# KLPT<sup>2</sup>: Algebraic pathfinding in dimension two and applications

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**Abstract.** Following Ibukiyama, Katsura and Oort, all principally polarized superspecial abelian surfaces over  $\overline{\mathbb{F}}_p$  can be represented by a certain type of  $2 \times 2$  matrix g, having entries in the quaternion algebra  $B_{p,\infty}$ . We present a heuristic polynomial-time algorithm which, upon input of two such matrices  $g_1, g_2$ , finds a "connecting matrix" representing a polarized isogeny of smooth degree between the corresponding surfaces. Our algorithm should be thought of as a two-dimensional analog of the KLPT algorithm from 2014 due to Kohel, Lauter, Petit and Tignol for finding a connecting ideal of smooth norm between two given maximal orders in  $B_{p,\infty}$ .

The KLPT algorithm has proven to be a versatile tool in isogeny-based cryptography, and our analog has similar applications; we discuss two of them in detail. First, we show that it yields a polynomial-time solution to a two-dimensional analog of the so-called constructive Deuring correspondence: given a matrix g representing a superspecial principally polarized abelian surface, realize the latter as the Jacobian of a genus-2 curve (or, exceptionally, as the product of two elliptic curves if it concerns a product polarization). Second, we show that, modulo a plausible assumption, Charles-Goren-Lauter style hash functions from superspecial principally polarized abelian surfaces require a trusted set-up. Concretely, if the matrix g associated with the starting surface is known then collisions can be produced in polynomial time. We deem it plausible that all currently known methods for generating a starting surface indeed reveal the corresponding matrix. As an auxiliary tool, we present an efficient method for converting polarized isogenies of powersmooth degree into the corresponding connecting matrix, a step for which a previous approach by Chu required super-polynomial (but sub-exponential) time.

#### 1 Introduction

In isogeny-based cryptography, the core problem is that of finding an explicit isogeny between two isogenous elliptic curves over a finite field. Here, "explicit"

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often implicates that the degree of the isogeny is powersmooth, or a power of some small prescribed prime number  $\ell$ . For reasons of both security and efficiency, almost all cryptographic constructions restrict their focus to supersingular elliptic curves. Famously, Deuring [26] proved that such curves are (essentially) in one-to-one correspondence with maximal orders in the quaternion algebra  $B_{p,\infty}$  ramified at p and  $\infty$ ; here p denotes the field characteristic. Under this correspondence, isogenies correspond to ideals, and the isogeny-finding problem translates into finding a connecting ideal between two given maximal orders  $\mathcal{O}_0, \mathcal{O}_1 \subset B_{p,\infty}$ , where one then aims for integral ideals I whose norm  $\mathfrak{n}(I)$  is powersmooth or a power of  $\ell$ . Interestingly, this quaternion version of the isogeny-finding problem can be dealt with efficiently: in 2014, Kohel, Lauter, Petit, and Tignol [43] proposed a polynomial-time algorithm, now commonly known as the KLPT algorithm, for solving exactly this problem.

This result has had an amplitude of consequences, both constructive and destructive. For example, it breaks the second pre-image resistance of the Charles-Goren-Lauter (CGL) hash function [29, 30] when using an untrusted set-up. A more recent cryptanalytic example is the break of pSIDH [15]. More fundamentally, it has led to a key insight in isogeny-based cryptography. Namely, on one hand, given a maximal order in  $B_{p,\infty}$ , one can use the KLPT algorithm to compute a corresponding supersingular elliptic curve  $E/\overline{\mathbb{F}}_p$  in polynomial time: this is called the constructive Deuring correspondence and it is practical for cryptographically sized values of p [31]. On the other hand, the converse problem, namely computing the endomorphism ring of a given supersingular elliptic curve, is believed to be very hard. By now, we understand, in a heuristic-free way, that this is in fact the central hard problem in (supersingular) isogeny-based cryptography [47, 59]. That is, the Deuring correspondence is a one-way function, and it allows for trapdoors, e.g., in the form of secret isogenies to an easy base curve. This has sparked many important constructions, where we highlight the Galbraith-Petit-Silva (GPS) signature scheme [33] and SQIsign [24].

Recently, the field of isogeny-based cryptography was shaken up by the use of higher-dimensional principally polarized abelian varieties. Earlier works such as [10, 16, 32, 56] studied these objects in their own right, but the real catalysts were the attacks on SIDH [9, 46, 52] which revealed a very powerful interplay between higher dimension and dimension one, i.e., the world of elliptic curves. Constructive applications followed soon, especially because the machinery allows for efficient representations of isogenies of arbitrary degree [53]. This has culminated in various new schemes, including SQIsign variants [3, 23, 28, 49] improving over their ancestor in terms of speed, compactness, and security foundations.

In view of these current trends, a higher-dimensional analog of the KLPT algorithm is an important lacking tool. The direct provocation for this research is the PhD thesis by Chu [16], who mentions this as a missing ingredient in a GPS-style signature scheme from superspecial principally polarized abelian surfaces. Here, the Deuring correspondence is to be replaced with a correspondence

 $<sup>^{\</sup>dagger}$ The attack from [15] does not invoke the KLPT algorithm directly; rather, it uses and adapts several of its subroutines.

due to Ibukiyama, Katsura and Oort [38] describing principal polarizations and polarized isogenies in terms of  $2\times 2$  matrices with entries in  $B_{p,\infty}$ . Such a missing analog of KLPT is exactly the central result of our paper.

#### Main contributions:

- $-KLPT^2$ . We provide a two-dimensional analog of the KLPT algorithm: upon input of two matrices  $g_1, g_2$  representing two principally polarized superspecial abelian surfaces, the KLPT<sup>2</sup> algorithm heuristically finds, in polynomial time, a "connecting matrix" representing a polarized isogeny of reduced degree N. We provide versions both for  $N = \ell^e$  (where  $\ell$  is a small prescribed prime number) and for N powersmooth. In both cases, the value of N achieved is in  $O(p^{25+o(1)})$ . The main techniques are the following. First we observe that if the matrices  $g_1, g_2$  are in a very special form, then finding a connecting matrix is easy (Lemma 3.3). This turns the problem into a transformation problem: instead of connecting two matrices, try to transform one matrix into a standard form. An important challenge is to bound the output degree in a way that only depends on p and not on the sizes of the matrices  $g_i$ . This is handled using certain size reductions and solving certain Diophantine equations. One noteworthy ingredient is an algorithm that given  $a, c \in \mathcal{O}$  (where  $\mathcal{O}$  is a maximal order in  $B_{p,\infty}$ ) that have coprime norm, finds  $b, d \in \mathcal{O}$  such that the reduced norm of the matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is a power of  $\ell$  (or powersmooth) in  $O(p^{3+o(1)})$ . Quite surprisingly, this problem is essentially equivalent to 1-dimensional KLPT (Section 3.2). We deem it very likely that the exponent 25 + o(1) can be improved, but leave such sharpenings for future work (and we note that future improvements on one-dimensional KLPT also improve our results).
- Constructive Ibukiyama-Katsura-Oort (IKO) correspondence. In Section 4.1, we describe an efficient algorithm for matrix-to-isogeny conversion for powersmooth degrees (thereby ticking off an unsurprising but missing ingredient in Chu's aforementioned signature scheme). Combined with our KLPT<sup>2</sup> algorithm, this yields a heuristic polynomial-time method for an analog of the constructive Deuring correspondence, described in Section 5.1: given a matrix g representing a principally polarized superspecial abelian surface, we explicitly realize this surface as either the Jacobian of a genus-2 curve, or as a product of elliptic curves equipped with the product polarization.
- Polynomial-time isogeny-to-matrix conversion for isogenies of smooth degree. In order to transfer more advanced applications of KLPT to dimension two, one also needs an efficient solution to the converse problem: given a principally polarized superspecial abelian surface  $A_1$  with known matrix  $g_1$ , along with a polarized isogeny  $\varphi: A_1 \to A_2$ , find a matrix  $g_2$  corresponding to  $A_2$  along with a connecting matrix corresponding to  $\varphi$ . In the special case of  $(\ell,\ell)$ -isogenies, where  $\ell$  denotes any small prime, we provide a simple and efficient method for computing such a connecting matrix, and then  $g_2$  follows right away. This then naturally extends to a polynomial-time algorithm for isogeny-to-matrix conversion for polarized isogenies of powersmooth degree.

In this way, we by-pass the need for invoking Chu's super-polynomial (but sub-exponential) time algorithm for the principal ideal problem (PIP) in quaternionic matrix rings [16, Chapter 2]. Finally, by mimicking a method due to Eisenträger, Hallgren, Lauter, Morrison and Petit [29, Algorithm 9], in Section 14 we lift this to a polynomial-time algorithm for isogeny-to-matrix conversion for polarized isogenies of arbitrary smooth degree, through a repeated application of KLPT<sup>2</sup>.

– Attacks on CGL style hash functions. Section 5.4 describes our main application: an attack on CGL-type hash functions in dimension two, which were explicitly proposed in [10, 18, 32, 44, 56], in the case of an untrusted set-up. This is similar to the KLPT-based attacks [29, 30] on the original CGL hash function. Concretely, if the starting surface comes with a known matrix g (which seems a fair assumption to make in all untrusted instantiations) then we can use the KLPT<sup>2</sup> algorithm to find collisions. Unfortunately, it does not allow us to find second pre-images, due to the fact that KLPT<sup>2</sup> does not produce "good" chains of  $(\ell,\ell)$ -isogenies in the sense of [10] (see Corollary 5.4). Very similarly, we also break a verifiable delay function based on genus-2 curves [14], again if no trusted set-up is used.

We conclude this introduction by noting that our KLPT<sup>2</sup> algorithm can be seen as a constructive proof (modulo heuristic assumptions) of the dimension-two case of Jordan and Zaytman's recent result that the graph of  $(\ell, \ldots, \ell)$ -isogenies between superspecial principally polarized abelian varieties is connected [40].

#### Outline

We provide some background on the KLPT algorithm, principal polarizations, the Ibukiyama–Katsura–Oort correspondence, and quaternionic matrices in Section 2. The section is concluded with a quick, summarizing announcement of our algorithmic contributions. We describe our generalization of KLPT to dimension two in Section 3 and discuss routines for matrix-to-isogeny and isogeny-to-matrix conversion in Section 4. We describe our applications of KLPT<sup>2</sup> in Section 5. In Section 6 we discuss some natural directions for further research.

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#### 2 Preliminaries

#### 2.1 Deuring correspondence and the KLPT algorithm

For general background on quaternion algebras, we refer to [57]. For now, recall that a (rational) quaternion algebra B is a central simple algebra of dimension 4 over  $\mathbb{Q}$ . An order in B is a subring  $\mathcal{O} \subset B$  containing 1 which has rank 4 as a  $\mathbb{Z}$ -module. An order is called maximal if it is maximal with respect to inclusion. The isomorphism class of a quaternion algebra is determined by its local behaviour: for which completions  $\mathbb{Q}_v$  do we have that  $B \otimes_{\mathbb{Q}} \mathbb{Q}_v$  is a division algebra? Such places v are called ramified.<sup>†</sup> In this paper we will be concerned with  $B_{p,\infty}$ , the unique quaternion algebra up to isomorphism which is ramified at  $\infty$  and at a fixed prime number p (typically of cryptographic size). The endomorphism ring of every supersingular elliptic curve over  $\overline{\mathbb{F}}_p$  is isomorphic to a maximal order  $\mathcal{O} \subset B_{p,\infty}$ . Under this isomorphism, the degree of an endomorphism corresponds to the norm  $\mathfrak{n}(u)$  of the corresponding quaternion u.

Example 2.1. If  $p \equiv 3 \mod 4$  then one can realize the quaternion algebra  $B_{p,\infty}$  as  $\mathbb{Q}\langle 1,i,j,k\rangle$  with  $i^2=-1$ ,  $j^2=-p$  and k=ij=-ji. The elliptic curve  $E_0: y^2=x^3+x$  with  $j(E_0)=1728$  is supersingular. Here  $\operatorname{End}(E_0)\cong \mathcal{O}_0$  with

$$\mathcal{O}_0 = \left\langle 1, i, \frac{i+j}{2}, \frac{1+k}{2} \right\rangle.$$

One isomorphism  $\tau: \mathcal{O}_0 \stackrel{\cong}{\to} \operatorname{End}(E_0)$  arises by letting  $\tau(i): (x,y) \mapsto (-x, \sqrt{-1}y)$  and  $\tau(j): (x,y) \mapsto (x^p,y^p)$ . As mentioned:  $\mathbf{n}(u) = \deg(\tau(u))$  for all  $u \in \mathcal{O}_0$ .

The Deuring correspondence [26] asserts that this turns into a categorical equivalence between supersingular elliptic curves defined over  $\overline{\mathbb{F}}_p$  (up to Galois conjugation) and maximal orders in  $B_{p,\infty}$  (up to isomorphism or, equivalently, up to conjugation). On the elliptic curve side, the non-zero morphisms are isogenies  $\varphi: E_0 \to E_1$ . On the quaternion side, such an isogeny  $\varphi$  corresponds to a rank-4 sub- $\mathbb{Z}$ -module  $I \subset B_{p,\infty}$  which is a left, resp. right, ideal of a maximal order  $\mathcal{O}_0 \cong \operatorname{End}(E_0)$ , resp.  $\mathcal{O}_1 \cong \operatorname{End}(E_1)$ . This ideal is then referred to as a connecting ideal of  $\mathcal{O}_0$  and  $\mathcal{O}_1$ . Note that endomorphism rings can be embedded

<sup>&</sup>lt;sup>†</sup>In the non-ramified cases we have  $B \otimes_{\mathbb{Q}} \mathbb{Q}_v \cong \mathrm{M}_2(\mathbb{Q}_v)$ .

<sup>&</sup>lt;sup>‡</sup>Or rather to its *reduced* norm in the sense of [57, Section 3.3]; throughout this paper, for simplicity, we will drop the adjective "reduced".

into  $B_{p,\infty}$  in many ways: any embedding can be post-composed with conjugation. This warrants the notion of equivalent ideals: a left ideal  $J \subset \mathcal{O}_0$  will be a right ideal of an order  $\mathcal{O}_1' \cong \mathcal{O}_1$  if and only if there exists  $\beta \in B_{p,\infty} \setminus \{0\}$  such that  $J = I\beta$ . On the geometric side, this corresponds to different isogenies connecting the same curves. The left ideals  $I, J \subset \mathcal{O}_0$  are then said to be equivalent.

There is an explicit geometric view on Deuring's construction of the ideal I: it can be seen as the subset of  $\operatorname{End}(E_0)$  that is obtained by post-composing  $\varphi$  with all elements of  $\operatorname{Hom}(E_1, E_0)$ . Thus I encodes the set of all isogenies  $E_1 \to E_0$ , and the norm of every element of I is divisible by the degree of  $\varphi$ . More precisely, it can be shown that  $\operatorname{deg}(\varphi)$  equals  $\operatorname{n}(I) = \operatorname{gcd}\{\operatorname{n}(u) \mid u \in I\}$ , the norm of I.

The Deuring correspondence implies that there is a natural quaternion analog of the  $\ell$ -isogeny pathfinding problem. Indeed, upon input of two maximal orders  $\mathcal{O}_0, \mathcal{O}_1 \subset B_{p,\infty}$  connected by an ideal I, it amounts to finding an equivalent left ideal  $J \subset \mathcal{O}_0$  of norm  $\ell^e$  for some  $e \geq 1$ . An alternative viewpoint taking the geometric interpretation into account is as follows: when given one connecting ideal I, it is enough to find  $\sigma \in I$  such that  $\mathsf{n}(\sigma) = \mathsf{n}(I)\ell^e$ . This is exactly the problem that is addressed by the KLPT algorithm [43].<sup>†</sup> It is then easy to check that  $J = I\beta$  with  $\beta = \bar{\sigma}/\mathsf{n}(I)$  is an equivalent ideal with norm  $\ell^e$ . Geometrically, under the above identification of I with  $\mathsf{Hom}(E_1, E_0)\varphi$ , we can write  $\sigma = \tau \varphi$  for a degree- $\ell^e$  isogeny  $\tau : E_1 \to E_0$ , and then J corresponds to  $\mathsf{Hom}(E_1, E_0)\varphi\hat{\sigma}/\deg(\varphi) = \mathsf{Hom}(E_1, E_0)\hat{\varphi}\hat{\tau}/\deg(\varphi) = \mathsf{Hom}(E_1, E_0)\hat{\tau}$ .

Remark 2.2. This is an important view on KLPT as we will need it in exactly the version where it finds an element of prescribed norm in a certain ideal.

The way KLPT proceeds is as follows. Using a simple trick one can assume knowledge of a left ideal  $I \subset \mathcal{O}_0$  of prime norm N, so we would like to find an element  $\sigma \in I$  of norm  $N\ell^e$ . First one finds  $\gamma \in \mathcal{O}_0$  whose norm is  $N\ell^{e_0}$ . Now the ideal  $J = \mathcal{O}_0 N + \mathcal{O}_0 \gamma$  has norm N. Locally, i.e., modulo N, I and J reduce to proper left ideals of the matrix ring  $M_2(\mathbb{Z}/N\mathbb{Z})$  and such ideals only differ by right-multiplication by an invertible element  $\delta$ . Such a  $\delta$  can be computed locally and lifted to  $\mathcal{O}_0$  (using an explicit isomorphism between  $\mathcal{O}_0/N\mathcal{O}_0$  and  $M_2(\mathbb{Z}/N\mathbb{Z})$ ) which implies that  $\gamma \delta \in I$ . Now the key is that  $\delta$  is determined modulo N only, so what is left to do is choose an appropriate lifting such that  $\mathsf{n}(\delta) = \ell^{e_1}$ . This step is called  $\mathit{strong}$  approximation and in [43] it is carried out for special extremal orders  $\mathcal{O}_0$ , i.e., maximal orders containing an imaginary quadratic order with small discriminant (it can be modified to work for arbitrary orders, see [24, Section 5] and [15, Section 5]). Now it is clear that  $\gamma \delta$  will fit our criteria with  $e = e_0 + e_1$ . The KLPT algorithm can ensure that  $\ell^e \in \mathcal{O}(p^{3+o(1)})$ .

 $<sup>^{\</sup>dagger}$ In other applications of KLPT one searches for connecting ideals having powersmooth norm, but the approach is entirely the same.

<sup>&</sup>lt;sup>‡</sup>The original bound from KLPT is in the order of  $p^{3.5}$ , but an improvement due to Petit and Smith [51], reported in [13, Algorithm 13], reduces this to  $p^{3+o(1)}$  as stated.

#### 2.2 Principally polarized abelian varieties

For detailed background, we refer to [6, 16, 38, 48]. An abelian variety over an algebraically closed field is a projective algebraic variety which is also an algebraic group. The notion generalizes that of an elliptic curve, which is an abelian variety of dimension one. However, for most uses (including in cryptography), the more relevant generalization is that of an abelian variety equipped with a principal polarization. Unfortunately, this notion does not admit a down-to-earth definition. Luckily, the exact construction is not really important for this paper, which is mostly algebraic in nature. Therefore, the reader who is unfamiliar with the notation and terminology below can just think of a polarization as a certain kind of isogeny (i.e., a finite surjective homomorphism) between A and a companion abelian variety  $\hat{A}$  called its dual.  $^{\dagger}$  We include a formal definition, e.g., to allow the reader to verify the proof of Theorem 2.8 further down:

**Definition 2.3.** A polarization on a g-dimensional abelian variety A is an isogeny of the form

$$\lambda: A \to \hat{A} = \operatorname{Pic}^{0}(A)$$
  
 $P \mapsto [t_{-P}(D) - D]$ 

with D an ample divisor on A, where  $t_{-P}$  denotes point-wise translation by -P. It can be shown that  $\deg(\lambda) = (D^g/g!)^2$  with  $D^g$  the self-intersection number of D. If this degree is equal to 1 then the polarization is called principal. Write  $\operatorname{PPol}(A)$  for the set of principal polarizations on A.

The reason why the notion of a principally polarized abelian variety still generalizes that of an elliptic curve is that, in the latter case, there is a unique principal polarization, called the canonical polarization. It is given by the negated Abel–Jacobi map:  $P \mapsto [(\infty) - (P)]$ . The uniqueness typically no longer holds in higher dimension. This is notoriously true for superspecial abelian varieties, which are our main objects of interest. A g-dimensional superspecial abelian variety is a variety which — as an unpolarized variety — is isomorphic to a product of g supersingular elliptic curves. It can be shown that, for a fixed characteristic p, all such products are pairwise isomorphic as soon as  $p \ge 2$  [54, Theorem 3.5]. However, this unique isomorphism class carries  $\Theta(p^{g(g+1)/2})$  inequivalent principal polarizations (in the sense of Definition 2.7 below).

**Definition 2.4.** A (polarized) isogeny between two principally polarized abelian varieties  $(A, \lambda_A)$  and  $(B, \lambda_B)$  is an isogeny  $\varphi : A \to B$  that respects the polarizations, i.e., there exists a positive integer N for which the following diagram commutes

$$\begin{array}{c}
A \xrightarrow{\varphi} B \\
[N]\lambda_A \downarrow & \downarrow^{\lambda_B} \\
\hat{A} \xleftarrow{\varphi} \hat{B}
\end{array}$$

<sup>&</sup>lt;sup>†</sup>Not all isogenies  $A \to \hat{A}$  are polarizations: a certain positivity condition should be satisfied. E.g., if  $\lambda$  is a polarization, then  $-\lambda$  is not. See [17, pp. 6–7] for a discussion.

Here,  $\hat{\varphi}$  is the dual isogeny, defined by taking inverse image divisors under  $\varphi$ . One has  $\deg(\varphi) = N^g$ , and we call  $N = \deg(\varphi)$  the reduced degree of  $\varphi$ . If N = 1 then  $\varphi$  is called a (polarized) isomorphism; we write  $(A, \lambda_A) \cong (B, \lambda_B)$ .

Remark 2.5. Given a principally polarized abelian variety  $(A, \lambda_A)$ , an abelian variety B and an isogeny  $\varphi: A \to B$ , in general there does not exist a principal polarization  $\lambda_B: B \to \hat{B}$  such that  $\varphi$  is polarized. If it does exist, then  $\lambda_B$  is unique and called the induced principal polarization. Assuming that  $\deg(\varphi) = N^g$  for some integer N coprime with the field characteristic, a necessary and sufficient condition for existence [41, Proposition 1.1] is that  $\ker(\varphi)$  is a maximal isotropic subgroup of A[N], where isotropic means that  $e_{N,\lambda_A}(P,Q) = 1$  for all  $P,Q \in A[N]$ , with  $e_{N,\lambda_A}: A[N] \times A[N] \to \mu_N$  the N-Weil pairing with respect to the principal polarization  $\lambda_A$ . In this case  $\operatorname{degrd}(\varphi) = N$ .

Remark 2.6. An isogeny  $\varphi$  is said to be an  $(N_1, \ldots, N_r)$ -isogeny, for certain integers  $N_i$ , if it is separable, polarized and  $\ker(\varphi) \cong \bigoplus_{i=1}^r \mathbb{Z}/N_i\mathbb{Z}$ . If  $\operatorname{degrd}(\varphi)$  is a prime number  $\ell$ , then it concerns an  $(\ell, \ldots, \ell)$ -isogeny, where r = g.

For any isogeny  $\varphi: A \to B$  and any choice of principal polarizations  $\lambda_A$ ,  $\lambda_B$ , it is natural to consider the *adjoint* isogeny

$$\tilde{\varphi} = \lambda_A^{-1} \hat{\varphi} \lambda_B : B \to A$$

with respect to  $\lambda_A$ ,  $\lambda_B$ . If  $\varphi$  is polarized, then so is  $\tilde{\varphi}$  and we have  $\tilde{\varphi}\varphi = [\operatorname{degrd}(\varphi)]$  and  $\varphi\tilde{\varphi} = [\operatorname{degrd}(\varphi)]$ . In the context of elliptic curves, the adjoint isogeny can be identified with the dual isogeny  $\hat{\varphi}: \hat{B} \to \hat{A}$  via the canonical polarization, with which any isogeny is compatible. This is not the case in higher dimensions. This forces us to make a clear distinction between the dual isogeny, which is independent from any polarization, and the adjoint isogeny, which depends on a choice of principal polarizations and which exhibits the common properties we are familiar with from the elliptic curve case.

If  $\lambda$  is a principal polarization on an abelian variety A, then the adjoint operator  $\operatorname{Ros}_{\lambda}: \alpha \mapsto \tilde{\alpha} = \lambda^{-1}\hat{\alpha}\lambda$ , defines an involution of  $\operatorname{End}(A)$  called the Rosati involution (with respect to  $\lambda$ ).

**Definition 2.7.** Two principal polarizations  $\lambda_1$  and  $\lambda_2$  on an abelian variety A are said to be equivalent if  $(A, \lambda_1) \cong (A, \lambda_2)$ , i.e., there exists an automorphism  $\alpha$  of A such that  $\hat{\alpha}\lambda_1\alpha = \lambda_2$ . We write  $PPol^0(A)$  for the set of principal polarizations on A up to equivalence.

Turning our focus to dimension g=2, we recall that principally polarized abelian surfaces can be classified as follows: they are isomorphic to either

- a product  $E_1 \times E_2$  of two elliptic curves, equipped with the *product polar-ization*, coming from  $D = (E_1 \times \{\infty\}) + (\{\infty\} \times E_2)$ , or
- the Jacobian Jac(C) of a genus-2 curve, equipped with the canonical polarization, coming from D=(u(C)) with  $u:C\hookrightarrow \operatorname{Pic}^0(C)\cong \operatorname{Jac}(C):P\mapsto [(P)-(\infty)]$  the Abel-Jacobi map (where  $\infty\in C$  denotes any base point).

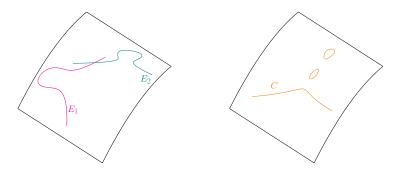


Fig. 1. A product of two elliptic curves on the left, and a genus-2 curve embedded in its Jacobian on the right.

With respect to product polarizations, the adjoint admits a very explicit description which follows from the proof of [5, Proposition 4.10]. Consider four elliptic curves  $E_1, E_2, E_3, E_4$  and assume we have an isogeny  $\varphi: E_1 \times E_2 \to E_3 \times E_4$ , not necessarily polarized. We can write this isogeny in matrix form:

$$\varphi: \begin{pmatrix} P \\ Q \end{pmatrix} \mapsto \begin{pmatrix} \alpha_{13} \ \alpha_{23} \\ \alpha_{14} \ \alpha_{24} \end{pmatrix} \begin{pmatrix} P \\ Q \end{pmatrix}$$

where each  $\alpha_{ij}: E_i \to E_j$  is a homomorphism (an isogeny or the zero map) of elliptic curves. With respect to the product polarizations on  $E_1 \times E_2$  and  $E_3 \times E_4$ , we have

$$\tilde{\varphi}: \begin{pmatrix} P \\ Q \end{pmatrix} \mapsto \begin{pmatrix} \hat{\alpha}_{13} \ \hat{\alpha}_{14} \\ \hat{\alpha}_{23} \ \hat{\alpha}_{24} \end{pmatrix} \begin{pmatrix} P \\ Q \end{pmatrix},$$

so in this case the map  $\varphi\mapsto\tilde{\varphi}$  can be thought of as a conjugate-transpose. The isogeny  $\varphi$  is polarized if and only if

$$\begin{pmatrix} \hat{\alpha}_{13} \ \hat{\alpha}_{14} \\ \hat{\alpha}_{23} \ \hat{\alpha}_{24} \end{pmatrix} \begin{pmatrix} \alpha_{13} \ \alpha_{23} \\ \alpha_{14} \ \alpha_{24} \end{pmatrix} = \begin{pmatrix} [N] \ 0 \\ 0 \ [N] \end{pmatrix}$$

for some positive integer N. This integer necessarily equals  $\operatorname{degrd}(\varphi)$ , so that  $\operatorname{deg}(\varphi) = N^2$ . In general, by [42, Corollary 64] and [34, Proposition 3.9], we have

$$\deg(\varphi) = (\deg \alpha_{13} + \deg \alpha_{14})(\deg \alpha_{23} + \deg \alpha_{24}) - \deg(\hat{\alpha}_{23}\alpha_{13} + \hat{\alpha}_{24}\alpha_{14}). \quad (1)$$

#### 2.3 Ibukiyama-Katsura-Oort correspondence

In the remainder of the paper, we fix a prime  $p \notin \{2,3\}$  and a supersingular elliptic curve  $E_0/\overline{\mathbb{F}}_p$ . Let  $B_{p,\infty}$  be the unique quaternion algebra (up to isomorphism) ramified exactly at p and infinity. Then, as mentioned,  $\operatorname{End}(E_0)$  is isomorphic through the Deuring correspondence to a maximal order  $\mathcal{O}_0$  of  $B_{p,\infty}$ . Define  $A_0 = E_0 \times E_0$  and consider the product polarization  $\lambda_0$ . By our previous discussion, the endomorphism ring of  $A_0$  is isomorphic to  $\operatorname{M}_2(\mathcal{O}_0)$  and under

this isomorphism the Rosati involution (i.e., the adjoint operator) with respect to  $\lambda_0$  corresponds to the conjugate-transpose.

Recall that, considered without their polarizations, all superspecial abelian surfaces in characteristic p are isomorphic. Consequently, every principally polarized superspecial abelian surface  $(A, \lambda_A)$  is isomorphic to  $(A_0, \lambda)$  for some principal polarization  $\lambda$  on  $A_0$ . Explicitly, if  $\varphi: A_0 \to A$  is an (unpolarized) isomorphism, then we can take  $\lambda = \hat{\varphi}\lambda_A\varphi$ . The following method due to Ibukiyama, Katsura and Oort can be used to represent a principal polarization  $\lambda$  on  $A_0$  as a matrix with coefficients in  $\mathcal{O}_0$ . One considers the map

$$\mu : \operatorname{PPol}(A_0) \to \operatorname{End}(A_0)$$
  
 $\lambda \mapsto \lambda_0^{-1}\lambda,$ 

noting that the image  $\lambda_0^{-1}\lambda$  can be identified with an element of  $M_2(\mathcal{O}_0)$ .

**Theorem 2.8.** The map  $\mu$  is injective and its image, once transferred to the quaternion world through the Deuring correspondence, corresponds to

$$\operatorname{Mat}(A_0) := \left\{ \begin{pmatrix} s \ r \\ \bar{r} \ t \end{pmatrix}, \quad s, t \in \mathbb{Z}_{>0}, r \in \mathcal{O}_0, st - r\bar{r} = 1 \right\} \quad \subset \operatorname{GL}_2(\mathcal{O}_0),$$

i.e.,  $\mu$  determines a bijection between  $PPol(A_0)$  and  $Mat(A_0)$ .

*Proof.* This is [38, Corollary 2.9] specialized to principal polarizations (i.e., to ample divisors with self-intersection 2).  $\Box$ 

Remark 2.9. An alternative way of specifying a principal polarization  $\lambda$  on  $A_0$  is through the Rosati involution it induces on  $M_2(\mathcal{O}_0)$ . This datum is very explicitly encoded in the matrix  $g = \mu(\lambda)$ :

$$\operatorname{Ros}_{\lambda}(\alpha) = \lambda^{-1} \hat{\alpha} \lambda = (\lambda_0^{-1} \lambda)^{-1} (\lambda_0^{-1} \hat{\alpha} \lambda_0) (\lambda_0^{-1} \lambda) = g^{-1} \operatorname{Ros}_{\lambda_0}(\alpha) g = g^{-1} \alpha^* g,$$

where we recall that the Rosati involution with respect to the product polarization  $\lambda_0$  indeed amounts to the conjugate-transpose  $-^*$ . Conversely, given black-box access to  $\operatorname{Ros}_{\lambda}$ , one can reconstruct the matrix g via linear system solving, by considering  $g \operatorname{Ros}_{\lambda}(b_i) = b_i^* g$  for a  $\mathbb{Z}$ -basis  $b_1, \ldots, b_{16}$  of  $\operatorname{M}_2(\mathcal{O}_0)$ .

In a natural way, the matrix representation extends to polarized isogenies. Let  $\lambda_1, \lambda_2 \in \operatorname{PPol}(A_0)$  be represented by matrices  $g_1, g_2 \in \operatorname{Mat}(A_0)$  and let  $\varphi: (A_0, \lambda_1) \to (A_0, \lambda_2)$  be a polarized isogeny of reduced degree N. Being an endomorphism of  $A_0$ , we can identify  $\varphi$  with a matrix  $\gamma \in \operatorname{M}_2(\mathcal{O}_0)$ , and the property  $\hat{\varphi}\lambda_2\varphi = N\lambda_1$  readily translates into

$$(\lambda_0^{-1}\hat{\varphi}\lambda_0)\lambda_0^{-1}\lambda_2\varphi = N\lambda_0^{-1}\lambda_1.$$

Using  $\lambda_0^{-1}\lambda_i=g_i$  and identifying  $\varphi$  with  $\gamma$ , this can be rewritten as

$$\gamma^* g_2 \gamma = N g_1, \tag{2}$$

<sup>&</sup>lt;sup>†</sup>More generally, the adjoint of  $\alpha$  with respect to  $g_1 = \mu(\lambda_1)$ ,  $g_2 = \mu(\lambda_2)$  is  $g_1^{-1}\alpha^*g_2$ .

which is the chief equation of this entire paper. Conversely, whenever a matrix  $\gamma \in M_2(\mathcal{O}_0)$  satisfies (2), it determines a polarized isogeny  $\varphi : (A_0, \lambda_1) \to (A_0, \lambda_2)$  of reduced degree N. In Section 4 we will discuss methods for converting polarized isogenies into matrices and vice versa.

We conclude with two remarks:

1. The equivalence relation for principal polarizations from Definition 2.7 naturally translates to the language of matrices as well: given  $g_1, g_2 \in \text{Mat}(A_0)$  encoding principal polarizations  $\lambda_1, \lambda_2$  on  $A_0$ , we have

$$\lambda_1 \sim \lambda_2 \iff \exists u \in GL_2(\mathcal{O}_0), \quad u^*g_1u = g_2.$$

In this case, we say that the matrices are *congruent*; this terminology is taken from [36]. We then define  $\operatorname{Mat}^0(A_0)$  as the set  $\operatorname{Mat}(A_0)$  considered modulo congruence. Figure 2 summarizes the bijections that allow us to manipulate (isomorphism classes of) principally polarized superspecial abelian surfaces using only matrices with entries in  $\mathcal{O}_0$ .

$$\left\{ \begin{array}{l} \text{Superspecial} \\ \text{principally polarized} \\ \text{abelian surfaces} \\ (A, \lambda_A) \\ \text{up to polarized} \\ \text{isomorphism} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{Principal} \\ \text{polarizations} \\ \lambda \in \text{PPol}(A_0) \\ \text{up to equivalence} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{Matrices} \\ g \in \text{Mat}(A_0) \\ \text{up to congruence} \end{array} \right\}$$

Fig. 2. Classification of principally polarized superspecial abelian surfaces

2. Every supersingular elliptic curve in characteristic p admits a model over  $\mathbb{F}_{p^2}$ , therefore the same is true for  $A_0$  and the product polarization  $\lambda_0$ . When working with a model such that  $\#A_0(\mathbb{F}_{p^2}) = (p \pm 1)^4$ , as will be the case in practice, we know that all endomorphisms of  $A_0$  are defined over  $\mathbb{F}_{p^2}$  as well. Consequently, every principal polarization  $\lambda = \lambda_0(\lambda_0^{-1}\lambda)$  is defined over  $\mathbb{F}_{p^2}$ . If  $(A, \lambda_A)$  is a superspecial principally polarized abelian surface defined over  $\mathbb{F}_{p^2}$  such that  $\#A(\mathbb{F}_{p^2}) = (p \pm 1)^4$ , then it is  $\mathbb{F}_{p^2}$ -isomorphic to  $(A_0, \lambda)$  for some principal polarization  $\lambda$  on  $A_0$ . See [6] for an extended discussion.

#### 2.4 Quaternionic matrices and determinants

When trying to define the determinant of a matrix

$$u = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathcal{M}_2(B_{p,\infty}),$$

care is needed in view of the non-commutativity. Note that there is no ambiguity in the Hermitian case (i.e., for matrices that are invariant under taking

the conjugate-transpose), which are always defined over a quadratic, hence commutative, subfield of  $B_{p,\infty}$ . In particular, it makes sense to consider  $\det(uu^*)$  instead. Alternatively, one can consider the (reduced) norm  $\mathcal{N}(u)$ , defined as  $\det(\iota(u\otimes 1))$ , where

$$\iota: \mathrm{M}_2(B_{p,\infty}) \otimes_{\mathbb{Q}} \mathbb{C} \to \mathrm{M}_4(\mathbb{C})$$

is any isomorphism of  $\mathbb{C}$ -algebras. As the following lemma shows, this leads to the same result.

**Lemma 2.10.** 
$$\det(uu^*) = \det(u^*u) = \mathsf{n}(a)\,\mathsf{n}(d) + \mathsf{n}(b)\,\mathsf{n}(c) - \mathsf{tr}(\bar{a}b\bar{d}c) = \mathcal{N}(u).$$

*Proof.* The first two equalities follow by explicit calculation. For the third equality we use that  $\mathcal{N}(u) = \mathsf{n}(\Delta(u))$  by [27, Theorem 1, p. 146], where

$$\Delta(u) = \begin{cases} -bc & \text{if } a = 0, \\ ad - aca^{-1}b & \text{if } a \neq 0 \end{cases}$$

is the so-called Dieudonné determinant [27, Example 1, p. 133]. The statement follows by explicit calculation. (See [2, Example 2.5] for a related discussion.)  $\Box$ 

One notable consequence of the above lemma is that the map  $u \mapsto \det(uu^*)$  is multiplicative; indeed this property is immediate for  $\mathcal{N}(-)$ . Another interesting corollary, for which we could not find an explicit reference, is the following:

Corollary 2.11. Let  $E/\overline{\mathbb{F}}_p$  be a supersingular elliptic curve and let  $\operatorname{End}(E) \cong \mathcal{O} \subset B_{p,\infty}$ . Let  $u \in \operatorname{End}(E^2)$ , which via this isomorphism can be identified with an element of  $\operatorname{M}_2(\mathcal{O})$ . Then  $\deg u = \mathcal{N}(u)$ .

*Proof.* This follows from (1) and an explicit calculation, using the identity

$$(\mathsf{n}(a) + \mathsf{n}(c))(\mathsf{n}(b) + \mathsf{n}(d)) - \mathsf{n}(\bar{a}b + \bar{c}d) = \mathsf{n}(a)\,\mathsf{n}(d) + \mathsf{n}(c)\,\mathsf{n}(b) - \mathsf{tr}(\bar{a}b\bar{d}c),$$

which in turn relies on the identity  $n(x+y) = n(x) + n(y) + tr(x\bar{y})$ .

The multiplicativity also applies to the usual determinant when applied to Hermitian matrices. Up to sign, this is easy to see using that  $\mathcal{N}(g) = \det(g)^2$  for any Hermitian matrix g. But the signs match as well:

**Lemma 2.12.** Let  $u, g, h \in M_2(B_{p,\infty})$  where g, h are assumed Hermitian. Then

- $-\det(gh) = \det(g)\det(h),$
- $\det(u^*gu) = \mathcal{N}(u) \det(g).$

Proof. Using  $\mathcal{N}(gh) = \mathcal{N}(g)\mathcal{N}(h)$ , we know that either  $\det(gh) = \det(g)\det(h)$  for all Hermitian g,h, or  $\det(gh) = -\det(g)\det(h)$  for all Hermitian g,h (this can be seen, for instance, by working with indeterminate entries). But then we must be in the first case, since it applies whenever  $g,h \in M_2(\mathbb{Q})$ . The second claim follows along similar lines.

We end this section by showing that the "adjugate"  $\dagger$  with respect to  $\mathcal{N}(-)$  of an invertible matrix with entries in a subring  $\mathcal{O} \subset B_{p,\infty}$  again has entries in  $\mathcal{O}$ .

**Lemma 2.13.** If  $u \in M_2(\mathcal{O})$  is invertible in  $M_2(B_{p,\infty})$  then  $u^{-1}\mathcal{N}(u) \in M_2(\mathcal{O})$ .

*Proof.* Let  $g \in M_2(B_{p,\infty})$  be a Hermitian matrix, i.e., symmetric with respect to conjugate transpose. Then

$$g = \begin{pmatrix} s \ r \\ \bar{r} \ t \end{pmatrix}$$

where  $s, t \in \mathbb{Q}$ . If  $det(g) = st - \mathsf{n}(r) \neq 0$  then it is easy to see that g is invertible with inverse

$$\frac{1}{st - \mathsf{n}(r)} \begin{pmatrix} t & -r \\ -\bar{r} & s \end{pmatrix}$$

In particular, if  $g \in M_2(\mathcal{O})$  then also  $g^{-1} \det(g) \in M_2(\mathcal{O})$ . Applying this to  $g = uu^*$  yields  $u^{*-1}u^{-1}\mathcal{N}(u) \in M_2(\mathcal{O})$ . Multiplying on the left with  $u^*$ , we get the desired result.

#### 2.5 Algorithmic contributions to the IKO correspondence: overview

Section 3 presents the main contribution of our paper: the KLPT<sup>2</sup> algorithm, which upon input of  $g_1, g_2 \in \operatorname{Mat}(A_0)$  returns a matrix  $\gamma \in \operatorname{M}_2(\mathcal{O}_0)$  satisfying equation (2) with N either a power of a small prescribed prime number  $\ell$  (Algorithm 1) or powersmooth.

In Section 4 we present basic methods for converting matrices<sup>‡</sup> in  $M_2(\mathcal{O}_0)$  into polarized isogenies emanating from  $(A_0, \lambda_0)$  and vice versa (Algorithm 2 resp. Algorithm 3), under the assumption that the matrix (resp. the isogeny) has powersmooth reduced norm (resp. degree). These two algorithms are unsurprising and Algorithm 3 was already present in [16] for the most part, except for one key step (namely kernel-to-matrix translation without invoking Chu's sub-exponential principal-ideal generator finder from [16, §2]) allowing the method to run in polynomial time. These algorithms do not rely on KLPT<sup>2</sup>. The key step can also be used for isogeny-to-matrix conversion in the smooth-but-not-necessarily-powersmooth case as long as the kernel is rationally accessible, see Algorithm 4.

In Section 5 we enhance these basic routines by means of our KLPT<sup>2</sup> algorithm. First in Section 5.1 we give a polynomial-time solution to the "effective

<sup>&</sup>lt;sup>†</sup>We intentially avoid the word "adjoint", because the matrix  $u^{-1} \mathcal{N}(u)$  should not be confused with the adjoint  $\tilde{u}$  of u in the sense of Section 2.2. Firstly, the latter notion only makes sense when u describes a polarized isogeny with respect to certain principal polarizations. Secondly, in case it does make sense, we have  $\tilde{u}u = u\tilde{u} = \text{degrd}(u)\mathbb{I}_2$ , whereas  $u^{-1} \mathcal{N}(u)u = uu^{-1} \mathcal{N}(u) = \text{deg}(u)\mathbb{I}_2 = \text{degrd}(u)^2\mathbb{I}_2$  in view of Corollary 2.11. Recall that  $\tilde{u} = g_1^{-1}u^*g_2$  when working with respect to principal polarizations associated with  $g_1, g_2 \in \text{Mat}(A_0)$ .

 $<sup>^{\</sup>ddagger}$ Here it is, of course, assumed that the input matrix indeed corresponds to a polarized isogeny.

IKO correspondence": given a matrix  $g \in \text{Mat}(A_0)$ , find a corresponding principally polarized superspecial abelian surface. In Section 5.2 we use KLPT<sup>2</sup> to further loosen the powersmoothness requirements: Algorithms 5 and 6 give polynomial-time conversions between matrices and isogenies in the case of arbitrary smooth degree. In fact, as outlined in Section 5.2, matrix-to-isogeny conversion can also be done for non-smooth degrees as long as one is happy with a higher-dimensional "evaluation representation" in the sense of [53]. Finally, in Section 5.3 we show how KLPT<sup>2</sup> can be used to port all aforementioned routines for converting matrices into isogenies and vice versa to arbitrary starting surfaces.

	Matrix-to-Isogeny	Isogeny-to-Matrix
Powersmooth degree (or smooth with rational kernel)	Section 4.1 Algorithm 2	Section 4.2 Algorithm 3 Algorithm 4
Smooth degree	Section 5.2.(i)* Algorithm 5*	Section 5.2.(ii)* Algorithm 6*
Arbitrary degree	Section 5.2.(i)*	

Fig. 3. Overview of our translation algorithms between matrices and isogenies. All algorithms are polynomial-time. Algorithms marked with an asterisk invoke KLPT<sup>2</sup> and are expected to run slightly slower in practice. All algorithms are for isogenies emanating from  $(A_0, \lambda_0)$  but can be ported to arbitrary starting surfaces through the use of KLPT<sup>2</sup> as explained in Section 5.3.

#### 3 Pathfinding in dimension 2

The goal of this section (and the main goal of the paper) is the description of an algorithm which solves the algebraic pathfinding problem in dimension 2. That is, upon input of  $g_1, g_2 \in \operatorname{Mat}(A_0)$ , the goal is to find a matrix  $\gamma \in \operatorname{M}_2(\mathcal{O}_0)$  and a smooth integer N such that (2) holds. More precisely, we fix any small prime number  $\ell$  and present the following solution, where N is a power of  $\ell$ . Just as in the original KLPT algorithm, we assume that  $\mathcal{O}_0$  is special extremal, i.e., it contains a quadratic order whose discriminant has a very small absolute value [43, §2.3]. In fact, for simplicity, we restrict to  $p \equiv 3 \mod 4$  and use the base curve  $E_0: y^2 = x^3 + x$  and maximal order  $\mathcal{O}_0$  from Example 2.1. Note that the Gaussian integers  $\mathbb{Z}[i]$  are contained in  $\mathcal{O}_0$ , so this order is of the desired kind.

**Theorem 3.1 (KLPT<sup>2</sup>).** There exists a polynomial-time algorithm which upon input  $g_1, g_2 \in \text{Mat}(A_0)$  and a prime number  $\ell \neq p$ , under plausible heuristic

assumptions, returns  $\gamma \in M_2(\mathcal{O}_0)$  such that

$$\gamma^* g_2 \gamma = \ell^e g_1$$

where  $\ell^e \in O(p^{25+o(1)})$ .

A proof-of-concept implementation of the algorithm can be found in:

#### https://github.com/KLPT2/KLPT2

Further down, in Theorem 3.15, we will present a variant for powersmooth N, which is often better-suited for (theoretically flavoured) applications.

Our implementation also supports the "plausible heuristic assumptions", which are hard to state out of context and will be highlighted during the description of the algorithm. The main assumption is Heuristic 3.11 on the random behavior of vectors sampled from a certain lattice  $\Lambda$ ; the other assumptions are very similar to the ones underpinning the original KLPT algorithm (where we recall that the KLPT algorithm will even appear as a subroutine).

Remark 3.2. Our algorithm is randomized and expected to return a different matrix  $\gamma$  on each iteration. Unfortunately, the output never corresponds to a "good" chain of  $(\ell,\ell)$ -isogenies in the sense of [10], i.e., we never have  $\ker(\gamma) \cong (\mathbb{Z}/\ell^e\mathbb{Z})^2$ , see Corollary 5.4. In fact, as far as we are aware, even the mere connectedness of the superspecial  $(\ell,\ell)$ -isogeny graph by good isogeny chains is an open problem [10, Conjecture 3].

#### 3.1 Finding connecting matrices

Our proof strategy for Theorem 3.1 is based on the following lemma.

**Lemma 3.3.** Let  $h_1, h_2 \in M_2(\mathcal{O}_0)$  be Hermitian matrices with equal upper-left entries and equal determinants, i.e., we have

$$h_1 = \begin{pmatrix} D & r_1 \\ \bar{r}_1 & t_1 \end{pmatrix}, \quad h_2 = \begin{pmatrix} D & r_2 \\ \bar{r}_2 & t_2 \end{pmatrix}$$

for  $D, t_1, t_2 \in \mathbb{Z}, r_1, r_2 \in \mathcal{O}_0$  such that  $Dt_1 - \mathsf{n}(r_1) = Dt_2 - \mathsf{n}(r_2)$ . Then for

$$\tau = \begin{pmatrix} D \ r_1 - r_2 \\ 0 \ D \end{pmatrix}$$

we have  $\tau^* h_2 \tau = D^2 h_1$ .

*Proof.* One calculates that

$$\begin{pmatrix}D&0\\\bar{r}_1-\bar{r}_2&D\end{pmatrix}\begin{pmatrix}D&r_2\\\bar{r}_2&t_2\end{pmatrix}\begin{pmatrix}D&r_1-r_2\\0&D\end{pmatrix}=\begin{pmatrix}D^3&D^2r_1\\D^2\bar{r}_1&D(\operatorname{n}(r_1)-\operatorname{n}(r_2)+Dt_2)\end{pmatrix},$$

so the only thing left to show is that  $D(\mathsf{n}(r_1) - \mathsf{n}(r_2) + Dt_2) = D^2t_1$ . But this is true exactly because of the condition  $Dt_1 - \mathsf{n}(r_1) = Dt_2 - \mathsf{n}(r_2)$ .

Note that in the above lemma we do not impose  $\det(h_1) = \det(h_2) = 1$ , so this is not always a special case of (2). We only want the two determinants to be equal, for reasons that will become apparent soon.

When given  $g_1, g_2$ , our goal is to transform them in a fashion such that Lemma 3.3 becomes applicable. This is aided by the following lemma:

**Lemma 3.4.** Assume that  $\delta^* g_2 \delta = N u^* g_1 u$  with  $N \in \mathbb{Z}$ ,  $u, \delta \in M_2(\mathcal{O}_0)$ . Then there exists  $\gamma \in M_2(\mathcal{O}_0)$  such that  $\gamma^* g_2 \gamma = N \mathcal{N}(u)^2 g_1$ .

*Proof.* One can choose  $\gamma = \delta u^{-1} \mathcal{N}(u)$ . The equality  $\gamma^* g_2 \gamma = N \mathcal{N}(u)^2 g_1$  is clearly satisfied and Lemma 2.13 implies that  $\gamma \in M_2(\mathcal{O}_0)$ .

This naturally leads to the following plan for solving the problem  $\gamma^* g_2 \gamma = \ell^e g_1$ . Namely, given  $g \in \text{Mat}(A_0)$  we want to find  $u \in M_2(\mathcal{O}_0)$  with the following properties:

- $-\mathcal{N}(u) = \ell^{e_1}$  where  $e_1$  does not depend on g (but u does).
- The top left entry of  $u^*gu$  is  $\ell^{e_2}$ , where  $e_2$  does not depend on g.

How does this solve our initial problem? First we transform  $g_1$  and  $g_2$  with an appropriate  $u_1$  and  $u_2$  in the above fashion. Then we invoke Lemma 3.3 as by design the two sides have the same top left entry and the same determinant, by Lemma 2.12. This yields a matrix  $\tau \in M_2(\mathcal{O}_0)$  such that

$$\tau^* u_2^* g_2 u_2 \tau = \ell^{2e_2} u_1^* g_1 u_1. \tag{3}$$

We can then apply Lemma 3.4 with  $\delta = u_2 \tau$  to return

$$\gamma = u_2 \tau u_1^{-1} \mathcal{N}(u_1), \tag{4}$$

which has reduced degree  $\ell^{2(e_1+e_2)}$ .

Remark 3.5. Although our approach is purely algebraic, it is instructive to understand what happens on the geometry side. For i=1,2, let  $\lambda_i$  be the principal polarization on  $A_0$  associated with  $g_i$  under the IKO correspondence. In general, the Hermitian matrix  $u_i^*g_iu_i$  does not correspond to a principal polarization on  $A_0$  (as its determinant is  $\mathcal{N}(u_i) = \ell^{e_1}$ ), yet it still corresponds to a polarization  $\lambda_i'$ , which is the pull-back of  $\lambda_i$  under the endomorphism  $u_i$ . This leads to the outer squares in the following diagram:

The polarizations of the left square were scaled by  $D^2 = \ell^{2e_2}$  in order to be compatible with the middle square, which refers to our application of Lemma 3.3: the matrices  $g_i$  are crafted such that  $D^2\lambda_1'$  is the pull-back of  $\lambda_2'$  along the easy

endomorphism  $\tau$ , depicted in blue. Finally, Lemma 3.4 explains how to "flip" the left square. Indeed, we can naturally extend it to

$$\begin{array}{ccccc} A_0 & \longleftarrow & u_1 & & A_0 & \stackrel{u_1^{-1} \mathcal{N}(u_1)}{\longleftarrow} & A_0 \\ D^2 \lambda_1 & & & & \downarrow D^2 \lambda_1' & & \downarrow D^2 \mathcal{N}(u_1)^2 \lambda_1 \\ \hat{A}_0 & & & & \hat{A}_0 & & & & \hat{a}_0^{-1} \mathcal{N}(u_1) & \hat{A}_0 \end{array}$$

Thus, by substituting the (flipped version of the) block on the right for the left square in (5), we obtain a diagram as in Definition 2.4, showing that  $\lambda_2$  pulls back to  $D^2 \mathcal{N}(u_1)^2 \lambda_1$  under  $\gamma = u_2 \tau u_1^{-1} \mathcal{N}(u_1)$ . That is,  $\gamma$  is a polarized isogeny of reduced degree  $D^2 \mathcal{N}(u_1)^2$ , as wanted.

So now our focus is on a single

$$g = \begin{pmatrix} s & r \\ \bar{r} & t \end{pmatrix} \in \operatorname{Mat}(A_0),$$

where along the way we will explicitly bound  $\ell^{e_1}, \ell^{e_2}$  by appropriate constants. First let us calculate what the top left entry of  $u^*gu$  is.

**Lemma 3.6.** Let  $u = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ . Then the top left corner of  $u^*gu$  is given by

$$s' := s \cdot \mathsf{n}(a) + t \cdot \mathsf{n}(c) + \mathsf{tr}(\bar{c}\bar{r}a).$$

Similarly, the bottom right corner is given by

$$t' := s \cdot \mathsf{n}(b) + t \cdot \mathsf{n}(d) + \mathsf{tr}(\bar{b}rd).$$

*Proof.* This follows from a simple calculation.

Note in particular that the top left corner s' only depends on a and c (likewise, the bottom right corner t' only depends on b and d). This motivates the following rough strategy:

- 1. Find  $a, c \in \mathcal{O}_0$  such that s' is a fixed power of  $\ell$ .
- 2. Given a, c, find values for  $b, d \in \mathcal{O}_0$  such that the reduced norm  $\mathcal{N}(u)$  is another fixed power of  $\ell$ .

We first concentrate on Step 2, then come back to Step 1 in Section 3.4.

#### 3.2 Controlling the reduced norm

Let us be given non-zero  $a, c \in \mathcal{O}_0$ , where we assume that  $\mathsf{n}(a)$  and  $\mathsf{n}(c)$  are coprime; this will indeed be ensured. In this section we explain how to find  $x, y \in \mathcal{O}_0$  such that

$$\mathcal{N}\begin{pmatrix} a \ x \\ c \ y \end{pmatrix} = \mathsf{n}(a)\,\mathsf{n}(y) + \mathsf{n}(c)\,\mathsf{n}(x) - \mathsf{tr}(\bar{a}x\bar{y}c) = \ell^{e_0}$$

for some fixed power  $\ell^{e_0}$  (we will eventually have  $e_1 = 2e_0$ ). We do not solve this Diophantine equation directly. Instead, one can see that the problem amounts to a pathfinding problem in dimension 1, so that we can invoke the standard KLPT algorithm. Indeed, an easy calculation shows

$$\mathsf{n}(c)\,\mathcal{N}\begin{pmatrix} a \ x \\ c \ y \end{pmatrix} = \mathsf{n}(a\bar{c}y) + \mathsf{n}(\mathsf{n}(c)x) - \mathsf{tr}(\mathsf{n}(c)x\overline{a\bar{c}y}) = \mathsf{n}(\mathsf{n}(c)x - a\bar{c}y) \tag{6}$$

so it suffices to find an  $\omega$  of norm  $\mathsf{n}(c)\ell^{e_0}$  in the right  $\mathcal{O}_0$ -ideal I generated by  $\mathsf{n}(c)$  and  $a\bar{c}$ . Note that I has norm  $\mathsf{n}(c)$  because  $\gcd(\mathsf{n}(a),\mathsf{n}(c))=1$ . We can therefore find such an  $\omega$  with  $\ell^{e_0} \in O(p^{3+o(1)})$  using the KLPT algorithm (heuristically). Writing  $\omega = \mathsf{n}(c)o_1 + a\bar{c}o_2$ , we can then simply put  $x = o_1, y = -o_2$ .

Equation (6) seemingly comes out of the blue, but there is a conceptual explanation for it. This comes from an analysis of  $\mathcal{O}_0^2$  as a free right  $\mathcal{O}_0$ -module of rank 2 equipped with the quadratic module structure given by  $Q((x,y)) = \mathsf{n}(a) \mathsf{n}(y) + \mathsf{n}(c) \mathsf{n}(x) - \mathsf{tr}(\bar{a}x\bar{y}c)$ . Note that this quadratic form satisfies the rule

$$Q((x,y)o) = Q((x,y)) \operatorname{n}(o), \quad \forall (x,y) \in \mathcal{O}_0^2, o \in \mathcal{O}_0.$$
 (7)

Since  $\mathcal{N}$  is a norm, it is also clear that Q is positive semi-definite. The first observation is that Q is identically zero on the free rank-1 right submodule  $(a, c)\mathcal{O}_0 \subset \mathcal{O}_0^2$ . Actually, a simple calculation shows that every element of  $(a, c)\mathcal{O}_0$  is orthogonal to any element in  $\mathcal{O}_0^2$ . The following lemma reveals a complementary submodule.

**Lemma 3.7.** Let  $M_1 = (a, c)\mathcal{O}_0$ . Furthermore, let  $\alpha, \beta$  be integers such that  $\alpha \operatorname{\mathsf{n}}(a) + \beta \operatorname{\mathsf{n}}(c) = 1$ . Let  $M_2 = (\beta \operatorname{\mathsf{n}}(c)a, -\alpha \operatorname{\mathsf{n}}(a)c)B_{p,\infty} \cap \mathcal{O}_0^2$ . Then  $M_2$  is a right  $\mathcal{O}_0$ -module and  $M_1 \oplus M_2 = \mathcal{O}_0^2$ .

*Proof.*  $M_2$  is a right  $\mathcal{O}_0$ -module as it is the intersection of two right  $\mathcal{O}_0$ -modules. Any element  $u \in M_2$  can be written as  $(\beta \operatorname{\mathsf{n}}(c)a, -\alpha \operatorname{\mathsf{n}}(a)c)z$  where  $z \in B_{p,\infty}$ . It is easy to check that

$$Q(u) = \mathsf{n}(ac)\,\mathsf{n}(z),\tag{8}$$

so that only the 0 vector has 0 norm, hence its intersection with  $M_1$  is trivial. Now we show why  $M_1 + M_2 = \mathcal{O}_0^2$ . It is enough to show that  $M_1 + M_2$  contains (1,0) and (0,1). One has that

$$(1,0) = (a,c)\alpha \bar{a} + (\beta \operatorname{n}(c)a, -\alpha \operatorname{n}(a)c)\frac{1}{\operatorname{n}(a)}\bar{a}$$

because  $\alpha \mathsf{n}(a) + \beta \mathsf{n}(c) = 1$ , and it is easy to see that the second term is indeed in  $M_2$ . A very similar expression shows that  $(0,1) \in M_1 + M_2$ .

From (8) we see that Q is positive-definite on  $M_2$ , so it restricts to an actual norm rather than just a semi-norm. Also, by [57, Theorem 42.3.2] we know that  $M_2$  is isomorphic as a right  $\mathcal{O}_0$ -module to  $\text{Hom}(E_0, E)$  for some supersingular elliptic curve E. Using (7) and the fact that  $\gcd(Q(1,0), Q(0,1)) =$ 

 $gcd(\mathbf{n}(c), \mathbf{n}(a)) = 1$ , it is not hard to check that Q must correspond to deg under this isomorphism. As explained in Section 2.1, upon choosing an incoming isogeny  $\psi : E \to E_0$ , the map  $\varphi \mapsto \varphi \psi$  identifies  $Hom(E_0, E)$  with a right ideal of  $\mathcal{O}_0$ , and under this identification deg(-) corresponds to  $deg(\psi) \mathbf{n}(-)$ . Thus, it should come as no surprise that a statement of the following kind is true.

**Proposition 3.8.** The module  $M_2$  is  $\mathsf{n}(c)$ -homothetic to the right  $\mathcal{O}_0$ -ideal  $I = \mathsf{n}(c)\mathcal{O}_0 + a\bar{c}\mathcal{O}_0$ . More precisely, the map

$$\begin{split} \tau: M_2 \to I \\ (\beta \operatorname{n}(c), -\alpha c \bar{a}) o_1 + (\beta a \bar{c}, -\alpha \operatorname{n}(a)) o_2 \mapsto \operatorname{n}(c) o_1 + a \bar{c} o_2, \quad o_1, o_2 \in \mathcal{O}_0 \end{split}$$

is a well-defined isomorphism of right  $\mathcal{O}_0$ -modules such that  $\mathsf{n}(\tau(m)) = \mathsf{n}(c)Q(m)$  for all  $m \in M_2$ .

*Proof.* First note that  $(\beta \mathsf{n}(c), -\alpha c\bar{a}) \in M_2$  because

$$(\beta \operatorname{n}(c), -\alpha c\overline{a}) = (\beta \operatorname{n}(c)a, -\alpha \operatorname{n}(a)c)a^{-1}.$$

Likewise, we find that  $(\beta a\bar{c}, -\alpha n(a)) \in M_2$ . Next, observe that

$$Q((\beta \operatorname{n}(c), -\alpha c\bar{a})o_1 + (\beta a\bar{c}, -\alpha \operatorname{n}(a))o_2)$$

can be rewritten as

$$\begin{split} Q\left((\beta\,\mathsf{n}(c)a, -\alpha\,\mathsf{n}(a)c)(a^{-1}o_1+c^{-1}o_2)\right) &= \mathsf{n}(ac)\,\mathsf{n}(a^{-1}o_1+c^{-1}o_2) \\ &= \frac{\mathsf{n}(a\,\mathsf{n}(c))}{\mathsf{n}(c)}\,\mathsf{n}(a^{-1}o_1+c^{-1}o_2) \\ &= (1/\,\mathsf{n}(c))\,\mathsf{n}(a\,\mathsf{n}(c)a^{-1}o_1+a\,\mathsf{n}(c)c^{-1}o_2) \\ &= (1/\,\mathsf{n}(c))\,\mathsf{n}(\mathsf{n}(c)o_1+a\bar{c}o_2), \end{split}$$

where we have used (8) in the first step. This almost proves the proposition. Namely, it shows that  $\tau$  defines an  $\mathsf{n}(c)$ -homothetic isomorphism between the module  $M_2' \subset M_2$  generated by  $(\beta \, \mathsf{n}(c), -\alpha c \bar{a}), (\beta a \bar{c}, -\alpha \, \mathsf{n}(a))$  and I. Note that it is a priori unclear that  $\tau$  is a well-defined map, let alone an isomorphism, because the decomposition with respect to these generators may not be unique. However, this again follows from the homothetic property: the element (0,0), however decomposed, must map to an element of norm 0, hence it must map to 0. A similar argument also proves injectivity, while surjectivity comes for free.

So it remains to argue that  $M_2' = M_2$ . Assume  $M_2' \subsetneq M_2$  and note that, by allowing for  $o_1, o_2 \in B_{p,\infty}$ , we can extend the domain of  $\tau$  to  $M_2$ , still ending up with a well-defined injective morphism. The image  $\tau(M_2)$  is a fractional right  $\mathcal{O}_0$ -ideal strictly containing I. Since  $\mathsf{n}(I) = \gcd(\mathsf{n}(c)^2, \mathsf{n}(a\bar{c})) = \mathsf{n}(c)$ , this means that  $\tau(M_2)$  must contain an element whose norm is not an integer multiple of  $\mathsf{n}(c)$ . But this means that  $M_2$  contains an element at which Q takes a value outside the integers: a contradiction.

Remark 3.9. The incoming isogeny  $\psi: E \to E_0$  corresponding to the ideal I is easy to make explicit. Indeed, the dual of this isogeny is the degree- $\mathbf{n}(c)$  factor of  $c\bar{a}$  emanating from  $E_0$ , which can also be described as a push-forward:

$$\begin{array}{ccc} E_0 & \xrightarrow{a} & E_0 \\ \downarrow^c & \swarrow_{c\bar{a}} & \downarrow_{[a]_*c = \hat{\psi}} \\ E_0 & E \end{array}$$

In other words:  $\psi = \widehat{[a]_*}c$ .

Proposition 3.8 implies that if  $\omega = \mathsf{n}(c)o_1 + a\bar{c}o_2 \in I$  has norm  $\mathsf{n}(c)\ell^{e_0}$ , then the norm with respect to Q of the vector  $(\beta \,\mathsf{n}(c), -\alpha c\bar{a})o_1 + (\beta a\bar{c}, -\alpha \,\mathsf{n}(a))o_2$  is exactly  $\ell^{e_0}$ . Turning back to the language of matrices, this implies that

$$\begin{pmatrix} a & \beta \operatorname{n}(c)o_1 + \beta a\bar{c}o_2 \\ c & -\alpha c\bar{a}o_1 - \alpha \operatorname{n}(a)o_2 \end{pmatrix}$$

is a way of completing the first column  $(a\ c)^T$  to a  $2\times 2$  matrix with reduced norm  $\ell^{e_0}$ . From the proof of Lemma 3.7 it follows that this matrix can be rewritten as

$$\begin{pmatrix} a & o_1 \\ c & -o_2 \end{pmatrix} \cdot \begin{pmatrix} 1 - \alpha \bar{a} o_1 + \beta \bar{c} o_2 \\ 0 & 1 \end{pmatrix}.$$

Since the second factor is an element of  $GL_2(\mathcal{O}_0)$ , it is equally fine to work with the matrix on the left: its reduced norm is also equal to  $\ell^{e_0}$ . This is exactly the matrix from the beginning of this section.

#### 3.3 Reduction of the matrix g

Thanks to the previous subsection, our task has been (essentially) reduced to finding  $a, c \in \mathcal{O}_0$  in such a way that the top-left entry

$$s' = K((a,c)) := s \cdot \mathsf{n}(a) + t \cdot \mathsf{n}(c) + \mathsf{tr}(\bar{c}\bar{r}a) \tag{9}$$

of  $u^*gu$  is some fixed power of  $\ell$ , only depending on p. Moreover, we want to make sure that  $\mathsf{n}(a)$  and  $\mathsf{n}(c)$  are non-zero and coprime. Again, we see that K is a quadratic form on  $\mathcal{O}_0^2$ , but now we will just view the latter as a free  $\mathbb{Z}$ -module of rank 8, and analyze it as such:

**Proposition 3.10.** The quadratic form K is positive definite and has determinant  $(p/4)^4$ .

*Proof.* First we prove that K is positive definite. To see that it is definite, let  $(a,c) \in \mathcal{O}_0^2 \setminus \{(0,0)\}$ . It is easy to check that this can be seen as the first column of a matrix u with non-zero reduced norm. Assume that  $u^*gu$  has the form  $\begin{pmatrix} 0 & r' \\ \overline{r}' & t' \end{pmatrix}$ , then  $\det(u^*gu) = -\operatorname{n}(r') \leq 0$ . But from Lemma 2.12 we find that

 $\det(u^*gu) = \mathcal{N}(u) \det(g) > 0$ : a contradiction. This proves that K does not have a nontrivial zero. Since K is an 8-dimensional integer quadratic form this implies that K is not indefinite as every indefinite quadratic form in dimension at least 5 is isotropic, as wanted. Furthermore, K cannot be negative definite since s > 0.

Writing  $r = r_1 + r_2i + r_3j + r_4k$ , an explicit calculation shows that the matrix of the quadratic form K with respect to the basis  $(1,0),(i,0),\ldots,(0,k)$  of  $B_{p,\infty}^2$  is as follows:

$$\begin{pmatrix} s & 0 & 0 & 0 & r_1 & -r_2 & -pr_3 & -pr_4 \\ 0 & s & 0 & 0 & r_2 & r_1 & -pr_4 & pr_3 \\ 0 & 0 & sp & 0 & pr_3 & pr_4 & pr_1 & -pr_2 \\ 0 & 0 & 0 & sp & pr_4 & -pr_3 & pr_2 & pr_1 \\ r_1 & r_2 & pr_3 & pr_4 & t & 0 & 0 & 0 \\ -r_2 & r_1 & pr_4 & -pr_3 & 0 & t & 0 & 0 \\ -pr_3 & -pr_4 & pr_1 & pr_2 & 0 & 0 & tp & 0 \\ -pr_4 & pr_3 & -pr_2 & pr_1 & 0 & 0 & 0 & tp \end{pmatrix}$$

One can check that the determinant of this matrix is  $p^4(st - \mathsf{n}(r))^4 = p^4$ . Any matrix of base change between a  $\mathbb{Z}$ -basis of  $\mathcal{O}_0^2$  and the above basis has determinant 1/16, leading to the desired result.

The goal of this subsection is to describe an intermediate step, where we wish to find a transformation matrix u making s' as small as possible. This can be achieved through lattice reduction: using Proposition 3.10, we see that (9) expresses s' as the squared-Euclidean length of a vector in a lattice  $\Lambda \subset \mathbb{R}^8$  having volume  $(p/4)^2$ . Using the usual Minkowski bound we get that there exists one non-zero vector with

$$s' < 4\left(\frac{(p/4)^2}{\nu_8}\right)^{1/4} < \frac{3}{2}\sqrt{p}$$

where  $\nu_8 = \pi^4/24$  denotes the volume of an 8-dimensional unit ball. In practice we can find corresponding a,c by using the Hermite–Korkine–Zolotarev lattice reduction algorithm (HKZ). Once a,c realizing a small value of s' are found, we can complement them with b,d using the KLPT algorithm, as described in the previous subsection. However, remember that we want  $\mathbf{n}(a)$  and  $\mathbf{n}(c)$  to be coprime for this. Furthermore, to simplify the analysis in Theorem 3.13 below, we will want s' to be a prime different from 2 and  $\ell$ . This forces us to slightly enlarge the above bound. To quantify this, we rely on the following heuristic assumption, which basically extends the Gaussian heuristic with the surmise that the values of s' = K((a,c)) and the corresponding values of  $\mathbf{n}(a), \mathbf{n}(c)$  behave roughly as random integers in large intervals:

<sup>&</sup>lt;sup>†</sup>Alternatively, the positive-definiteness follows from [38, Proposition 2.8] when applied to the pull-back polarization of the principal polarization  $\lambda$  corresponding to g (under the isogeny corresponding to u).

 $<sup>^{\</sup>dagger}\mathrm{E.g.},$  this follows via the Cholesky decomposition of the matrix in the proof of Proposition 3.10.

**Heuristic 3.11.** There exist constants  $C, R_0 > 0$  and  $0 < \varepsilon_{\ell} < 1/\ell^2$  (one for every prime  $\ell$ ), all independent of p, such that for any  $R > R_0$  the number of vectors  $v \in \Lambda$  such that  $||v||^2 < R$  is contained  $[\rho(R)/C, C\rho(R)]$ , where

$$\rho(R) = \frac{\nu_8 R^4}{(p/4)^2}$$

is the quantity predicted by the Gaussian heuristic. Moreover, the proportion of vectors  $v \in \Lambda$  for which  $||v||^2 \equiv 0 \mod \ell$  is contained in  $[1/\ell - \varepsilon_\ell, 1/\ell + \varepsilon_\ell]$ , and likewise for the proportion of vectors for which  $\mathbf{n}(a) \equiv 0 \mod \ell$  and for the proportion of vectors for which  $\mathbf{n}(c) \equiv 0 \mod \ell$ .

The constants  $\varepsilon_{\ell}$  back up for biases in the distribution of quaternionic norms reported in [7, Conjecture 6], which are very similar to the ones we observe for  $\mathsf{n}(a), \mathsf{n}(c)$ . We did not observe a significant bias in the values of s' and, experimentally, it turns out we can take C very close to 1 for a value of  $R_0$  that exceeds the Minkowski bound by just a small factor.

Based on Heuristic 3.11, we expect the conditions gcd(n(a), n(c)) = 1, resp. s' prime, to be satisfied for a proportion in the interval

$$\left[\frac{6}{\pi^2} \prod_{\ell \text{ prime}} \frac{1 - \left(\frac{1}{\ell} + \frac{1}{\ell^2}\right)^2}{1 - \frac{1}{\ell^2}}, \frac{6}{\pi^2} \prod_{\ell \text{ prime}} \frac{1 - \left(\frac{1}{\ell} - \frac{1}{\ell^2}\right)^2}{1 - \frac{1}{\ell^2}}\right] \subset [0.311, 0.833],$$

resp.

$$\left[\frac{1}{\ln R}\prod_{\ell \text{ prime}}\frac{1-\left(\frac{1}{\ell}+\frac{1}{\ell^2}\right)}{1-\frac{1}{\ell}},\frac{1}{\ln R}\prod_{\ell \text{ prime}}\frac{1-\left(\frac{1}{\ell}-\frac{1}{\ell^2}\right)}{1-\frac{1}{\ell}}\right] \subset \left[\frac{0.373}{\ln R},\frac{1.944}{\ln R}\right],$$

of vectors  $v \in \Lambda$  with  $||v||^2 < R$  (this, in itself, is a heuristic but standard number-theoretic reasoning, "locally" correcting the usual asymptotic proportions  $\frac{6}{\pi^2}$  for coprimality and  $\frac{1}{\ln R}$  for primality). We thus expect being able to find s' < R with the desired properties as soon as

$$\frac{1}{9C} \cdot \frac{1}{\ln R} \cdot \frac{\nu_8 R^4}{(p/4)^2} \ge 1.$$

By treating s' as a random prime number below  $R \gg \ell$ , the condition  $\gcd(s', 2\ell) = 1$  follows with overwhelming probability. Therefore

$$R = \sqrt{p}(\ln p)^{1/4}$$

should be large enough, where we took some margin, mainly for the simplicity of this expression, but also to to leave room for retrial or rerandomization.

Despite the smallness of s', the other entries of  $u^*gu$  may become quite large. Thus, we use an extra transformation to keep these values contained. For this we can use a matrix of the form  $\begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix}$ . To lighten notation, let us explain this step directly on g, rather than on  $u^*gu$ :

$$\left(\begin{smallmatrix} 1 & \alpha \\ 0 & 1 \end{smallmatrix}\right)^* g \left(\begin{smallmatrix} 1 & \alpha \\ 0 & 1 \end{smallmatrix}\right) = \begin{pmatrix} s & \alpha s + r \\ \bar{\alpha} s + \bar{r} & \mathsf{n}(\alpha) s + \mathsf{tr}(\bar{\alpha} r) + t \end{pmatrix}$$

The main observation here is twofold. First s does not change. Second r changes to  $\alpha s + r$ , thus we can attain anything in  $\mathcal{O}_0$  that is congruent to r modulo s. In particular we can ensure that the coordinates  $r_i, i = 1, \ldots, 4$  of r with respect to the basis from Example 2.1 satisfy  $|r_i| \leq s/2$ . This implies that  $\mathsf{n}(r) \leq s^2(p+5)/8$ . Note that  $\binom{1}{0} \binom{\alpha}{1} \in \mathrm{GL}_2(\mathcal{O}_0)$ , hence we do not have to worry about the reduced norm in this step.

Applying this to

$$u^*gu = \begin{pmatrix} s' & r' \\ \bar{r}' & t' \end{pmatrix},$$

first note that

$$s't' - \mathbf{n}(r') = \mathcal{N}(u) = \ell^{e_0} \in O(p^{3+o(1)})$$

by the KLPT step, where we have used Lemma 2.12. Thus from  $\mathbf{n}(r') \leq s'^2(p+5)/8$  and  $s' \leq \sqrt{p}(\ln p)^{1/4}$ , one finds that  $t' \leq \ell^{e_0}/s' + s'(p+5)/8 \in O(p^{3+o(1)}/s')$ .

Finally, we will also want that  $\ell \nmid t'$ , or equivalently  $\ell \nmid \mathsf{n}(r')$ . This is easy to achieve by slightly tweaking  $\alpha$  if needed. Indeed, one easily checks that, by relaxing the bounds  $|r_i| \leq s/2$  to  $|r_i| \leq s$ , it can be ensured that  $\mathsf{tr}(r') \not\equiv -1 \mod \ell$ . Then, if it so happens that  $\ell \mid \mathsf{n}(r')$ , an extra transformation using  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  will fix this issue.

In summary, by applying a suitable transformation  $g \leftarrow u^*gu$ , we can reduce to the case where g has bounded entries satisfying some non-divisibility conditions, at the cost of increasing the determinant from 1 to a power of  $\ell$ . For clarity we give a definition for this case, while adding in another heuristic assumption, which should be satisfied with overwhelming probablity:

#### **Definition 3.12.** A matrix

$$g = \begin{pmatrix} s \ r \\ \bar{r} \ t \end{pmatrix}, \quad s, t \in \mathbb{Z}_{>0}, r \in \mathcal{O}_0, st - r\bar{r} > 0$$

is called  $\ell$ -reduced if

- $-\det(g) = st \mathsf{n}(r) = \ell^{e_0} \text{ for some } e_0 \ge 0,$
- $-s \leq \sqrt{p}(\ln p)^{1/4}$  is a prime number not dividing  $2\ell t$ ,
- $n(r) \leq s^2 p$  is not a multiple of  $\ell$ .

The extra assumption is  $s \nmid t$ . Note that the conditions imply  $s \nmid \mathsf{n}(r)$  and  $\ell \nmid t$ .

#### 3.4 Controlling the top-left entry and finalizing the algorithm

Starting from a reduced matrix g as in Definition 3.12, with determinant  $\ell^{e_0} \in O(p^{3+o(1)})$ , we now show how to find a matrix u, of  $\ell$ -power reduced norm, such that  $u^*gu$  has a top left corner equal to  $\ell^{e_2}$  for some  $e_2 \geq 0$ . As discussed before, this amounts to solving the Diophantine equation

$$\ell^{e_2} = s \operatorname{n}(a) + t \operatorname{n}(c) + \operatorname{tr}(\bar{c}\bar{r}a) \tag{10}$$

in such a way that  $gcd(\mathsf{n}(a),\mathsf{n}(c))=1,$  and complementing with appropriate b,d via the KLPT algorithm.

**Theorem 3.13.** Let  $g \in M_2(\mathcal{O}_0)$  be an  $\ell$ -reduced matrix as in Definition 3.12. There exists a (heuristic) polynomial-time algorithm that finds a solution to (10) with  $\mathsf{n}(a)$  and  $\mathsf{n}(c)$  coprime, provided that  $\ell^{e_2} \in \Theta(p^{6.5+o(1)})$ .

*Proof.* We make the following restrictions: we take a of the form  $a_1 + a_2 i \in \mathbb{Z}[i]$  and we take c of the form  $c_1 \bar{r} j + c_2 \bar{r} k \in \bar{r} j \mathbb{Z}[i]$ . Since  $\operatorname{tr}(\bar{c} \bar{r} a)$  is zero for every such choice of a, c, equation (10) simplifies to  $\ell^{e_2} = s \operatorname{\mathsf{n}}(a) + t \operatorname{\mathsf{n}}(c)$ . We then solve the quadratic equation

$$t \operatorname{n}(c) = tp \operatorname{n}(r)(c_1^2 + c_2^2) \equiv \ell^{e_2} \mod s.$$

Since s is an odd prime and  $s \nmid t, p, \mathsf{n}(r), \ell$ , this provides us with an irreducible conic equation over  $\mathbb{F}_s$  which always has a solution: this gives us c, with  $c_1, c_2 \in \{0, \ldots, s-1\}$ . Now we have that  $\ell^{e_2} - t \, \mathsf{n}(c)$  is divisible by s, reducing to

$$\frac{\ell^{e_2} - t \operatorname{n}(c)}{s} = \operatorname{n}(a). \tag{11}$$

Since  $a \in \mathbb{Z}[i]$  this can be solved using Cornacchia's algorithm, provided we know the factorization of  $\ell^{e_2} - t \,\mathsf{n}(c)$ . Thus we iterate until (11) has a solution and we can factor  $\ell^{e_2} - t \,\mathsf{n}(c)$  efficiently. Here one expects a polylogarithmic number of iterations. The reason for the size constraints on  $\ell^{e_2}$  is that one needs  $\ell^{e_2} - t \,\mathsf{n}(c)$  to be positive, as otherwise it cannot be the sum of two squares. From

$$t\operatorname{n}(c) = t \cdot p \cdot \operatorname{n}(r) \cdot (c_1^2 + c_2^2) \in O\left(\frac{p^{3+o(1)}}{s} \cdot p \cdot s^2p \cdot 2s^2\right) \subset O(p^{6.5+o(1)})$$

the bound follows. Note that (11) does not guarantee that gcd(n(a), n(c)) = 1, so a number of retries, each time choosing different representants of  $c_1, c_2 \mod s$  (or choosing a genuinely different solution to the above quadratic equation over  $\mathbb{F}_s$ ), may be needed. This does not affect the above asymptotic estimate.

Remark 3.14. In particular, from (11) it is clear that c should be chosen such that  $\ell \nmid \mathsf{n}(c)$ , for otherwise  $\ell \mid \gcd(\mathsf{n}(a),\mathsf{n}(c))$ . This is the reason for the condition  $\ell \nmid \mathsf{n}(r)$  in Definition 3.12. It is interesting to specialize this to our main case of interest  $\ell = 2$ : both  $\mathsf{n}(r)$  and  $c_1^2 + c_2^2$  should be odd. Then, assuming  $e_0, e_2 \geq 2$ , equation (11) implies that

$$-t \operatorname{n}(c) = -tp \operatorname{n}(r)(c_1^2 + c_2^2) \equiv s \operatorname{n}(a) \mod 4 \quad \Rightarrow \quad -t^2 p(c_1^2 + c_2^2) \equiv \operatorname{n}(a) \mod 4,$$

showing that  $n(a) \equiv -1 \cdot 3 \cdot 1 \equiv 1 \mod 4$ . This is a necessary condition for the Cornacchia-step to succeed.

We are now ready to prove our main result:

Proof of Theorem 3.1: The algorithm to find  $\gamma \in M_2(\mathcal{O}_0)$  when given

$$g_1 = \begin{pmatrix} s_1 & r_1 \\ \bar{r}_1 & t_1 \end{pmatrix}, \quad g_2 = \begin{pmatrix} s_2 & r_2 \\ \bar{r}_2 & t_2 \end{pmatrix} \in \operatorname{Mat}(A_0)$$

is summarized in Algorithm 1. From the preceding discussions, it should be clear that all steps are heuristically polynomial-time. As for the output length, note that the matrices  $u_1, u_2$  produced in Step 7 have reduced norm  $\ell^{e_1}$  with  $e_1 = 2e_0$ , and for i = 1, 2 the upper-left entry of  $u_i^* g_i u_i$  equals  $\ell^{e_2}$ . Thus, from (4) we find that  $\gamma$  has reduced degree

$$\ell^e = \ell^{2(e_1 + e_2)} = (\ell^{e_0})^4 \cdot (\ell^{e_2})^2 \in O(p^{12 + o(1)} \cdot p^{13 + o(1)})$$

in view of the KLPT bound and Theorem 3.13.

Algorithm 1: KLPT<sup>2</sup>: An algorithm to solve the quaternion  $\ell$ -isogeny path problem in dimension 2

**Input** :  $g_1, g_2 \in Mat(A_0)$ 

**Output:**  $\gamma \in M_2(\mathcal{O})$  such that  $\gamma^* g_2 \gamma = \ell^e g_1$  with  $\ell^e \in O(p^{25+\varepsilon})$ 

- 1 For i=1,2 do
- Find a, c using lattice reduction such that gcd(n(a), n(c)) = 1, and  $s_i \operatorname{\mathsf{n}}(a) + t_i \operatorname{\mathsf{n}}(c) + \operatorname{\mathsf{tr}}(\bar{c}\bar{r}_i a) < \sqrt{p}(\ln p)^{1/4}$  is prime (not  $2, \ell$ ).
- Find b, d using KLPT as described in Section 3.2 such that the reduced norm of  $u := \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is  $\ell^{e_0}$ . Find  $\alpha$  such that  $g' = \begin{pmatrix} s' & r' \\ \vec{r}' & t' \end{pmatrix} := \begin{pmatrix} 1 & 0 \\ \bar{\alpha} & 1 \end{pmatrix} u^* g_i u \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix}$  is reduced.
- 4
- Find a', c' using lattice reduction such that gcd(n(a'), n(c')) = 1 and  $s' \operatorname{\mathsf{n}}(a') + t' \operatorname{\mathsf{n}}(c') + \operatorname{\mathsf{tr}}(\bar{c}'\bar{r}'a') = \ell^{e_2}$  using Theorem 3.13.
- Find b', d' using KLPT as described in Section 3.2 such that the reduced norm of  $u' = \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix}$  is  $\ell^{e_0}$ .
- Let  $u_i = u(\begin{smallmatrix} 1 & \alpha \\ 0 & 1 \end{smallmatrix})u'$ .
- **8** Compute  $\tau$  connecting  $u_1^*g_1u_1$  and  $u_2^*g_2u_2$  as in Lemma 3.3.
- 9 **Return**  $\gamma := u_2 \tau u_1^{-1} \mathcal{N}(u_1)$  as in (4).

The algebraic pathfinding problem was studied here for  $N = \ell^e$  similarly to the original KLPT algorithm. However, it is clear that both KLPT and Theorem 3.13 can be adjusted to any number that is big enough; see also [33]. Now by invoking powersmooth versions of KLPT and Theorem 3.13 we get a powersmooth degree isogeny, since the product of powersmooth numbers is still powersmooth. This implies the following version of Theorem 3.1:

**Theorem 3.15.** There exists a (heuristic) polynomial-time algorithm which upon input  $g_1, g_2 \in \text{Mat}(A_0)$  and a smoothness bound B returns  $\gamma \in M_2(\mathcal{O}_0)$  such that

$$\gamma^* g_2 \gamma = N g_1$$

where  $N \in O(p^{25+o(1)})$  is B-powersmooth.

#### 3.5 Finding short isogenies

For certain applications one might be interested in a version of the algebraic isogeny problem  $\gamma^*g_2\gamma=Ng_1$  where N is as small as possible. For elliptic curves this can be achieved via lattice reduction, but in higher dimension the set of polarized isogenies between two principally polarized abelian varieties no longer forms a lattice. Heuristically, one expects that  $N \in O(p^{3/4+o(1)})$  should be feasible.<sup>†</sup> Here we briefly sketch a method which realizes  $N \in O(p^{3+o(1)})$  under the assumption that one of the surfaces, say the codomain, concerns  $A_0$  (equipped with the product polarization  $\lambda_0$ ). In this case the matrix  $g_2$  is simply the  $2 \times 2$  identity matrix  $\mathbb{I}_2 \in M_2(\mathcal{O}_0)$ . Observe the following:

Lemma 3.16. Consider a matrix

$$g = \begin{pmatrix} s & r \\ \bar{r} & t \end{pmatrix}, \quad s, t \in \mathbb{Z}_{>0}, \ r \in \mathcal{O}_0$$

and assume that s is a prime congruent to 1 mod 4 and that  $\det(g) = st - \mathsf{n}(r)$  is a square. Then there exists  $\gamma \in M_2(\mathcal{O}_0)$  such that  $\gamma^* \gamma = s^2 g$ .

*Proof.* We look first look for an upper-triangular  $\gamma_0 = \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \in M_2(B_{p,\infty})$  such that  $\gamma_0^* \gamma_0 = g$ . We get the following equations:

$$n(b) + n(d) = t, \ \bar{a}b = r, \ n(a) = s$$

We solve n(a) = s using Cornacchia's algorithm and then choose b = ra/s. Now what remains is to choose d such that n(b) + n(d) = t. One finds that

$$\mathsf{n}(d) = t - \mathsf{n}(b) = t - \frac{\mathsf{n}(r)}{s} = \frac{\det(g)}{s} = \frac{A^2}{s}$$

for some  $A \in \mathbb{Z}$ . Thus we can choose d = Aa/s. Now  $\gamma := s\gamma_0 \in \mathrm{M}_2(\mathcal{O}_0)$  satisfies  $\gamma^*\gamma = s^2g$ .

<sup>&</sup>lt;sup>†</sup>For any prime  $\ell$  there are about  $\ell^3$  emanating polarized  $(\ell,\ell)$ -isogenies [8, Lemma 2]. This gives about  $B^{4+o(1)}$  emanating isogenies of reduced degree at most B, while the total number of principally polarized superspecial abelian surfaces is about  $p^3/2880$ , see [6]. Thus we can heuristically expect that  $B \in O(p^{3/4+o(1)})$  should suffice.

Now we can recycle the work done in the previous sections. As explained in Section 3.3, using lattice reduction with respect to the quadratic form K((a,c)) we can find  $u=\binom{a}{c}$ ) such that the top-left corner s of  $u^*gu$  is a prime of size  $O(p^{1/2+o(1)})$ , such that  $\mathsf{n}(a)$  and  $\mathsf{n}(c)$  are coprime, and such that  $s\equiv 1 \bmod 4$  (the latter congruence was not included in Section 3.3, but since this is a very mild assumption, it is not expected to cause a noticeable increase in size). Along the lines of Section 3.2, we can then complete  $u=\binom{a}{c} \frac{b}{d}$  such that  $\mathcal{N}(u)=\det(u^*gu)$  is a square, where we claim that this square can be chosen of order  $O(p^{1+o(1)})$ . Indeed, using lattice reduction in the ideal I from Proposition 3.8 it is expected that we can find an element  $u\in I$  of norm u(c)q where  $u\in I$  of norm u(c)q where  $u\in I$  is a prime congruent to 1 mod 4. Using Cornacchia's algorithm we can find  $u\in \mathbb{Z}[i]\subset \mathcal{O}_0$  such that u(u)=q. Then also  $u\in I$  and it has norm  $u(c)q^2$ . An application of Proposition 3.8 then yields the claim. Putting this all together, we get the following result:

**Theorem 3.17.** Let  $g \in \operatorname{Mat}(A_0)$ . There exists a (heuristic) polynomial-time algorithm that finds  $\gamma \in \operatorname{M}_2(\mathcal{O}_0)$  such that  $\gamma^* \gamma = Ng$  and  $N \in O(p^{3+o(1)})$ .

*Proof.* By Lemma 3.4 we can take 
$$N = s^2 \mathcal{N}(u)^2 \in O(p^{1+o(1)} \cdot p^{2+o(1)})$$
.

#### 4 Translating between matrices and isogenies

The main applications of the standard KLPT algorithm go hand in hand with efficient methods for converting left (non-zero) ideals of  $\mathcal{O}_0$  into isogenies emanating from  $E_0$  and vice versa. Likewise, in order to put KLPT<sup>2</sup> to practical use, we need methods for translating appropriately chosen  $2 \times 2$  matrices with entries in  $\mathcal{O}_0$  to polarized isogenies emerging from  $A_0$  and conversely.

The analogy with the elliptic curve case becomes more apparent when noting that  $M_2(\mathcal{O}_0)$  is a principal ideal ring. Consequently, we have a natural identification of left ideals  $I \subset M_2(\mathcal{O}_0)$  with their generating matrices  $\gamma \in M_2(\mathcal{O}_0)$ , up to left-multiplication with elements of  $GL_2(\mathcal{O}_0)$ . On a high level, the known approaches for translating between ideals and isogenies in dimension one carry over to dimension two. But in the case of isogeny-to-ideal conversion there is an important caveat: the ideal returned by the standard isogeny-to-ideal approaches is described in terms of multiple generators, and extracting a single generating matrix from this description is not a trivial task. Indeed, a large part of Chu's thesis [16, Chapter 2] is devoted to the design of a sub-exponential time algorithm for solving this instance of the principal ideal problem (PIP).

In this section, we describe some first routines for converting matrices to isogenies and vice versa; our main result is presented in Section 4.2, where we show how to by-pass the PIP for powersmooth-degree isogenies. Then, in Section 5, we will enhance these basic routines through the use of  $KLPT^2$ .

Remark 4.1. At several points in the remainder of this article, we use an unspecified algorithm which upon input of

- a principally polarized abelian surface A over a finite field  $\mathbb{F}_q$ , described either as the Jacobian of an explicit genus-2 curve  $C/\mathbb{F}_q$  (with the canonical polarization) or as the product of two explicit elliptic curves  $E_1, E_2$  over  $\mathbb{F}_q$  (with the product polarization),
- a subgroup  $K \subset A(\mathbb{F}_q)$  which is also a maximal isotropic subgroup of  $A[\ell^e]$  with respect to the  $\ell^e$ -Weil pairing, for some given prime power  $\ell^e$ ,
- a point  $P \in A(\mathbb{F}_q)$ ,

computes, in time polynomial in  $\log q$  and  $\ell$ , the codomain of a polarized isogeny  $\varphi$  with kernel K, again described as either an explicit Jacobian or an explicit product of two elliptic curves,<sup>†</sup> along with the image point  $\varphi(P)$ . E.g., if  $\ell=2$  then this can be done through an e-fold application of the classical formulae due to Richelot [55]; the occasional gluing and splitting steps can be handled using [37]. In general, it is hard to pinpoint one concrete reference for the complete statement, but the existence of such an algorithm is considered folklore, with all ingredients to be found in [19, 20, 21] and the aforementioned references.

#### 4.1 Matrices to polarized isogenies from $A_0$

This is stated in [16, Section A.2] as a "required routine", but no details are given, even though the method is not too surprising. The input is a matrix  $\gamma \in M_2(\mathcal{O}_0)$  of reduced norm  $N^2$ , where  $N = N_1 N_2 \cdots N_r$  is assumed powersmooth, i.e., the factors  $N_i$  are pairwise coprime and bounded by B for some constant  $B = \text{poly}(\log p)$ . In view of Remark 2.5, we also assume that the kernel of  $\gamma$ , when identified with an endomorphism of  $A_0$ , is a maximal isotropic subgroup of  $A_0[N]$  with respect to the N-Weil pairing for the product polarization  $\lambda_0$ . (If  $\gamma$  fails to meet this condition, then our method will detect this along the way.) The desired output is a chain of polarized isogenies

$$A_0 \xrightarrow{\varphi_1} A_1 \xrightarrow{\varphi_2} A_2 \xrightarrow{\varphi_3} \dots \xrightarrow{\varphi_r} A_r$$
 (12)

of respective reduced degrees  $N_i$ , such that  $\ker(\gamma) = \ker(\varphi_r \circ \cdots \circ \varphi_2 \circ \varphi_1)$ , where each  $A_i$  is either a product  $E_1 \times E_2$  of two elliptic curves equipped with the product polarization, or the Jacobian  $\operatorname{Jac}(C)$  of a curve of genus 2 equipped with the canonical polarization.

The method starts off by computing a set of generators

$$S_i = \{(U_{ij}, V_{ij})\}_i, \qquad U_{ij}, V_{ij} \in E_0$$

of  $(\ker \gamma)[N_i]$  for each  $i=1,\ldots,r$ .<sup>‡</sup> This can be done by first picking a basis  $P_i,Q_i\in E_0[N_i]$ . Such points can be found over a field extension of degree at

<sup>&</sup>lt;sup>†</sup>In general, it is possible that this concerns a pair of conjugate elliptic curves over  $\mathbb{F}_{q^2}$ , i.e., the codomain concerns a Weil restriction. But in our case, where we work with superspecial abelian surfaces over an extension of  $\mathbb{F}_{p^2}$ , this does not occur: the two elliptic curves are necessarily supersingular, hence can be defined over  $\mathbb{F}_{p^2}$ .

<sup>&</sup>lt;sup>‡</sup>If  $N_i$  is prime then it is possible to use 2 generators, but in general one may need 3 generators (or even 4 generators in case  $\gamma$  factors through scalar multiplication).

most  $B^2$ . Then

$$(P_i, 0), (0, P_i), (Q_i, 0), (0, Q_i)$$
 (13)

is a basis of  $A_0[N_i]$ , and the requested generators can be found by expressing that  $x(P_i,0)+y(0,P_i)+z(Q_i,0)+w(0,Q_i)=(xP_i+zQ_i,yP_i+wQ_i)$  is annihilated by  $\gamma$  and solving a system of four homogeneous linear equations in the unknowns  $x,y,z,w\in\mathbb{Z}/N_i\mathbb{Z}$ . Explicitly writing down these equations involves discrete logarithm computations in groups of size  $N_i$ , so it is actually simpler to evaluate the adjoint isogeny<sup>‡</sup>

$$\tilde{\gamma} = N\gamma^{-1} \in \mathrm{M}_2(\mathcal{O}_0)$$

in the four points (13): their images generate  $(\ker \gamma)[N_i]$ .

Remark 4.2. As a sanity check, one can verify that these generators span a group with  $N_i^2$  elements and that

$$e_{N_i}(U_{ij_1}, U_{ij_2}) \cdot e_{N_i}(V_{ij_1}, V_{ij_2}) = 1$$

for each pair  $(U_{ij_1}, V_{ij_1}), (U_{ij_2}, V_{ij_2}) \in S_i$ ; this checks that the group is maximal isotropic with respect to the  $N_i$ -Weil pairing for the product polarization on  $A_0$ .

After gathering this data for  $i = 1, \dots, r$ , we first compute a polarized isogeny

$$\varphi_1: A_0 \to A_1$$

with kernel  $(\ker \gamma)[N_1]$  using one of the methods discussed in Remark 4.1. Even though the elements of  $(\ker \gamma)[N_i]$  may live over an extension field only, the isogeny  $\varphi_1$  itself is  $\mathbb{F}_{p^2}$ -rational. We then push the generators of  $(\ker \gamma)[N_i]$  for  $i = 2, \ldots, r$  through this isogeny and repeat, starting from  $A_1$ . Eventually we arrive at  $A_r$  in polynomial time, as wanted. The method is summarized in Algorithm 2.

#### 4.2 Polarized isogenies from $A_0$ to matrices

Conversely, given a chain of polarized isogenies emanating from  $A_0$  as in (12), where the degrees  $N_i = \deg \varphi_i$  are pairwise coprime and bounded by B, here the goal is to produce a matrix  $\gamma \in \mathrm{M}_2(\mathcal{O}_0)$  such that  $\ker(\gamma) = \ker(\varphi_r \cdots \varphi_2 \circ \varphi_1)$ . Such a matrix is uniquely determined up to left-multiplication with an element of  $\mathrm{GL}_2(\mathcal{O}_0)$  and will automatically satisfy

$$\gamma^* g_r \gamma = N \cdot \mathbb{I}_2$$

with  $N = N_1 N_2 \cdots N_r$ , for some representant  $g_r \in \text{Mat}(A_0)$  of the class in  $\text{Mat}^0(A_0)$  corresponding to the principally polarized abelian surface  $A_r$ . Note that  $g_r$  can then be computed as  $N\gamma^{*-1}\gamma^{-1} = N(\gamma\gamma^*)^{-1}$ .

This conversion can be done as in Algorithm 3, which at a high level coincides with Chu's method from [16, Algorithm A.2.2]. Concerning Step 3, recall that

<sup>&</sup>lt;sup>‡</sup>Note:  $N\gamma^{-1} \in M_2(\mathcal{O}_0)$  relies on  $\gamma$  being polarized and is a stronger statement than Lemma 2.13 (which says that  $N^2\gamma^{-1} \in M_2(\mathcal{O}_0)$ ).

#### Algorithm 2: MatrixTolsogeny: powersmooth degree

```
Input: \gamma \in M_2(\mathcal{O}_0) with \operatorname{degrd}(\gamma) = N_1 \cdots N_r powersmooth Output: polarized isogenies \varphi_r \circ \cdots \circ \varphi_1 : A_0 \to A_r with \operatorname{degrd} \varphi_i = N_i

1 \tilde{\gamma} \leftarrow N\gamma^{-1}, \varphi_0 = \operatorname{id}.

2 For i = 1, \dots, r do

3 P_i, Q_i \leftarrow \operatorname{basis} \operatorname{of} E_0[N_i].

4 S_i \leftarrow \tilde{\gamma} \left( \{(P_i, 0), (0, P_i), (Q_i, 0), (0, Q_i) \} \right).

5 M_i \leftarrow \tilde{\gamma} \left( \{(P_i, 0), (0, P_i), (Q_i, 0), (0, Q_i) \} \right).

6 For i = 1, \dots, r do

7 M_i \leftarrow (\varphi_{i-1} \circ \cdots \circ \varphi_0)(S_i).

8 M_i \leftarrow (\varphi_{i-1} \circ \cdots \circ \varphi_0)(S_i).

9 Return \varphi_r \circ \cdots \circ \varphi_1 : A_0 \to A_r.
```

 $N_i \leq B$  implies that the elements of  $G_i$  are defined over an extension field of degree  $O(B^2)$ . The main difference with Chu's method lies in how we handle Step 6, which we have labeled as the "key step". This is where Chu invokes a sub-exponential time algorithm for the PIP in  $M_2(\mathcal{O}_0)$ , described in [16, Chapter 2]. We by-pass the need for invoking a PIP solver, by instead running the following polynomial-time method. It is clear that we can assume that  $N_i = \ell^e$  equals a power of a prime number  $\ell$ .

```
Algorithm 3: IsogenyToMatrix: powersmooth degree
```

```
Input : chain \varphi_r \circ \cdots \circ \varphi_1 : A_0 \to A_r of polarized isogenies with N_i := \operatorname{degrd}(\varphi_i) \leq B pairwise coprime

Output: \gamma \in \operatorname{M}_2(\mathcal{O}_0) such that \ker(\gamma) = \ker(\varphi_r \circ \cdots \circ \varphi_1), g_r \in \operatorname{Mat}(A_0) corresponding to A_r

1 \gamma \leftarrow \mathbb{I}_2.

2 For i = 1, \ldots, r do

3 G_i \leftarrow (\tilde{\varphi}_{i-1} \circ \cdots \circ \tilde{\varphi}_2 \circ \tilde{\varphi}_1)(\ker \varphi_i) \subset A_0[N_i].

4 // Pulling back the kernel of \varphi_i to A_0.

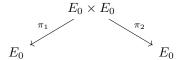
5 K_i \leftarrow \gamma(G_i). // Pushing the kernel forward under \gamma.

6 \gamma_i \leftarrow \operatorname{matrix} with kernel K_i. // *** key step ***

7 \nabla \leftarrow \gamma_i \gamma.

8 Return \gamma, N_1 \cdots N_r (\gamma \gamma^*)^{-1}.
```

We first describe the method in case e = 1, i.e.,  $N_i = \ell$ . Then  $K_i \cong (\mathbb{Z}/\ell\mathbb{Z})^2$ . Consider  $A_0 = E_0^2$  together with the natural projection maps



For each j=1,2 the projected subgroup  $\pi_j(K_i) \subset E_0[\ell]$  is either trivial, a cyclic subgroup of order  $\ell$ , or all of  $E_0[\ell]$ . Moreover, if  $\pi_1(K_i), \pi_2(K_i) \subsetneq E_0[\ell]$  then necessarily both groups are cyclic, i.e.,  $K_i = \langle (P, \infty), (\infty, Q) \rangle$  for order- $\ell$  points  $P, Q \in E_0$ . Based on this observation, we make a case distinction:

(i) Assume  $\pi_1(K_i) = E_0[\ell]$ . Let  $P_1, P_2$  be a basis of  $E_0[\ell]$ . Then

$$(P_1, \lambda_{11}P_1 + \lambda_{12}P_2), (P_2, \lambda_{21}P_1 + \lambda_{22}P_2) \in K_i$$

for certain integers  $\lambda_{jk}$ , and these points necessarily generate  $K_i$ . We claim that we can find an endomorphism  $a \in \mathcal{O}_0$  such that

$$a(P_i) = \lambda_{i1}P_1 + \lambda_{i2}P_2$$

for j = 1, 2, so that  $K_i = \langle (P_1, a(P_1)), (P_2, a(P_2)) \rangle$  and then we can take

$$\gamma_i = \begin{pmatrix} \ell & 0 \\ -a & 1 \end{pmatrix}$$

whose kernel contains  $K_i$  (but then for norm reasons equality must hold). The existence of a follows because the  $\ell^4$  elements of  $\mathcal{O}_0/\ell\mathcal{O}_0$  all act differently on  $E_0[\ell]$  (indeed, if two endomorphisms  $a_1, a_2$  are such that  $E_0[\ell] \subset \ker(a_1 - a_2)$ , then necessarily  $\ell \mid a_1 - a_2$ ). In practice, finding a is just an easy linear algebra problem mod  $\ell$  (express a as an unknown linear combination of the basis from Example 2.1, evaluate at  $P_1, P_2$ , and solve for the coefficients).

(ii) Assume  $\pi_2(K_i) = E_0[\ell]$ : this is of course entirely analogous, leading to a matrix of the form

$$\gamma_i = \begin{pmatrix} 1 - a \\ 0 & \ell \end{pmatrix}.$$

(iii) If  $K_i = \langle (P, \infty), (\infty, Q) \rangle$  for order- $\ell$  points  $P, Q \in E_0$ , then we can easily find an endomorphism  $b \in \mathcal{O}_0$  such that  $b(Q) \notin \langle P \rangle$ . The matrix

$$\gamma_0 = \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \in \mathrm{GL}_2(\mathcal{O}_0)$$

<sup>&</sup>lt;sup>†</sup>We thank the ISOCRYPT brainstorm team for their help with this step.

transforms  $K_i$  into the group  $\gamma_0(K_i) = \langle (P, \infty), (b(Q), Q) \rangle$ . This group satisfies  $\pi_1(\gamma_0(K_i)) = E_0[\ell]$ , so using (i) we can find a matrix  $\gamma'_0$  such that  $\gamma'_0(\gamma_0(K_i)) = 0$ . Letting  $\gamma_i = \gamma'_0\gamma_0$ , for norm reasons we can conclude that  $\ker(\gamma_i) = K_i$ .

The case where  $N_i = \ell^e$  for arbitrary  $e \ge 1$  can be handled by iterating this procedure. For ease of exposition, let us first assume that

$$K_i \cong \frac{\mathbb{Z}}{\ell^e \mathbb{Z}} \times \frac{\mathbb{Z}}{\ell^e \mathbb{Z}}.$$

Then the method is totally straightforward and can be found in Algorithm 4. Note that this algorithm does not assume that  $\ell^e$  is polynomially bounded, i.e., we can drop the powersmoothness assumption, as long as the elements of  $K_i$  are defined over  $\mathbb{F}_{p^2}$  or a small-degree extension thereof.<sup>†</sup>

#### Algorithm 4: IsogenyToMatrix: ℓ-power degree

**Input**: subgroup  $K \cong (\mathbb{Z}/\ell^e\mathbb{Z})^2$  of  $A_0$  generated by points defined over a small extension of  $\mathbb{F}_{p^2}$ 

**Output:**  $\gamma \in M_2(\mathcal{O}_0)$  such that  $\ker(\gamma) = K$ 

 $1 \ \gamma \leftarrow \mathbb{I}_2, \ K_1 \leftarrow K.$ 

**2** For i = 1, ..., e do

 $G_i \leftarrow \ell^{e-i}K_i$ .

4  $\gamma_i \leftarrow \text{matrix with kernel } G_i$ . // Method for  $(\ell,\ell)$ -subgroups.

 $5 \quad \boxed{\quad \gamma \leftarrow \gamma_i \gamma, \quad K_{i+1} \leftarrow \gamma_i(K_i).}$ 

6 Return  $\gamma$ .

This method can be easily adapted to work for arbitrary kernel types, i.e., of the form

$$K_i \cong \frac{\mathbb{Z}}{\ell^e \mathbb{Z}} \times \frac{\mathbb{Z}}{\ell^e - f \mathbb{Z}} \times \frac{\mathbb{Z}}{\ell^f \mathbb{Z}}$$

for some  $f \in \{0..., \lfloor e/2 \rfloor\}$  [32, Proposition 2], again as long as this kernel is generated by points defined over a small extension of  $\mathbb{F}_{p^2}$ . (We can always reduce to the case of 3 or fewer generators: if there are 4 generators then the corresponding matrix  $\gamma_i$  factors through  $\ell \mathbb{I}_2$  and one can reduce to the case of reduced degree  $\ell^{e-2}$ .) The main caveat lies in Step 3 of Algorithm 4, where one should be more careful: indeed, in this case  $\ell^{e-1}K_i \ncong \mathbb{Z}/\ell\mathbb{Z} \times \mathbb{Z}/\ell\mathbb{Z}$ . A clean

<sup>&</sup>lt;sup>†</sup>Of course, this rationality assumption still comes with an implicit bound, i.e., of the kind  $\ell^e \mid p^r - (-1)^r$  with r the extension degree. In Section 5.2 we will use the KLPT<sup>2</sup> algorithm to get rid of this bound.

workaround, which serves as a warm-up for Section 5.4, is to define the subgroup

$$K_i' = \langle \ell^{e-f} P, R \rangle \cong \frac{\mathbb{Z}}{\ell^f \mathbb{Z}} \times \frac{\mathbb{Z}}{\ell^f \mathbb{Z}}$$

with  $P \in K_i$  any point of order  $\ell^e$  and  $R \in K_i$  any point of order  $\ell^f$  that is not halvable in  $K_i$ . Since  $e_{\ell^f,\lambda_0}(\ell^{e-f}P,R) = e_{\ell^e,\lambda_0}(P,R) = 1$ , this concerns a maximal isotropic subgroup of  $A_0[\ell^f]$ . We can now run Algorithm 4 on input  $K'_i$ , returning a matrix  $\gamma'_i$ , and then rerun the algorithm on input

$$\gamma_i'(K_i') \cong K_i/K_i' \cong \frac{\mathbb{Z}}{\ell^{e-f}\mathbb{Z}} \times \frac{\mathbb{Z}}{\ell^{e-f}\mathbb{Z}},$$

after initializing  $\gamma \leftarrow \gamma_i'$  rather than  $\gamma \leftarrow \mathbb{I}_2$  in Step 1.

Remark 4.3. In applications where  $N = \ell^e$  is a power of a fixed prime  $\ell$ , it makes sense to precompute a list of matrices  $\gamma \in M_2(\mathcal{O}_0)$  with kernel K, with K running over the

$$\begin{bmatrix} 4 \\ 2 \end{bmatrix}_{\ell} = (\ell^2 + 1)(\ell^2 + \ell + 1)$$

subgroups of  $E_0^2$  that are isomorphic to  $(\mathbb{Z}/\ell\mathbb{Z})^2$  (where  $[\cdot]_\ell$  denotes the Gaussian binomial coefficient). Then Step 4 in Algorithm 4 can be replaced by a simple look-up. For  $\ell=2$  such a list of matrices can be found in Appendix A.

### 5 Applications of KLPT<sup>2</sup>

We are ready to discuss a number of applications of the KLPT<sup>2</sup> algorithm.

#### 5.1 Constructive IKO correspondence

For elliptic curves, the constructive Deuring correspondence asks to solve the following problem: upon input of a maximal order  $\mathcal{O} \subset B_{p,\infty}$ , return a supersingular elliptic curve  $E/\mathbb{F}_{p^2}$  such that  $\operatorname{End}(E) \cong \mathcal{O}$ . The KLPT algorithm can be turned into a heuristic polynomial-time algorithm for solving this problem. At a high level, the method works as follows. One starts from an elliptic curve  $E_0/\mathbb{F}_{p^2}$  having a known, special extremal endomorphism ring  $\operatorname{End}(E_0) \cong \mathcal{O}_0$ . Using the KLPT algorithm, one computes a left ideal  $I \subset \mathcal{O}_0$  of powersmooth norm N connecting  $\mathcal{O}_0$  and  $\mathcal{O}$ . This ideal can then be converted into an isogeny emerging from  $E_0$  using the elliptic-curve counterpart of Algorithm 2. The codomain of this isogeny is a valid output for the constructive Deuring correspondence.

For unpolarized superspecial abelian surfaces, the direct analog of the constructive Deuring correspondence is void: all such surfaces are pairwise isomorphic and therefore share the same endomorphism ring, namely  $M_2(\mathcal{O}_0)$ . However, in the principally polarized case, the endomorphism ring comes equipped with an extra datum: the Rosati involution, which as explained in Remark 2.9 is completely encoded in the matrix  $g \in \text{Mat}(A_0)$  corresponding to  $\lambda$ . Therefore, a more meaningful counterpart of the constructive Deuring correspondence reads:

Theorem 5.1 (constructive IKO correspondence). There exists a (heuristic) polynomial-time algorithm which upon input  $g \in Mat(A_0)$ , either finds two elliptic curves  $E_1, E_2$  or finds a genus-2 curve C such that for

$$(A, \lambda) = (E_1 \times E_2, product \ polarization), resp.$$
  
 $(A, \lambda) = (Jac(C), canonical \ polarization).$ 

we have  $(A, \lambda) \cong (A_0, \mu^{-1}(g))$ , with  $\mu$  the map from Theorem 2.8.

*Proof.* Using our pathfinding algorithm from Theorem 3.15 we can find  $\gamma \in M_2(\mathcal{O}_0)$  such that

$$\gamma^* g \gamma = N \mathbb{I}_2,$$

with N powersmooth. To produce the desired output, one then simply converts  $\gamma$  into a polarized isogeny emanating from  $A_0$  using Algorithm 2. If the codomain of this polarized isogeny is a product  $E_1 \times E_2$ , we output  $E_1, E_2$ ; when landing on a Jacobian Jac(C), output C.

## 5.2 Relaxing powersmoothness assumptions when translating between matrices and isogenies

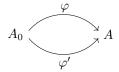
(i) Matrices to isogenies from  $A_0$  in arbitrary degree. Let us be given a matrix  $\gamma \in \mathrm{M}_2(\mathcal{O}_0)$  as in Section 4.1, but we drop the assumption that N is powersmooth. We claim that, using KLPT<sup>2</sup>, we can nevertheless convert  $\gamma$  into a polarized isogeny  $\varphi$  emanating from  $A_0$ . This mimicks well-known techniques from the elliptic curve case [29, 59]. First, recall that a matrix  $g \in \mathrm{Mat}(A_0)$  representing the codomain can be computed as

$$q = N(\gamma \gamma^*)^{-1}$$
.

Then, using Theorem 3.15, we can find a matrix  $\gamma'$  and a powersmooth integer N' such that

$$\gamma'^* q \gamma' = N' \cdot \mathbb{I}_2,$$

and we know that  $\gamma, \gamma'$  correspond to polarized isogenies  $\varphi, \varphi'$  with the same codomain:



where  $\operatorname{degrd}(\varphi)=N$  and  $\operatorname{degrd}(\varphi')=N'$ . We can compute  $\psi$  as a composition of small-degree isogenies using Algorithm 2, which also reveals A. We have  $N'\varphi=\varphi'\tilde{\varphi}'\varphi$  where we note that

$$\tilde{\varphi}'\varphi = \lambda_0^{-1}\hat{\varphi}'\lambda\varphi = \lambda_0^{-1}\hat{\varphi}'\lambda_0\lambda_0^{-1}\lambda\varphi \in \operatorname{End}(A_0)$$

can be identified with  $\gamma'^*g\gamma \in M_2(\mathcal{O}_0)$ . Thus we can evaluate

Algorithm 5: MatrixTolsogeny: smooth degree

$$\varphi(P) = \frac{1}{N'} \varphi'(\gamma'^* g \gamma P)$$

on any input point P whose order is coprime with N'. This is enough for considering  $\varphi$  as being known, e.g., in view of [52, 53].

Remark 5.2 (matrices to isogenies from  $A_0$  in smooth degree). If N is smooth (but not powersmooth, so that Algorithm 2 may not be applicable) then the above "evaluation representation" of  $\varphi$  may not be the preferred format. Rather, one may want an explicit decomposition  $\varphi = \varphi_r \circ \cdots \circ \varphi_1$  into isogenies of small degree. A polynomial-time conversion between these formats is possible through a repeated use of a higher-dimensional analogue of [53, Corollary 6.8], but this seems impractical. Alternatively, this can be handled using multiple applications of KLPT<sup>2</sup> as outlined in Algorithm 5.

```
Input : \gamma \in \mathrm{M}_2(\mathcal{O}_0) with \mathrm{degrd}(\gamma) = N_1 N_2 \cdots N_e where N_i \leq B Output: polarized isogenies \varphi_e \circ \cdots \circ \varphi_1 : A_0 \to A_e with \mathrm{degrd}\,\varphi_i = N_i

1 For i = 1, \ldots, e-1 do

2 A_i \leftarrow \mathrm{Matrix}\, with kernel A_i \leftarrow \mathrm{Matrix}\, such k
```

(ii) Isogenies from  $A_0$  to matrices in smooth degree. The KLPT<sup>2</sup> algorithm can also be used to convert polarized isogenies from  $A_0$  into matrices when the degree is smooth, rather than powersmooth. For this we recycle a trick due

 $G_i \leftarrow \ker(\tilde{\gamma}\gamma')[N_i]$ . // Note  $\tilde{\gamma} = N_1 N_2 \cdots N_i \gamma^{-1}$ .

14 Return  $\varphi_e \circ \cdots \circ \varphi_1 : A_0 \to A_e$ .

 $\varphi_i \leftarrow \text{adjoint of isogeny } A_i \rightarrow A_{i-1} \text{ with kernel } \varphi'(G_i).$ 

12

to Eisenträger, Hallgren, Lauter, Morrison and Petit [29, Algorithm 9]; see also Wesolowski [59, Algorithm 3]. The method is detailed in Algorithm 6, where we note that Steps 3–9 are trivial at iteration i = 1.

```
Algorithm 6: IsogenyToMatrix: smooth degree
    Input: chain \varphi_r \circ \cdots \circ \varphi_1 : A_0 \to A_r of polarized isogenies
                  with N_i := \operatorname{degrd}(\varphi_i) \leq B
    Output: \gamma \in M_2(\mathcal{O}_0) such that \ker(\gamma) = \ker(\varphi_r \circ \cdots \circ \varphi_1),
                  g_r \in \operatorname{Mat}(A_0) corresponding to A_r
 1 \ \gamma \leftarrow \mathbb{I}_2.
 2 For i = 1, ..., r do
         g_i \leftarrow N_1 \cdots N_{i-1} (\gamma \gamma^*)^{-1}. // Codomain matrix of \varphi_{i-1}.
         Find \gamma' \in M_2(\mathcal{O}_0) and powersmooth N' with gcd(N', N_i) = 1 and
          \gamma'^*g_i\gamma'=N'\cdot\mathbb{I}_2. // Mild strengthening of Theorem 3.15.
         Using Algorithm 2, convert \gamma' to polarized isogeny \varphi': A_0 \to A_{i-1}.
 5
              // Domain of \varphi_i.
 6
         G_i \leftarrow \tilde{\varphi}'(\ker \varphi_i) \subset A_0[N_i].
              // Pulling back the kernel of \varphi_i to A_0.
         K_i \leftarrow \gamma'(G_i). // Pushing the kernel forward under \gamma'.
         \gamma_i \leftarrow \text{matrix with kernel } K_i. // Step 6 in Algorithm 3.
         \gamma \leftarrow \gamma_i \gamma
12 Return \gamma, N_1 \cdots N_r (\gamma \gamma^*)^{-1}.
```

## 5.3 Translating between matrices and isogenies from other starting surfaces

(i) Matrices to polarized isogenies. Next, let us be given a matrix  $g_1 \in \text{Mat}(A_0)$  and a matrix  $\gamma \in \text{M}_2(\mathcal{O}_0)$  of reduced norm  $N^2$  (for arbitrary N), with the promise that  $\gamma$  defines a polarized isogeny emanating from  $(A_0, \lambda_1)$ , where  $\lambda_1 = \mu^{-1}(g_1)$  is the principal polarization corresponding to  $g_1$ . Our goal is to tackle the following enhanced version of the constructive IKO correspondence: return the top row in a commutative diagram of the form

$$\begin{array}{ccc}
\operatorname{Jac}(C_1) \text{ or } & \xrightarrow{\varphi} & \operatorname{Jac}(C_2) \text{ or } \\
E_{11} \times E_{12} & \xrightarrow{\varphi} & E_{21} \times E_{22} \\
\cong & & \downarrow \cong \\
(A_0, \lambda_1) & \xrightarrow{\gamma} & (A_0, \lambda_2).
\end{array}$$

That is, for i = 1, 2 one should return the underlying genus-2 curve  $C_i$  or elliptic curves  $E_{i1}, E_{i2}$ , along with an efficient representation of  $\varphi$ . This can be done as follows. If N is powersmooth, then using a mild strengthening of Theorem 3.15 we can compute a matrix  $\kappa \in M_2(\mathcal{O}_0)$  and a powersmooth integer K such that  $\gcd(K, N) = 1$  and  $\kappa^* g_1 \kappa = K \cdot \mathbb{I}_2$ . This implies that

$$(\gamma \kappa)^* g_2(\gamma \kappa) = NK \cdot \mathbb{I}_2$$

with  $g_2 = \mu(\lambda_2) = N\gamma^{*-1}g_1\gamma^{-1}$ . We can then run Algorithm 2 on input  $\gamma\kappa$ , first processing the factors of K, to end up with an isogeny that naturally factors as

$$(A_0, \lambda_0) \longrightarrow {\operatorname{Jac}(C_1) \text{ or} \atop E_{11} \times E_{12}} \stackrel{\varphi}{\longrightarrow} {\operatorname{Jac}(C_2) \text{ or} \atop E_{21} \times E_{22}} :$$

hence the desired output. If N is not powers mooth then we first apply Theorem 3.15 to replace  $\gamma$  with a matrix  $\gamma'$  of powersmooth reduced norm  $N'^2$  (i.e., satisfying  $\gamma'^*g_2\gamma'=N'\cdot g_1$ ) and proceed as in Section 5.2.

- (ii) Polarized isogenies to matrices. We can easily extend the foregoing methods for isogeny-to-matrix conversion from  $(A_0, \lambda_0)$  to any principally polarized starting surface  $(A, \lambda)$ , say given as a Jacobian Jac(C) or a product of elliptic curves  $E_1 \times E_2$ , as soon as a corresponding matrix  $g \in Mat(A_0)$  is known. As for the output matrix,
  - let us recall from Section 4.2 that it is determined up to left-multiplication with an element of  $GL_2(\mathcal{O}_0)$  only,
  - in addition, if in the method below one replaces g with a different representant of its class in  $\operatorname{Mat}^0(A_0)$ , then this amounts to right-multiplication with an element of  $\operatorname{GL}_2(\mathcal{O}_0)$ .

The problem of isogeny-to-matrix conversion easily reduces to the case  $(A, \lambda) = (A_0, \lambda_0)$ . Indeed, by means of Theorem 3.1 we can find a matrix  $\gamma'$  with reduced degree  $2^e$  connecting  $\mathbb{I}_2$  and g. Using Algorithm 5 this matrix can be converted into a polarized isogeny  $\varphi': (A_0, \lambda_0) \to (A, \lambda)$ , represented as a chain of (2, 2)-isogenies. Now if  $\varphi$  is a polarized isogeny emanating from  $(A, \lambda)$ , then  $\varphi \circ \varphi'$  is a polarized isogeny emanating from  $(A, \lambda_0)$ , and if  $\gamma$  is a matrix corresponding to  $\varphi \circ \varphi'$ , then  $\gamma \gamma'^{-1}$  is a matrix corresponding to  $\varphi$ .

### 5.4 Attacks on CGL hash functions

We now arrive at our main cryptographic application: finding collisions for twodimensional variants of the Charles–Goren–Lauter (CGL) hash function [12], in the case of an untrusted set-up.

CGL hash functions in dimension two. In 2018, Takashima [56] proposed the first such variant, using random non-backtracking walks in the (2, 2)-isogeny

graph of superspecial principally polarized abelian surfaces. It was observed by Flynn and Ti [32] that such hash functions admit trivial collisions, coming from the fact that every (4,2,2)-isogeny admits three different decompositions into two (2,2)-isogenies. Therefore, starting with [10], all subsequent proposals restrict to "good" chains of (2,2)-isogenies, i.e., composing to a  $(2^e,2^e)$ -isogeny for some  $e \geq 1$ . This means that the kernel of every outgoing (2,2)-isogeny trivially intersects the kernel of the dual of the previous, incoming (2,2)-isogeny.

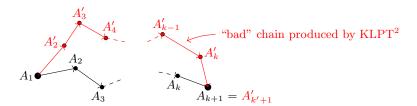
Let us briefly detail how CGL hash functions in dimension two are currently constructed, generalizing from (2,2)-isogenies to  $(\ell,\ell)$ -isogenies for any small prime  $\ell$ . During set-up, an initial node in the graph is chosen, corresponding to some superspecial principally polarized abelian surface  $A_1$ , as well as  $\ell^3$  "allowed" outgoing edges, corresponding to a subset of the set of  $(\ell^2 + 1)(\ell + 1)$ outgoing polarized  $(\ell, \ell)$ -isogenies from this initial node. At each node, the outgoing edges are sorted in some deterministic way; e.g. by comparing the invariants of all the neighbor nodes. The input message mess is mapped deterministically to  $(m_1, m_2, \ldots, m_k) \in \{0, 1, \ldots, \ell^3 - 1\}^*$ , with some padding if necessary. To hash, one of the  $\ell^3$  allowed edges is chosen according to the value of  $m_1$ , and we compute the corresponding neighbor node, yielding a new principally polarized abelian surface  $A_2$ . Using the value  $m_2$ , we choose one of the  $\ell^3$  outgoing edges corresponding to an  $(\ell, \ell)$ -isogeny whose kernel trivially intersects the kernel of the dual of the previous  $(\ell, \ell)$ -isogeny. This results in a node corresponding to a surface  $A_3$  and we repeat this process until we have landed on a node corresponding to a surface  $A_{k+1}$ . We deterministically map suitable invariants of  $A_{k+1}$  to  $\{0,1\}^n$ , where  $n \approx 3 \log p$ , and use this as the output of our hash function.

**KLPT<sup>2</sup> produces "bad" chains.** In dimension one, the KLPT algorithm can be used to compute second pre-images for the CGL hash function as soon as the endomorphism ring of the starting curve is known [29]. In dimension two, a very similar reasoning applies as soon as a matrix  $g_1 \in \text{Mat}(A_0)$  corresponding to the initial node is known: given a message  $\text{mess}_1$ ,

- using the method from Section 5.3(ii), one can compute a matrix  $g_{k+1}$  corresponding to its hash value  $A_{k+1}$ ,
- using KLPT<sup>2</sup> on input  $g_1, g_{k+1}$ , one then computes a connecting matrix  $\gamma$  corresponding to a polarized isogeny of reduced degree  $\ell^e$ ; with overwhelming probability this will be different from the isogeny hashing mess<sub>1</sub>,
- as outlined in Section 5.3(i), one can then effectively convert  $\gamma$  into a chain of  $(\ell, \ell)$ -isogenies from  $A_1$  to  $A_{k+1}$ .

But, alas, this chain will fail to hash a second pre-image mess<sub>2</sub>, because with overwhelming probability it will not be a "good" chain.

<sup>&</sup>lt;sup>†</sup>Rather than merely not coinciding with it: this is how "non-backtracking" was understood in Takashima's proposal [56].



Indeed, in general, KLPT<sup>2</sup> fails to return  $(\ell^e, \ell^e)$ -isogenies in view of Corollary 5.4 below:

**Lemma 5.3.** Let  $a, c \in \mathcal{O}_0$  be non-zero elements such that  $gcd(\mathsf{n}(a), \mathsf{n}(c)) = 1$  and assume that  $\ell \nmid \mathsf{n}(a), \mathsf{n}(c)$ . Let  $b, d \in \mathcal{O}_0$  be such that

$$\mathcal{N}(u) = \ell^{e_0}, \qquad u = \begin{pmatrix} a & b \\ c & d \end{pmatrix},$$

computed by finding an element of norm  $\mathbf{n}(c)\ell^{e_0}$  in  $\mathbf{n}(c)\mathcal{O}_0 + a\bar{c}\mathcal{O}_0$  via the KLPT algorithm, as explained in Section 3.2. Assume that the degree- $\ell^{e_0}$  component of this element is cyclic (this is generically expected). Then  $\ker(u) \cong \mathbb{Z}/\ell^{e_0}\mathbb{Z}$ .

*Proof.* As before, choose integers  $\alpha$ ,  $\beta$  such that  $1 = \alpha \operatorname{n}(a) + \beta \operatorname{n}(c)$ , where it can be assumed that  $\gcd(\beta,\ell) = 1$ . Expressing that a point tuple  $(P,P') \in A_0[\ell^{e_0}] = E_0[\ell^{e_0}]^2$  is contained in  $\ker(u)$  and multiplying on the left with the row matrix  $(\alpha \bar{a} \ \beta \bar{c})$ , we find

$$P = -(\alpha \bar{a}b + \beta \bar{c}d)P' \tag{14}$$

as a necessary condition. Next, multiplying with  $(1 \ 0)$  and substituting P yields:

$$(-a(\alpha \bar{a}b + \beta \bar{c}d) + b) P' = 0 \quad \Rightarrow \quad (\mathsf{n}(c)b - a\bar{c}d)P' = 0, \tag{15}$$

where we have used  $\gcd(\beta,\ell)=1$ . Since  $\mathsf{n}(\mathsf{n}(c)b-a\bar{c}d)=\mathsf{n}(c)\,\mathcal{N}(u)=\ell^{e_0}\,\mathsf{n}(c)$  and  $\ell\nmid\mathsf{n}(c)$ , we find from (14) and (15) that  $\ker(u)$  is isomorphic to the kernel of the returning degree- $\ell^{e_0}$  component of the endomorphism  $\mathsf{n}(c)b-a\bar{c}d$ . This is precisely the isogeny that is produced by our run of KLPT, which we assumed to be cyclic.

Corollary 5.4. Under the assumption from Lemma 5.3 that the KLPT algorithm produces cyclic isogenies, to describe the kernel of the matrix  $\gamma \in M_2(\mathcal{O}_0)$  returned by Algorithm 1, one needs at least 3 generators.

Proof. From (4) we know that  $\gamma = u_2 \tau u_1^{-1} \mathcal{N}(u_1)$ , where we recall from Algorithm 1 that  $u_1 = u \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix} u'$  for matrices u, u' of reduced norm  $\ell^{e_0}$ . The matrix u' is computed as in the proof of Theorem 3.13. From (11) it follows that u' satisfies the assumptions from Lemma 5.3, therefore its kernel is cyclic of size  $\ell^{e_0}$ . But  $\gamma$  contains  $u'^{-1} \mathcal{N}(u') = \ell^{e_0} u'^{-1}$  as a factor. The kernel of this factor is isomorphic to  $(\mathbb{Z}/\ell^{e_0}\mathbb{Z})^3$  because u' and  $\ell^{e_0} u'^{-1}$  compose to multiplication-by- $\ell^{e_0}$ .

For use below, let us analyze the structure of  $\ker(\gamma)$  in more detail. Here, we restrict to the "general" case, meaning that we expect the following properties to hold with a probability that is bounded (from below) by a constant only depending on  $\ell$  and converging to 1 as  $\ell \to \infty$ . Consequently, these properties are expected to be satisfied after a constant number of reruns of KLPT<sup>2</sup> if needed. Again, as in the proof of Corollary 5.4, we adopt the notation from the pseudo-code from Algorithm 1, and we recall from (4) that the final exponent e arises as  $2e_1 + 2e_2$  with  $e_1 = 2e_0$ .

- In the proof of Corollary 5.4, also the reduction matrix u is expected to meet the assumptions from Lemma 5.3, i.e.,  $\ell \nmid \mathsf{n}(a), \mathsf{n}(c)$ . As a consequence we have  $\ker(u) \cong \mathbb{Z}/\ell^{e_0}\mathbb{Z}$ .
- In view of Lemma 5.5 below, we expect the cyclic kernel of  $u(\begin{smallmatrix} 1 & \alpha \\ 0 & 1 \end{smallmatrix})$  to have a trivial intersection with  $\ker(\ell^{e_0}u'^{-1}) \cong (\mathbb{Z}/\ell^{e_0}\mathbb{Z})^3$ . This implies that  $\ker(u_1) \cong \mathbb{Z}/\ell^{2e_0}\mathbb{Z} = \mathbb{Z}/\ell^{e_1}\mathbb{Z}$ .
- Likewise we expect that  $\ker(u_2) \cong \mathbb{Z}/\ell^{e_1}\mathbb{Z}$ .
- Upon writing

$$\tau = \begin{pmatrix} \ell^{e_2} & x \\ 0 & \ell^{e_2} \end{pmatrix}$$

for some  $x \in \mathcal{O}_0$ , we expect that  $\ell \nmid \mathsf{n}(x)$ , implying that  $\ker(\tau) \cong (\mathbb{Z}/\ell^{2e_2}\mathbb{Z})^2$ . – Again by Lemma 5.5 below, we expect that  $\ker(\tau)$  trivially intersects the cyclic kernel of  $u_1$ . This implies that

$$\ker(\tau\ell^{e_1}u_1^{-1}) \cong \frac{\mathbb{Z}}{\ell^{e_1+2e_2}\mathbb{Z}} \times \frac{\mathbb{Z}}{\ell^{e_1+2e_2}\mathbb{Z}} \times \frac{\mathbb{Z}}{\ell^{e_1}\mathbb{Z}}.$$

Defining

$$\tilde{\tau} = \begin{pmatrix} \ell^{e_2} - x \\ 0 & \ell^{e_2} \end{pmatrix}$$

so that  $\tilde{\tau}\tau = \tau\tilde{\tau} = \ell^{2e_2}\mathbb{I}_2$ , we similarly expect that the cyclic kernel of  $u_2$  trivially intersects  $\ker(\tilde{\tau}) \cong (\mathbb{Z}/\ell^{2e_2}\mathbb{Z})^2$ . Altogether, this implies

$$\ker(\gamma) = \ker(u_2 \tau \ell^{e_1} u_1^{-1}) \cong \frac{\mathbb{Z}}{\ell^{2e_1 + 2e_2} \mathbb{Z}} \times \frac{\mathbb{Z}}{\ell^{e_1 + 2e_2} \mathbb{Z}} \times \frac{\mathbb{Z}}{\ell^{e_1} \mathbb{Z}}, \qquad (16)$$

where we recall that this concerns a maximal isotropic subgroup for the  $\ell^e$ -Weil pairing with respect to  $\lambda_1$ .

We stress that, while it is convenient to restrict to the shape (16) for expository purposes, our collision finding method outlined below can be adapted to any other isomorphism type, i.e., it can be adapted to work even when we are not in the above "general" case.

**Lemma 5.5.** Inside the vector space  $\mathbb{F}_{\ell}^4$ , the probability that two random 2-dimensional subspaces intersect trivially is  $\ell^4/(\ell^2+1)(\ell^2+\ell+1)$ . The probability

<sup>&</sup>lt;sup>†</sup>Hence the adjoint-like notation  $\tilde{\tau}$ , even though this property is considered regardless of any principal polarizations.

that a random 1-dimensional subspace and a random 3-dimensional subspace intersect trivially is  $\ell^3/(\ell^3+\ell^2+\ell+1)$ .

*Proof.* We only prove the first formula; the second formula follows similarly. It is well-known that the number of 2-dimensional subspaces is given by the Gaussian binomial coefficient

$$\begin{bmatrix} 4 \\ 2 \end{bmatrix}_{\ell} = (\ell^2 + 1)(\ell^2 + \ell + 1).$$

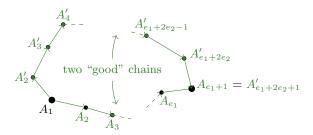
The formula follows because the number of 2-dimensional subspaces trivially intersecting a given 2-dimensional subspace  $V \subset \mathbb{F}^4_\ell$  equals  $\ell^4$ . Indeed, w.l.o.g. we can assume  $V = \langle (1,0,0,0), (0,1,0,0) \rangle$ , and then the trivially intersecting subspaces are the ones of the form  $\langle (a,b,1,0), (c,d,0,1) \rangle$  for  $a,b,c,d \in \mathbb{F}_\ell$ .  $\square$ 

Collision finding. While second pre-images seem out of reach, the KLPT<sup>2</sup> algorithm still lends itself to finding collisions, as we now discuss. The first step is to run KLPT<sup>2</sup> on input  $g_1, g_1$ , resulting in a matrix  $\gamma \in M_2(\mathcal{O}_0)$  defining a polarized endomorphism

$$(A_0, \lambda_1) \longrightarrow (A_0, \lambda_1), \quad A_1 \cong (A_0, \lambda_1), \ g_1 = \mu(\lambda_1)$$

of reduced degree  $\ell^e$ . In view of the previous discussion, we can assume that  $\ker(\gamma)$  is of isomorphism type (16).

Then the idea for converting  $\gamma$  into a collision is inspired by the reasoning at the end of Section 4.2, where it was argued that there exists a subgroup of  $\ker(\gamma)$  determining a polarized  $(\ell^{e_1}, \ell^{e_1})$ -isogeny  $\gamma_1: (A_0, \lambda_1) \to (A_0, \lambda)$ , through which  $\gamma$  factors, in such a way that the remaining factor  $\gamma_2: (A_0, \lambda) \to (A_0, \lambda_1)$  is a polarized  $(\ell^{e_1+2e_2}, \ell^{e_1+2e_2})$ -isogeny. Thus we have two "good" paths  $\gamma_1, \tilde{\gamma}_2$  emanating from  $(A_0, \lambda_1)$  with the same codomain: this is the algebraic version of the desired collision. If we effectively succeed in finding  $\gamma_1, \gamma_2$  then these matrices can be converted into two colliding isogenies emanating from  $A_1$ , by following the procedure described in Section 5.3.



In Section 4.2 we also had an explicit description of the subgroup  $\ker(\gamma_1)$ , namely

$$\langle \ell^{e_1 + 2e_2} P, R \rangle \tag{17}$$

where  $P \in \ker(\gamma)$  is any point of order  $\ell^e = \ell^{2e_0+2e_2}$  and R is any point of order  $\ell^{e_1}$  that cannot be divided by  $\ell$  inside  $\ker(\gamma)$ . This explicit description is

of lesser use to us, because the generators in (17) are in general defined over a huge-degree extension of  $\mathbb{F}_{p^2}$  only. Before explaining our workaround, let us note that many other subgroups of  $\ker(\gamma)$  are equally valid choices: the only crucial features are that

- the subgroup is isomorphic to  $(\mathbb{Z}/\ell^{e_1}\mathbb{Z})^2$ , i.e.  $\gamma_1$  is a "good" chain of isogenies,
- it contains a point R that is not divisible by  $\ell$  in ker( $\gamma$ ), so that

$$\frac{\ker(\gamma)}{\ker(\gamma_1)} \cong (\mathbb{Z}/\ell^{e_1 + 2e_2}\mathbb{Z})^2,$$

- i.e., also the cofactor  $\gamma_2$  is a "good" chain of isogenies, and
- it concerns a maximal isotropic subgroup with respect to the  $\ell^{e_1}$ -Weil pairing for  $\lambda_1$ , so that  $\gamma_1$  is a polarized isogeny.

The following lemma shows that  $\gamma_1$  can be built following a "greedy" approach.

**Lemma 5.6.** Consider any subgroup  $G \cong (\mathbb{Z}/\ell^{e_1}\mathbb{Z})^3$  of  $A_0[\ell^{e_1}]$  and let  $K_1 \subset G[\ell]$  be maximal isotropic with respect to the  $\ell$ -Weil pairing  $e_{\ell,\lambda_1}$ . Consider the following iterative procedure for  $i \geq 2$ : let  $K_i$  be any subgroup of G for which

$$K_i \supset K_{i-1}, \qquad K_i \cong \frac{\mathbb{Z}}{\ell^i \mathbb{Z}} \times \frac{\mathbb{Z}}{\ell^i \mathbb{Z}}, \qquad e_{\ell^i, \lambda_1}|_{K_i \times K_i} = 1.$$
 (18)

Then, regardless of the choices made, this procedure can be repeated up to  $i = e_1$ , and for every i we have that  $K_i$  is maximal isotropic with respect  $e_{\ell^i,\lambda_1}$ .

Proof. First note that the last statement is immediate from the right-most property in (18): indeed, any isotropic subgroup for the  $\ell^i$ -Weil pairing contains at most  $\ell^{2i}$  elements. Also note that conditions (18) imply  $\ell K_i = K_{i-1}$  for all  $i = 2, \ldots, e_1$ . Writing  $K_{i-1} = \langle P_{i-1}, Q_{i-1} \rangle$ , we necessarily have  $K_i = \langle P_i, Q_i \rangle$  for certain points  $P_i$ ,  $Q_i$  such that  $\ell P_i = P_{i-1}$ ,  $\ell Q_i = Q_{i-1}$ . Such points can be found in G as long as  $i \leq e_1$ , so it remains to argue that they can be chosen with  $e_{\ell^i,\lambda_1}(P_i,Q_i) = 1$ . We know that it concerns some  $\ell$ -th root of unity  $\zeta$  since

$$e_{\ell^i,\lambda_1}(P_i,Q_i)^\ell = e_{\ell^i,\lambda_1}(P_i,\ell Q_i) = e_{\ell^{i-1},\lambda_1}(\ell P_i,\ell Q_i) = e_{\ell^{i-1},\lambda_1}(P_{i-1},Q_{i-1}) = 1.$$

On the other hand, since  $K_1 = \langle \ell^{i-1}P_i, \ell^{i-1}Q_i \rangle$  is maximal isotropic with respect to the  $\ell$ -Weil pairing there must exist an  $\ell$ -torsion point  $X \in G$  such that  $e_{\ell,\lambda_1}(X,\ell^{i-1}P_i)$  or  $e_{\ell,\lambda_1}(X,\ell^{i-1}Q_i)$  is non-trivial, and by scaling X if needed we can assume that it concerns  $\zeta$ . Let us assume w.l.o.g. that  $e_{\ell,\lambda_1}(X,\ell^{i-1}P_i) = \zeta$ . Then using  $Q_i' = Q_i + X$  instead of  $Q_i$  fixes the issue:

$$e_{\ell^i,\lambda_1}(P_i,Q_i') = e_{\ell^i,\lambda_1}(P_i,Q_i)e_{\ell^i,\lambda_1}(P_i,X) = e_{\ell^i,\lambda_1}(P_i,Q_i)e_{\ell,\lambda_1}(\ell^{i-1}P_i,X) = 1.$$

This concludes the proof.

Remark 5.7. For any choice of G there indeed exists a subgroup  $K_1 \subset G[\ell]$  that is maximal isotropic with respect to the Weil pairing: this follows from

general facts from symplectic linear algebra [1, §1.2]. We will apply the lemma to  $G = (\ker \gamma)[\ell^{e_1}]$  where this existence comes as no surprise:  $K_1 = \ell^{e_1-1}K$ , with K as in (17), is an example.

We start with any subgroup  $K_1 \subset (\ker \gamma)[\ell]$  that

- is maximal isotropic with respect to  $e_{\ell,\lambda_1}$ ,
- contains  $\ell^{e_1-1}R \in K_1$ , with R a point that is not divisible by  $\ell$  in  $\ker(\gamma)$ .

Then the subgroup  $K_{e_1}$  produced by Lemma 5.6 will indeed be a suitable instance of  $\ker(\gamma_1)$ . A point of the form  $\ell^{e_1-1}R$  can be found by taking any order- $\ell$  point independent of  $\ker(\tau)$  and  $\ker(u_1)$ , and taking its image under  $u_1$ . Once  $K_1$  is fixed, we look for a matrix  $\kappa_1 \in M_2(\mathcal{O}_0)$  with kernel  $H_1 = K_1$ . We then know that  $\gamma$  factors through  $\kappa_1$ , and continue with the remaining factor  $\gamma \kappa_1^{-1}$ : we look for any maximal isotropic subgroup  $H_2 \cong (\mathbb{Z}/\ell\mathbb{Z})^2$ , with corresponding matrix  $\kappa_2$ , which forms a "good" extension of  $\kappa_1$ . We then continue with  $\gamma \kappa_1^{-1} \kappa_2^{-1}$ , and so on. In this way we implicitly build a tower of subgroups  $K_i = (\ker \kappa_i \circ \cdots \circ \kappa_1)$  as in Lemma 5.6. More details can be found in Algorithm 7, which runs in polynomial time. We therefore conclude:

**Proposition 5.8.** Assuming knowledge of a matrix  $g_1 \in Mat(A_0)$  corresponding to the initial node, under plausible heuristic assumptions, collisions for the two-dimensional variant of the CGL hash function can be produced in polynomial time.

On untrusted set-ups. We deem it likely that all currently known ways for constructing a superspecial principally polarized abelian surface  $A_1$  implicitly reveal an isogeny to  $E_0^2$ . This would be analogous to the current situation for supersingular elliptic curves [4]. Up to our knowledge, the candidate ways for construction are:

- either letting  $A_1 = E_1 \times E_2$  for supersingular elliptic curves  $E_1, E_2$  (equipped with the product polarization),
- or letting  $A_1$  be the mod-p reduction of a suitable principally polarized abelian surface  $\tilde{A}_1/\mathbb{C}$  with complex multiplication (CM) by an order with small discriminant, using results of the type [35, Theorem 1],
- or obtaining  $A_1$  by combining one of the previous constructions with a polarized isogeny walk.

In order to make our suspicion precise, we would need results on reductions of CM curves that are analogous to [11, §5] or [45]. If it is indeed true that  $A_1$  always comes with a path to  $A_0$ , then using KLPT<sup>2</sup> and isogeny-to-matrix conversion, an attacker can compute a matrix  $g_1 \in \text{Mat}(A_0)$  corresponding to  $A_1$ , allowing for an application of Proposition 5.8. In other words, without a trusted set-up, CGL-type hash functions from superspecial principally polarized abelian surfaces can always be broken in polynomial time.

## Algorithm 7: CGLCollision

```
Input: principally polarized superspecial abelian surface A_1
                    g_1 \in \operatorname{Mat}(A_0) such that A_1 \cong (A_0, \mu^{-1}(g_1)), small prime \ell
     Output: two "good" chains of (\ell, \ell)-isogenies A_1 \to A
 1 Find \gamma = u_2 \tau \ell^{e_1} u_1^{-1} \in M_2(\mathcal{O}_0) such that \gamma^* g_1 \gamma = \ell^e g_1. // KLPT<sup>2</sup>.
 2 P_1 \leftarrow \text{generator of } \ker(u_2)[\ell], \ P_1 \leftarrow u_1 \tilde{\tau}(P_1).
 3 R_1 \leftarrow \text{point of } A_0[\ell] \setminus \langle \ker(\tau)[\ell], \ker(u_1)[\ell] \rangle, \ R_1 \leftarrow u_1(R_1).
 4 H_1 \leftarrow \langle P_1, R_1 \rangle.
 5 \gamma_1 \leftarrow \text{matrix with kernel } H_1. // Method from Section 4.2.
 6 For i = 2, ..., e_1 do
          G_i \leftarrow \ker(\gamma \gamma_1^{-1})[\ell]. // Note has 3 generators.
          For H_i \in \{\text{rank-2 subgroups of } G_i\} do
                If H_i \cap \ker \tilde{\gamma}_1 = \{0\} then
                        // Checks if chain is "good". Note \tilde{\gamma}_1 = \ell^{i-1} \gamma_1^{-1}.
10
                     \kappa \leftarrow \text{matrix with kernel } H_i. // Method from Sect. 4.2.
11
                     If \ell^i(\kappa\gamma_1)^{*-1}g_1(\kappa\gamma_1)^{-1} \in \operatorname{Mat}(A_0) then
12
                              // Checks if group is maximal isotropic.
13
                           Break "For H_i"-loop.
       \gamma_1 \leftarrow \kappa \gamma_1.
16 \gamma_2 \leftarrow \gamma \gamma_1^{-1}.
17 Convert \gamma_1 into chain of (\ell, \ell)-isogenies \varphi_{1e_1} \circ \cdots \circ \varphi_{11} : A_1 \to A.
18 Convert \tilde{\gamma}_2 into chain of (\ell,\ell)-isogenies \varphi_{2,e_1+2e_2} \circ \cdots \circ \varphi_{21}: A_1 \to A.
          // Using method from Section 5.2(ii). Note 	ilde{\gamma}_2=\ell^{e_1+2e_2}\gamma_2^{-1}.
20 Return \varphi_{1e_1} \circ \cdots \circ \varphi_{11}, \ \varphi_{2,e_1+2e_2} \circ \cdots \circ \varphi_{21}.
```

Example 5.9. In [10, §7] the starting surface is obtained from the Jacobian of C:  $y^2 = x^6 + 1$  by means of a (2,2)-walk of length 10; this Jacobian is superspecial if and only if  $p \equiv 5 \mod 6$ . However, there exists a (2,2)-isogeny

$$\Phi: \operatorname{Jac}(C) \to E_1^2, 
[(x_1, y_1) + (x_2, y_2) - 2\infty] \mapsto \left( (x_1^2, y_1) + (x_2^2, y_2), \left( \frac{1}{x_1^2}, \frac{y_1}{x_1^3} \right) + \left( \frac{1}{x_2^2}, \frac{y_2}{x_2^3} \right) \right),$$

where  $E_1: y^2 = x^3 + 1$ , which is indeed supersingular if and only if  $p \equiv 5 \mod 6$ . Under our assumption  $p \equiv 3 \mod 4$ , we can then connect  $E_1^2$  to  $E_0^2$  with a product isogeny, stemming from a known isogeny from  $E_1$  to  $E_0$  (e.g., as detailed in [11, Example 20]). In a very recent paper [50], the authors find collisions for the hash function from [10] using knowledge of a *short* isogeny to  $E_1^2$ , but our results show that *any* known isogeny will do, in fact.

### 5.5 Attacks on verifiable delay functions.

In [14] the authors propose a 2-dimensional analog of the isogeny-based VDF from [25]. One of the (multiple) drawbacks of [25] is that it needs a trusted set-up because the KLPT algorithm provides a solution to the isogeny short-cut problem if the endomorphism ring of the starting surface is known. The selling point of a genus-2 version of essentially the same VDF was that no trusted set-up would be necessary. Our results invalidate this selling point: a trusted set-up is necessary in the genus-2 case as well, as otherwise a similar attack applies.

### 6 Further research directions

We provide several future research directions that could be investigated further.

### 6.1 Improving the length of the path

At the moment, the bound on the reduced degree N produced by Theorems 3.1 and 3.15 is relatively large. One source for this is that  $\gamma$  is built from two matrices  $u_1, u_2$ , glued together by means of the matrix  $\tau$  from Lemma 3.3 whose reduced norm scales quartically with the common top-left entry  $\ell^{e_2}$  of  $u_1^*g_1u_1$  and  $u_2^*g_2u_2$ . However, the main room for improvement seems to lie in the fact that each  $u_i$  itself is built in two steps:

- first we need to reduce the matrix  $g_i$ , in the sense of Definition 3.12, in order to bound the entries in a way that only depends on p,
- this is then used to construct  $u_i$  via Theorem 3.13, which moreover produces a rather large value of  $\ell^{e_2}$ .

We conjecture that this two-step procedure could be avoided through a better understanding of the quadratic form  $K((a,c)) = s \cdot \mathsf{n}(a) + t \cdot \mathsf{n}(c) + \mathsf{tr}(\bar{c}\bar{r}a)$ . Namely, we proved in Proposition 3.10 that the determinant of K is  $(p/4)^4$ , thus in particular independent of s,t,r. Thus it seems plausible that a more direct method would provide a better output.

# 6.2 Ensuring that $ker(\gamma)$ is free of rank 2

Recall that in several applications, notably CGL-style hash functions, one is mainly interested in polarized isogenies  $\gamma$  for which  $\ker(\gamma) \cong (\mathbb{Z}/N\mathbb{Z})^2$ . As argued in Corollary 5.4, the paths returned in Theorems 3.1 and 3.15 are never of this type. Changing our KLPT<sup>2</sup> algorithm such that it always outputs isogenies whose kernels are free of rank 2 is an interesting future research goal. Positive results in this direction may also lead to proofs of the connectedness of the  $(\ell, \ell)$ -isogeny graph of superspecial principally polarized abelian surfaces by means of "good" extensions [10, Conjecture 3], an open problem that was not settled by the recent work by Jordan and Zaytman [40].

### 6.3 Endomorphism ring representations

Recall that the endomorphism ring computation problem is the central hard problem in (supersingular) isogeny-based elliptic curve cryptography. As explained in Section 5.1, the natural analog in dimension 2 is about computing the matrix g associated with a given principally polarized superspecial abelian variety. However, even in dimension one, two versions of this "endomorphism ring computation problem" exist: given a supersingular elliptic curve  $E/\mathbb{F}_{p^2}$ ,

- find a maximal order  $\mathcal{O} \subset B_{p,\infty}$  such that  $\operatorname{End}(E) \cong \mathcal{O}$ ,
- find four endomorphisms that generate  $\operatorname{End}(E)$  as a  $\mathbb{Z}$ -module and that one can evaluate efficiently.

In [58] the first problem is called MaxOrder and the second is called EndRing. As it turns out, these problems are polynomial-time equivalent.

Computing the matrix g is the natural two-dimensional generalization of the MaxOrder problem. The natural analogue of the EndRing problem is that one requires to find 16 endomorphisms of a given principally polarized superspecial abelian variety A, with the following properties:

- the endomorphisms generate  $\operatorname{End}(A)$  as a  $\mathbb{Z}$ -module and can be evaluated efficiently,
- the Rosati involution can be efficiently evaluated on these endomorphisms.

Here we outline a potential strategy for proving that the two problems are polynomial-time equivalent. First, if one is given a matrix g, then using our algebraic pathfinding algorithm with powersmooth degrees, by connecting the surface to  $A_0 = E_0^2$  we can get an efficient representation of End(A) via lollipopping.

We sketch a potential proof for the converse direction, leaving a more precise version for future work. First, we compute an explicit isomorphism between  $\operatorname{End}^0(A) = \operatorname{End}(A) \otimes_{\mathbb{Z}} \mathbb{Q}$  and  $\operatorname{M}_2(B_{p,\infty})$  using [39]. A priori, this algorithm requires factoring, but this can be avoided. The way [39] works is that it computes a maximal order in  $\operatorname{End}^0(A)^{\operatorname{op}} \otimes_{\mathbb{Q}} \operatorname{M}_2(B_{p,\infty})$ , where  $\cdot^{\operatorname{op}}$  refers to the opposite algebra, and then uses lattice reduction to find a zero divisor. Computing a maximal order usually requires factoring the discriminant of a starting order. However, starting from maximal orders in  $\operatorname{End}^0(A)$  and  $\operatorname{M}_2(B_{p,\infty})$ , their tensor product is maximal away from p and  $\infty$ , so the factoring issue becomes trivial (for further discussion, see [22, Proposition 4.1] where this is explained for quaternion orders, but the argument is the same). Furthermore, a zero divisor might not immediately give an explicit isomorphism, this is only true if it has rank 1 (when identified with an element of  $\operatorname{M}_{16}(\mathbb{C})$ ). Luckily [39] shows that in low dimensional cases, one immediately finds a rank 1 element.

Such an explicit isomorphism provides an embedding  $\iota : \operatorname{End}(A) \hookrightarrow \operatorname{M}_2(B_{p,\infty})$  together with an involution  $\sigma$  on  $\iota(\operatorname{End}(A))$  that comes from the Rosati involution. Our next goal is to find an explicit conjugation between  $\iota(\operatorname{End}(A))$  and

<sup>&</sup>lt;sup>†</sup>Recall from Section 5.2 that we can solve this problem in polynomial time.

 $M_2(\mathcal{O}_0)$ . This is the main step that needs to be made more explicit, but a potential idea could be the following. Let  $B(u,v) := \operatorname{tr}(\sigma(u)v)$  be a bilinear map that by the properties of the Rosati involution equips  $\iota(\operatorname{End}(A))$  with a Euclidean norm. One can also consider  $M_2(\mathcal{O}_0)$  together with the conjugate transpose and the associated bilinear form  $\operatorname{tr}(u^*v)$ . Now one can run HKZ and list all the shortest elements and try to match them up. For  $\binom{a}{c} \binom{b}{d} \in M_2(\mathcal{O}_0)$  this norm is just  $\operatorname{n}(a) + \operatorname{n}(b) + \operatorname{n}(c) + \operatorname{n}(d)$ , so it is easy to see that there are not too many short elements. If one can match them up, then the explicit conjugation turns into solving a system of linear equations.

We now have found an explicit isomorphism between  $\iota(\operatorname{End}(A))$  and  $\operatorname{M}_2(\mathcal{O}_0)$  on which we have two involutions: conjugate-transpose and the involution induced by  $\sigma$ . These involutions will be conjugated by a Hermitian matrix (i.e., symmetric with respect to conjugate-transpose). This conjugating element is going to be g, and it can be found via linear algebra, as explained in Remark 2.9.

Remark 6.1. The involution on  $M_2(\mathcal{O}_0)$  is not going to be uniquely determined; consequently the same is true for the matrix g. But this is expected, as we are looking for an equivalence class in  $\operatorname{Mat}^0(A_0)$ .

#### 6.4 Generalizing other known applications of KLPT

The most celebrated application of the KLPT algorithm is building signature schemes. In this paper we have not studied this aspect, even though we were motivated by several gaps in Chu's attempt from [16, Appendix A] to build a GPS-style signature scheme in dimension two. Explicitly, he asked for efficient routines called PowersmoothMatrix, now resolved by our KLPT<sup>2</sup> algorithm, for MatrixTolsogenyPath, addressed in Sections 4.1 and 14, and for IsogenyPathToMatrix. In the latter case, we described a polynomial-time method for chains of (2, 2)-isogenies in Section 14. But a general polynomial-time solution is lacking: currently we still need to resort to Chu's sub-exponential time method for the PIP in  $M_2(\mathcal{O}_0)$ . So this is clearly a compelling research question.

An attractive goal is develop a dimension-two variant of SQIsign, for which more restricted solutions of the isogeny-to-matrix conversion problem, only targeting isogenies of very small degree, could be good enough. One important open question is whether the paths returned by  $KLPT^2$  reveal information about the endomorphism rings of the domain and the codomain. One interesting aspect, as opposed to KLPT, is that we find a direct path between two surfaces without going through one fixed special principally polarized abelian surface. In dimension one this problem was essentially resolved by the Generalized KLPT algorithm [24, §5]. It would also be interesting to study higher dimensional analogs of the various HD versions of SQIsign [3, 23, 28, 49]. A potential advantage of higher-dimensional generalizations of SQIsign is that the complexity of endomorphism computation grows with the dimension: this could allow for schemes working with smaller field sizes (i.e., smaller p).

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# A Matrices encoding (2, 2)-isogenies

Consider the elliptic curve  $E_0: y^2 = x^3 + x$  over  $\mathbb{F}_{p^2}$ , where  $p \equiv 3 \mod 4$ . Let  $i \in \mathbb{F}_{p^2}$  denote a fixed square root of -1. Recall that we identify  $\operatorname{End}(E_0)$  with

the maximal order

$$\mathcal{O}_0 = \left\langle 1, i, \frac{i+j}{2}, \frac{1+k}{2} \right\rangle \subset B_{p,\infty}$$

where, abusing notation, i is identified with the automorphism  $(x,y) \mapsto (-x,iy)$  and j with the Frobenius map  $(x,y) \mapsto (x^p,y^p)$ . For ease of notation, we write

$$\omega_3 = \frac{i+j}{2}, \qquad \omega_4 = \frac{1+k}{2}.$$

We also introduce the following notation for the points of order 2:

$$P_0 = (0,0), P_i = (i,0), \bar{P}_i = (-i,0).$$

A calculation reveals the following evaluation tables:

	$P_0$	$P_i$	$\bar{P}_i$			0	$P_i$		if $p \equiv 7 \mod 8$ .
i	$P_0$	$\bar{P}_i$	$P_i$	if $p \equiv 3 \mod 8$ ,	i	$P_0$	$\bar{P}_i$	$P_i$	
$\omega_3$	$P_i$	$P_0$	$ \bar{P}_i $		$\omega_3$	$\bar{P}_i$	$ \bar{P}_i $	$\infty$	$n p = r \mod 0$ .
$\omega_4$	$P_i$	$\bar{P}_i$	$P_0$		$\omega_4$	$\bar{P}_i$	$\infty$	$\bar{P}_i$	

Using this, one can verify for each of the  $\begin{bmatrix} 4\\2 \end{bmatrix}_2 = 35$  subgroups  $K \subset A_0 = E_0^2$  with  $K \cong (\mathbb{Z}/2\mathbb{Z})^2$  that K can be realized as the kernel of the reduced-norm-4 matrix indicated in the table below. This table can serve as an alternative to the method outlined in Section 4.2 when dealing with chains of (2,2)-isogenies (see Remark 4.3).

$\{(P_i, P_0), (P_i, \bar{P}_i), (\infty, P_i), (\infty, \infty)\}$	$\begin{pmatrix} i & i - \omega_4 \\ -i & i + \omega_4 \end{pmatrix}$	$\begin{pmatrix} i & \omega_4 \\ 1 & i + \omega_3 \end{pmatrix}$
$\{(P_i, P_0), (P_i, P_i), (\infty, \bar{P}_i), (\infty, \infty)\}$	$\begin{pmatrix} i & 1 - \omega_3 \\ -i & 1 + \omega_3 \end{pmatrix}$	$\begin{pmatrix} 1 & 1+\omega_4 \\ -i & \omega_3 \end{pmatrix}$
$\{(\bar{P}_i, P_0), (\bar{P}_i, P_i), (\infty, \bar{P}_i), (\infty, \infty)\}$	$\begin{pmatrix} 1 & 1 - \omega_3 \\ -1 & 1 + \omega_3 \end{pmatrix}$	$\begin{pmatrix} 1 & \omega_3 \\ i & 1 + \omega_4 \end{pmatrix}$
$\{(P_i, P_i), (P_0, P_i), (\bar{P}_i, \infty), (\infty, \infty)\}$	$\begin{pmatrix} 1 + \omega_3 & i \\ -1 + \omega_3 & i \end{pmatrix}$	$\begin{pmatrix} 1+\omega_4 & -1\\ \omega_3 & i \end{pmatrix}$
$\{(P_0, P_i), (\bar{P}_i, P_i), (P_i, \infty), (\infty, \infty)\}$	$\begin{pmatrix} i + \omega_4 & i \\ -i + \omega_4 & i \end{pmatrix}$	$\begin{pmatrix} \omega_4 & i \\ i + \omega_3 & 1 \end{pmatrix}$
$\{(P_0, \bar{P}_i), (\bar{P}_i, \bar{P}_i), (P_i, \infty), (\infty, \infty)\}$	$\begin{pmatrix} i + \omega_4 & 1 \\ -i + \omega_4 & 1 \end{pmatrix}$	$\begin{pmatrix} \omega_4 & -1 \\ i + \omega_3 & i \end{pmatrix}$
$\{(P_0, \bar{P}_i), (P_i, \bar{P}_i), (\bar{P}_i, \infty), (\infty, \infty)\}$	$\begin{pmatrix} 1+\omega_3 & 1\\ -1+\omega_3 & 1 \end{pmatrix}$	$\begin{pmatrix} \omega_3 & 1 \\ 1 + \omega_4 & i \end{pmatrix}$
$\{(P_i, P_i), (P_i, \bar{P}_i), (\infty, P_0), (\infty, \infty)\}$	$\begin{pmatrix} 1 & \omega_3 + \omega_4 \\ -i & 1 + i + \omega_3 - \omega_4 \end{pmatrix}$	$\begin{pmatrix} 1 & 1+i+\omega_3-\omega_4 \\ i & \omega_3+\omega_4 \end{pmatrix}$
$\{(\bar{P}_i,\bar{P}_i),(\bar{P}_i,P_i),(\infty,P_0),(\infty,\infty)\}$	$\begin{pmatrix} 1 & 1+i+\omega_3-\omega_4 \\ i & \omega_3+\omega_4 \end{pmatrix}$	$\begin{pmatrix} 1 & \omega_3 + \omega_4 \\ -i & 1 + i + \omega_3 - \omega_4 \end{pmatrix}$
$\{(P_0, P_0), (P_0, P_i), (\infty, \bar{P}_i), (\infty, \infty)\}$	$\begin{pmatrix} 1 & i - \omega_3 + \omega_4 \\ -i & i + \omega_3 + \omega_4 \end{pmatrix}$	$\begin{pmatrix} i & 1 + \omega_3 - \omega_4 \\ -1 & 1 + \omega_3 + \omega_4 \end{pmatrix}$
$\{(P_0, P_0), (P_0, \bar{P}_i), (\infty, P_i), (\infty, \infty)\}$	$\begin{pmatrix} i & 1 + \omega_3 - \omega_4 \\ -1 & 1 + \omega_3 + \omega_4 \end{pmatrix}$	$\begin{pmatrix} 1 & i - \omega_3 + \omega_4 \\ -i & i + \omega_3 + \omega_4 \end{pmatrix}$
$\{(\bar{P}_i,\bar{P}_i),(P_i,\bar{P}_i),(P_0,\infty),(\infty,\infty)\}$	$\begin{pmatrix} 1+i+\omega_3-\omega_4 & 1\\ \omega_3+\omega_4 & i \end{pmatrix}$	$\begin{pmatrix} 1+i+\omega_3-\omega_4-i\\ \omega_3+\omega_4 & 1 \end{pmatrix}$
$\{(P_i, P_i), (\bar{P}_i, P_i), (P_0, \infty), (\infty, \infty)\}$	$\begin{pmatrix} 1+i+\omega_3-\omega_4-i\\ \omega_3+\omega_4 & 1 \end{pmatrix}$	$\begin{pmatrix} 1+i+\omega_3-\omega_4 & 1\\ \omega_3+\omega_4 & i \end{pmatrix}$
$\{(P_0, P_0), (\bar{P}_i, P_0), (P_i, \infty), (\infty, \infty)\}$	$\begin{pmatrix} 1 + \omega_3 - \omega_4 & 1 \\ 1 + \omega_3 + \omega_4 & i \end{pmatrix}$	$\begin{pmatrix} i + \omega_3 - \omega_4 & -i \\ -i + \omega_3 + \omega_4 & 1 \end{pmatrix}$
$\{(P_0, P_0), (P_i, P_0), (\bar{P}_i, \infty), (\infty, \infty)\}$	$\begin{pmatrix} i + \omega_3 - \omega_4 & -i \\ -i + \omega_3 + \omega_4 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 + \omega_3 - \omega_4 & 1 \\ 1 + \omega_3 + \omega_4 & i \end{pmatrix}$
$\{(P_i, P_i), (P_i, \infty), (\infty, P_i), (\infty, \infty)\}$	$\begin{pmatrix} 1+i+\omega_3 & i-\omega_4 \\ i+\omega_4 & -1+i+\omega_3 \end{pmatrix}$	$\begin{pmatrix} i + \omega_3 & -\omega_4 \\ \omega_4 & i + \omega_3 \end{pmatrix}$
$\{(P_i, \bar{P}_i), (P_i, \infty), (\infty, \bar{P}_i), (\infty, \infty)\}$	$\begin{pmatrix} i+\omega_4 & 1+i+\omega_4 \\ 1+i+\omega_3 & 1+\omega_3 \end{pmatrix}$	$\begin{pmatrix} i + \omega_3 & \omega_3 \\ \omega_4 & 1 + \omega_4 \end{pmatrix}$
$\{(\bar{P}_i,\bar{P}_i),(\bar{P}_i,\infty),(\infty,\bar{P}_i),(\infty,\infty)\}$	$\begin{pmatrix} 1+\omega_3 & 1+i-\omega_4 \\ -1-i+\omega_4 & 1+\omega_3 \end{pmatrix}$	$\begin{pmatrix} \omega_3 & -1 - \omega_4 \\ 1 + \omega_4 & \omega_3 \end{pmatrix}$
$\{(\bar{P}_i, P_i), (\bar{P}_i, \infty), (\infty, P_i), (\infty, \infty)\}$	$\begin{pmatrix} 1+i+\omega_4 & -i-\omega_4 \\ 1-i+\omega_4 & i-\omega_4 \end{pmatrix}$	$\begin{pmatrix} 1 + \omega_4 & \omega_4 \\ \omega_3 & i + \omega_3 \end{pmatrix}$
$\{(P_i, P_0), (P_i, \infty), (\infty, P_0), (\infty, \infty)\}$	$ \begin{pmatrix} 1 + \omega_3 + \omega_4 & 1 + i + \omega_3 - \omega_4 \\ 1 + \omega_3 - \omega_4 & -\omega_3 - \omega_4 \end{pmatrix} $	$ \begin{pmatrix} i + \omega_3 + \omega_4 & \omega_3 + \omega_4 \\ i - \omega_3 + \omega_4 & 1 + i - \omega_3 + \omega_4 \end{pmatrix} $
$\{(\bar{P}_i, P_0), (\bar{P}_i, \infty), (\infty, P_0), (\infty, \infty)\}$	$ \begin{vmatrix} (i+\omega_3+\omega_4 & \omega_3+\omega_4 \\ i-\omega_3+\omega_4 & 1+i-\omega_3+\omega_4 \end{vmatrix} $	$ \begin{pmatrix} 1 + \omega_3 + \omega_4 & 1 + i + \omega_3 - \omega_4 \\ 1 + \omega_3 - \omega_4 & -\omega_3 - \omega_4 \end{pmatrix} $
$\{(P_0,\bar{P}_i),(P_0,\infty),(\infty,\bar{P}_i),(\infty,\infty)\}$	$ \begin{pmatrix} 1+i+\omega_3-\omega_4 & i+\omega_3-\omega_4 \\ \omega_3+\omega_4 & -i+\omega_3+\omega_4 \end{pmatrix} $	$\begin{pmatrix} \omega_3 + \omega_4 & 1 + \omega_3 + \omega_4 \\ 1 + i + \omega_3 - \omega_4 & 1 + \omega_3 - \omega_4 \end{pmatrix}$
$\{(P_0, P_i), (P_0, \infty), (\infty, P_i), (\infty, \infty)\}$	$ \begin{pmatrix} \omega_3 + \omega_4 & 1 + \omega_3 + \omega_4 \\ 1 + i + \omega_3 - \omega_4 & 1 + \omega_3 - \omega_4 \end{pmatrix} $	$ \begin{pmatrix} 1+i+\omega_3-\omega_4 & i+\omega_3-\omega_4 \\ \omega_3+\omega_4 & -i+\omega_3+\omega_4 \end{pmatrix} $