

Test Lossless Join property

This previous test works on **binary** decompositions, below is the general solution to testing lossless join property

Algorithm TEST_LJ:

1. Create a **matrix** S , each element $s_{ij} \in S$ corresponds the relation R_i and the attribute A_j , such that: $s_{ij} = a$ if $A_j \in R_i$, otherwise $s_{ij} = b$.
2. Repeat the following process until (1) S has no change OR (2) one row is made up entirely of "a" symbols.
 - i. For each $X \rightarrow Y$, choose the rows where the elements corresponding to X take the value a .
 - ii. In those chosen rows (must be at least two rows), the elements corresponding to Y also take the value a if one of the chosen rows take the value a on Y .

Verdict: Decomposition is **lossless** if one row is entirely made up by "a" values.

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Testing lossless join property(cont)

Example 1:

$R = (A,B,C,D)$,
 $F = \{A \rightarrow B, A \rightarrow C, C \rightarrow D\}$,
 Let $R_1 = (A,B,C)$, $R_2 = (C,D)$.

| | A | B | C | D |
|-------|---|---|---|-----|
| R_1 | a | a | a | b a |
| R_2 | b | b | a | a |

Note: rows 1 and 2 of S agree on $\{C\}$, which is the left-hand side of $C \rightarrow D$. Therefore, change the D value on rows 1 to a , matching the value from row 2.

Now **row 1 is entirely a's**, so the decomposition is lossless.

CHEAT SHEET: Algorithm TEST_LJ

1. Create a matrix S , each element $s_{ij} \in S$ corresponds the relation R_i and the attribute A_j , such that: $s_{ij} = a$ if $A_j \in R_i$, otherwise $s_{ij} = b$.
2. Repeat the following process till S has no change or one row is made up entirely of "a" symbols.
 1. For each $X \rightarrow Y$, choose the rows where the elements corresponding to X take the value a .
 2. In those chosen rows (must be at least two rows), the elements corresponding to Y also take the value a if one of the chosen rows take the value a on Y .

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Testing lossless join property(cont)

Example 2:

$R = (A,B,C,D,E)$,
 $F = \{AB \rightarrow CD, A \rightarrow E, C \rightarrow D\}$.

Let $R_1 = (A,B,C)$,
 $R_2 = (B,C,D)$ and
 $R_3 = (C,D,E)$.

| | A | B | C | D | E |
|-------|---|---|---|---|---|
| R_1 | a | a | a | b | b |
| R_2 | b | a | a | a | b |
| R_3 | b | b | a | a | a |

Not lossless join

CHEAT SHEET: Algorithm TEST_LJ

1. Create a matrix S , each element $s_{ij} \in S$ corresponds the relation R_i and the attribute A_j , such that: $s_{ij} = a$ if $A_j \in R_i$, otherwise $s_{ij} = b$.
2. Repeat the following process till S has no change or one row is made up entirely of "a" symbols.
 1. For each $X \rightarrow Y$, choose the rows where the elements corresponding to X take the value a .
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Testing lossless join property(cont)

Example 1:

$R = (A,B,C,D)$,
 $F = \{A \rightarrow B, A \rightarrow C, C \rightarrow D\}$,
 Let $R_1 = (A,B,C)$, $R_2 = (C,D)$.

| | A | B | C | D |
|-------|---|---|---|---|
| R_1 | a | a | a | b |
| R_2 | b | b | a | a |

Note: rows 1 and 2 of S agree on $\{C\}$, which is the left-hand side of $C \rightarrow D$. Therefore, change the D value on rows 1 to a , matching the value from row 2.

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Testing lossless join property(cont)

Example 2:

$R = (A,B,C,D,E)$,
 $F = \{AB \rightarrow CD, A \rightarrow E, C \rightarrow D\}$.

Let $R_1 = (A,B,C)$,
 $R_2 = (B,C,D)$ and
 $R_3 = (C,D,E)$.

| | A | B | C | D | E |
|-------|---|---|---|---|---|
| R_1 | a | a | a | b | b |
| R_2 | b | a | a | a | b |
| R_3 | b | b | a | a | a |

Note: rows 1 and 2 of S agree on $\{C\}$, which is the left-hand side of $C \rightarrow D$. Therefore, change the D value on rows 1 to a , matching the value from row 2.

1. For each $X \rightarrow Y$, choose the rows where the elements corresponding to X take the value a .
2. In those chosen rows (must be at least two rows), the elements corresponding to Y also take the value a if one of the chosen rows take the value a on Y .

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Testing lossless join property(cont)

Example 3:

$R = (A,B,C,D,E,G)$,
 $F = \{C \rightarrow DE, A \rightarrow B, AB \rightarrow G\}$.
 Let $R_1 = (A,B)$, $R_2 = (C,D,E)$ and
 $R_3 = (A,C,G)$.

| | A | B | C | D | E | G |
|-------|---|---|---|---|---|---|
| R_1 | a | a | b | b | b | |
| R_2 | b | b | a | a | b | ← |
| R_3 | a | b | a | b | a | ← |

CHEAT SHEET: Algorithm TEST_LJ

1. Create a matrix S , each element $s_{ij} \in S$ corresponds the relation R_i and the attribute A_j , such that: $s_{ij} = a$ if $A_j \in R_i$, otherwise $s_{ij} = b$.
2. Repeat the following process till S has no change or one row is made up entirely of "a" symbols.
 1. For each $X \rightarrow Y$, choose the rows where the elements corresponding to X take the value a .
 2. In those chosen rows (must be at least two rows), the elements corresponding to Y also take the value a if one of the chosen rows take the value a on Y .

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Testing lossless join property(cont)

Example 3:

$R = (A, B, C, D, E, G)$,
 $F = \{C \rightarrow DE, A \rightarrow B, AB \rightarrow G\}$.
 Let $R_1 = (A, B)$, $R_2 = (C, D, E)$ and
 $R_3 = (A, C, G)$.

| A | B | C | D | E | G |
|-------|---|---|---|---|---|
| R_1 | a | a | b | b | b |
| R_2 | b | a | a | b | |
| R_3 | a | b | a | a | a |

1. For each $X \rightarrow Y$, choose the rows where the elements corresponding to X take the value a.

2. In those chosen rows (must be at least two rows), the elements corresponding to Y also take the value a if one of the chosen rows take the value a on Y.

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Checkpoint

Previous:

1. The test for lossless join property
2. The dependency preservation property

Next:

1. The method to decompose to BCNF and 3NF
2. Minimal Cover and Equivalence
3. The method to decompose to 3NF

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Testing for BCNF

NOTE: We cannot use F to test relations R_i (decomposed from R) for violation of BCNF. It may not suffice.

Consider $R(A, B, C, D, E)$ with $F = \{A \rightarrow B, BC \rightarrow D\}$.

Suppose R is decomposed into $R_1 = (A, B)$ and $R_2 = (A, C, D, E)$.

Neither of the dependencies in F contains only attributes from R_2 .

So R_2 is in BCNF? No, $AC \rightarrow D$ is in F^+ .

Example above : $X \rightarrow Y$ violating BCNF is not always in F. It passing with respect to the projection of F on R_i

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Testing lossless join property(cont)

CHEAT SHEET: Algorithm TEST_LJ

1. Create a matrix S, each element $s_{ij} \in S$ corresponds the relation R_i and the attribute A_j such that: $s_{ij} = a$ if $A_j \in R_i$, otherwise $s_{ij} = b$.
2. Repeat the following process till S has no change or one row is made up entirely of "a" symbols.

$R = (A, B, C, D, E, G)$,
 $F = \{C \rightarrow DE, A \rightarrow B, AB \rightarrow G\}$.
 Let $R_1 = (A, B)$, $R_2 = (C, D, E)$ and
 $R_3 = (A, C, G)$.

| A | B | C | D | E | G |
|-------|---|---|---|---|---|
| R_1 | a | a | b | b | b |
| R_2 | b | a | a | b | |
| R_3 | a | b | a | a | a |

1. For each $X \rightarrow Y$, choose the rows where the elements corresponding to X take the value a.

2. In those chosen rows (must be at least two rows), the elements corresponding to Y also take the value a if one of the chosen rows take the value a on Y.

Lossless join

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Testing for BCNF

Testing of a relation schema R to see if it satisfies BCNF can be simplified in **some** cases (but **not** all cases):

- To check if a nontrivial dependency $\alpha \rightarrow \beta$ causes a violation of BCNF, compute α^+ (the attribute closure of α), and verify that it includes all attributes of R; that is, it is a superkey for R.
- To check if a relation schema R is in BCNF, it suffices to check only the dependencies in the given set F for violation of BCNF, rather than check all dependencies in F^+ .

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Testing Decomposition for BCNF

An alternative BCNF test is sometimes easier than computing every dependency in F^+ .

To check if a relation schema R_i in a decomposition of R is truly in BCNF, we apply this test:

For **each** subset X of R_i , compute X^+ .

- $X \rightarrow (X^+ \setminus X)$ violates BCNF, if $X^+ \setminus X \neq \emptyset$ and $R_i - X^+ \neq \emptyset$.
- This will show if R_i violates BCNF.

Explanation:

- $X^+ \setminus X = \emptyset$ means each F.D with X as the left-hand side is trivial;
- $R_i - X^+ = \emptyset$ means X is a superkey of R_i

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Lossless Decomposition into BCNF

Algorithm TO_BCNF

- > $D := \{R_1, R_2, \dots, R_n\}$
- > **While** (there exists a $R_i \in D$ and R_i is not in BCNF) **Do**
 - 1 . find a $X \rightarrow Y$ in R_i that **violates** BCNF;
 - 2. replace R_i in D by ($R_i - Y$) and $(X \cup Y)$;

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Lossless Decomposition into BCNF

Example:

Find a BCNF decomposition of the relation scheme below:

SHIPPING (*Ship* , *Capacity* , *Date* , *Cargo* , *Value*)

F consists of:

$Ship \rightarrow Capacity$
 $\{Ship, Date\} \rightarrow Cargo$
 $\{Cargo, Capacity\} \rightarrow Value$

We know this relation is not in BCNF

Algorithm TO_BCNF

$D := \{R_1, R_2, \dots, R_n\}$
While (there exists a $R_i \in D$ and R_i is not in BCNF) **Do**

- 1 . find a $X \rightarrow Y$ in R_i that **violates** BCNF;
- 2. replace R_i in D by ($R_i - Y$) and $(X \cup Y)$;

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Lossless Decomposition into BCNF (V1)

From *Ship* \rightarrow *Capacity*, we decompose *SHIPPING* into R_{1A} and R_{2A}

$R_{1A}(Ship, Date, Cargo, Value)$ with Key: $\{Ship, Date\}$

A nontrivial FD in F^+ violates BCNF: $\{Ship, Cargo\} \rightarrow Value$

and

$R_{2A}(Ship, Capacity)$ with Key: $\{Ship\}$

Only one nontrivial FD in F^+ : $Ship \rightarrow Capacity$

SHIPPING (*Ship* , *Capacity* , *Date* , *Cargo* , *Value*)
F consists of: $Ship \rightarrow Capacity, \{Ship, Date\} \rightarrow Cargo, \{Cargo, Capacity\} \rightarrow Value$

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Lossless Decomposition into BCNF (V1)

R_1 is not in BCNF so we must decompose it further into R_{11A} and R_{12A}

$R_{11A}(Ship, Date, Cargo)$ with Key: $\{Ship, Date\}$

Only one nontrivial FD in F^+ with single attribute on the right side: $\{Ship, Date\} \rightarrow Cargo$

and

$R_{12A}(Ship, Cargo, Value)$ with Key: $\{Ship, Cargo\}$

Only one nontrivial FD in F^+ with single attribute on the right side: $\{Ship, Cargo\} \rightarrow Value$

This is in BCNF, and the decomposition is **lossless but not dependency preserving** (the FD $\{Capacity, Cargo\} \rightarrow Value$ has been lost.

SHIPPING (*Ship* , *Capacity* , *Date* , *Cargo* , *Value*)
F consists of: $Ship \rightarrow Capacity, \{Ship, Date\} \rightarrow Cargo, \{Cargo, Capacity\} \rightarrow Value$

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Lossless Decomposition into BCNF (V2)

Or we could have chosen $\{Cargo, Capacity\} \rightarrow Value$, which would give us:

$R_{1B}(Ship, Capacity, Date, Cargo)$ with Key: $\{Ship, Date\}$

A nontrivial FD in F^+ violates BCNF: $Ship \rightarrow Capacity$

and

$R_{2B}(Cargo, Capacity, Value)$ with Key: $\{Cargo, Capacity\}$

Only one nontrivial FD in F^+ with single attribute on the right side: $\{Cargo, Capacity\} \rightarrow Value$

Once again, R_{1B} is not in BCNF so we must decompose it further...

SHIPPING (*Ship* , *Capacity* , *Date* , *Cargo* , *Value*)

F consists of: $Ship \rightarrow Capacity, \{Ship, Date\} \rightarrow Cargo, \{Cargo, Capacity\} \rightarrow Value$

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Lossless Decomposition into BCNF (V2)

R_1 is not in BCNF so we must decompose it further into R_{11B} and R_{12B}

$R_{11B}(Ship, Date, Cargo)$ with Key: $\{Ship, Date\}$

Only one nontrivial FD in F^+ with single attribute on the right side: $\{Ship, Date\} \rightarrow Cargo$

and

$R_{12B}(Ship, Capacity)$ with Key: $\{Ship\}$

Only one nontrivial FD in F^+ : $Ship \rightarrow Capacity$

This is in BCNF, and the decomposition is **both lossless and dependency preserving**.

SHIPPING (*Ship* , *Capacity* , *Date* , *Cargo* , *Value*)

F consists of: $Ship \rightarrow Capacity, \{Ship, Date\} \rightarrow Cargo, \{Cargo, Capacity\} \rightarrow Value$

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Lossless Decomposition into BCNF

With this algorithm from the previous slide....

We get a decomposition D of R that does the following:

- > May **not** preserves dependencies
- > Has the lossless join property
- > Is such that each resulting relation schema in the decomposition is in BCNF

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Lossless decomposition into BCNF

Review: Algorithm TO_BCNF

$D := \{R_1, R_2, \dots, R_d\}$

While \exists a $R_i \in D$ and R_i is not in BCNF **Do**

{ find a $X \rightarrow Y$ in R_i that violates BCNF; replace R_i in D by $(R_i - Y)$ and $(X \cup Y)$; }

Since a $X \rightarrow Y$ violating BCNF **is not always in F** , the main difficulty is to verify if R_i is in BCNF;

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Computing a Minimal Cover (Step 1)

Step 1: Reduce Right: For each FD $X \rightarrow Y \in F$ where $Y = \{A_1, A_2, \dots, A_k\}$, we use all $X \rightarrow \{A_i\}$ (for $1 \leq i \leq k$) to replace $X \rightarrow Y$.

Practice:

$R = (A, B, C, D, E, G)$

$F = \{A \rightarrow BCD, B \rightarrow CDE, AC \rightarrow E\}$

At the end of step 1 we have : $F' = \{A \rightarrow B, A \rightarrow C, A \rightarrow D, B \rightarrow C, B \rightarrow D, B \rightarrow E, AC \rightarrow E\}$

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Computing a Minimal Cover (Step 2)

Step 2: Reduce Left: For each $X \rightarrow \{A\} \in F'$ where $X = \{A_1, \dots, A_i, 1 \leq i \leq k\}$, do the following. For $l = 1$ to k , replace X with $X - \{A_l\}$ if $A_l \in (X - \{A_l\})^+$.

From Step 1, we had: $F' = \{A \rightarrow B, A \rightarrow C, A \rightarrow D, B \rightarrow C, B \rightarrow D, B \rightarrow E, AC \rightarrow E\}$

$AC \rightarrow E$

$C^+ = \{C\}$; thus $C \rightarrow E$ is not inferred by F' .

Hence, $AC \rightarrow E$ cannot be replaced by $C \rightarrow E$.

$A^+ = \{A, B, C, D, E\}$; thus, $A \rightarrow E$ is inferred by F' .

Hence, $AC \rightarrow E$ can be replaced by $A \rightarrow E$.

We now have $F'' = \{A \rightarrow B, A \rightarrow C, A \rightarrow D, A \rightarrow E, B \rightarrow C, B \rightarrow D, B \rightarrow E\}$

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Computing a Minimal Cover (Step 3)

Step 3: Reduce redundancy: For each FD $X \rightarrow \{A\} \in F''$, remove it from F'' if: $A \in X^+$ with respect to $F'' - \{X \rightarrow \{A\}\}$.

From Step 2, we had: $F'' = \{A \rightarrow B, A \rightarrow C, A \rightarrow D, A \rightarrow E, B \rightarrow C, B \rightarrow D, B \rightarrow E\}$

$A^+|_{F'' - \{A \rightarrow B\}} = \{A, C, D, E\}$; thus $A \rightarrow B$ is not inferred by $F'' - \{A \rightarrow B\}$.

That is, $A \rightarrow B$ is not redundant.

$A^+|_{F'' - \{A \rightarrow C\}} = \{A, B, C, D, E\}$; thus, $A \rightarrow C$ is redundant.

Thus, we can remove $A \rightarrow C$ from F'' to obtain F''' .

We find that we can remove $A \rightarrow D$ and $A \rightarrow E$ but not the others.

Thus, $F_{\text{min}} = \{A \rightarrow B, B \rightarrow C, B \rightarrow D, B \rightarrow E\}$.

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3NF Decomposition Algorithm

Algorithm 3NF decomposition

1. Find a minimal cover G for F .
2. For each left-hand-side X of a functional dependency that appears in G , create a relation schema in D with attributes $\{X \cup \{A_1\} \cup \{A_2\} \dots \cup \{A_k\}\}$, where $X \rightarrow A_1, X \rightarrow A_2, \dots, X \rightarrow A_k$ are the only dependencies in G with X as left-hand-side (X is the key to this relation).
3. If none of the relation schemas in D contains a key of R , then create one more relation schema in D that contains attributes that form a key of R .
4. Eliminate redundant relations from the resulting set of relations in the relational database schema. A relation R_i is considered redundant if R_i is a projection of another relation S in the schema; alternately, R_i is subsumed by S .

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3NF Decomposition Algorithm

With this algorithm from the previous slide...

We get a decomposition D of R that does the following:

- Preserves dependencies
- Has the nonadditive (lossless) join property
- Is such that each resulting relation schema in the decomposition is in 3NF

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3NF Decomposition Algorithm

Example ONE:

$R = (A, B, C, D, E, G)$

$F_{\min} = \{A \rightarrow B, B \rightarrow C, B \rightarrow D, B \rightarrow E\}$.

Candidate key: (A, G)

$R_1 = (A, B), R_2 = (B, C, D, E)$

$R_3 = (A, G)$

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3NF Decomposition Algorithm

Example TWO:

Following from the *SHIPPING* relation. The functional dependencies already form a canonical cover.

- From $Ship \rightarrow Capacity$, derive $R_1(\underline{Ship}, Capacity)$,
- From $\{Ship, Date\} \rightarrow Cargo$, derive $R_2(\underline{Ship}, Date, Cargo)$,
- From $\{Capacity, Cargo\} \rightarrow Value$, derive $R_3(\underline{Capacity}, Cargo, Value)$,
- There are no attributes not yet included and the original key $\{Ship, Date\}$ is included in R_2 .

SHIPPING ($Ship, Capacity, Date, Cargo, Value$)
F consists of: $Ship \rightarrow Capacity, \{Ship, Date\} \rightarrow Cargo, \{Capacity, Cargo\} \rightarrow Value$

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3NF Decomposition Algorithm

Example THREE: Apply the algorithm to the LOTS example given earlier.

One possible minimal cover is

$\{Property_Id \rightarrow Lot_No,$

$Property_Id \rightarrow Area, \{City, Lot_No\} \rightarrow Property_Id,$

$Area \rightarrow Price, Area \rightarrow City, City \rightarrow Tax_Rate\}$.

This gives the decomposition:

$R_1(\underline{Property_Id}, Lot_No, Area)$

$R_2(\underline{City}, Lot_No, Property_Id)$

$R_3(\underline{Area}, Price, City)$

$R_4(\underline{City}, Tax_Rate)$

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