# Mr. Wu Zhaoxuan

#### Position:

Ph.D. Student under

Institute of Data Science (IDS), National University of Singapore (NUS), and NUS Graduate School Integrative Sciences and Engineering Program (ISEP)

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# RESEARCH INTERESTS

• Collaborative machine learning (e.g., data valuation, federated learning, incentives, fairness)

• Resource-efficient machine learning (e.g., Bayesian optimization)

• Large language models (e.g., prompting)

• Deep learning & applications

# ACADEMIC QUALIFICATIONS

## Doctor of Philosophy in Data Science

Singapore

Aug 2020 - Present

National University of Singapore

• CAP: 5.00/5.00

• Thesis Title: Collaborative Machine Learning

o Supervisor: Prof. Bryan Kian Hsiang Low

 $\circ\,$  Thesis Advisory Committee: Prof. See-Kiong Ng, Prof. Vincent Yan Fu<br/> Tan, Prof. Bryan Kian Hsiang Low

# Bachelor of Science (Honors) in Data Science & Analytics

Singapore

National University of Singapore

Aug 2016 - Jun 2020

- Minor in Computer Science
- CAP: 4.82/5.00; Honors (Highest Distinction)
- o Thesis Title: Deep Learning for Glaucoma Diagnosis
- o Supervisor: Prof. Alexandre Hoang Thiery
- o Award: Best Academic Project in Data Science & Analytics Discipline

## **SCHOLARSHIPS**

NUSGS Research Incentive Award
Singapore Data Science Consortium (SDSC) Dissertation Research Fellowship
President's Graduate Fellowship (Ph.D.)
UTown Scholarship - Tin Ka Ping Foundation Scholarship
Singapore SM1 School-based Scholarship (Secondary & Pre-U)



## **PUBLICATIONS**

- \* = equal contribution / co-first authorship
- <u>Zhaoxuan Wu</u>, Mohammad Mohammadi Amiri, Ramesh Raskar, and Bryan Kian Hsiang Low. (2024). **Incentive-Aware Federated Learning with Training-Time Model Rewards**. *In Proceedings of the 12th International Conference on Learning Representations (ICLR-24)* [31% Acceptance Rate].
- Wenyang Hu, Yao Shu, Zongmin Yu, <u>Zhaoxuan Wu</u>, Xiangqiang Lin, Zhongxiang Dai, See-Kiong Ng, and Bryan Kian Hsiang Low (2024). **Localized Zeroth-Order Prompt Optimization**. *In arXiv Pre-print*.
- Xiaoqiang Lin\*, <u>Zhaoxuan Wu\*</u>, Zhongxiang Dai, Wenyang Hu, Yao Shu, See-Kiong Ng, Patrick Jaillet, and Bryan Kian Hsiang Low (2023). **Use Your INSTINCT: INSTruction** optimization usIng Neural bandits Coupled with Transformers. In the 37th Conference on Neural Information Processing Systems (NeurIPS'23) Workshop on Instruction Tuning and Instruction Following.
- Xinyi Xu, Zhaoxuan Wu, Arun Verma, Chuan Sheng Foo, and Bryan Kian Hsiang Low (2023). FAIR: Fair Collaborative Active Learning with Individual Rationality for Scientific Discovery. In Proceedings of the 26th International Conference on Artificial Intelligence and Statistics (AISTATS-23) [29.0% Acceptance Rate].
- Zhaoxuan Wu, Yao Shu, and Bryan Kian Hsiang Low (2022). **DAVINZ: Data Valuation** using Deep Neural Networks at Initialization. In Proceedings of the 39th International Conference on Machine Learning (ICML-22) [21.9% Acceptance Rate].
- Yao Shu, Zhongxiang Dai, <u>Zhaoxuan Wu</u>, and Bryan Kian Hsiang Low (2022). **Unifying and Boosting Gradient-Based Training-Free Neural Architecture Search**. In Advances in Neural Information Processing Systems 35: 36th Annual Conference on Neural Information Processing Systems (NeurIPS'22) [25.6% Acceptance Rate].
- Xinyi Xu\*, Zhaoxuan Wu\*, Chuan Sheng Foo, and Bryan Kian Hsiang Low (2021). Validation Free and Replication Robust Volume-based Data Valuation. In Advances in Neural Information Processing Systems 34: 35th Annual Conference on Neural Information Processing Systems (NeurIPS'21) [25.7% Acceptance Rate].
- Quoc Phong Nguyen\*, <u>Zhaoxuan Wu\*</u>, Bryan Kian Hsiang Low, and Patrick Jaillet (2021). **Trusted-Maximizers Entropy Search for Efficient Bayesian Optimization**. In Proceedings of the 37th Conference on Uncertainty in Artificial Intelligence (UAI-21) [26.5% Acceptance Rate].

# BOOK CHAPTERS

- Zhaoxuan Wu, Xinyi Xu, Rachael Hwee Ling Sim, Yao Shu, Xiaoqiang Lin, Lucas Agussurja, Zhongxiang Dai, See-Kiong Ng, Chuan-Sheng Foo, Patrick Jaillet, Trong Nghia Hoang, and Bryan Kian Hsiang Low (2024). **Data Valuation in Federated Learning**. In L. M. Nguyen, T. N. Hoang, P.-Y. Chen, editors, Federated Learning: Theory and Practice, chapter 15, pages 281-296, Academic Press.
- Xiaoqiang Lin, Xinyi Xu, <u>Zhaoxuan Wu</u>, Rachael Hwee Ling Sim, See-Kiong Ng, Chuan-Sheng Foo, Patrick Jaillet, Trong Nghia Hoang, and Bryan Kian Hsiang Low (2024). **Fairness in Federated Learning**. In L. M. Nguyen, T. N. Hoang, P.-Y. Chen, editors, Federated Learning: Theory and Practice, chapter 8, pages 143-160, Academic Press.

• Rachael Hwee Ling Sim, Sebastian Shenghong Tay, Xinyi Xu, Yehong Zhang, <u>Zhaoxuan Wu</u>, Xiaoqiang Lin, See-Kiong Ng, Chuan-Sheng Foo, Patrick Jaillet, Trong Nghia Hoang, and Bryan Kian Hsiang Low (2024). **Incentives in Federated Learning**. In L. M. Nguyen, T. N. Hoang, P.-Y. Chen, editors, Federated Learning: Theory and Practice, chapter 16, pages 299-309, Academic Press.

#### PROFESSIONAL SERVICE

- Conference reviewer/PC member for
  - AAAI Conference on Artificial Intelligence (AAAI), 2024
  - International Conference on Artificial Intelligence and Statistics (AISTATS), 2024
  - International Joint Conference on Artificial Intelligence (IJCAI), 2024
  - o International Conference on Learning Representations (ICLR), 2023, 2024
  - International Conference on Autonomous Agents and Multiagent Systems (AAMAS), 2023, 2024
  - o International Conference on Machine Learning (ICML), 2022, 2023, 2024
  - Conference on Neural Information Processing Systems (NeurIPS), 2022, 2023
  - Asian Conference on Machine Learning (ACML), 2022, 2023
- Received **Top Reviewer** for NeurIPS 2023

## INVITED TALKS

• "Use Your INSTINCT: INSTruction optimization usIng Neural bandits Coupled with Transformers". Deep Learning and Optimization Seminar (jointly organized by Westlake University, CityU, Peking University), virtual, Oct 24, 2023.

#### TEACHING EXPERIENCE

• CS3244 (Machine Learning), NUS

*Spring 2022* 

- Teaching Assistant for 1 tutorial class
- CS3244 (Machine Learning), NUS

Spring 2021

- Teaching Assistant for 2 tutorial classes
- DSA2102 (Essential Data Analytics Tools: Numerical Computation), NUS

Fall 2020

• Teaching Assistant

#### Honors and Awards

# • Lijen Industrial Development Medal AY2019/20

- Being the Honors year student with the best academic exercise/project in the Data Science and Analytics discipline in the Faculty of Science, NUS
- In my Honors project, I designed a multi-task U-Net architecture for learning three tasks on Optical Coherence Tomography (OCT) images simultaneously
- Achieved an overall average test accuracy of 91.4% across tasks and further developed an algorithm to reconstruct a more realistic predicted eye structure

# • Faculty of Science Dean's List Recipient for Semester 2 AY2019/20, Semester 1 AY2018/19 and Semester 2 AY2017/18

• Awarded to students in the top 5 percent of the total undergraduate Science cohort

#### • NUS Science Diamond Jubilee Student Award 2019

- A testimony of excellent academic track records both in NUS and the Student Exchange Program to Northwestern University, IL, USA
- Gold Award in Nanyang Research Program 2014
  - Awarded for the Electrical & Electronic Engineering project on Nanowires Silicon/PEDOT:PSS Hybrid Solar Cells after months of experiments, written report, and oral presentation
- High Distinction in National Economics & Financial Management Competition 2015
- Young Engineers & Scientist (YES) Academic Award Physics 2013
  - Awarded by the Defence Science & Technology Agency of Singapore
- Silver Award in Singapore Junior Physics Olympiad 2012

#### EMPLOYMENT HISTORY

# NUS AI Innovation & Commercialization Center

Suzhou, China

Research Intern

May 2019 - Aug 2019

- o Supervisors: Prof. Teck Khim Ng and Prof. Yin Xu
- AutoML: Contributed to the development of *Rafiki*, an open-source distributed system that offers automated Machine Learning (AutoML) model training, tunning and deployment services
- ASR: Enriched Rafiki's base of supported tasks to Automated Speech Recognition (ASR) and integrated a ready-to-use DeepSpeech model into the Rafiki framework
- Impact: Enable users with minimal background knowledge in AI to train, tune and deploy an ASR application with a Word Error Rate of less than 10%

# Insignia Ventures Partners

Singapore

Full-Stack Developer Intern

Jan 2018 - Jul 2018

- o Supervisors: Dr. Yinglan Tan and Mr. Ridy Lie
- Web Development: Designed and developed features in the company's web application under the engineering team, including KPIs, web scraping, securities and third-party application integration, thus improving the user-friendliness of the application and the efficiency of the investment process

# Pteris Global Limited

Singapore

Software Developer Intern

Mar 2016 - May 2016

• **VBA**: Designed and developed VBA programs to generate templates for project costing estimate, manpower costing estimate and procurement list, resulting in a much more reliable automated costing calculation free of human error, and at the same time, increased the productivity by reducing labor hours