

## Pushdown Automata and Context-free Grammars

**Theorem:** The class of languages accepted by PDAs is exactly CFL.

We first show that every CFL is accepted by a PDA

Given a context-free grammar  $G = (V, \Sigma, R, S)$ , we construct a pushdown automaton  $P = (Q, \Sigma, \Gamma, \delta, q_1, F)$  which accepts the language.

Note: we can push a string  $u = u_1u_2...u_k$  onto a stack (from right to left) by having  $k$  states  $q_1, \dots, q_k$  each of which pushes the next symbol on the stack. I.e.,

$$\delta(q_1, a, s) = \{(q_1, u_k)\}$$

$$\delta(q_i, \epsilon, \epsilon) = \{(q_{i+1}, u_{k+1-i})\}, \quad 2 \leq i < k \text{ and}$$

$$\delta(q_k, \epsilon, \epsilon) = \{(r, u_1)\}.$$

We represent this transition in shorthand:  $\delta(q, a, s) = \{(r, u)\}.$

## The Translation

$$Q = \{q_{start}, q_{loop}, q_{accept}\} \cup \{\text{states to implement shorthand}\}$$

$\delta$  is defined as follows ( $A \in V, a \in \Sigma, u \in (V \cup \Sigma)^*$ ):

1.  $\delta(q_{start}, \epsilon, \epsilon) = \{(q_{loop}, S\$)\}$  {Place  $\$$  and  $S$  on the stack}
2.  $\delta(q_{loop}, \epsilon, A) = \{(q_{loop}, u) \mid A \rightarrow u \text{ is a rule of } G\}$  {select a rule with  $A$  on LHS and push RHS onto stack}
3.  $\delta(q_{loop}, a, a) = \{(q_{loop}, \epsilon)\}$ . {match terminal symbol in input to one in rule}
4.  $\delta(q_{loop}, \$) = \{(q_{accept}, \epsilon)\}$  {accept if stack empty and input read}

## Simulating a Leftmost Derivation

Initially,  $S\$$  are on the stack;

At each step, if the top is a nonterminal  $A$ , with rule  $A \rightarrow u$  then  $A$  is popped and  $u$  is pushed.

If the top is a terminal matching the next input symbol, then the top is popped.

The computation mimics a leftmost derivation.

A more formal proof would prove this by induction on the length of the derivation and the length of the string.

## Example 1

$$E \rightarrow E + E$$

$$E \rightarrow E * E$$

$$E \rightarrow (E)$$

$$E \rightarrow \text{id}$$

$$E \rightarrow \text{num}$$

## PDA-recognizable Languages are Context Free

Proof Idea: Make a CFG which generates exactly all the strings that are accepted by the PDA.

First we can preprocess any PDA so that it:

- has a single accept state  $q_{accept}$
- empties its stack before accepting
- either pushes a symbol onto the stack or pops one off, but not both at the same time.

## CFG Construction

For every pair of states  $p.q$ , in the PDA, create a variable  $A_{pq}$  which generates all strings which take  $p$  with empty stack to  $q$  with empty stack

On any input  $x$ , the first move is a push: nothing to pop. The last move is a pop since the stack ends up empty

Either the last symbol popped is the first one pushed, or not

- In the first case, the only time the stack is empty is at the beginning or the end. Add the rule R1:  $A_{pq} \rightarrow aA_{rs}b$  where  $a$  is the symbol scanned on the first step,  $b$  is the symbol scanned on the last step,  $r$  is the state following  $p$  and  $s$  is the state preceding  $q$
- In the second case, add the rule R2:  $A_{pq} \rightarrow A_{pr}A_{rq}$  where  $r$  is some earlier state on the path from  $p$  to  $q$  when first symbol pushed is popped.

## CFG Construction

Suppose  $P = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept})$ . We construct CFG  $G$ .

1. The start symbol is  $A_{q_0, q_{accept}}$ . The variables are  $\{A_{pq} \mid p, q \in Q\}$ .
2. For each  $p, q, r, s \in Q, t \in \Gamma$  and  $a, b \in \Sigma \cup \{\epsilon\}$ ,  
if  $(r, t) \in \delta(p, a, \epsilon)$  and  $(q, \epsilon) \in \delta(s, b, t)$ ,  
then include  $A_{pq} \rightarrow aA_{rs}b$  in  $G$ . (Match on symbol pushed/popped.)
3. For each  $p, q, r \in Q$ , put  $A_{pq} \rightarrow A_{pr}A_{rq}$  in  $G$ .
4. For each  $p \in Q$ , put  $A_{pp} \rightarrow \epsilon$  in  $G$ .

We can now prove (by induction) that  $A_{pq}$  generates string  $x$  iff  $x$  can bring  $P$  from state  $p$  to state  $q$ , leaving the stack unchanged.