

# Cardea: A Context-aware and Interactive Visual Privacy Control Framework

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# Agenda

## 1 Introduction and Related Works

- Visual Privacy
- Related Works

## 2 Convolutional Neural Networks

- Artificial Neural Networks
- Convolutional Neural Networks

## 3 System Design and Implementation

- Design
- Model Training
- Integration

## 4 System Evaluation

- Vision Performances
- Runtime & Energy Consumption

## 5 Conclusion

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# Rise of visual privacy concern

## Pervasive cameras



## Protection prototypes

- Respectful Cameras, 2007, cctv, colored markers
- PriSurv, 2008, cctv, RFID tags
- Scanner Darkly, 2013, kinect
- Courteous Glass, 2014, wearable, FIR imagers
- Privacy Tag, 2014, mobile, QR code
- Screenavoider & Placeavoider, 2014, lifelogging, neural networks
- I-Pic, 2016, mobile, neural networks

# Research motivation

## User studies

- consent mechanism is welcomed when being recorded
  - lifeloggers care about the privacy of bystanders
  - privacy concerns depend on context: *who, what, when, where, why and how*
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- Tamara Denning et al. "*In situ with bystanders of augmented reality glasses: Perspectives on recording and privacy-mediating technologies.*"
  - Roberto Hoyle et al. "*Privacy behaviors of lifeloggers using wearable cameras.*"
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## Limitation of previous solutions

- static policies
- aesthetically awkward
- extra sensors

# Privacy design

## Axes for design

- problem settings
- technical solution
- enforcement time/level
- protection object
- opt-in vs opt-out

## Cardea

- mobile/wearable cameras
- computer vision
- *in situ*/application level
- bystander privacy
- opt-out

## Objectives

- Context dependent
- Individualized
- Dynamic

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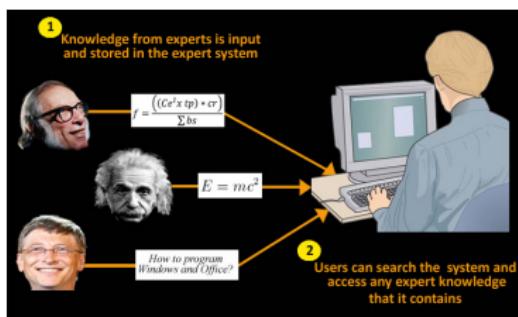
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# Deep learning

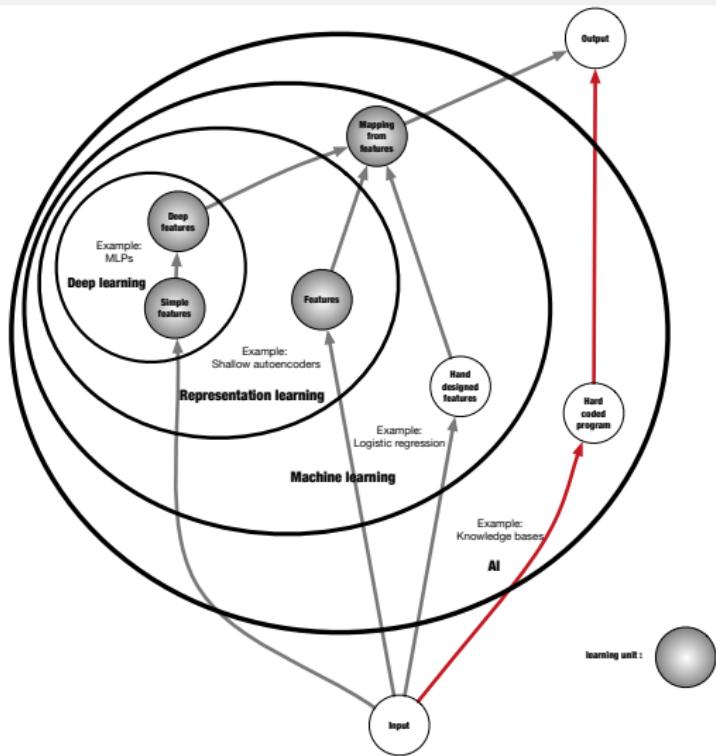
## Hard coded AI

Requires immense amount of knowledge about the world.



Source:

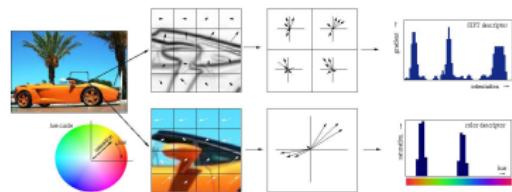
[http://www.ictlounge.com/html/expert\\_systems.htm](http://www.ictlounge.com/html/expert_systems.htm)



# Deep learning

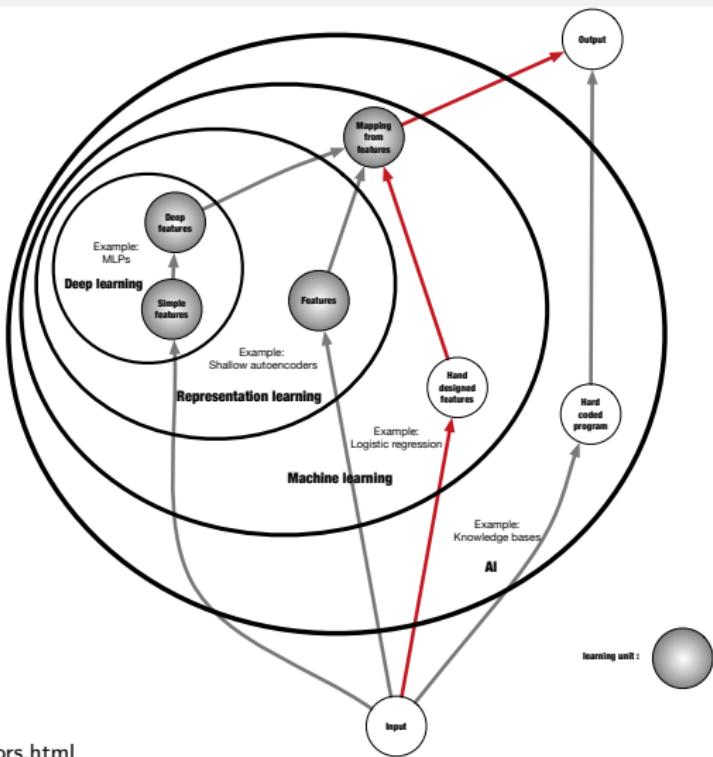
## Conventional machine learning

Requires domain experts spending years to design effective features.



Source:

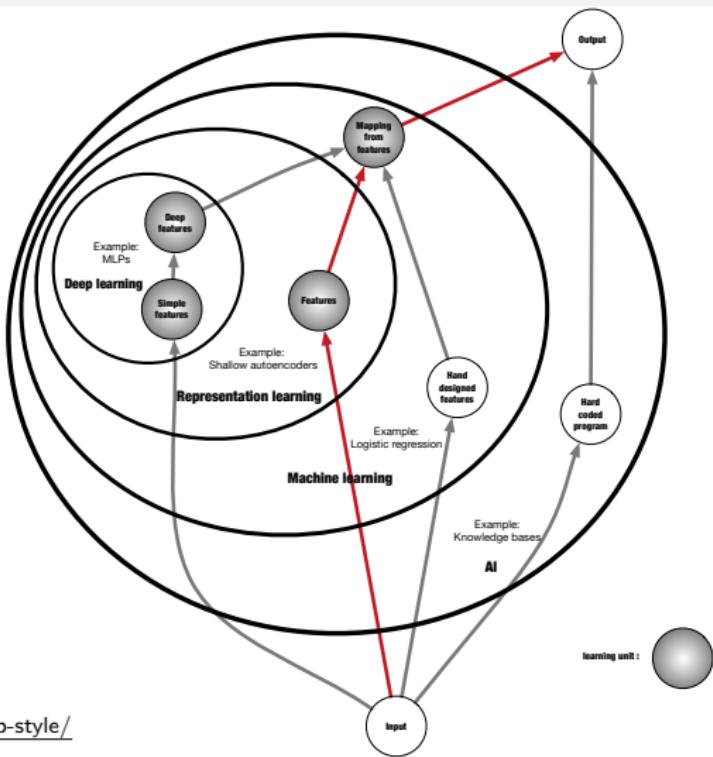
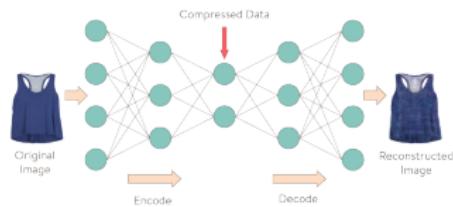
[http://lear.inrialpes.fr/people/vandeweijer/color\\_descriptors.html](http://lear.inrialpes.fr/people/vandeweijer/color_descriptors.html)



# Deep learning

## Shallow representation learning

Learned features are not powerful enough.



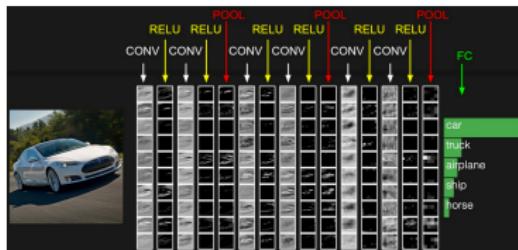
Source:

<http://multithreaded.stitchfix.com/blog/2015/09/17/deep-style/>

# Deep learning

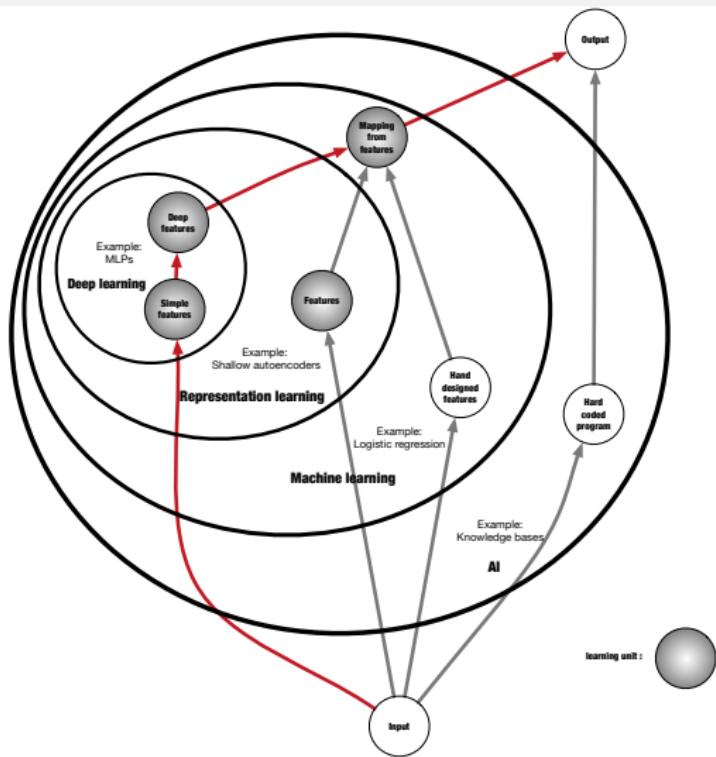
## Deep learning

Build complex concepts out of simpler concepts. Can learn effective mid-level to high-level features.



Source:

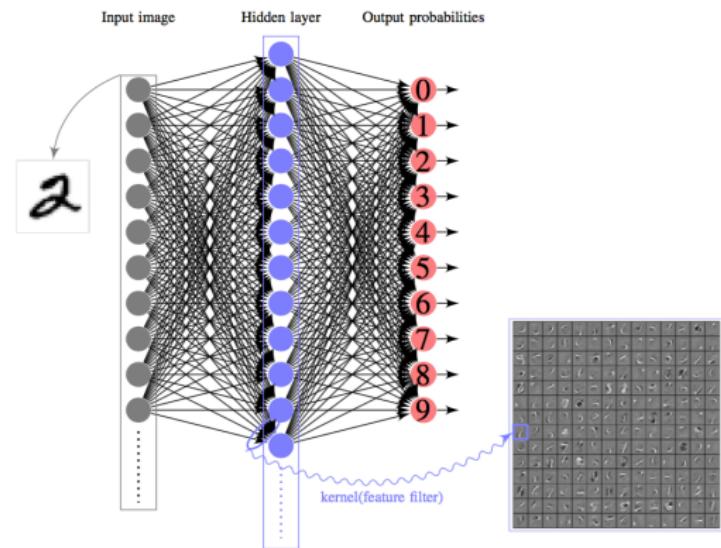
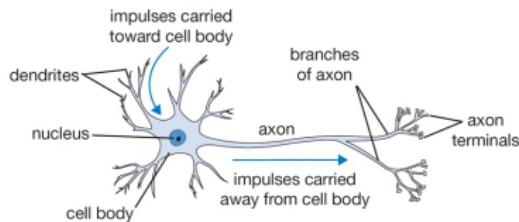
<http://cs231n.github.io/convolutional-networks/>



# Artificial Neural Networks

## Network structure

- single neuron
- activation
- loss function



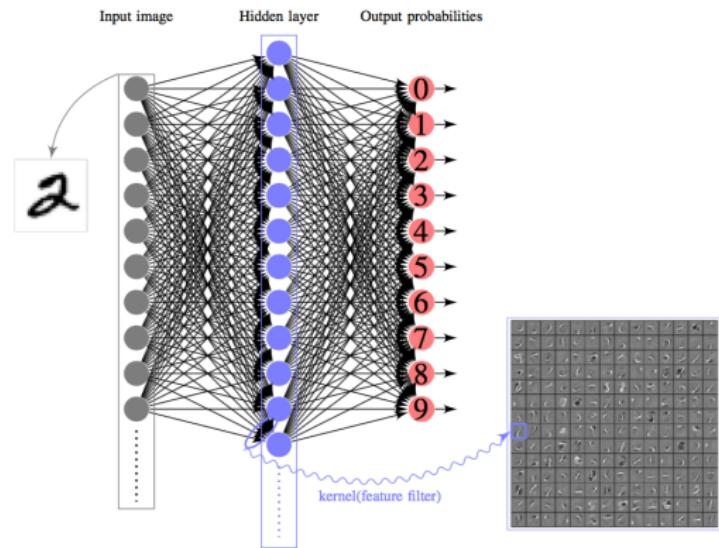
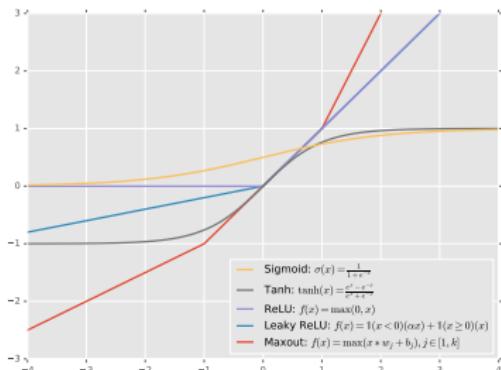
Source:

<http://cs231n.github.io/neural-networks-1/>

# Artificial Neural Networks

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# Artificial Neural Networks

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## classification

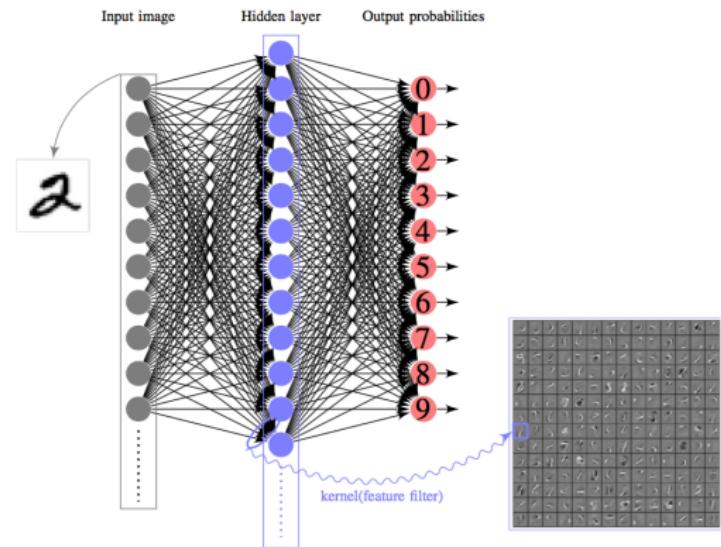
↳ cross-entropy:

$$L_i = -\log\left(\frac{e^{f_{y_i}}}{\sum_j e^{f_j}}\right)$$

↳ hinge loss:

$$L_i = \sum_{j \neq y_i} \max(0, f_j - f_{y_i} + 1)$$

↳ attribute classification



## regression

↳  $L_2$  loss:

$$L_i = \|f - y_i\|_2^2$$

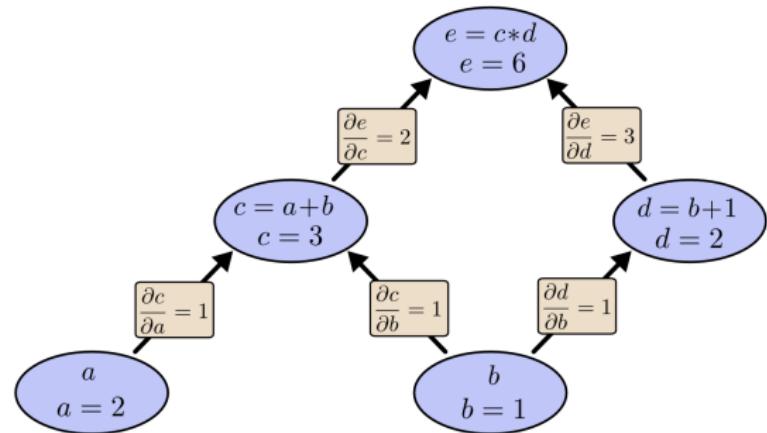
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## Training

- back propagation
- data preprocessing
- batch normalization

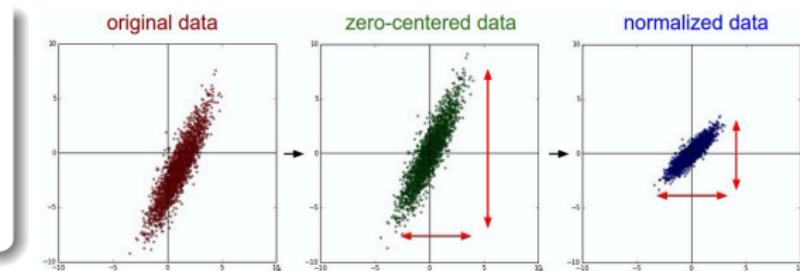


Source: <http://colah.github.io/posts/2015-08-Backprop/>

# Artificial Neural Networks

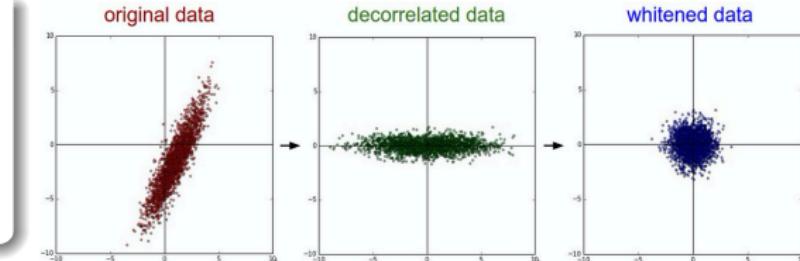
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Source: <http://cs231n.github.io/neural-networks-2/>

# Artificial Neural Networks

## Network structure

- single neuron
- activation
- loss function

## Training

- back propagation
- data preprocessing
- batch normalization

**Input:** Values of  $x$  over a mini-batch:  $\mathcal{B} = \{x_1 \dots m\}$ ;

Parameters to be learned:  $\gamma, \beta$

**Output:**  $\{y_i = \text{BN}_{\gamma, \beta}(x_i)\}$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^m x_i \quad // \text{mini-batch mean}$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2 \quad // \text{mini-batch variance}$$

$$\hat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}} \quad // \text{normalize}$$

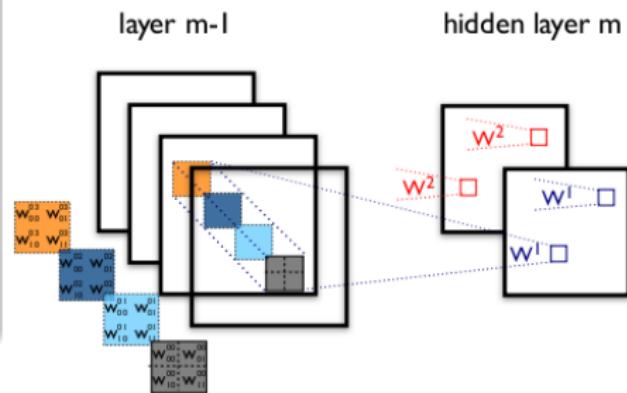
$$y_i \leftarrow \gamma \hat{x}_i + \beta \equiv \text{BN}_{\gamma, \beta}(x_i) \quad // \text{scale and shift}$$

Sergey Ioffe and Christian Szegedy: <https://arxiv.org/abs/1502.03167>

# What is new about CNN

## New layers

- Weight sharing through convolution
- Translation invariance through pooling
- Regularization through dropout

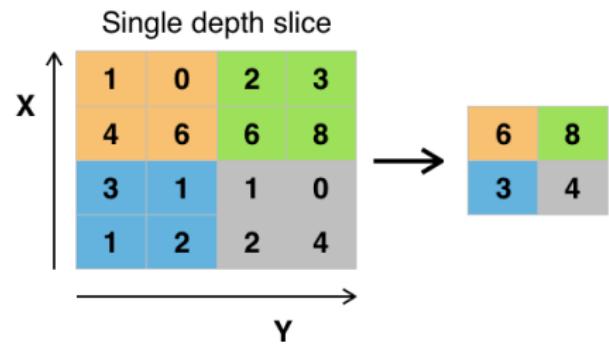


Source: <http://deeplearning.net/tutorial/lenet.html>

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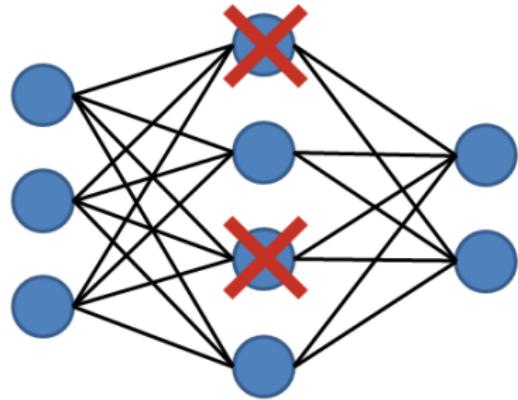
Source:

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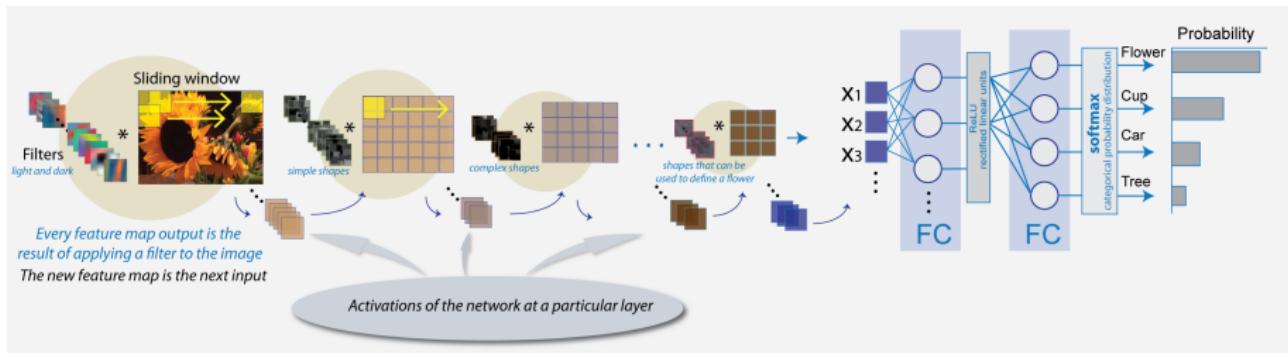
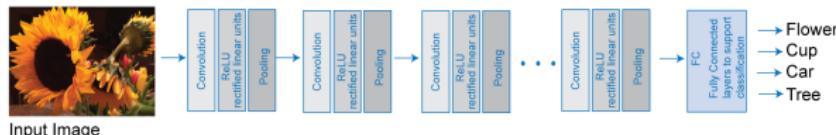
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# Conventional network structure

## Pipeline for classification

$\text{Input} \rightarrow [[\text{ConvLayer} \rightarrow \text{ReLU}] * N \rightarrow \text{PoolLayer?}] * M \rightarrow [\text{FC} \rightarrow \text{ReLU}] * K \rightarrow \text{FC}$

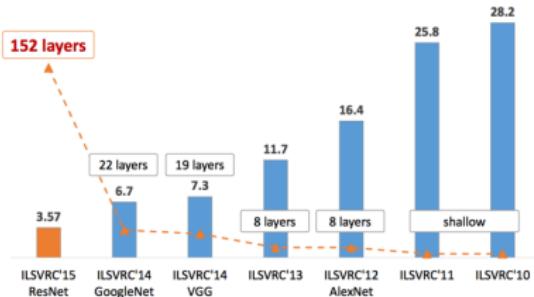


<http://www.mathworks.com/help/nnet/convolutional-neural-networks.html>

# Transfer learning with CNNs

## Procedures

- 1 Train deep CNNs on big dataset

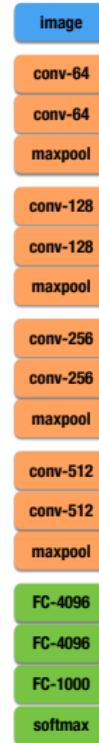


Kaiming He et al.: <https://arxiv.org/abs/1512.03385>

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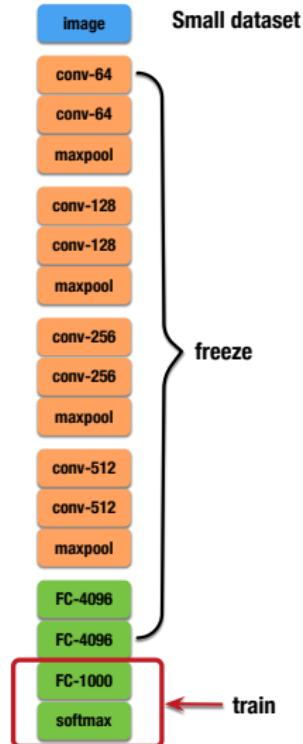
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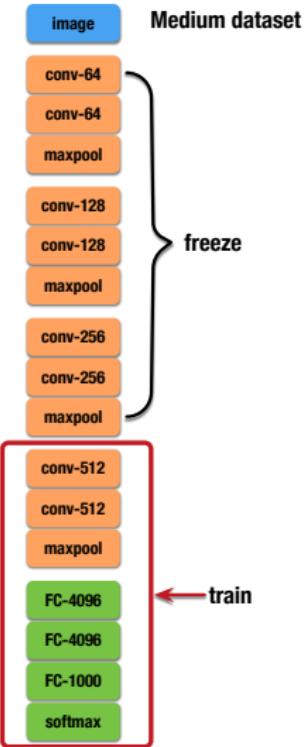
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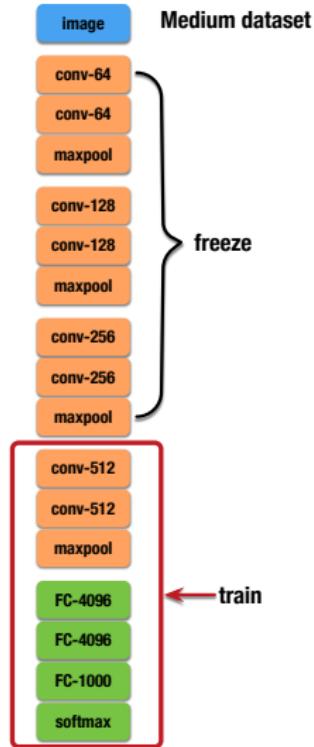
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## Transferable features

- ConvNet features are more generic in early layers and more dataset-specific in later layers
- Similarity and size of new dataset



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## t-SNE visualization

Surf features of Office Dataset



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Caffe features of Office Dataset



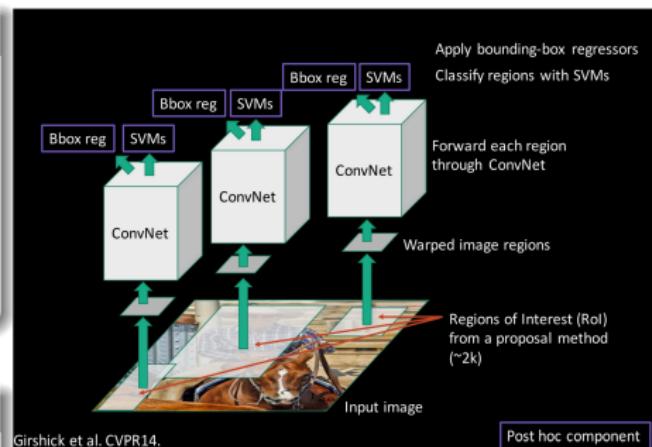
# Faster R-CNN

## Storyline

- R-CNN
- Spatial pyramid pooling (SPP)
- Fast-RCNN (ROI)
- Faster-RCNN (RPN)

## Drawbacks

- non-shared feature extraction computation
- cache features and post detector training
- external proposals



Ross Girshick et al. CVPR 2014

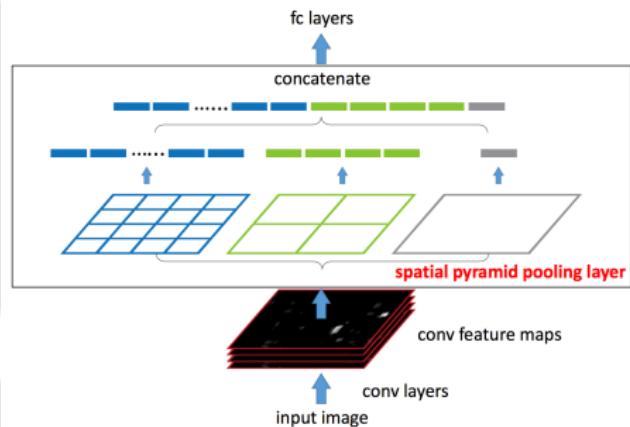
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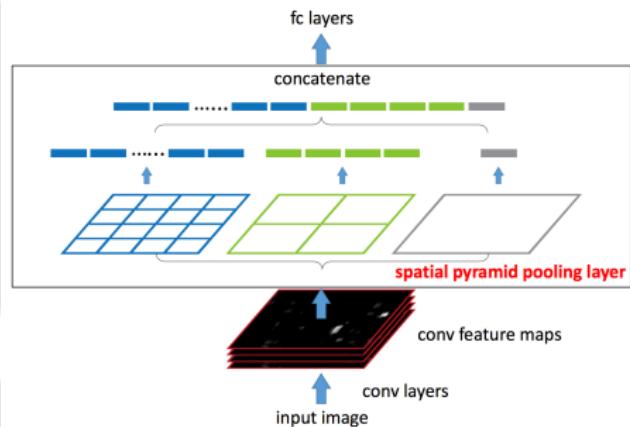
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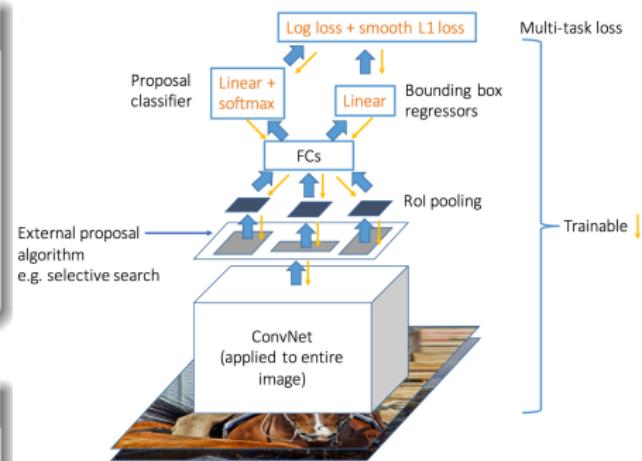
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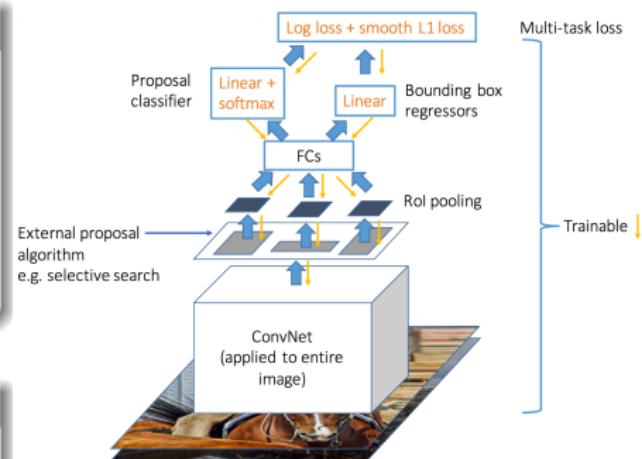
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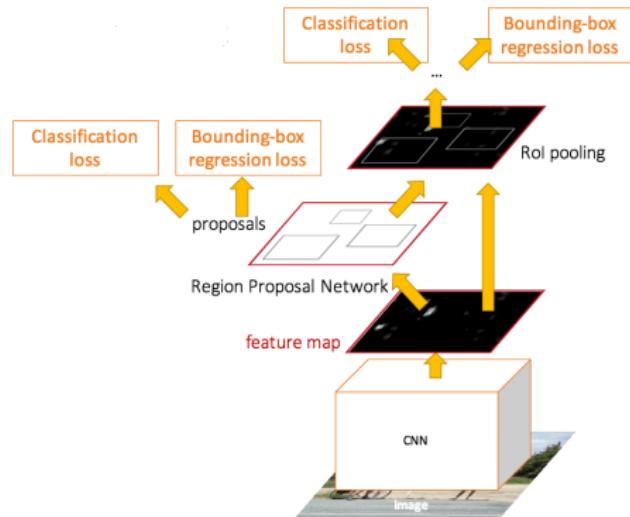
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Shaoqing Ren et al.: <https://arxiv.org/abs/1506.01497>

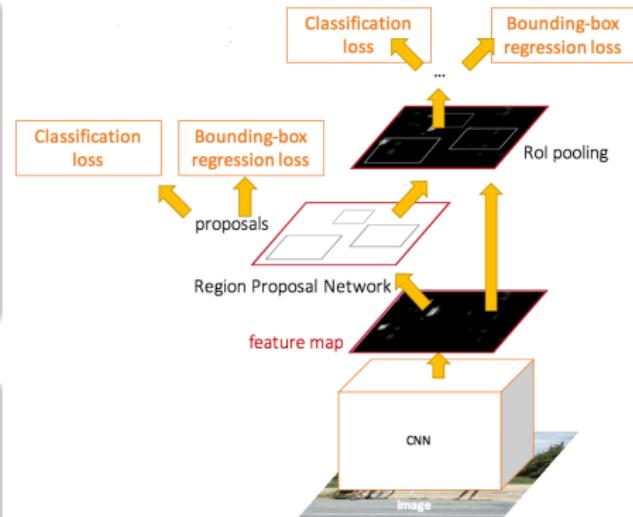
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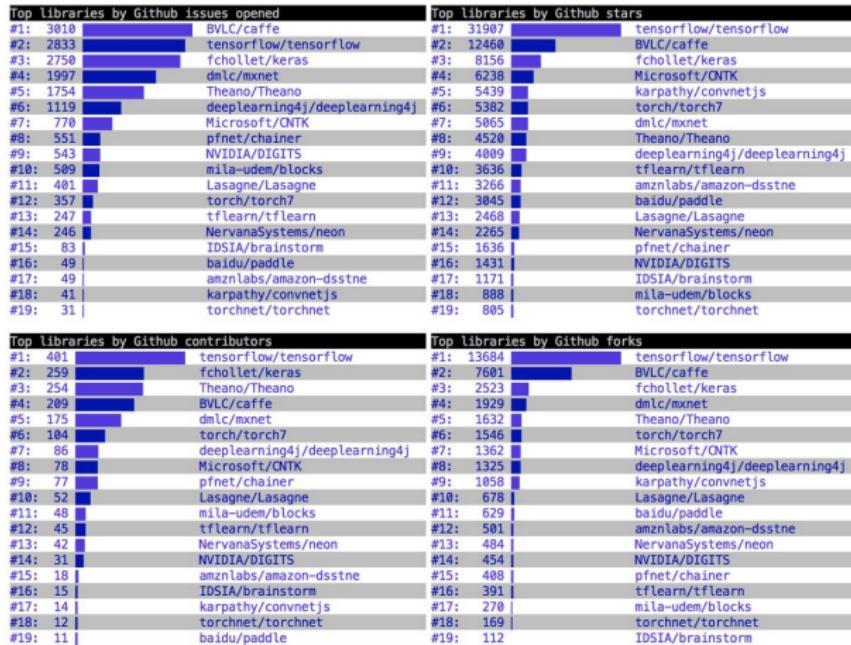
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- ~~cache features and post detector training~~
- ~~external proposals~~



Shaoqing Ren et al.: <https://arxiv.org/abs/1506.01497>

# Deep learning frameworks

## Landscape, Sep 2016



F Chollet: <http://twitter.com/fchollet/status/776455778274250752>

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# Concern and solution

## Design objectives

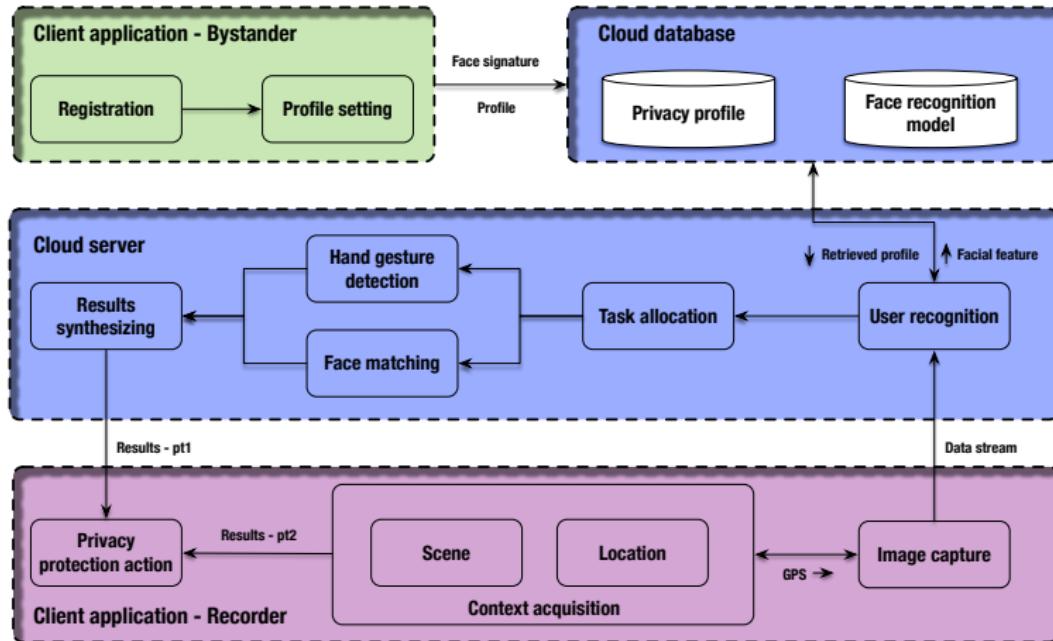
- Context dependent
- Individualized
- Dynamic

## What we propose

- GPS location, grouped scene categories and accompanied persons
- Privacy preferences binded with facial features
- Easily update preferences, use gestures ( and ) to actively speak out in capturing moment

# Architecture

## Cardea components



# Scene classification

## Training

- Dataset
- Pre-trained model
- Train classifier



Places2 dataset: <http://places2.csail.mit.edu/index.html>

Deprecated dataset: 401 scene categories, 10 million training images

Currently: 365 scene categories, 8 million training images

# Scene classification

## Training

- Dataset
- Pre-trained model
- Train classifier

59 categories, 10 groups, 1 million training images

Scene Group	Scene Category Examples
Eating	bistro/indoor, bistro/outdoor, cafeteria, coffee_shop
Entertainment	bar, discotheque, pub/indoor
Shopping	bazaar/indoor, bazaar/outdoor, clothing_store, general_store/indoor
Work	conference_center, conference_room, cubicle/office, library/indoor
Public	park, street
Mobility	airplane_cabin, airport_terminal, bus_interior, bus_station/indoor
Exhibition	art_gallery, museum/indoor
Religion	cathedral/indoor, cathedral/outdoor, church/indoor, church/outdoor
Illness	hospital, hospital_room, nursing_home
Nudity	bathroom, beach, jacuzzi/indoor, sauna, shower

# Scene classification

## Training

- Dataset
- Pre-trained model
- Train classifier

### Scene classification with provided training data

Team name	Entry description	Top-5 classification error
Hikvision	Model D	0.0901
Hikvision	Model E	0.0908
Hikvision	Model C	0.0939
Hikvision	Model B	0.0948
MW	Model ensemble 2	0.1019
MW	Model ensemble 3	0.1019
MW	Model ensemble 1	0.1023
Hikvision	Model A	0.1026
Trimpis-Soushen	With extra data.	0.103
Trimpis-Soushen	Ensemble 2	0.1042
SIAT_MMLAB	10 models fusion	0.1043
SIAT_MMLAB	7 models fusion	0.1044
SIAT_MMLAB	fusion with softmax	0.1044
SIAT_MMLAB	learning weights with cnn	0.1044
SIAT_MMLAB	6 models fusion	0.1049
Trimpis-Soushen	Ensemble 4	0.1049
Trimpis-Soushen	Ensemble 3	0.105

Source: <http://places2.csail.mit.edu/results2016.html>

## Place401-AlexNet

	Validation Set of Places365		Test Set of Places365	
	Top-1 acc.	Top-5 acc.	Top-1 acc.	Top-5 acc.
Places365-AlexNet	53.17%	82.89%	53.31%	82.75%
Places365-GoogLeNet	53.63%	83.88%	53.59%	84.01%
Places365-VGG	55.24%	84.91%	55.19%	85.01%
Places365-ResNet	54.74%	85.08%	54.65%	85.07%

Source: <http://github.com/metalbubble/places365>

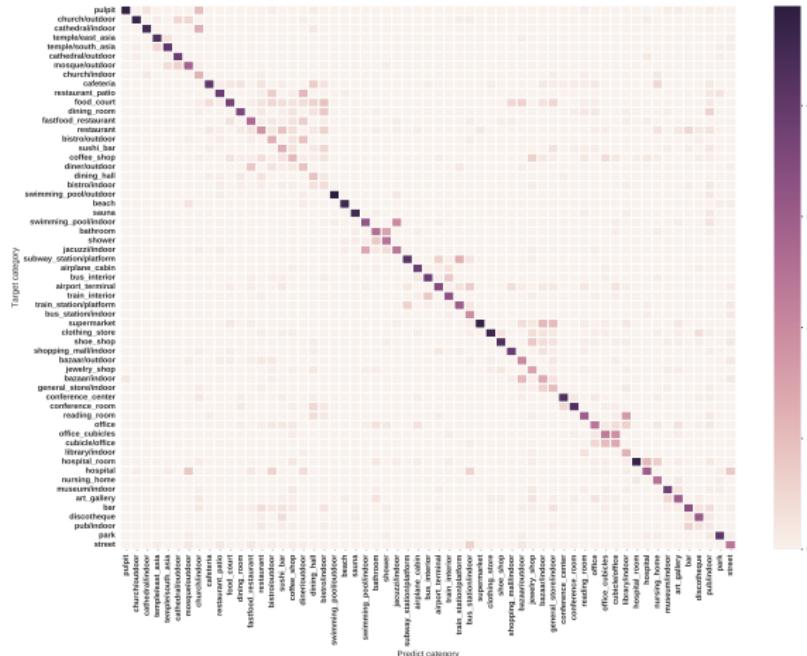
# Scene classification

## Training

- Dataset
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*fc7* layer features, 60.0% validation accuracy for scene categories, 82.8% validation accuracy for scene groups

### Confusion Matrix - Category



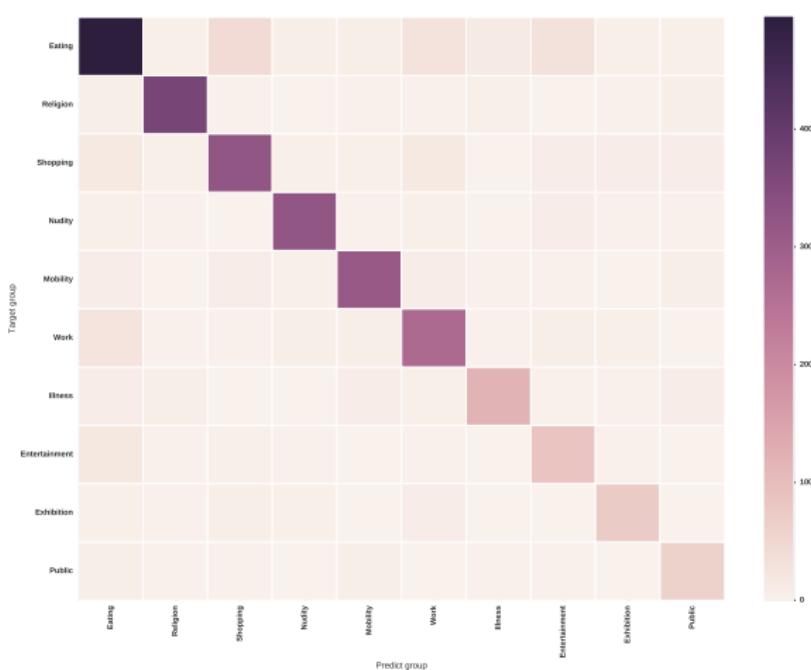
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fc7 layer features, 60.0% validation accuracy for scene categories, 82.8% validation accuracy for scene groups

### Confusion Matrix - Group



# Scene classification

## Prediction examples



# Face recognition

## VGG16 CNN vs Lightened CNN

- Model size
- Feature performance
- Runtime on Android

(VGG16 CNN) Omkar M. Parkhi et al.:

[http://www.robots.ox.ac.uk/~vgg/software/vgg\\_face/](http://www.robots.ox.ac.uk/~vgg/software/vgg_face/)

(Lightened CNN) Xiang Wu et al.:

<https://arxiv.org/abs/1511.02683>

500MB vs 30MB

# Face recognition

## VGG16 CNN vs Lightened CNN

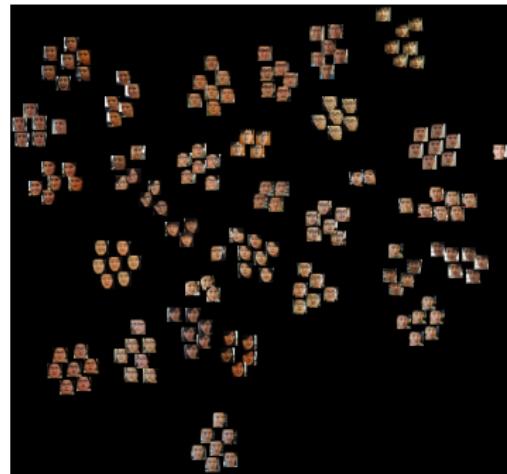
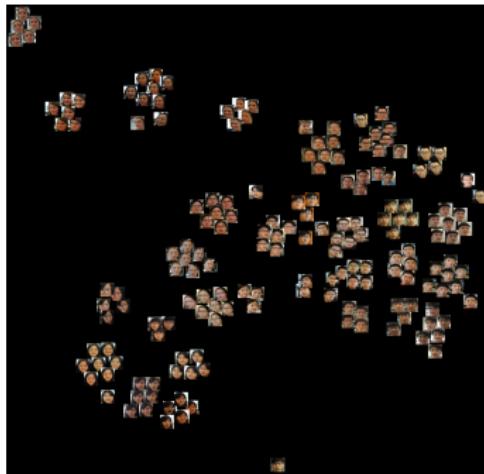
- Model size
- Feature performance
- Runtime on Android

(VGG16 CNN) Omkar M. Parkhi et al.:

[http://www.robots.ox.ac.uk/\\_vgg/software/vgg\\_face/](http://www.robots.ox.ac.uk/_vgg/software/vgg_face/)

(Lightened CNN) Xiang Wu et al.:

<https://arxiv.org/abs/1511.02683>



# Face recognition

## VGG16 CNN vs Lightened CNN

- Model size
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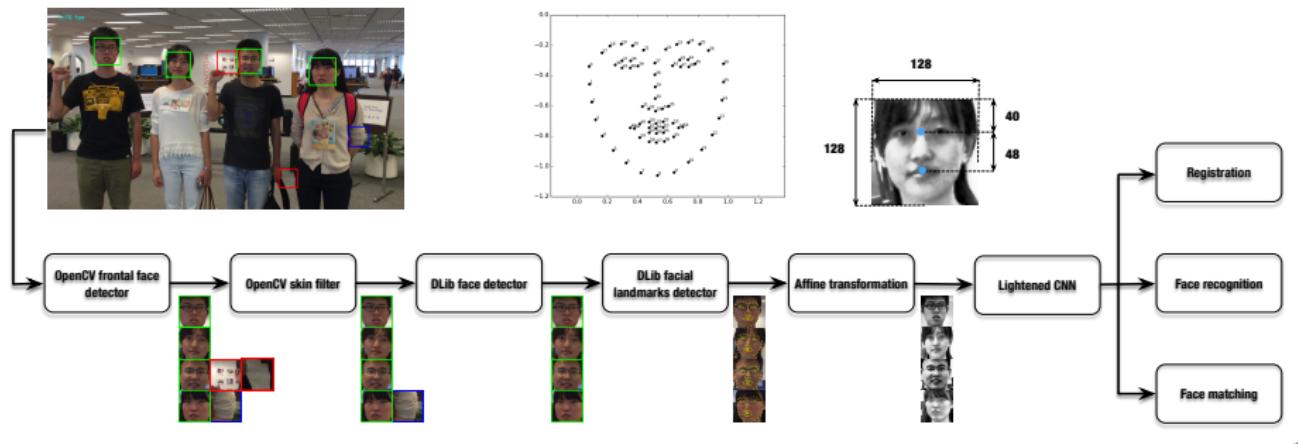
<https://arxiv.org/abs/1511.02683>

**Table:** Time of single facial feature extraction and batch facial feature extraction (10 faces).

	Xiaomi Mi 3W Snapdragon 800 2GB RAM	Galaxy Note 4 Snapdragon 805 3GB RAM	Xiaomi Mi 5 Snapdragon 820 4GB RAM
1 VGG CNN	N/A	N/A	~ 2780 ms
10 VGG CNN	N/A	N/A	~ 26740 ms
1 Lightened CNN	~ 508 ms	~ 330 ms	~ 303 ms
10 Lightened CNN	~ 6602 ms	~ 3071 ms	~ 2031 ms

# Face recognition

## Detection and alignment



## Recognition model

SVM, probability threshold  $T_p$  at prediction time

# Face recognition

## Face matching algorithm

Distance metric: cosine

---

```
1: initialize  $P$ 's feature  $f_0$ ,  $Bob$ 's feature  $f_i, i \in 1, \dots, N$ , distance threshold  $T_d$ , hit ratio thresh-
   old  $T_r$ 
2:  $m \leftarrow 0$  // number of hits
3: for  $i = 1$  to  $N$  do
4:    $d_i \leftarrow dis(f_0, f_i)$  //  $dis(x, y)$  returns the distance between  $x$  and  $y$ 
5:   if  $d_i \leq T_d$  then
6:      $m \leftarrow m + 1$ 
7:   end if
8: end for
9: if  $m/N \geq T_r$  then
10:   return true //  $P$  is  $Bob$ 
11: else
12:   return false //  $P$  and  $Bob$  are two persons
13: end if
```

---

# Gesture recognition

## Training

- Dataset
- Pre-trained model
- Train detector

VGG hand dataset:

5628 images with 13050 "natural" gesture instances,  
<http://www.robots.ox.ac.uk:5000/~vgg/research>

Self prepared dataset: 4712 images with "yes" gestures and 3503 images with "no" gestures

## Composed dataset



# Gesture recognition

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VGG16 ImageNet model

## Composed dataset



# Gesture recognition

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VGG16 ImageNet model

Fine tune "conv3\_1" and up layers, approximate joint optimization

## Composed dataset



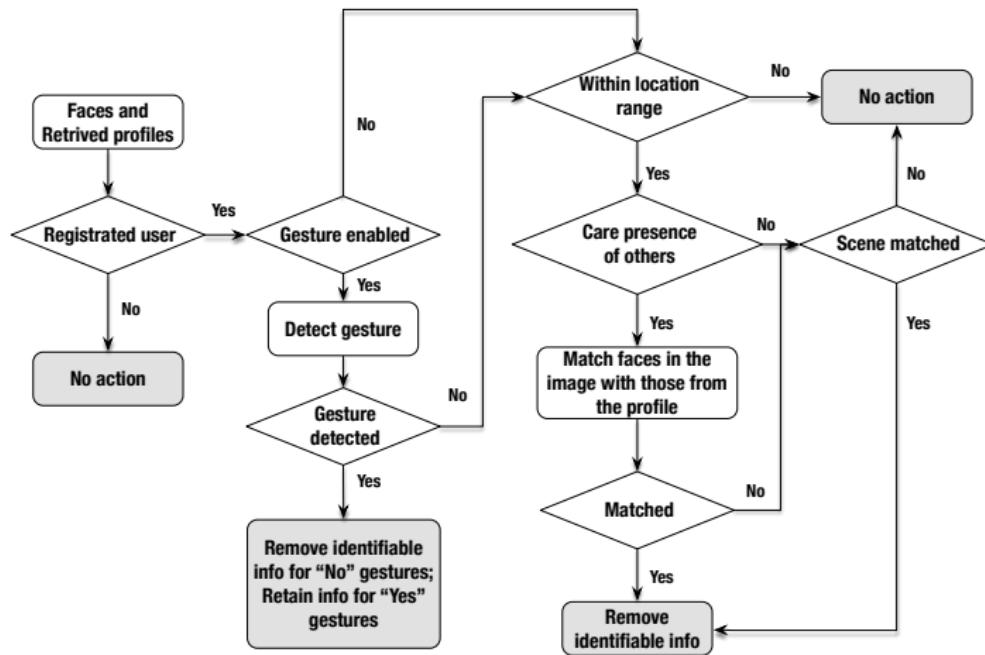
# Gesture recognition

## Prediction examples



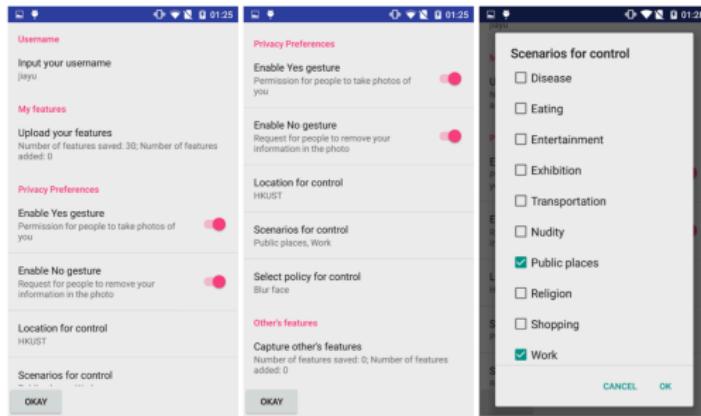
# Decision flow

## Decision path of protection action



# Decision flow

## Example



(a) Registration and profile updating interface



(b) Privacy protection example

**Figure:** Cardea user interface and privacy protection results. In (a), *jiayu* registers as a Cardea user by extracting and uploading her face features. She specifies HKUST, two scene groups for privacy protection. She also enables “yes” and “no” gestures. In (b), a picture is taken in HKUST. 3 registered users, one “yes” and one “no” gesture are recognized. The scene is correctly predicted as “Public”. *jiayu*’s face is not blurred due to her “yes” gesture. Prediction probabilities are also shown in (b).

# System overview

## On mobile

- face detection, alignment
- face feature extraction
- scene classification

OpenCV, Dlib, Caffe-android-lib

## On cloud

- face recognition
- face recognition model updating
- gesture recognition

Py-faster-rcnn, LIBSVM,  
multiprocessing/multithreading

Demo video link: <http://bit.ly/2egw2H6>

# Agenda

## 1 Introduction and Related Works

- Visual Privacy
- Related Works

## 2 Convolutional Neural Networks

- Artificial Neural Networks
- Convolutional Neural Networks

## 3 System Design and Implementation

- Design
- Model Training
- Integration

## 4 System Evaluation

- Vision Performances
- Runtime & Energy Consumption

## 5 Conclusion

# Scene classification

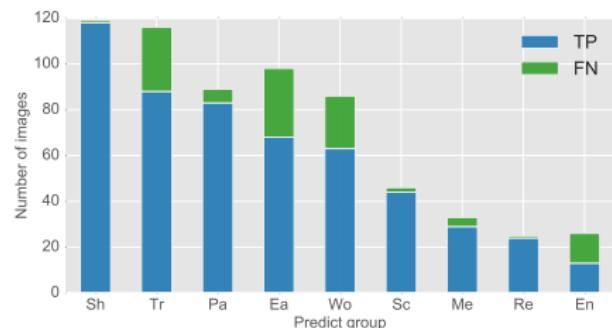
## Scene group modification

Group name	Abbreviation	Scenes #	Examples
Shopping	Sh	20	clothing store, market, supermarket
Travelling	Tr	9	airport, bus station, subway platform
Park & street	Pa	12	downtown, park, street, alley
Eating & drinking	Ea	18	bar, bistro, cafeteria, coffee shop, fastfood restaurant, food court
Working & study	Wo	9	classroom, conference center, library, office, reading room
Scantly clad	Sc	12	beach, swimming pool, water park
Medical care	Me	2	hospital room, nursing home
Religion	Re	11	cathedral, chapel, church, temple
Entertainment	En	5	amusement park, ballroom, discotheque
All		98	

Add more scenes, remove "Exhibition" group. Train the new model, 55% and 83% validation accuracy. Evaluation results are based on new model.

# Scene classification

## Group recall



(a) Recall

Target Group	Sh	Tr	Pa	Ea	Wo	Sc	Me	Re	En
	118	0	0	1	0	0	0	0	0
Shopping	118	0	0	1	0	0	0	0	0
Travelling	2	88	16	1	0	0	0	0	9
Park & street	0	5	83	0	0	1	0	0	0
Eating & drinking	16	4	0	68	10	0	0	0	0
Working & study	7	8	1	7	63	0	0	0	0
Scantly clad	1	0	0	0	1	44	0	0	0
Medical care	0	3	0	1	0	0	29	0	0
Religion	0	0	1	0	0	0	0	24	0
Entertainment	2	0	0	10	1	0	0	0	13

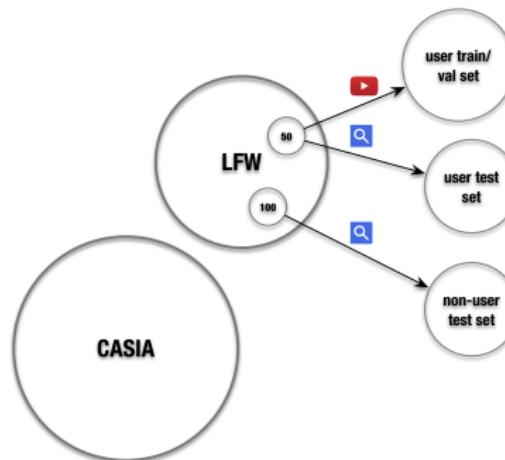
(b) Confusion matrix

Figure: Scene classification evaluation results.

8 volunteers take 759 images in “in the wild”, with 638 images are selected, covering 9 scene groups shown earlier.

# Face recognition and matching

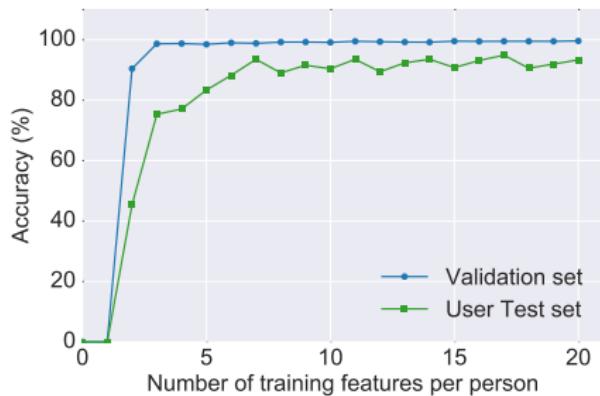
## User / Non-user test set



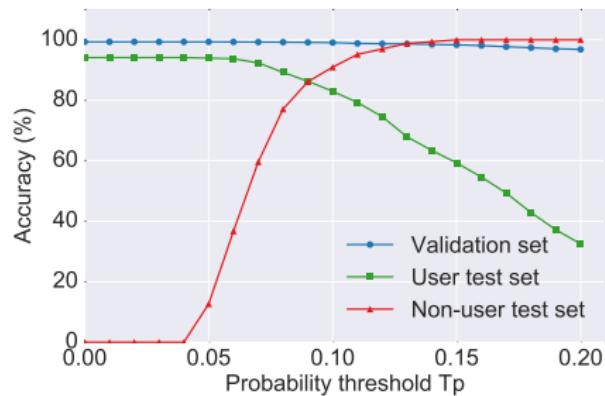
Select 50 subjects from LFW dataset, 5042 features for train and validation, 511 features as **user test set**, 166 features from 100 other subjects as **non-user test set**. (CASIA WebFace Database (Lightene CNN) does not overlap with LFW dataset).

# Face recognition and matching

## Recognition accuracy with $T_p$



(a) Training accuracy

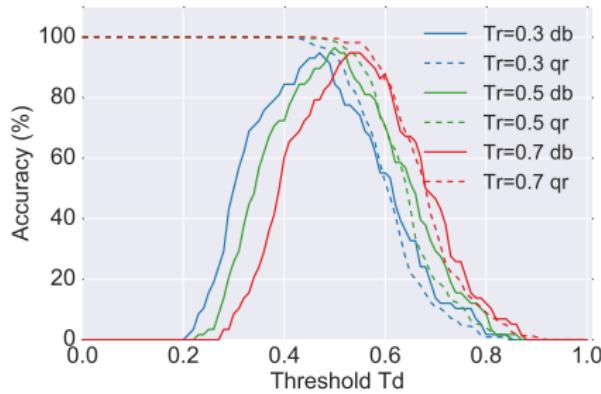


(b) Testing accuracy with threshold  $T_p$

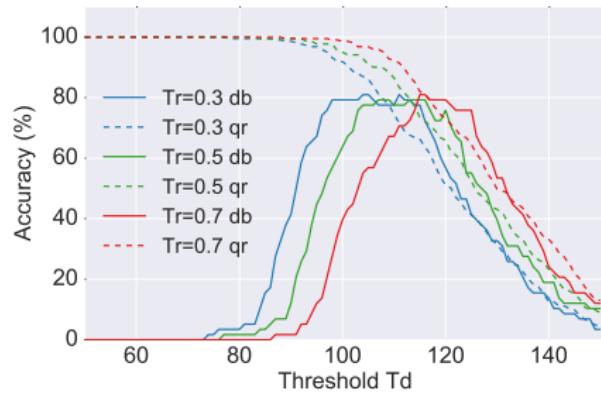
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# Face recognition and matching

## Matching accuracy with $T_d$ & $T_r$



(a) Cosine distance

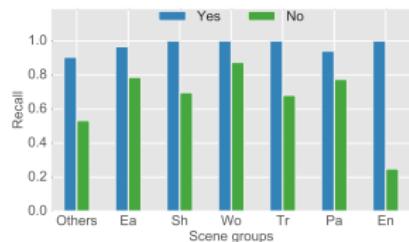


(b) Euclidean distance

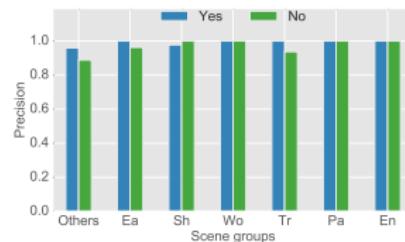
Select 23 subjects from **user test set** who has more than 10 features, use these 230 features as **database features**, the other 281 features as **query features**. The 23 subjects are **database subjects** (solid line), while the other 27 subjects are **non-database subjects** (dashed line).

# Gesture recognition

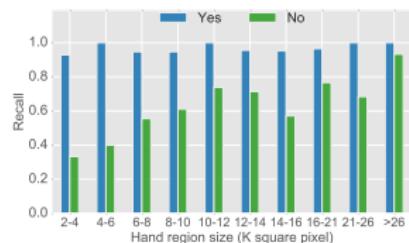
## Recognition performance to scene and gesture region size



(a) Recall for different scenes



(b) Precision for different scenes



(c) Recall for different hand region sizes

High precision, recall for “no” gesture needs to be improved. More training data with smaller size.

# Overall protection accuracy

Table: Cardea's overall privacy protection performance.

<b>Overall accuracy</b>	<b>86.4%</b>	Protection accuracy	80.4%
		No protection accuracy	91.0%
Face recognition accuracy	98.5%	"Yes" gesture recall	97.9%
scene classification recall	77.7%	"No" gesture recall	77.3%

5 volunteers to register as Cardea users, set their privacy profiles. 224 images for evaluation.

# Runtime



Figure: Task level runtime of Cardea.

client: Samsung Galaxy Note 4, 4×2.7 GHz Krait 450 CPU, Qualcomm Snapdragon 805 Chipset, 3GB RAM, and 16 MP, f/2.2 Camera.

server: Intel i7-5820K CPU, 16GB RAM, GeForce 980Ti Graphic Card (6GB RAM).

network: eduroam.

# Energy consumption

Table: Energy consumption of Cardea with different number of faces.

	Face feature extraction	Whole process (uAh)	# of images
1 face	217.2 (std 3.4)	1134.5 (std 45.9)	~ 2800
2 faces	344.1 (std 13.1)	1276.7 (std 113.8)	~ 2500
5 faces	692.6 (std 36.5)	1641.1 (std 66.0)	~ 2000

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# Summary

- Design
  - context awareness
  - interactive control
- Implementation
  - deep neural networks
  - deploy on android
- Feasibility
  - micro benchmarks
  - overall performance
  - run time & energy consumption

Thanks for your attendance !  
Q&A ?