

## Question 1 :

Consider a relation  $R(A,B,C,D,E,G,H,I,J)$  and its FD set  $F = \{A \rightarrow DE, B \rightarrow GI, E \rightarrow CD, CE \rightarrow ADH, H \rightarrow G, AH \rightarrow I\}$ .

1) Check if  $A \rightarrow I \in F^+$ . (3 marks)

During the process of scan in F:

$$A^+ = \{A\}$$

$$A^+ = \{A, D, E\}$$

$$A^+ = \{A, D, E, C, H\}$$

$$A^+ = \{A, D, E, C, H, G, I\}$$

$$\rightarrow A \rightarrow I \rightarrow A \rightarrow I \in F^+$$

2) Find a candidate key for  $R$ . (3 marks)

Firstly, we let  $X = \{A, B, C, E, H, J\}$  is a super key because these attributes(  $A, B, C, E, H$  ) appear in the left hand side of  $F$  and attribute  $J$  does not appear in both sides of  $F$ .

Try to remove :  $A$

$$\{B, C, E, H, J\}^+ = \{A, B, C, D, E, G, H, I, J\} = R;$$

Try to remove :  $B$

$$\{C, E, H, J\}^+ = \{A, C, D, E, G, H, I, J\} \neq R;$$

$\therefore$  we cannot remove  $B$ .

Try to remove :  $C$

$$\{B, E, H, J\}^+ = \{A, B, C, D, E, G, H, I, J\} = R;$$

Try to remove : E

$$\{B, H, J\}^+ = \{B, G, H, I, J\} \neq R;$$

$\therefore$  we cannot remove E.

Try to remove : H

$$\{B, E, J\}^+ = \{A, B, C, D, E, G, H, I, J\} = R;$$

Try to remove : J

J is an attribute that does not appear in any side of F, so J cannot be removed.

Finally, we find a candidate key :  $\{B, E, J\}$

**3) Determine the highest normal form of R with respect to F. Justify your answer.**

**(3 marks)**

The highest normal form of R is the 1NF.

Justify process :

$$\because E \rightarrow CD \therefore E \rightarrow C \text{ and } E \rightarrow D;$$

$\therefore$  attribute D is not part of a candidate key, so D is non-prime.

This is not 2NF since  $E \rightarrow D$ , attribute C is not prime, and  $\{B, E, J\}$  is a key, making attribute D partially dependent on a key.

**4) Find a minimal cover  $F_m$  for F. (3 marks)**

**Step1 :**

$$F' = \{A \rightarrow D, A \rightarrow E, B \rightarrow G, B \rightarrow I, E \rightarrow C, E \rightarrow D, CE \rightarrow A, CE \rightarrow D, CE \rightarrow H, H \rightarrow G,$$

$AH \rightarrow I$  }

**Step2 :**

For  $CE \rightarrow A$  :

We know  $C^+ = \{ C \}$ , and  $E^+ = \{ C, D, E, A, H, G, I \}$

So  $E \rightarrow A$  can be inferred by  $F'$ ;

Same reason for  $E \rightarrow D$  and  $E \rightarrow H$  can be inferred by  $F'$ ;

for  $AH \rightarrow I$  :

We know  $A^+ = \{ A, D, E, C, H, G, I \}$  and  $H^+ = \{ H, G \}$ ;

Thus,  $A \rightarrow I$  can be inferred by  $F'$ ;

$F'' = \{ A \rightarrow D, A \rightarrow E, A \rightarrow I, B \rightarrow G, B \rightarrow I, E \rightarrow C, E \rightarrow D, E \rightarrow A, E \rightarrow H, H \rightarrow G \}$

**Step3 :**

$D \in A^+|_{F'' - \{A \rightarrow D\}}$ ; thus  $A \rightarrow D$  can be inferred by  $F'' - \{A \rightarrow D\}$  and we remove  $A \rightarrow D$ ;

$A^+|_{F'' - \{A \rightarrow E\}} = \{ A \}$ , so  $A \rightarrow E$  is not inferred by  $F'' - \{A \rightarrow E\}$  and it is not redundant;

Same reason for the remaining function dependencies, all of them are not redundant;

Thus, we finally get  $F_{\min}$ :

$F_{\min} = F'' = \{ A \rightarrow E, A \rightarrow I, B \rightarrow G, B \rightarrow I, E \rightarrow C, E \rightarrow D, E \rightarrow A, E \rightarrow H, H \rightarrow G \}$

**5) Decompose into a set of 3NF relations if it is not in 3NF step by step. Make sure your decomposition is dependency-preserving and lossless-join. (3 marks)**

We know that  $R ( A, B, C, D, E, G, H, I, J )$ ;

$F_{\min} = \{ A \rightarrow E, A \rightarrow I, B \rightarrow G, B \rightarrow I, E \rightarrow C, E \rightarrow D, E \rightarrow A, E \rightarrow H, H \rightarrow G \}$

Candidate key is :  $(B, E, J)$

So we have a decomposition of R such that:

$R_1 = (A, E, I)$     $R_2 = (B, G, I)$     $R_3 = (E, A, C, D, H)$     $R_4 = (H, G)$

$R_5 = (B, E, J)$

Clearly, R has a set of FD of  $F_{\min}$ , so this decomposition is dependency-preserving.

Finally, test for lossless join and initialize the matrix below:

decomposition	A	B	C	D	E	G	H	I	J
$R_1 = (A, E, I)$	a	b	b	b	a	b	b	a	b
$R_2 = (B, G, I)$	b	a	b	b	b	a	b	a	b
$R_3 = (E, A, C, D, H)$	a	b	a	a	a	b	a	b	b
$R_4 = (H, G)$	b	b	b	b	b	a	a	b	b
$R_5 = (B, E, J)$	b	a	b	b	a	b	b	b	a

Test  $A \rightarrow E$ :

decomposition	A	B	C	D	E	G	H	I	J
$R_1 = (A, E, I)$	a	b	b	b	a	b	b	a	b
$R_2 = (B, G, I)$	b	a	b	b	b	a	b	a	b
$R_3 = (E, A, C, D, H)$	a	b	a	a	a	b	a	b	b
$R_4 = (H, G)$	b	b	b	b	b	a	a	b	b
$R_5 = (B, E, J)$	b	a	b	b	a	b	b	b	a

Test  $A \rightarrow I$ :

decomposition	A	B	C	D	E	G	H	I	J
$R_1 = (A, E, I)$	a	b	b	b	a	b	b	a	b
$R_2 = (B, G, I)$	b	a	b	b	b	a	b	a	b
$R_3 = (E, A, C, D, H)$	a	b	a	a	a	b	a	a	b
$R_4 = (H, G)$	b	b	b	b	b	a	a	b	b
$R_5 = (B, E, J)$	b	a	b	b	a	b	b	b	a

Test  $B \rightarrow G$ :

decomposition	A	B	C	D	E	G	H	I	J
$R_1 = (A, E, I)$	a	b	b	b	a	b	b	a	b
$R_2 = (B, G, I)$	b	a	b	b	b	a	b	a	b
$R_3 = (E, A, C, D, H)$	a	b	a	a	a	b	a	a	b
$R_4 = (H, G)$	b	b	b	b	b	a	a	b	b
$R_5 = (B, E, J)$	b	a	b	b	a	a	b	b	a

Test  $B \rightarrow I$ :

decomposition	A	B	C	D	E	G	H	I	J
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$R_1 = (A, E, I)$	a	b	b	b	a	b	b	a	b
$R_2 = (B, G, I)$	b	a	b	b	b	a	b	a	b
$R_3 = (E, A, C, D, H)$	a	b	a	a	a	b	a	a	b
$R_4 = (H, G)$	b	b	b	b	b	a	a	b	b
$R_5 = (B, E, J)$	b	a	b	b	a	a	b	a	a

Test  $E \rightarrow C$ :

decomposition	A	B	C	D	E	G	H	I	J
$R_1 = (A, E, I)$	a	b	a	b	a	b	b	a	b
$R_2 = (B, G, I)$	b	a	b	b	b	a	b	a	b
$R_3 = (E, A, C, D, H)$	a	b	a	a	a	b	a	a	b
$R_4 = (H, G)$	b	b	b	b	b	a	a	b	b
$R_5 = (B, E, J)$	b	a	a	b	a	a	b	a	a

Test  $E \rightarrow D$ :

decomposition	A	B	C	D	E	G	H	I	J
$R_1 = (A, E, I)$	a	b	a	a	a	b	b	a	b
$R_2 = (B, G, I)$	b	a	b	b	b	a	b	a	b
$R_3 = (E, A, C, D, H)$	a	b	a	a	a	b	a	a	b
$R_4 = (H, G)$	b	b	b	b	b	a	a	b	b
$R_5 = (B, E, J)$	b	a	a	a	a	a	b	a	a

Test  $E \rightarrow A$ :

decomposition	A	B	C	D	E	G	H	I	J
$R_1 = (A, E, I)$	a	b	a	a	a	b	b	a	b
$R_2 = (B, G, I)$	b	a	b	b	b	a	b	a	b
$R_3 = (E, A, C, D, H)$	a	b	a	a	a	b	a	a	b
$R_4 = (H, G)$	b	b	b	b	b	a	a	b	b
$R_5 = (B, E, J)$	a	a	a	a	a	a	b	a	a

Test  $E \rightarrow H$ :

decomposition	A	B	C	D	E	G	H	I	J
$R_1 = (A, E, I)$	a	b	a	a	a	b	a	a	b
$R_2 = (B, G, I)$	b	a	b	b	b	a	b	a	b
$R_3 = (E, A, C, D, H)$	a	b	a	a	a	b	a	a	b
$R_4 = (H, G)$	b	b	b	b	b	a	a	b	b
$R_5 = (B, E, J)$	a	a	a	a	a	a	a	a	a

By testing  $E \rightarrow H$ , we can get a row is made up entirely of "a" symbols.

So this decomposition is lossless and dependency-preserving.

## Question 2:

Consider the schedule below. Here,  $R(*)$  and  $W(*)$  stand for 'Read' and 'Write', respectively.

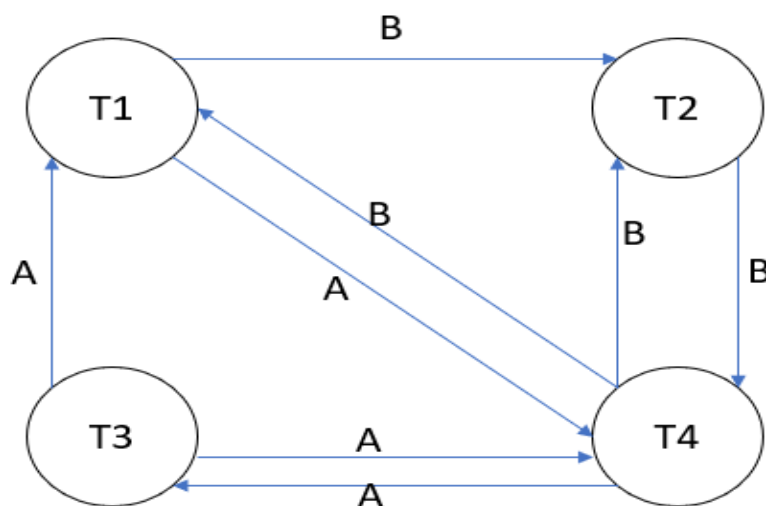
$T_1$ ,  $T_2$ ,  $T_3$  and  $T_4$  represent four transactions and  $t_i$  represents a time slot.

Time	$t_1$	$t_2$	$t_3$	$t_4$	$t_5$	$t_6$	$t_7$	$t_8$	$t_9$	$t_{10}$	$t_{11}$	$t_{12}$
$T_1$	R(B)					R(A)	W(B)				W(A)	
$T_2$								R(B)				W(B)
$T_3$			R(A)	W(A)								
$T_4$		R(A)			W(A)				R(B)	W(B)		

Each transaction begins at the time slot of its first Read and commits right after its last Write (same time slot).

Regarding the following questions, give and justify your answers.

**1) Is the transaction schedule conflict serialisable? Give the precedence graph to justify your answer. (4 marks)**



This schedule is not conflict serialisable, because the corresponding precedence graph is cyclic. (there is a cycle such that  $T1 \rightarrow T2 \rightarrow T4 \rightarrow T3 \rightarrow T1$ ).

So it is non-serializable.

**2) Give a serial schedule of these four transactions. (3 marks)**

Time	t <sub>1</sub>	t <sub>2</sub>	t <sub>3</sub>	t <sub>4</sub>	t <sub>5</sub>	t <sub>6</sub>	t <sub>7</sub>	t <sub>8</sub>	t <sub>9</sub>	t <sub>10</sub>	t <sub>11</sub>	t <sub>12</sub>
T <sub>1</sub>	R(B)	R(A)	W(B)	W(A)								
T <sub>2</sub>					R(B)	W(B)						
T <sub>3</sub>							R(A)	W(A)				
T <sub>4</sub>									R(A)	W(A)	R(B)	W(B)

**3) Lock the transactions and according to the simple locking scheme. You only need to consider the order of the operations, not the timestamps. (3 marks)**

The table below is the process of locking transactions T<sub>1</sub> and T<sub>2</sub> according to the simple locking scheme :

T <sub>1</sub>	T <sub>2</sub>
Write_lock(B)	
Read(B)	
Write_lock(A)	
Read(A)	
Write(B)	
Unlock(B)	
	Write_lock(B)
	Read(B)
Write(A)	
Unlock(A)	
	Write(B)
	Unlock(B)

