## Week 03a: Graph Algorithms

## **Directed Graphs**

# **Directed Graphs (Digraphs)**

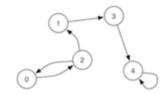
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In our previous discussion of graphs:

- an edge indicates a relationship between two vertices
- an edge indicates nothing more than a relationship

In many real-world applications of graphs:

• edges are directional  $(v \rightarrow w \neq w \rightarrow v)$ 

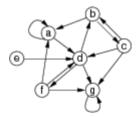


• edges have a *weight* (cost to go from  $v \rightarrow w$ )

### ... Directed Graphs (Digraphs)

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Example digraph and adjacency matrix representation:



	а	b	С	d	9	f	g
а	1	0	0		0	0	0
b	1	0	1	0	0	0	0
С	0	1	0	1	0	0	1
d	0	1	0	0	0	1	1
е	0	0	0	1	0	0	0
f	1	0	0	1	0		1
g	0	0	0	0	0	0	1

Undirectional ⇒ symmetric matrix Directional ⇒ non-symmetric matrix

Maximum #edges in a digraph with V vertices: V<sup>2</sup>

### ... Directed Graphs (Digraphs)

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Terminology for digraphs ...

Directed path: sequence of  $n \ge 2$  vertices  $v_1 \rightarrow v_2 \rightarrow ... \rightarrow v_n$ 

- where  $(v_i, v_{i+1}) \in edges(G)$  for all  $v_i, v_{i+1}$  in sequence
- if  $v_1 = v_n$ , we have a *directed cycle*

Reachability: w is reachable from v if  $\exists$  directed path v,...,w

# **Digraph Applications**

#### Potential application areas:

Domain	Vertex	Edge
Web	web page	hyperlink
scheduling	task	precedence
chess	board position	legal move
science	journal article	citation
dynamic data	malloc'd object	pointer
programs	function	function call
make	file	dependency

### ... Digraph Applications

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Problems to solve on digraphs:

- is there a directed path from s to t? (transitive closure)
- what is the shortest path from *s* to *t*? (shortest path)
- are all vertices mutually reachable? (strong connectivity)
- how to organise a set of tasks? (topological sort)
- which web pages are "important"? (PageRank)
- how to build a web crawler? (graph traversal)

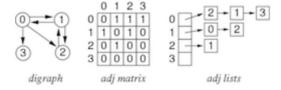
# **Digraph Representation**

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Similar set of choices as for undirectional graphs:

- array of edges (directed)
- vertex-indexed adjacency matrix (non-symmetric)
- vertex-indexed adjacency lists

V vertices identified by 0 ... V-1



# Reachability

## **Transitive Closure**

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Given a digraph G it is potentially useful to know

• is vertex t reachable from vertex s?

Example applications:

- can I complete a schedule from the current state?
- is a malloc'd object being referenced by any pointer?

How to compute transitive closure?

... Transitive Closure

One possibility:

- implement it via hasPath(G,s,t) (itself implemented by DFS or BFS algorithm)
- feasible if *reachable*(*G*,*s*,*t*) is infrequent operation

What if we have an algorithm that frequently needs to check reachability?

Would be very convenient/efficient to have:

```
reachable(G,s,t):
    return G.tc[s][t]  // transitive closure matrix
```

Of course, if *V* is *very* large, then this is not feasible.

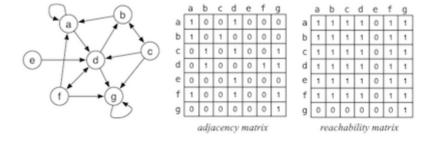
#### **Exercise #1: Transitive Closure Matrix**

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Which reachable s .. t exist in the following graph?



#### Transitive closure of example graph:



... Transitive Closure

Goal: produce a matrix of reachability values

- if tc/s/t/t is 1, then t is reachable from s
- if tc[s][t] is 0, then t is not reachable from s

So, how to create this matrix?

Observation:

```
\forall i, s, t \in \text{vertices}(G);
(s, i) \in \text{edges}(G) \text{ and } (i, t) \in \text{edges}(G) \implies tc[s][t] = 1
```

tc[s][t]=1 if there is a path from s to t of length 2  $(s \rightarrow i \rightarrow t)$ 

... Transitive Closure

If we implement the above as:

```
make tc[][] a copy of edges[][]
for all iEvertices(G) do
    for all tEvertices(G) do
        if tc[s][i]=1 and tc[i][t]=1 then
            tc[s][t]=1
        end if
    end for
end for
```

then we get an algorithm to convert edges into a tc

This is known as Warshall's algorithm

... Transitive Closure

How it works ...

After iteration 1, tc[s][t] is 1 if

• either  $s \rightarrow t$  exists or  $s \rightarrow 0 \rightarrow t$  exists

After iteration 2, tc[s][t] is 1 if any of the following exist

•  $s \rightarrow t$  or  $s \rightarrow 0 \rightarrow t$  or  $s \rightarrow 1 \rightarrow t$  or  $s \rightarrow 0 \rightarrow 1 \rightarrow t$  or  $s \rightarrow 1 \rightarrow 0 \rightarrow t$ 

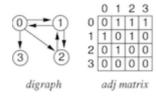
Etc. ... so after the  $V^{th}$  iteration, tc[s][t] is 1 if

• there is any directed path in the graph from s to t

#### **Exercise #2: Transitive Closure**

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Trace Warshall's algorithm on the following graph:



 $1^{st}$  iteration i=0:

tc	[0]	[1]	[2]	[3]
[0]	0	1	1	1
[1]	1	1	1	1
[2]	0	1	0	0
[3]	0	0	0	0

 $2^{\text{nd}}$  iteration i=1:

tc	[0]	[1]	[2]	[3]
[0]	1	1	1	1
[1]	1	1	1	1
[2]	1	1	1	1
[3]	0	0	0	0

3<sup>rd</sup> iteration i=2: unchanged

4<sup>th</sup> iteration i=3: unchanged

... Transitive Closure

Cost analysis:

- storage: additional  $V^2$  items (each item may be 1 bit)
- computation of transitive closure:  $O(V^3)$
- computation of reachable(): O(1) after having generated tc[][]

Amortisation: would need many calls to reachable () to justify other costs

Alternative: use DFS in each call to reachable() Cost analysis:

- storage: cost of queue and set during reachable
- computation of reachable(): cost of DFS =  $O(V^2)$  (for adjacency matrix)

# **Digraph Traversal**

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Same algorithms as for undirected graphs:

#### depthFirst(v):

- 1. mark v as visited
- 2. for each (v,w)∈edges(G) do if w has not been visited then depthFirst(w)

#### breadth-first(v):

1. enqueue v

 while queue not empty do dequeue v if v not already visited then mark v as visited enqueue each vertex w adjacent to v

## **Example: Web Crawling**

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Goal: visit every page on the web

**Solution:** breadth-first search with "implicit" graph

visit scans page and collects e.g. keywords and links

## **Weighted Graphs**

## **Weighted Graphs**

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Graphs so far have considered

- edge = an association between two vertices/nodes
- may be a precedence in the association (directed)

Some applications require us to consider

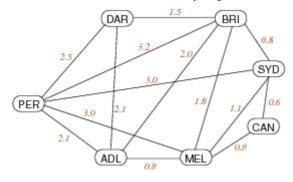
- a cost or weight of an association
- modelled by assigning values to edges (e.g. positive reals)

Weights can be used in both directed and undirected graphs.

### ... Weighted Graphs

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Example: major airline flight routes in Australia



Representation: edge = direct flight; weight = approx flying time (hours)

... Weighted Graphs

Weights lead to minimisation-type questions, e.g.

- 1. Cheapest way to connect all vertices?
  - a.k.a. *minimum spanning tree* problem also known as(a.k.a)
  - · assumes: edges are weighted and undirected
- 2. Cheapest way to get from A to B?
  - a.k.a shortest path problem
  - assumes: edge weights positive, directed or undirected

### **Exercise #3: Implementing a Route Finder**

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If we represent a street map as a graph

- what are the vertices?
- what are the edges?
- are edges directional?
- what are the weights?
- are the weights fixed?

## **Weighted Graph Representation**

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Weights can easily be added to:

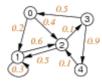
- adjacency matrix representation (0/1 → int or float)
- adjacency lists representation (add int/float to list node)

Both representations work whether edges are directed or not.

### ... Weighted Graph Representation

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Adjacency matrix representation with weights:





Weighted Digraph

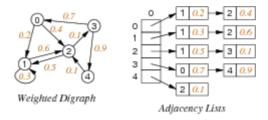
Adjacency Matrix

Note: need distinguished value to indicate "no edge".

### ... Weighted Graph Representation

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Adjacency lists representation with weights:



Note: if undirected, each edge appears twice with same weight

### ... Weighted Graph Representation

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Sample adjacency matrix implementation in C requires minimal changes to previous Graph ADT:

#### WGraph.h

```
// edges are pairs of vertices (end-points) plus positive weight
typedef struct Edge {
    Vertex v;
    Vertex w;
    int weight;
} Edge;
// returns weight, or 0 if vertices not adjacent
int adjacent(Graph, Vertex, Vertex);
```

#### ... Weighted Graph Representation

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#### WGraph.c

```
typedef struct GraphRep {
   int **edges;
                 // adjacency matrix storing positive weights
                 // 0 if nodes not adjacent
                  // #vertices
   int
         nV;
   int
                 // #edges
         nE;
} GraphRep;
void insertEdge(Graph g, Edge e) {
   assert(g != NULL && validV(g,e.v) && validV(g,e.w));
   if (g-\text{>edges}[e.v][e.w] == 0) { // edge e not in graph}
      g->edges[e.v][e.w] = e.weight;
      g=>nE++;
   }
```

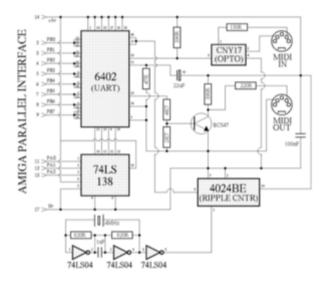
```
int adjacent(Graph g, Vertex v, Vertex w) {
   assert(g != NULL && validV(g,v) && validV(g,w));
   return g->edges[v][w];
}
```

## **Minimum Spanning Trees**

#### **Exercise #4: Minimising Wires in Circuits**

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Electronic circuit designs often need to make the pins of several components electrically equivalent by wiring them together.



To interconnect a set of n pins we can use an arrangement of n-l wires each connecting two pins.

What kind of algorithm would ...

• help us find the arrangement with the least amount of wire?

## **Minimum Spanning Trees**

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Reminder: Spanning tree ST of graph G=(V,E)

- *spanning* = all vertices, *tree* = no cycles
  - ST is a subgraph of G (G'=(V,E')) where  $E'\subseteq E$
  - ST is connected and acyclic

#### Minimum spanning tree MST of graph G

- *MST* is a spanning tree of *G*
- sum of edge weights is no larger than any other ST

Applications: Computer networks, Electrical grids, Transportation networks ...

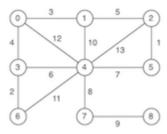
Problem: how to (efficiently) find MST for graph G?

NB: MST may not be unique (e.g. all edges have same weight ⇒ every ST is MST)

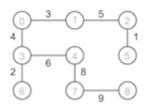
### ... Minimum Spanning Trees

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Example:



An MST ...



### ... Minimum Spanning Trees

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Brute force solution:

Example of generate-and-test algorithm.

Not useful because #spanning trees is potentially large (e.g.  $n^{n-2}$  for a complete graph with n vertices)

### ... Minimum Spanning Trees

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Simplifying assumption:

• edges in G are not directed (MST for digraphs is harder)

# Kruskal's Algorithm

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One approach to computing MST for graph G with V nodes:

```
1. start with empty MST
```

2. consider edges in increasing weight order

• add edge if it does not form a cycle in MST

#### 3. repeat until V-1 edges are added

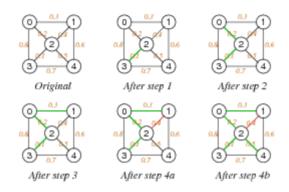
Critical operations:

- iterating over edges in weight order
- · checking for cycles in a graph

### ... Kruskal's Algorithm

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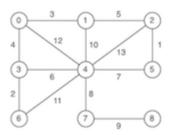
### Execution trace of Kruskal's algorithm:



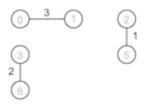
## Exercise #5: Kruskal's Algorithm

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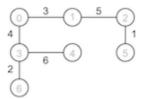
Show how Kruskal's algorithm produces an MST on:



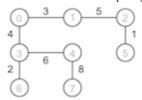
## After 3<sup>rd</sup> iteration:



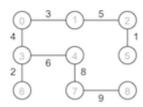
### After 6<sup>th</sup> iteration:



### After 7<sup>th</sup> iteration:



After 8<sup>th</sup> iteration (*V*-1=8 edges added):



### ... Kruskal's Algorithm

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Pseudocode:

```
KruskalMST(G):
```

```
Input graph G with n nodes
Output a minimum spanning tree of G

MST=empty graph
sort edges(G) by weight
for each eEsortedEdgeList do

    MST = MST U {e}
    if MST has a cyle then
        MST = MST \ {e}
    end if
    if MST has n-1 edges then
        return MST
    end if
end for
```

#### ... Kruskal's Algorithm

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Rough time complexity analysis ...

- sorting edge list is  $O(E \cdot log E)$
- at least *V* iterations over sorted edges
- on each iteration ...
  - getting next lowest cost edge is O(1)
  - checking whether adding it forms a cycle: cost = ??

Possibilities for cycle checking:

- use DFS ... too expensive?
- could use *Union-Find data structure* (see Sedgewick Ch.1)

# **Prim's Algorithm**

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Another approach to computing MST for graph G=(V,E):

1. start from any vertex v and empty MST

#### 2. choose edge not already in MST to add to MST

- must be incident on a vertex s already connected to v in MST
- must be incident on a vertex t not already connected to v in MST
- o must have minimal weight of all such edges
- 3. repeat until MST covers all vertices

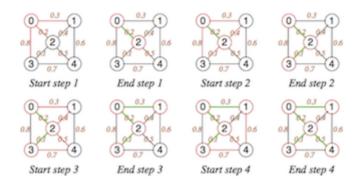
#### Critical operations:

- checking for vertex being connected in a graph
- finding min weight edge in a set of edges

### ... Prim's Algorithm

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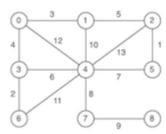
Execution trace of Prim's algorithm (starting at *s*=0):



### **Exercise #6: Prim's Algorithm**

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Show how Prim's algorithm produces an MST on:



Start from vertex 0

After 1<sup>st</sup> iteration:



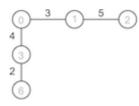
After 2<sup>nd</sup> iteration:



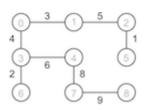
After 3<sup>rd</sup> iteration:



### After 4<sup>th</sup> iteration:



### After 8<sup>th</sup> iteration (all vertices covered):



### ... Prim's Algorithm

Pseudocode:

Critical operation: finding best edge

### ... Prim's Algorithm

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Rough time complexity analysis ...

- Viterations of outer loop
- in each iteration ...
  - find min edge with set of edges is  $O(E) \Rightarrow O(V \cdot E)$  overall
  - find min edge with *priority queue* is  $O(log E) \Rightarrow O(V \cdot log E)$  overall

Week 03a: Graph Algorithms

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## **Sidetrack: Priority Queues**

Some applications of queues require

- items processed in order of "priority"
- rather than in order of entry (FIFO first in, first out)

Priority Queues (PQueues) provide this via:

- join: insert item into PQueue with an associated priority (replacing enqueue)
- leave: remove item with highest priority (replacing dequeue)

Time complexity for naive implementation of a PQueue containing N items ...

• O(1) for join O(N) for leave

Most efficient implementation ("heap") ...

•  $O(\log N)$  for join, leave

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## **Other MST Algorithms**

Boruvka's algorithm ... complexity  $O(E \cdot log V)$ 

- the oldest MST algorithm
- start with V separate components
- join components using min cost links
- continue until only a single component

Karger, Klein, and Tarjan ... complexity O(E)

- based on Boruvka, but non-deterministic
- randomly selects subset of edges to consider
- for the keen, here's the paper describing the algorithm

### **Shortest Path**

Shortest Path

Path =sequence of edges in graph  $G \quad p = (v_0, v_1), (v_1, v_2), ..., (v_{m-1}, v_m)$ 

cost(path) = sum of edge weights along path

**Shortest path** between vertices s and t

- a simple path p(s,t) where s = first(p), t = last(p)
- no other simple path q(s,t) has cost(q) < cost(p)

Assumptions: weighted digraph, no negative weights.

Finding shortest path between two given nodes known as source-target SP problem

Variations: single-source SP, all-pairs SP

Applications: navigation, routing in data networks, ...

## **Single-source Shortest Path (SSSP)**

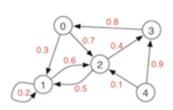
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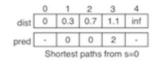
Given: weighted digraph G, source vertex s

Result: shortest paths from s to all other vertices

- dist[] V-indexed array of cost of shortest path from s
- pred[] V-indexed array of predecessor in shortest path from s

#### Example:





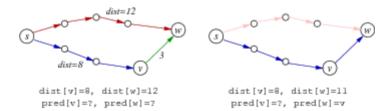
# **Edge Relaxation**

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Assume: dist[] and pred[] as above (but containing data for shortest paths discovered so far)

dist[v] is length of shortest known path from s to v
dist[w] is length of shortest known path from s to w

Relaxation updates data for w if we find a shorter path from s to w:



Relaxation along edge e = (v, w, weight):

if dist[v]+weight < dist[w] then</li>
 update dist[w]:=dist[v]+weight and pred[w]:=v

## Dijkstra's Algorithm

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One approach to solving single-source shortest path problem ...

Data: G, s, dist[], pred[] and

• *vSet*: set of vertices whose shortest path from s is unknown

#### Algorithm:

```
dist[] // array of cost of shortest path from s
pred[] // array of predecessor in shortest path from s
```

dijkstraSSSP(G, source):

```
Input graph G, source node

initialise dist[] to all ∞, except dist[source]=0
initialise pred[] to all -1
vSet=all vertices of G
while vSet≠Ø do

find s€vSet with minimum dist[s]

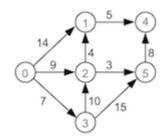
for each (s,t,w)€edges(G) do

relax along (s,t,w)
end for
vSet=vSet\{s}
end while
```

### Exercise #7: Dijkstra's Algorithm

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Show how Dijkstra's algorithm runs on (source node = 0):



	[0]	[1]	[2]	[3]	[4]	[5]
dist	0	<u>∞</u>	<u>∞</u>	<u>∞</u>	<u></u>	∞
pred	_	_	_	_	_	_

	[0]	[1]	[2]	[3]	[4]	[5]
dist	0	<b>∞</b>	<u>∞</u>	∞	<b>∞</b>	<u></u>
pred	_	_	_	_	_	_

dist	0	14	9	7	<u>∞</u>	<u>∞</u>
pred	_	0	0	0	_	_

dist	0	14	9	7	<u>∞</u>	22
pred	_	0	0	0	_	3

dist	0	13	9	7	∞	12
pred	_	2	0	0	_	2

dist	0	13	9	7	20	12
pred	_	2	0	0	5	2

dist	0	13	9	7	18	12

| | pred | \_ | 2 | 0 | 0 | 1 | 2 |

### ... Dijkstra's Algorithm

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Why Dijkstra's algorithm is correct:

Hypothesis.

- (a) For visited s ... dist[s] is shortest distance from source
- (b) For unvisited t ... dist[t] is shortest distance from source via visited nodes

Proof.

Base case: no visited nodes, dist[source] = 0,  $dist[s] = \infty$  for all other nodes

Induction step:

- 1. If s is unvisited node with minimum dist[s], then dist[s] is shortest distance from source to s:
  - if  $\exists$  shorter path via only visited nodes, then dist[s] would have been updated when processing the predecessor of s on this path
  - if  $\exists$  shorter path via an unvisited node u, then dist[u] < dist[s], which is impossible if s has min distance of all unvisited nodes
- 2. This implies that (a) holds for s after processing s
- 3. (b) still holds for all unvisited nodes t after processing s:
  - if  $\exists$  shorter path via s we would have just updated dist[t]
  - $\circ$  if  $\exists$  shorter path without s we would have found it previously

### ... Dijkstra's Algorithm

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Time complexity analysis ...

Each edge needs to be considered once  $\Rightarrow O(E)$ .

Outer loop has O(V) iterations.

Implementing "find sEvSet with minimum dist[s]"

- 1. try all  $s \in vSet \Rightarrow cost = O(V) \Rightarrow overall cost = O(E + V^2) = O(V^2)$
- 2. using a PQueue to implement extracting minimum
  - $\circ$  can improve overall cost to  $O(E + V \cdot log V)$  (for best-known implementation)

## **All-pair Shortest Path (APSP)**

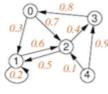
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Given: weighted digraph G

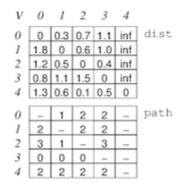
Result: shortest paths between all pairs of vertices

- $dist[][] V \times V$ -indexed matrix of cost of shortest path from  $v_{row}$  to  $v_{col}$
- path[][]  $V \times V$ -indexed matrix of next node in shortest path from  $v_{row}$  to  $v_{col}$

Example:



Weighted Digraph



Shortest paths between all vertices

# **Digraph Applications**

PageRank 62/67

Goal: determine which Web pages are "important"

Approach: ignore page contents; focus on hyperlinks

- treat Web as graph: page = vertex, hyperlink = directed edge
- pages with many incoming hyperlinks are important
- need to compute "incoming degree" for vertices

Problem: the Web is a very large graph

• approx.  $10^{14}$  pages,  $10^{15}$  hyperlinks

Assume for the moment that we could build a graph ...

Most frequent operation in algorithm "Does edge (v,w) exist?"

... PageRank 63/67

Simple PageRank algorithm:

```
PageRank(myPage):
    rank=0
    for each page in the Web do
        if linkExists(page,myPage) then
        rank=rank+1
        end if
    end for
```

Note: requires inbound link check

... PageRank 64/67

V = # pages in Web, E = # hyperlinks in Web

Costs for computing PageRank for each representation:

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Representation	linkExists(v,w)	Cost	
Adjacency matrix	edge[v][w]	1	
Adjacency lists	<pre>inLL(list[v],w)</pre>	<i>≅ E/V</i>	

Not feasible ...

- adjacency matrix ...  $V = 10^{14} \Rightarrow$  matrix has  $10^{28}$  cells
- adjacency list ... V lists, each with  $\approx 10$  hyperlinks  $\Rightarrow 10^{15}$  list nodes

So how to really do it?

... PageRank 65/67

Approach: the random web surfer

- if we randomly follow links in the web ...
- ... more likely to re-discover pages with many inbound links

```
curr=random page, prev=null
for a long time do
   if curr not in array ranked[] then
      rank[curr]=0
   end if
   rank[curr]=rank[curr]+1
   if random(0,100)<85 then
                                        // with 85% chance ...
      prev=curr
      curr=choose hyperlink from curr
                                        // ... crawl on
                                        // avoid getting stuck
      curr=random page
      prev=null
   end if
end for
```

Could be accomplished while we crawl web to build search index

### **Exercise #8: Implementing Facebook**

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Facebook could be considered as a giant "social graph"

- what are the vertices?
- what are the edges?
- are edges directional?

What kind of algorithm would ...

• help us find people that you might like to "befriend"?

## **Summary**

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- Digraphs, weighted graphs: representations, applications
- Reachability
  - Warshall

- Minimum Spanning Tree (MST)
  - Kruskal, Prim
- Shortest path problems
  - Dijkstra (single source SPP)
  - Floyd (all-pair SSP)
- Flow networks
  - Edmonds-Karp (maximum flow)
- Suggested reading (Sedgewick):
  - o digraphs ... Ch. 19.1-19.3
  - weighted graphs ... Ch. 20-20.1
  - o MST ... Ch. 20.2-20.4
  - o SSP ... Ch. 21-21.3
  - o network flows ... Ch. 22.1-22.2

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