



An existence result for a mixed variational problem arising from Contact Mechanics



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ABSTRACT

We consider a mixed variational problem involving a nonlinear, hemicontinuous, generalized monotone operator. The proposed problem consists of a variational equation in a real reflexive Banach space and a variational inequality in a subset of a second real reflexive Banach space. We investigate the existence of the solution using a fixed point theorem for set valued mapping. An example arising from Contact Mechanics illustrates the theory.

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1. Introduction

The present paper focuses on the following mixed variational problem.

Problem 1. Given $f \in X'$, find $(u, \lambda) \in X \times \Lambda$ so that

$$(Au, v)_{X',X} + b(v, \lambda) = (f, v)_{X',X} \quad \text{for all } v \in X, \quad (1)$$

$$b(u, \mu - \lambda) \leq 0 \quad \text{for all } \mu \in \Lambda. \quad (2)$$

Here and everywhere below X' denotes the dual of the space X and Λ is a subset of a space Y .

If X and Y are Hilbert spaces and $A : X \rightarrow X$ is a symmetric, continuous and strongly monotone operator, then we can write the following *saddle point problem*:

$$a(u, v) + b(v, \lambda) = (\tilde{f}, v)_X \quad \text{for all } v \in X, \quad (3)$$

$$b(u, \mu - \lambda) \leq 0 \quad \text{for all } \mu \in \Lambda; \quad (4)$$

herein $a : X \times X \rightarrow \mathbb{R}$ is the bilinear, symmetric, continuous, X -elliptic form $a(u, v) = (Au, v)_X$ and \tilde{f} is the unique element of X so that $(f, v)_{X',X} = (\tilde{f}, v)_X$ for all $v \in X$. If, in addition, $b(\cdot, \cdot) : X \times Y$ is a bilinear continuous form satisfying the “inf-sup property”

$$\exists \alpha > 0 : \inf_{\mu \in Y, \mu \neq 0_Y} \sup_{v \in X, v \neq 0_X} \frac{b(v, \mu)}{\|v\|_X \|\mu\|_Y} \geq \alpha$$

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and Λ is a closed convex subset of Y so that $O_Y \in \Lambda$, then the problem (3)–(4) has a unique solution $(u, \lambda) \in X \times \Lambda$ which is the unique saddle point of the following functional

$$\mathcal{L} : X \times \Lambda \rightarrow \mathbb{R} \quad \mathcal{L}(v, \mu) = \frac{1}{2}a(v, v) - (\tilde{f}, v)_X + b(v, \mu), \quad (5)$$

see e.g. [1,2]. The saddle point problem (3)–(4) can be related to the weak formulation of a class of unilateral frictionless or bilateral frictional contact problems, for linearly elastic materials, see for instance [2,3]. For a class of generalized saddle point problems related to the weak solvability of contact models involving a particular class of nonlinearly elastic materials we refer the reader to [4,5]; the weak solution of such a generalized saddle point problem is the unique fixed point of a single valued operator which is defined by means of the unique solution of an intermediate saddle point problem.

The current work focuses on a new theoretical result which will allow to explore contact models for another class of nonlinearly elastic materials; the key herein is not the saddle point theory; the key here is a fixed point theorem for set valued mapping. It is worth mentioning that mixed weak formulations in Contact Mechanics are appropriate approaches to efficiently approximate the weak solutions; see e.g. [6,7,3,8] for modern numerical techniques. The study on this direction is in progress. For a more complex view on mixed variational formulations in Mechanics we refer the reader also to [9–14].

In the present paper we shall study Problem 1 under the following assumptions.

Assumption 1. $(X, (\cdot, \cdot)_X, \|\cdot\|_X)$ and $(Y, (\cdot, \cdot)_Y, \|\cdot\|_Y)$ are two real reflexive Banach spaces.

Assumption 2. Λ is a closed convex bounded subset of Y so that $O_Y \in \Lambda$.

Assumption 3. There exists a functional $h : X \rightarrow \mathbb{R}$ so that:

- (i₁) $h(tw) = t^r h(w)$ for all $t > 0$, $w \in X$ and $r > 1$;
- (i₂) $(Av - Au, v - u)_{X', X} \geq h(v - u)$ for all $u, v \in X$;
- (i₃) If $(x_n)_n \subset X$ is a sequence so that $x_n \rightarrow x$ in X as $n \rightarrow \infty$, then $h(x) \leq \limsup_{n \rightarrow \infty} h(x_n)$.

Notice that (i₁) and (i₂) in Assumption 3 express a generalized monotonicity property for the operator $A : X \rightarrow X'$. According to the literature, the operator A is a relaxed h -monotone operator, see for example [15]; see also [16–20] for various generalizations of monotonicity such as pseudomonotonicity, quasimonotonicity, semimonotonicity, relaxed monotonicity.

Assumption 4. The operator $A : X \rightarrow X'$ is hemicontinuous, i.e., for all $u, v \in X$, the mapping $f : \mathbb{R} \rightarrow (-\infty, +\infty)$, $f(t) = (A(u + tv), v)_{X', X}$ is continuous at 0.

Assumption 5. $\frac{(Au, u)_{X', X}}{\|u\|_X} \rightarrow \infty$ as $\|u\|_X \rightarrow \infty$.

Assumption 6. The form $b : X \times Y \rightarrow \mathbb{R}$ is bilinear. In addition,

- for each sequence $(u_n)_n \subset X$ so that $u_n \rightarrow u$ in X as $n \rightarrow \infty$ we have $b(u_n, \mu) \rightarrow b(u, \mu)$ as $n \rightarrow \infty$, for all $\mu \in \Lambda$.
- for each sequence $(\lambda_n)_n \subset Y$ so that $\lambda_n \rightarrow \lambda$ in Y as $n \rightarrow \infty$, we have $b(v, \lambda_n) \rightarrow b(v, \lambda)$ as $n \rightarrow \infty$, for all $v \in X$.

In the present paper we shall prove that, under Assumptions 1–6, Problem 1 has at least one solution. Assumptions 1–6 impose a new technique in order to handle Problem 1, namely a fixed point technique involving a set valued mapping, instead of a saddle point technique. Let us recall here the main tool we use.

Theorem 1. Let $\mathcal{K} \neq \emptyset$ be a convex subset of a Hausdorff topological vector space \mathcal{E} . Let $F : \mathcal{K} \rightarrow 2^{\mathcal{K}}$ be a set valued map so that

- (h₁) for each $u \in \mathcal{K}$, $F(u)$ is a nonempty convex subset of \mathcal{K} ;
- (h₂) for each $v \in \mathcal{K}$, $F^{-1}(v) = \{u \in \mathcal{K} : v \in F(u)\}$ contains an open set O_v which may be empty;
- (h₃) $\bigcup_{v \in \mathcal{K}} O_v = \mathcal{K}$;
- (h₄) there exists a nonempty set \mathcal{V}_0 contained in a compact convex subset \mathcal{V}_1 of \mathcal{K} so that $\mathcal{D} = \bigcap_{v \in \mathcal{V}_0} O_v^c$ is either empty or compact.

Then, there exists $u_0 \in \mathcal{K}$ so that $u_0 \in F(u_0)$.

We note that $2^{\mathcal{K}}$ denotes the family of all subsets of \mathcal{K} , and O_v^c is the complement of O_v in \mathcal{K} . For a proof of this theorem we refer to [21].

We end this introductory part by specifying the structure of the rest of the paper. In Section 2 an existence result for an intermediate problem is given. In Section 3 we use the intermediate result to prove that, under Assumptions 1–6, Problem 1 has at least one solution. In Section 4 we give an example of functional spaces X and Y , operator A , bilinear form $b(\cdot, \cdot)$ and subset Λ so that Assumptions 1–6 are fulfilled. In the last section we discuss a contact model related to the example given in Section 4.

2. An auxiliary result

Let us construct a bounded convex closed nonempty subset of X as follows,

$$K_n = \{v \in X : \|v\|_X \leq n\}$$

where n is an arbitrarily fixed positive integer. We consider the following problem.

Problem 2. Given $f \in X'$, find $(u_n, \lambda_n) \in K_n \times \Lambda$ so that

$$(Au_n, v - u_n)_{X',X} + b(v, \lambda_n) - b(u_n, \mu) \geq (f, v - u_n)_{X',X} \quad \text{for all } (v, \mu) \in K_n \times \Lambda. \quad (6)$$

Lemma 1. A pair $(u_n, \lambda_n) \in K_n \times \Lambda$ is a solution of [Problem 2](#) if and only if it verifies

$$(Av, v - u_n)_{X',X} + b(v, \lambda_n) - b(u_n, \mu) \geq (f, v - u_n)_{X',X} + h(v - u_n) \quad \text{for all } (v, \mu) \in K_n \times \Lambda. \quad (7)$$

Proof. Let (u_n, λ_n) be a solution of [Problem 2](#). By [Assumption 3](#) we have

$$(Av, v - u_n)_{X',X} \geq (Au_n, v - u_n)_{X',X} + h(v - u_n)$$

and from this

$$(Au_n, v - u_n)_{X',X} \leq (Av, v - u_n)_{X',X} - h(v - u_n).$$

Combining the previous inequality with (6) we obtain (7).

Conversely, assume that $(u_n, \lambda_n) \in K_n \times \Lambda$ verifies (7). We shall prove that this pair (u_n, λ_n) is a solution of [Problem 2](#). To start, let us take (w, ζ) an arbitrary pair in $K_n \times \Lambda$. Setting in (7) $v = u_n + t(w - u_n)$ and $\mu = \lambda_n + t(\zeta - \lambda_n)$ with $t \in (0, 1)$, then

$$\begin{aligned} t(A(u_n + t(w - u_n)), w - u_n)_{X',X} + b(u_n, \lambda_n) + tb(w - u_n, \lambda_n) - b(u_n, \lambda_n) - tb(u_n, \zeta - \lambda_n) \\ \geq t(f, w - u_n)_{X',X} + t^r h(w - u_n). \end{aligned}$$

After dividing by $t > 0$ we obtain

$$(A(u_n + t(w - u_n)), w - u_n)_{X',X} + b(w - u_n, \lambda_n) - b(u_n, \zeta - \lambda_n) \geq (f, w - u_n)_{X',X} + t^{r-1} h(w - u_n).$$

Passing to the limit when $t \rightarrow 0$ and using the hemicontinuity of the operator A , we obtain (6). \square

In the study of [Problem 2](#) we have the following existence result.

Theorem 2. If [Assumptions 1–4](#) and [6](#) hold true, then [Problem 2](#) has at least one solution $(u_n, \lambda_n) \in K_n \times \Lambda$.

Proof. Arguing by contradiction, for each $(u, \lambda) \in K_n \times \Lambda$ there exists $(v, \mu) \in K_n \times \Lambda$ so that

$$(Au, v - u)_{X',X} + b(v, \lambda) - b(u, \mu) < (f, v - u)_{X',X}.$$

Let us define a set valued map $F : K_n \times \Lambda \rightarrow 2^{K_n \times \Lambda}$ as follows:

$$F(u, \lambda) = \{(v, \mu) \in K_n \times \Lambda : (Au, v - u)_{X',X} + b(v, \lambda) - b(u, \mu) < (f, v - u)_{X',X}\}.$$

We shall prove that this map verifies (h₁)–(h₄) in [Theorem 1](#) with $\mathcal{K} = K_n \times \Lambda$ and $\mathcal{E} = X \times Y$.

Let $(u, \lambda) \in K_n \times \Lambda$. Since [Problem 2](#) has no solution, then $F(u, \lambda) \neq \emptyset$. Besides, $F(u, \lambda)$ is a convex set. Indeed, let $(v_1, \mu_1), (v_2, \mu_2) \in K_n \times \Lambda$ and $t \in [0, 1]$. The following two inequalities hold true:

$$\begin{aligned} (Au, tv_1 - tu)_{X',X} + b(tv_1, \lambda) - b(u, t\mu_1) &< (f, tv_1 - tu)_{X',X}, \\ (Au, (1-t)v_2 - (1-t)u)_{X',X} + b((1-t)v_2, \lambda) - b(u, (1-t)\mu_2) &< (f, (1-t)v_2 - (1-t)u)_{X',X}. \end{aligned}$$

By summing this two last inequalities we get

$$(Au, tv_1 + (1-t)v_2 - u)_{X',X} + b(tv_1 + (1-t)v_2, \lambda) - b(u, t\mu_1 + (1-t)\mu_2) < (f, tv_1 + (1-t)v_2 - u)_{X',X}.$$

Hence, $(tv_1 + (1-t)v_2, t\mu_1 + (1-t)\mu_2) \in F(u, \lambda)$. Therefore, (h₁) in [Theorem 1](#) is fulfilled.

Let us check (h₂). For every $(v, \mu) \in K_n \times \Lambda$ we introduce $F^{-1}(v, \mu)$ as follows,

$$\begin{aligned} F^{-1}(v, \mu) &= \{(u, \lambda) \in K_n \times \Lambda : (v, \mu) \in F(u, \lambda)\} \\ &= \{(u, \lambda) \in K_n \times \Lambda : (Au, v - u)_{X',X} + b(v, \lambda) - b(u, \mu) < (f, v - u)_{X',X}\}. \end{aligned}$$

Besides, for every $(v, \mu) \in K_n \times \Lambda$ we define

$$\mathcal{O}_{(v,\mu)} = \{(u, \lambda) \in K_n \times \Lambda : (Av, v - u)_{X',X} + b(v, \lambda) - b(u, \mu) < (f, v - u)_{X',X} + h(v - u)\}.$$

The following inclusion holds true:

$$[F^{-1}(v, \mu)]^c \subseteq \mathcal{O}_{(v,\mu)}^c. \quad (8)$$

Indeed, if $(u, \lambda) \in [F^{-1}(v, \mu)]^c$, then

$$(Au, v - u)_{X', X} + b(v, \lambda) - b(u, \mu) \geq (f, v - u)_{X', X}.$$

Using [Assumption 3](#), we have

$$(Au, v - u)_{X', X} \leq (Av, v - u)_{X', X} - h(v - u).$$

By combining these last two inequalities we are led to

$$(Av, v - u)_{X', X} + b(v, \lambda) - b(u, \mu) \geq (f, v - u)_{X', X} + h(v - u).$$

Hence,

$$(u, \lambda) \in \mathcal{O}_{(v, \mu)}^c$$

which concludes (8). Now, we deduce that

$$\mathcal{O}_{(v, \mu)} \subseteq F^{-1}(v, \mu).$$

Let us prove that $\mathcal{O}_{(v, \mu)}^c$ is weakly closed. To that end, let $(u_m, \lambda_m)_m \subset \mathcal{O}_{(v, \mu)}^c$ be a sequence so that $(u_m, \lambda_m) \rightharpoonup (u, \lambda)$ in $X \times Y$ as $m \rightarrow \infty$. Thus, $u_m \rightharpoonup u$ in X as $m \rightarrow \infty$ and $\lambda_m \rightharpoonup \lambda$ in Y as $m \rightarrow \infty$.

Since, for all $m \geq 1$, we have

$$(Av, v - u_m)_{X', X} + b(v, \lambda_m) - b(u_m, \mu) \geq (f, v - u_m)_{X', X} + h(v - u_m),$$

then, by (i₃) in [Assumptions 3](#) and [6](#), passing to the superior limit as $m \rightarrow \infty$ we deduce that $(u, \lambda) \in \mathcal{O}_{(v, \mu)}^c$. As $\mathcal{O}_{(v, \mu)}^c$ is weakly closed then $\mathcal{O}_{(v, \mu)}$ is weakly open.

Let us verify now that

$$K_n \times \Lambda = \bigcup_{(v, \mu) \in K_n \times \Lambda} \mathcal{O}_{(v, \mu)}.$$

Clearly,

$$\bigcup_{(v, \mu) \in K_n \times \Lambda} \mathcal{O}_{(v, \mu)} \subseteq K_n \times \Lambda.$$

It remains to prove the following inclusion,

$$K_n \times \Lambda \subseteq \bigcup_{(v, \mu) \in K_n \times \Lambda} \mathcal{O}_{(v, \mu)}.$$

Indeed, let $(u, \lambda) \in K_n \times \Lambda$. As [Problem 2](#) has no solution, based on [Lemma 1](#) it follows that there exists $(v, \mu) \in K_n \times \Lambda$ so that $(u, \lambda) \in \mathcal{O}_{(v, \mu)}$. Thus, (h₃) holds true.

Finally, we verify (h₄). Let us set $\mathcal{V}_0 = \mathcal{V}_1 = K_n \times \Lambda$. The set $\mathcal{D} = \bigcap_{(v, \mu) \in K_n \times \Lambda} \mathcal{O}_{(v, \mu)}^c$ is empty or weakly closed as it is the intersection of weakly closed sets $\mathcal{O}_{(v, \mu)}^c$. As $K_n \times \Lambda$ is a nonempty closed convex bounded subset of the reflexive space $X \times Y$, it follows that $K_n \times \Lambda$ is weakly compact. Therefore, \mathcal{D} is either empty or weakly compact.

Hence, all hypotheses of [Theorem 1](#) hold true in the weak topology. We deduce that there exists $(u_0, \lambda_0) \in F(u_0, \lambda_0)$. Henceforth,

$$(Au_0, u_0 - u_0)_{X', X} + b(u_0, \lambda_0) - b(u_0, \lambda_0) < (f, u_0 - u_0)_{X', X}$$

which is impossible. \square

3. The main result

In this section we use [Theorem 2](#) in order to prove the following existence result.

Theorem 3. *Assumptions 1–6 hold true. Then [Problem 1](#) has at least one solution.*

Proof. Due to [Theorem 2](#), for each positive integer n there exists $(u_n, \lambda_n) \in K_n \times \Lambda$ so that (6) is fulfilled for all $(v, \mu) \in K_n \times \Lambda$.

We claim that there exists n_0 a positive integer so that $\|u_{n_0}\|_X < n_0$, where (u_{n_0}, λ_{n_0}) is a solution of [Problem 2](#) corresponding to the sets K_{n_0} and Λ .

Arguing by contradiction, we suppose that $\|u_n\|_X = n$ for all positive integers n . Setting $v = 0_X$ and $\mu = 0_Y$ in (6) we are led to

$$(Au_n, u_n)_{X', X} \leq (f, u_n)_{X', X} \leq \|f\|_{X'} \|u_n\|_X$$

and from this,

$$\frac{(Au_n, u_n)_{X', X}}{\|u_n\|_X} \leq \|f\|_{X'}.$$

Passing to the limit as $n \rightarrow \infty$ and using [Assumption 5](#) we get a contradiction.

Let us prove now that the pair (u_{n_0}, λ_{n_0}) is a solution of [Problem 1](#). Setting $n = n_0$ in (6) we deduce that, for all pairs $(w, \mu) \in K_{n_0} \times \Lambda$, the following inequality holds true:

$$(Au_{n_0}, w - u_{n_0})_{X', X} + b(w - u_{n_0}, \lambda_{n_0}) + b(u_{n_0}, \lambda_{n_0} - \mu) \geq (f, w - u_{n_0})_{X', X}. \quad (9)$$

Let $\varepsilon > 0$. We define $w \in K_{n_0}$ as follows

$$w = u_{n_0} + \varepsilon(z - u_{n_0}) \quad (10)$$

where $z \in X$. If $z = u_{n_0}$ we can take $\varepsilon = 1$, else $\varepsilon = \frac{|n_0 - \|u_{n_0}\|_X|}{\|z - u_{n_0}\|_X}$.

Let us take $\mu = \lambda_{n_0}$ in (9) and use (10). Dividing by ε it follows that

$$(Au_{n_0}, z - u_{n_0})_{X', X} + b(z - u_{n_0}, \lambda_{n_0}) \geq (f, z - u_{n_0})_{X', X} \quad \text{for all } z \in X.$$

Setting now in this last inequality $z = u_{n_0} \pm v$ where $v \in X$, we get

$$(Au_{n_0}, v)_{X', X} + b(v, \lambda_{n_0}) = (f, v)_{X', X} \quad \text{for all } v \in X.$$

Thus, the pair $(u_{n_0}, \lambda_{n_0}) \in K_{n_0} \times \Lambda$ verifies the first line of [Problem 1](#). Setting now $w = u_{n_0}$ in (9) we obtain

$$b(u_{n_0}, \mu - \lambda_{n_0}) \leq 0 \quad \text{for all } \mu \in \Lambda.$$

Therefore, the second line of [Problem 1](#) is also verified. It follows that the pair (u_{n_0}, λ_{n_0}) is a solution of [Problem 1](#). \square

4. An example

In this section we shall present an example of spaces X, Y , subset Λ , operator A and form $b(\cdot, \cdot)$ which verify [Assumptions 1–6](#).

Let Ω be a bounded domain in \mathbb{R}^2 with smooth boundary Γ . Let p be a real number so that $\infty > p \geq 4$. We define a subspace of $W^{1,p}(\Omega)$ as follows,

$$X = \{v : v \in W^{1,p}(\Omega), \gamma v = 0 \text{ a.e. on } \Gamma_D\} \quad (11)$$

where Γ_D is a part of Γ with positive Lebesgue measure and $\gamma : W^{1,p}(\Omega) \rightarrow L^p(\Gamma)$ is the Sobolev trace operator. Recall that γ is a linear continuous operator. It is known that the space X is a Banach space endowed with the norm

$$\|u\|_X = \|\nabla u\|_{L^p(\Omega)^N}.$$

Let p' be the conjugate exponent of p , i.e. $\frac{1}{p} + \frac{1}{p'} = 1$. We now consider Γ_C a part of Γ so that $\text{meas}(\Gamma_C) > 0$ and $\Gamma_C \cap \Gamma_D = \emptyset$. Then, we can take

$$Y = L^{p'}(\Gamma_C). \quad (12)$$

The spaces X and Y fulfill [Assumption 1](#).

Next, we define a subset of Y as follows:

$$\Lambda = \left\{ \mu \in Y : \langle \mu, \gamma v|_{\Gamma_C} \rangle \leq \int_{\Gamma_C} g |\gamma v(\mathbf{x})| d\Gamma \text{ for all } v \in X \right\}, \quad (13)$$

where g is a positive real number. This subset fulfills [Assumption 2](#).

Denoting by $\|\cdot\|$ the Euclidean norm on \mathbb{R}^2 , we can define $A : X \rightarrow X'$ as follows: for each $u \in X$, $Au \in X'$ so that

$$(Au, v)_{X', X} = \int_{\Omega} \mu \|\nabla u(\mathbf{x})\|^{p-2} \nabla u(\mathbf{x}) \cdot \nabla v(\mathbf{x}) dx \quad \text{for all } v \in X \quad (14)$$

where μ is a positive real number. The operator A is a Lipschitz continuous, monotone operator. Therefore, the operator A is hemicontinuous, relaxed h -monotone with $h \equiv 0$. We deduce that [Assumptions 3–4](#) hold true. Besides, for each $u \in X$, $u \neq 0_X$, we have

$$\frac{(Au, u)_{X', X}}{\|u\|_X} = \mu \|u\|_X^{p-1}.$$

Therefore, [Assumption 5](#) is also fulfilled.

Finally, we define $b : X \times L^{p'}(\Gamma_C) \rightarrow \mathbb{R}$ as follows

$$b(v, \mu) = \langle \mu, \gamma v|_{\Gamma_C} \rangle, \quad (15)$$

where $\langle \cdot, \cdot \rangle$ is the duality pairing between $L^{p'}(\Gamma_C)$ and $L^p(\Gamma_C)$. Taking into account the properties of the trace operator we can see that the bilinear form b verifies [Assumption 6](#).

To simplify the presentation, an easy to follow example arising from Contact Mechanics was presented. In the next section we shall discuss a simplified model in elasticity which can be related to this example.

5. A frictional contact problem

Let us consider the following boundary value problem.

Problem 3. Find $u : \bar{\Omega} \rightarrow \mathbb{R}$ so that

$$\operatorname{div}(\mu \|\nabla u(\mathbf{x})\|^{p-2} \nabla u(\mathbf{x})) + f_0(\mathbf{x}) = 0 \quad \text{in } \Omega, \quad (16)$$

$$u(\mathbf{x}) = 0 \quad \text{on } \Gamma_D, \quad (17)$$

$$\mu \|\nabla u(\mathbf{x})\|^{p-2} \partial_\nu u(\mathbf{x}) = f_2(\mathbf{x}) \quad \text{on } \Gamma_N, \quad (18)$$

$$|\mu \|\nabla u(\mathbf{x})\|^{p-2} \partial_\nu u(\mathbf{x})| \leq g, \quad (19)$$

$$\mu \|\nabla u(\mathbf{x})\|^{p-2} \partial_\nu u(\mathbf{x}) = -g \frac{u(\mathbf{x})}{|u(\mathbf{x})|} \quad \text{if } u(\mathbf{x}) \neq 0 \quad \text{on } \Gamma_C.$$

This problem models the antiplane shear deformation of a nonlinearly elastic cylindrical body, in frictional contact on Γ_C with a rigid foundation. See [\[22\]](#) for details on the antiplane contact models. We also refer to the works [\[23–26\]](#) which treat antiplane contact problems in a general setting of hemivariational inequalities.

Herein $\Omega \subset \mathbb{R}^2$ is a bounded domain with smooth boundary Γ partitioned into three measurable parts Γ_D , Γ_N , Γ_C with positive Lebesgue measures. Referring the body to a Cartesian coordinate system $Ox_1x_2x_3$ so that the generators of the cylinder are parallel with the axis Ox_3 , the domain $\Omega \subset Ox_1x_2$ denotes the cross section of the cylinder. The functions $f_0 = f_0(x_1, x_2) : \Omega \rightarrow \mathbb{R}$, $f_2 = f_2(x_1, x_2) : \Gamma_N \rightarrow \mathbb{R}$ are related to the density of the volume forces and the density of the surface traction, respectively, and $g > 0$ is the friction bound. The vector $\mathbf{v} = (v_1, v_2)$, $v_i = v_i(x_1, x_2)$, for each $i \in \{1, 2\}$, represents the outward unit normal vector to the boundary of Ω and $\partial_\nu u = \nabla u \cdot \mathbf{v}$. The behavior of the nonlinearly elastic material is described by the following constitutive law:

$$\boldsymbol{\sigma}(\mathbf{x}) = k \operatorname{tr} \boldsymbol{\varepsilon}(\mathbf{u}(\mathbf{x})) \mathbf{I}_3 + \mu \|\boldsymbol{\varepsilon}^D(\mathbf{u}(\mathbf{x}))\|^{p-2} \boldsymbol{\varepsilon}^D(\mathbf{u}(\mathbf{x})) \quad (20)$$

where $\boldsymbol{\sigma}$ is the Cauchy stress tensor, tr is the trace of a Cartesian tensor of second order, $\boldsymbol{\varepsilon}$ is the infinitesimal strain tensor, \mathbf{u} is the displacement vector, \mathbf{I}_3 is the identity tensor, $k, \mu > 0$ are material parameters and p is a constant so that $4 \leq p < \infty$. We recall that $\boldsymbol{\tau}^D$ denotes the deviator of a tensor $\boldsymbol{\tau}$, defined by $\boldsymbol{\tau}^D = \boldsymbol{\tau} - \frac{1}{3}(\operatorname{tr} \boldsymbol{\tau}) \mathbf{I}_3$. The constitutive law [\(20\)](#) is a Hencky-type constitutive law; see for instance [\[27\]](#) and the references therein.

The unknown of the problem is the function $u = u(x_1, x_2) : \bar{\Omega} \rightarrow \mathbb{R}$ that represents the third component of the displacement vector \mathbf{u} . We recall that, in the antiplane physical setting, the displacement vectorial field has the particular form $\mathbf{u} = (0, 0, u(x_1, x_2))$. Once the field u is determined, the stress tensor $\boldsymbol{\sigma}$ can be computed:

$$\boldsymbol{\sigma} = \begin{pmatrix} 0 & 0 & \mu \frac{\partial u}{\partial x_1} \\ 0 & 0 & \mu \frac{\partial u}{\partial x_2} \\ \mu \frac{\partial u}{\partial x_1} & \mu \frac{\partial u}{\partial x_2} & 0 \end{pmatrix}.$$

The mechanical problem has the following structure: [\(16\)](#) represents the equilibrium equation, [\(17\)](#) is the displacement boundary condition, [\(18\)](#) is the traction boundary condition and [\(19\)](#) is Tresca's law of dry friction; see e.g. [\[22,27\]](#) for more details on frictional laws.

We shall study [Problem 3](#) assuming that

$$f_0 \in L^{p'}(\Omega), \quad f_2 \in L^{p'}(\Gamma_N). \quad (21)$$

In order to write a weak formulation we start assuming that u is a smooth enough function which verifies [\(16\)–\(19\)](#). Let us multiply the first line of [Problem 3](#) by $v \in C^\infty(\bar{\Omega})$. Using the integration by parts formula in \mathbb{R}^2 , for all $v \in C^\infty(\bar{\Omega})$, we obtain

$$\int_{\Omega} \mu \|\nabla u(\mathbf{x})\|^{p-2} \nabla u(\mathbf{x}) \cdot \nabla v(\mathbf{x}) \, dx = \int_{\Omega} f_0(\mathbf{x}) v(\mathbf{x}) \, dx + \int_{\Gamma} \mu \|\nabla u(\mathbf{x})\|^{p-2} \partial_\nu u(\mathbf{x}) v(\mathbf{x}) \, d\Gamma.$$

As $\overline{C^\infty(\Omega)} = W^{1,p}(\Omega)$ we deduce that, for all $v \in W^{1,p}(\Omega)$,

$$\int_{\Omega} \mu \|\nabla u(\mathbf{x})\|^{p-2} \nabla u(\mathbf{x}) \cdot \nabla v(\mathbf{x}) \, d\mathbf{x} = \int_{\Omega} f_0(\mathbf{x}) v(\mathbf{x}) \, d\mathbf{x} + \int_{\Gamma} \mu \|\nabla u(\mathbf{x})\|^{p-2} \partial_{\nu} u(\mathbf{x}) \gamma v(\mathbf{x}) \, d\Gamma.$$

Let X be the space defined in (11). Using (18), for all $v \in X$ we have

$$\int_{\Omega} \mu \|\nabla u(\mathbf{x})\|^{p-2} \nabla u(\mathbf{x}) \cdot \nabla v(\mathbf{x}) \, d\mathbf{x} = \int_{\Omega} f_0(\mathbf{x}) v(\mathbf{x}) \, d\mathbf{x} + \int_{\Gamma_N} f_2(\mathbf{x}) \gamma v(\mathbf{x}) \, d\Gamma + \int_{\Gamma_C} \mu \|\nabla u(\mathbf{x})\|^{p-2} \partial_{\nu} u(\mathbf{x}) \gamma v(\mathbf{x}) \, d\Gamma.$$

Taking into account (21), we can define $f \in X'$ as follows

$$(f, v)_{X',X} = \int_{\Omega} f_0(\mathbf{x}) v(\mathbf{x}) \, d\mathbf{x} + \int_{\Gamma_D} f_2(\mathbf{x}) \gamma v(\mathbf{x}) \, d\Gamma \quad \text{for all } v \in X. \quad (22)$$

Using now the definition (14) we get

$$(Au, v)_{X',X} = (f, v)_{X',X} + \int_{\Gamma_C} \mu \|\nabla u(\mathbf{x})\|^{p-2} \partial_{\nu} u(\mathbf{x}) \gamma v(\mathbf{x}) \, d\Gamma \quad \text{for all } v \in X. \quad (23)$$

Next, we define a Lagrange multiplier $\lambda \in Y$ as follows:

$$\langle \lambda, z \rangle = - \int_{\Gamma_C} \mu \|\nabla u(\mathbf{x})\|^{p-2} \partial_{\nu} u(\mathbf{x}) z(\mathbf{x}) \, d\Gamma \quad \text{for all } z \in L^p(\Gamma_C), \quad (24)$$

where Y is the space defined in (12). Notice that, due to (19), we have $\lambda \in \Lambda$.

Let us rewrite (23) as

$$(Au, v)_{X',X} = (f, v)_{X',X} - \langle \lambda, \gamma v|_{\Gamma_C} \rangle \quad \text{for all } v \in X.$$

By the definition of the Lagrange multiplier λ , (24), and the definition of the form $b(\cdot, \cdot)$, (15), we obtain

$$(Au, v)_{X',X} + b(v, \lambda) = (f, v)_{X',X} \quad \text{for all } v \in X. \quad (25)$$

The friction law (19) leads us to the identity

$$\int_{\Gamma_C} \mu \|\nabla u(\mathbf{x})\|^{p-2} \partial_{\nu} u(\mathbf{x}) u(\mathbf{x}) \, d\Gamma = - \int_{\Gamma_C} g|u(\mathbf{x})| \, d\Gamma.$$

Thus,

$$b(u, \lambda) = \int_{\Gamma_C} g|u(\mathbf{x})| \, d\Gamma. \quad (26)$$

By the definition (13) we are led to

$$b(u, \zeta) \leq \int_{\Gamma_C} g|u(\mathbf{x})| \, d\Gamma \quad \text{for all } \zeta \in \Lambda. \quad (27)$$

Subtract now (26) from (27) to obtain the inequality

$$b(u, \zeta - \lambda) \leq 0 \quad \text{for all } \zeta \in \Lambda. \quad (28)$$

Therefore, Problem 3 has the following weak formulation.

Problem 4. Find $u \in X$ and $\lambda \in \Lambda \subset Y$ so that (25) and (28) hold true.

Theorem 4. If $4 \leq p < \infty$, $k, \mu, g > 0$, $f_0 \in L^{p'}(\Omega)$, and $f_2 \in L^{p'}(\Gamma_N)$, then Problem 4 has at least one solution.

Proof. We apply Theorem 3. \square

As each solution of Problem 4 is called *weak solution* of Problem 3, Theorem 4 ensures us that Problem 3 has at least one weak solution.

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