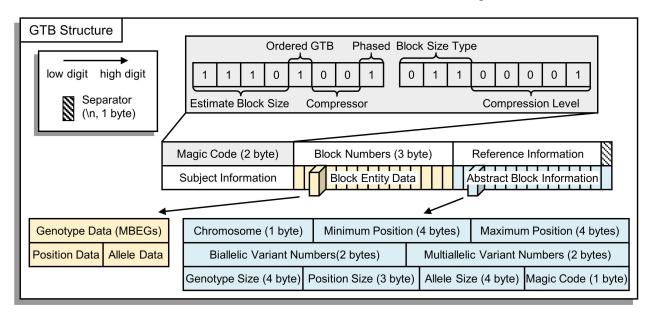
The GTB format is proposed for compressing and storing genotypes data of haploid and diploid species with various allele numbers, chromosome numbers, scales and phased or unphased statuses. It has the following excellent features:

- **Uniform:** In comparison to other file formats (e.g. GTShark, GTC, BGT, PBWT), GTB format has only separate files as output. In addition, it supports the organization of genotypes from multiple chromosomes within a single file (but not for PBWT). This facilitates file storage and transfer.
- Decoupling: GTB uses a decoupled design, allowing file modifications to involve as little data as possible. In
  addition, the independent structure feature makes parallelization computations very easy parallelization by
  sites, block or chromosome can be easily implemented.
- Extensible: GTB is essentially a double-indexed key (chromosome, position) MDRT format, which is designed with a number of good easy-to-use API methods for fast random access to genotypes. MDRT allows variable multi-indexed keys with a similar structure to GTB, which is also used to store non-genotypic data in VCF files.

The file format of GTB is shown in the figure below. The meaning of each part is as follows:

- **Magic Code**: The first two bytes are used to store the compressed parameters;
- **Block Numbers**: The total number of blocks contained in the compressed file, which also indicates that the "Block Abstract Information" at the end of the file has (25\*numbers) bytes of memory;
- Reference Information: The version of the reference genome and other reference data;
- Subjects Information: List of subjects;
- **Block Entity Data**: The compressed data is combined according to the order of the abstract block information;

• **Abstract Block Information**: Abstract information of the GTB nodes for building first-level fast index table.



## **Setup GBC**

Launch the GBC in the command line program (terminal) with the following command:

```
# The program document is printed by default when no parameters are passed in.
java -Xms4g -Xmx4g -jar gbc.jar

# When passing -h, -help or --help, the program will also print the program document.
java -Xms4g -Xmx4g -jar gbc.jar -h
```

#### The results are as follows:

```
Usage: java -jar gbc.jar [mode/tool] [options]
Version: GBC-1.2 (last edited on 2022.06.20, http://pmglab.top/gbc)
Mode:
  build
                 Compress and build *.gtb for vcf/vcf.gz files.
                 format: build <input(s)> -o <output> [options]
                 Retrieve variants from *.gtb file, and export them to
  extract
                 (compressed) VCF format or GTB format.
                 format: extract <input> -o <output> [options]
  show
                 Display summary of the GTB File.
                 format: show <input> [options]
                 Sort variants in GTB by coordinates (chromosome and position).
  sort
                 format: sort <input> -o <output> [options]
                 Concatenate multiple VCF files. All source files must have the
  concat
                 same subjects columns appearing in the same order with
                 entirely different sites, and all files must have to be the
                 same in parameters of the status.
                 format: concat <input(s)> -o <output> [options]
                 Merge multiple GTB files (with non-overlapping subject sets)
 merge
                 into a single GTB file.
                 format: merge <input(s)> -o <output> [options]
  reset-subject
                Reset subject names (request that same subject number and no
                 duplicated names) for gtb file directly. Subject names can be
                 stored in a file with ',' delimited form, and pass in via
                 '--reset-subject @file'.
                 format: reset-subject <input> -o <output> [options]
  prune
                 Prune GTB files by node-level or chromosome-level.
                 format: prune <input> -o <output> [options]
  allele-check
                 Correct for potential complementary strand errors based on
                 allele labels (A and C, T and G; only biallelic variants are
                 supported).
                 format: allele-check <template_input> <input> -o <output>
                 [options]
                 Split a single GTB file into multiple subfiles (e.g. split by
  split
                 chromosome).
                 format: split <input> -o <output> [options]
  ld
                 Calculate pairwise the linkage disequilibrium or genotypic
                 correlation.
                 format: ld <input> -o <output> [options]
  index
                 Index contig file for specified VCF file or reset contig file
                 for specified GTB file.
```

## Use GBC in interactive mode

When running program commands multiple times with GBC, we recommend doing so in interactive mode (like ipython), which provides real-time feedback on program input and reduces the time required to start the JVM (especially when running in Docker, using interactive mode avoids frequent container creation and destruction). To start interactive mode, run:

```
java -Xmx4g -Xms4g -jar gbc.jar -i
```

Of course, the user can also choose to enter interactive mode after running the command once, with the following command:

```
java -Xmx4g -Xms4g -jar gbc.jar [mode/tool] [options] -i
```

When you type a command, you no longer need to specify <code>java -Xmx4g -Xms4g -jar gbc.jar</code> . The command line interaction mode has four additional parameters in addition to the parameters in command line mode:

Parameters	Description
exit, q, quit	Exit program, exit the command line interaction mode.
clear	Clearing the screen (actually printing out multiple blank lines).
reset	Clear the data buffer.
Lines begin with "#"	For annotation.

## **Compress Genotypes**

Use the following command to compress the genomic VCF file(s):

```
build <input(s)> -o <output> [options]
```

- GBC help compress the file in compliance with VCF Specification, and all GBC operations are based on the assumption that the file format is compliant with this specification.
- The inputFileName can be a single .vcf file or .vcf.gz file, and it can also be the path of the folder containing all the files to be compressed. When a folder path is given, the GBC will help filter out all .vcf or .vcf.gz files in this folder (and its sub-folders) for compression.
- The GBC currently only supports compression of the human genome, for chromosome, GBC only supports 1-22, X, Y and 1-22, X, Y with the "chr" prefix (such as chr1, chrY); For other species, please use command GBC index <input> -o <contigFile> to build the contig file first, and users should add the command -- contig <contigFile> when compressing.
- When using GBC to combine and compress multiple files, the files are required to have the same samples (can be disordered). If the sample of a file is a subset of other files, it can also be compressed correctly, and the missing genotypes will be replaced by . | . .
- When input files are unordered (in coordinates), GBC also compresses them correctly and produces GTB files marked as unordered. In general we recommend that users further sort this file using the sort <input> o <output> [options] command, otherwise it will not work for some functions (e.g. calculating LD coefficients) or affect the performance of other operations (e.g. merging VCF files).

## **Program Options**

```
Usage: build <input(s)> -o <output> [options]
Options:
  --contig
                Specify the corresponding contig file.
                default: /contig/human/hg38.p13
                format: --contig <file> (Exists, File, Inner)
  *--output,-o Set the output file.
                format: --output <file>
  --threads,-t Set the number of threads.
                default: 4
                format: --threads <int> (>= 1)
                Overwrite output file without asking.
  --yes,-y
GTB Archive Options:
                       Set the status of genotype to phased.
  --phased,-p
  --biallelic
                       Split multiallelic variants into multiple biallelic
                       variants.
  --simply
                       Delete the alternative alleles (ALT) with allele counts
                       equal to 0.
  --blockSizeType,-bs Set the maximum size=2^{(7+x)} of each block. (-1 means
                       auto-adjustment)
                       default: -1
                       format: --blockSizeType <int> (-1 ~ 7)
  --no-reordering,-nr Disable the Approximate Minimum Discrepancy Ordering
                       (AMDO) algorithm.
```

```
--windowSize,-ws
                       Set the window size of the AMDO algorithm.
                        default: 24
                        format: --windowSize <int> (1 \sim 131072)
  --compressor,-c
                        Set the basic compressor for compressing processed data.
                        default: ZSTD
                        format: --compressor <string> ([ZSTD/LZMA/GZIP] or
                        [0/1/2] (ignoreCase))
  --level,-l
                        Compression level to use when basic compressor works.
                        (ZSTD: 0\sim22, 3 as default; LZMA: 0\sim9, 3 as default;
                        GZIP: 0\sim9, 5 as default)
                        default: -1
                        format: --level < int > (-1 \sim 31)
  --readyParas,-rp
                        Import the template parameters (-p, -bs, -c, -l) from an
                        external GTB file.
                        format: --readyParas <file> (Exists,File)
  --seq-ac
                        Exclude variants with the alternate allele count (AC)
                        per variant out of the range [minAc, maxAc].
                        format: --seq-ac < int > -< int > (>= 0)
                        Exclude variants with the alternate allele frequency
  --seq-af
                        (AF) per variant out of the range [minAf, maxAf].
                        format: --seq-af < double > -< double > (0.0 ~ 1.0)
  --seq-an
                        Exclude variants with the non-missing allele number (AN)
                        per variant out of the range [minAn, maxAn].
                        format: --seq-an < int > -< int > (>= 0)
                        Exclude variants with alleles over --max-allele.
  --max-allele
                        default: 15
                        format: --max-allele <int> (2 ~ 15)
Quality Control Options:
  --no-qc
              Disable all quality control methods.
  --gty-gq
              Exclude genotypes with the minimal genotyping quality (Phred
              Quality Score) per genotype < gq.
              default: 20
              format: --gty-gq < int > (>= 0)
  --gty-dp
              Exclude genotypes with the minimal read depth per genotype < dp.
              default: 4
              format: --gty-dp < int > (>= 0)
  --seq-qual Exclude variants with the minimal overall sequencing quality
              score (Phred Quality Score) per variant < qual.</pre>
              default: 30
              format: --seq-qual < int > (>= 0)
  --seq-dp
              Exclude variants with the minimal overall sequencing read depth
              per variant < dp.
              default: 0
              format: --seq-dp < int > (>= 0)
  --seq-mq
              Exclude variants with the minimal overall mapping quality score
              (Mapping Quality Score) per variant < mq.
              default: 20
              format: --seq-mq < int > (>= 0)
```

## **Example**

Use the GBC to compress the example file . /example/assoc.hg19.vcf.gz , and set the following properties:

• Store the genotype as phased.

- Set compressor compression level to 16 (ZSTD).
- Split multiallelic variants into multiple biallelic variants.
- Exclude variants with the MAF (minor allele frequency) < 0.01.
- Overwrite the output file if it already exists.

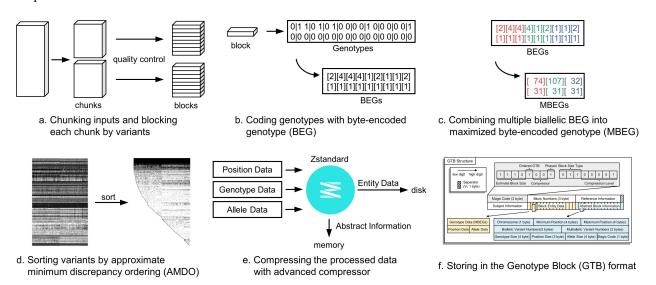
The commands to complete the task are as follows:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
build ./example/assoc.hg19.vcf.gz -o ./example/assoc.hg19.gtb -p -l 16 --biallelic --se
q-af 0.01-0.99 -y

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc build ./example/assoc.hg19.vcf.gz -o
./example/assoc.hg19.gtb -p -l 16 --biallelic --seq-af 0.01-0.99 -y
```

## **Algorithm Details**

At the beginning of compression, the input genotype file (in VCF format) is divided into several chunks and subsequently processed with multiple threads. In each thread, every chunk is further divided into many smaller blocks. Here, a block is the smallest unit of compression, in which the number of variants is balanced with the sample size given the maximal array length  $2^{31} - 1 \approx 2 \, \text{GB}$  (Fig.a). Then, the genotypes of the variants are encoded into byte codes (Fig.b). For biallelic variants, their byte codes are further combined into one-byte codes by combining three phased or four unphased consecutive genotypes (Fig.c). Next, the approximate minimum discrepancy ordering (AMDO) algorithm is applied on the variant level (Fig.d) to sort the variants with similar genotype distributions for improving the compression ratio. The ZSTD algorithm is then adopted to compress the sorted data in each block (Fig.e). Finally, all the compressed blocks and metadata are written into a single GTB file (Fig.f). The procedure has a linear time complexity regarding the number of subjects and variants with small memory usage (less than 4GB), and it provides almost the fastest compression speed and the most competitive compression ratio to date.



Input VCF File(s) for compression

One or multiple VCF files can be merged into a single GTB file. For a single input file, GBC reads the file directly. For an input of multiple files, GBC first treats the file with the largest sample size as the major file and uses it to build the sample primary indexes. Other input files will be handled in turns after matching the sample indexes of the major file. In the matching process, the genotypes of missing subjects will be set as '.|.' subsequently to ensure that all the input files can be compressed together consistently in subsequent steps.

#### [!NOTE|label:Motivation]

Various human genome projects (e.g. 1000GP3, SG10K) store genotypes according to different chromosome tags (i.e. chr1.gz, chr2.gz, ...). In addition, female individuals are usually not included in the Y chromosome files, resulting in Y chromosome files that usually have smaller sample sizes than autosomes or X chromosome files.

This strategy allows for combining and compressing multiple scattered files of the genome, as well as combining and compressing sex chromosome files with autosomal files in the human genome.

#### **Initialization**

To control the maximum memory usage per thread, when the total sample size M is determined, the maximum number of variants per GTB block N is also determined. Typically, the block size parameter (or number of variants in a block) corresponding to the sample size range is related as follows:

Parameters	N	M	Parameters	N	M
-bs 7	16384	$\leq 65536$	-bs 3	1024	$\leq 1048576$
-bs 6	8192	$\leq 131072$	-bs 2	512	$\leq 2097152$
-bs 5	4096	$\leq 262144$	-bs 1	256	$\leq 4194303$
-bs 4	2048	$\leq 524288$	-bs 0	64	$\leq 16777215$

## **Chunking Inputs**

The input files are compressed sequentially. During compressing each file, it is approximately equally divided into k blocks according to the number of parallel threads k, with each thread processing 1 block. When the input file is in BGZIP-compressed VCF format, the GBC checks the byte-data at the block boundaries and adjusts the pointers to ensure that all variants in the block are intact.

## Quality Control by Variant's Non-Genotypic Fields and Genotypic Fields

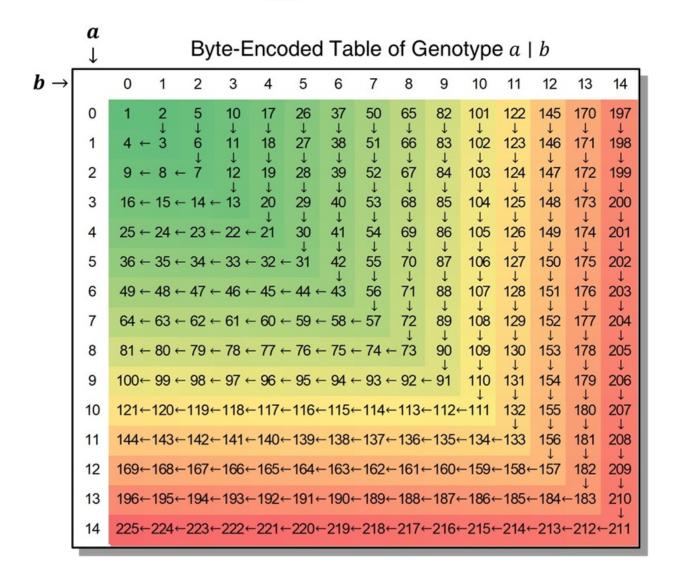
When processing a single block, the thread reads variant by row and parses the non-genotypic fields. Initial variant-level quality control (e.g., QC based on Phred Quality Score or Mapping Quality Score) is preformed by the non-genotypic fields, and when variant do not meet QC requirements, the thread continues to read the next variant (skipping the current variant).

Variant that satisfy variant-level QC are parsed for their genotypes. When the value of the locus FORMAT is GT, no genotype-level QC is performed; if the value also contains other key fields, the genotype is quality-controlled for each read (e.g., QC based on DP, GQ). When a genotype does not meet the QC requirements, the genotype is .

#### Byte-Encoded of Genotype (BEG)

For a given variant v, the non-missing phased genotype  $a \mid b$  will be encoded as:

$$a\mid b
ightarrow egin{cases} (a+1)^2-b &, a\geq b\ b^2+a+1 &, a< b \end{cases}$$



#### Write Variant to the Buffer of GTBWriter

When the genotypes of the variant are all encoded, the variant is transferred to the GTBWriter buffer to proceed to the subsequent compression step. In this step, the variant are subjected to additional filtering or conversion operations.

- If the parameter "--simply" is passed in: the ALT tag with an allele count of 0 will be removed.
- If the parameter "--biallelic" is passed in: the multiallelic variant will be split into multiple biallelic variants.
- If the variant-level QC is activated: the variant will be subjected to variant-level quality control and the variant be skipped when it does not meet QC requirements.

Once the GTBWriter buffer size does not reach the maximum number of variants in the GTB block N or the chunk file is not finished reading, repeat the above variant read, quality control, encoding, and write buffer operations. Otherwise, perform the following compression session.

#### Approximate minimum discrepancy ordering of variants (AMDO)

AMDO starts with extracting the genotype accumulated down-sampling features. Supposing that each block contains M variants and N subjects, a zero-count matrix is denoted as  $C = [c_{mn}]_{M \times N}$ , where  $c_{mn}$  is the count of reference alleles (namely 0 alleles) of the  $m^{\text{th}}$  variant for the  $n^{\text{th}}$  subject. Then, the genotype vector of a variant m  $C_m = [c_{m0}, c_{m1}, \cdots, c_{m(N-1)}]$  is merged into a shorter s-element vector,

$$C_m^{(l)} = \left[C_{m,0}^{(l)}, C_{m,1}^{(l)}, \cdots, C_{m,s-1}^{(l)}
ight]$$

where  $C_{m,i}^{(l)}$  covers a maximum of  $l = \lceil N/s \rceil$  consecutive genotypes and s is 24 by default. Each element  $C_{m,i}^{(l)}$  in the vector is defined as an accumulated count, i.e. :

$$C_{m,i}^{(l)} = \sum_{j=i \cdot l}^{\min\{N-1,(i+1)l-1\}} \sum_{k=i \cdot l}^{j} c_{mk} = egin{cases} \sum_{j=i \cdot l}^{(i+1)l-1} ((i+1)l-j)c_{mj} &, i < s-1 \ \sum_{j=i \cdot l}^{N-1} (N-j)c_{mj} &, i = s-1 \end{cases}$$

The accumulation helps discriminate genotype distribution effectively. All the variants in a block are divided into two groups, i.e., the biallelic and the multiallelic groups. In the biallelic variants group, the order of the variant  $v_i$  and the biallelic variant  $v_j$  is defined as the dictionary order of  $C_i^{(l)}$  and  $C_j^{(l)}$ , which are described as below:

- $\bullet \ \ \text{ If } \exists k_0 \in \left[0,\left\lceil \frac{N}{s}\right\rceil 1\right], \forall k \in [0,k_0-1] \text{, such that } C_{i,k_0}^{(s)} < C_{j,k_0}^{(s)}, C_{i,k}^{(s)} = C_{j,k}^{(s)} \text{, then } v_i > v_j;$
- $\bullet \quad \text{If } \exists k_0 \in \left[0,\left\lceil \frac{N}{s} \right\rceil 1\right], \forall k \in [0,k_0-1] \text{, such that } C_{i,k_0}^{(s)} > C_{j,k_0}^{(s)}, C_{i,k}^{(s)} = C_{j,k}^{(s)} \text{, then } v_i < v_j;$
- If  $\forall k \in \left[0,\left\lceil \frac{N}{s} \right\rceil 1 \right]$ , such that  $C_{i,k}^{(s)} = C_{i,k}^{(s)}$ , then  $v_i = v_j$   $\circ$

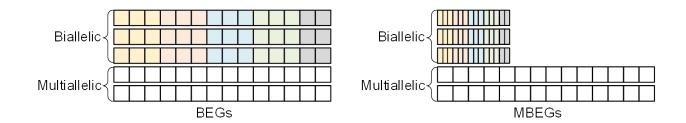
On the contrary, the order will be inverted for multiallelic variants, which helps maximize the length of similar genotype vectors. Finally, the corresponding information of positions, alleles and MBEGs for variants are sorted according to the ordered variants ( $I = [m_0, m_1, \dots, m_{M-1}]$ ).

## **Maximized Byte-Encoded of Genotype (MBEG)**

For biallelic variants, we further combine 3(phased)~4(unphased) multiple consecutive BEG codes into a single byte as follow:

$$\begin{aligned} &phased: [BEG_0, BEG_1, BEG_2] \rightarrow 5^2 \cdot BEG_0 + 5 \cdot BEG_1 + BEG_2 \\ &unphased: [BEG_0, BEG_1, BEG_2, BEG_3] \rightarrow 4^3 \cdot BEG_0 + 4^2 \cdot BEG_1 + 4 \cdot BEG_2 + BEG_3 \end{aligned}$$

When the number of genotypes at a variant does not constitute a multiple of 3 or 4, the "null genotype" at the end will be set to the same as the previous one, i.e.,  $BEG_i = BEG_{i-1}$  (if  $i \ge 1$ ).



#### Merge data stream after compressing with advanced compressors

All the sorted MBEG and BEG codes in each block are further compressed by advanced compressors. Popular compression algorithms (e.g., Gzip, LZMA and zlib) can achieve a compression ratio of 100x or more on genotypes. We chose the ZSTD (short for Zstandard[19]) by default because it provides the fastest speed with a similar compression ratio among the widely used compression algorithms. In detail, the byte codes of each variant are concatenated into a byte array  $B_1$  directly. Next, the position of each variant is converted into 4 bytes, and then all variants' positions are concatenated into a byte array  $B_2$ . Finally, the alleles of all variants are concatenated into another byte array  $B_3$  with a '/' delimiter. Then, these concatenated data  $B_1, B_2$  and  $B_3$  are compressed by the latest ZSTD to produce  $\hat{B}_1, \hat{B}_2$  and  $\hat{B}_3$  respectively. The data entity is a long vector composed of three sections of compressed data, including encoded genotypes, positions, alleles. Two types of information of each packed block, including abstract information and data entity, are subsequently written to the GTB file. The abstract information include the chromosome number (1 byte), minimum and maximum positions (4 bytes respectively), number of biallelic variants (2 bytes), length of  $\hat{B}_1$  (4 bytes), length of  $\hat{B}_2$  (3 bytes), length of  $\hat{B}_3$  (4 bytes) and magic code (1 byte) in a block.

GBC can be integrated with different compression algorithms. ZSTD and LZMA algorithms have been embedded to compress each uncoupled genotype block. We also reserve two types of compressors for developers to extend in the future.

## **Finish Compression**

When a thread completes compression, the block summary information is stitched into the thread's corresponding output file. Then, the file pointer is moved to the file header and the block header information is modified.

When all threads have completed compression, the GBC main program calls the Concat method to stitch the GTB files produced by each thread into a single GTB file.

## **Extract Genotypes**

Use the following command to extract genotypes from the GTB:

```
extract <input> -o <output> [options]
```

- If the <code>[options]</code> contains <code>--o-gtb</code> or the output file specified by <code>-o</code> is end with <code>.gtb</code> , the program will output genotypes in GTB format.
- If the <code>[options]</code> contains <code>--o-bgz</code> or the output file specified by <code>-o</code> is end with <code>.gz</code>, the program will output genotypes in BGZIP-compressed VCF format.
- If the <code>[options]</code> contains <code>--o-vcf</code> or the output file specified by <code>-o</code> is not end with <code>.gz</code> or <code>.gtb</code> , the program will output genotypes in VCF format.

In general, bioinformatics tools (such as PLINK) are compatible with the BGZIP-compressed VCF file format, and we recommend that users use the --o-bgz or --o-gtb format as output to enhance the parallel output performance of the program.

## **Program Options**

```
Usage: extract <input> -o <output> [options]
Output Options:
  --contig
                Specify the corresponding contig file.
                default: /contig/human/hg38.p13
                format: --contig <file> (Exists, File, Inner)
  *--output,-o Set the output file.
                format: --output <file>
  --o-text
                Output VCF file in text format. (this command will be executed automati
cally if
                '--o-bgz' or '--o-gtb' is not passed in and the output file specified b
y '-0' is
                not end with '.gz' or '.gtb')
                Output VCF file in bgz format. (this command will be executed automatic
  --o-bgz
ally if
                '--o-text' or '--o-gtb' is not passed in and the output file specified
by '-0' is
                end with '.gz')
                Output VCF file in gtb format. (this command will be executed automatic
  --o-gtb
ally if
                '--o-text' or '--o-bgz' is not passed in and the output file specified
by '-0' is
                end with '.gtb')
  --level,-l
                Compression level to use when basic compressor works. (ZSTD: 0~22, 3 as
 default;
                LZMA: 0\sim9, 3 as default; BGZIP: 0\sim9, 5 as default)
                default: -1
                format: --level < int > (-1 \sim 31)
                Parallel output is not controlled using the cyclic locking mechanism (C
  --no-clm
LM). With
                this parameter, parallel output means output to multiple temporary file
s and
```

```
finally concatenating them together.
  --threads, -t Set the number of threads.
                default: 4
                format: --threads <int> (>= 1)
                Force-set the status of the genotype. (same as the GTB basic informatio
 --phased,-p
n by
                default)
                format: --phased [true/false]
 --hideGT,-hg Do not output the sample genotypes (only CHROM, POS, REF, ALT, AC, AN,
AF).
  --yes,-y
                Overwrite output file without asking.
GTB Archive Options:
                       Split multiallelic variants into multiple biallelic variants.
  --biallelic
  --simply
                       Delete the alternative alleles (ALT) with allele counts equal to
  --blockSizeType,-bs Set the maximum size=2^(7+x) of each block. (-1 means auto-adjus
tment)
                       default: -1
                       format: --blockSizeType <int> (-1 ~ 7)
  --no-reordering,-nr Disable the Approximate Minimum Discrepancy Ordering (AMDO) algo
rithm.
  --windowSize,-ws
                       Set the window size of the AMDO algorithm.
                       default: 24
                       format: --windowSize < int > (1 \sim 131072)
  --compressor,-c
                       Set the basic compressor for compressing processed data.
                       default: ZSTD
                       format: --compressor < string > ([ZSTD/LZMA/GZIP] or [0/1/2] (igno
reCase))
  --readyParas,-rp
                       Import the template parameters (-p, -bs, -c, -l) from an externa
1 GTB file.
                       format: --readyParas <file> (Exists,File)
Subset Selection Options:
                 Extract the information of the specified subjects. Subject name can be
  --subject,-s
 stored in a
                 file with ',' delimited form, and pass in via '-s @file'.
                 format: --subject <string>, <string>, ...
                 Extract the information by position range.
  --range,-r
                 format: --range <chrom>:<minPos>-<maxPos> <chrom>:<minPos>-<maxPos> ...
                 Extract the information by position. (An inputFile is needed here, wit
  --random
h each line
                 contains 'chrom, position' or 'chrom position'.
                 format: --random <file>
  --retain-node Extract variants in the specified coordinate range of the specified ch
romosome.
                 format: --retain-node <string>:<int>-<int> <string>:<int>--<int> ...
                 Exclude variants with the alternate allele count (AC) per variant out
  --seq-ac
of the range
                 [minAc, maxAc].
                 format: --seq-ac < int > -< int > (>= 0)
  --seq-af
                 Exclude variants with the alternate allele frequency (AF) per variant
out of the
                 range [minAf, maxAf].
                 format: --seq-af < double > -< double > (0.0 ~ 1.0)
                 Exclude variants with the non-missing allele number (AN) per variant o
  --seq-an
ut of the
```

## **Example**

Use the GBC to decompress the example file . /example/assoc.hg19.gtb and set the following properties.

- Store the genotype as unphased.
- Extract the variants with  $POS \ge 1000000$ .
- Extract the variants with  $AF \in [0.4, 0.6]$ .
- Extract the genotypes with sample names NA18963,NA18977,HG02401,HG02353,HG02064.

The commands to complete the task are as follows:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
extract ./example/assoc.hg19.gtb -o ./example/assoc.hg19.extract.vcf \
-p true -r 1:1000000- --seq-af 0.4-0.6 -s NA18963, NA18977, HG02401, HG02353, HG02064 -y

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc extract ./example/assoc.hg19.gtb -o ./example/assoc.hg19.extract.vcf -p true -r 1:1000000- --seq-af 0.4-0.6 -s NA18963, NA18
977, HG02401, HG02353, HG02064 -y
```

## **Display Summary Information**

Use the following command to display summary information from the GTB:

```
show <input> [options]
```

This command is used to quickly access the information of a GTB file and output it to the terminal. show mode contains three output functions:

- Output the summary information about the GTB file (such as shape of genotypes, GTBNodes, etc.).
- Output the sample names of the GTB file.
- Output the summary information (e.g., coordinates, allele labels, allele frequency, etc.) for the variants that satisfy the filtering criteria (if specified).

For detailed genotypes and diverse output formats, please use the extract mode.

## **Program Options**

```
Usage: show <input> [options]
Options:
  --contig Specify the corresponding contig file.
            default: /contig/human/hg38.p13
            format: --contig <file> (Exists, File, Inner)
Summary View Options:
  --add-md5
                 Print the message-digest fingerprint (checksum) for file
                 (which may take a long time to calculating for huge files).
  --add-subject Print subjects names of the GTB file.
                 Print information of the GTBTrees (chromosome only by
  --add-tree
                 default).
  --add-node
                 Print information of the GTBNodes.
  --full,-f
                 Print all abstract information of the GTB file (i.e.,
                 --list-baseInfo, --list-subject, --list-node).
GTB View Options:
  --list-subject-only
                        Print subjects names of the GTB file only.
  --list-position-only Print coordinates (i.e., CHROM, POSITION) of the GTB
                        file only.
                        Print coordinates, alleles and INFOs (i.e.,
  --list-site-only
                        CHROM, POSITION, REF, ALT, INFO) of the GTB file.
Subset Selection Options:
  --subject,-s
                 Print the information of the specified subjects. Subject name
                 can be stored in a file with ',' delimited form, and pass in
                 via '-s @file'.
                 format: --subject <string>, <string>, ...
  --range,-r
                 Print the information by position range.
                 format: --range <chrom>:<minPos>-<maxPos>
                 <chrom>:<minPos>-<maxPos> ...
  --random
                 Print the information by position. (An inputFile is needed
                 here, with each line contains 'chrom, position' or 'chrom
                 position'.
                 format: --random <file>
```

```
--retain-node Print variants in the specified coordinate range of the
               specified chromosome.
               format: --retain-node <string>:<int>-<int>
               <string>:<int>-<int> ...
               Exclude variants with the alternate allele count (AC) per
--seq-ac
               variant out of the range [minAc, maxAc].
               format: --seq-ac < int > -< int > (>= 0)
--seq-af
               Exclude variants with the alternate allele frequency (AF) per
               variant out of the range [minAf, maxAf].
               format: --seq-af < double > -< double > (0.0 ~ 1.0)
--seq-an
               Exclude variants with the non-missing allele number (AN) per
               variant out of the range [minAn, maxAn].
               format: --seg-an < int > -< int > (>= 0)
               Exclude variants with alleles over --max-allele.
--max-allele
               default: 15
               format: --max-allele <int> (2 \sim 15)
```

## **Example**

Use the GBC to list the summary information for the GTB file ./example/assoc.hg19.gtb and set the following properties.

- Print all GTB nodes' abstract information.
- Print the MD5 checksum of the GTB.

The commands to complete the task are as follows:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 500m gbc \
show ./example/assoc.hg19.gtb \
--full --add-md5

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 500m gbc extract ./example/assoc.hg19.gtb s
how ./example/assoc.hg19.gtb --full --add-md5
```

Here, the terminal prints the following message:

```
Summary of GTB File:

GTB File Name: /Users/suranyi/Documents/project/GBC/GBC-1.1/example/assoc.hg19.gtb

GTB File Size: 938.092 KB

Genome Reference: ftp://ftp.1000genomes.ebi.ac.uk//vol1/ftp/technical/reference/phase

2_reference_assembly_sequence/hs37d5.fa.gz

MD5 Code: dcb53e6a9844d413e05ea2a95cae7289

Suggest To BGZF: false
Phased: true
Ordered GTB: true
BlockSize: 16384 (-bs 7)

Compression Level: 16 (ZSTD)

Dimension of Genotypes: 1 chromosome, 18339 variants and 983 subjects
Subject Sequence: HG01583 HG01586 HG01589 HG01593 HG02490 HG02491 HG02493 HG02494 HG0

2597 HG02600

HG02601 HG02603 HG02604 HG02648 HG02649 HG02651 HG02652 HG02654 HG02
```

	HG02657	HG02658	HG02660	HG02661	HG02681	HG02682	HG02684	HG02685	HG02687	HG02
688	HG02690	HG02691	HG02694	HG02696	HG02697	HG02699	HG02700	HG02724	HG02725	HG02
727	HG02728	HG02731	HG02733	HG02734	HG02736	HG02737	HG02774	HG02775	HG02778	HG02
	HG02783	HG02784	HG02786	HG02787	HG02789	HG02790	HG02792	HG02793	HG03006	HG03
	HG03009	HG03012	HG03015	HG03016	HG03018	HG03019	HG03021	HG03022	HG03228	HG03
229	HG03234	HG03235	HG03237	HG03238	HG03488	HG03490	HG03491	HG03585	HG03589	HG03
593	HG03594	HG03595	HG03598	HG03600	HG03603	HG03604	HG03607	HG03611	HG03615	HG03
616	HG03619	HG03624	HG03625	HG03629	HG03631	HG03634	HG03636	HG03640	HG03642	HG03
643	HG03644	HG03645	HG03646	HG03649	HG03652	HG03653	HG03660	HG03663	HG03667	HG03
668	HG03672	HG03673	HG03679	HG03680	HG03681	HG03684	HG03685	HG03686	HG03687	HG03
689	HG03690	HG03691	HG03692	HG03693	HG03694	HG03695	HG03696	HG03697	HG03698	HG03
702	HG03703	HG03705	HG03706	HG03708	HG03709	HG03711	HG03713	HG03714	HG03716	HG03
717	HG03718	HG03720	HG03722	HG03727	HG03729	HG03730	HG03731	HG03733	HG03736	HG03
738	HG03740	HG03741	HG03742	HG03743	HG03744	HG03745	HG03746	HG03750	HG03752	HG03
753	HG03754	HG03755	HG03756	HG03757	HG03760	HG03762	HG03765	HG03767	HG03770	HG03
771	HG03772	HG03773	HG03774	HG03775	HG03777	HG03778	HG03779	HG03780	HG03781	HG03
782	HG03784	HG03785	HG03786	HG03787	HG03788	HG03789	HG03790	HG03792	HG03793	HG03
796	HG03800	HG03802	HG03803	HG03805	HG03808	HG03809	HG03812	HG03814	HG03815	HG03
817	HG03821	HG03823	HG03824	HG03826	HG03829	HG03830	HG03832	HG03833	HG03836	HG03
837	HG03838	HG03844	HG03846	HG03848	HG03849	HG03850	HG03851	HG03854	HG03856	HG03
857	HG03858	HG03861	HG03862	HG03863	HG03864	HG03866	HG03867	HG03868	HG03869	HG03
870	HG03871	HG03872	HG03873	HG03874	HG03875	HG03882	HG03884	HG03885	HG03886	HG03
887	HG03888	HG03890	HG03894	HG03895	HG03896	HG03897	HG03898	HG03899	HG03900	HG03
902	HG03905	HG03907	HG03908	HG03910	HG03911	HG03913	HG03914	HG03916	HG03917	HG03
919	HG03920	HG03922	HG03925	HG03926	HG03928	HG03931	HG03934	HG03937	HG03940	HG03
941	HG03943	HG03945	HG03947	HG03949	HG03950	HG03951	HG03953	HG03955	HG03960	HG03
963	HG03965	HG03967	HG03968	HG03969	HG03971	HG03973	HG03974	HG03976	HG03977	HG03
978	HG03985	HG03986	HG03989	HG03990	HG03991	HG03995	HG03998	HG03999	HG04001	HG04

	HG04003	HG04006	HG04014	HG04015	HG04017	HG04018	HG04019	HG04020	HG04022	HG04
	HG04025	HG04026	HG04029	HG04033	HG04035	HG04038	HG04039	HG04042	HG04047	HG04
054	HG04056	HG04059	HG04060	HG04061	HG04062	HG04063	HG04070	HG04075	HG04076	HG04
080	HG04090	HG04093	HG04094	HG04096	HG04098	HG04099	HG04100	HG04106	HG04107	HG04
118	HG04131	HG04134	HG04140	HG04141	HG04144	HG04146	HG04152	HG04153	HG04155	HG04
156	HG04158	HG04159	HG04161	HG04162	HG04164	HG04171	HG04173	HG04176	HG04177	HG04
180	HG04182	HG04183	HG04185	HG04186	HG04188	HG04189	HG04194	HG04195	HG04198	HG04
200	HG04202	HG04206	HG04209	HG04210	HG04211	HG04212	HG04214	HG04216	HG04219	HG04
222	HG04225	HG04227	HG04229	HG04235	HG04238	HG04239	NA20845	NA20846	NA20847	NA20
849	NA20850	NA20851	NA20852	NA20853	NA20854	NA20856	NA20858	NA20859	NA20861	NA20
862	NA20863	NA20864	NA20866	NA20867	NA20868	NA20869	NA20870	NA20872	NA20875	NA20
876	NA20877	NA20878	NA20881	NA20882	NA20884	NA20885	NA20886	NA20887	NA20888	NA20
889	NA20890	NA20891	NA20892	NA20894	NA20895	NA20896	NA20897	NA20899	NA20900	NA20
901	NA20902	NA20903	NA20904	NA20905	NA20906	NA20908	NA20911	NA21086	NA21087	NA21
088	NA21089	NA21090	NA21091	NA21092	NA21093	NA21094	NA21095	NA21097	NA21098	NA21
099	NA21100	NA21101	NA21102	NA21103	NA21104	NA21105	NA21106	NA21107	NA21108	NA21
109	NA21110	NA21111	NA21112	NA21113	NA21114	NA21115	NA21116	NA21117	NA21118	NA21
119	NA21120	NA21122	NA21123	NA21124	NA21125	NA21126	NA21127	NA21128	NA21129	NA21
130	NA21133	NA21135	NA21137	NA21141	NA21142	NA21143	NA21144	HG00403	HG00404	HG00
406	HG00407	HG00409	HG00410	HG00419	HG00421	HG00422	HG00428	HG00436	HG00437	HG00
442	HG00443	HG00445	HG00446	HG00448	HG00449	HG00451	HG00452	HG00457	HG00458	HG00
463	HG00464	HG00472	HG00473	HG00475	HG00476	HG00478	HG00479	HG00500	HG00513	HG00
525	HG00530	HG00531	HG00533	HG00534	HG00536	HG00537	HG00542	HG00543	HG00556	HG00
557	HG00559	HG00560	HG00565	HG00566	HG00580	HG00583	HG00589	HG00590	HG00592	HG00
593	HG00595	HG00596	HG00598	HG00599	HG00607	HG00608	HG00610	HG00611	HG00613	HG00
614	HG00619			HG00623						
632	HG00634			HG00653						
671	HG00672			HG00683						

		HG00699	HG00701	HG00704	HG00705	HG00707	HG00708	HG00717	HG00728	HG00729	HG00
		HG00766	HG00844	HG00851	HG00864	HG00879	HG00881	HG00956	HG00982	HG01028	HG01
e	929	HG01031	HG01046	HG01595	HG01596	HG01597	HG01598	HG01599	HG01600	HG01794	HG01
7	795	HG01796	HG01797	HG01798	HG01799	HG01800	HG01801	HG01802	HG01804	HG01805	HG01
8	306	HG01807	HG01808	HG01809	HG01810	HG01811	HG01812	HG01813	HG01815	HG01816	HG01
8	317	HG01840	HG01841	HG01842	HG01843	HG01844	HG01845	HG01846	HG01847	HG01848	HG01
8	349	HG01850	HG01851	HG01852	HG01853	HG01855	HG01857	HG01858	HG01859	HG01860	HG01
8	361	HG01862	HG01863	HG01864	HG01865	HG01866	HG01867	HG01868	HG01869	HG01870	HG01
8	371	HG01872	HG01873	HG01874	HG01878	HG02016	HG02017	HG02019	HG02020	HG02023	HG02
e	925	HG02026	HG02028	HG02029	HG02031	HG02032	HG02035	HG02040	HG02047	HG02048	HG02
e	949	HG02050	HG02057	HG02058	HG02060	HG02061	HG02064	HG02069	HG02070	HG02072	HG02
e	973	HG02075	HG02076	HG02078	HG02079	HG02081	HG02082	HG02084	HG02085	HG02086	HG02
e	987	HG02088			HG02121						
1	L33	HG02134			HG02138						
1	L52	HG02153			HG02156						
1	L80	HG02181									
3	351	HG02353			HG02185						
3	379	HG02380			HG02360						
3	392	HG02394			HG02384						
2	107	HG02408	HG02395	HG02396	HG02397	HG02398	HG02399	HG02401	HG02402	HG02406	HG02
5	528	NA18530	HG02409	HG02410	HG02512	HG02513	HG02521	HG02522	NA18525	NA18526	NA18
5	539	NA18541	NA18531	NA18532	NA18533	NA18534	NA18535	NA18536	NA18537	NA18538	NA18
5	550	NA18552	NA18542	NA18543	NA18544	NA18545	NA18546	NA18547	NA18548	NA18549	NA18
5	563	NA18564	NA18553	NA18555	NA18557	NA18558	NA18559	NA18560	NA18561	NA18562	NA18
5	577	NA18579	NA18565	NA18566	NA18567	NA18570	NA18571	NA18572	NA18573	NA18574	NA18
6	602	NA18603	NA18582	NA18591	NA18592	NA18593	NA18595	NA18596	NA18597	NA18599	NA18
		NA18615	NA18605	NA18606	NA18608	NA18609	NA18610	NA18611	NA18612	NA18613	NA18
		NA18625	NA18616	NA18617	NA18618	NA18619	NA18620	NA18621	NA18622	NA18623	NA18
	- 1		NA18626	NA18627	NA18628	NA18629	NA18630	NA18631	NA18632	NA18633	NA18

```
634 NA18635
                   NA18636 NA18637 NA18638 NA18639 NA18640 NA18641 NA18642 NA18643 NA18
644 NA18645
                   NA18646 NA18647 NA18648 NA18740 NA18745 NA18747 NA18748 NA18749 NA18
757 NA18939
                   NA18940 NA18941 NA18942 NA18943 NA18944 NA18945 NA18946 NA18947 NA18
948 NA18949
                   NA18950 NA18951 NA18952 NA18953 NA18954 NA18956 NA18957 NA18959 NA18
960 NA18961
                   NA18962 NA18963 NA18964 NA18965 NA18966 NA18967 NA18968 NA18969 NA18
970 NA18971
                   NA18972 NA18973 NA18974 NA18975 NA18976 NA18977 NA18978 NA18979 NA18
980 NA18981
                   NA18982 NA18983 NA18984 NA18985 NA18986 NA18987 NA18988 NA18989 NA18
990 NA18991
                   NA18992 NA18993 NA18994 NA18995 NA18997 NA18998 NA18999 NA19000 NA19
001 NA19002
                   NA19003 NA19004 NA19005 NA19006 NA19007 NA19009 NA19010 NA19011 NA19
012 NA19054
                   NA19055 NA19056 NA19057 NA19058 NA19059 NA19060 NA19062 NA19063 NA19
064 NA19065
                   NA19066 NA19067 NA19068 NA19070 NA19072 NA19074 NA19075 NA19076 NA19
077 NA19078
                   NA19079 NA19080 NA19081 NA19082 NA19083 NA19084 NA19085 NA19086 NA19
087 NA19088
                   NA19089 NA19090 NA19091
Summary of GTB Nodes:
└ Chromosome 1: posRange=[10177, 4999852], numOfNodes=4, numOfVariants=18339
    ─ Node 1: posRange=[10177, 1787378], seek=1157, blockSize=241089, variantNum=4430 (
4430 + 0)
    ─ Node 2: posRange=[1788685, 2914618], seek=242246, blockSize=228815, variantNum=3
998 (3998 + 0)
    ─ Node 3: posRange=[2915041, 4063039], seek=471061, blockSize=249262, variantNum=4
    ─ Node 4: posRange=[4063447, 4999852], seek=720323, blockSize=240183, variantNum=5
093 (5093 + 0)
```

Use the GBC to list the variants in the GTB file . /example/assoc.hg19.gtb that meet the following conditions:

- Print the variants with  $POS \in [0, 100000]$ .
- Print the variants with  $AF \in [0.45, 0.55]$ .
- Limit the samples to NA18963,NA18977,HG02401,HG02353,HG02064.

The commands to complete the task are as follows:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 500m gbc \
show ./example/assoc.hg19.gtb \
-r 1:0-100000 --seq-af 0.45-0.55 -s NA18963, NA18977, HG02401, HG02353, HG02064 --list-site
-only
# Windows
```

```
docker run -v %cd%:%cd% -w %cd% --rm -it -m 500m gbc show ./example/assoc.hg19.gtb -r 1
:0-100000 --seq-af 0.45-0.55 -s NA18963, NA18977, HG02401, HG02353, HG02064 --list-site-onl
y
```

Here, the terminal prints the following message:

```
1 15211 T G AC=5;AF=0.50000000;AN=10
1 54712 T TTTTC AC=5;AF=0.50000000;AN=10
```

## **Sort Variants by Coordinates**

Use the following command to sort variants by coordinate from the GTB:

```
sort <input> -o <output> [options]
```

Generally, VCF files are ordered and this feature can be ignored. When you convert the reference version (e.g., from hg19 to hg38), the coordinates of the variants may change, causing the file to become unordered.

## **Program Options**

```
Usage: sort <input> -o <output> [options]
Options:
  --contig
                Specify the corresponding contig file.
                default: /contig/human/hg38.p13
                format: --contig <file> (Exists, File, Inner)
  *--output,-o Set the output file.
                format: --output <file>
  --threads, -t Set the number of threads.
                default: 4
                format: --threads <int> (>= 1)
  --subject,-s Extract the information of the specified subjects. Subject name
                can be stored in a file with ',' delimited form, and pass in
                via '-s @file'.
                format: --subject <string>, <string>, ...
                Overwrite output file without asking.
  --yes,-y
GTB Archive Options:
                       Force-set the status of the genotype. (same as the GTB
  --phased, -p
                       basic information by default)
                       format: --phased [true/false]
  --biallelic
                       Split multiallelic variants into multiple biallelic
  --simply
                       Delete the alternative alleles (ALT) with allele counts
                       equal to 0.
  --blockSizeType,-bs Set the maximum size=2^{(7+x)} of each block. (-1 means
                       auto-adjustment)
                       default: -1
                       format: --blockSizeType < int > (-1 \sim 7)
  --no-reordering,-nr
                       Disable the Approximate Minimum Discrepancy Ordering
                        (AMDO) algorithm.
  --windowSize,-ws
                       Set the window size of the AMDO algorithm.
                       default: 24
                       format: --windowSize < int > (1 \sim 131072)
                       Set the basic compressor for compressing processed data.
  --compressor,-c
                       default: ZSTD
                       format: --compressor <string> ([ZSTD/LZMA/GZIP] or
                        [0/1/2] (ignoreCase))
  --level,-l
                       Compression level to use when basic compressor works.
                        (ZSTD: 0\sim22, 3 as default; LZMA: 0\sim9, 3 as default;
                       GZIP: 0\sim9, 5 as default)
                       default: -1
```

```
format: --level < int > (-1 \sim 31)
--readyParas,-rp
                     Import the template parameters (-p, -bs, -c, -l) from an
                     external GTB file.
                     format: --readyParas <file> (Exists,File)
--seq-ac
                     Exclude variants with the alternate allele count (AC)
                     per variant out of the range [minAc, maxAc].
                     format: --seq-ac < int > -< int > (>= 0)
--seq-af
                     Exclude variants with the alternate allele frequency
                     (AF) per variant out of the range [minAf, maxAf].
                     format: --seq-af < double > -< double > (0.0 ~ 1.0)
--seq-an
                     Exclude variants with the non-missing allele number (AN)
                     per variant out of the range [minAn, maxAn].
                     format: --seq-an < int > -< int > (>= 0)
--max-allele
                     Exclude variants with alleles over --max-allele.
                     default: 15
                     format: --max-allele <int> (2 ~ 15)
```

## **Example**

Use the GBC to compress the unordered VCF file ./example/randomsimu100000V\_100S.chr1.vcf.gz:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 500m gbc \
build ./example/randomsimu1000000V_100S.chr1.vcf.gz -o ./example/randomsimu100000V_100S.
chr1.gtb -y

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 500m gbc build ./example/randomsimu100000V_
100S.chr1.vcf.gz -o ./example/randomsimu100000V_100S.chr1.gtb -y
```

Then, use show to print the summary information of ./example/randomsimu100000V\_100S.chr1.gtb:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 500m gbc \
show ./example/randomsimu100000V_100S.chr1.gtb

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 500m gbc show ./example/randomsimu100000V_1
00S.chr1.gtb
```

Here, the terminal prints the following message, note that this file is an unordered file:

```
Summary of GTB File:

GTB File Name: /Users/suranyi/Documents/project/GBC/GBC-1.1/example/randomsimu100000V
_100S.chr1.gtb

GTB File Size: 2.764 MB

Suggest To BGZF: false
Phased: false
Ordered GTB: false
BlockSize: 16384 (-bs 7)
Compression Level: 3 (ZSTD)
Dimension of Genotypes: 1 chromosome, 100000 variants and 100 subjects
```

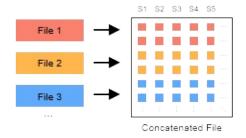
#### Sort the current GTB file using the sort mode:

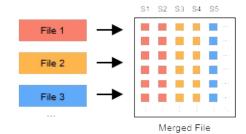
```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 500m gbc \
sort ./example/randomsimu1000000V_100S.chr1.gtb -o ./example/randomsimu100000V_100S.chr1
.order.gtb

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 500m gbc sort ./example/randomsimu100000V_1
00S.chr1.gtb -o ./example/randomsimu100000V_100S.chr1.order.gtb
```

## **Combine Multiple GTBs**

There are two main types of combining multiple GTB files: concatenation (concat, left panel) and merge (merge, right panel). The former is usually used to concatenate multiple single chromosome GTB files (e.g. chr1.gtb, chr2.gtb, ...), and the coordinates of these GTB files do not overlap with each other. The latter is usually used to combine genotypes from several different sequencing projects to increase the sample size of the study, and the samples of these GTB files do not overlap with each other.





Concatenate genotypes with identical subjects

Merge genotypes with non-overlapping samples

## **Concatenate multiple GTBs**

Use the following command to concatenate multiple GTBs (left panel):

```
concat <input(s)> -o <output> [options]
```

- When the input multiple files with the same status of genotype, compressor, and the samples, the operation of concatenation will be completed in seconds. Otherwise, GBC needs more time for file pre-conversion.
- The input files can be single or multiple .gtb files, or they can be the path to the folder containing them. When the path is a folder path, GBC will filter all .gtb files in that folder (and its subfolders) for concatenation. Note that GBC only determines the file type based on the file extension, so the correct file extension is the only one that can be concatenated.

## **Program Options**

### **Example**

Use the GBC to compress an example file that containing multiple chromosome

```
./example/simu100.coding.vcf.gz :
```

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 500m gbc \
build ./example/simu100.coding.vcf.gz -o ./example/simu100.coding.gtb -y

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 500m gbc build ./example/simu100.coding.vcf
.gz -o ./example/simu100.coding.gtb -y
```

Then, we split the GTB file into multiple single chromosome subfiles according to chromosome tags:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 500m gbc \
split ./example/simu100.coding.gtb -o ./example/simu100.coding --by chromosome

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 500m gbc split ./example/simu100.coding.gtb
-o ./example/simu100.coding --by chromosome
```

Finally, we use concat to concatenate all the GTB files in the ./example/simu100.coding folder.

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 500m gbc \
concat ./example/simu100.coding -o ./example/simu100.coding.concat.gtb

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 500m gbc concat ./example/simu100.coding -o ./example/simu100.coding.concat.gtb
```

## Merge Multiple GTBs

Use the following command to merge multiple GTBs (right panel) from non-overlapping sample sets:

```
merge <input(s)> -o <output> [options]
```

- If the sample names of the files overlap, the sample names need to be reset using the reset-subject mode (see: Reset the Subject Names).
- When no GTB archive format is set, the output GTB archive format defaults to same as the first incoming file.
- The input files can be single or multiple .gtb files, or they can be the path to the folder containing them. When the path is a folder path, GBC will filter all .gtb files in that folder (and its subfolders) for concatenation. Note that GBC only determines the file type based on the file extension, so the correct file extension is the only one that can be concatenated.

## **Program Options**

```
format: --contig <file> (Exists, File, Inner)
  *--output,-o Set the output file.
                format: --output <file>
  --threads,-t Set the number of threads.
                default: 4
                format: --threads <int> (>= 1)
  --union
                Method for handing coordinates in different files (union or
                intersection, and intersection is the default), the missing
                genotype is replaced by '.'.
  --yes,-y
                Overwrite output file without asking.
GTB Archive Options:
                       Force-set the status of the genotype. (same as the GTB
  --phased, -p
                       basic information by default)
                       format: --phased [true/false]
  --biallelic
                       Split multiallelic variants into multiple biallelic
                       variants.
                       Delete the alternative alleles (ALT) with allele counts
  --simply
                       equal to 0.
  --blockSizeType,-bs
                       Set the maximum size=2^{(7+x)} of each block. (-1 means
                       auto-adjustment)
                       default: -1
                       format: --blockSizeType <int> (-1 ~ 7)
                       Disable the Approximate Minimum Discrepancy Ordering
  --no-reordering,-nr
                        (AMDO) algorithm.
                       Set the window size of the AMDO algorithm.
  --windowSize,-ws
                       default: 24
                       format: --windowSize < int > (1 ~ 131072)
                       Set the basic compressor for compressing processed data.
  --compressor,-c
                       default: ZSTD
                       format: --compressor <string> ([ZSTD/LZMA/GZIP] or
                        [0/1/2] (ignoreCase))
  --level,-1
                       Compression level to use when basic compressor works.
                        (ZSTD: 0\sim22, 3 as default; LZMA: 0\sim9, 3 as default;
                       GZIP: 0\sim9, 5 as default)
                       default: -1
                       format: --level < int > (-1 \sim 31)
  --readyParas,-rp
                       Import the template parameters (-p, -bs, -c, -l) from an
                       external GTB file.
                       format: --readyParas <file> (Exists,File)
                       Exclude variants with the alternate allele count (AC)
  --seq-ac
                       per variant out of the range [minAc, maxAc].
                       format: --seq-ac < int > -< int > (>= 0)
  --seq-af
                       Exclude variants with the alternate allele frequency
                        (AF) per variant out of the range [minAf, maxAf].
                       format: --seq-af < double > -< double > (0.0 ~ 1.0)
                       Exclude variants with the non-missing allele number (AN)
  --seq-an
                       per variant out of the range [minAn, maxAn].
                       format: --seq-an < int > -< int > (>= 0)
                       Exclude variants with alleles over --max-allele.
  --max-allele
                       default: 15
                       format: --max-allele <int> (2 ~ 15)
```

## Example

Download the 1000GP3 dataset from http://pmglab.top/genotypes and store it in ./example/1000GP3 folder with the following path structure:

```
- 1000GP3
- AFR
- AMR
- EAS
- EUR
- SAS
- randomsimu100000V_100S.chr1.vcf.gz
- rare.disease.hg19.vcf.gz
- query.txt
- query_1000GP3.txt
- simu100.coding.vcf.gz
- assoc.hg19.vcf.gz
```

Use the GBC to compress genotype data for each population.

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
build ./example/1000GP3/AFR -o ./example/1000GP3/AFR.gtb -l 16 -p -y
docker run -v `pwd`:`pwd` --rm -it -m 4g gbc \
build ./example/1000GP3/AMR -o ./example/1000GP3/AMR.gtb -l 16 -p -y
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
build ./example/1000GP3/EAS -o ./example/1000GP3/EAS.gtb -l 16 -p -y
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
build ./example/1000GP3/EUR -o ./example/1000GP3/EUR.gtb -l 16 -p -y
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
build ./example/1000GP3/SAS -o ./example/1000GP3/SAS.gtb -l 16 -p -y
# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc build ./example/1000GP3/AFR -o ./exa
mple/1000GP3/AFR.gtb -1 16 -p -y
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc build ./example/1000GP3/AMR -o ./exa
mple/1000GP3/AMR.gtb -l 16 -p -y
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc build ./example/1000GP3/EAS -o ./exa
mple/1000GP3/EAS.gtb -l 16 -p -y
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc build ./example/1000GP3/EUR -o ./exa
mple/1000GP3/EUR.gtb -l 16 -p -y
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc build ./example/1000GP3/SAS -o ./exa
mple/1000GP3/SAS.gtb -l 16 -p -y
```

Merge the GTB files of the 5 populations in the 1000GP3 folder:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
merge ./example/1000GP3/AFR.gtb ./example/1000GP3/AMR.gtb ./example/1000GP3/EAS.gtb ./e
xample/1000GP3/EUR.gtb ./example/1000GP3/SAS.gtb -o ./example/1000GP3.gtb -l 16 -p true
    -y

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc merge ./example/1000GP3/AFR.gtb ./ex
ample/1000GP3/AMR.gtb ./example/1000GP3/EAS.gtb ./example/1000GP3/EUR.gtb ./example/100
```

OGP3/SAS.gtb -o ./example/1000GP3.gtb -l 16 -p true -y

# **Reset the Subject Names**

Use the following command to reset subject (or sample) names of GTB:

```
reset-subject <input> -o <output> [options]
```

#### **Program Options**

```
Usage: reset-subject <input> -o <output> [options]
Options:
  --contig
                Specify the corresponding contig file.
                default: /contig/human/hg38.p13
                format: --contig <file> (Exists, File, Inner)
  *--output,-o Set the output file.
                format: --output <file>
                Overwrite output file without asking.
  --yes,-y
                Reset subject names (request that same subject number and no
  --subject
                duplicated names) for gtb file directly. Subject names can be
                stored in a file with ',' delimited form, and pass in via
                '--subject @file'.
                format: --subject <string>, <string>, ...
  --prefix
                Use the format `[prefix][number][suffix]` to reset the subject
                names.
                default: S_
                format: --prefix <string>
                Use the format `[prefix][number][suffix]` to reset the subject
  --suffix
                format: --suffix <string>
  --begin
                Use the format `[prefix][number][suffix]` to reset the subject
                names.
                default: 1
                format: --begin <int>
```

## **Example**

Use the GBC to compress an example file ./example/rare.disease.hg19.vcf.gz:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
build ./example/rare.disease.hg19.vcf.gz -o ./example/rare.disease.hg19.gtb -y

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc build ./example/rare.disease.hg19.vc
f.gz -o ./example/rare.disease.hg19.gtb -y
```

Reset the subject names to CASE\_6\_1 , CASE\_7\_1 and CASE\_8\_1 :

```
# Linux or MacOS
```

```
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
reset-subject ./example/rare.disease.hg19.gtb -o ./example/out.gtb --prefix CASE_ --beg
in 6 --suffix _1 -y

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc reset-subject ./example/rare.disease
.hg19.gtb -o ./example/out.gtb --prefix CASE_ --begin 6 --suffix _1 -y
```

or:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
reset-subject ./example/rare.disease.hg19.gtb -o ./example/out.gtb --subject CASE_6_1,C
ASE_7_1,CASE_8_1 -y

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc reset-subject ./example/rare.disease
.hg19.gtb -o ./example/out.gtb --subject CASE_6_1,CASE_7_1,CASE_8_1 -y
```

# **Prune GTB Tree**

Use the following command to prune the GTB Tree:

```
prune <input> -o <output> [options]
```

Compared with extract, prune does not need to decompress any data for node extraction or deletion, which is faster and less memory-intensive, and all operations can be completed in seconds.

#### **Program Options**

```
Usage: prune <input> -o <output> [options]
Options:
  --contig
                  Specify the corresponding contig file.
                  default: /contig/human/hg38.p13
                  format: --contig <file> (Exists, File, Inner)
  *--output,-o
                  Set the output file.
                  format: --output <file>
                  Overwrite output file without asking.
  --yes,-y
  --delete-node
                  Delete the specified GTBNodes.
                  format: --delete-node <string>:<int>,<int>,... <string>:<int>,<int>,...
                  Retain the specified GTBNodes.
  --retain-node
                  format: --retain-node <string>:<int>, <int>, ... <string>:<int>, <int>, ...
  --delete-chrom Delete the specified Chromosomes.
                  format: --delete-chrom <string>, <string>, ...
  --retain-chrom Retain the specified Chromosomes.
                  format: --retain-chrom <string>, <string>, ...
```

## **Example**

Use the GBC to extract the sex chromosomes (chrX and chrY) of 1000GP3.gtb.

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
prune ./example/1000GP3.gtb -o ./example/1000GP3.chrXY.gtb \
--retain-chrom X,Y \
-y

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc prune ./example/1000GP3.gtb -o ./exa
mple/1000GP3.chrXY.gtb --retain-chrom X,Y -y
```

View the summary information of extracted GTB file:

```
# Linux or MacOS
```

```
docker run -v `pwd`: `pwd` --rm -it -m 4g gbc \
show ./example/1000GP3.chrXY.gtb --add-tree

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc show ./example/1000GP3.chrXY.gtb --a
dd-tree
```

Here, the terminal prints the following message:

```
Summary of GTB File:

GTB File Name: /Users/suranyi/Documents/project/GBC/GBC-1.1/example/1000GP3.chrXY.gtb

GTB File Size: 66.759 MB

Genome Reference: ftp://ftp.1000genomes.ebi.ac.uk//vol1/ftp/technical/reference/phase

2_reference_assembly_sequence/hs37d5.fa.gz

Suggest To BGZF: false
Phased: true

Ordered GTB: true

BlockSize: 16384 (-bs 7)

Compression Level: 16 (ZSTD)

Dimension of Genotypes: 2 chromosomes, 3530137 variants and 2504 subjects

Summary of GTB Nodes:

— Chromosome X: posRange=[60020, 155260478], numOfNodes=212, numOfVariants=3468095

— Chromosome Y: posRange=[2655180, 28770931], numOfNodes=4, numOfVariants=62042
```

# **Align Coordinates and Base Labels**

When merging genotypes from different batches, the variants that with the same coordinate may introduce confusion (e.g., batch 1 uses forward-stranded DNA and batch 2 uses reverse-stranded DNA). With the same coordinates and base complementarity (e.g., [A, T] and [C, G]), GBC designs three functions to identify inconsistent allele labels:

- Check for allele frequency: the difference between the allele frequency of variant 1 and the allele frequency of variant 2 is less than the threshold (i.e.,  $|AF_1 AF_2| < gap$ ). This will work for variants with minor allele frequencies much less than 0.5 (say 0.3).
- Check for allele count:  $2 \times 2$  column tables were constructed using the number of reference alleles at a variant of two batches to be merged. The chi-square tests were performed. If the hypothesis test rejects the  $H_0$  hypothesis (i.e., the allele frequencies of the two variants are identical), then the variants in different batches cannot be considered potentially identical. Note that this will not be suitable for the scenario that the two batches are used for cases and controls, respectively.
- Check for LD pattern: identifies other variants in which the absolute value of the genotypic correlation is over a threshold (say, 0.8) in two batches separately. We then count the positive signs of the correlation coefficients in the two batches. If the numbers of signs are very different between the two batches, the allele labels should be flipped; otherwise, the allele labels are not flipped. This function can be used for variants with minor allele frequencies close to 0.5.

Use the following command to correct for potential complementary strand errors:

```
allele-check <template_input> <input> -o <output> [options]
```

#### **Program Options**

```
Usage: allele-check <template_input> <input> -o <output> [options]
Options:
  --contig
                Specify the corresponding contig file.
                default: /contig/human/hg38.p13
                format: --contig <file> (Exists, File, Inner)
  *--output,-o Set the output file.
                format: --output <file>
  --threads,-t Set the number of threads.
                default: 4
                format: --threads <int> (>= 1)
  --union
                Method for handing coordinates in different files (union or
                intersection, and intersection is the default), the missing
                genotype is replaced by '.'.
  --yes,-y
                Overwrite output file without asking.
Alignment Coordinate Options:
  --p-value
                         Correct allele labels of rare variants (minor allele
                         frequency < --maf) with the p-value of chi^2 test >=
                         --p-value.
                         default: 0.05
                         format: --p-value <double> (1.0E-6 \sim 0.5)
  --freq-gap
                         Correct allele labels of rare variants (minor allele
                         frequency < --maf) with the allele frequency gap <=
```

```
--freq-gap.
                         format: --freq-gap <double> (1.0E-6 ~ 0.5)
  --no-ld
                         By default, correct allele labels of common variants
                         (minor allele frequency >= --maf) using the ld pattern
                         in different files. Disable this function with option
                         '--no-ld'.
                         Exclude pairs with genotypic LD correlation |R| values
  --min-r
                         less than --min-r.
                         default: 0.8
                         format: --min-r < double > (0.5 \sim 1.0)
  --flip-scan-threshold Variants with flipped ld patterns (strong correlation
                         coefficients of opposite signs) that >= threshold
                         ratio will be corrected.
                         default: 0.8
                         format: --flip-scan-threshold <double> (0.5 \sim 1.0)
  --maf
                         For common variants (minor allele frequency >= --maf)
                         use LD to identify inconsistent allele labels.
                         default: 0.05
                         format: --maf < double > (0.0 \sim 0.5)
                         The maximum number of physical bases between the
  --window-bp,-bp
                         variants being calculated for LD.
                         default: 10000
                         format: --window-bp <int> (>= 1)
GTB Archive Options:
  --phased, -p
                       Force-set the status of the genotype. (same as the GTB
                       basic information by default)
                       format: --phased [true/false]
                       Split multiallelic variants into multiple biallelic
  --biallelic
                       variants.
  --simply
                       Delete the alternative alleles (ALT) with allele counts
                       equal to 0.
  --blockSizeType,-bs
                       Set the maximum size=2^{(7+x)} of each block. (-1 means
                       auto-adjustment)
                       default: -1
                       format: --blockSizeType <int> (-1 ~ 7)
                       Disable the Approximate Minimum Discrepancy Ordering
  --no-reordering,-nr
                        (AMDO) algorithm.
                       Set the window size of the AMDO algorithm.
  --windowSize,-ws
                       default: 24
                       format: --windowSize < int > (1 \sim 131072)
                       Set the basic compressor for compressing processed data.
  --compressor,-c
                       default: ZSTD
                       format: --compressor <string> ([ZSTD/LZMA/GZIP] or
                        [0/1/2] (ignoreCase))
  --level,-l
                       Compression level to use when basic compressor works.
                        (ZSTD: 0\sim22, 3 as default; LZMA: 0\sim9, 3 as default;
                       GZIP: 0\sim9, 5 as default)
                       default: -1
                       format: --level < int > (-1 \sim 31)
                       Import the template parameters (-p, -bs, -c, -l) from an
  --readyParas,-rp
                       external GTB file.
                       format: --readyParas <file> (Exists,File)
                       Exclude variants with the alternate allele count (AC)
  --seq-ac
                       per variant out of the range [minAc, maxAc].
                       format: --seq-ac < int > -< int > (>= 0)
                       Exclude variants with the alternate allele frequency
  --seq-af
```

#### **Example**

Download the EAS hg38 dataset from http://pmglab.top/genotypes and use the dataset as a template file to examine the allele tags of the local exome sequencing variants SNP.gtb:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
allele-check ./example/EAS.gtb ./example/SNP.gtb -o ./example/SNP.checked.gtb --seq-af
0.000001-0.999999 -y

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc allele-check ./example/EAS.gtb ./exa
mple/SNP.gtb -o ./example/SNP.checked.gtb --seq-af 0.000001-0.999999 -y
```

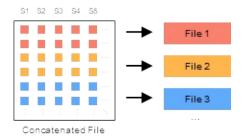
Here, the terminal prints the following message:

```
2022-06-27 01:16:02 INFO [ThreadPool-thread-1] AlleleCheck chr11:244961 TempREF=G Temp ALT=C TempAF=0.8035714285714286 REF=G ALT=C AF=0.6944444444444444 -> REF=C ALT=G 2022-06-27 01:16:02 INFO [ThreadPool-thread-1] AlleleCheck chr11:251057 TempREF=C Temp ALT=G TempAF=0.08630952380952381 REF=C ALT=G AF=0.22093023255813954 -> REF=G ALT=C 2022-06-27 01:16:04 INFO [ThreadPool-thread-1] AlleleCheck chr17:42969194 TempREF=C TempALT=G TempAF=0.9742063492063492 REF=C ALT=G AF=0.02608695652173913 -> REF=G ALT=C 2022-06-27 01:16:06 INFO [ThreadPool-thread-2] AlleleCheck chr1:1041823 TempREF=G Temp ALT=C TempAF=0.9990079365079365 REF=G ALT=C AF=0.0045045045045045045045 -> REF=C ALT=G
```

# **Split GTB**

Use the following command to split the GTB file into multiple independent subfiles:

```
split <input> -o <output> [options]
```



#### **Program Options**

## **Example**

Split ./example/1000GP3.gtb by chromosome tags:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 500m gbc \
split ./example/1000GP3.gtb -o ./example/1000GP3-chr -y

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 500m gbc split ./example/1000GP3.gtb -o ./e
xample/1000GP3-chr -y
```

After running this command, 24 GTB subfiles are generated in the folder ./example/1000GP30-chr .

#### **LD Calculation for GTB**

GBC integrates the calculation of LD coefficients. Use the following command to calculate LD coefficients for GTB file:

```
ld <input> -o <output> [options]
```

#### **Program Options**

```
Usage: ld <input> -o <output> [options]
Output Options:
  --contig
                Specify the corresponding contig file.
                default: /contig/human/hg38.p13
                format: --contig <file> (Exists, File, Inner)
  *--output,-o
                Set the output file.
                format: --output <file>
  --o-text
                Output LD file in text format. (this command will be executed
                automatically if '--o-bgz' is not passed in and the output file
                specified by '-o' is not end with '.gz')
                Output LD file in bgz format. (this command will be executed
  --o-bgz
                automatically if '--o-text' is not passed in and the output
                file specified by '-o' is end with '.gz')
  --level,-l
                Set the compression level. (Execute only if --o-bgz is passed
                in)
                default: 5
                format: --level < int > (0 \sim 9)
  --threads,-t Set the number of threads.
                default: 4
                format: --threads <int> (>= 1)
                Overwrite output file without asking.
  --yes,-y
LD Calculation Options:
  --hap-ld, --hap-r2
                       Calculate pairwise the linkage disequilibrium.
  --geno-ld,--gene-r2 Calculate pairwise the genotypic correlation.
                       The maximum number of physical bases between the
  --window-bp,-bp
                       variants being calculated for LD.
                       default: 10000
                       format: --window-bp <int> (>= 1)
  --min-r2
                       Exclude pairs with R2 values less than --min-r2.
                       format: --min-r2 < double > (0.0 ~ 1.0)
                       Exclude variants with the minor allele frequency (MAF)
  --maf
                       per variant < maf.
                       default: 0.05
                       format: --maf <double> (0.0 \sim 0.5)
  --subject,-s
                       Calculate the LD for the specified subjects. Subject
                       name can be stored in a file with ',' delimited form,
                       and pass in via '-s @file'.
                       format: --subject <string>, <string>, ...
                       Calculate the LD by specified position range.
  --range,-r
                       format: --range <chrom>:<minPos>-<maxPos>
                       <chrom>:<minPos>-<maxPos> ...
```

# Example

Calculate the LD coefficients for the EAS hg38 dataset.

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
ld ./example/EAS.gtb -o ./example/EAS.ld.gz -t 8 -y

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc ld ./example/EAS.gtb -o ./example/EA
S.ld.gz -t 8 -y
```

# **Contig File Format**

The GBC application does not explicitly define the index of chromosome tags internally, but declares it through the contig file, where the index of the chromosome located in the first line of the contig file is 0, and the index of the chromosome in the second line is 1... Therefore, you can easily extend or modify the contig file for chromosome ploidy and chromosome tags modification.

By default, GBC supports genotype compression of human beings. It can also encode and compress genotypes of other haplotypic and diploid species. For non-human genomes, GBC only requires a different contig file to declare the label of the assigned chromosome (e.g., chrX, chrY, chrMT) and its ploidy. A contig file has "#chromosome,ploidy,length" as the header line, and then each line represents one chromosome. Since only 1 byte is reserved for storing chromosome numbers in GTB format, we require that the number of chromosomes in the input contig file does not exceed 256.

```
##reference=https://www.ncbi.nlm.nih.gov/grc/human/data?asm=GRCh38.p13
#chromosome, ploidy, length
1,2,248956422
2, 2, 242193529
3, 2, 198295559
4,2,190214555
5, 2, 181538259
6,2,170805979
7,2,159345973
8, 2, 145138636
9,2,138394717
10, 2, 133797422
11, 2, 135086622
12, 2, 133275309
13, 2, 114364328
14, 2, 107043718
15, 2, 101991189
16, 2, 90338345
17, 2, 83257441
18, 2, 80373285
19, 2, 58617616
20, 2, 64444167
21, 2, 46709983
22, 2, 50818468
X, 2, 156040895
Y, 2, 57227415
MT, 2, 4485509
```

#### **Build Contig File For VCF**

For non-human genotype files, use the following command to build a contig file for the original VCF file.

```
index <input> -o <output> [options]
```

This method will scan the comment information of VCF file and extract the ##contig= field to build a contig file. If the VCF file is non-standard, the user can scan the entire VCF file by adding the parameter --deep-scan.

# **Reset Chromosome Tags With New Contig File**

Use the following command to reset the chromosome tags of the GTB file (e.g. reset multiple chromosome tags to the same tag):

```
index <input> -o <output> --from-contig <from_contig> --to-contig <to_contig> [options]
```

For example, to set all the chromosome tags of 1000GP3 to Unknown , the following contig file needs to be created:

```
#chromosome, ploidy, length
Unknown, 2, 0
```

#### and then, run:

```
# Linux or MacOS
docker run -v `pwd`:`pwd` -w `pwd` --rm -it -m 4g gbc \
index ./example/1000GP3.gtb -o ./example/1000GP3.unknown.gtb --to-contig ./example/1000
GP3.contig

# Windows
docker run -v %cd%:%cd% -w %cd% --rm -it -m 4g gbc index ./example/1000GP3.gtb -o ./exa
mple/1000GP3.unknown.gtb --to-contig ./example/1000GP3.contig
```