

## COMP9020 Week 2

### Binary Relations

- Textbook (R & W) - Ch. 3., Sec. 3.1, 3.4; Ch. 11, Sec. 11.1

# Applications in Computer Science

Many relations that appear in CS fall into two broad categories:

Equivalence relations (generalizing “equality”):

- Programs that exhibit the same behaviour
- Logically equivalent statements
- The `.equals()` method in Java

Partial orders (generalizing “less than or equal to”):

- Object inheritance
- Simulation
- Requirement specifications
- The `.compareTo()` method in Java

# Summary of topics

- Defining binary relations
- Properties of binary relations
- Equivalence relations, classes, and partitions
- Orderings

# Summary of topics

- Defining binary relations
- Properties of binary relations
- Equivalence relations, classes, and partitions
- Orderings

# Binary relations

A **binary relation between  $S$  and  $T$**  is a subset of  $S \times T$ : i.e. a set of ordered pairs.

Also: over  $S$  and  $T$ ; from  $S$  to  $T$ ; on  $S$  (if  $S = T$ ).

## Example (Special (Trivial) Relations)

**Identity** (diagonal, equality)  $E = \{ (x, x) : x \in S \}$

**Empty**  $\emptyset$

**Universal**  $U = S \times S$

# Defining binary relations: Set-based definitions

Defining a relation  $R \subseteq S \times T$ :

- Explicitly listing tuples: e.g.  $\{(1, 1), (2, 3), (3, 2)\}$
- Set comprehension:  $\{(x, y) \in [1, 3] \times [1, 3] : 5 \mid xy - 1\}$
- Construction from other relations:  
 $\{(1, 1)\} \cup \{(2, 3)\} \cup \{(2, 3)\}^{\leftarrow}$

# Defining binary relations: Matrix representation

Defining a relation  $R \subseteq S \times T$ :

Rows enumerated by elements of  $S$ , columns by elements of  $T$ :

## Examples

- The relation  $\{(1, 1), (2, 3), (3, 2)\} \subseteq [1, 3] \times [1, 3]$ :

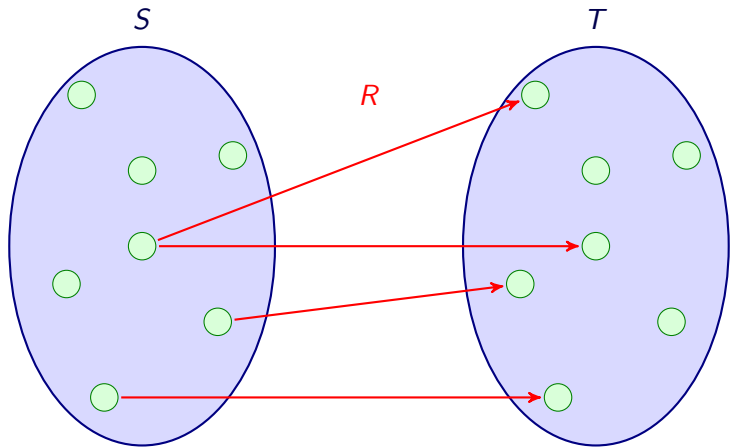
$$\begin{bmatrix} \bullet & \circ & \circ \\ \circ & \circ & \bullet \\ \circ & \bullet & \circ \end{bmatrix}$$

- The relation  $\{(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (3, 2)\} \subseteq [1, 3] \times [1, 4]$ :

$$\begin{bmatrix} \bullet & \bullet & \bullet & \bullet \\ \circ & \bullet & \circ & \circ \\ \circ & \bullet & \circ & \circ \end{bmatrix}$$

# Defining binary relations: Graphical representation

Defining a relation  $R \subseteq S \times T$ :

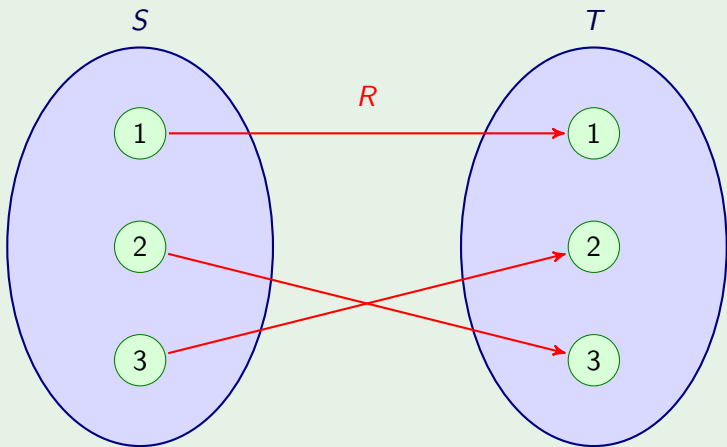




# Defining binary relations: Graphical representation

## Example

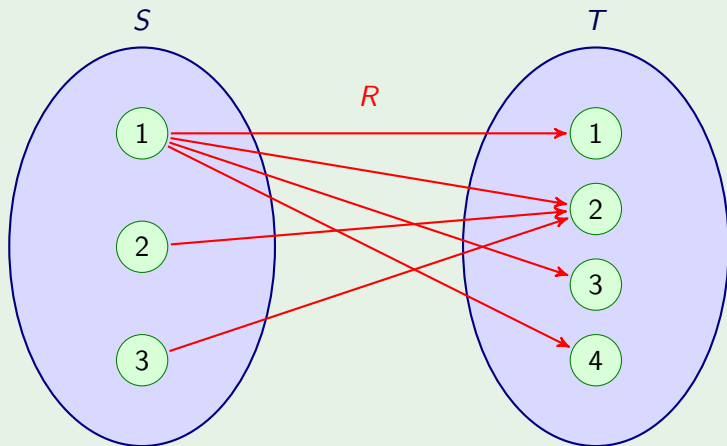
$$R = \{(1, 1), (2, 3), (3, 2)\} \subseteq [1, 3] \times [1, 3]:$$



# Defining binary relations: Graphical representation

## Example

$\{(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (3, 2)\} \subseteq [1, 3] \times [1, 4]$ :



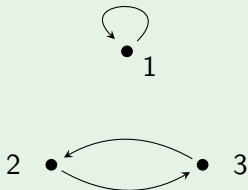
# Defining binary relations: Graph representation

If  $S = T$  we can define  $R \subseteq S \times S$  as a **directed graph** (week 5).

- Nodes: Elements of  $S$
- Edges: Elements of  $R$

## Example

$$R = \{(1, 1), (2, 3), (3, 2)\} \subseteq [1, 3] \times [1, 3]:$$



# Summary of topics

- Defining binary relations
- **Properties of binary relations**
- Equivalence relations, classes, and partitions
- Orderings

# Properties of Binary Relations $R \subseteq S \times S$

## Definition

(R)	reflexive	For all $x \in S$ : $(x, x) \in R$
(AR)	antireflexive	For all $x \in S$ : $(x, x) \notin R$
(S)	symmetric	For all $x, y \in S$ : If $(x, y) \in R$ then $(y, x) \in R$
(AS)	antisymmetric	For all $x, y \in S$ : If $(x, y)$ and $(y, x) \in R$ then $x = y$
(T)	transitive	For all $x, y, z \in S$ : If $(x, y)$ and $(y, z) \in R$ then $(x, z) \in R$

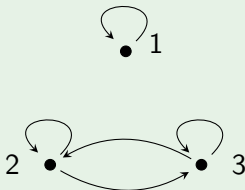
## NB

- *Properties have to hold for all elements*
- *(S), (AS), (T) are conditional statements – they will hold if there is nothing which satisfies the 'if' part*

# Relation properties: Examples

## Examples

(R) Reflexivity:  $(x, x) \in R$  for all  $x$



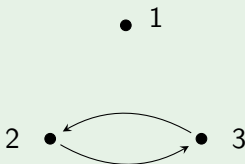
•	○	○
○	•	•
○	•	•

# Relation properties: Examples

## Examples

**(R)** Reflexivity:  $(x, x) \in R$  for all  $x$

**(AR)** Antireflexivity:  $(x, x) \notin R$  for all  $x$

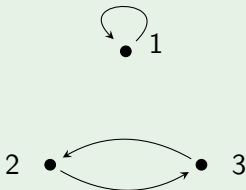


○	○	○
○	○	●
○	●	○

# Relation properties: Examples

## Examples

- (R) Reflexivity:  $(x, x) \in R$  for all  $x$
- (AR) Antireflexivity:  $(x, x) \notin R$  for all  $x$
- (S) Symmetry: If  $(x, y) \in R$  then  $(y, x) \in R$  for all  $x, y$



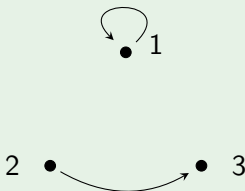
•	○	○
○	○	•
○	•	○



# Relation properties: Examples

## Examples

- (R) Reflexivity:  $(x, x) \in R$  for all  $x$
- (AR) Antireflexivity:  $(x, x) \notin R$  for all  $x$
- (S) Symmetry: If  $(x, y) \in R$  then  $(y, x) \in R$  for all  $x, y$
- (AS) Antisymmetry:  $(x, y) \in R$  and  $(y, x) \in R$  implies  $x = y$  for all  $x, y$

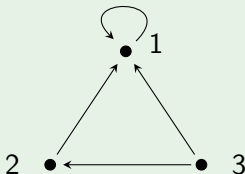


•	○	○
○	○	•
○	○	○

# Relation properties: Examples

## Examples

- (R) Reflexivity:  $(x, x) \in R$  for all  $x$
- (AR) Antireflexivity:  $(x, x) \notin R$  for all  $x$
- (S) Symmetry: If  $(x, y) \in R$  then  $(y, x) \in R$  for all  $x, y$
- (AS) Antisymmetry:  $(x, y) \in R$  and  $(y, x) \in R$  implies  $x = y$  for all  $x, y$
- (T) Transitivity:  $(x, y) \in R$  and  $(y, z) \in R$  implies  $(x, z) \in R$  for all  $x, y, z$ .



$$\begin{bmatrix} \bullet & \circ & \circ \\ \bullet & \circ & \circ \\ \bullet & \bullet & \circ \end{bmatrix}$$

# Interaction of Properties

A relation *can* be both symmetric and antisymmetric. Namely, when  $R$  consists only of some pairs  $(x, x), x \in S$ .

A relation *cannot* be simultaneously reflexive and antireflexive (unless  $S = \emptyset$ ).

**NB**

$\left. \begin{array}{l} \text{nonreflexive} \\ \text{nonsymmetric} \end{array} \right\}$  is not the same as  $\left\{ \begin{array}{l} \text{antireflexive/irreflexive} \\ \text{antisymmetric} \end{array} \right.$

# Exercises

## Exercises

3.1.1 The following relations are on  $S = \{1, 2, 3\}$ .  
Which of the properties (R), (AR), (S), (AS), (T) does each satisfy?

(a)  $(m, n) \in R$  if  $m + n = 3$ ?

(e)  $(m, n) \in R$  if  $\max\{m, n\} = 3$ ?

3.1.2(b)  $(m, n) \in R$  if  $m < n$ ?

# Exercises

## Exercises

3.1.1 The following relations are on  $S = \{1, 2, 3\}$ .  
Which of the properties (R), (AR), (S), (AS), (T) does each satisfy?

(a)  $(m, n) \in R$  if  $m + n = 3$ ? (AR) and (S)

(e)  $(m, n) \in R$  if  $\max\{m, n\} = 3$ ? (S)

3.1.2(b)  $(m, n) \in R$  if  $m < n$ ? (AR), (AS), (T)

# Exercises

## Exercises

Complete the following table of common relations (over  $\mathbb{Z}$ ) and their properties:

	$(R)$	$(AR)$	$(S)$	$(AS)$	$(T)$
$=$					
$\leq$					
$<$					
$\emptyset$					
$\mathcal{U} = \mathbb{Z} \times \mathbb{Z}$					
$ $					
$= \pmod{3}$					

# Exercises

## Exercises

Complete the following table of common relations (over  $\mathbb{Z}$ ) and their properties:

	$(R)$	$(AR)$	$(S)$	$(AS)$	$(T)$
$=$	✓		✓	✓	✓
$\leq$					
$<$					
$\emptyset$					
$\mathcal{U} = \mathbb{Z} \times \mathbb{Z}$					
$ $					
$= \pmod{3}$					

# Exercises

## Exercises

Complete the following table of common relations (over  $\mathbb{Z}$ ) and their properties:

	$(R)$	$(AR)$	$(S)$	$(AS)$	$(T)$
$=$	✓		✓	✓	✓
$\leq$	✓			✓	✓
$<$					
$\emptyset$					
$\mathcal{U} = \mathbb{Z} \times \mathbb{Z}$					
$ $					
$= \pmod{3}$					



# Exercises

## Exercises

Complete the following table of common relations (over  $\mathbb{Z}$ ) and their properties:

	$(R)$	$(AR)$	$(S)$	$(AS)$	$(T)$
$=$	✓		✓	✓	✓
$\leq$	✓			✓	✓
$<$		✓		✓	✓
$\emptyset$					
$\mathcal{U} = \mathbb{Z} \times \mathbb{Z}$					
$ $					
$= \pmod{3}$					

# Exercises

## Exercises

Complete the following table of common relations (over  $\mathbb{Z}$ ) and their properties:

	$(R)$	$(AR)$	$(S)$	$(AS)$	$(T)$
$=$	✓		✓	✓	✓
$\leq$	✓			✓	✓
$<$		✓		✓	✓
$\emptyset$		✓	✓	✓	✓
$\mathcal{U} = \mathbb{Z} \times \mathbb{Z}$					
$ $					
$= \pmod{3}$					

# Exercises

## Exercises

Complete the following table of common relations (over  $\mathbb{Z}$ ) and their properties:

	$(R)$	$(AR)$	$(S)$	$(AS)$	$(T)$
$=$	✓		✓	✓	✓
$\leq$	✓			✓	✓
$<$		✓		✓	✓
$\emptyset$		✓	✓	✓	✓
$\mathcal{U} = \mathbb{Z} \times \mathbb{Z}$	✓		✓		✓
$ $					
$= \pmod{3}$					

# Exercises

## Exercises

Complete the following table of common relations (over  $\mathbb{Z}$ ) and their properties:

	$(R)$	$(AR)$	$(S)$	$(AS)$	$(T)$
$=$	✓		✓	✓	✓
$\leq$	✓			✓	✓
$<$		✓		✓	✓
$\emptyset$		✓	✓	✓	✓
$\mathcal{U} = \mathbb{Z} \times \mathbb{Z}$	✓		✓		✓
$ $	✓			✓	✓
$= \pmod{3}$					

# Exercises

## Exercises

Complete the following table of common relations (over  $\mathbb{Z}$ ) and their properties:

	$(R)$	$(AR)$	$(S)$	$(AS)$	$(T)$
$=$	✓		✓	✓	✓
$\leq$	✓			✓	✓
$<$		✓		✓	✓
$\emptyset$		✓	✓	✓	✓
$\mathcal{U} = \mathbb{Z} \times \mathbb{Z}$	✓		✓		✓
$ $	✓			✓	✓
$= \pmod{3}$	✓		✓		✓

# Exercises

## Exercises

3.1.10(a) Give examples of relations with specified properties.  
(AS), (T), not (R).

# Exercises

## Exercises

3.1.10(a) Give examples of relations with specified properties.  
(AS), (T), not (R).

Some examples over  $\mathbb{N}$ ,  $\text{Pow}(\mathbb{N})$ :

- strict order of numbers  $x < y$
- simple (weak) order, but with some pairs  $(x, x)$  removed from  $R$
- being a prime divisor  
 $(p, n) \in R$  iff  $p$  is prime and  $p|n$ 
  - not reflexive:  $(1, 1) \notin R, (4, 4) \notin R, (6, 6) \notin R$
  - transitivity is meaningful only for the pairs  
 $(p, p), (p, n), p|n$  for  $p$  prime

# Exercises

## Exercises

3.1.10(b) Give examples of relations with specified properties.  
(S), not (R), not (T).



# Exercises

## Exercises

3.1.10(b) Give examples of relations with specified properties.  
(S), not (R), not (T).

Simplest example - inequality

# Exercises

## Exercises

3.6.10 (supp)

$R$  is a relation on  $\mathbb{N} \times \mathbb{N}$ , i.e. it is a subset of  $\mathbb{N}^2 \times \mathbb{N}^2$   
 $(m, n) R (p, q)$  if  $m = p \pmod{3}$  or  $n = q \pmod{5}$ .

(a) Is  $R$  reflexive?

(b) Is  $R$  symmetric?

(c) Is  $R$  transitive?

# Exercises

## Exercises

### 3.6.10 (supp)

$R$  is a relation on  $\mathbb{N} \times \mathbb{N}$ , i.e. it is a subset of  $\mathbb{N}^2 \times \mathbb{N}^2$

$(m, n) R (p, q)$  if  $m = p \pmod{3}$  or  $n = q \pmod{5}$ .

(a) Is  $R$  reflexive?

Yes:  $m = m \pmod{3}$  (and  $n = n \pmod{5}$ ) so  $(m, n) R (m, n)$ .

(b) Is  $R$  symmetric?

(c) Is  $R$  transitive?

# Exercises

## Exercises

### 3.6.10 (supp)

$R$  is a relation on  $\mathbb{N} \times \mathbb{N}$ , i.e. it is a subset of  $\mathbb{N}^2 \times \mathbb{N}^2$

$(m, n) R (p, q)$  if  $m = p \pmod{3}$  or  $n = q \pmod{5}$ .

(a) Is  $R$  reflexive?

Yes:  $m = m \pmod{3}$  (and  $n = n \pmod{5}$ ) so  $(m, n)R(m, n)$ .

(b) Is  $R$  symmetric?

Yes: by symmetry of  $. = . \pmod{n}$ .

(c) Is  $R$  transitive?

# Exercises

## Exercises

### 3.6.10 (supp)

$R$  is a relation on  $\mathbb{N} \times \mathbb{N}$ , i.e. it is a subset of  $\mathbb{N}^2 \times \mathbb{N}^2$

$(m, n) R (p, q)$  if  $m = p \pmod{3}$  or  $n = q \pmod{5}$ .

(a) Is  $R$  reflexive?

Yes:  $m = m \pmod{3}$  (and  $n = n \pmod{5}$ ) so  $(m, n) R (m, n)$ .

(b) Is  $R$  symmetric?

Yes: by symmetry of  $. = . \pmod{n}$ .

(c) Is  $R$  transitive? No: Consider  $(1, 1)$ ,  $(1, 4)$  and  $(2, 4)$ .

# Summary of topics

- Defining binary relations
- Properties of binary relations
- Equivalence relations, classes, and partitions
- Orderings

# Equivalence relations

Equivalence relations capture a general notion of “equality”. They are relations which are:

- Reflexive (R): Every object should be “equal” to itself
- Symmetric (S): If  $x$  is “equal” to  $y$ , then  $y$  should be “equal” to  $x$
- Transitive (T): If  $x$  is “equal” to  $y$  and  $y$  is “equal” to  $z$ , then  $x$  should be “equal” to  $z$ .

# Equivalence relations

Equivalence relations capture a general notion of “equality”. They are relations which are:

- **Reflexive (R)**: Every object should be “equal” to itself
- **Symmetric (S)**: If  $x$  is “equal” to  $y$ , then  $y$  should be “equal” to  $x$
- **Transitive (T)**: If  $x$  is “equal” to  $y$  and  $y$  is “equal” to  $z$ , then  $x$  should be “equal” to  $z$ .

## Definition

A binary relation  $R \subseteq S \times S$  is *equivalence relation* if it satisfies (R), (S), (T).



## Example

Partition of  $\mathbb{Z}$  into classes of numbers with the same remainder on division by  $p$ ; it is particularly important for  $p$  prime

$$\mathbb{Z}(p) = \mathbb{Z}_p = \{0, 1, \dots, p-1\}$$

One can define all four arithmetic operations (with the usual properties) on  $\mathbb{Z}_p$  for a prime  $p$ ; division has to be restricted when  $p$  is not prime.

## NB

$(\mathbb{Z}_p, +, \cdot, 0, 1)$  are fundamental algebraic structures known as **rings**. These structures are very important in coding theory and cryptography.

# Equivalence Classes and Partitions

Suppose  $R \subseteq S \times S$  is an equivalence relation

The **equivalence class**  $[s]$  (w.r.t.  $R$ ) of an element  $s \in S$  is

$$[s] = \{t : t \in S \text{ and } sRt\}$$

## Fact

$s R t$  if and only if  $[s] = [t]$ .

## Equivalence classes: Proof example

### Proof

Suppose  $[s] = [t]$ . Recall  $[s] = \{x \in S : (s, x) \in R\}$ . We will show that  $(s, t) \in R$ .

Because  $R$  is reflexive,  $(t, t) \in R$ .

Therefore  $t \in [t]$ .

Because  $[t] = [s]$ , it follows that  $t \in [s]$ .

But then  $(s, t) \in R$  by the definition of  $[s]$ .

## Equivalence classes: Proof example

### Proof

Now suppose  $(s, t) \in R$ . We will show  $[s] = [t]$  by showing  $[s] \subseteq [t]$  and  $[t] \subseteq [s]$ .

Take any  $x \in [s]$ .

By the definition of  $[s]$ ,  $(s, x) \in R$ .

Since  $R$  is symmetric  $(x, s) \in R$ .

Since  $R$  is transitive and  $(s, t) \in R$  we have that  $(x, t) \in R$ .

Since  $R$  is symmetric  $(t, x) \in R$ .

Therefore,  $x \in [t]$ .

Therefore  $[s] \subseteq [t]$ .

## Equivalence classes: Proof example

### Proof

Now suppose  $(s, t) \in R$ . We will show  $[s] = [t]$  by showing  $[s] \subseteq [t]$  and  $[t] \subseteq [s]$ .

Take any  $x \in [t]$ .

By the definition of  $[t]$ ,  $(t, x) \in R$ .

Since  $R$  is transitive and  $(s, t) \in R$  we have that  $(s, x) \in R$ .

Therefore  $x \in [s]$ .

Therefore  $[t] \subseteq [s]$ . □

# Partitions

## Definition

A **partition** of a set  $S$  is a collection of sets  $S_1, \dots, S_k$  such that

- $S_i$  and  $S_j$  are disjoint (for  $i \neq j$ )
- $S = S_1 \cup S_2 \cup \dots \cup S_k = \bigcup_{i=1}^k S_i$

The collection of all equivalence classes  $\{[s] : s \in S\}$  forms a partition of  $S$

In the opposite direction, a partition of a set defines the equivalence relation on that set. If  $S = S_1 \cup \dots \cup S_k$ , then we can define  $\sim \subseteq S \times S$  as:

$s \sim t$  exactly when  $s$  and  $t$  belong to the same  $S_i$ .

# Exercises

## Exercises

3.6.6 (supp) Show that  $m \sim n$  iff  $m^2 = n^2 \pmod{5}$  is an equivalence on  $S = \{1, \dots, 7\}$ . Find all the equivalence classes.

# Exercises

## Exercises

3.6.6 (supp) Show that  $m \sim n$  iff  $m^2 = n^2 \pmod{5}$  is an equivalence on  $S = \{1, \dots, 7\}$ . Find all the equivalence classes.

(a) It just means that  $m = n \pmod{5}$  or  $m = -n \pmod{5}$ , e.g.  $1 = -4 \pmod{5}$ . This satisfies (R), (S), (T).

(b) We have

$$[1] = \{1, 4, 6\}$$

$$[2] = \{2, 3, 7\}$$

$$[5] = \{5\}$$



# Summary of topics

- Defining binary relations
- Properties of binary relations
- Equivalence relations, classes, and partitions
- Orderings

# Partial Order

A **partial order**  $\preceq$  on  $S$  satisfies (R), (AS), (T).

We call  $(S, \preceq)$  a **poset** — partially ordered set

## Examples

Posets:

- $(\mathbb{Z}, \leq)$
- $(\text{Pow}(X), \subseteq)$  for some set  $X$
- $(\mathbb{N}, |)$

Not posets:

- $(\mathbb{Z}, <)$
- $(\mathbb{Z}, |)$

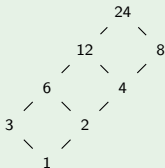
# Hasse diagram

Every finite poset  $(S, \preceq)$  can be represented with a **Hasse diagram**:

- Nodes are elements of  $S$
- An edge is drawn *upward* from  $x$  to  $y$  if  $x \prec y$  and there is no  $z$  such that  $x \prec z \prec y$

## Example

Hasse diagram for positive divisors of 24 ordered by  $|$ :



# Ordering Concepts

## Definition

Let  $(S, \preceq)$  be a poset.

- **Minimal** element:  $x$  such that there is no  $y$  with  $y \preceq x$
- **Maximal** element:  $x$  such that there is no  $y$  with  $x \preceq y$
- **Minimum (least)** element:  $x$  such that  $x \preceq y$  for all  $y \in S$
- **Maximum (greatest)** element:  $x$  such that  $y \preceq x$  for all  $y \in S$

## NB

- *There may be multiple minimal/maximal elements.*
- *Minimum/maximum elements are the unique minimal/maximal elements if they exist.*
- *Minimal/maximal elements always exist in finite posets, but not necessarily in infinite posets.*

# Examples

## Examples

- $\text{Pow}(\{a, b, c\})$  with the order  $\subseteq$   
 $\emptyset$  is minimum;  $\{a, b, c\}$  is maximum
- $\text{Pow}(\{a, b, c\}) \setminus \{\{a, b, c\}\}$  (proper subsets of  $\{a, b, c\}$ )  
Each two-element subset  $\{a, b\}, \{a, c\}, \{b, c\}$  is maximal.
  - But there is no maximum

# Ordering Concepts

## Definition

Let  $(S, \preceq)$  be a poset.

- $x$  is an **upper bound** for  $A$  if  $a \preceq x$  for all  $a \in A$
- $x$  is a **lower bound** for  $A$  if  $x \preceq a$  for all  $a \in A$
- The **set of upper bounds** for  $A$  is defined as  $ub(A) = \{x : a \preceq x \text{ for all } a \in A\}$
- The **set of lower bounds** for  $A$  is defined as  $lb(A) = \{x : x \preceq a \text{ for all } a \in A\}$
- The **least upper bound** of  $A$ ,  $\text{lub}(A)$ , is the minimum of  $ub(A)$  (if it exists)
- The **greatest lower bound** of  $A$ ,  $\text{glb}(A)$  is the maximum of  $lb(A)$  (if it exists)

## glb and lub

To show  $x$  is  $\text{glb}(A)$  you need to show:

- $x$  is a lower bound:  $x \preceq a$  for all  $a \in A$ .
- $x$  is the greatest of all lower bounds: If  $y \preceq a$  for all  $a \in A$  then  $y \preceq x$ .

### Example

$\text{Pow}(X)$  ordered by  $\subseteq$ .

- $\text{glb}(A, B) = A \cap B$
- $\text{lub}(A, B) = A \cup B$

# Ordering Concepts

## Definition

Let  $(S, \preceq)$  be a poset.

- $(S, \preceq)$  is a **lattice** if  $\text{lub}(x, y)$  and  $\text{glb}(x, y)$  exist for every pair of elements  $x, y \in S$ .
- $(S, \preceq)$  is a **complete lattice** if  $\text{lub}(A)$  and  $\text{glb}(A)$  exist for every subset  $A \subseteq S$ .

## NB

*A finite lattice is always a complete lattice.*



# Examples

## Examples

- $\{1, 2, 3, 4, 6, 8, 12, 24\}$  partially ordered by divisibility is a lattice
  - e.g.  $\text{lub}(\{4, 6\}) = 12$ ;  $\text{glb}(\{4, 6\}) = 2$
- $\{1, 2, 3\}$  partially ordered by divisibility is not a lattice
  - $\{2, 3\}$  has no lub
- $\{2, 3, 6\}$  partially ordered by divisibility
  - $\{2, 3\}$  has no glb
- $\{1, 2, 3, 12, 18, 36\}$  partially ordered by divisibility
  - $\{2, 3\}$  has no lub ( $12, 18$  are minimal upper bounds)

## NB

*An infinite lattice need not have a lub (or no glb) for an arbitrary infinite subset of its elements, in particular no such bound may exist for **all** its elements.*

## Examples

- $(\mathbb{Z}, \leq)$ : neither  $\text{lub}(\mathbb{Z})$  nor  $\text{glb}(\mathbb{Z})$  exist
- $(\mathcal{F}(\mathbb{N}), \subseteq)$  [all finite subsets of  $\mathbb{N}$ ]:  $\text{lub}$  exists for pairs of elements but not generally for (infinite) sets of elements.  $\text{glb}$  exists for any set of elements: intersection of a set of finite sets is finite.
- $(\mathcal{I}(\mathbb{N}), \subseteq)$  [all infinite subsets of  $\mathbb{N}$ ]:  $\text{glb}$  does not exist for some pairs of elements (e.g. odds and evens).  $\text{lub}$  exists for any set of elements: union of a set of infinite sets is always infinite.

# Exercises

## Exercises

11.1.5 Consider poset  $(\mathbb{R}, \leq)$

- (a) Is this a lattice?
- (b) Give an example of a non-empty subset of  $\mathbb{R}$  that has no upper bound.
- (c) Find  $\text{lub}(\{x \in \mathbb{R} : x < 73\})$
- (d) Find  $\text{lub}(\{x \in \mathbb{R} : x \leq 73\})$
- (e) Find  $\text{lub}(\{x : x^2 < 73\})$
- (f) Find  $\text{glb}(\{x : x^2 < 73\})$

# Exercises

## Exercises

11.1.5 Consider poset  $(\mathbb{R}, \leq)$

- (a) Is this a lattice? Yes
- (b) Give an example of a non-empty subset of  $\mathbb{R}$  that has no upper bound.  $\mathbb{R}_{>0} = \{ r \in \mathbb{R} : r > 0 \}$
- (c) Find  $\text{lub}(\{ x \in \mathbb{R} : x < 73 \})$  73
- (d) Find  $\text{lub}(\{ x \in \mathbb{R} : x \leq 73 \})$  73
- (e) Find  $\text{lub}(\{ x : x^2 < 73 \})$   $\sqrt{73}$
- (f) Find  $\text{glb}(\{ x : x^2 < 73 \})$   $-\sqrt{73}$

# Total orders

## Definition

A **total order** is a partial order that also satisfies:

(L) **Linearity** (any two elements are comparable):

For all  $x, y$  either:  $x \leq y$  or  $y \leq x$  (or both if  $x = y$ )

## NB

*On a finite set all total orders are “isomorphic”*

*On an infinite set there is quite a variety of possibilities.*

# Examples

## Examples

- $\mathbb{Z}$  with  $\leq$ :  
(no minimum/maximum element)
- $\mathbb{Z}$  with  $\{(x, y) : x < 0 \leq y \text{ or } |x| \leq |y|\}$ :  
(no maximum element, minimum element is -1)
- $\mathbb{Z}$  with  $\{(x, y) : x < 0 \leq y \text{ or } x \geq y\}$ :  
(minimum element -1, maximum element 0)

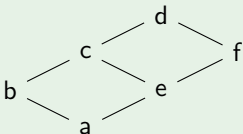
# Ordering of a Poset — Topological Sort

## Definition

For a poset  $(S, \preceq)$  any total order  $\leq$  that is consistent with  $\preceq$  (if  $a \preceq b$  then  $a \leq b$ ) is called a **topological sort**.

## Example

Consider



The following all are topological sorts:

$$a \leq b \leq e \leq c \leq f \leq d$$

$$a \leq e \leq b \leq f \leq c \leq d$$

$$a \leq e \leq f \leq b \leq c \leq d$$

# Well-Ordered Sets

## Definition

A *well-ordered set* is a poset where every subset has a least element.

## NB

*The greatest element is not required.*

## Examples

- $\mathbb{N} = \{0, 1, \dots\}$
- $\mathbb{N}_1 \dot{\cup} \mathbb{N}_2 \dot{\cup} \mathbb{N}_3 \dot{\cup} \dots$ , where each  $\mathbb{N}_i \simeq \mathbb{N}$   
and  $\mathbb{N}_1 < \mathbb{N}_2 < \mathbb{N}_3 \dots$

## NB

*Well-ordered sets are an important mathematical tool to prove termination of programs.*



# Combining Orders

**Product order** — can combine any partial orders. In general, it is only a *partial order*, even if combining total orders.

For  $s, s' \in S$  and  $t, t' \in T$  define

$$(s, t) \preceq (s', t') \quad \text{if } s \preceq s' \text{ and } t \preceq t'$$

## Practical Orderings

They are, effectively, *total* orders on the *product* of ordered sets.

- **Lexicographic order** — defined on all of  $\Sigma^*$ . It extends a total order already assumed to exist on  $\Sigma$ .
- **Lenlex** — the order on (potentially) the entire  $\Sigma^*$ , where the elements are ordered first by length.  
 $\Sigma^{(1)} \prec \Sigma^{(2)} \prec \Sigma^{(3)} \prec \dots$ , then lexicographically within each  $\Sigma^{(k)}$ . In practice it is applied only to the finite subsets of  $\Sigma^*$ .
- **Filing order** — lexicographic order confined to the strings of the same length.  
It defines total orders on  $\Sigma^i$ , separately for each  $i$ .

## Example

### Example

**11.2.5** Let  $\mathbb{B} = \{0, 1\}$  with the usual order  $0 < 1$ . List the elements  $101, 010, 11, 000, 10, 0010, 1000$  of  $\mathbb{B}^*$  in the

(a) Lexicographic order

(b) Lenlex order

**11.2.8** When are the lexicographic order and *lenlex* on  $\Sigma^*$  the same?

## Example

### Example

**11.2.5** Let  $\mathbb{B} = \{0, 1\}$  with the usual order  $0 < 1$ . List the elements  $101, 010, 11, 000, 10, 0010, 1000$  of  $\mathbb{B}^*$  in the

(a) Lexicographic order

$000, 0010, 010, 10, 1000, 101, 11$

(b) Lenlex order

$10, 11, 000, 010, 101, 0010, 1000$

**11.2.8** When are the lexicographic order and *lenlex* on  $\Sigma^*$  the same?

Only when  $|\Sigma| = 1$ .

# Exercises

## Exercises

11.6.6 True or false?

- (a) If a set  $\Sigma$  is totally ordered, then the corresponding lexicographic partial order on  $\Sigma^*$  also must be totally ordered.
- (b) If a set  $\Sigma$  is totally ordered, then the corresponding lenlex order on  $\Sigma^*$  also must be totally ordered.
- (c) Every finite partially ordered set has a Hasse diagram.

# Exercises

## Exercises

11.6.6 True or false?

- (a) If a set  $\Sigma$  is totally ordered, then the corresponding lexicographic partial order on  $\Sigma^*$  also must be totally ordered.  
True
- (b) If a set  $\Sigma$  is totally ordered, then the corresponding lenlex order on  $\Sigma^*$  also must be totally ordered.
- (c) Every finite partially ordered set has a Hasse diagram.

# Exercises

## Exercises

11.6.6 True or false?

- (a) If a set  $\Sigma$  is totally ordered, then the corresponding lexicographic partial order on  $\Sigma^*$  also must be totally ordered.  
True
- (b) If a set  $\Sigma$  is totally ordered, then the corresponding lenlex order on  $\Sigma^*$  also must be totally ordered.  
True
- (c) Every finite partially ordered set has a Hasse diagram.

# Exercises

## Exercises

11.6.6 True or false?

- (a) If a set  $\Sigma$  is totally ordered, then the corresponding lexicographic partial order on  $\Sigma^*$  also must be totally ordered.  
True
- (b) If a set  $\Sigma$  is totally ordered, then the corresponding lenlex order on  $\Sigma^*$  also must be totally ordered.  
True
- (c) Every finite partially ordered set has a Hasse diagram.  
True



# Exercises

## Exercises

11.6.6 True or false?

- (d) Every finite partially ordered set has a topological sorting.
- (e) Every finite partially ordered set has a minimum element.
- (f) Every finite totally ordered set has a maximum element.
- (g) An infinite partially ordered set cannot have a maximum element.

# Exercises

## Exercises

11.6.6 True or false?

- ⓓ Every finite partially ordered set has a topological sorting.  
True
- ⓔ Every finite partially ordered set has a minimum element.
- ⓕ Every finite totally ordered set has a maximum element.
- ⓖ An infinite partially ordered set cannot have a maximum element.

# Exercises

## Exercises

11.6.6 True or false?

- ④ Every finite partially ordered set has a topological sorting.  
True
- ⑤ Every finite partially ordered set has a minimum element.  
False
- ⑥ Every finite totally ordered set has a maximum element.
- ⑦ An infinite partially ordered set cannot have a maximum element.

# Exercises

## Exercises

11.6.6 True or false?

- ④ Every finite partially ordered set has a topological sorting.  
True
- ⑤ Every finite partially ordered set has a minimum element.  
False
- ⑥ Every finite totally ordered set has a maximum element.  
True
- ⑦ An infinite partially ordered set cannot have a maximum element.

# Exercises

## Exercises

11.6.6 True or false?

- ④ Every finite partially ordered set has a topological sorting.  
True
- ⑤ Every finite partially ordered set has a minimum element.  
False
- ⑥ Every finite totally ordered set has a maximum element.  
True
- ⑦ An infinite partially ordered set cannot have a maximum element.  
False