Convolutional neural networks

In this notebook, we'll put together our convolutional layers to implement a 3-layer CNN. Then, we'll ask you to implement a CNN that can achieve > 65% validation error on CIFAR-10.

CS231n has built a solid API for building these modular frameworks and training them, and we will use their very well implemented framework as opposed to "reinventing the wheel." This includes using their Solver, various utility functions, their layer structure, and their implementation of fast CNN layers. This also includes nndl.fc_net, nndl.layers, and nndl.layer_utils. As in prior assignments, we thank Serena Yeung & Justin Johnson for permission to use code written for the CS 231n class (cs231n.stanford.edu).

If you have not completed the Spatial BatchNorm Notebook, please see the following description from that notebook:

Please copy and paste your prior implemented code from HW #4 to start this assignment. If you did not correctly implement the layers in HW #4, you may collaborate with a classmate to use their layer implementations from HW #4. You may also visit TA or Prof OH to correct your implementation.

You'll want to copy and paste from HW #4: - layers.py for your FC network layers, as well as batchnorm and dropout. - layer_utils.py for your combined FC network layers. - optim.py for your optimizers.

Be sure to place these in the nnd1/ directory so they're imported correctly. Note, as announced in class, we will not be releasing our solutions.

```
import numpy as np
import matplotlib.pyplot as plt
from nndl.cnn import *
from utils.data_utils import eval_numerical_gradient_array, eval_numerical_gradient
from nndl.layers import *
from nndl.conv_layers import *
from utils.fast_layers import *
```

```
from utils.solver import Solver
        %matplotlib inline
        plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
        plt.rcParams['image.interpolation'] = 'nearest'
        plt.rcParams['image.cmap'] = 'gray'
        # for auto-reloading external modules
        # see http://stackoverflow.com/questions/1907993/autoreload-of-modules-in-ipython
        %load ext autoreload
        %autoreload 2
        def rel error(x, y):
          """ returns relative error """
          return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))))
In [2]: # Load the (preprocessed) CIFAR10 data.
        data = get CIFAR10 data()
        for k in data.keys():
          print('{}: {} '.format(k, data[k].shape))
       X train: (49000, 3, 32, 32)
       y train: (49000,)
       X_val: (1000, 3, 32, 32)
       y val: (1000,)
       X test: (1000, 3, 32, 32)
       y test: (1000,)
```

Three layer CNN

In this notebook, you will implement a three layer CNN. The ThreeLayerConvNet class is in nnd1/cnn.py. You'll need to modify that code for this section, including the initialization, as well as the calculation of the loss and gradients. You should be able to use the building blocks you have either earlier coded or that we have provided. Be sure to use the fast layers.

The architecture of this CNN will be:

conv - relu - 2x2 max pool - affine - relu - affine - softmax

We won't use batchnorm yet. You've also done enough of these to know how to debug; use the cells below.

Note: As we are implementing several layers CNN networks. The gradient error can be expected for the eval_numerical_gradient() function. If your W1 max relative error and W2 max relative error are around or below 0.01, they should be acceptable. Other errors should be less than 1e-5.

```
In [3]: num inputs = 2
        input dim = (3, 16, 16)
        reg = 0.0
        num classes = 10
        X = np.random.randn(num inputs, *input dim)
        y = np.random.randint(num classes, size=num inputs)
        model = ThreeLayerConvNet(num filters=3, filter size=3,
                                  input dim=input dim, hidden dim=7,
                                  dtype=np.float64)
        loss, grads = model.loss(X, y)
        for param name in sorted(grads):
            f = lambda : model.loss(X, y)[0]
            param grad num = eval numerical gradient(f, model.params[param name], verbose=False, h=1e-6)
            e = rel error(param grad num, grads[param name])
            print('{{} max relative error: {}'.format(param name, rel error(param grad num, grads[param name])))
       W1 max relative error: 6.429047084857119e-05
       W2 max relative error: 0.02113108389377925
       W3 max relative error: 0.00015875456098393367
       b1 max relative error: 9.579599500253946e-06
       b2 max relative error: 2.801110792370736e-07
       b3 max relative error: 1.1270610452497654e-09
```

Overfit small dataset

To check your CNN implementation, let's overfit a small dataset.

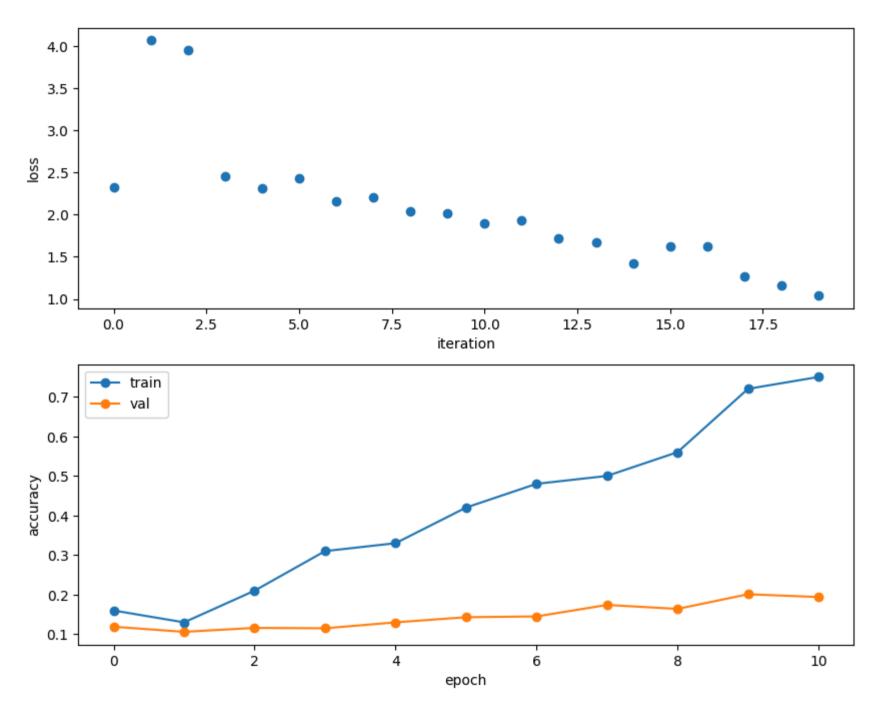
```
In [4]: num_train = 100
small_data = {
    'X_train': data['X_train'][:num_train],
    'y_train': data['y_train'][:num_train],
```

```
(Iteration 1 / 20) loss: 2.319779
       (Epoch 0 / 10) train acc: 0.160000; val acc: 0.119000
       (Iteration 2 / 20) loss: 4.063094
       (Epoch 1 / 10) train acc: 0.130000; val acc: 0.106000
       (Iteration 3 / 20) loss: 3.955578
       (Iteration 4 / 20) loss: 2.455073
       (Epoch 2 / 10) train acc: 0.210000; val acc: 0.116000
       (Iteration 5 / 20) loss: 2.311101
       (Iteration 6 / 20) loss: 2.434196
       (Epoch 3 / 10) train acc: 0.310000; val acc: 0.115000
       (Iteration 7 / 20) loss: 2.155987
       (Iteration 8 / 20) loss: 2.199559
       (Epoch 4 / 10) train acc: 0.330000; val acc: 0.130000
       (Iteration 9 / 20) loss: 2.043093
       (Iteration 10 / 20) loss: 2.012471
       (Epoch 5 / 10) train acc: 0.420000; val acc: 0.143000
       (Iteration 11 / 20) loss: 1.899885
       (Iteration 12 / 20) loss: 1.932975
       (Epoch 6 / 10) train acc: 0.480000; val acc: 0.145000
       (Iteration 13 / 20) loss: 1.718187
       (Iteration 14 / 20) loss: 1.674054
       (Epoch 7 / 10) train acc: 0.500000; val acc: 0.174000
       (Iteration 15 / 20) loss: 1.426449
       (Iteration 16 / 20) loss: 1.623057
       (Epoch 8 / 10) train acc: 0.560000; val acc: 0.164000
       (Iteration 17 / 20) loss: 1.624247
       (Iteration 18 / 20) loss: 1.269783
       (Epoch 9 / 10) train acc: 0.720000; val acc: 0.201000
       (Iteration 19 / 20) loss: 1.157586
       (Iteration 20 / 20) loss: 1.042304
       (Epoch 10 / 10) train acc: 0.750000; val acc: 0.194000
In [5]: plt.subplot(2, 1, 1)
        plt.plot(solver.loss history, 'o')
        plt.xlabel('iteration')
        plt.ylabel('loss')
        plt.subplot(2, 1, 2)
        plt.plot(solver.train acc history, '-o')
        plt.plot(solver.val acc history, '-o')
        plt.legend(['train', 'val'], loc='upper left')
```

CNN

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```
plt.xlabel('epoch')
plt.ylabel('accuracy')
plt.show()
```



Train the network

Now we train the 3 layer CNN on CIFAR-10 and assess its accuracy.

```
(Iteration 1 / 980) loss: 2.305011
(Epoch 0 / 1) train acc: 0.093000; val acc: 0.098000
(Iteration 21 / 980) loss: 2.219201
(Iteration 41 / 980) loss: 2.259111
(Iteration 61 / 980) loss: 2.419081
(Iteration 81 / 980) loss: 1.932590
(Iteration 101 / 980) loss: 1.939837
(Iteration 121 / 980) loss: 1.989934
(Iteration 141 / 980) loss: 2.124409
(Iteration 161 / 980) loss: 1.779174
(Iteration 181 / 980) loss: 1.970763
(Iteration 201 / 980) loss: 1.722760
(Iteration 221 / 980) loss: 1.636082
(Iteration 241 / 980) loss: 1.949602
(Iteration 261 / 980) loss: 1.934016
(Iteration 281 / 980) loss: 1.616354
(Iteration 301 / 980) loss: 1.633906
(Iteration 321 / 980) loss: 1.822530
(Iteration 341 / 980) loss: 1.838734
(Iteration 361 / 980) loss: 1.721726
(Iteration 381 / 980) loss: 1.880330
(Iteration 401 / 980) loss: 1.348953
(Iteration 421 / 980) loss: 1.598387
(Iteration 441 / 980) loss: 1.599144
(Iteration 461 / 980) loss: 1.889709
(Iteration 481 / 980) loss: 1.546496
(Iteration 501 / 980) loss: 1.657716
(Iteration 521 / 980) loss: 1.378137
(Iteration 541 / 980) loss: 1.314164
(Iteration 561 / 980) loss: 1.480258
(Iteration 581 / 980) loss: 1.916595
(Iteration 601 / 980) loss: 1.886212
(Iteration 621 / 980) loss: 1.694972
(Iteration 641 / 980) loss: 1.661934
(Iteration 661 / 980) loss: 1.416175
(Iteration 681 / 980) loss: 1.535042
(Iteration 701 / 980) loss: 1.843636
(Iteration 721 / 980) loss: 1.430453
(Iteration 741 / 980) loss: 1.428181
(Iteration 761 / 980) loss: 1.773698
(Iteration 781 / 980) loss: 1.633876
```

```
(Iteration 801 / 980) loss: 1.541591

(Iteration 821 / 980) loss: 1.592416

(Iteration 841 / 980) loss: 1.889209

(Iteration 861 / 980) loss: 1.572581

(Iteration 881 / 980) loss: 1.771842

(Iteration 901 / 980) loss: 1.665086

(Iteration 921 / 980) loss: 1.524211

(Iteration 941 / 980) loss: 1.574793

(Iteration 961 / 980) loss: 1.435574

(Epoch 1 / 1) train acc: 0.457000; val_acc: 0.486000
```

Get > 65% validation accuracy on CIFAR-10.

In the last part of the assignment, we'll now ask you to train a CNN to get better than 65% validation accuracy on CIFAR-10.

Things you should try:

- Filter size: Above we used 7x7; but VGGNet and onwards showed stacks of 3x3 filters are good.
- Number of filters: Above we used 32 filters. Do more or fewer do better?
- Batch normalization: Try adding spatial batch normalization after convolution layers and vanilla batch normalization aafter affine layers. Do your networks train faster?
- Network architecture: Can a deeper CNN do better? Consider these architectures:
 - [conv-relu-pool]xN conv relu [affine]xM [softmax or SVM]
 - [conv-relu-pool]XN [affine]XM [softmax or SVM]
 - [conv-relu-conv-relu-pool]xN [affine]xM [softmax or SVM]

Tips for training

For each network architecture that you try, you should tune the learning rate and regularization strength. When doing this there are a couple important things to keep in mind:

• If the parameters are working well, you should see improvement within a few hundred iterations

- Remember the coarse-to-fine approach for hyperparameter tuning: start by testing a large range of hyperparameters for just a few training iterations to find the combinations of parameters that are working at all.
- Once you have found some sets of parameters that seem to work, search more finely around these parameters. You may need to train for more epochs.

```
In [ ]:
In [ ]:
        # YOUR CODE HERE:
           Implement a CNN to achieve greater than 65% validation accuracy
           on CIFAR-10.
       model = ThreeLayerConvNet(
           filter size=3, # decrease filter size
           num filters=70, # increase number of filters
           weight scale=0.01, # increase weight scale
           use_batchnorm=True, # enable batchnorm
           hidden dim=500,
           reg=0.001,
       solver = Solver(
           model,
           data,
           num epochs=5, # increase number of epochs
           batch size=512, # increase batch size
           lr decay=0.9, # add Learning rate decay
           update rule="adam",
           optim_config={
               "learning rate": 1e-3,
           },
           verbose=True,
           print every=20,
       solver.train()
```

```
(Iteration 1 / 475) loss: 2.757300
(Epoch 0 / 5) train acc: 0.143000; val acc: 0.174000
(Iteration 21 / 475) loss: 1.832566
(Iteration 41 / 475) loss: 1.513181
(Iteration 61 / 475) loss: 1.378851
(Iteration 81 / 475) loss: 1.234049
(Epoch 1 / 5) train acc: 0.602000; val acc: 0.576000
(Iteration 101 / 475) loss: 1.286449
(Iteration 121 / 475) loss: 1.138128
(Iteration 141 / 475) loss: 1.164265
(Iteration 161 / 475) loss: 1.091654
(Iteration 181 / 475) loss: 1.038761
(Epoch 2 / 5) train acc: 0.654000; val acc: 0.609000
(Iteration 201 / 475) loss: 1.060784
(Iteration 221 / 475) loss: 1.020408
(Iteration 241 / 475) loss: 0.995669
(Iteration 261 / 475) loss: 0.990324
(Iteration 281 / 475) loss: 0.985784
(Epoch 3 / 5) train acc: 0.762000; val acc: 0.636000
(Iteration 301 / 475) loss: 0.954545
(Iteration 321 / 475) loss: 0.904532
(Iteration 341 / 475) loss: 0.936867
(Iteration 361 / 475) loss: 0.897486
(Epoch 4 / 5) train acc: 0.768000; val acc: 0.647000
(Iteration 381 / 475) loss: 0.875304
(Iteration 401 / 475) loss: 0.790025
(Iteration 421 / 475) loss: 0.837693
(Iteration 441 / 475) loss: 0.829095
(Iteration 461 / 475) loss: 0.803787
(Epoch 5 / 5) train acc: 0.790000; val acc: 0.667000
Validation set accuracy: 0.667
Test set accuracy: 0.654
```

```
In [ ]:
In [ ]:
```

```
In [ ]: | #cnn.py
        import numpy as np
        from nndl.layers import *
        from nndl.conv layers import *
        from utils.fast layers import *
        from nndl.layer utils import *
        from nndl.conv layer utils import *
        import pdb
        This code was originally written for CS 231n at Stanford University
        (cs231n.stanford.edu). It has been modified in various areas for use in the
        ECE 239AS class at UCLA. This includes the descriptions of what code to
        implement as well as some slight potential changes in variable names to be
        consistent with class nomenclature. We thank Justin Johnson & Serena Yeung for
        permission to use this code. To see the original version, please visit
        cs231n.stanford.edu.
        class ThreeLayerConvNet(object):
          A three-layer convolutional network with the following architecture:
          conv - relu - 2x2 max pool - affine - relu - affine - softmax
          The network operates on minibatches of data that have shape (N, C, H, W)
          consisting of N images, each with height H and width W and with C input
          channels.
          0.00
          def init (self, input dim=(3, 32, 32), num filters=32, filter size=7,
                       hidden dim=100, num classes=10, weight scale=1e-3, reg=0.0,
                       dtype=np.float32, use batchnorm=False):
            0.00
            Initialize a new network.
            Inputs:
            - input dim: Tuple (C, H, W) giving size of input data
```

```
- num filters: Number of filters to use in the convolutional layer
- filter size: Size of filters to use in the convolutional layer
- hidden dim: Number of units to use in the fully-connected hidden layer
- num classes: Number of scores to produce from the final affine layer.
- weight scale: Scalar giving standard deviation for random initialization
  of weights.
- reg: Scalar giving L2 regularization strength
- dtype: numpy datatype to use for computation.
self.use batchnorm = use batchnorm
self.params = {}
self.reg = reg
self.dtype = dtype
# YOUR CODE HERE:
  Initialize the weights and biases of a three layer CNN. To initialize:
     - the biases should be initialized to zeros.
     - the weights should be initialized to a matrix with entries
         drawn from a Gaussian distribution with zero mean and
         standard deviation given by weight scale.
C, H, W = input dim
# CNN Layer
stride = 1
pad = (filter size - 1) / 2
self.params["W1"] = np.random.normal(
    0, weight scale, [num filters, C, filter size, filter size]
self.params["b1"] = np.zeros([num filters])
# FC1
h out cnn = (H - filter size + 2 * pad) / stride + 1
w out cnn = (W - filter size + 2 * pad) / stride + 1
h out pooling = int((h out cnn - 2) / 2 + 1)
w out pooling = int((w \text{ out cnn } - 2) / 2 + 1)
self.params["W2"] = np.random.normal(
    0, weight scale, [h out pooling * w out pooling * num filters, hidden dim]
```

```
self.params["b2"] = np.zeros([hidden dim])
 # FC2
 self.params["W3"] = np.random.normal(0, weight scale, [hidden dim, num classes])
 self.params["b3"] = np.zeros([num classes])
 # batch norm Layers
 if self.use batchnorm:
     self.bn params = []
     # CNN
     self.params["gamma1"] = np.ones(num filters)
     self.params["beta1"] = np.zeros(num filters)
     self.bn params.append({"mode": "train"})
     # FC1
     self.params["gamma2"] = np.ones(hidden dim)
     self.params["beta2"] = np.zeros(hidden dim)
     self.bn params.append({"mode": "train"})
 # END YOUR CODE HERE
 for k, v in self.params.items():
   self.params[k] = v.astype(dtype)
def loss(self, X, y=None):
 Evaluate loss and gradient for the three-layer convolutional network.
 Input / output: Same API as TwoLayerNet in fc net.py.
 0.00
 W1, b1 = self.params['W1'], self.params['b1']
 W2, b2 = self.params['W2'], self.params['b2']
 W3, b3 = self.params['W3'], self.params['b3']
 # pass conv param to the forward pass for the convolutional layer
 filter size = W1.shape[2]
 conv param = {'stride': 1, 'pad': (filter size - 1) / 2}
```

```
# pass pool param to the forward pass for the max-pooling layer
pool param = {'pool height': 2, 'pool width': 2, 'stride': 2}
scores = None
# YOUR CODE HERE:
# Implement the forward pass of the three layer CNN. Store the output
  scores as the variable "scores".
if self.use batchnorm:
   # set mode
   mode = "test" if y is None else "train"
   for bn param in self.bn params:
      bn param["mode"] = mode
   # get parameters
   gamma1, gamma2 = self.params["gamma1"], self.params["gamma2"]
   beta1, beta2 = self.params["beta1"], self.params["beta2"]
   bn param1, bn param2 = self.bn params
   # foward CNN and FC1 Layers
   out, cnn cache = conv bn relu pool forward(
      X, W1, b1, conv param, gamma1, beta1, bn param1, pool param
   out, fc1 cache = affine bn relu forward(out, W2, b2, gamma2, beta2, bn param2)
else:
   out, cnn cache = conv relu pool forward(X, W1, b1, conv param, pool param)
   out, fc1 cache = affine relu forward(out, W2, b2)
scores, fc2 cache = affine forward(out, W3, b3)
# END YOUR CODE HERE
if y is None:
 return scores
```

```
loss, grads = 0, \{\}
# YOUR CODE HERE:
# Implement the backward pass of the three layer CNN. Store the grads
# in the grads dictionary, exactly as before (i.e., the gradient of
# self.params[k] will be grads[k]). Store the loss as "loss", and
# don't forget to add regularization on ALL weight matrices.
# compute Loss
loss, dout = softmax_loss(scores, y)
# add regularization loss
for i in range(3):
   W = self.params["W" + str(i + 1)]
   loss += 0.5 * self.reg * (W * W).sum()
# compute gradients
dout, dw3, db3 = affine backward(dout, fc2 cache)
grads["W3"], grads["b3"] = dw3 + self.reg * W3, db3
if self.use batchnorm:
   dout, dw2, db2, dgamma2, dbeta2 = affine bn relu backward(dout, fc1 cache)
   , dw1, db1, dgamma1, dbeta1 = conv bn relu pool backward(dout, cnn cache)
   grads["gamma1"], grads["gamma2"] = dgamma1, dgamma2
   grads["beta1"], grads["beta2"] = dbeta1, dbeta2
else:
   dout, dw2, db2 = affine relu backward(dout, fc1 cache)
   , dw1, db1 = conv relu pool backward(dout, cnn cache)
grads["W2"], grads["b2"] = dw2 + self.reg * W2, db2
grads["W1"], grads["b1"] = dw1 + self.reg * W1, db1
# END YOUR CODE HERE
return loss, grads
```

pass

```
In [ ]: from nndl.layers import *
        from utils.fast layers import *
        from nndl.conv layers import *
        This code was originally written for CS 231n at Stanford University
        (cs231n.stanford.edu). It has been modified in various areas for use in the
        ECE 239AS class at UCLA. This includes the descriptions of what code to
        implement as well as some slight potential changes in variable names to be
        consistent with class nomenclature. We thank Justin Johnson & Serena Yeung for
        permission to use this code. To see the original version, please visit
        cs231n.stanford.edu.
        def conv relu forward(x, w, b, conv param):
          A convenience layer that performs a convolution followed by a ReLU.
          Inputs:
          - x: Input to the convolutional layer
          - w, b, conv param: Weights and parameters for the convolutional layer
          Returns a tuple of:
          - out: Output from the ReLU
          - cache: Object to give to the backward pass
          0.00
          a, conv cache = conv forward fast(x, w, b, conv param)
          out, relu cache = relu forward(a)
          cache = (conv cache, relu cache)
          return out, cache
        def conv relu backward(dout, cache):
          Backward pass for the conv-relu convenience layer.
          conv cache, relu cache = cache
```

```
da = relu backward(dout, relu cache)
 dx, dw, db = conv backward fast(da, conv cache)
  return dx, dw, db
def conv relu pool forward(x, w, b, conv param, pool param):
  Convenience layer that performs a convolution, a ReLU, and a pool.
  Inputs:
  - x: Input to the convolutional layer
  - w, b, conv param: Weights and parameters for the convolutional layer
  - pool param: Parameters for the pooling layer
  Returns a tuple of:
  - out: Output from the pooling layer
  - cache: Object to give to the backward pass
  0.00
 a, conv cache = conv forward fast(x, w, b, conv param)
 s, relu cache = relu forward(a)
 out, pool cache = max pool forward fast(s, pool param)
  cache = (conv cache, relu cache, pool cache)
  return out, cache
def conv relu pool_backward(dout, cache):
  Backward pass for the conv-relu-pool convenience layer
  conv cache, relu cache, pool cache = cache
  ds = max pool backward fast(dout, pool cache)
  da = relu backward(ds, relu cache)
  dx, dw, db = conv backward fast(da, conv cache)
  return dx, dw, db
def conv_bn_relu_pool_forward(x, w, b, conv_param, gamma, beta, bn_param, pool_param):
    Convenience layer that performs a convolution, BN, a ReLU, and a pool.
    Inputs:
    - x: Input to the convolutional layer
```

```
- w, b, conv param: Weights and parameters for the convolutional layer
    - pool param: Parameters for the pooling layer
    Returns a tuple of:
    - out: Output from the pooling layer
    - cache: Object to give to the backward pass
    ....
    a, conv cache = conv forward fast(x, w, b, conv param)
    a bn, bn cache = spatial batchnorm forward(a, gamma, beta, bn param)
   s, relu cache = relu forward(a bn)
    out, pool cache = max pool forward fast(s, pool param)
    cache = (conv cache, bn cache, relu cache, pool cache)
   return out, cache
def conv bn relu pool backward(dout, cache):
    Backward pass for the conv-bn-relu-pool convenience layer
   conv_cache, bn_cache, relu_cache, pool_cache = cache
    ds = max pool backward fast(dout, pool cache)
   da bn = relu backward(ds, relu cache)
   da, dgamma, dbeta = spatial_batchnorm_backward(da_bn, bn_cache)
   dx, dw, db = conv backward fast(da, conv cache)
   return dx, dw, db, dgamma, dbeta
```