### CS 577 - Network Flow

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> Spring 2021 TopHat Join Code: 524741



# NETWORK FLOW

#### Network Flow

#### Flow Problems

- Flow Network / Transportation Networks: Connected directed graph with water flowing / traffic moving through it.
- Edges have limited *capacities*.
- Nodes act as switches directing the flow.
- Many, many problems can be cast as flow problems.

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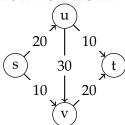
Ford-Fulkerson Method (1956)



L R Ford Jr.

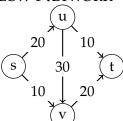


D. R. Fulkerson



#### **Basic Flow Network**

- Directed graph G = (V, E).
- Each edge e has  $c_e \ge 0$ .
- Source  $s \in V$  and sink  $t \in V$ .
- Internal node  $V/\{s,t\}$ .

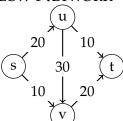


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# **Defining Flow**

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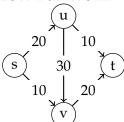


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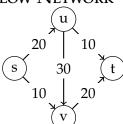
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  - **1** Conservation: For each  $v \in V/\{s,t\}$ ,

$$\sum_{e \text{ into } v} f(e) = f^{\text{in}}(v) = f^{\text{out}}(v) = \sum_{e \text{ out of } v} f(e)$$



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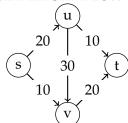
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• Flow value  $v(f) = f^{\text{out}}(s) = f^{\text{in}}(t)$ 

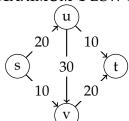
### MAXIMUM-FLOW PROBLEM



# Max-Flow

Given a flow network G, what is the maximum flow value, i.e., what is the flow f that maximizes v(f)?

### MAXIMUM-FLOW PROBLEM



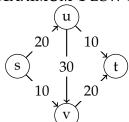
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#### Alternate View: Min-Cut

• A Cut: Partition of *V* into sets (A, B) with  $s \in A$  and  $t \in B$ .

### MAXIMUM-FLOW PROBLEM

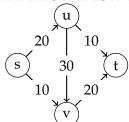


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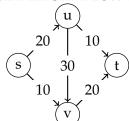


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### MAXIMUM-FLOW PROBLEM

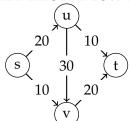


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- Minimum-cut of G: The cut  $(A^*, B^*)$  that minimizes  $c(A^*, B^*)$  for G.

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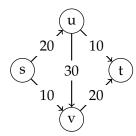


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- Minimum-cut of G: The cut  $(A^*, B^*)$  that minimizes  $c(A^*, B^*)$  for G.
- The min-cut and max-flow are the same value for any flow network.

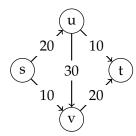
### DESIGNING THE APPROACH



# TopHat 1

What is the max-flow value in the example?

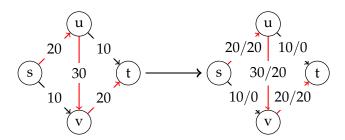
### DESIGNING THE APPROACH



# TopHat 2

What is the min-cut value in the example?

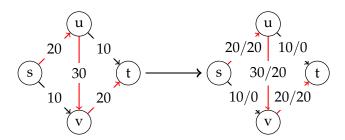
# DESIGNING THE APPROACH



### Basic Greedy Approach

- Initialize f(e) = 0 for all edges.
- While there is a path from *s* to *t* with available capacity, push flow equal to the minimum available capacity along path.

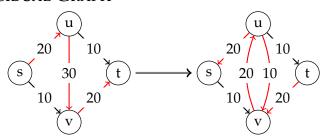
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### Basic Greedy Approach

- Initialize f(e) = 0 for all edges.
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- We need a mechanism to reverse flow...

#### RESIDUAL GRAPH

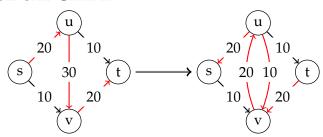


### Residual Graph

Given a flow network G and a flow f on G, we get the residual graph  $G_f$ :

- Same nodes as G.
- For edge (u, v) in E:
  - Add edge (u, v) with capacity  $c_e f(e)$ .
  - Add edge (v, u) with capacity f(e).

#### RESIDUAL GRAPH

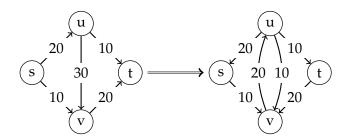


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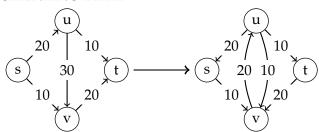
### AUGMENTING PATH



### **Augmenting Path**

- A simple directed path from *s* to *t*.
- BOTTLENECK  $(P, G_f)$ : Minimum residual capacity on augmenting path P.

### AUGMENTING PATH



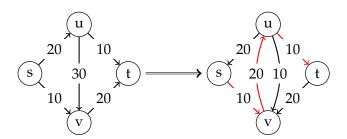
### **Augmenting Path**

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# TopHat 3

List the nodes (separated by commas, i.e. s,u,t) of an augmenting path in the example residual graph.

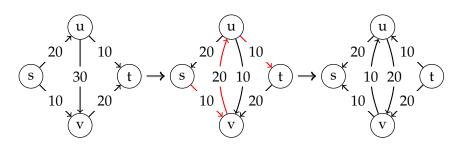
#### AUGMENTING PATH



### Increasing the Flow along Augmenting Path

- Push Bottleneck( $P, G_f$ ) = q along path P:
  - Pushing q along a directed edge in G, increase flow by q.
  - Pushing *q* in opposite directed of edge in *G*, decreases flow by *q*.

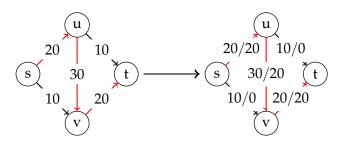
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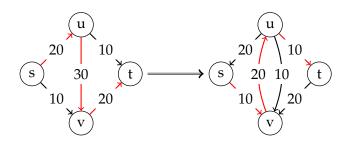
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### DESIGNING THE APPROACH



# Refined Greedy Approach

- Initialize f(e) = 0 for all edges.
- While  $G_f$  contains an augmenting path P:
  - Update flow f by BOTTLENECK $(P, G_f)$  along P.

#### ANALYZING THE ALGORITHM

Constant Increase and Termination

#### Observation 1

If all capacities are integers, then all f(e), residual capacities, and v(f) are integers at every iteration.

# Refined Greedy Approach

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### TopHat 4

What technique should we use to prove the observation?

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#### Lemma 1

v(f') > v(f), where  $v(f') = v(f) + \text{BOTTLENECK}(P, G_f)$  for an augmenting path P in  $G_f$ .

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### Proof.

By definition of P, first edge of p is an out edge from s that we increase by Bottleneck $(P, G_f) = q$ . By the law of conservation, this will give q more flow.

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#### Theorem 2

Let  $C = \sum_{e \text{ out of } s} c_e$ , the FF method terminates in at most C iterations.

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TopHat 5: What technique?

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From Lemma 1, the flow strictly increases at each iteration. Hence, the residual capacity out of *s* decreases by at least 1 at each iteration.

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#### ANALYZING THE ALGORITHM

RUNTIME

#### Observation 2

Since G is connected,  $m \geq TH6$ .

### Refined Greedy Approach

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Since G is connected,  $m \ge n/2$ . Hence, O(m+n) = O(m).

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Suppose all capacities are integers. Then, runtime of O(mC).

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# TopHat 7

Is this a polynomial bound?

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## Proof.

• Theorem 2: termination happens in at most *C* iterations.

## Analyzing the Algorithm

RUNTIME

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## ANALYZING THE ALGORITHM

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- Work per iteration:
  - Find an augmenting path: TH8: How can we do that?

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Suppose all capacities are integers. Then, runtime of O(mC).

- Theorem 2: termination happens in at most *C* iterations.
- Work per iteration:
  - Find an augmenting path: BFS or DFS: O(m + n).

RUNTIME

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- Theorem 2: termination happens in at most *C* iterations.
- Work per iteration:
  - Find an augmenting path: BFS or DFS: O(m + n).
  - **②** Update flow along path *P*: TH9: Time bound?

RUNTIME

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- Theorem 2: termination happens in at most *C* iterations.
- Work per iteration:
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  - **2** Update flow along path P: O(n).

RUNTIME

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- Work per iteration:
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  - **2** Update flow along path P: O(n).
  - **3** Build new  $G_f$ : TH10: Time bound?

RUNTIME

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  - **6** Build new  $G_f$ : O(m).

RUNTIME

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# Refined Greedy Approach

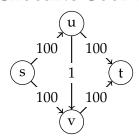
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  - Update flow f by BOTTLENECK $(P, G_f)$  along P.

#### Theorem 3

Suppose all capacities are integers. Then, runtime of O(mC).

- Theorem 2: termination happens in at most *C* iterations.
- Work per iteration: Overall: O(m)
  - Find an augmenting path: BFS or DFS: O(m + n).
  - ② Update flow along path P: O(n).
  - **6** Build new  $G_f$ : O(m).

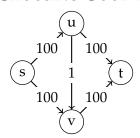
# Choosing Good Augmenting Paths



# Idea

• Choose paths with large bottlenecks.

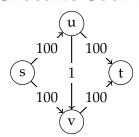
# Choosing Good Augmenting Paths



#### Ídea

- Choose paths with large bottlenecks.
- Let  $G_f(\Delta)$  be a residual graph with edges of residual capacity  $> \Delta$ .

# CHOOSING GOOD AUGMENTING PATHS



# Idea

- Choose paths with large bottlenecks.
- Let  $G_f(\Delta)$  be a residual graph with edges of residual capacity  $\geq \Delta$ .

#### Scaled Version

- Initialize f(e) = 0 for all edges.
- Initialize  $\Delta := \max_i (2^i)$  such that  $2^i \leq \max_{e \text{ out of } s} (c_e)$ .
- While  $\Delta \geq 1$ :
  - While  $G_f(\Delta)$  contains an augmenting path P:
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  - Set  $\Delta := \Delta/2$ .

#### ANALYZING THE SCALED VERSION

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#### Termination

- As before, inner loop always terminates.
- Outer loop advances to 1.

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#### Advancement

- As before, inner loop always improves the flow.
- Since last outer iteration has  $\Delta = 1$ , this returns the same max-flow value as the non-scaled version.

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#### Runtime

• Number of scaling phases: TH11.

# Analyzing the Scaled Version

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• Number of scaling phases:  $1 + \lceil \lg C \rceil$ .

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- Number of augmenting phases per scaling phases: .

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- Number of augmenting phases per scaling phases: O(m).
- Cost per augmentation: TH13.

## ANALYZING THE SCALED VERSION

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- Overall:  $O(m^2 \log C)$ .

NETWORK FLOW MIN-CUT BIPARTITE EDGE-DISJOINT EXTENSIONS SURVEYS FLIGHTS PROJECTS BASEBAL

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# TopHat 14: Is this polynomial?

NETWORK FLOW MIN-CUT BIPARTITE EDGE-DISJOINT EXTENSIONS SURVEYS FLIGHTS PROJECTS BASEBAL

# Analyzing the Scaled Version

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- Overall:  $O(m^2 \log C)$ .

TopHat 14: Is this polynomial? Yes, because  $\lceil \log C \rceil$  is the # of bits needed to encode C.

# STRONGLY POLYNOMIAL

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- Polynomial in the dimensions of the problem, not in the size of the numerical data.
- *m* and *n* for max-flow.

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- Dinitz 1970

# Other Variations

- Dinitz 1970:  $O\left(\min\left\{n^{\frac{2}{3}}, m^{\frac{1}{2}}\right\} m\right)$ .
- Preflow-Push 1974/1986:  $O(n^3)$ .
- Best: Orlin 2013: *O*(*mn*)

# MINIMUM CUT

#### Recall Cut

- A Cut: Partition of *V* into sets (A, B) with  $s \in A$  and  $t \in B$ .
- Cut capacity:  $c(A, B) = \sum_{e \text{ out of } A} c_e$ .

#### Recall Cut

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#### Lemma 4

Let f be any s - t flow and (A, B) be any s - t cut. Then,

$$v(f) = f^{out}(A) - f^{in}(A) = f^{in}(B) - f^{out}(B)$$
.

#### Lemma 4

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 flow and  $(A,B)$  be any  $s-t$  cut. Then,
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#### Proof.

• By definition,  $f^{\text{out}}(A) = f^{\text{in}}(B)$  and  $f^{\text{in}}(A) = f^{\text{out}}(B)$ .

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- By definition,  $v(f) = f^{\text{out}}(s)$   $= f^{\text{out}}(s) - f^{\text{in}}(s)$  $= \sum_{s} (f^{\text{out}}(v) - f^{\text{in}}(v))$
- Last line follows since  $\sum_{v \in A \setminus \{s\}} (f^{\text{out}}(v) f^{\text{in}}(v)) = 0$ .

$$\sum_{v \in A} \left( f^{\text{out}}(v) - f^{\text{in}}(v) \right) = \sum_{e \text{ out of } A} f(e) - \sum_{e \text{ into } A} f(e) = f^{\text{out}}(A) - f^{\text{in}}(A)$$

# Max-Flow and Min-Cut

#### Lemma 4

Let f be any s-t flow and (A,B) be any s-t cut. Then,  $v(f)=f^{out}(A)-f^{in}(A)=f^{in}(B)-f^{out}(B)\;.$ 

#### Lemma 5

Let f be any s - t flow and (A, B) be any s - t cut. Then,  $v(f) \le c(A, B)$ .

# Max-Flow and Min-Cut

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## Proof.

$$v(f) = f^{\text{out}}(A) - f^{\text{in}}(A) \le f^{\text{out}}(A) = \sum_{e \text{ out of } A} f(e)$$
$$\le \sum_{e \text{ out of } A} c_e = c(A, B)$$

# Max-Flow equals Min-Cut

#### Theorem 6

If f is a s-t flow such that there is no s-t path in  $G_f$ , then there is an s-t cut  $(A^*,B^*)$  in G for which  $v(f)=c(A^*,B^*)$ .

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- $(A^*, B^*)$  is an s t cut:
  - Partition of V
  - $s \in A^*$  and  $t \in B^*$

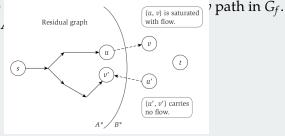
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- Consider e = (u, v): Claim  $f(e) = c_e$ .
  - If not, then s v path in  $G_f$  which contradicts definition of A\* and B\*.

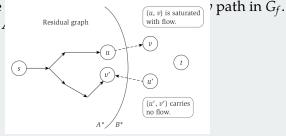
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### Proof.

- Let  $A^*$  be the set of nodes for which  $\exists$  an s v path in  $G_f$ . Let  $B^* = V \setminus A^*$ .
- Consider e = (u, v): Claim  $f(e) = c_e$ .
- Consider e = (u', v'): Claim f(e) = 0.
- Therefore,

$$v(f) = f^{\text{out}}(A^*) - f^{\text{in}}(A^*)$$
$$= \sum_{e \text{ out } A^*} c_e - 0$$
$$= c(A^*, B^*)$$

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# Corollary 7

Let f be flow from  $G_f$  with no s-t path. Then,  $v(f)=c(A^*,B^*)$  for minimum cut  $(A^*,B^*)$ .

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- By way of contradiction, assume  $c(A, B) < c(A^*, B^*)$ . This implies that c(A, B) < v(f) which contradicts Lemma 5.

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# Corollary 8

Ford-Fulkerson method produces the maximum flow since it terminate when residual graph has no s-t paths.

# FINDING THE MIN-CUT

## Theorem 9

Given a maximum flow f, an s-t cut of minimum capacity can be found in O(m) time.

# FINDING THE MIN-CUT

## Theorem 9

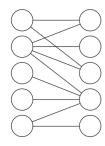
Given a maximum flow f, an s-t cut of minimum capacity can be found in O(m) time.

#### Proof.

- Construct residual graph  $G_f$  (O(m) time).
- BFS or DFS from *s* to determine  $A^*$  (O(m + n) time).
- $B^* = V \setminus A^*$  (O(n) time).

# BIPARTITE MATCHING

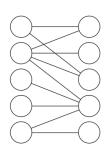
## BIPARTITE MATCHING PROBLEM



## Definition

- Bipartite Graph  $G = (V = X \cup Y, E)$ .
- All edges go between *X* and *Y*.
- Matching:  $M \subseteq E$  s.t. a node appears in only one edge.
- Goal: Find largest matching (cardinality).

## BIPARTITE MATCHING PROBLEM



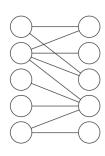
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### Reduction to Max-Flow Problem

- Goal: Create a flow network based on the the original problem.
- The solution to the flow network must correspond to the original problem.
- The reduction should be efficient.

## BIPARTITE MATCHING PROBLEM



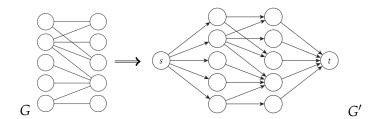
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#### Reduction to Max-Flow Problem

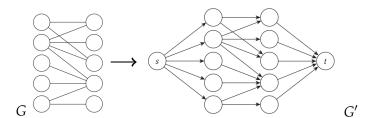
- How can the problem be encoded in a graph?
- Source/sink: Are they naturally in the graph encoding, or do additional nodes and edges have to be added?
- For each edge: What is the direction? Is it bi-directional? What is the capacity?

# BIPARTITE MATCHING TO FLOW NETWORK



- Add source connected to all *X*.
- Add sink connected to all Y.
- Original edges go from *X* to *Y*.
- Capacity of all edges is 1.

## BIPARTITE MATCHING TO FLOW NETWORK



## Theorem 10

 $|M^*|$  in G is equal to the max-flow of G', and the edges carrying the flow correspond to the edges in the maximum matching.

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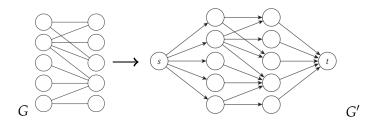
 $|M^*|$  in G is equal to the max-flow of G', and the edges carrying the flow correspond to the edges in the maximum matching.

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- s can send at most 1 unit of flow to each node in X.
- Since  $f^{\text{in}} = f^{\text{out}}$  for internal nodes, Y nodes can have at most 1 flow from 1 node in X.



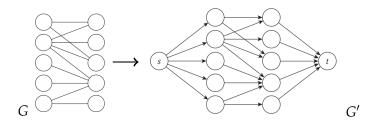
# BIPARTITE MATCHING TO FLOW NETWORK



## Runtime

• Assume n = |X| = |Y|, m = |E|.

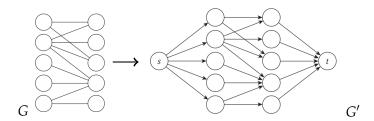
# BIPARTITE MATCHING TO FLOW NETWORK



# Runtime

- Assume n = |X| = |Y|, m = |E|.
- Overall: TH15.

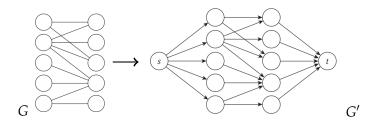
# BIPARTITE MATCHING TO FLOW NETWORK



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- Overall: O(mn).

## BIPARTITE MATCHING TO FLOW NETWORK



## Runtime

- Assume n = |X| = |Y|, m = |E|.
- Overall: O(mn).
- Basic FF method bound: O(mC), where  $C = f^{\text{out}}(S) \le n$ .

# **EDGE-DISJOINT PATHS**

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#### Problem

Given a graph G = (V, E) and two distinguished nodes s and t, find the number of edge-disjoint paths from s to t.

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  - *s* is the source and *t* is the sink.
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## <u>Flo</u>w Network

- Directed Graph:
  - *s* is the source and *t* is the sink.
  - Add capacity of 1 to every edge.
- Undirected Graph:

# Edge-Disjoint Paths

#### Problem

Given a graph G = (V, E) and two distinguished nodes s and t, find the number of edge-disjoint paths from s to t.

#### Flow Network

- Directed Graph:
  - *s* is the source and *t* is the sink.
  - Add capacity of 1 to every edge.
- Undirected Graph:
  - For each undirected edge (u, v), convert to 2 directed edges (u, v) and (v, u).
  - Apply directed graph transformation.

# EDGE-DISJOINT PATHS ANALYSIS

# Observation 3

If there are k edge-disjoint paths in G from s-t, then the max-flow is k in G'.

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# Path Decomposition

- Let *f* be a max-flow for this problem. How can we recover the *k* edge-disjoint paths?
- DFS from *s* in *f* along edges *e*, where f(e) = 1:
  - Find a simple path P from s to t: set flow to 0 along P; continue DFS from s.
  - 2 Find a path *P* with a cycle *C* before reaching *t*: set flow to 0 along *C*; continue DFS from start of cycle.

## Node Demand and Lower Bounds

## FLOW NETWORK EXTENSION

Adding Node Demand

#### Flow Network with Demand

- Each node has a demand  $d_v$ :
  - if  $d_v < 0$ : a source that demands  $f^{in}(v) f^{out}(v) = d_v$ .
  - if  $d_v = 0$ : internal node  $(f^{in}(v) f^{out}(v) = 0)$ .
  - if  $d_v > 0$ : a sink that demands  $f^{\text{in}}(v) f^{\text{out}}(v) = d_v$ .
- *S* is the set of sources  $(d_v < 0)$ .
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#### Flow Conditions

- Capacity: For each  $e \in E$ ,  $0 \le f(e) \le c_e$ .
- **6** Conservation: For each  $v \in V$ ,  $f^{\text{in}}(v) f^{\text{out}}(v) = d_v$ .

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- For every edge e = (u, v),  $f_e^{\text{out}}(u) = f_e^{\text{in}}(v)$ . Hence,  $f_e^{\text{in}}(v) f_e^{\text{out}}(u) = 0$ .

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## Corollary 11

If there is a feasible flow, then

$$D = \sum_{v:d_{v}>0 \in V} d_{v} = \sum_{v:d_{v}<0 \in V} -d_{v}$$

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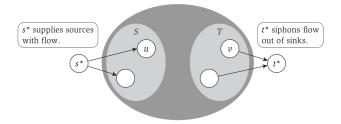
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## Not iff

Feasibility  $\implies \sum_{v \in V} d_v = 0$ , but  $\sum_{v \in V} d_v = 0 \implies$  feasibility.

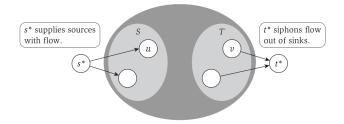
## REDUCTION TO MAX-FLOW



## Reduction from G (demands) to G' (no demands)

• Super source  $s^*$ : Edges from  $s^*$  to all  $v \in S$  with  $d_V < 0$  with capacity  $-d_v$ .

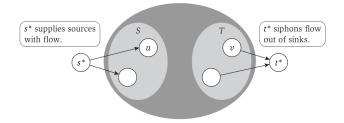
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- Maximum flow of  $D = \sum_{v:d_v>0 \in V} d_v = \sum_{v:d_v<0 \in V} -d_v$  in G' shows feasibility.

#### Another Flow Network Extension

ADDING FLOW LOWER BOUND

## Adding Lower Bound

• For each edge e, define a lower bound  $\ell_e$ , where  $0 \le \ell_e \le c_e$ .

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- **1** Conservation: For each  $v \in V$ ,  $f^{\text{in}}(v) f^{\text{out}}(v) = d_v$ .

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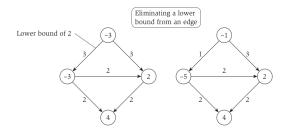
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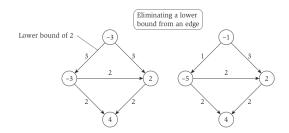
#### REDUCTION TO ONLY DEMAND



## Step 1: Reduction from G (demand + LB) to G' (demand)

- Consider an  $f_0$  that sets all edge flows to  $\ell_e$ :  $L_v = f_0^{\text{in}}(v) - f_0^{\text{out}}(v)$ .
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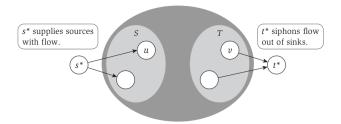
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- if  $L_v \neq d_v$ : Imbalance.
- For *G*′:
  - Each edge e,  $c'_e = c_e \ell_e$  and  $\ell_e = 0$ .
  - Each node v,  $d'_v = d_v L_v$ .

#### REDUCTION TO ONLY DEMAND



## Step 2: Reduction from G' (demand) to G'' (no demand)

- Super source  $s^*$ : Edges from  $s^*$  to all  $v \in S$  with  $d_V < 0$  with capacity  $-d_v$ .
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# Survey Design

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#### **Problem**

- Study of consumer preferences.
- A company, with k products, has a database of n customer purchase histories.
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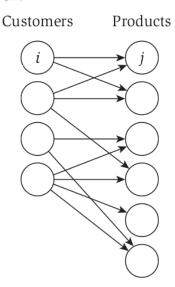


TopHat 16: What type of graph to use?

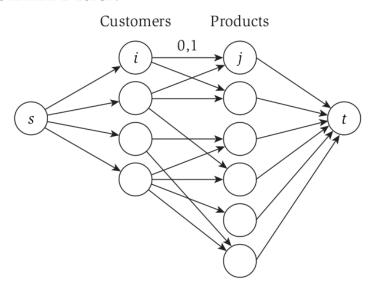
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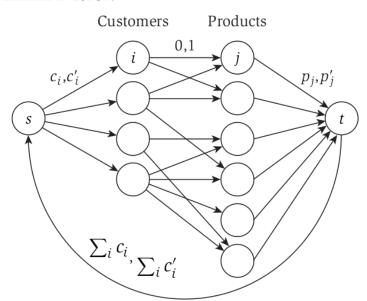
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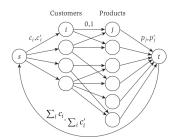
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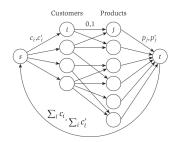
## ALGORITHM DESIGN



## Reduction

- Bipartite Graph: Customers to products with min of 0 and max of 1.
- Add s with edges to customer i with min of  $c_i$  and max of  $c'_i$ .
- Add t with edges from product j with min  $p_j$  and max of  $p'_i$ .
- Edge (t, s) with min  $\sum_i c_i$  and max  $\sum_i c'_i$ .
- All nodes have a demand of 0.

#### ALGORITHM DESIGN



#### Solution

- Feasibility means it is possible to meet the constraints.
- Edge (i, j) carries flow if customer i asked about product j.
- Flow (t, s) overall # of questions.
- Flow (s, i) # of products evaluated by customer i.
- Flow (j, t) # of customers asked about product j.

## AIRLINE SCHEDULING

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Flights: (2 airplanes)

- Boston (6 am) Washington DC (7 am)
- Philadelphia (7 am) Pittsburgh (8 am)
- Washington DC (8 am) Los Angeles (11 am)
- Philadelphia (11 am) San Francisco (2 pm)
- San Francisco (2:15 pm) Seattle (3:15 pm)
- **1** Las Vegas (5 pm) Seattle (6 pm)

## Simple Version

- Scheduling a fleet of *k* airplanes.
- *m* flight segments, for segment *i*:
  - Origin and departure time.
  - Destination and arrival time.

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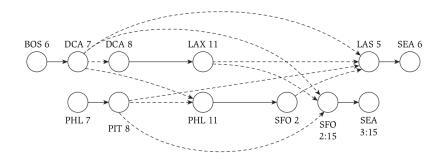
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How might you represent this as a graph?

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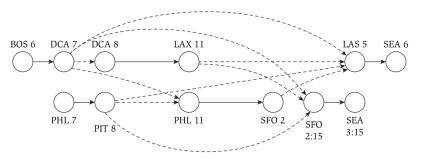


k = 2 planes

Exercise: Reduce to a flow network

Hint: Use lower bounds and demand.

#### ALGORITHM DESIGN



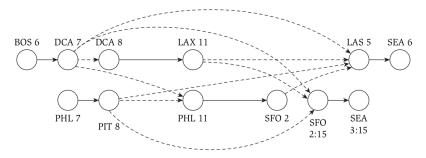
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- TH17: Are s-t new nodes?
- TH18: What is the max capacity of the edges from *G*?

## ALGORITHM DESIGN

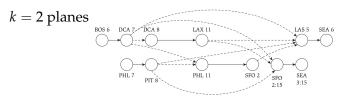


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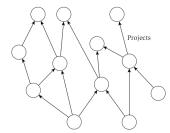
- TH19: In the example, how many edges out from s?
- TH20: In the example, how many edges in to *t*?



- Units of flow correspond to airplanes.
- Each edge of a flight has capacity (1, 1).
- Each edge between flights has capacity of (0, 1).
- Add node s with edges to all origins with capacity of (0,1).
- Add node t with edges from all destinations with cap (0,1).
- Edge (s, t) with a min of 0 and a max of k.
- Demand:  $d_s = -k, d_t = k, d_v = 0 \forall v \in V \setminus \{s, t\}.$

# Project Selection

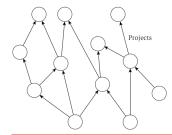
# PROJECT SELECTION



## Problem

- Set of projects: *P*.
- Each  $i \in P$ : profit  $p_i$  (which can be negative).
- Directed graph *G* encoding precedence constraints.
- Feasible set of projects *A*: PROFIT(*A*) =  $\sum_{i \in A} p_i$ .
- Goal: Find *A*\* that maximizes profit.

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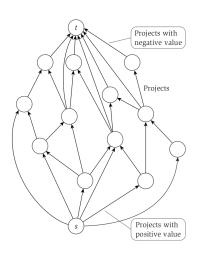


Use Min-Cut to solve this problem.

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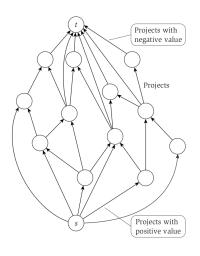
## ALGORITHM DESIGN



# Reduction

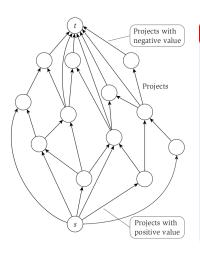
• Use Min-Cut

## ALGORITHM DESIGN



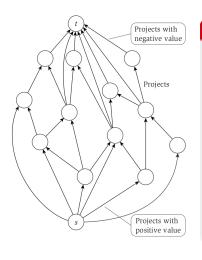
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- Add s with edge to every project i with  $p_i > 0$  and capacity  $p_i$ .

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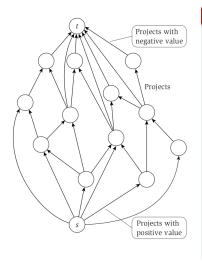
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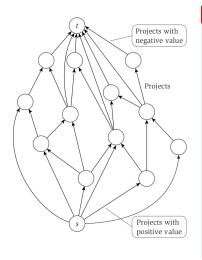
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- $C = \sum_{i \in P: p_i > 0} p_i$

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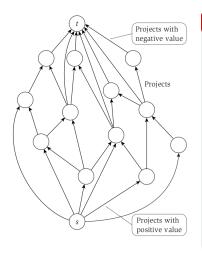
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- $C = \sum_{i \in P: p_i > 0} p_i$ TH21: What is the capacity of the cut  $(\{s\}, P \cup \{t\})$ ?

## ALGORITHM DESIGN



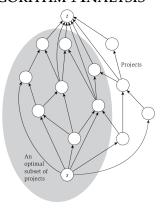
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- Max-flow is  $\leq C = \sum_{i \in P: p_i > 0} p_i$  which is the capacity  $(\{s\}, P \cup \{t\})$

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- Max-flow is  $\leq C = \sum_{i \in P: p_i > 0} p_i$ .
- For edges of G, capacity is  $\infty$  (or C + 1).

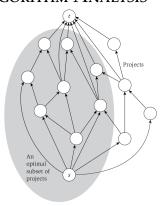
# ALGORITHM ANALYSIS



#### Observation 4

If  $c(A', B') \leq C$ , then  $A = A' \setminus \{s\}$  satisfies precedence as edges of G have capacity > C.

# ALGORITHM ANALYSIS



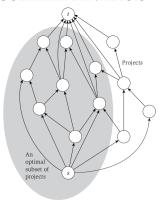
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#### Lemma 12

Let (A', B') be a cut satisfies precedence; then  $c(A', B') = C - \sum_{i \in A} p_i$ .

## ALGORITHM ANALYSIS



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If  $c(A', B') \leq C$ , then  $A = A' \setminus \{s\}$  satisfies precedence as edges of G have capacity > C.

#### Lemma 12

Let (A', B') be a cut satisfies precedence; then  $c(A', B') = C - \sum_{i \in A} p_i$ .

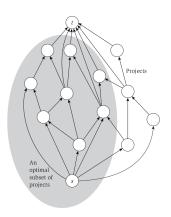
#### Proof.

Consider the different edges:

• (i, t):  $-p_i$  for  $i \in A$ .

- (s,i):  $p_i$  for  $i \notin A$ .
- $c(A', B') = \sum_{i \in A: p_i < 0} -p_i + C \sum_{i \in A: p_i > 0} p_i = C \sum_{i \in A} p_i$

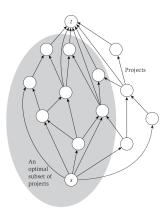
## ALGORITHM ANALYSIS



## Theorem 12

If (A', B') is a min-cut in G', then  $A = A' \setminus \{s\}$  is an optimal solution.

## ALGORITHM ANALYSIS



#### Theorem 12

If (A', B') is a min-cut in G', then  $A = A' \setminus \{s\}$  is an optimal solution.

#### Proof.

• Obs:  $c(A', B') = C - \sum_{i \in A} p_i$  means feasible.

$$c(A', B') = C - \text{Profit}(A)$$

$$\iff$$
 profit $(A) = C - c(A', B')$ 

 Given that c(A', B') is a minimum, profit is maximized as C is a constant.

	Wins	Games Left
New York	92	NYY vs TOR
Toronto	91	TOR vs BAL
Baltimore	91	BAL vs BOS
Boston	90	BOS vs TOR
		NYY vs BAL

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TH22: Is Boston Eliminated?

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New York	92	NYY vs TOR
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TH22: Is Boston Eliminated? Yes.

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Boston	90	BOS vs TOR
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## Why is Boston eliminated?

## Case analysis:

• Boston must win its 2 remaining games.

Wins	Games Left
New York 92	NYY vs TOR
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Baltimore 91	BAL vs BOS
Boston 90	BOS vs TOR
	NYY vs BAL

# Why is Boston eliminated?

## Case analysis:

- Boston must win its 2 remaining games.
- New York must lose its 2 remaining games.

#### BASEBALL ELIMINATION

Wins	Games Left
New York 92	NYY vs TOR
Toronto 91	TOR vs BAL
Baltimore 91	BAL vs BOS
Boston 90	BOS vs TOR
	NYY vs BAL

# Why is Boston eliminated?

#### Case analysis:

- Boston must win its 2 remaining games.
- New York must lose its 2 remaining games.
- This leaves TOR vs BAL: So one of Toronto or Baltimore will end with 93 wins.

	Wins	Games Left
New York	92	NYY vs TOR
Toronto	91	TOR vs BAL
Baltimore	91	BAL vs BOS
Boston	90	BOS vs TOR
		NYY vs BAL

# Why is Boston eliminated?

Analytical approach:

• Boston can finish with  $\leq$  92 wins.

#### BASEBALL ELIMINATION

	Wins	Games Left
New York	92	NYY vs TOR
Toronto	91	TOR vs BAL
Baltimore	91	BAL vs BOS
Boston	90	BOS vs TOR
		NYY vs BAL

# Why is Boston eliminated?

Analytical approach:

- Boston can finish with < 92 wins.
- Currently, other 3 teams have 274 combined wins with 3 remaining games between them:

#### BASEBALL ELIMINATION

	Wins	Games Left
New York	92	NYY vs TOR
Toronto	91	TOR vs BAL
Baltimore	91	BAL vs BOS
Boston	90	BOS vs TOR
		NYY vs BAL

# Why is Boston eliminated?

Analytical approach:

- Boston can finish with < 92 wins.
- Currently, other 3 teams have 274 combined wins with 3 remaining games between them:
  - Overall, at the end, there will be 277 combined wins between the other 3 teams.

#### BASEBALL ELIMINATION

	Wins	Games Left
New York	92	NYY vs TOR
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# Why is Boston eliminated?

Analytical approach:

- Boston can finish with < 92 wins.
- Currently, other 3 teams have 274 combined wins with 3 remaining games between them:
  - Overall, at the end, there will be 277 combined wins between the other 3 teams.
  - Average of 92 1/3 wins which implies that one team will have at least  $92 1/3 \implies 93$  wins.

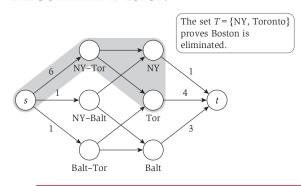
## BASEBALL ELIMINATION



## Problem

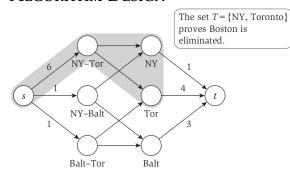
- A set *S* of teams.
- For each team  $x \in S$ :  $w_x$  is the # of wins.
- For each pair  $x, y \in S$ :  $g_{xy}$  is # of games left btw x and y.
- Goal: Decide if team z has been eliminated.

## ALGORITHM DESIGN



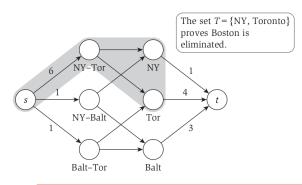
Let m be the max # of wins for z,  $S' = S \setminus \{z\}$ , and  $g^* = \sum_{x,y \in S'} g_{xy}$ .

- Nodes:
  - Source *s*, sink *t*.
  - $v_x$  for each  $x \in S'$ .
  - $u_{xy}$  for each pair  $x, y \in S'$ .



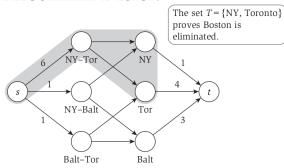
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- Edges:
  - For each  $v_x$ :  $(v_x, t)$  with capacity  $m w_x$ .
  - For each  $u_{xy}$ :
    - $(s, u_{xy})$  with capacity  $g_{xy}$ .
    - $(u_{xy}, v_x)$  and  $(u_{xy}, v_y)$  with capacity  $\infty$  (or  $g_{xy}$ ).



Let m be the max # of wins for z,  $S' = S \setminus \{z\}$ , and  $g^* = \sum_{x,y \in S'} g_{xy}$ .

## Solution

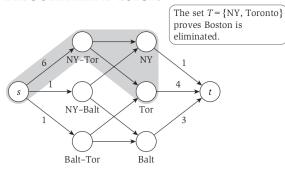


Let m be the max # of wins for z,  $S' = S \setminus \{z\}$ , and  $g^* = \sum_{x,y \in S'} g_{xy}$ .

#### Solution

$$v(f) = g^* = f^{\text{in}}(t) \le \sum_{x \in S'} (m - w_x) = m|S'| - \sum_{x \in S'} w_x$$

$$\iff \sum_{x,y \in S'} g_{xy} \le m|S'| - \sum_{x \in S'} w_x$$

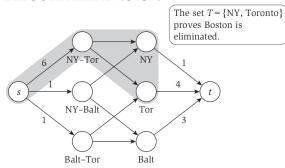


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$$v(f) = g^* = f^{\text{in}}(t) \le \sum_{x \in S'} (m - w_x) = m|S'| - \sum_{x \in S'} w_x$$

$$\iff m|S'| \ge \sum_{x,y \in S'} g_{xy} + \sum_{x \in S'} w_x$$



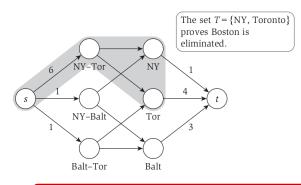
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## Solution

$$v(f) = g^* = f^{\text{in}}(t) \le \sum_{x \in S'} (m - w_x) = m|S'| - \sum_{x \in S'} w_x$$

$$\iff m \ge (\sum_{x,y \in S'} g_{xy} + \sum_{x \in S'} w_x)/|S'|$$

# ALGORITHM DESIGN



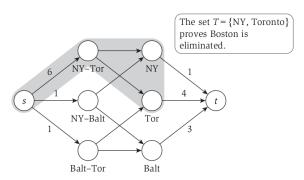
Let m be the max # of wins for z,  $S' = S \setminus \{z\}$ , and  $g^* = \sum_{x,y \in S'} g_{xy}$ .

## Solution

- $v(f) = g^*$ : z is not eliminated.
- $v(f) < g^*$ : z is eliminated.

Jetwork Flow Min-Cut Bipartite Edge-Disjoint Extensions Surveys Flights Projects **Baseball** 

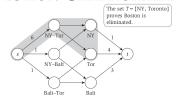
# SOLUTION CHARACTERIZATION



Let m be the max # of wins for z,  $S' = S \setminus \{z\}$ , and  $g^* = \sum_{x,y \in S'} g_{xy}$ .

### Theorem 13

Suppose z has been eliminated. Then, there is a set of items  $T \subseteq S'$  such that:  $m|T| < \sum_{x,y \in T} g_{xy} + \sum_{x \in T} w_x$ 



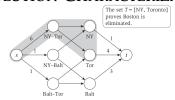
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## Proof.

• Let (A, B) be a min-cut with  $c(A, B) = g' \le \min\{\sum_{x,y \in S'} g_{xy}, \sum_{x \in S'} m - w_x\}.$ 

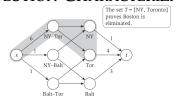


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- Let (A, B) be a min-cut with  $c(A, B) = g' \le \min\{\sum_{x,y \in S'} g_{xy}, \sum_{x \in S'} m w_x\}.$
- Consider a  $u_{xy} \in A, x \in T$ , and  $y \notin T$  (WLOG).
  - Contradiction:  $c_{(u_{xy},y)} = \infty$ .

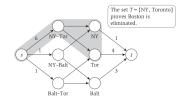


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- Consider a  $u_{xy} \notin A$ , and  $x, y \in T$ .
  - Contradiction:  $c(A \cup \{u_{xy}\}, B \setminus \{u_{xy}\}) = c(A, B) g_{xy}$ .

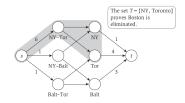


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- $c(A, B) = g' = m|T| \sum_{x \in T} w_x + \sum_{x,y \notin T} g_{xy}$

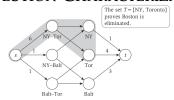


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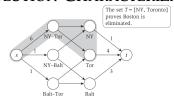


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- $c(A, B) = g' = m|T| \sum_{x \in T} w_x + g^* \sum_{x,y \in T} g_{xy}$  $\iff 0 > m|T| - \sum_{x \in T} w_x - \sum_{x,y \in T} g_{xy} \text{ as } g' < g^*$



Let m be the max # of wins for z,  $S' = S \setminus \{z\}$ , and  $g^* = \sum_{x,y \in S'} g_{xy}$ .

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- $c(A,B) = g' = m|T| \sum_{x \in T} w_x + g^* \sum_{x,y \in T} g_{xy}$  $\iff m|T| < \sum_{x \in T} w_x + \sum_{x,y \in T} g_{xy}$

Appendix References

# Appendix

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# REFERENCES

PPENDIX REFERENCES

# Image Sources I



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# IMAGE SOURCES II



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