ATTACHMENT A: TO BE USED IN ANSWERING QUESTION 1

HAZARD IDENTIFICATION CHECKLIST

This list is primarily for use during Preliminary Hazard Analysis and design reviews. It is intended to be thought provoking but has all the limitations of generic data. In no circumstances should it be considered complete or necessarily applicable to all systems.

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1 TOXIC MATERIALS

Possible Effects

Injury to:

- · Respiratory System
- Blood System
- Body Organs
- Skin
- Nervous System
- Irritation of eyes, nose, throat, or respiratory passages.
- Asphyxiation
- · Reduction in personnel efficiency or capabilities
- Cancer
- Destruction of vegetation and animal life.

Possible Causes

Gas which can be inhaled:

- From leak or release from pressurised system
- Evaporation of spilled liquid or from open container
- Product of reaction between two or more chemicals
- Product of combustion
- Outgassing of gasses in confined spaces

Liquid or solid which can be ingested or absorbed:

- Fine metal or other particulate matter
- Food or other material taken in by mouth
- Lack of skin protection
- Inadequate personal cleanliness
- Injected by high-pressure spray
- · Through an open wound

Inadequate oxygen for respiration due to:

- · High altitudes
- Dilution by inert gases
- Combustion that consumes all available oxygen
- Insufficient ventilation if occupied, enclosed space
- Atmospheric pollution by industrial, automobile, or other exhausts
- Blockage of respiratory organs by particulate matter in

Use of food, cosmetic, or drug that is a carcinogen:

- Contaminated food
- Bacteria and viruses
- Improper / unknowing use of drugs

2 PRESSURE

Possible Effects

High Pressure Injury:

- Eye or skin damage due to blown dirt or other solid particles
- Whipping hoses hit personnel
- Lung, ear, and other body damage by overpressurisation
- Cutting by thin, high-pressure jets

Container explodes, is ruptured (internal pressure) or crushed (external pressure):

- Blast effects
- Fragments of ruptured container blown about

Leakage:

- Leaks in lines and equipment designed for lower pressures
- Blowout of seals and gaskets
- Release of toxic, corrosive, flammable, odorous, or high-temperature liquid or gas
- Loss of system liquid or gas
- Early fuel exhaustion
- Loss of system pressure
- Loss of lubricants
- · Contamination and degradation of materials
- Slippery surfaces
- · Short-circuiting of electrical circuits and equipment
- Displacement of air or other gas by liquid
- Vibration and noise

Permanent deformation of metal containers

Excessively rapid motion of hydraulically or pneumatically activated equipment, rams, pistons

Unsecured container propelled about by escaping gas

Possible Causes

Over-pressurisation:

- Connection to system with excessively high pressure
- Regulator failure
- Heated gases in close containers
- Heating fluids with high vapour pressures
- Water hammer (hydraulic shock)
- Deep submersion
- High acceleration of liquid system
- Warming cryogenic liquid in a closed or inadequately ventilated system

Excessively high combustion rate for boiler, evaporator or other fired vessel

Pressure relief failure:

- Incorrectly installed, incorrectly adjusted, not calibrated
- No pressure relief valve or vent
- Faulty pressure relief valve or vent
- · Relief inadequately sized
- Relief valve operation not checked regularly by procedure

Failure at normal pressure:

- Deteriorated pressure vessel or lines
- Inadequate connection
- Failure or improper release of connectors
- Inadequate restraining devices

Leakage:

- · Reservoir losses
- Overfilling of container
- Erroneously open drain or connection
- Inadequately fitted or tightened parts
- Worn parts and connections
- Fittings loosened by vibration
- Cracks caused by structural failure
- Porosity or other weld defect
- Contact surfaces inadequately finished or dirty
- Wrong type of gasket or seal
- Cuts in seals, gaskets, or hoses
- Hose holes caused by wear, kinking, or deterioration
- Hole torn by impact

2 PRESSURE (Continued)

Possible Effects

Low Pressure:

- System inoperable
- Implosion of pressure vessel
- Inadequate air for respiration
- Physiological damage (atelectasis)

Pressure Changes:

- Compressive heating
- Joule-Thomson cooling
- Physiological disturbances (cramps, the bends)
- Condensation of moisture

Possible Causes

Low Pressure:

- Compressor or pump failure
- Condensation or cooling of gas in a closed system
- Decrease in gas volume due to combustion in a closed
- Inadequate design against implosion forces
- Increased altitude

Pressure changes:

- High gas compressor
- Rapid Expansion of gas
- Rapid change of altitude
- Rapid rise toward surface from underwater
- Explosive decompression
- Changes of temperature

3 HEAT AND TEMPERATURE

Possible Effects

High Temperature:

- De-stabilisation, deterioration of stored explosives
- · Burns to personnel
- · Reduced personnel efficiency and errors
- Heat cramps, strokes, and exhaustion
- Reduced relative humidity
- Ignition of combustibles
- Charring of organic materials
- Reduced strength of metals and other materials
- Melting of metals and thermoplastics
- Distortion and warping of parts
- Weakening of soldered seams
- Peeling of finishes, blistering of paint
- Expansion causing binding or loosening of parts
- Decreased viscosity of lubricants
- Increased evaporation and leakage of liquids (fuels, lubricants, toxic liquids)
- · Increased gas diffusion
- Increase in stored gas pressure
- Increased reactivity
- Breakdown of chemical compounds
- Premature operation of thermally activated devices
- Increased electrical resistance
- Opening or closing of electrical contacts due to expansion
- Changes in other electrical characteristics

Low Temperature:

- Frostbite or cryogenic burns
- Icing of operating equipment
- Freezing of liquids
- Condensation of moisture and other vapours
- · Reduced viscosity of liquids
- Gelling of oils and lubricants
- Reduced reaction rates
- Increased brittleness of metals
- Loss of flexibility of plastics and organic metals
- Contraction effects, especially opening of cracks in metals
- Jamming or loosening of moving parts due to contraction
- Delayed or loosening of moving parts due to contraction
- Delayed ignition in furnaces and combustion chambers
- Combustion instability in engines
- Changes in electrical characteristics

Possible Causes

High Temperature: Generation or absorption of heat from:

- Heat engine operation
- Fire or explosion
- Other exothermic chemical reaction
- Electrical heating
- Solar heating
- Aerodynamic or other vehicular friction
- Friction between moving parts
- Internal friction due to repeated bending or other work process such as repeated impacts
- Gas compression
- Biological or physiological processes
- Welding, soldering, brazing or metal cutting
- Weather
- Organic decay processes
- Nuclear reaction
- Immersion in hot fluid
- Lack of insulation from thermal sources
- Inadequate heat dissipation capacity or cooling system failure
- Hot spots due to coolant fluid circulation being obstructed.

Low Temperature: Loss of heat because of:

- Mechanical cooling of refrigeration processes
- Heat loss by radiation, conduction, or convection
- Cold climate or weather
- Endothermic reactions
- Rapid evaporation
- Immersion in cold fluid
- Presence of cryogenic liquid
- Presence of cryogenic liquid
- Gas expansion
- Joule-Thomson effect

3 HEAT AND TEMPERATURE (Continued)

Possible Effects Possible Causes Temperature Variations: Dimensional changes, especially in metals Cycling fatigue of metals Pressure changes in confined gases and liquids Variations in stresses Temperature Variations: Stopping and starting of heat engines and other powered equipment Diurnal heating and cooling Gain and loss of heat due to changes in radiation, conduction or convection

4 EXPLOSIVES AND EXPLOSIONS

Possible Effects

Blast effects:

- · Over-pressures
- Container rupture
- Collapse of nearby containers
- · Damage to structures, equipment and vehicles
- Propagation of other explosions

Fragmenting effects:

- Holing of nearby containers, equipment and vehicles
- Impact of pieces against personnel, equipment, vehicles and structures
- Dispersion of burning, hot, combustible or corrosive materials

Heat effects:

- Dispersion of toxic materials
- Injury to personnel

Possible Causes

Inadvertent activation by electrical current, heat, electromagnetic radiation, lightning or other static electricity, impact or fire of:

- Explosives
- Combustible gases in containers or confined spaces
- Fine dusts or powders
- Combustible gases or liquids:
 - In high concentrations
 - In presence of strong oxidisers
 - At high temperature

After burning of confined combustion products

Delayed combustion in a cold firing chamber

Ignition of hydrogen produced by battery charging

Warming a cryogenic liquid in a closed system

Warming a liquid with a high vapour pressure in a closed container

Ignition of sensitive gases, such as acetylene

Contact between water or moisture and a water-sensitive material such as molten sodium, potassium or lithium; concentrated acids of alkalis; or similar substances.

Activation of confined solid propellants that are: cracked, defective, improperly bonded, at excessive temperatures, have excess oxidiser or burning catalyst.

Defective solid propellant motor case.

Fuel, lubricant, or solvent in contact with strong oxidiser.

5 ELECTRICAL AND ELECTRONIC

Possible Effects

Electric Shock

Thermal effects:

- Burns
- Degradation of performance
- Overloading and burnout of equipment
- Ignition of combustibles
- Melting of soldered connections
- Degraded reliability
- Softening and melting of plastics
- Circuit breakers, fuses and cut-outs opening deactivating equipment

Arcing and sparking causes:

- Ignition of combustibles
- Build-up and welding of contacts
- Surface damage to metals
- Interference with electrical equipment operation
- Electrical noise and cross talk

Inadvertent activation of the product or a device:

- Untimely equipment starts
- Endangering personnel working on or in equipment supposedly inoperative
- Safety breaks inadvertently activated

Electrical system failure, making:

- System inoperative in hazardous situation
- Safety Equipment inoperative
- Release of holding devices
- Detection and warning devices inoperative
- Interruption of communications

Possible Causes

Accidental contact with live circuit through:

- Touching bare conductor
- Inadequate insulation
- Cutting through insulation
- Deteriorated insulation
- Defective assembly of electrical tool or appliance
- Erroneous connection
- Lightning strike

High IR losses

Inadequate cooling

Overloads

Short circuits caused by:

- Inadequate or deteriorated insulation
- Erroneous connection
- Bare conductors touching
- · Dirt, contamination, or moisture
- Corrosion
- Excessive or loose particles of solder or cut wire
- Bent connector pins
- Component failure
- Improper wiring
- Improper mating of connectors
- Lightning strike

Gaseous gap between conductors caused by:

- Loose connection
- Opening of switches, relays, circuit breakers and similar devices
- Electric arc welding
- Lack of bonding or grounding
- Deteriorated or inadequate insulation

5 ELECTRICAL AND ELECTRONIC (Continued)

Possible Effects	Possible Causes
Explosion of: • Batteries • Circuit breakers, transformers, and similar equipment • Capacitors	Stray current from: 'Sneak' circuit Cross-connection Personnel error Misapplied test equipment power Static electricity discharge Coupling Malfunction caused by: Power source failure Power surge opening fuse or circuit breaker Component failure System overloading Short-circuit Operator error Lightning strike Short circuiting Present of liquid or contaminants which disassociate violently when current passes through Burning/heat due to resistance at contacts or connections

6 VIBRATION AND NOISE

Possible Effects

Effects on personnel:

- Fatigue
- Inability to read instruments or to activate controls
- Involuntary reaction to sudden loud noise
- Injury to hearing ability
- Raynaud's disease
- Interference with communications

Damage to equipment:

- Metal fatigue and other changes in crystalline structure
- Loosening of bolts or other fastened parts
- Breaking of lead wires, laments, and supporting parts
- Crazing and flaking of finishes

Chattering of spring-type contacts, valves and pointers

Possible false readings on pointer type devices

Static electricity generated between plastic surfaces

Possible Causes

Irregular motion of rotating parts

Bearing deterioration and misalignment

Irregular or cyclic motion

Loose or undersized mountings

Pump or blower cavitation

Reciprocating motion

Vibrating tools

Misaligned equipment in motion

Lack of vibration isolators

Scraping of hard surfaces against each other, bottoming or failure of shock mounts or absorbers

Fluid dynamics:

- Escaping high-velocity gas
- High-velocity fluid hitting a surface or object that can vibrate
- Pneumatic or hydraulic shock (water hammer)
- Aerodynamic flutter or buzz
- Jet engine exhaust
- Sonic booms and other shock waves

High amplified music or other sounds

Explosions or other violent ruptures

Lack or failure of sound isolation devices such as mufflers

7 RADIATION

Possible Effects

Ionising:

- · Tissue damage
- Degradation of electronic equipment and changes to their characteristics
- Degradation of material strength
- Radioactive contamination

Microwave / Radio Frequency:

- Heating of metals and tissue by induction
- Cataracts or other eye injury
- Interference with operation of other electronic equipment
- Activation of sensitive electro explosives

Infrared Radiation:

- Undesirable heat gain or temperature rise
- Increased temperature in enclosed space
- Overheating
- Skin burns
- Charring of organic materials
- Initiation of flammables

Visible Light:

- Temporary blindness
- Deterioration of photosensitive material

Ultraviolet Light:

- Vision damage and other eye injuries
- Skin burns
- Deterioration of rubber, plastics and other materials
- Ozone or nitrogen oxide generation
- Decomposition of chlorinated hydrocarbons
- · Colour fading of fabrics etc.

Laser:

- Personnel injury
- Equipment damage

Electromagnetic Pulse:

- Damage to electrical/electronic components, equipment and systems.
- · Loss of magnetically stored data
- Lack of communications

Possible Causes / Sources

Ionising:

- Inadequate containment of radioactive materials
- Accidental exposure to ionising source
- Inadvertent production of rays by radar, communications or TV components operating at potentials over 15,000V
- Use of x-ray equipment
- Nuclear reaction
- Betalights

Microwave / Radio Frequency:

- Radar and communications equipment operation
- High Power microwave equipment operation
- Other microwave generator operation (ovens)

Infrared Radiation:

- Flames
- · Solar radiation
- Infrared heaters
- · Highly heated surface
- Lasers

Visible Light:

- Strong sunlight
- High-intensity light and flashlamps
- Electric arcs

Ultraviolet Light:

- Sunshine
- Electric welding arcs
- Germicidal lamps
- Lasers
- · Photocopying machines

Laser:

- Inadequate / improper means of excluding access to beam path
- Failure of control or optical systems
- Beam reflection

Electromagnetic Pulse:

- Inadequate exposure controls
- · Control system failure
- · Inadequate shielding and grounding

8 MECHANICAL HAZARDS

Possible Effects	Possible Causes
Part of body caught in pinchpoint	Guard or barrier not provided
	Guard removed
	Design of guard inadequate
	Lack of interlock
	Failure of interlock
	Interlock bypassed
Cuts, scratches, and puncture wounds	Sharp points and edges
	Rough surfaces
	Ejected parts and materials
	Broken parts
Bruises and crushed or broken bones	Fall from an elevated position
	Impact by moving equipment or part
	Falling objects, covers, or parts
	Toppling or overturning of unstable products
	Part of body caught in pinchpoint
Strain	Excessive weight for person to lift
	Awkward object to lift

9 FLAMMABILITY AND FIRES

Possible Effects

Injury to Personnel:

- Burns
- Toxic gas and smoke inhalation
- Other heat and high-temperature effects
- Deprivation of oxygen for breathing

Destruction of material and resources:

- Carbonisation and contamination of material
- Equipment rendered inoperative

Damage to the environment:

- Production of corrosive contaminants
- Destruction of wildlife and vegetation
- Production of airborne particulate matter

Possible Causes

Fuel / Oxidizer mixture with ignition source:

Fuels:

- Heating fuels
- Engine fuels
- Paint and varnishes
- Solvent and cleaning agents
- Wood and wood products
- Welding and process gases
- Lubricants
- Rubber and plastics
- · Furnishings and upholstery
- Clothing
- Refuse and trash
- Vegetation
- Other organic materials
- · Hydraulic and coolant fluids
- Normally low-combustible materials in the presence
- of strong oxidisers or high temperatures
- Normally non-flammable metals in finely powered form
- Hydrogen from charging batteries
- Products of incomplete combustion of organic materials

Oxidisers:

- Oxygen in air
- Oxidising compounds
- Oxidising gases
- Lightning strikes

Ignition Source:

- Open flames
- · Arcs and sparks
- Hot surfaces
- Lightning strikes
- Spontaneous ignition
- Adiabatic compression
- Hypergolic mixtures
- Prophoric mixtures
- Water-sensitive reactive materials

10 CHEMICAL REACTIONS

Possible Effects

Corrosion:

- Material degradation
- · Reduction in strength
- Binding of moving surfaces, nuts and other parts
- Loss of resiliency in springs
- · Surface roughness
- Contamination of the system
- Changes in physical and chemical properties
- Holing of containers
- Failures of load-bearing structures
- Failures of electrical connections

Chemical:

- Explosions
- None explosive exothermic reactions
- · Hot gases
- Material degradation
- Swelling of organic materials

Fire (see checklist for Flammability and Fires)

Oxidation (other than by air):

- Increased reactivity of combustibles
- Easier ignition of flammables
- Normally low flammable materials may bum easily
- May cause violent or explosive reactions
- · Partner in hypergolic reactions
- Corrosion of metals
- Deterioration of rubber, plastics or other organic materials

Replacement, chemical:

- Exothermic reactions
- Explosions
- Violent spraying of corrosive material

Possible Causes

Leakage of corrosive or reactive substances

Condensation of atmospheric moisture

Gases released from industrial processes

Acids resulting from combustion

Smog

Incompatibility of materials

Salt atmosphere or salt used for ice melting on roads

Acids created by lightning

Damaged protective surfaces

Electrolytic action (dissimilar metals)

Stray electrical currents

Ground moisture

Moisture from respiration or vegetation

Presence of humidifying equipment

Flooding or immersion

Temperature of compound raised to point reaction begins

Presence of suitable catalyst

Ultraviolet radiation

Heavy shock

Chemical combination involving an oxidant such as:

- Oxygen or ozone
- A halogen or halogen compound
- · Oxidising acids and their salts
- Nitrates, chlorates, perchlorates, hyperchlorites, chromates
- Higher valence compounds of mercury, lead, selenium and thallium
- · Fluorine and water
- Sodium and water
- Nitric acid and water

11 ACCELERATION

Possible Effects

Injury to personnel. A person may:

- Be hit by an object set in motion inadvertently
- Hit a hard surface during a sudden start or change in velocity
- Hit a sharp edge or point when startled
- Fall or be thrown backward during sudden forward acceleration
- Loss his or her balance under centrifugal force
- Be thrown against the ceiling of a vehicle in a sudden drop or other falling manoeuvre
- Fall to the ground or other hard surface
- Be hit be an impacting fragment or missile

Overloading, deformation and failure of structural members

Deflection of piping

Deflection and bottoming of shock isolated parts and springs

Cracking or breaking of lines or equipment by impact of high-velocity fragments

Breakage of cables, ropes, chains and pins by sudden overloads

Fracture of brittle materials

Opening or closing of hinged parts, doors or panels

Seating or unseating of spring-loaded valves or electrical contracts

Shorting of closely spaced electrical parts

Bending of bimetallic strips, thus changing instrument readings and calibration

Pressure surges in liquid systems (water hammer)

Sloshing and loss of liquids from open containers

Loss of fluid pressure

Possible Causes

Acceleration:

- Vehicle, body, or fluid being set into motion or increasing speed
- Outside force applied against an unrestrained body
- Any falling body or dropped object
- Vehicle on a downgrade
- · Uncontrolled loss of altitude or height
- Impact by another body
- Turbulence or motion over rough terrain
- Sudden valve opening in a pressure system
- Centrifugal motion
- Sudden reaction by a surprised person

Deceleration:

- Vehicle, body or fluid decreasing speed or being stopped
- Impact due to:
 - Hitting another body, a structure or the terrain
 - A falling body being arrested
 - Inadequate shock-absorbing materials or devices
 - Sudden closing of a valve in a fluid system with high velocity flow
 - Friction or other resistance to motion

Failure to accelerate or decelerate:

- Inadequate or loss of motive power
- Friction or drag
- Failure of an unlatching or restraining mechanism to release
- Loss, failure or inadequate braking capacity
- Wet, oily or other slippery surfaces

12 HUMAN FACTORS

Causes of Primary Errors

- 1. Inability to concentrate because of unsafe condition of equipment.
- 2. Critical components installed incorrectly.
- 3. Untimely activation of equipment
- 4. Controls activated in wrong order
- 5. Error or delay in reading instruments.
- 6. Failure to note critical indication.
- 7. Vibration and noise cause irritation and inability to read meters and settings and to operate controls.
- 8. Error or delay in use of controls.
- 9. Control setting by operator not precise enough
- 10. Controls broken by excessive force

13 MISCELLANEOUS HAZARDS

Possible Effects

3 MISCELLANEOUS HAZARDS

Contamination:

- Increased friction and binding between sliding surfaces
- Clogging and blocking of lines, valves, regulators, filters, nozzles, orifices
- Scoring and abrading of closely fitted moving surfaces
- Erosion of lines and equipment by large particles in fluids
- Spring contraction prevented by large particles between coils
- Contamination of potable liquids
- · Destruction of vegetation and marine life
- · Source of odours
- Interference in seating of valves
- Flammable particles compressed in air could ignite
- Accumulations of flammable contaminants could ignite
- Deterioration of fluids
- Resilient materials punctured
- Electrical leakage through dirty insulation
- · Reduction in lubricity
- Induces corrosion

Lubricity:

- Slips and falls
- · Loss of control of a moving vehicle
- Loss of friction for braking
- Loss of friction for traction

Odour:

- Annoyance, leading to tendency to make errors
- Reduced ability to withstand other adverse environmental conditions
- Nausea

Possible Causes

Airborne dirt or other environmental particles

Leakage or spillage of petroleum or its products, solvents or other deleterious material

Polymerisation

Washer water from oil and chemical process or storage tanks

Misalignment or poor fitting of parts

Discharge from industrial processes or plants

Internal combustion engine exhaust

Particulate matter from cutting and grinding

Cuttings and pieces of organic fibres

Plastic and elastomer fragments

Process residues

Filtration system overload or failure

Metal particles from moving surfaces in contact

Corrosion

Windborne particulate marcer

Surface material hard and very smooth

Water, oil or other lubricant on a smooth, hard, flat surface

Presence of water on a greasy surface

Ice on a horizontal surface

Characteristic of a material

Leak or spill of an odorous fluid

Products of a chemical reaction

Breakdown of molecules when heated

Voiatilisation of material when heated

Rotting material

Release of a volatile material from a mixture

Outgassing from a porous material of a substance used in its manufacture.