

# Module Action Note

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# 1 Order and Modules

## 1.1 Gorenstein Order and Modules

In this section, we assume the order  $R$  is an order in quadratic imaginary field with discriminant  $\Delta_R$ , the quadratic imaginary field is  $K = R \otimes_{\mathbb{Z}} \mathbb{Q}$ .

Let  $\mathcal{O}_K$  be the maximal order of  $K$ , and  $S$  be an order such that  $R \subseteq S \subseteq \mathcal{O}_K$ , we denote the conductor of  $R$  relative to  $S$  by  $f_{S/R} = [S : R]$ , and the conductor ideal is defined as  $\mathfrak{f}_{S/R} = f_{S/R}S$ . Moreover, we have  $\sqrt{\Delta_R} = f_{S/R}\sqrt{\Delta_S}$ ,  $R = \mathbb{Z} + f_{S/R}S$ .

If  $R$  is Gorenstein, then every torsion free  $R$ -module is reflexive(i.e.  $M^{\vee\vee} \cong M$ ).

Let  $M$  be a finitely generated torsion free  $R$ -module, we have the exact sequence:

$$0 \longrightarrow M \longrightarrow V \longrightarrow T \longrightarrow 0$$

where  $V$  is  $\text{Env}(M)$ , the vector space over  $K$ ,  $T$  is torsion  $R$ -module.

Then there exists a long exact sequence:

$$\cdots \longrightarrow \text{Tor}_2^R(K/R, T) \longrightarrow \text{Tor}_1^R(K/R, M) \longrightarrow \text{Tor}_1^R(K/R, V) \longrightarrow \text{Tor}_1^R(K/R, T) \longrightarrow \cdots$$

For  $T$  is torsion  $R$ -module, we have  $\text{Tor}_2^R(K/R, T) = 0$ . Furthermore, since  $V$  is vector space over  $K$ ,  $K$  is flat  $R$ -module, we have  $\text{Tor}_1^R(K/R, V) = 0$ . Overall, we obtain  $\text{Tor}_1^R(K/R, M) = 0$ .

From the exact sequence  $0 \rightarrow R \rightarrow K \rightarrow K/R \rightarrow 0$ , we have the long exact sequence:

$$\cdots \longrightarrow \text{Tor}_1^R(K/R, M) \longrightarrow R \otimes_R M \longrightarrow K \otimes_R M \longrightarrow \cdots$$

Since  $\text{Tor}_1^R(K/R, M) = 0$ , we have  $M \hookrightarrow K \otimes_R M$ . We define the rank of  $M$  in vector space  $V = K \otimes_R M$  by the dimension of  $V$  ( $\dim_K(V)$ ).

## 1.2 Finitely Presented Torsion-Free Modules

### 1. $R$ is Dedekind domain:

- A finitely presented  $R$ -module is torsion-free if and only if it is projective.
- Every finitely presented projective  $R$ -module is isomorphic to a finite direct sum of invertible ideals.

### 2. $R$ is an order in imaginary quadratic field:

- Let  $M$  be a finitely generated torsion free  $R$ -module of rank  $g$ . Then there is a decomposition  $M \cong I_1 \oplus I_2 \oplus \cdots \oplus I_g$ , where  $R \subseteq \mathcal{O}(I_1) \subseteq \mathcal{O}(I_2) \subseteq \cdots \subseteq \mathcal{O}(I_g) \subseteq K$ ,  $\mathcal{O}(I) = \{x \in K \mid xI \subseteq I\}$  ( $I$  is invertible in  $\mathcal{O}(I)$ ).
- Furthermore, the isomorphism class of  $M$  only depend on  $\mathcal{O}(I_i)$ , class of  $I_1 I_2 \cdots I_g$ , which is an invertible  $\mathcal{O}(I_g)$ -ideal.

### 3. $R$ is maximal order in quaternion algebra:

- A finitely presented left  $R$ -module is torsion-free if and only if it is projective.

- Every finitely presented projective left  $R$ -module is isomorphic to a finite direct sum of left ideals.
- A finitely presented projective left  $\mathcal{O}$ -module of rank at least 2 is free.

**Remark 1** It means there exists a basis  $\{x_1, \dots, x_g\}$  of  $V = M \otimes_R K$  such that  $M = I_1 x_1 \oplus I_2 x_2 \oplus \dots \oplus I_g x_g$ , where  $K = M \otimes \mathbb{Q}$  and  $I_i$  are ideals in  $R$ .

**Defintion 1 (Conductor)**  $M$  is defined as above, we define the conductor of  $M$  relative to  $R$  as  $f_{M/R} = f_{\mathcal{O}(I_g)/R}$ , which we call conductor gap.

We say  $M$  is horizontal if  $f_{M/R} = 1$ , in this case,  $M$  is projective  $R$ -module (for  $M$  is sum of invertible ideals of  $R$ , and invertible ideals are projective).

**Theorem 1**  $M_2 \subseteq M_1$  are finitely generated torsion free  $R$  module and have the same rank, then  $\#M_1/M_2 = \#M_2^\vee/M_1^\vee$ .

**Proof.** If  $R$  is dedekind domain or maximal order in quaternion algebra, then  $M_1, M_2$  are projective.

Consider the exact sequence  $0 \rightarrow R \rightarrow K \rightarrow K/R \rightarrow 0$ , we have the long exact sequence:

$$0 \longrightarrow \text{Hom}_R(M, R) \longrightarrow \text{Hom}_R(M, K) \longrightarrow \text{Hom}_R(M, K/R) \longrightarrow \text{Ext}_R^1(M, R) \longrightarrow \text{Ext}_R^1(M, K) \longrightarrow \dots$$

Since  $K$  is injective  $R$ -module, then  $\text{Ext}_R^1(M, K) = 0$ . Moreover, if  $M$  is torsion,  $\text{Hom}_R(M, K) = 0$ , so  $\text{Hom}_R(M, K/R) \cong \text{Ext}_R^1(M, R)$ .

For  $T = M_1/M_2$  is torsion  $R$ -module, from the exact sequence  $0 \rightarrow M_2 \rightarrow M_1 \rightarrow M_1/M_2 \rightarrow 0$ , we have the long exact sequence:

$$0 \longrightarrow \text{Hom}_R(M_1/M_2, R) = (M_1/M_2)^\vee \longrightarrow \text{Hom}_R(M_1, R) = M_1^\vee \longrightarrow \text{Hom}_R(M_2, R) = M_2^\vee \longrightarrow \text{Ext}_R^1(M_1/M_2, R) \longrightarrow \text{Ext}_R^1(M_1, R) \longrightarrow \dots$$

Since  $M_1$  is torsion free, then  $M_1$  is projective, we have  $\text{Ext}_R^1(M_1, R) = 0$ , and for  $\text{Hom}_R(M_1/M_2, R) = 0$ , we get that  $\text{Ext}_R^1(M_1/M_2, R) \cong \text{Hom}_R(M_2, R)/\text{Hom}_R(M_1, R)$ .

Finally, we obtain  $\text{Hom}_R(M_1/M_2, K/R) \cong \text{Ext}_R^1(M_1/M_2, R) \cong \text{Hom}_R(M_2, R)/\text{Hom}_R(M_1, R) = M_2^\vee/M_1^\vee$ .

Moreover, since  $M_1/M_2$  is isomorphic to product of  $R/I$ , for any  $R/I$ , we have:

$$\begin{aligned} \Phi : \text{Hom}_R(R/I, K/R) &\rightarrow I^{-1}/R \\ \varphi &\rightarrow \varphi(1+I) + R \end{aligned}$$

For  $I(\varphi(1+I)) \subseteq \varphi(I) \subseteq R$ , we have  $\varphi(1+I) \in I^{-1}$ , which means  $\Phi$  is well-defined.

If  $\Phi(\varphi) = 0$ , then  $\varphi(1+I) \in R$ , for any  $\bar{r} \in R/I$ ,  $\varphi(r+I) \in R$ , which means  $\varphi = 0$ . Hence,  $\Phi$  is injective.

For any  $\bar{a} \in I^{-1}$ , we define  $\varphi_a \in \text{Hom}_R(R/I, K/R)$  by  $\varphi_a(\bar{r}) = \bar{a}r$ , then  $\varphi_a$  is well-defined, and  $\Phi(\varphi_a) = \varphi_a(1+I) = \bar{a}$ . It shows  $\Phi$  is surjective.

From above, we have  $\text{Hom}(R/I, K/R) \cong I^{-1}/R$ , then  $\#\text{Hom}_R(R/I, K/R) = \#I^{-1}/R = \#R/I$ .

Hence,  $\#\text{Hom}_R(M_1/M_2, K/R) = \#M_1/M_2$ , and  $\#M_1/M_2 = \#M_2^\vee/M_1^\vee$ .

If  $R$  is an order in imaginary quadratic field, then we have any finitely generated  $R$  module isomorphic to direct sum of ideals in  $R$ . Hence we can reduce the case to ideals. For any  $R$ -ideal  $I$ , we have  $\text{Hom}_R(I, R) \cong I^{-1}$ .

$$\begin{aligned} \varphi : I^{-1} &\rightarrow \text{Hom}_R(I, R) \\ a &\rightarrow \varphi_a \quad \varphi_a : b \rightarrow ab \end{aligned}$$

If  $\varphi_a = 0$ , it implies  $ab = 0$  for any  $b \in I$ , then  $a = 0$ ,  $\varphi$  is injective; for any  $f \in \text{Hom}_R(I, R)$ , we choose  $a = b^{-1}f(b)$  which is independent of choice of  $b$  ( $b^{-1}f(b) = c^{-1}f(c)$ ). Therefore,  $a$  is the preimage of  $f$ , and  $\varphi$  is surjective.

Now we consider two  $R$ -ideals  $I_1 \subseteq I_2$ , we have  $I_1^\vee/I_2^\vee \cong I_1^{-1}/I_2^{-1}$ . Hence,  $\#I_2/I_1 = \#(R/I_1)/(R/I_2) = \#(I_1^{-1}/R)/(I_2^{-1}/R) = \#I_1^{-1}/I_2^{-1} = \#I_1^\vee/I_2^\vee$ .  $\square$

## 2 Module Isogenies

### 2.1 Module Isogenies

**Defintion 2 (Module Isogenies)** Let  $\varphi : M_2 \rightarrow M_1$  be a morphism between finitely generated torsion free  $R$ -module, the following are equivalent:

- $\varphi$  is a monomorphism with finite cokernel  $M_1/\varphi(M_2)$
- $\varphi$  is a monomorphism and  $M_1, M_2$  have the same rank
- $\varphi$  has finite cokernel and  $M_1, M_2$  have the same rank

If these conditions satisfied, we call  $\varphi$  by module isogeny with degree  $\#M_1/\varphi(M_2)$ .

We will define the Hermitian modules, where there are some  $R$ -antilinear forms.

For any bilinear map of  $M$  corresponds to a morphism between  $M$  and  $M^\vee$ , we give the corresponding (it should be noted that in this section the bilinear map is  $R$ -linear on the left side,  $R$ -antilinear on the right side):

let  $H : M \times M \rightarrow R$  be a bilinear map, we can define  $\varphi_H : M \rightarrow M^\vee = \text{Hom}_{\bar{R}}(M, R)$ , which sends  $x$  to the morphism  $H(x, \cdot)$ .

On the contrary, if there is a morphism  $\varphi$  from  $M$  to  $M^\vee$ , we can define a bilinear map as following:

$$\begin{aligned} H : M \times M &\rightarrow R \\ (x, y) &\rightarrow \varphi(x)(y) \end{aligned}$$

Moreover, if  $H(x, y) = \overline{H(y, x)}$ , we call  $H$  by Hermitian form.

**Remark 2** We can also define an Hermitian form of  $V = M \otimes_R K$  from  $H$ , which denoted by  $H_K$ . The Hermitian form  $H_K : V \times V \rightarrow K$ .

**Defintion 3** • **(Non-degenerate and positive-definite)** We say an Hermitian form is non-degenerate if  $\varphi_H$  is monomorphism (i.e. isogeny). If  $H(x, x) > 0$  for any  $0 \neq x \in M$ , we say  $H$  is positive-definite. ( $\varphi_H$  is monomorphism iff for any  $x \in M$ ,  $H(x, y) = 0$  induced  $y = 0$ , the non-degenerate of Hermitian form)

- **(Unimodular)** An unimodular positive definite Hermitian module  $(M, H)$  is a module  $M$  with a Hermitian form  $H$  which is positive definite and such that  $\varphi_H : M \rightarrow M^\vee$  is isomorphism.
- **(Orthogonal)** Given an Hermitian form  $H_K : V \times V \rightarrow K$ , we define  $R$ -orthogonal of  $R$ -lattice  $M \subseteq V$  as  $M^\perp = \{x \in V \mid H_K(x, y) \in R \ \forall y \in M\}$ .
- The Hermitian form  $H_K$  called non-degenerate (positive-definite) if  $H$  is non-degenerate (positive-definite).
- If  $H$  is non-degenerate, there is a isomorphism:

$$\begin{aligned} M^\perp &\rightarrow M^\vee \\ x &\rightarrow H_K(x, \cdot) \end{aligned}$$

Since  $M \cong M^{\vee\vee}$ , we have  $M^{\perp\perp} \cong M$ .

- **(Integral)** We say that a non-degenerate Hermitian form  $H_K : V \times V \rightarrow K$  is integral on  $R$ -lattice  $M \subseteq V$  if  $M \subseteq M^\perp$ . (i.e.  $H_K$  can be defined on  $M$ , that is  $H_M : M \times M \rightarrow R$ ) Hence  $\varphi_{H_M} : M \rightarrow M^\vee$  is  $M \hookrightarrow M^\perp \cong M^\vee$ . It means  $(M, H_M)$  is unimodular iff  $M^\perp = M$ .

**Theorem 2** Now we prove the isomorphism between  $M^\perp$  and  $M^\vee$ .

**Proof.**

$$\begin{aligned} \Phi : M^\perp &\rightarrow M^\vee \\ x &\rightarrow H_K(x, \cdot) \end{aligned}$$

If there exist  $x, y \in M^\perp$  such that  $H_K(x, \cdot) = H_K(y, \cdot)$ , then  $H_K(x - y, M) = 0$ . Since  $x - y \in V$ , there exists  $c \in R$  such  $c(x - y) \in M$ , then we have  $H_K(c(x - y), M) = H(c(x - y), M) = 0$ . From the monomorphism of  $M \rightarrow M^\vee$ , we have  $c(x - y) = 0$ , which means  $x = y$ . We obtain  $\Phi$  is injective.

For any  $f \in M^\vee = \text{Hom}(M, R)$ , since  $M^\vee / \varphi_H(M)$  is finite, there exists  $b \in \mathbb{Z}$  such that  $bf \in \varphi_H(M)$ . It means  $bf = H(x, \cdot)$  for some  $x \in M$ . Therefore,  $f = H_K(\frac{1}{b} \otimes x, \cdot)$ , the pre-image of  $f$  is  $\frac{1}{b} \otimes x$ .

It should be noted that for any  $y \in M$ ,  $f(y) \in R$ , then  $H_K(\frac{1}{b} \otimes x, y) = \frac{1}{b}H(x, y) = f(y) \in R$ , which means  $\frac{1}{b} \otimes x \in M^\perp$ . Hence,  $\Phi$  is surjective.  $\square$

Let  $\psi$  be a vector space isomorphism between two non-degenerate Hermitian vector space:  $(V_1, H_1), (V_2, H_2)$ ,  $M_1, M_2$  be any lattice of  $V_1, V_2$  and  $\psi(M_1) \subseteq M_2$ , we have  $\psi$  induces an isogeny of module  $\psi : M_1 \rightarrow M_2$ .

Let  $\psi'$  be the adjoint pair of  $\psi$ , i.e.  $H_2(x, \psi(y)) = H_1(\psi'(x), y)$ , for any  $x \in M_2, y \in M_1$ , it is easily to see  $\psi'(M_2^\perp) \subseteq M_1^\perp$ . It should be noted that  $\psi' : M_2^\perp \rightarrow M_1^\perp$  coincides with the dual isogeny  $\hat{\psi}$  from  $M_2^\vee$  to  $M_1^\vee$ . Hence, we call the isogeny  $\psi'$  by adjoint isogeny.

Moreover, if  $M_1, M_2$  are unimodular, which means  $M_i = M_i^\perp$ , hence the adjoint isogeny  $\psi'$  equals to  $\hat{\psi}$ .

**Proposition 1** If  $M$  is an integral sublattice of non-degenerate Hermitian space  $(V, H_K)$ ,  $M = \sum_{i=1}^g I_i x_i$ ,  $x_i^\perp$  is the dual basis of  $(x_1, \dots, x_g)$ , then we have  $M^\perp = \sum_{i=1}^g \overline{(R : I_i)} x_i^\perp$ , where  $(R : I) = \frac{\bar{I}}{\text{Nrd}(I)}$ . Moreover, we have  $M^{\perp\perp} = M$ .

**Proof.** It is easily to see that  $\sum_{i=1}^g \overline{(R : I_i)} x_i^\perp \subseteq M^\perp$ .

We only prove the coefficients of  $x_1^\perp$  are in  $\overline{(R : I_1)}$ . Let  $a_1$  be the coefficients of  $x_1^\perp$  in  $M^\perp$ , then for any  $b_i \in I_i$ , we have  $H(a_1 x_1^\perp, \sum_{i=1}^g b_i x_i) \in R$ , which mean  $H(a_1 x_1^\perp, b_1 x_1) \in R$ , hence  $a_1 \bar{b}_1 \in R$ . Since for all  $b_1 \in I_1$  we have  $a_1 \bar{b}_1 \in R$ , we get  $a_1 \in \frac{I_1}{\text{Nrd}(I_1)} = \overline{(R : I_1)}$ .

From above proof, we have  $M^\perp = \sum_{i=1}^g \overline{(R : I_i)} x_i^\perp$ .

Since  $x_i^{\perp\perp} = x_i$ , the coefficients of  $x_i$  in  $M^{\perp\perp}$  are in  $(I^{-1})^{-1} = I$ , where  $I^{-1} = (R : I) = \frac{\bar{I}}{\text{Nrd}(I)}$ . Hence, we have  $M^{\perp\perp} = M$ .  $\square$

It should be noted that Hermitian modules have orthogonal decomposition:  $(M_i, H_{M_i})$ , where  $(M, H_M) = (M_1, H_{M_1}) \oplus (M_2, H_{M_2}) \oplus \dots$ , and  $(M_i, H_{M_i})$  are uniquely determined. Moreover, for any module, there is no such property. For instants,  $I, J$  are two non-equivalent invertible ideals of  $R$ , we have two decompositions:  $I \oplus I^{-1}, J \oplus J^{-1}$ .

**Proposition 2** Let  $(V, H_K)$  be a non degenerate hermitian vector space.

If  $M_2 \hookrightarrow M_1$  is a sublattice isogeny, then  $M_1^\perp \hookrightarrow M_2^\perp$  is the dual isogeny. The Weil-Cartier pairing  $H \bmod R : M_1/M_2 \times M_2^\perp/M_1^\perp \rightarrow K/R$  is non-degenerate. In particular,  $\#M_1/M_2 = \#M_2^\perp/M_1^\perp$ .

Moreover, given a lattice  $M \subseteq V$ , the Weil pairing  $H_K \bmod n : M/nM \times M^\perp/nM^\perp \rightarrow R/nR$  is non-degenerate.

**Proof.** Firstly, we will prove the pairing is well-defined. For any  $m_2 \in M_2, m'_2 \in M_2^\perp$ , we have  $H(m_2, m'_2) \in R$ , which implies  $H \equiv 0 \pmod{R}$ . Similarly to any  $m'_1 \in M_1^\perp, m_1 \in M_1$ . Hence,  $H$  is well-defined.

The reason for  $H_K$  is well-defined same as  $H$ .

Secondly, we will prove the non-degenerate of  $H$ . If there exists  $m'_2 \in M_2^\perp$  such that for any  $m_1 \in M_1$ ,  $H(m_1, m'_2) \in R$ , then  $m'_2 \in M_1^\perp$ .

If there exists  $m_1 \in M_1$  such that for any  $m'_2 \in M_2^\perp$ ,  $H(m_1, m'_2) \in R$ , since  $M_1 = M_1^{\perp\perp}$ , then we have  $m_1 \in M_1$ .

From above, we prove the pairing  $H$  is non-degenerate. Similarly to  $H_K$ , special case of multiplication isogeny  $[n]$ .  $\square$

From now on, we will just say unimodular instead of positive definite unimodular Hermitian.

**Proposition 3** Let  $M_1, M_2$  be two finitely presented torsion free  $R$ -modules whose relative conductor gap are coprime:  $\gcd(f_{M_1/R}, f_{M_2/R}) = 1$ . Then  $M_1 \otimes_R M_2$  and  $\text{Hom}_R(M_1, M_2)$  are torsion free, and  $\text{Tor}_1^R(M_1, M_2) = 0$ .

**Proof.** Since for any multiplicative subset  $S$  of  $R$ , we have  $S^{-1}(\text{Tor}_1^R(M_1, M_2)) \cong \text{Tor}_1^{S^{-1}R}(S^{-1}M_1, S^{-1}M_2)$ . We choose  $S = R \setminus \mathfrak{p}$ , where  $\mathfrak{p}$  is the prime ideal of  $R$ , then we consider the localization of  $R$  at  $\mathfrak{p}$ .

Since  $\gcd(f_{M_1/R}, f_{M_2/R}) = 1$ , we have  $\mathfrak{p}$  is not in the decomposition of  $M_1$  or  $M_2$ , which means  $M_{1,\mathfrak{p}}$  or  $M_{2,\mathfrak{p}}$  is direct sums of  $R_{\mathfrak{p}}$ , hence free  $R_{\mathfrak{p}}$ -module (when  $\mathfrak{p}$  is coprime to  $I$ ,  $I_{\mathfrak{p}} = R_{\mathfrak{p}}$ ).

Assume  $M_{1,\mathfrak{p}}$  is free  $R_{\mathfrak{p}}$ -module, we have  $M_{1,\mathfrak{p}} \otimes_{R_{\mathfrak{p}}} M_{2,\mathfrak{p}} \cong M_{2,\mathfrak{p}}^n$ ,  $\text{Hom}_{R_{\mathfrak{p}}}(M_{1,\mathfrak{p}}, M_{2,\mathfrak{p}}) \cong M_{2,\mathfrak{p}}^n$  are torsion free  $R_{\mathfrak{p}}$ -modules,  $\text{Tor}_1^{R_{\mathfrak{p}}}(M_{1,\mathfrak{p}}, M_{2,\mathfrak{p}}) = 0$ .

Therefore,  $(M_1 \otimes_R M_2)_{\mathfrak{p}}, (\text{Hom}_R(M_1, M_2))_{\mathfrak{p}}$  are torsion free and  $\text{Tor}_1^R(M_1, M_2)_{\mathfrak{p}} = 0$  for any prime ideal  $\mathfrak{p}$ .

For commutative algebra, we have  $M_1 \otimes_R M_2, \text{Hom}_R(M_1, M_2)$  are torsion free and  $\text{Tor}_1^R(M_1, M_2) = 0$ .  $\square$

**Example 1** If  $(M_1, H_1), (M_2, H_2)$  are unimodular Hermitian modules, we have:

$(M_1 \oplus M_2, H_1 \oplus H_2)$  is also a unimodular Hermitian module. If  $\gcd(f_{M_1/R}, f_{M_2/R}) = 1$ , then  $(M_1 \otimes_R M_2, H_1 \otimes_R H_2)$  is also a unimodular Hermitian module.

2. If we define  $H_K(x, y) = x\bar{y}$  and  $I \subseteq K$  is a fractional  $R$ -ideal, then  $(I^\perp, H/\text{Nrd}(I))$  is a unimodular Hermitian module, where  $I^\perp = \frac{I}{\text{Nrd}(I)} = \overline{(R : I)}$ .

**Proof.** We only prove the unimodular property.

Since  $(M_1 \oplus M_2)^\vee \cong M_1^\vee \oplus M_2^\vee$ ,  $(M_1 \otimes_R M_2)^\vee \cong M_1^\vee \otimes_R M_2^\vee$ , and  $M_i \cong M_i^\vee$ , then we have  $M_1 \oplus M_2 \cong (M_1 \oplus M_2)^\vee$ ,  $M_1 \otimes_R M_2 \cong (M_1 \otimes_R M_2)^\vee$ .

Since  $I^\perp = I/\text{Nrd}(I)$ , and  $I \cong I^\vee$ , then we have  $I^\perp \cong (I^\perp)^\vee$ .  $\square$

## 2.2 Polarized and Isogenies

Given a finitely presented torsion free  $R$ -module  $M$ , we say an Hermitian form  $H$  on  $V = M \otimes_R K$  is a polarization if  $M^\perp \subseteq M$  (or  $H_K$  is integral on  $M^\perp$ ). In this case, we say  $(M, H)$  is a polarized module. The degree of this polarization is defined to be  $\#M/M^\perp$ . (This means  $H$  is a non-degenerate Hermitian form over  $M^\perp$ .)

Moreover, a polarized isogeny  $\varphi : (M_2, H_2) \rightarrow (M_1, H_1)$  between two polarized modules is an isogeny  $M_2 \hookrightarrow M_1$  such that  $\varphi^* H_1 = H_2$ , where  $\varphi^* H_1(x, y) = H_1(\varphi(x), \varphi(y))$ . It should be noted that, in this case,  $\varphi^\perp = \varphi^{-1}$ .

Generally, an  $n$ -isogeny  $\varphi : (M_2, H_2) \rightarrow (M_1, H_1)$  between two polarized Hermitian modules is a polarized isogeny  $\varphi : (M_2, nH_2) \rightarrow (M_1, H_1)$  i.e.  $\varphi^* H_1 = nH_2$ .

Let  $H : M \times M \rightarrow R$  be a non-degenerate form, if  $M_1$  is a submodule of  $M$  such that  $H|_{M_1 \times M_1} = 0$ , we call  $M_1$  isotropic submodule of  $M$ .

**Proposition 4 (Isotropic Kernels)** Let  $H$  be a positive definite Hermitian form on  $V$  of dimension  $g$ , and  $M_1$  a polarized lattice of  $V$ .

- Any polarised submodule  $M_2 \subseteq M_1$  gives a polarised isogeny  $(M_2, H) \rightarrow (M_1, H)$ , with dual isogeny  $(M_1^\perp, H) \rightarrow (M_2^\perp, H)$ , both induced by the natural inclusions  $M_1^\perp \subseteq M_2^\perp \subseteq M_2 \subseteq M_1$ . We call  $\psi : M_2 \hookrightarrow M_1$  a polarized isogeny for  $H$ , and define its degree as  $\#M_1/M_2$ . This is also the degree of the adjoint isogeny.
- There is a bijection between polarized isogenies for  $H$  and isotropic submodules for  $H : M_1/M_1^\perp \times M_1/M_1^\perp \rightarrow K/R$ , which maps  $(M_2, H) \rightarrow (M_1, H)$  such that  $M_1^\perp \subseteq M_2^\perp \subseteq M_2$  to  $M_2^\perp/M_1^\perp$ . (for any isotropic submodule  $M/M_1^\perp$  of  $M_1/M_1^\perp$ , we have  $M = (M^\perp)^\perp$ , and  $M_1^\perp \subseteq M \subseteq M_1$ . Since  $H|_{M \times M}$  is trivial, we have  $M \subseteq M^\perp$ , and then  $M_1^\perp \subseteq M \subseteq M^\perp \subseteq M_1$ , which means  $(M^\perp, H) \rightarrow (M_1, H)$  is a polarized isogeny.)

From the following diagram, we have  $d_1 = d_2 d^2$ , where  $d_i$  is the degree of  $M_i \rightarrow M_i^\perp$ ,  $d$  is the degree of  $M_2 \rightarrow M_1$ .

$$\begin{array}{ccc}
M_1^\perp & \xrightarrow{d_1} & M_1 \\
\downarrow d & & \uparrow d \\
M_2^\perp & \xrightarrow{d_2} & M_2
\end{array}$$

- If  $(M_1, H)$  is unimodular, then the  $R$ -orthogonal of  $M_1$  for  $\frac{1}{n}H$  is  $nM_1^\perp = nM_1$ . From above correspondence, the isotropic submodule  $M_2$  of  $M_1$  satisfied  $nM_1 = nM_1^\perp \subseteq nM_2^\perp \subseteq M_2 \subseteq M_1$  corresponds to isotropic submodules for Weil pairing  $M_1/nM_1 \times M_1/nM_1 \rightarrow \frac{1}{n}R/R$ .

Moreover, if  $(M_2, \frac{1}{n}H)$  is unimodular ( $M_2 = nM_2^\perp$ ), and there exists an isotropic submodule  $M$  such that  $nM^\perp$  contains  $nM_2^\perp$ , then  $nM_1 \subseteq nM_2^\perp = M_2 \subseteq nM^\perp \subseteq M \subseteq M_1$ . Since  $\#M_1/M_2 = \#nM_2^\perp/nM_1$ , we have if  $M \neq M_2$ ,  $\#M_1/M < \#nM^\perp/nM_1$ , which means  $nM^\perp$  is not an isotropic submodule. Therefore,  $nM_2^\perp$  is maximal isotropic submodule.

On the contrary, if  $nM_2^\perp$  is a maximal isotropic submodule, we have  $nM_1 \subseteq nM_2^\perp \subseteq M_2 \subseteq M_1$ . Moreover, if  $(M_2, \frac{1}{n}H)$  is not unimodular, then  $nM_2^\perp \subsetneq M_2$ . There exists a submodule  $M'$  (polarized) such that  $nM_2^\perp \subseteq n(M')^\perp \subseteq M' \subseteq M_2$ , which is a contraction. Hence, we have  $(M_2, \frac{1}{n}H)$  is unimodular.

Since  $M_1 \cong \bigoplus_{i=1}^g I_i x_i$ , we have  $\#M_1/nM_1 = n^{2g}$ . For  $\#M_1/M_2 = \#nM_2^\perp/nM_1$ , we have  $\#M_1/M_2 = n^g$ .

### Example 2 (Kani's Construction for Hermitian Modules)

$$\begin{array}{ccc}
M_0 & \xrightarrow{\psi_1} & M_1 \\
\downarrow \psi_2 & & \downarrow \psi'_2 \\
M_2 & \xrightarrow{\psi'_1} & M_{12}
\end{array}$$

In the above commutative diagram,  $\psi_1 : (M_0, H_0) \rightarrow (M_1, H_1)$ ,  $\psi'_1 : (M_2, H_2) \rightarrow (M_{12}, H_{12})$  are  $n_1$ -isogeny and  $\psi_2 : (M_0, H_0) \rightarrow (M_2, H_2)$ ,  $\psi'_2 : (M_1, H_1) \rightarrow (M_{12}, H_{12})$  are  $n_2$ -isogeny. Then  $\Psi = \begin{pmatrix} \psi_1 & \hat{\psi}'_1 \\ -\psi_2 & \hat{\psi}'_2 \end{pmatrix} : (M_0 \oplus M_{12}, H_0 \oplus H_{12}) \rightarrow (M_1 \oplus M_2, H_1 \oplus H_2)$  is  $n_1 + n_2$ -isogeny.

Similarly, if  $(M, H_M)$  is unimodular, there is always a  $n$ -isogeny on  $(M^4, H_M^4)$  (resp. on  $(M^2, H_M^2)$ ) if  $n = x\bar{x} + y\bar{y}$ ,  $x, y \in R$ ; resp. on  $(M, H_M)$  if  $n = x\bar{x}$ ,  $x \in R$ .

$$\begin{array}{c}
x : (M, H_M) \rightarrow (M, H_M) \\
m \rightarrow xm
\end{array}$$

Hence  $x^*H_M(m, m') = H_M(xm, xm') = \text{Nrd}(x)H_M(m, m')$ , and  $x^*H_M = nH_M$  which means  $x$  is  $n$ -isogeny on  $(M, H_M)$ . Similarly to the case  $(M^2, H_M^2)$ ,  $(M^4, H_M^4)$ .

## 3 Symmetric Monoidal Category

**Definition 4 (Closed Symmetric Monoidal Category)** A closed symmetric monoidal category  $\mathfrak{C}$  is a symmetric monoidal category that for any object  $Y \in \mathfrak{C}$ , the functor  $Y \otimes -$  is the tensor product of  $Y$ ; the right adjoint functor  $[Y, -]_{\mathfrak{C}}$  called an internal Hom out of  $Y$ .

From the right adjoint, we have  $\text{Hom}_{\mathfrak{C}}(c, [c_1, c_2]_{\mathfrak{C}}) = \text{Hom}_{\mathfrak{C}}(c \otimes c_1, c_2)$ .

Let  $(\mathfrak{C}, \otimes, 1)$  be a symmetric monoidal category,  $\mathfrak{D}$  is another category, a symmetric monoidal action is a categorification of the notion of action, i.e. it is a functor  $\cdot : \mathfrak{C} \times \mathfrak{D} \rightarrow \mathfrak{D}$ , which respect the “obvious coherence conditions”. Equivalently, an action is given by a monoidal functor from  $\mathfrak{C} \rightarrow \text{End}(\mathfrak{D})$ .

Assume now that  $\mathfrak{C}$  is closed symmetric monoidal, and let  $\mathfrak{D}$  be a category enriched in  $\mathfrak{C}$ , which means that we see the hom objects  $\text{Hom}_{\mathfrak{D}}(d_1, d_2)$  as living in  $\mathfrak{C}$  rather than in  $\text{Set}$ .

**Defintion 5** Given  $c \in \mathfrak{C}$ ,  $d \in \mathfrak{D}$ , the power object  $[c, d]_{\mathfrak{C}} \in \mathfrak{D}$  is the unique object such that

$$\mathrm{Hom}_{\mathfrak{D}}(d', [c, d]_{\mathfrak{C}}) = \mathrm{Hom}_{\mathfrak{C}}(c, \mathrm{Hom}_{\mathfrak{D}}(d', d))$$

for all  $d' \in \mathfrak{D}$ .

The copower object  $c \otimes_{\mathfrak{C}} d$  is the unique object such that

$$\mathrm{Hom}_{\mathfrak{D}}(c \otimes_{\mathfrak{C}} d, d') = \mathrm{Hom}_{\mathfrak{C}}(c, \mathrm{Hom}_{\mathfrak{D}}(d', d))$$

for all  $d' \in \mathfrak{D}$ .

In other words,  $[c, d]_{\mathfrak{C}}$  is defined as a presheaf on  $\mathfrak{D}$ , that is  $[c, d]_{\mathfrak{C}} : X \in \mathfrak{D} \rightarrow \mathrm{Hom}_{\mathfrak{D}}(X, [c, d]_{\mathfrak{C}}) = \mathrm{Hom}_{\mathfrak{C}}(c, \mathrm{Hom}_{\mathfrak{D}}(X, d))$ . It is easily to see that  $[c, d]_{\mathfrak{C}}$  exists if this presheaf is representable.

It should be noted that  $c \cdot d = [c, d]_{\mathfrak{C}}$  gives a contravariant symmetric monoidal action;  $c \cdot d = c \otimes_{\mathfrak{C}} d$  gives a covariant symmetric monoidal action.

## 4 Module Action

### 4.1 Power Objects in an Abelian Category (Definition of Module Action)

Let  $\mathcal{A}$  be an abelian category,  $A$  is an object of  $\mathcal{A}$ , we have an orientation by ring  $R$  (i.e. there is a morphism  $R \rightarrow \mathrm{End}_{\mathcal{A}}(A)$ ).

**Theorem 3 (Existence of Power Object)** If  $A \in \mathcal{A}$  is  $R$ -oriented,  $M$  is a finitely presented  $R$ -module, the power object  $[M, A]_R$  exists in  $\mathcal{A}$  ( $\mathrm{Hom}_{\mathcal{A}}(X, [M, A]_R) = \mathrm{Hom}_R(M, \mathrm{Hom}_{\mathcal{A}}(X, A))$ ). Moreover, the contravariant functor  $[-, A]_R$  is functorial and left exact.

**Proof.** Since  $M$  is finitely presented, there exists an exact sequence  $R^m \rightarrow R^n \rightarrow M \rightarrow 0$ , where  $R^m \rightarrow R^n$  can be written as right-multiplication by some matrix  $X \in M_{m,n}(R)$ .

For  $i : R \rightarrow \mathrm{End}(A)$ , we have the left-multiplication by matrix  $X$  from  $A^n \rightarrow A^m$ . Let  $B$  be the kernel of  $A^n \rightarrow A^m$ , we have an exact sequence  $0 \rightarrow B \rightarrow A^n \rightarrow A^m$ .

For any  $X \in \mathcal{A}$ , we have  $0 \rightarrow \mathrm{Hom}(X, B) \rightarrow \mathrm{Hom}(X, A^n) \cong \mathrm{Hom}(X, A)^n \rightarrow \mathrm{Hom}(X, A^m) \cong \mathrm{Hom}(X, A)^m$  is also an exact sequence. On the other hand, acting  $\mathrm{Hom}_R(-, \mathrm{Hom}(X, A))$  to  $R^m \rightarrow R^n \rightarrow M \rightarrow 0$ , we have

$$0 \rightarrow \mathrm{Hom}_R(M, \mathrm{Hom}(X, A)) \rightarrow \mathrm{Hom}(X, A)^n \rightarrow \mathrm{Hom}(X, A)^m$$

Hence, we obtain  $\mathrm{Hom}(X, B) \cong \mathrm{Hom}_R(M, \mathrm{Hom}(X, A))$ . In this case, we choose  $B$  as  $[M, A]_R$ .

If  $0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3$  is an exact sequence of finitely presented left  $R$ -modules, then for each  $X \in \mathcal{A}$ ,

$$0 \longrightarrow \mathrm{Hom}_R(M_1, \mathrm{Hom}(X, A)) \longrightarrow \mathrm{Hom}_R(M_2, \mathrm{Hom}(X, A)) \longrightarrow \mathrm{Hom}_R(M_3, \mathrm{Hom}(X, A))$$

is exact. This implies that the sequence of representing objects

$$0 \longrightarrow [M_1, A] \longrightarrow [M_2, A] \longrightarrow [M_3, A]$$

is exact. That is, the functor  $[-, A]$  is left exact. □

**Remark 3** There are some properties about power object we defined above.

- $[R, A]_R$  and  $A$  represent the presheaf  $\mathrm{Hom}_{\mathcal{A}}(-, A)$  on  $\mathcal{A}$  because the presheaf from  $A$  is

$$f_A : X \in \mathcal{A} \rightarrow \mathrm{Hom}_{\mathcal{A}}(X, A)$$

the presheaf from  $[R, A]_R$  is

$$f_{[R, A]_R} : X \in \mathcal{A} \rightarrow \mathrm{Hom}_{\mathcal{A}}(X, [R, A]_R) = \mathrm{Hom}_R(R, \mathrm{Hom}_{\mathcal{A}}(X, A)) = \mathrm{Hom}_{\mathcal{A}}(X, A)$$

We have  $[R, A]_R = A$ .



- It is clear from the functorial definition,  $[-, A]_R$  commutes with direct sums. For  $M$  is finitely presented, we have the exact sequence  $R^m \rightarrow R^n \rightarrow M \rightarrow 0$ , by acting  $[-, A]_R$ , we obtain the exact sequence  $0 \rightarrow [M, A]_R \rightarrow A^n \rightarrow A^m$ .

The map  $\psi : R^m \rightarrow R^n$  can be represented by right multiplication by a matrix  $N \in M_{m \times n}(R)$ , and the same matrix acts by left multiplication to  $\varphi = [\psi, A]_R : A^n \rightarrow A^m$ , which means  $[M, A]_R = \ker(\varphi)$  is the kernel of action of  $N$ .

We want to define a symmetric monoidal action, which means  $[M, A]_R$  is  $R$ -oriented. We will denote these oriented morphisms by  $\text{Hom}_{\mathcal{A}_R}(A, B)$  or even  $\text{Hom}_R(A, B)$ , dropping the orientations  $i_A, i_B$  from the notation by simplicity.

**Theorem 4 (Existence of Power Object with  $R$ -orientation)** Let  $R$  be a commutative ring, and  $\mathcal{A}_R$  be the  $R$ -oriented category of  $\mathcal{A}$ : objects are given by  $(A, i_A)$  with  $A \in \mathcal{A}_R$  and  $i_A : R \rightarrow \text{End}_{\mathcal{A}}(A)$  is  $R$ -orientation, and morphisms  $(A, i_A) \rightarrow (B, i_B)$  are morphisms  $A \rightarrow B$  in  $\mathcal{A}$  respecting the orientations on  $A$  and  $B$ . For any  $f : (A, i_A) \rightarrow (B, i_B)$ ,  $r \in R$ , we have the following commutative diagram:

$$\begin{array}{ccc} A & \xrightarrow{i_A(r)} & A \\ \downarrow f & & \downarrow f \\ B & \xrightarrow{i_B(r)} & B \end{array}$$

Given such an oriented morphism, its kernel and cokernel have a canonical orientation. So  $\mathcal{A}_R$  is an abelian category, naturally enriched in  $R$ -modules ( $\text{Hom}_{\mathcal{A}_R}$  is  $R$ -module).

Given a finitely presented  $R$ -module  $M$ , and  $(A, i_A) \in \mathcal{A}_R$ , the power object  $[M, A]_R$  from the above Theorem has a natural  $R$ -orientation so lives in  $\mathcal{A}_R$ , and it gives the power object in this enriched category:  $\text{Hom}_{\mathcal{A}_R}(X, [M, A]_R) = \text{Hom}_R(M, \text{Hom}_{\mathcal{A}_R}(X, A))$  for all  $X \in \mathcal{A}_R$ .

The functor  $[-, -]_R : R\text{-modules} \times \mathcal{A}_R \rightarrow \mathcal{A}_R$  is right exact on the left and left exact on the right, and it commutes with direct sums.

**Proof.** From above we have  $[M, A]_R$  is kernel of  $\varphi : A^n \rightarrow A^m$ , for  $R$  is commutative, then  $R$  is commutative with matrix  $N$ , which means  $\varphi$  is  $R$ -orientation and the kernel  $[M, A]_R$  is also  $R$ -orientation.

For any  $X \in \mathcal{A}_R$ ,  $\text{Hom}_{\mathcal{A}_R}(X, A)$  is  $R$ -module, we have:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Hom}_{\mathcal{A}_R}(X, [M, A]_R) & \longrightarrow & \text{Hom}_{\mathcal{A}_R}(X, A)^n & \longrightarrow & \text{Hom}_{\mathcal{A}_R}(X, A)^m \\ & & \downarrow & & \downarrow = & & \downarrow = \\ 0 & \longrightarrow & \text{Hom}_R(M, \text{Hom}_{\mathcal{A}_R}(X, A)) & \longrightarrow & \text{Hom}_{\mathcal{A}_R}(X, A)^n & \longrightarrow & \text{Hom}_{\mathcal{A}_R}(X, A)^m \end{array}$$

The first row is acting  $\text{Hom}_{\mathcal{A}_R}(X, -)$  on  $[M, A]_R \rightarrow A^n \rightarrow A^m$ ; the second row is acting  $\text{Hom}_R(-, \text{Hom}_{\mathcal{A}_R}(X, A))$  on  $R^m \rightarrow R^n \rightarrow M$ .

Then,  $\text{Hom}_{\mathcal{A}_R}(X, [M, A]_R) = \text{Hom}_R(M, \text{Hom}_{\mathcal{A}_R}(X, A))$ .

The exactness properties follow from the functorial definition and the exactness property of the  $\text{Hom}$  functor in  $R$ -modules and abelian category.  $\square$

From above, we have the symmetric monoidal contravariant action from finitely presented  $R$ -module to  $R$ -oriented objects in  $\mathcal{A}$ , which we denote by  $M \cdot A = [M, A]_R$ .

It is easily to see that  $M \cdot N \cdot A = (M \otimes_R N) \cdot A = (N \otimes_R M) \cdot A = N \cdot M \cdot A$ .

**Proposition 5 (Base Change)**  $R \subseteq S \subseteq \text{End}_{\mathcal{A}}(A)$ , where  $S$  is a right  $R$ -module, for any finitely represented  $R$ -module  $M$ , we have  $[M, A]_R = [S \otimes_R M, A]_S$ .

**Proof.** Since  $\text{Hom}_{\mathcal{A}}(X, [M, A]_R) = \text{Hom}_R(M, \text{Hom}_{\mathcal{A}}(X, A))$ , and  $\text{Hom}_R(M, \text{Hom}_{\mathcal{A}}(X, A)) = \text{Hom}_S(S \otimes_R M, \text{Hom}_{\mathcal{A}}(X, A))$ , we have  $\text{Hom}_{\mathcal{A}}(X, [M, A]_R) = \text{Hom}_S(S \otimes_R M, \text{Hom}_{\mathcal{A}}(X, A))$ .

Futhermore, for adjoint pair of  $S$ , we have  $\text{Hom}_S(S \otimes_R M, \text{Hom}_{\mathcal{A}}(X, A)) = \text{Hom}_{\mathcal{A}}(X, [S \otimes_R M, A]_S)$ .

It means the presheaf  $X \rightarrow \text{Hom}_{\mathcal{A}}(X, [M, A]_R)$  can be represented by  $[M, A]_R$  and  $[S \otimes_R M, A]_S$ . Hence,  $[M, A]_R = [S \otimes_R M, A]_S$ .  $\square$

**Proposition 6** 1. Let  $\psi : M_2 \rightarrow M_1$  be a morphism of finitely presented  $R$ -module, and take presentations:  $R^{m_2} \rightarrow R^{n_2} \rightarrow M_2 \rightarrow 0$ ,  $R^{m_1} \rightarrow R^{n_1} \rightarrow M_1 \rightarrow 0$ . Since  $R$  is projective  $R$ -module, we have the following commutative diagram:

$$\begin{array}{ccccccc} R^{m_1} & \longrightarrow & R^{n_1} & \longrightarrow & M_1 & \longrightarrow & 0 \\ \uparrow & & \uparrow & & \uparrow & & \\ R^{m_2} & \longrightarrow & R^{n_2} & \longrightarrow & M_2 & \longrightarrow & 0 \end{array}$$

If  $A \in \mathcal{A}_R$ ,  $A_1 = M_1 \cdot A$ ,  $A_2 = M_2 \cdot A$ , we have the following commutative diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & A_1 & \longrightarrow & A^{n_1} & \longrightarrow & A^{m_1} \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & A_2 & \longrightarrow & A^{n_2} & \longrightarrow & A^{m_2} \end{array}$$

2. Let  $\phi : A_1 \rightarrow A_2$  be an oriented morphism of objects in  $\mathcal{A}_R$ , and  $M$  a finitely presented  $R$ -module. Take a presentation  $R^m \rightarrow R^n \rightarrow M \rightarrow 0$ , and consider the commutative diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & M \cdot A_1 & \longrightarrow & A_1^n & \longrightarrow & A_1^m \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & M \cdot A_2 & \longrightarrow & A_2^n & \longrightarrow & A_2^m \longrightarrow 0 \end{array}$$

where the vertical arrows  $A_1^n \rightarrow A_2^n$  (and  $A_1^m \rightarrow A_2^m$ ) are given by the diagonal of  $\phi$ .

Then there is a unique dotted arrow making the diagram commutative, this is  $M \cdot \phi : M \cdot A_1 \rightarrow M \cdot A_2$ .

## 4.2 Module Action on Oriented Abelian Varieties

We recall that an abelian variety over  $k$  is a smooth proper group scheme  $A/k$ . The commutativity condition is then automatic. Equivalently,  $A/k$  is an abelian variety whenever it is a proper group scheme, which is geometrically connected (equivalently, since  $0$  is  $k$ -rational point,  $A/k$  is connected over  $k$ ) and geometrically reduced (equivalently  $A$  is geometrically reduced at  $0$ ). If  $k$  is perfect, then this result also holds using “reduced” instead of “geometrically reduced”. From now on, we will assume that  $k$  is perfect to avoid pathologies; in practice for our applications  $k = \mathbb{F}_q$  will be a finite field.

Moreover, if  $M$  is a finitely presented  $R$ -module, then  $M \cdot A = [M, A]_R$  is just a commutative proper group scheme, not abelian variety. For example,  $M = R/nR$ ,  $M \cdot A = A[n]$ .

**Proposition 7** Let  $X$  be an  $R$ -oriented proper  $k$ -group scheme and  $M$  be a finitely presented  $R$ -module,  $k'$  be a  $k$ -algebra, then  $X(k')$  has a natural action from  $R$ ,  $M \cdot X(k') \cong [M, X(k')]_R$ .

**Proof.** Since there exists exact sequence  $R^m \rightarrow R^n \rightarrow M \rightarrow 0$ , then we have another exact sequence

$$0 \rightarrow [M, X] \rightarrow X^n \rightarrow X^m. \text{ By the rational points of } k', \text{ we have } 0 \rightarrow M \cdot X(k') \rightarrow X(k')^n \rightarrow X(k')^m.$$

By  $[-, X(k')]_R$ , we also have the exact sequence  $0 \rightarrow [M, X(k')]_R \rightarrow [R^n, X(k')]_R \rightarrow [R^m, X(k')]_R$ , since  $[R^n, X(k')]_R \cong X(k')^n$ , we have  $M \cdot X(k') \cong [M, X(k')]_R$ .  $\square$

**Proposition 8** (Dimension of Module Action) Assume that  $R$  is a domain, finitely presented as a  $\mathbb{Z}$ -module. If  $X$  is an  $R$ -oriented commutative proper group scheme, and  $M$  a finitely presented  $R$ -module, then  $M \cdot X = [M, X]_R$  is a commutative proper group scheme of dimension  $\text{rank}(M) \dim(A)$ . In particular, if  $M$  is of torsion (i.e. is finite as a set),  $[M, X]_R$  is a finite scheme.

**Proof.** Since the commutative proper group scheme is abelian category, we have the kernel of  $A^n \rightarrow A^m$  is also a commutative proper group scheme. Hence,  $[M, X]_R$  is a commutative proper group scheme.

It remains to compute the dimension of  $M \cdot X$ .

We first prove the case  $X$  is abelian variety  $A$ .

If  $M$  is finite, we have  $M$  is quotient of  $(R/nR)^m$  for some  $n, m \in \mathbb{N}_+$ . For  $R/nR$  has representation  $R \rightarrow R \rightarrow R/nR$ , we have  $[R/nR, A]_R = A[n]$ . Hence,  $[M, A]_R \subseteq A[n]^m$ , which is finite.

If  $r = \text{rank}(M) > 0$ , we have  $0 \rightarrow R^r \rightarrow M \rightarrow T \rightarrow 0$ , where  $T$  is torsion, hence there is an exact sequence by acting  $[-, A]_R$ , that is  $0 \rightarrow [T, A]_R \rightarrow [M, A]_R \rightarrow [R^r, A]_R = A^r$ . Since  $[T, A]_R$  is finite, we have  $\dim([M, A]_R) \leq \dim(A^r) = \dim(A) \cdot \text{rank}(M)$ .

Since  $R$  is finitely presented as  $\mathbb{Z}$ -module, there exists  $n \in \mathbb{N}_+$  such that  $nT = 0$ . Then the multiplication  $n : R^r \rightarrow R^r$  factor through  $R^r \hookrightarrow M \rightarrow R^r$ . By acting  $[-, A]_R$ , we have  $A^r \rightarrow [M, A]_R \rightarrow A^r$  is  $n$ -multiplication, which is surjective (isogeny between abelian varieties). It means  $\dim(A^r) = \dim(A) \text{rank}(M) \leq \dim([M, A]_R)$ .

From above, we have  $\dim([M, A]_R) = \dim(A) \text{rank}(M)$ .

For  $X$  is a general proper reduced group scheme,  $X^0$  is its connected component at 0.  $X^0$  is abelian variety of the same dimension as  $X$ , and  $X/X^0$  is finite group of components. Hence, we have  $0 \rightarrow M \cdot X^0 \rightarrow M \cdot X$ . Since there exists a positive integer  $N$  such that  $NX/X^0 = 0$ . Therefore, we have the multiplication  $N : X \rightarrow X$  factor through  $X \rightarrow X^0 \hookrightarrow X$ , by  $[M, -]_R$ , we have  $[M, X]_R \rightarrow [M, X^0]_R \hookrightarrow [M, X]_R$  is  $M \cdot [N]$ . So the rank of  $[M, X^0]_R$  and  $[M, X]_R$  are same, which shows  $[M, X]_R/[M, X^0]_R$  is finite, and  $\dim([M, X]_R) = \dim([M, X^0]_R) = \dim(X^0) \text{rank}(M)$ .  $\square$

**Definition 6** Given an oriented abelian variety  $A$  and a finitely presented torsion free module  $M$ , we say that  $M$  is compatible with  $A$  if  $M \cdot A$  is still an abelian variety. Given an isogeny  $\psi : M_2 \hookrightarrow M_1$ , and an isogeny  $\phi : A_1 \rightarrow A_2$ , we say that  $\psi$  is compatible with  $\phi$  if  $\phi \cdot \psi : M_1 \cdot A_1 \rightarrow M_2 \cdot A_2$  is still an isogeny of abelian varieties (in particular, we require that  $M_i \cdot A_i$  is an abelian variety). We say that  $\psi$  is compatible with  $A$  if  $\psi$  is compatible with  $\text{Id}_A : A \rightarrow A$ , in that case the kernel is given by  $M_1/M_2 \cdot A$  by right exactness. And similarly for the compatibility of  $M$  with  $\phi : A_1 \rightarrow A_2$ .

**Proposition 9 (Induced by Module Isogeny = Abelian Varieties Isogeny)** If  $A$  is an oriented abelian variety, and  $\psi : M_2 \hookrightarrow M_1$  is a monomorphism, with each  $M_i$  compatible with  $A$ , then  $\psi \cdot A : M_1 \cdot A \rightarrow M_2 \cdot A$  is an epimorphism with kernel  $(M_1/M_2) \cdot A$ . In particular, if furthermore  $M_2 \hookrightarrow M_1$  is an isogeny, then  $\psi \cdot A$  is an isogeny.

If  $\phi : A_1 \rightarrow A_2$  is an oriented epimorphism with kernel  $U$ , and  $M$  is compatible with each  $A_i$ , then  $M \cdot \phi : M \cdot A_1 \rightarrow M \cdot A_2$  is an epimorphism with kernel  $M \cdot U$ . In particular, if  $\phi$  is furthermore an isogeny,  $M \cdot \phi$  is an isogeny.

With the notations of Definition above, for an isogeny  $\psi$  to be compatible with  $\phi$ , it suffices that each  $M_i$  is compatible with each  $A_j$ .

**Proof.** Since we have  $0 \rightarrow M_2 \rightarrow M_1 \rightarrow M_1/M_2 \rightarrow 0$ , then  $0 \rightarrow M_1/M_2 \cdot A \rightarrow M_1 \cdot A \rightarrow M_2 \cdot A$ . The image of  $M_1 \cdot A \rightarrow M_2 \cdot A$  denoted by  $B$ , which is also an abelian variety. We have  $\dim(B) = \dim(A)(\text{rank}(M_1) - \text{rank}(M_1/M_2)) = \dim(A) \text{rank}(M_2) = \dim(M_2 \cdot A)$ , which means  $B = M_2 \cdot A$ .

Moreover, if  $M_2 \rightarrow M_1$  is an isogeny, then  $\text{rank}(M_1) = \text{rank}(M_2)$ , and  $\dim(M_1 \cdot A) = \dim(M_2 \cdot A)$ , which means  $M_1 \cdot A \rightarrow M_2 \cdot A$  is also an isogeny (surjective and finite kernel).

The rest is similar.  $\square$

### 4.3 Projective Module Action on Abelian Varieties (Keep Abelian Varieties)

Here  $M^\vee = \text{Hom}_R(M, R)$ .

**Theorem 5** If  $A \in \mathfrak{Ab}_R$  is an oriented abelian variety, and  $M$  is a finitely presented projective module, then  $M \cdot A$  is still an abelian variety. And we have a canonical isomorphism  $(M \cdot A)^\vee \simeq M^\vee \cdot A^\vee$ .

If  $\psi : M_2 \rightarrow M_1$  is an isogeny between projective modules,  $\psi \cdot A$  is an isogeny, and the dual module isogeny  $\hat{\psi} : M_1^\vee \rightarrow M_2^\vee$  gives the dual isogeny  $\hat{\psi} \cdot A^\vee : M_2^\vee \cdot A^\vee \rightarrow M_1^\vee \cdot A^\vee$ .

**Proof.** Since  $M$  is finitely presented projective module, we have  $R^n = M \oplus M'$ . Then we have  $[R^n, A]_R = A^n = M \cdot A \oplus M' \cdot A$ . Hence  $M \cdot A$  is a quotient of  $A^n$ , which means  $M \cdot A$  is abelian variety.

If  $A$  is  $R$ -oriented,  $i : R \rightarrow \text{End}(A)$  is the orientation, we define  $i^\vee : R \rightarrow \text{End}(A^\vee)$  by  $i^\vee(r) = \widehat{i(r)}$ , and if  $F : A^n \rightarrow A^m$  is a matrix  $M$  of elements in  $R$ , then  $F^\vee : A^{\vee,m} \rightarrow A^{\vee,n}$  is given by the transpose matrix  $M^T$ .

If the projective module  $M$  is given by  $p : R^n \rightarrow M$ , then  $M^\vee$  is given by  $R^{\vee,n} = R^n = M^\vee \oplus M'^\vee$ . Hence,  $M^\vee \cdot A^\vee$  is given by  $A^{\vee,n} = M^\vee \cdot A^\vee \oplus M'^\vee \cdot A^\vee$  and the projection  $p^\vee \cdot A^\vee : A^{\vee,n} \rightarrow M^\vee \cdot A^\vee$ .

On the other hand, for the projection  $p \cdot A : M \cdot A \rightarrow A^n$ , we have  $A^{\vee,n} = (M \cdot A)^\vee \oplus (M' \cdot A)^\vee$  and the projection  $(p \cdot A)^\vee : A^{\vee,n} \rightarrow (M \cdot A)^\vee$ .

Since  $(p \cdot A)^\vee = p^\vee \cdot A^\vee$  (by action of transpose), we have  $(M \cdot A)^\vee = M^\vee \cdot A^\vee$ .

We obtain dual  $\hat{\psi} \cdot A^\vee$  from above proposition.

(For there is an exact sequence  $0 \rightarrow M' \rightarrow R^n \rightarrow M \rightarrow 0$ , we have  $0 \rightarrow M^\vee \rightarrow R^n \rightarrow M'^\vee \rightarrow 0$ , by acting  $[-, A^\vee]$ , we have  $0 \rightarrow M'^\vee \cdot A^\vee \rightarrow A^{\vee,n} \rightarrow M^\vee \cdot A^\vee \rightarrow 0$  (generalized  $\text{Ext}=0$ ).

On the other hand, acting  $[-, A]$  on the origin exact sequence, we have  $0 \rightarrow M \cdot A \rightarrow A^n \rightarrow M' \cdot A \rightarrow 0$ . Then there is an exact sequence for dual:  $0 \rightarrow (M' \cdot A)^\vee \rightarrow A^{\vee,n} \rightarrow (M \cdot A)^\vee \rightarrow 0$ .

$$\begin{array}{ccccccc} 0 & \longrightarrow & M'^\vee \cdot A^\vee & \longrightarrow & A^{\vee,n} & \xrightarrow{p} & M^\vee \cdot A^\vee \longrightarrow 0 \\ & & \downarrow & & \downarrow \cong & & \downarrow \\ 0 & \longrightarrow & (M' \cdot A)^\vee & \longrightarrow & A^{\vee,n} & \xrightarrow{p} & (M \cdot A)^\vee \longrightarrow 0 \end{array} \quad )$$

□

#### 4.4 Module Action on Dimension 1 (Not require projective module)

**Proposition 10** Let  $R = \mathbb{Z}$  or an order in imaginary quadratic field or a maximal order of  $B_{p,\infty}$  (where we set  $R$  is endomorphism ring of an elliptic curve  $E$ ).  $M$  is finitely presented torsion-free module, then we have  $A = M \cdot E$  is an abelian variety isogenous to product of  $E$ . Moreover,  $[-, E]$  is exact.  $[I, E] \cong E/E[I]$ ,  $[R/I, E] \cong E[I]$ .

**Proof.** If  $M \cdot E$  is an abelian variety, from the proof of Proposition 8, we have  $A = M \cdot E \rightarrow E^r$  is surjective and  $M \cdot E, E^r$  have the same rank, hence  $A \rightarrow E^r$  is an isogeny.

It remains to prove  $A$  is an abelian variety.

If  $R$  is  $\mathbb{Z}$  or maximal order of  $B_{p,\infty}$ , we have finitely presented torsion-free  $R$  module  $M$  is projective module, by Theorem 5, we have  $M \cdot E$  is an abelian variety.

So suppose that  $R$  is a quadratic order. Let  $c$  be the conductor. Let  $\ell$  denote a prime. If  $\ell \nmid c$ , then the semi-local ring  $R \otimes \mathbb{Z}_{(\ell)}$  is a Dedekind domain, but a semi-local Dedekind domain is a principal ideal domain, so  $M \otimes \mathbb{Z}_{(\ell)}$  is free of rank  $r$  over  $R \otimes \mathbb{Z}_{(\ell)}$ , and  $M/\ell M$  is free of rank  $r$  over  $R/\ell R$ .

We claim that  $A$  is smooth. This is automatic if  $\text{char } k = 0$ . Since  $p \nmid c$ , so by the above,  $M/pM$  is free of rank  $r$  over  $R/pR$ . Applying  $[M, -]$  to

$$0 \rightarrow \text{Lie } E \rightarrow E(k[\epsilon]/(\epsilon^2)) \rightarrow E(k) \rightarrow 0$$

yields

$$0 \rightarrow [M, \text{Lie } E]_R \rightarrow A(k[\epsilon]/(\epsilon^2)) \rightarrow A(k) \rightarrow 0.$$

Thus

$$\text{Lie } A \simeq [M, \text{Lie } E]_R \simeq [M/pM, \text{Lie } E]_{R/pR} \simeq (\text{Lie } E)^r.$$

In particular,  $\dim \text{Lie } A = r = \dim(M \cdot E)$ , so  $A$  is smooth.

Since  $A$  is also proper, it is an extension of a finite étale commutative group scheme  $\Phi$  by an abelian variety  $B$  (i.e. there is an exact sequence  $0 \rightarrow B \rightarrow A \rightarrow \Phi \rightarrow 0$ ). The constructed surjection  $A \rightarrow E^r$  with

finite kernel restricts to a homomorphism  $B \rightarrow E^r$  with finite kernel, and it must still be surjective since  $\dim(E^r) = \dim(A) = \dim(B)$ ; thus  $B$  is isogenous to  $E^r$ . From Tate's Theorem, for each prime  $\ell$ ,

$$\#A(\bar{k})[\ell] = \#E(\bar{k})[\ell]^r \# \Phi[\ell]$$

On the other hand,

$$A(\bar{k})[\ell] = [M, E(\bar{k})]_R[\ell] = [M/\ell M, E(\bar{k})]_{R/\ell R}[\ell]$$

If  $\ell \nmid c$ , then  $M/\ell M$  is free of rank  $r$  over  $R/\ell R$ , so

$$\#A(\bar{k})[\ell] = \#E(\bar{k})[\ell]^r$$

Now suppose that  $\ell \mid c$ ; in particular,  $\ell \neq p$ . Then  $R/\ell R \simeq \mathbb{F}_\ell[e]/(e^2)$  where  $R = \mathbb{Z}[e]$ . From  $R/\ell R$  is an Artin local ring, the indecomposable module of  $R/\ell R$  are  $\mathbb{F}_\ell$  and  $R/\ell R$ , then every  $(R/\ell R)$ -module is a direct sum of copies of  $\mathbb{F}_\ell$  and  $\mathbb{F}_\ell[e]/(e^2)$ . We have the homomorphisms

$$\frac{R}{\ell R} \rightarrow \frac{\text{End } E}{\ell(\text{End } E)} \rightarrow \text{End } (E(\bar{k})[\ell])$$

are injective (We may require  $\text{End}(E)/R$  is torsion-free). On the other hand,  $\#E(\bar{k})[\ell] = \ell^2 = \#(R/\ell R)$ . The previous three sentences imply that  $E(\bar{k})[\ell]$  is free of rank 1 over  $R/\ell R$  (If not,  $E(\bar{k})[\ell] \cong \mathbb{F}_\ell \times \mathbb{F}_\ell$ , which means  $e$  acts trivial on  $E(\bar{k})[\ell]$ . However,  $R/\ell R \hookrightarrow \text{End}(E(\bar{k})[\ell])$ ). The equality  $\#\text{Hom}_{R/\ell R}(N, R/\ell R) = \#N$  holds for  $N = \mathbb{F}_\ell$  and  $N = \mathbb{F}_\ell[e]/(e^2)$ , so it holds for every finite  $(R/\ell R)$ -module  $N$ , and in particular for  $M/\ell M$ . Thus it implies

$$\#A(\bar{k})[\ell] = \#(M/\ell M) = \#(R/\ell R)^r = \#E(\bar{k})[\ell]^r;$$

the first equality holds for considering  $E(\bar{k})[\ell]$  as a free module of  $R/\ell R$ , the middle equality holds since  $M$  and  $R^r$  are torsion-free  $\mathbb{Z}$ -modules of the same rank.

Hence we have  $\#\Phi[\ell] = 1$  for all  $\ell$ , so  $\Phi$  is trivial. Thus  $A = B$ , an abelian variety.

For any  $M \hookrightarrow P$  where  $P$  is projective module, then we have  $0 \rightarrow [P/M, E] \rightarrow [P, E] \rightarrow [M, E]$ . Since  $[P, E], [M, E]$  are abelian varieties, we have the image of  $[P, E]$  is subvariety of  $[M, E]$ .

However, from the dimensions of  $[P, E], [M, E], [P/M, E]$ , we have the dimension of image of  $[P, E]$  equals to dimension of  $[M, E]$ , which means  $[P, E] \rightarrow [M, E]$  is surjective. Hence  $[-, E]$  is exact.

(For any module  $N$ , there exists projective module  $P$  such that  $0 \rightarrow K \rightarrow P \rightarrow N \rightarrow 0$ ,

then  $0 \rightarrow [N, E] \rightarrow [P, E] \rightarrow [K, E] \rightarrow \text{Ext}^1(N, E) \rightarrow \text{Ext}^1(P, E) = 0$ . Since  $[P, E] \rightarrow [K, E]$  is surjective, we have  $\text{Ext}^1(N, E) = 0$  for any  $N$ , which means  $[-, E]$  is exact.)

Assume  $I$  is generated by  $a_1, \dots, a_s$ , we have an exact sequence  $R^s \rightarrow R \rightarrow R/I \rightarrow 0$ , where  $R^s \rightarrow R$  sends  $(r_1, \dots, r_s) \rightarrow r_1 a_1 + \dots + r_s a_s$ . Hence, by acting  $[-, E]$ , we have  $0 \rightarrow [R/I, E] \rightarrow E \rightarrow E^s$ , where the last map is:  $(a_1, \dots, a_s) : E \rightarrow E^s$ , and the kernel this map is  $\ker(a_1) \cap \dots \cap \ker(a_s) = E[I]$ . It means  $[R/I, E] = E[I]$ .

By the exact sequence  $0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$ , we have  $0 \rightarrow [R/I, E] = E[I] \rightarrow E \rightarrow [I, E] \rightarrow 0$ , which means  $[I, E] = E/E[I] = E_I$ .  $\square$

**Proposition 11** The functor  $[-, E]$  is fully faithful.

**Proof.** The ring  $R$  is  $\mathbb{Z}$ , a quadratic order, or a maximal quaternionic order. Since every finitely presented torsion-free left  $R$ -module is a finite direct sum of nonzero left  $R$ -ideals. Thus, we will prove for any two nonzero  $R$ -ideals  $I$  and  $J$ , there is the natural isomorphism map

$$\text{Hom}_R(J, I) \rightarrow \text{Hom}([I, E]_R, [J, E]_R)$$

If  $R = \mathbb{Z}$ , this is trivial. If  $R$  is a quadratic order, this is the elliptic curve case of the isomorphism given in (48) in Kani Proposition 17. If  $R$  is a maximal quaternionic order, then by finitely presented torsion-free left  $R$ -modules are projective, i.e., direct summands of finitely presented free left  $R$ -modules; since  $[-, E]$  is fully faithful when restricted to free modules, it is also fully faithful on projective modules.  $\square$

**Remark 4** If  $R$  is  $\mathbb{Z}$ ,  $J = \langle m \rangle$ ,  $I = \langle n \rangle$ ,  $[I, E]_R = E/E[I] = E/E[n]$ ,  $[J, E] = E/E[m]$ . The map:

$$\begin{aligned} \Psi : \text{Hom}_R(J, I) &\rightarrow \text{Hom}(E/E[n], E/E[m]) \\ \varphi : m &\rightarrow tn \rightarrow \varphi_t \frac{n}{m} : P \rightarrow tQ \text{ where } mQ = nP \end{aligned}$$

If  $R$  is an order in imaginary quadratic field, we have  $\text{Hom}(E_I, E_J) \cong \bar{J}I$  by

$$\begin{aligned} \Phi : \text{Hom}_R(E_I, E_J) &\rightarrow \bar{J}I \\ \varphi &\rightarrow \varphi \circ \varphi_{\bar{J}I} \text{ where } \varphi_{\bar{J}I} \text{ is the isogeny from } E_J \text{ to } E_I \text{ corresponds to } \bar{J}I \end{aligned}$$

Moreover, there is an isomorphism between  $\bar{J}I$  and  $\text{Hom}_R(J, I)$ :

$$\begin{aligned} \Psi : \bar{J}I &\rightarrow \text{Hom}_R(J, I) \\ x &\rightarrow \varphi_x : r \rightarrow \frac{rx}{\text{Nrd}(J)} \end{aligned}$$

The inverse of  $\Psi$  can be obtained as following: for any  $\varphi \in \text{Hom}_R(J, I)$ ,  $x \in J, x \neq 0$ , we assume  $\varphi(x) = y$ , then for another  $x' \in J$ , we have  $\varphi(xx') = x' \varphi(x) = x \varphi(x')$ , which means  $x'y = x \varphi(x')$ , hence  $\varphi(x') = x^{-1}yx'$ . It shows  $\varphi$  is induced by  $\text{Nrd}(J)x^{-1}y$ . Overall,  $\text{Hom}(E_I, E_J) \cong \bar{J}I \cong \text{Hom}_R(J, I)$ .

**Theorem 6** It should be noted that  $M \rightarrow M \cdot E$  is an antiequivalence of category between torsion-free finitely presented  $R$ -modules and  $R$ -oriented abelian varieties which is  $R$ -isogenous to product of  $E$  (which denoted by  $\mathfrak{Ab}_{E,R}$ ).

The inverse is  $A \rightarrow \text{Hom}(A, E)$ . Since  $\text{Hom}([M, E], E) \cong \text{Hom}([M, E], [R, E]) \cong \text{Hom}_R(R, M) \cong M$ .

## 4.5 Polarization of Module Action on Abelian Varieties

**Definition 7 (Polarization)** A polarization is a morphism  $\lambda = \Phi_{\mathcal{L}}$  induced by a line bundle  $\mathcal{L}$ .

If  $\lambda$  is an isogeny, we call  $\lambda$  is non-degenerate. If  $\mathcal{L}$  is ample, we say  $\lambda$  is positive(polarized); moreover, if  $\lambda$  is an isomorphism, we say  $\lambda$  is a principal polarization.

Now we assume  $R$  is commutative domain,  $(A, \lambda_A)$  is a PPAV with  $R$ -oriented. Moreover, we assume  $R \rightarrow \text{End}(A)$  is monomorphism,  $K = R \otimes \mathbb{Q}$  is either totally real field or CM field, in the first case, the Rosati involution is identity; in the second case, the Rosati involution is the canonical Galois involution. (i.e.  $\lambda_A \circ i(\bar{r}) = \widehat{i(r)} \circ \lambda_A$ )

Assume  $(M, H_M), (N, H_N)$  are projective modules with non-degenerate Hermitian polarization, and  $\iota : M \hookrightarrow N$ . For polarization, we have an isogeny  $\lambda : M^\vee \rightarrow M$ ,  $\lambda' : N^\vee \rightarrow N$ . Moreover, we define  $H_M : M^\perp \times M^\perp \rightarrow R$  by  $H_M(m, m') = H_M(m, \lambda(m'))$

In this case, we have  $\lambda' = \iota \circ \lambda \circ \iota^\vee : N^\vee \rightarrow M^\vee \rightarrow M \rightarrow N$ . Since  $\iota$  is an embedding, we have

$$\begin{aligned} H_{\lambda'}(x, y) &= H_{\lambda'}(x, \lambda'(y)) \\ &= H_{\lambda'}(x, \iota \circ \lambda \circ \iota^\vee(y)) \\ &= H_\lambda(\iota^\vee(x), \lambda \circ \iota^\vee(y)) \\ &= H_\lambda(x \circ \iota, \lambda(y \circ \iota)) \\ &= H_\lambda(x \circ \iota, y \circ \iota) \end{aligned}$$

If  $H_\lambda$  is Hermitian, then for any  $x, y \in N^\vee$ , we have  $H_\lambda(x \circ \iota, y \circ \iota) = \overline{H_\lambda(y \circ \iota, x \circ \iota)}$ . Hence,  $H_{\lambda'}(x, y) = \overline{H_{\lambda'}(y, x)}$ . If  $H_{\lambda'}$  is Hermitian, since  $\iota$  can be extended to an isomorphism  $M \otimes_R K \rightarrow K^n$ , for any  $f, g \in M^\vee$ ,

by tensor  $K$ , we have  $\text{Hom}_R(M, R) \otimes_R K \cong \text{Hom}_K(M \otimes K, K)$ . Since  $\iota$  is an isomorphism, then  $f$  can be written as  $f' \circ \iota$  for some  $f' \in \text{Hom}_K(K^n, K) \cong K^n$ . It shows  $H_\lambda(f, g) = H_\lambda(f' \circ \iota, g' \circ \iota) = H_{\lambda'}(f', g')$ , from the Hermitian property of  $H_{\lambda'}$ , we obtain  $H_\lambda$  is Hermitian.

Similarly to prove the equivalence of positive definite between  $H_\lambda$  and  $H_{\lambda'}$ .

For proving the following theorem, we need some lemmas.

**Lemma 1** The Riemann form induced by line bundle is skew-symmetric.

**Proof.** Mumford [Abelian Varieties p.187-188, Theorem 1] □

**Lemma 2** The bilinear form  $(x, y) \rightarrow e_\ell(x, \lambda(y))$  which induced by  $\lambda : A \rightarrow A^\vee$  and  $x, y \in T_\ell(A)$  (Tate module) is skew-symmetric iff  $2\lambda = \lambda_{\mathcal{L}}$  for some line bundles  $\mathcal{L}$ .

**Proof.** Mumford [Abelian Varieties p.187-188, Theorem 2] □

**Lemma 3** If  $\mathcal{L}$  is an line bundle on abelian variety  $A$ , there exists some line bundles  $\mathcal{L}'$  such that  $\mathcal{L} = \mathcal{L}'^n$  iff  $A[n] \subseteq K(\mathcal{L})$ , where  $K(\mathcal{L})$  is the kernel of  $\lambda_{\mathcal{L}} : A \rightarrow A^\vee$ .

**Proof.** Mumford [Abelian Varieties p.213, Theorem 3] □

**Theorem 7** Let  $M$  be finitely presented  $R$ -module. The form  $H_M : M \times M \rightarrow R$  is Hermitian form iff  $\lambda : M \cdot A \rightarrow (M \cdot A)^\vee$  can be induced by a line bundle  $\mathcal{L}$ .

**Proof.** For there exists  $0 \rightarrow M \rightarrow R^n$ , we have  $f : A^n \rightarrow M \cdot A$  is an isogeny. Let  $\lambda : M \cdot A \rightarrow (M \cdot A)^\vee$  be an isogeny, we define  $\lambda' = \hat{f}\lambda f$ . Now we will prove  $\lambda$  is induced by an line bundle iff  $\lambda'$  is.

If  $\lambda : M \cdot A \rightarrow (M \cdot A)^\vee$  can be induced by line bundle  $\mathcal{L}$ , then the polarization  $\lambda'$  of  $A^n$  can be induced by  $f^*(\mathcal{L})$ .

On the other hand, if the polarization  $\lambda'$  of  $A^n$  can be induced by  $\mathcal{L}'$ , from Lemma 1, we have  $e_\ell(x, \lambda'(y))$  is skew-symmetric. It is easily to see  $e_\ell(x, \lambda(y))$  is also skew-symmetric.

where  $\lambda' = \hat{f}\lambda f$ , and we assume  $f(x') = x, f(y') = y$ , then

$$\begin{aligned} e_\ell(x, \lambda(y)) &= e_\ell(f(x'), \lambda f(y')) \\ &= e_\ell(x', \hat{f}\lambda f(y')) \\ &= e_\ell(x', \lambda'(y')) \\ &= -e_\ell(\lambda'(y'), x') \\ &= -e_\ell(\lambda f(y'), f(x')) \\ &= -e_\ell(\lambda(y), x) \end{aligned}$$

Therefore, from Lemma 2, we have  $\lambda = 2\lambda_{\mathcal{L}_1}$  for some line bundles  $\mathcal{L}_1$ .

Since  $2\lambda = \lambda_{\mathcal{L}_1}$ , then for any  $P \in (M \cdot A)[2]$ ,  $\lambda_{\mathcal{L}_1}(P) = 2\lambda(P) = 0$ . From Lemma 3, there exist  $\mathcal{L}$  such that  $\mathcal{L}_1 = \mathcal{L}^2$ .

From above,  $\lambda_{\mathcal{L}_1} = 2\lambda = 2\lambda_{\mathcal{L}}$ , then  $\lambda$  is induced by  $\mathcal{L}$ .

Overall, the polarization  $\lambda$  of  $M \cdot A$  is induced by an line bundle iff the polarization  $\lambda'$  of  $A^n$  is induced by an line bundle.

For we have proven that the equivalence of Hermitian between  $H_\lambda$  and  $H_{\lambda'}$ . It remains to prove  $H_{\lambda'}$  is Hermitian iff  $\lambda : A^n \rightarrow A^{n,\vee}$  can be induced by a line bundle, where  $\lambda$  is induced from  $\lambda' : R^{n,\vee} \rightarrow R^n$ .

Now let  $\lambda_1 : A^n \rightarrow A^{n,\vee}$  induced from  $\lambda' : R^{n,\vee} \rightarrow R^n$ , for we have the isomorphism  $\Phi$  between  $R^{n,\vee}$  and  $R^n$ , where  $(f_1, \dots, f_n) \rightarrow (f_1(1), \dots, f_n(1))$ , then  $\lambda_2 : A^n \rightarrow A^{n,\vee}$  can be induced from  $\Phi$ . Let  $M = \lambda' \Phi^{-1} : R^n \rightarrow R^n$ , then  $M \in M_n(R)$ . For the Rosati involution of endomorphism  $M$  (denoted by  $\varphi_M$ ) is  $\lambda_2^{-1} \circ \widehat{\varphi_M} \circ \lambda_2$  which

corresponds to matrix  $\Phi^{-1}M^*\Phi$ . From the property of Rosati involution, we have the Rosati involution corresponds to matrix  $M^+$  which is the conjugate transpose of  $M$ .

Hence  $M^+ = \Phi^{-1}M^*\Phi$ . For  $M$  corresponds to  $\lambda_2^{-1} \circ \lambda_1$ , then  $M = M^+$  iff  $\lambda_2^{-1} \circ \lambda_1$  equals to its Rosati involution. From

$$\begin{aligned} \text{NS}(A) \otimes \mathbb{Q} &\rightarrow \text{End}(A) \otimes \mathbb{Q} \\ \mathcal{L} &\rightarrow \lambda^{-1} \circ \lambda_{\mathcal{L}} \end{aligned}$$

gives correspondence between  $\text{NS}(A) \otimes \mathbb{Q}$  and elements in  $\text{End}(A) \otimes \mathbb{Q}$  which fixed by Rosati involution, we have  $M = M^+$  iff  $\lambda_1$  can be induced by an line bundle  $\mathcal{L}$ .  $\square$

**Lemma 4** Let  $f : A \rightarrow B$  be an isogeny and  $\mathcal{L}$  be a line bundle on  $B$ . Then  $\mathcal{L}$  is ample if and only if  $f^*(\mathcal{L})$  is ample.

**Lemma 5** Let  $\lambda : M^\vee \rightarrow M$  be injective such that  $H_\lambda$  is Hermitian. Then there exists a free  $R$ -module  $M \xrightarrow{\iota} N = \bigoplus_{i=1}^n Re_i$  and integers  $(\ell_i)_{1 \leq i \leq n}$  such that if  $\lambda' = \iota\lambda\iota^\vee$ , then  $H_{\lambda'} : N^\vee \times N^\vee \rightarrow R$  satisfies  $H_{\lambda'}(e_i^\vee, e_j^\vee) = \ell_i\delta_{ij}$ .

**Proof.** Since  $\lambda$  is injective, the hermitian form  $H_\lambda$  is non-degenerate. We can find a basis  $(\alpha_i)$  of  $V^\vee = K \otimes M^\vee$  of vectors of  $M^\vee$  which is orthogonal for  $H_\lambda$ , i.e.,  $H_\lambda(\alpha_i, \lambda(\alpha_j)) = \ell_i\delta_{ij}$  with  $\ell_i \in \mathbb{Z}$ .

Consider  $N' = \bigoplus_{i=1}^n R\alpha_i \subseteq M^\vee$  and then  $N = N'^\vee \supseteq (M^\vee)^\vee \simeq M$ . Denote by  $\iota : M \rightarrow N$  the injection and  $(e_i)$  the dual basis of  $(\alpha_i)$ . We get that

$$H_{\lambda'}(e_i^\vee, e_j^\vee) = H_{\lambda'}((\alpha_i^\vee)^\vee, (\iota\lambda\iota^\vee)(\alpha_j^\vee)^\vee) = H_\lambda(\alpha_i, \lambda(\alpha_j)) = \ell_i\delta_{ij}.$$

$\square$

**Theorem 8** As notations above,  $\lambda_1 : M^\vee \rightarrow M$ ,  $H_M$  is Hermitian,  $\lambda : M \cdot A \rightarrow (M \cdot A)^\vee$ , there exists an isogeny  $f : A^n \rightarrow M \cdot A$ , integers  $\ell_1, \dots, \ell_n$ ,  $D \in \text{End}(A^n) : (x_1, \dots, x_n) \rightarrow (\ell_1 x_1, \dots, \ell_n x_n)$  and a commutative diagram:

$$\begin{array}{ccc} A^n & \xrightarrow{\lambda' \circ D} & A^{n,\vee} \\ \downarrow f & & \uparrow \tilde{f} \\ M \cdot A & \xrightarrow{\lambda} & (M \cdot A)^\vee \end{array}$$

where  $\lambda'' : A^n \rightarrow A^{n,\vee}$  induced from  $R^n$ -action. Moreover,  $\lambda$  is a polarization if and only if  $\ell_i > 0$  for all  $i$ , or equivalently if and only if  $H_\lambda$  is positive definite.

**Proof.** From Lemma 5, we choose  $\iota : M \rightarrow N = \bigoplus_{i=1}^n Re_i$  and  $u : N \rightarrow R^n$  by sending  $(r_i e_i)$  to  $(r_i)$ .

Then  $u \circ \iota$  induced  $f : A^n \rightarrow M \cdot A$ . Since  $H_\lambda$  is Hermitian, there exists a line bundle  $\mathcal{L}$  on  $M \cdot A$  such that  $\lambda = \varphi_{\mathcal{L}}$ . Since  $\lambda'' \circ D$  is the pullback of  $\lambda$  by  $f$ , from Lemma 4, the isogeny  $\lambda$  is a polarization if and only if  $\lambda'' \circ D$  is a polarization.

$$\begin{array}{ccc} A^n & \xrightarrow{\lambda'' \circ D} & A^{n,\vee} \\ \downarrow u \cdot A & & \uparrow \widehat{u \cdot A} \\ N \cdot A & \xrightarrow{\lambda'} & (N \cdot A)^\vee \\ \downarrow \iota \cdot A & & \uparrow \widehat{\iota \cdot A} \\ M \cdot A & \xrightarrow{\lambda} & (M \cdot A)^\vee \end{array}$$

Moreover,  $\lambda'' \circ D$  is a polarization of  $A^n$  if and only if  $\ell_i > 0$  for all  $i$ . (For  $\text{NS}(A) \otimes \mathbb{Q} \rightarrow \text{End}(A) \otimes \mathbb{Q}$  gives the correspondence between ample line bundles and positive-definite matrix) Also, we can conclude that  $H_\lambda$  is positive definite if and only if  $H_{\lambda''} = \text{diag}(\ell_1, \dots, \ell_g)$  is, and we have the final equivalence.  $\square$



**Theorem 9** Let  $(A, \lambda_A)$  be a principally polarized abelian variety, and  $R$  a CM order as above.

Let  $(M, H_M)$  be a projective module with a non-degenerate Hermitian polarization  $H_M$ . Then we have an autodual isogeny  $(M, H_M) \cdot \lambda_A : M \cdot A \rightarrow (M \cdot A)^\vee$ . This autodual isogeny is induced by an ample line bundle (i.e. is a polarization) iff  $H_M$  is definite positive, and it gives a principal polarization on  $M \cdot A$  iff  $(M, H_M)$  is furthermore unimodular.

Let  $\psi : M_2 \hookrightarrow M_1$  be an isogeny of projective  $R$ -modules, and  $\phi = \psi \cdot A : M_1 \cdot A \rightarrow M_2 \cdot A$  be the induced isogeny of oriented abelian varieties. Then the dual module isogeny  $M_1^\vee \rightarrow M_2^\vee$  gives the dual isogeny  $M_2^\vee \cdot A^\vee \rightarrow M_1^\vee \cdot A^\vee$ , and the contragredient isogeny  $\hat{\phi}$  corresponds to the action of the adjoint of  $\psi : \hat{\psi} : (M_1, H_1) \rightarrow (M_2, H_2)$ . In particular, a  $n$ -similitude  $(M_2, H_2) \rightarrow (M_1, H_1)$  between unimodular projective modules induces a  $n$ -isogeny  $M_1 \cdot A \rightarrow M_2 \cdot A$ .

**Proof.** We define the orientation on  $A^\vee$  by  $i^\vee(r) = \widehat{i(\bar{r})}$ . We use  $M^\vee = \text{Hom}_{\bar{R}}(M, R)$  instead  $\text{Hom}_R(M, R)$  and obtain the same result of Theorem 5. It should be noted that if  $\gamma : A^n \rightarrow A^m$  given by matrix  $N$ , then the dual morphism  $A^{\vee, m} \rightarrow A^{\vee, n}$  is given by the conjugate-transpose  $F^+ = \overline{F^T}$ .

Since  $H_M$  is non-degenerate Hermitian polarization, we have  $M^\perp \cong M^\vee$ , and for non-degenerate on  $M^\vee$ , we have  $H_M : M^\vee \cong M^\perp \rightarrow M$  is an isogeny. By Theorem 5, we have an isogeny  $M \cdot A \rightarrow M^\vee \cdot A \cong M^\vee \cdot A^\vee \cong (M \cdot A)^\vee$ .

The last statement on the dual and contragredient isogeny follows from our matrix computation.  $\square$

**Remark 5 ( $n$ -isogeny)** If  $\psi : (M_2, H_2) \hookrightarrow (M_1, H_1)$  is  $n$ -isogeny, then  $f : M_1 \cdot A \rightarrow M_2 \cdot A$  is an isogeny induced from  $\psi$ . Moreover, since  $\psi^* H_1 = n H_2$ , by acting  $[-, A]_R$ , we have  $f^* \lambda_1 = n \lambda_2$ , which is the definition of  $n$ -isogeny  $f$ . Hence, it implies that  $n$ -isogeny of  $R$ -module induced  $n$ -isogeny of abelian varieties.

**Theorem 10 (Special Case of Theorem 9)** Let  $A \in \mathfrak{Ab}_{E, R}$ ,  $A$  can thus be written as  $A = M \cdot E$  for some torsion-free  $R$ -module  $M$ . Then  $A^\vee = M^\vee \cdot E$ , a symmetric morphism  $\phi : A \rightarrow A^\vee$  (equivalently a morphism induced by a line bundle  $\mathcal{L}$  on  $A$ ) respecting the orientation corresponds to an Hermitian  $R$ -form  $H_M$  on  $M^\vee$ ,  $\phi$  is an isogeny (i.e.  $\mathcal{L}$  is non degenerate) iff  $H_M$  is non degenerate, and  $\phi$  is a polarisation (i.e.  $\mathcal{L}$  is an ample line bundle) iff  $H_M$  is positive definite.

Finally, a principally polarised abelian variety  $(A, \lambda_A) \in \mathfrak{Ab}_{E, R}$  corresponds to a unimodular positive definite Hermitian module  $(M, H_M)$ .

**Proof.** As the proof of Theorem 9.  $\square$

**Remark 6** In particular, if  $M_1 \hookrightarrow M$ ,  $M_2 \hookrightarrow M$  are two module isogenies, corresponding to abelian variety isogenies  $A \rightarrow A_1$ ,  $A \rightarrow A_2$  where  $A = M \cdot E$ ,  $A_i = M_i \cdot E$ , then the push-forward isogeny  $A \rightarrow A_{12}$  with kernel  $K_1 + K_2$  corresponds to the module isogeny  $M_1 \cap M_2 \hookrightarrow M$ , and the isogeny  $A \rightarrow A'_{12}$  with kernel  $K_1 \cap K_2$  corresponds to the module isogeny  $M_1 + M_2 \hookrightarrow M$ .

For  $M_1 \cap M_2 \hookrightarrow M$ ,  $M_2 \hookrightarrow M$  are module isogenies, then we have  $M \cdot E \rightarrow M_1 \cdot E$  can be factored from  $M \cdot E \rightarrow M_1 \cdot E \rightarrow (M_1 \cap M_2) \cdot E$ . It means  $K_1 = \ker(M \cdot E \rightarrow M_1 \cdot E) \subseteq \ker(M \cdot E \rightarrow (M_1 \cap M_2) \cdot E)$ , similarly to  $M_2$ , hence,  $K_1 + K_2 \subseteq \ker(M \cdot E \rightarrow (M_1 \cap M_2) \cdot E)$ . Compared to degree of module isogeny, we have  $K_1 + K_2 = \ker(M \cdot E \rightarrow (M_1 \cap M_2) \cdot E)$ .

Similarly to the case of  $M \cdot E \rightarrow (M_1 + M_2) \cdot E$ .

**Remark 7** How to compute the module and Hermitian form? Given  $(A, \lambda_A)$  a polarized abelian variety in  $\mathfrak{Ab}_{E, R}$ , the module between  $E$  and  $A$  is  $\text{Hom}(A, E)$ . It remains to determine the Hermitian form of  $M$ .

Since  $A^\vee = M^\vee \cdot E$ , for any  $x, y \in M^\vee$ , we have  $R \rightarrow M^\vee$ ,  $r \rightarrow rx$  or  $ry$ . By acting to  $E$ , it induced  $x \cdot E, y \cdot E : A^\vee \rightarrow E$ . Moreover, the dual of  $y \cdot E : A^\vee \rightarrow E$  is  $y^\vee \cdot E : E \rightarrow A$ . It means  $x \cdot E \circ \lambda_A \circ y^\vee \cdot E : E \rightarrow A \rightarrow A^\vee \rightarrow E$  is an oriented endomorphism  $\gamma : E \rightarrow E$ .

Since  $R$  is a primitive orientation on  $E$ , we have  $\gamma \in R$  and  $H(x, y) = \gamma$ .

Next, we want to prove the module action is independent on base curve  $E$ .

**Lemma 6** Let  $E' \in \mathfrak{Ab}_{E, R}$  be another primitively  $R$ -oriented elliptic curve. Let  $I = \text{Hom}_R(E', E)$ , this is an invertible ideal. Then  $M \cdot E' = M \cdot I \cdot E = (M \otimes_R I)E$  and if  $A \in \mathfrak{Ab}_{E, R}$ ,  $\text{Hom}(A, E) = I \text{Hom}(A, E')$ .

**Proof.** Since  $\text{Hom}(A, E) \cong \text{Hom}([M, E], [R, E]) = \text{Hom}_R(R, M) \cong M$ , and  $I \text{Hom}(A, E') \cong I \text{Hom}([M, E], [I, E]) \cong I \text{Hom}_R(I, M)$ , we can prove  $\text{Hom}_R(I, M) \cong I^{-1}M$  as above, thus  $\text{Hom}(A, E) = I \text{Hom}(A, E')$ .  $\square$

We can also define the tensor product  $A_1 \otimes_E A_2$  by  $(M_1 \otimes_R M_2) \cdot E$ , where  $A_1 = M_1 \cdot E$ ,  $A_2 = M_2 \cdot E$ .

Now we consider  $A \in \mathfrak{Ab}_{E,R}$ , where  $A = M_A \cdot E$ ,  $M_A = \text{Hom}(A, E)$ . We define the conductor of  $A$  to  $R$  is the conductor of  $M_A$  to  $R$ . From above Lemma, it does not depend on choice of  $E$  (for  $I$  is invertible, then the conductor of  $I_g$  and  $I_g \otimes I$  are same). If the conductor is 1, the module  $M_A$  is projective. If  $M$  is also a torsion free finitely presented  $R$  module, we say  $M$  and  $A$  is Tor-independent if  $M, M_A$  are Tor-independent (i.e. conductors are primitive).

For example,  $M \cong I_1 \oplus \cdots \oplus I_g$ , then  $A = M \cdot E = \prod_{i=1}^g E_i$ , where  $E_i = I_i \cdot E$ . Moreover, the conductor of  $E_i$  dividing the conductor of  $E_{i+1}$ . The conductor of  $A$  equals to the conductor of  $E_g$ .

Table 1: Module Isogenies and Abelian Varieties Isogenies

	Module Isogenies	Abelian Varieties Isogenies
Form	$M_2 \hookrightarrow M_1$	$M_1 \cdot A \rightarrow M_2 \cdot A$
Conditions	two of: monomorphism, same rank, finite cokernel	two of: surjective, same dimension, finite kernel
Dual	$M^\vee = \text{Hom}_{\bar{R}}(M, R)$	$A^\vee = \text{Pic}^0(A)$
Polarized	$H_M : M^\vee \hookrightarrow M$	$\lambda_M = H_M \cdot A : M \cdot A \rightarrow M^\vee \cdot A = (M \cdot A)^\vee$
$\lambda$ exists	$H_M$ Hermitian	$\lambda_M$ induced from line bundle
$\lambda$ is an isogeny ( $(A, \lambda)$ is polarized)	$H_M$ positive-definite	$\lambda_M$ induced from ample line bundle
$\lambda$ is isomorphism ( $(A, \lambda)$ is principally polarized)	$H_M$ unimodular	$\lambda_M$ has degree 1
$n$ -isogeny	$M_2 \hookrightarrow M_1$ is $n$ -isogeny	$M_1 \cdot A \rightarrow M_2 \cdot A$ is $n$ -isogeny

## 5 Copower Objects of Module Actions (Another Action: Tensor)

**Theorem 11** Let  $A \in \mathfrak{Ab}_{E,R}$  and  $M$  a torsion free finitely presented  $R$ -module. If  $M$  and  $A$  are Tor-independent, then  $M$  is compatible with  $A$ : the power object  $M \cdot A$  is in  $\mathfrak{Ab}_{E,R}$ , and in particular is still an abelian variety. The copower object  $M \otimes_R A$  also exist in  $\mathfrak{Ab}_{E,R}$ .

**Proof.** Since  $M \cdot A = (M \otimes M_A) \cdot E$ , and  $M, A$  are Tor-independent, then  $M \otimes M_A$  is torsion-free and finitely presented, hence  $M \cdot A \in \mathfrak{Ab}_{E,R}$ .

For  $M \otimes A$  and the adjoint of copower, we have

$$\text{Hom}(M \otimes A, E) \cong \text{Hom}_R(M, \text{Hom}(A, E)) \cong \text{Hom}(A, [M, E]) \cong \text{Hom}([M_A, E], [M, E]) \cong \text{Hom}_R(M, M_A)$$

Hence  $M \otimes A$  is induced from  $\text{Hom}_R(M, M_A)$ , that is  $\text{Hom}_R(M, M_A) \cdot E = M \otimes A$ .  $\square$

Specially, if  $A$  is horizontal (conductor is 1), then for any torsion-free finitely presented  $M$ , we have  $M \cdot A \in \mathfrak{Ab}_{E,R}$ . Also, if  $M$  is projective,  $M \cdot A$  is also in  $\mathfrak{Ab}_{E,R}$ .

Let  $\lambda_A : A \rightarrow A^\vee$  be a symmetric morphism in  $\mathfrak{Ab}_{E,R}$ ,  $\Phi_H : M \rightarrow M^\vee$  induced by a Hermitian form, then by functoriality, we get a morphism  $M \cdot A \rightarrow M^\vee \cdot A^\vee$ , this morphism is symmetric. We denote the corresponding morphism as  $H \cdot \lambda_A$ .

**Theorem 12** Let  $A \in \mathfrak{Ab}_{E,R}$ , assume that  $M$  is compatible with  $A$ , then  $M^\vee$  is compatible with  $A^\vee$ , and  $M^\vee \cdot A^\vee = (M \cdot A)^\vee$ .

If  $\lambda_A$  is a polarization on  $A$ , and  $H_M$  is a positive-definite Hermitian form on  $M^\vee$ , then  $H_M \cdot \lambda_A$  is a polarization on  $M \cdot A$ . In particular, if  $\lambda_A$  is a principal polarization and  $H_M$  is unimodular on  $M$ , then  $(M \cdot A, H_M \cdot \lambda_A)$  is a principally polarized abelian variety.

Given a principal polarization  $\lambda_A$  on  $A$  as above, assume that  $\psi : (M_2, H_2) \rightarrow (M_1, H_1)$  is an  $n$ -similitude, and  $M_i$  is compatible with  $A$ . Then  $\phi = \psi \cdot A : (M_1 \cdot A, H_1 \cdot \lambda_A) \rightarrow (M_2 \cdot A, H_2 \cdot \lambda_A)$  is an  $n$ -isogeny, which we often write simply as  $M_1 \cdot A \rightarrow M_2 \cdot A$ . Moreover, the dual of isogeny  $\phi$  corresponds to the action of adjoint  $(M_1, H_1) \rightarrow (M_2, H_2)$ .

**Proof.** Since  $M \cdot A = (M \otimes M_A) \cdot E$ , then  $(M \cdot A)^\vee = ((M \otimes M_A) \cdot E)^\vee = (M \otimes M_A)^\vee \cdot E = (M^\vee \otimes M_A^\vee) \cdot E = M^\vee \cdot A^\vee$ .

The Hermitian form  $H_M \cdot \lambda_A$  is induced from  $M \otimes M_A \rightarrow (M \otimes M_A)^\vee$ . For modules  $M, M_A$  correspond to positive-definite Hermitian form (unimodular), we have  $M \otimes M_A$  correspond to positive-definite Hermitian form (unimodular).

We have prove  $M_1 \cdot A \rightarrow M_2 \cdot A$  is an isogeny. For  $\psi^* H_1 = n H_2$ , by tensor  $M_A$ , we have  $\psi^* H_1 \cdot \lambda_A = n H_2 \cdot \lambda_A$ .

□

## 6 Computing Module Action

### 6.1 Computing Module Action: Kernel Approach

$M$  is a module,  $m \in M$ , we have  $R \rightarrow M$  by  $r \rightarrow rm$ . Then there is  $m : M \cdot A \rightarrow A$ . If we can compute this map for any  $m$ , we say  $M \cdot A$  is effective.

**Proposition 12** Let  $M_2 \hookrightarrow M_1$  be an isogeny. Let  $A \in \mathfrak{Ab}_R$  be compatible with this isogeny. By assumption, the corresponding morphism  $M_1 \cdot A \rightarrow M_2 \cdot A$  is an isogeny. The kernel of this isogeny is given by  $(M_1/M_2) \cdot A = (M_1 \cdot A)[M_2]$ , i.e., the intersection of the kernels of all morphisms  $m : M_1 \cdot A \rightarrow A$  with  $m \in M_2$ ; conversely, if  $m \in M_1$  vanishes on this kernel, then  $m$  belongs to  $M_2$ .

**Proof.** Since  $M_2$  is finitely presented, we have an exact sequence  $R^m \rightarrow R^n \rightarrow M_2 \rightarrow 0$ . Hence we have an monomorphism  $[M_2, A] \rightarrow A^n$ , which can be written as  $(m_1, \dots, m_n) : [M_2, A] \rightarrow A^n$ , where  $m_1, \dots, m_n$  generate  $M_2$ .

Now we consider  $R^n \rightarrow M_2 \hookrightarrow M_1$ , which induced  $M_1 \cdot A \rightarrow M_2 \cdot A \rightarrow A^n$ . Therefore, the kernel of  $M_1 \cdot A \rightarrow M_2 \cdot A$  equals to the kernel of  $M_1 \cdot A \rightarrow A^n$ . Since  $M_2 \subseteq M_1$ ,  $R^n \rightarrow M_2 \hookrightarrow M_1$  is same as  $R^n \rightarrow M_2$  with different target, the isogeny  $M_1 \cdot A \rightarrow A^n$  can be written as  $(m_1, \dots, m_n) : M_1 \cdot A \rightarrow A^n$ , which means  $\ker(M_1 \cdot A \rightarrow M_2 \cdot A) = (M_1 \cdot A)[M_2]$ .

Conversely, if  $m : M_1 \cdot A \rightarrow A$  is zero on  $(M_1 \cdot A)[M_2]$ , and for  $M_1 \cdot A \rightarrow M_2 \cdot A$  is surjective, then  $m$  descends to  $M_2 \cdot A \rightarrow A = \text{Hom}(M_2 \cdot A, A) = M_2$ , which means  $m \in M_2$ . □

**Remark 8** How to understand  $[M_2, A] \rightarrow A^n$  is  $(m_1, \dots, m_n)$ . For  $m_i \in M_2 = \text{Hom}(M_2 \cdot A, A)$  and  $f : R^n \rightarrow M_2$  by acting  $(m_1, \dots, m_n)^T$  on  $R^n$ , which means for any  $(r_1, \dots, r_n) \in R^n$ ,  $f((r_1, \dots, r_n)) = (r_1, \dots, r_n) \cdot (m_1, \dots, m_n)^T$ , therefore,  $g : M_2 \cdot A \rightarrow A^n$  is also by acting the transpose of  $(m_1, \dots, m_n)^T$  on  $M_2 \cdot A$  i.e. for any  $P \in M_2 \cdot A$ ,  $g(P) = (m_1, \dots, m_n)(P) = (m_1(P), \dots, m_n(P))$ .

For example, let  $(I, H/\text{Nrd}(I)) \rightarrow (R, H)$  be a  $\text{Nrd}(I)$ -similitude, which is compatible with  $A$ . Then isogeny  $A \rightarrow I \cdot A$  has kernel  $(R/I) \cdot A = A[I] = \{x \in A \mid \gamma(x) = 0, \forall \gamma \in I\}$ .

**Corollary 13** Let  $(A, \lambda_A)$  be a principally polarized abelian variety in  $\mathfrak{Ab}_R$ , and  $\psi : (M_2, H_2) \rightarrow (M_1, H_1)$  be an  $n$ -isogeny compatible with  $A$ . If  $M_1 \cdot A$  has an effective module orientation,  $n$  is smooth, and the  $n$ -torsion on  $M_1 \cdot A$  is accessible, then we can effectively compute the  $n$ -isogeny  $\phi : M_1 \cdot A \rightarrow M_2 \cdot A$ .

**Proof.** We know that the kernel of  $\phi$  lies in  $(M_1 \cdot A)[n]$ , which is given by the intersection of the kernels of the morphisms  $m_i : M_1 \cdot A \rightarrow A$ , where  $m_1, \dots, m_s$  are the generators of  $\psi(M_2) \subset M_1$ . By assumption, we can compute  $m_i$  and recover these kernels through some discrete logarithm problems. Once we have the kernel, we can apply an isogeny algorithm to compute the isogeny. □

**Corollary 14** If  $(M, H_M)$  is a unimodular module of rank  $g$ , and we can find an  $n$ -isogeny  $(R^g, H_R^g) \rightarrow (M, H_M)$  compatible with a principally polarized abelian variety  $(A, \lambda_A)$ , where  $n$  is smooth and the  $n$ -torsion on  $A$  is accessible, then we can effectively compute  $(M, H_M) \cdot (A, \lambda_A)$ .

**Proof.** By the dual, we certainly have an effective module orientation on  $(R^g, H_R^g) \cdot (A, \lambda_A) = (A^g, \lambda_A^g)$ , where  $\lambda_A^g$  is the product polarization: for an element  $m \in R^g$  (represented as a column vector of elements in  $R$ ), the

corresponding morphism  $m : A^g \rightarrow A$  corresponds to the morphism induced by the row vector  $m^T$  and the orientation on  $A$ . So we apply the above corollary.

**Remark:** We also consider  $m \in M$  as  $(r_1, \dots, r_g) \in R^g$ , then  $R \rightarrow M$  is  $r \rightarrow (rr_1, \dots, rr_g)$ . Hence,  $A^g \rightarrow A$  is given by  $(a_1, \dots, a_g) \rightarrow r_1 a_1 + \dots r_g a_g$ .  $\square$

## 6.2 Computing Module Action: Clapoti Approach

**Theorem 15** Suppose that we have  $n_1$  and  $n_2$  isogeny, with  $n_1$  coprime to  $n_2$  and  $n_1 + n_2$  powersmooth, between two unimodular Hermitian modules  $(M_2, H_2), (M_1, H_1)$ , and that we know  $M_1 \cdot A$  and its module action. Then the two corresponding isogenies  $M_1 \cdot A \rightarrow M_2 \cdot A$  are efficiently computable.

**Proof.** Taking the contragredient of the  $n_2$ -isogeny, we have a  $n_1 n_2$ -isogeny  $\psi : (M_1, H_1) \rightarrow (M_1, H_1)$ , which splits as  $M_1 \rightarrow M_2 \rightarrow M_1$ , a  $n_2$ -isogeny followed by a  $n_1$ -isogeny, or as  $M_1 \rightarrow M'_2 \rightarrow M_1$ , a  $n_1$ -isogeny followed by a  $n_2$ -isogeny. We have  $M'_2 = \psi(M_1) + n_2 M_1 \subset M_1$ . Assume first that  $n_1 + n_2$  is powersmooth. The Kani construction gives us a  $(n_1 + n_2)$ -isogeny  $(M_1^2, H_1^2) \rightarrow (M_2, H_2) \oplus (M'_2, H'_2)$ , which we can compute efficiently, because the module orientation is effective on  $M_1^2 \cdot A = (M_1 \cdot A)^2$ , since it is on  $M_1 \cdot A$ . The corresponding  $(n_1 + n_2)$ -isogeny  $(M_1 \cdot A)^2 \rightarrow (M_2 \cdot A) \oplus (M'_2 \cdot A)$  allows us to recover both  $M_2 \cdot A$  and the two  $n_i$ -isogenies  $(M_1 \cdot A) \rightarrow (M_2 \cdot A)$ .  $\square$

## 6.3 Computing Action on Isogenies

Now for any isogeny  $\phi : A_1 \rightarrow A_2$ , unimodular  $M$ , we want to compute  $M \cdot \phi : M \cdot A_1 \rightarrow M \cdot A_2$ . We will assume  $M \cdot A_1$  is effective.

**Proposition 13** Let  $\phi : A_1 \rightarrow A_2$  be an  $n$ -isogeny between ppavs in  $\mathfrak{Ab}_R$  with kernel  $K$ . Let  $M$  be an  $R$ -module compatible with  $\phi$ . Then the kernel of  $M \cdot \phi : M \cdot A_1 \rightarrow M \cdot A_2$  is given by  $\{x \in M \cdot A_1 \mid m(x) \in K, \forall m \in M : M \cdot A_1 \rightarrow A_1\}$ . In particular, if  $M$  is unimodular and we know  $M \cdot A_1$ ,  $n$  is smooth and the  $n$ -torsion on  $M \cdot A_1$  is accessible, we can compute the  $n$ -isogeny  $M \cdot \phi$  efficiently via its kernel.

**Proof.** Take a surjection  $R^n \rightarrow M$  and consider the commutative diagram induced by functoriality:

$$\begin{array}{ccc} A_1^n & \xrightarrow{\text{diag}(\phi)} & A_2^n \\ \uparrow (m_1, \dots, m_n) & & \uparrow (m_1, \dots, m_n) \\ M \cdot A_1 & \xrightarrow{M \cdot \phi} & M \cdot A_2 \end{array}$$

The commutativity shows that  $\text{Ker}(M \cdot \phi) = \{x \in M \cdot A_1 \mid m(x) \in K, \forall m \in M : M \cdot A_1 \rightarrow A_1\}$ .  $\square$

**Proposition 14** Assume that we have an efficient representation of  $\phi : A_1 \rightarrow A_2$ , and that we also know both  $M \cdot A_1$  and  $M \cdot A_2$  and the module action of  $M$  on them. Then we can recover an efficient representation of  $M \cdot \phi$ .

**Proof.** We can evaluate  $\phi(P)$  on  $\ell$ -torsion points in  $A_1$  with small  $\ell$ . Since we also can compute  $M \cdot A_1 \hookrightarrow A_1^n$ ,  $M \cdot A_2 \hookrightarrow A_2^n$ , the commutative diagram gives the method to recover the image of  $M \cdot \phi$  on  $\ell$ -torsion points in  $M \cdot A_1$ . This is enough to compute  $M \cdot \phi$ .  $\square$

## 7 Module Action For $R, \mathcal{O}$ -bimodule

### 7.1 Supersingular Case: $R, \mathcal{O}$ -bimodule

**Theorem 16** Let  $E$  be a maximal supersingular curve  $E$  with endomorphism ring  $\mathcal{O}$ . Then the functor the functor  $A \mapsto \text{Hom}_{\mathbb{F}_{p^2}}(A, E)$  is an antiequivalence between the category of maximal supersingular abelian varieties over  $\mathbb{F}_{p^2}$  and finitely presented torsion free left  $\mathcal{O}$ -modules, the inverse functor being given by the power object construction  $M \rightarrow [M, E]$ .

A principal polarisation on  $A = M \cdot E$  is represented by an  $\mathcal{O}$ -integral unimodular positive definite Hermitian form  $H_M$  on  $M$ .

**Proof.** This is a special case of Theorem 6. □

**Remark 9** The dimension 1 case is  $M = \text{Hom}_{\mathbb{F}_{p^2}}(E', E)$ , this left  $\mathcal{O}$ -module corresponds to unimodular polarization  $H_M(\varphi_1, \varphi_2) = \varphi_1 \hat{\varphi}_2 \in \mathcal{O}$ , where  $\varphi_1, \varphi_2 : E' \rightarrow E$ .

Moreover, if  $\varphi : E \rightarrow E'$  is an isogeny corresponds to left  $\mathcal{O}$ -ideal  $I$ , then there is an isomorphism  $\iota : M \rightarrow I; m \rightarrow m \circ \varphi$ . Since  $H(\varphi_1 \circ \varphi, \varphi_2 \circ \varphi) = \deg(\varphi)H_M(\varphi_1, \varphi_2)$ , we define  $H_I(x, y) = \frac{x\bar{y}}{\text{Nrd}(I)}$ . In this case,  $H_M(\varphi_1, \varphi_2) = H_I(\iota(\varphi_1), \iota(\varphi_2))$ .

**Proposition 15** Let  $E$  be a supersingular curve with endomorphism  $\mathcal{O}$ , and suppose that  $E$  admits a primitive orientation by a quadratic imaginary ring  $R$ . Let  $M$  be a  $R$ -module,  $\mathcal{O} \otimes_R M$  is then a  $(\mathcal{O}, R)$ -bimodule, and  $[M, E]_R \simeq [\mathcal{O} \otimes_R M, E]_{\mathcal{O}}$  (where the isomorphism forgets the  $R$  orientation on  $[M, E]$ ).

**Proof.** This is a special case of Proposition 5. □

We can consider the above proposition as  $R$ -orientation, that is  $E' = M \cdot E = (\mathcal{O} \otimes_R M) \cdot E$ , the supersingular elliptic curve  $E'$  can be obtain from  $\mathbb{F}_{p^2}$  isogeny  $\varphi_{\mathcal{O} \otimes_R M}$  or  $R$ -orientation isogeny  $\varphi_M$ .

It should be noted that if we consider  $M$  as  $R$ -module, then  $M = \text{Hom}_R([M, E], E)$ ; however, if we consider  $M_{\mathcal{O}}$  as  $\mathcal{O}$ -module, we have  $M_{\mathcal{O}} = \text{Hom}_{\mathbb{F}_{p^2}}([M, E], E)$ . Moreover,  $M$  is the  $R$ -submodule of  $M_{\mathcal{O}}$  that commutes with the  $R$ -orientation on  $M \cdot E$  and  $E$ . If  $M$  commutes with the  $R$ -orientation on  $M \cdot E$  and  $E$ , we have  $M_{\mathcal{O}} = \mathcal{O} \otimes_R M$ . (For  $M_{\mathcal{O}}$  action sends  $E$  to  $[M, E]_R$ .) If we have  $M$ , we can obtain  $M_{\mathcal{O}}$  by  $M_{\mathcal{O}} = M \otimes_R \mathcal{O}$ . If we have  $M_{\mathcal{O}}$ , the  $R$ -module  $M$  is the set of elements  $m$  in  $M_{\mathcal{O}}$  satisfied  $m : E' \rightarrow E$  commutes with the  $R$ -orientation.

## 7.2 Special Case: Weil's Restriction

The Weil's restriction  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$  is defined as the descent of the abelian surface  $E \times E^{\sigma}$  from  $\mathbb{F}_{p^2}$  to  $\mathbb{F}_p$  (here  $\sigma$  is the Frobenius  $\pi_p$ ), under the Galois action  $(P_1, P_2) \mapsto (\sigma(P_2), \sigma(P_1))$ . In particular, if  $E/\mathbb{F}_p$  is an elliptic curve defined over  $\mathbb{F}_p$ , then  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)/\mathbb{F}_p$  is a twist of  $E^2/\mathbb{F}_p$ .

In particular, on  $E^2(\mathbb{F}_{p^2})$ , while the standard Frobenius from  $E^2/\mathbb{F}_p$  is  $(P, Q) \rightarrow (\sigma(P), \sigma(Q))$ , the one induced by  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)/\mathbb{F}_p$  is  $(P, Q) \rightarrow (\sigma(Q), \sigma(P))$ .

If  $E/\mathbb{F}_p$  is supersingular, it has  $(p+1)$  points over  $\mathbb{F}_p$ , so  $E^2(\mathbb{F}_p)$  has  $(p+1)^2$  points, while  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)(\mathbb{F}_p) \simeq E(\mathbb{F}_{p^2})$  also has  $(p+1)^2$  points. In particular,  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$  is isogeneous to  $E_0^2$ , and  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$  belongs to our category  $\mathfrak{A}_{E_0, R}$  (consider the action of  $\text{Hom}_{\mathbb{F}_p}(W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E), E_0)$ ), so is of the form  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E) = (M, H_M) \cdot E_0$ . More generally, if  $A/\mathbb{F}_{p^2}$  is a maximal supersingular abelian variety of dimension  $g$ , the Frobenius endomorphism on  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A)/\mathbb{F}_p$  satisfy  $\pi^2 = -p$ , hence  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A)$  is standard supersingular, so is isogeneous to  $E_0^{2g}$ .

**Theorem 17** Let  $E_0/\mathbb{F}_p$  be primitively oriented by  $R = \mathbb{Z}[\sqrt{-p}]$  and  $\text{End}(E_0) \cong \mathcal{O}_0$ . Let  $(M_{\mathcal{O}}, H_{\mathcal{O}}) = \text{Hom}_{\mathbb{F}_{p^2}}(E, E_0)$ , and  $(M_R, H_R) = \text{Hom}_{\mathbb{F}_p}(W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E), E_0)$ , so that  $E = (M_{\mathcal{O}}, H_{\mathcal{O}}) \cdot E_0$  and  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E) = (M_R, H_R) \cdot E_0$  by the antiequivalence of categories. Then  $\mathcal{O}_0 \otimes_R (M_R, H_R) = (M_{\mathcal{O}}, H_{\mathcal{O}}) \oplus (M_{\mathcal{O}}^{\sigma}, H_{\mathcal{O}}^{\sigma})$ , where  $M_{\mathcal{O}}^{\sigma}$  is the given by the Galois conjugation by  $\sigma$ , i.e.  $M_{\mathcal{O}}^{\sigma} = \pi_{E_0} M_{\mathcal{O}} \pi_E^{-1}$ .

So from  $M_R$  we recover  $M_{\mathcal{O}}$  by unicity of the orthogonal decomposition (it is crucial to have the polarisation  $H_R$  here), and conversely given  $M_{\mathcal{O}}$  we can recover  $M_R$  as the set of elements of  $M_{\mathcal{O}} \oplus M_{\mathcal{O}}^{\sigma}$  commuting with the following Galois action:  $\sigma \cdot (\alpha, \beta) = (\beta^{\sigma}, \alpha^{\sigma})$ , and  $H_R$  as the descent of  $H_{\mathcal{O}} \oplus H_{\mathcal{O}}^{\sigma}$ . This unimodular module  $(M_R, H_R)$  is isomorphic to  $(M_{\mathcal{O}}, H'_{\mathcal{O}})$  where  $H'_{\mathcal{O}}(x, y) = H_{\mathcal{O}}(x, y) + \pi H_{\mathcal{O}}(x, y) \pi^{-1} \in R$ , and  $\pi = \pi_{E_0} \in \mathcal{O}_0$ .

**Proof.** For  $M_R = \text{Hom}_{\mathbb{F}_p}(W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E), E_0)$ ,  $M_{\mathcal{O}} = \text{Hom}_{\mathbb{F}_{p^2}}(E, E_0)$ , we have  $[\mathcal{O}_0 \otimes_R M_R, E_0]_{\mathbb{F}_{p^2}} \cong [M_R, E_0]_{\mathbb{F}_p}$ , since  $[M_R, E_0]_{\mathbb{F}_p} = W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$ , the action of  $\mathcal{O}_0 \otimes_R M_R$  on  $E_0$  is isomorphic to  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$ . It means  $\mathcal{O}_0 \otimes_R M_R \cong \text{Hom}_{\mathbb{F}_{p^2}}(W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E), E_0)$ .

By  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E) = E \times E^\sigma$ , we have

$$\mathcal{O}_0 \otimes_R M_R = \text{Hom}_{\mathbb{F}_{p^2}/\mathbb{F}_p}(W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E), E_0) = \text{Hom}_{\mathbb{F}_{p^2}}(E, E_0) \oplus \text{Hom}_{\mathbb{F}_{p^2}}(E^\sigma, E_0) \cong \text{Hom}_{\mathbb{F}_{p^2}}(E, E_0) \oplus \text{Hom}_{\mathbb{F}_{p^2}}(E^\sigma, E_0^\sigma) \cong M_\theta \oplus M_\theta^\sigma$$

This is an orthogonal direct sum because if we consider  $H_R$  on  $\alpha \in M_\theta$  and  $\beta \in M_\theta^\sigma$ , the element  $\alpha$  (resp.  $\beta$ ) corresponds to  $\alpha \times 0 : E \times E^\sigma \rightarrow E_0; (P, Q) \rightarrow \alpha(P)$  (resp.  $0 \times \beta : (P, Q) \rightarrow \beta(Q)$ ). Hence, we have  $H_{\mathcal{O}_0 \otimes_R M_R}(\alpha, \beta) = (\alpha \times 0) \circ \widehat{0 \times \beta} = 0$ .

Conversely, if we have  $(M_\theta, H_\theta)$ , the elements in  $M_R$  is the element of  $\text{Hom}_{\mathbb{F}_{p^2}}(W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E), E_0)$  that commute with  $\pi = \sigma$ . For any  $(\alpha, \beta) \in \text{Hom}_{\mathbb{F}_{p^2}}(W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E), E_0) \cong \text{Hom}_{\mathbb{F}_{p^2}}(E, E_0) \oplus \text{Hom}_{\mathbb{F}_{p^2}}(E^\sigma, E_0)$ , we have  $\sigma((\alpha, \beta)) = (\sigma(\alpha), \sigma(\beta))$ , and  $(\alpha, \beta) \circ \sigma = (\beta \circ \sigma, \alpha \circ \sigma)$ , which means  $\beta = \pi_{E_0} \alpha \pi_E^{-1}$ .

Hence

$$\begin{aligned} M_\theta &\rightarrow M_R \\ \alpha &\rightarrow (\alpha, \pi_{E_0} \circ \alpha \circ \pi_E^{-1}) \end{aligned}$$

is an isomorphism between  $M_R$  and  $M_\theta$ , and

$$H'_\theta(x, y) = H_\theta(x, y) + H_\theta^\sigma(\pi_{E_0} \circ x \circ \pi_E^{-1}, \pi_{E_0} \circ y \circ \pi_E^{-1}) = x\bar{y} + \pi_{E_0} x \bar{y} \pi_{E_0}^{-1}$$

□

These results can be generalized to abelian varieties.

It should be noted that if  $E$  is defined over  $\mathbb{F}_p$ , then  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$  is isomorphic to a twist of  $E^2$ , there are two modules of  $E^2$ , that are  $\text{Hom}_{\mathbb{F}_p}(E^2, E_0) \cong I_E^2$  or  $I_E \times I_E^\sigma$ , where  $I_E = \text{Hom}(E, E_0)$ . The last module is compatible with the  $\sigma$ -action.

### 7.3 Scholten's Construction: From Bottom to Top

**Lemma 7** Let  $E_0/\mathbb{F}_p$  be a supersingular curve with primitive Frobenius orientation, and let  $A = M \cdot E_0$ . Then  $M$  is projective iff  $A[2](\mathbb{F}_p) \simeq (\mathbb{Z}/2\mathbb{Z})^g$ .

**Proof.** Let us assume first that  $p \equiv 3 \pmod{4}$ , so that  $R = \mathbb{Z}[\sqrt{-p}]$  is not maximal, and let  $\mathcal{O}_R$  be its maximal order.

Let  $M = \oplus \mathfrak{a}_i$ , then  $A[2]$  is isomorphic as a  $R$ -module to  $M/2M$  (as in the proof of Proposition 10). If  $O(\mathfrak{a}) = R$ , then  $\mathfrak{a}/2\mathfrak{a} \simeq R/2R$ , and  $\text{Ker}(\pi - 1) \simeq \mathbb{Z}/2\mathbb{Z}$  on  $\mathfrak{a} \cdot E_0$ , while if  $O(\mathfrak{a}) = \mathcal{O}_R$ , then  $\mathfrak{a}/2\mathfrak{a} \simeq \mathcal{O}_R/2\mathcal{O}_R$ , and  $\text{Ker}(\pi - 1) \simeq \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  on  $\mathfrak{a} \cdot E_0$ . (Here we also consider  $M \cdot E_0[2]$  as  $(I_1 \oplus \dots \oplus I_t) \cdot E_0[2]$ . If  $I_i \cdot E_0$  is on the top, then  $I_i \cdot E_0[2](\mathbb{F}_p) \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ ; otherwise,  $I_i \cdot E_0$  is on the bottom, then  $I_i \cdot E_0[2](\mathbb{F}_p) \cong \mathbb{Z}/2\mathbb{Z}$ .)

Since  $M$  is a direct sum of  $g$  modules  $\mathfrak{a}_i$ , and each  $\mathfrak{a}_i$  is invertible either in  $R$  or in  $\mathcal{O}_R$ . Let  $m$  be the number of modules invertible in  $R$ . Then  $A[2](\mathbb{F}_p) \simeq (\mathbb{Z}/2\mathbb{Z})^m \times (\mathbb{Z}/2\mathbb{Z})^{2(g-m)}$ .

Hence,  $M$  is projective iff  $m = g$  iff  $A[2](\mathbb{F}_p) \cong (\mathbb{Z}/2\mathbb{Z})^g$ .

If  $p \equiv 1 \pmod{4}$ ,  $R = \mathcal{O}_R$ , and in this case  $M$  is automatically projective, and  $A[2](\mathbb{F}_p)$  always equal to  $(\mathbb{Z}/2\mathbb{Z})^g$ . □

We can also prove the  $R$  orientation of  $A = M \cdot E_0$  extend to an  $\mathcal{O}_R$ -orientation iff  $A[2](\mathbb{F}_p) = (\mathbb{Z}/2\mathbb{Z})^{2g}$ . This can be checked directly: the  $R$  orientation extend to an  $\mathcal{O}_R$ -orientation iff  $\frac{1+\pi}{2}$  is well-defined on  $A$  iff  $\pi = 1$  on  $A[2]$ .

If  $E_0$  is on the bottom,  $E'_0$  is on the top, we have the action of  $M$  on  $E_0$  is horizontal to  $E_0^m \times E_0'^{g-m}$ . If  $m = 0$ , we say  $A = M \cdot E_0$  is on the top; if  $m = g$ , we say  $A = M \cdot E_0$  is on the bottom.

It is easily to see  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A)$  ( $A$  is supersingular abelian variety over  $\mathbb{F}_{p^2}$ ) is module action by projective module: if the dimension of  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A)$  is  $g$ , then  $\dim(A) = \frac{g}{2}$  and  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A)[2](\mathbb{F}_p) = A[2](\mathbb{F}_{p^2}) \cong (\mathbb{Z}/2\mathbb{Z})^g$ .

**The Weil restriction over  $\mathbb{F}_p$  are not Jacobian or product of elliptic curves in general.**

It should be noted that the classification of principally polarised abelian surface, due to Weil, is indeed as follows: a principally polarized abelian surfaces over finite field  $k$  is either

1. a product of two elliptic curves
2. the Jacobian of an hyperelliptic curve of genus 2
3. the Weil restriction of an elliptic curve defined over an extension  $k'$  of degree 2 of  $k$

The third case is often forgotten in the crypto litterature (because it disappears over  $\bar{k}$ , a Weil restriction is simple but not absolutely simple).

To solve this problem, we have Scholten's construction:

The method for constructing is gluing by kernel  $K = \{(T, \sigma(T)) \in E \times E^\sigma \mid T \in E[2]\}$  on  $E \times E^\sigma$ .

**Proposition 16** Let  $p \equiv 3 \pmod{4}$ ,  $R = \mathbb{Z}[\sqrt{-p}]$ ,  $\mathcal{O}_R$  be the maximal order,  $I = \langle 2, \pi + 1 \rangle$ . For any supersingular curve  $E$ , we have the Scholten's construction is given by  $I \cdot W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$ .

**Proof.** Since  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)[I] = W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)[2](\mathbb{F}_p)$ , and  $\pi(P, Q) = (\sigma(Q), \sigma(P))$ , then the kernel of  $I$ -acting is  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)[I] = W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)[2](\mathbb{F}_p) = \{(P, \sigma(P)) \in E \times E^\sigma \mid P \in E[2]\}$ .  $\square$

For  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$  can be written as  $M \cdot E_0$ , then the Scholten's construction  $I \cdot W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E) = I \cdot M \cdot E_0 = (M \otimes \mathcal{O}_R) \cdot E$ , where  $E = I \cdot E_0$  is on the top. Similarly to the case of abelian variety  $A$ , that is  $W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A) = I \cdot W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A)$ , and if  $A = M \cdot E_0$ , we have  $W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A) = I \cdot (M \cdot E_0) = (M \otimes_R \mathcal{O}_R) \cdot_{\mathcal{O}_R} E'_0$ , where  $E'_0 = I \cdot E_0$  is on the top.

From now on, we write Scholten's construction  $I \cdot W_{\mathbb{F}_{p^2}/\mathbb{F}_p}$  by  $W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}$ .

**Lemma 8** Assume that  $p \equiv 3 \pmod{4}$ . Scholten's construction  $W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A)$  is naturally  $\mathcal{O}_R$ -oriented, and in particular it has its full 2-torsion rational, and it even has a rational level 2 theta null point rational if  $p \equiv 7 \pmod{8}$ .

**Proof.** By the above discussion,  $W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A)$  comes from an  $\mathcal{O}_R$ -module action from  $E$  (where  $E = I \cdot E_0$ ), so it is naturally  $\mathcal{O}_R$  oriented and has its 2-torsion fully rational.

It remains to check that it has a rational level 2 theta null point. If 2 splits in  $\mathcal{O}_R$  (i.e.  $p \equiv 7 \pmod{8}$ ), the decomposition  $(2) = \mathfrak{p}_2 \bar{\mathfrak{p}}_2$  gives a symplectic decomposition  $B[4] = B[\mathfrak{p}_2^2] \oplus B[\bar{\mathfrak{p}}_2^2]$  for any  $\mathcal{O}_R$ -oriented abelian variety, so in particular for  $W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A)$ .

This is sufficient for  $W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A)$  to have a rational theta null point.  $\square$

The reason for Scholten's construction rather than Weil restriction is there are rational theta null points over  $\mathbb{F}_p$ .

**Proposition 17** Let  $\mathcal{O}_0 = \text{End}(E_0)$ ,  $\mathcal{O}'_0 = \text{End}(E'_0)$ ,  $A/\mathbb{F}_{p^2}$  be a maximal supersingular abelian variety, and  $(M_{\mathcal{O}'}, H_{\mathcal{O}'}) = \text{Hom}_{\mathbb{F}_{p^2}}(A, E'_0)$ . Then  $W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A) = (M_{\mathcal{O}'}, H_{\mathcal{O}'}) \cdot_{\mathcal{O}_R} E'_0$ , where  $M_{\mathcal{O}'}$  is considered as an  $\mathcal{O}_R$ -module and  $H_{\mathcal{O}'}$  is the Hermitian  $\mathcal{O}_R$ -form on  $M_{\mathcal{O}'}$  with the same quadratic form as the one given by  $H_{\mathcal{O}'}$ .

In other words, while the Weil restriction corresponded to forgetting the  $\mathcal{O}_0$  structure on  $M$  when  $A = M \cdot E_0$  (only keeping the  $R$ -structure), Scholten's construction corresponds to forgetting the  $\mathcal{O}'_0$  structure on  $M' = M \otimes_R I$  when  $A = M' \cdot E'_0$  (only keeping the  $\mathcal{O}_R$  structure).

**Proof.** We have  $E'_0 = I \cdot E_0$ , then  $E'_0 = (\mathcal{O}_0 \otimes_R I) \cdot E_0$ , and thus  $\text{Hom}_{\mathbb{F}_{p^2}}(E'_0, E_0) = \mathcal{O}_0 \otimes_R I$ .

Let  $I_0 = \mathcal{O}_0 \otimes_R I$ , which is a left  $\mathcal{O}_0$ -module. We have

$$\begin{aligned}
\mathcal{O}'_0 &= \text{Hom}(E'_0, E'_0) \\
&= \text{Hom}(I_0 \cdot E_0, I_0 \cdot E_0) \\
&= \text{Hom}_{\mathcal{O}_0}(I_0, I_0) = I_0^\vee \otimes_{\mathcal{O}_0} I_0 \\
&= \text{Hom}_{\mathcal{O}_0}(I \otimes_R \mathcal{O}_0, \mathcal{O}_0) \otimes_{\mathcal{O}_0} \mathcal{O}_0 \otimes_R I \\
&= \text{Hom}_R(I, \mathcal{O}_0) \otimes_R I = I^\vee \otimes_R \mathcal{O}_0 \otimes_R I \\
&= I \otimes_R \mathcal{O}_0 \otimes_R I
\end{aligned}$$

Similarly, if  $M_\mathcal{O} = \text{Hom}_{\mathbb{F}_{p^2}}(A, E_0)$ , we have  $M_{\mathcal{O}'} = \text{Hom}_{\mathbb{F}_{p^2}}(A, E'_0) = \text{Hom}_{\mathbb{F}_{p^2}}(M_\mathcal{O} \cdot E_0, (\mathcal{O}_0 \otimes_R I) \cdot E_0) = \text{Hom}_{\mathcal{O}_0}(\mathcal{O}_0 \otimes_R I, M_\mathcal{O}) = (\mathcal{O}_0 \otimes_R I)^\vee \otimes_{\mathcal{O}_0} M_\mathcal{O} = I_0^\vee \otimes_{\mathcal{O}_0} M_\mathcal{O}$ .

However,  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A) = M \cdot E_0$ , where  $M = M_\mathcal{O}$  as an  $R$ -module, and  $W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A) = I \cdot W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(A) = I \cdot M \cdot E_0 = M \cdot E'_0 = (M \otimes_R I) \cdot_{\mathcal{O}_R} E'_0 = M' \cdot_{\mathcal{O}_R} E'_0$ , and  $M' = I \otimes_R M$ .

But as an  $\mathcal{O}_R$ -module, we also have  $M_{\mathcal{O}'} = I \otimes_R \mathcal{O}_0 \otimes_{\mathcal{O}_0} M_\mathcal{O} = M'$ .

Now, for the polarisation, since  $E_0$  and  $E'_0$  are linked by a 2-isogeny, the  $\mathcal{O}$ -Hermitian form on  $M_{\mathcal{O}'}$  and  $M_\mathcal{O}$  and the  $R$ -Hermitian form on  $M'$  and  $M$  differ by a factor 2 (via the appropriate pullback). But the quadratic form on  $M$  is twice the quadratic form on  $M_\mathcal{O}$ , on the other hand the quadratic form on  $M'$  seen as a  $S$ -module is half the quadratic form on  $M'$  seen as a  $R$ -module. This means that the pullback of it on  $M$  is equal to  $H_M$ , whose quadratic form is twice the one induced by  $H_{M_\mathcal{O}}$  so corresponds to the pullback of  $M_{\mathcal{O}'}$ . In other words, the quadratic form induced on  $M'$  is precisely the one from  $M_{\mathcal{O}'}$ .  $\square$

**Proposition 18** Let  $E/\mathbb{F}_p$  be a rational supersingular curve with rational 2-torsion (so  $E$  is horizontally isogenous to  $E'_0$ ), and  $A = W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$ . Then  $A \simeq E \times E^t$ , where  $E^t$  is the quadratic twist of  $E$ .

**Proof.** Scholten's construction is given by the quotient of  $E \times E^\sigma$  by the kernel  $K = \{(T, \sigma(T)), \forall T \in E[2]\}$ . But with our hypothesis,  $E^\sigma = E$  and  $\sigma(T) = T$ , hence the kernel is simply  $K = \{(T, T), \forall T \in E[2]\}$ . Let  $\Phi : E^2 \rightarrow E^2, (P, Q) \mapsto (P + Q, P - Q)$ , this  $\Phi$  has the same kernel  $K$ , the diagonal of  $E[2]$  in  $E^2$ , hence  $E^2/K \simeq E^2$  over  $\mathbb{F}_{p^2}$ .

Now if we descend  $\Phi$  to  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$ , the codomain will be  $E \times E^t$  over  $\mathbb{F}_p$ . We can find it by keeping track of the Galois action, the one on the Weil restriction is given by  $\sigma(P, Q) = (\pi(Q), \pi(P))$ , and applying  $\Phi$  to this we get  $(\pi(P + Q), -\pi(P - Q))$ . So on the codomain the Galois action is the usual one on the first factor and on the second one (by  $-1$ ) on the second factor. This twist by  $-1$  corresponds to the quadratic twist  $E^t$  of  $E$ .  $\square$

## 8 Supersingular Isogeny Path Problem and Module Action Inversion

**Defintion 8 (Module Inversion)** Given principally polarized abelian vaeieties  $(A, \lambda_A)$ ,  $(M \cdot A, H_{M \cdot \lambda_A})$ , recover a description of the unimodular Hermitian module  $(M, H_M)$ .

Like in the supersingular case, we could ask for variants of this problem where we ask to recover the effective orientation of  $M$  on  $M \cdot A$ , or if we just want to recover partial informations on  $M$ , e.g. one element  $M \cdot A \rightarrow A$  corresponding to  $m \in M$ . We could also ask for the relationship, given  $M \cdot E_0$ , between knowing  $\text{End}_R(M \cdot E_0)$  and knowing  $M$  (in rank  $> 1$ ), and so on. We leave it for future work to study the relationship between these variants.

**Example 3** If  $(A, \lambda_A) = (M, H_M) \cdot (E_0, \lambda_{E_0})$ , then  $M = \text{Hom}_R(A, E_0)$ , and the Hermitian form  $H_M$  is given as follows: for  $m_1, m_2$  which we interpret as morphisms  $A \rightarrow E_0$ , then  $m_1 \hat{m}_2$  gives an  $R$ -endomorphism of  $E_0$ , hence an element of  $R$ , which is  $H_M(m_1, m_2)$ .



Let  $E$  be a supersingular elliptic curve. If we find an isogeny path  $\phi : E_0 \rightarrow E$ , then we obtain an isogeny  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(\phi) : W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_0) \rightarrow W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$ .

$$\begin{aligned} W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(\phi) : W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_0) &\rightarrow W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E) \\ (P, Q) &\rightarrow (\varphi(P), \sigma \circ \varphi \circ \sigma^{-1}(Q)) \end{aligned}$$

The Frobenius on left is  $(P, Q) \rightarrow (\sigma(Q), \sigma(P))$ , after  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(\phi)$ , the image is  $(\varphi(\sigma(Q)), \sigma \circ \varphi \circ \sigma^{-1}(\sigma(P))) = (\varphi(\sigma(Q)), \sigma \circ \varphi(P))$ .

The Frobenius on right is  $(\varphi(P), \sigma \circ \varphi \circ \sigma^{-1}(Q)) \rightarrow (\sigma^2 \circ \varphi \circ \sigma^{-1}(Q), \sigma \circ \varphi(P)) = ([-p] \circ \varphi \circ \sigma^{-1}(Q), \sigma \circ \varphi(P)) = (\varphi \circ \sigma(Q), \sigma \circ \varphi(P))$

Conversely, if we have some path  $\Phi : W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_0) \rightarrow W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$  over  $\mathbb{F}_p$ , then over  $\mathbb{F}_{p^2}$ , we get  $\Phi : E_0^2 \rightarrow E \times E^\sigma$ . Now  $\Phi$  is given by a matrix of isogenies, and at least one of the isogeny  $E_0 \rightarrow E$  or  $E_0 \rightarrow E^\sigma$  in this matrix is non-trivial. It follows that, composing with  $\pi_p$  if necessary, we obtain a non-trivial isogeny  $E_0 \rightarrow E$ . We see that the path problem between  $E_0$  and  $E$  and the one between  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_0)$  and  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$  are essentially equivalent.

**Theorem 18** Assume that we know  $\mathcal{O}_0 = \text{End}(E_0)$ . Then the isogeny path problem  $E_0 \rightarrow E$  reduces to the rank 2 module inversion problem on  $E_0, W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$ .

**Proof.** From  $E$  we can compute its Weil restriction  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$ . Let  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E) = (M, H_M) \cdot E_0$ . From Theorem 17, we have shown that the knowledge of  $I = \text{Hom}_{\mathbb{F}_{p^2}}(E, E_0)$  is equivalent to the knowledge of  $(M, H_M)$ . Since the isogeny path reduces to finding  $I$ , the result follows.  $\square$

How to compute  $M_2$  for given isogeny  $M_1 \cdot A \rightarrow M_2 \cdot A$ . Since  $M_2 \hookrightarrow M_1$  and  $(M_1 \cdot A)[M_2] = \ker(M_1 \cdot A \rightarrow M_2 \cdot A)$ ,  $nM_1 \subseteq M_2 \subseteq M_1$ , we can use  $\ker(M_1 \cdot A \rightarrow M_2 \cdot A)$  to test which elements of  $M_1$  belong to  $M_2$ .

**Remark 10** We give some heuristics about the security of the rank 2 module inversion:

- We focus on the subcategory  $\mathfrak{A}_{E_0, R}$  given by abelian surfaces: that is, supersingular abelian surfaces over  $\mathbb{F}_p$  which are isogenous to  $E_0^g$  over  $\mathbb{F}_p$ . We conjecture that there are about  $p^{3/2}$  such abelian surfaces, and that the  $\ell$ -isogeny graph is an expander graph.

The reason for the above conjecture is if  $A = W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$  is the Weil restriction of  $E$ , then we do not get another Weil restriction by the action of an invertible ideal (unless  $E$  is already defined over  $\mathbb{F}_p$ ). We note that there are about  $p$  Weil restrictions of supersingular curves, and  $\approx \sqrt{p}$  invertible ideals, which give  $p^{3/2}$  supersingular abelian surfaces isogenous to  $E_0^2$  over  $\mathbb{F}_p$ .

- The best known algorithm to solve the supersingular isogeny path problem has a time complexity of  $\tilde{O}(\sqrt{p})$ . Delf and Galbraith gives a heuristic version, which consists in taking random paths until we hit a supersingular curve over  $\mathbb{F}_p$ . We expect to solve the general module inversion problem by using a heuristic algorithm similar to Delf and Galbraith: take random paths until we find an abelian surface  $A'$  isogenous to a product polarization, and reduce it to a rank 1 problem, i.e., the Hermitian module corresponding to  $A'$  is an orthogonal direct sum of ideals. Then we perform the module inversion on  $A'$  and go back to the original  $A$  through a smooth path.

Heuristically, it should reach the target in  $\tilde{O}(\sqrt{p})$  time (for there are  $O(p^{3/2})$  vertices of  $\mathbb{F}_p$ -abelian surfaces isogenous to  $E_0^2$ , and the number of product of supersingular elliptic curves is approximately  $O(\sqrt{p} \cdot \sqrt{p}) = O(p)$ ). The rank 1 problem is known to be solvable in heuristic  $\tilde{O}(p^{1/4})$  time, or in quantum sub-exponential time. We can also look for a Weil restriction, which we expect to find in  $\tilde{O}(\sqrt{p})$  time.

However, since the problem of computing isogenies between  $E_0, E$  and the problem of Weil restriction of them are equivalent, the complexity of both problems is  $O(\sqrt{p})$ .

- Can we recover  $M$  from  $\text{End}(M \cdot A)$ ?

If  $A = E_0$  or other primitively oriented elliptic curves and  $M$  is an invertible ideal, then  $\text{End}(M \cdot A) = R$ , so this information is vacuous in that case.

If  $A = E_0$ ,  $M \cdot A = W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)$  is the Weil restriction of a supersingular elliptic curve  $E$  over  $\mathbb{F}_{p^2}$ , then

$\text{End}(A) = \text{Hom}_{\mathbb{F}_p}(W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E), W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E)) = \text{Hom}_{\mathbb{F}_{p^2}}(W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E) \times_{\mathbb{F}_p} \mathbb{F}_{p^2}, E) = \text{Hom}_{\mathbb{F}_{p^2}}(E \oplus E^\sigma, E) = \text{End}(E) \oplus \mathfrak{p}$ , where  $\mathfrak{p}$  is the unique bi-ideal of  $\text{End}(E)$  of reduced norm  $p$ :  $p = \mathfrak{p}^2$  in  $\text{End}(E)$ . So from  $\text{End}(E)$ , we obtain  $\text{End}(A)$ . Conversely, if we know  $\text{End}(A)$ , working over  $\mathbb{F}_{p^2}$ , we obtain endomorphisms of  $E \times E^\sigma$  and we easily get  $\text{End}(E)$ . From the knowledge of  $\text{End}(E)$ , we compute  $I = \text{Hom}_{\mathbb{F}_{p^2}}(E, E_0)$ . Hence, from Theorem 18, we obtain  $M$  such that  $W_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E) = M \cdot E_0$ .

## 9 $\otimes$ -MIKE: Tensor Module Isogeny Key Exchange

If  $G$  is a commutative group acting on a set  $X$ , there is the well known generalisation of Diffie-Hellmann key exchange given as follows: we fix a base point  $x_0 \in X$ , Alice and Bob takes secret  $a, b \in G$  and publish  $a \cdot x_0$  and  $b \cdot x_0$  respectively. Their common secret is  $(ab) \cdot x_0 = a \cdot (b \cdot x_0)$ .

**Model for CSIDH(OSIDH)** the action of the group  $\text{Pic}(R)$  of invertible  $R$ -ideals on  $R$ -oriented elliptic curves. We argue that we should consider the invertible ideals not as a group, but as the symmetric monoidal category of rank 1 projective modules over  $R$ .

Likewise, oriented elliptic curves form a natural category, with morphisms the  $R$ -oriented isogenies (and 0). It is easy to see that the usual ideal action is actually (contravariantly) compatible with these morphisms, hence form a symmetric monoidal contravariant action.

**Model for SQIsign** Assume that it is hard to compute morphisms in  $\mathcal{O}$  between two given objects; then Alice has for secret key such a morphism  $\varphi : x \rightarrow y$ , and for public key the domain and codomain  $x, y$ . Bob challenges with an element  $b \in \mathfrak{C}$  and Alice responds to the challenge with  $b \cdot \varphi : b \cdot x \rightarrow b \cdot y$ , since Bob can compute  $b \cdot x$ ,  $b \cdot y$ , he can check that the morphism is between the correct domain and codomain. Of course, a difficulty in instantiating such a scheme, is that  $b \cdot \varphi$  should not provide information on  $\varphi$ .

**Hermitian module key exchange:**

$$\begin{array}{ccc} A_0 & \longrightarrow & A_1 = M_1 \cdot A_0 \\ \downarrow & & \downarrow \\ A_2 = M_2 \cdot A_0 & \longrightarrow & A_{12} = (M_1 \otimes M_2) \cdot A_0 \end{array}$$

$(A_0, \lambda_{A_0}) \in \mathfrak{Ab}_R$  has dimension  $g_0$ ,  $M_1, M_2$  are finitely presented projective  $R$ -module with rank  $g_1, g_2$ ,  $A_{12} = (M_1 \otimes M_2) \cdot A_0$  has dimension  $g_1 g_2 g_0$ . If  $A_0 \in \mathfrak{Ab}_{E,R}$ , the projectivity condition on  $M_i$  to torsion free.

The method for Alice to compute  $M_1 \cdot A_0$  is to compute a smooth  $n_1$ -isogeny  $(M_1, H_1) \rightarrow (R^{g_1}, H_R^{g_1})$ , then she obtains  $A^{g_1} \rightarrow A_1$  for  $A^{g_1}$  is effective. Similarly to Bob.

Hence we have  $n_1$ -isogeny  $A_0^{g_1} \rightarrow A_1$  and  $n_2$ -isogeny  $A_0^{g_2} \rightarrow A_2$  (we require  $n_1, n_2$  are coprime), then we have the following diagram:

$$\begin{array}{ccc} A_0^{g_1 g_2} & \longrightarrow & A_1^{g_2} \\ \downarrow & & \downarrow \\ A_2^{g_1} & \longrightarrow & A_{12} = (M_1 \otimes M_2) \cdot A_0 \end{array}$$

On the module side, the diagram corresponds to:

$$\begin{array}{ccc} R^{g_1} \otimes_R R^{g_2} & \longrightarrow & M_1 \otimes_R R^{g_2} \\ \downarrow & & \downarrow \\ R^{g_1} \otimes_R M_2 & \longrightarrow & M_1 \otimes_R M_2 \end{array}$$

To compute  $A_{12}$ , we can also use Clapoti method, which means  $n_1 + n_2$  is smooth. This allows us to relax the smoothness condition on  $n_1$ .

### Instantiation on supersingular elliptic curves:

In order to have an efficient module key exchange, we will start on  $A_0 = E_0$  an elliptic curve, typically use a supersingular elliptic curve  $E_0/\mathbb{F}_p$  (on the bottom of the 2-volcano) to have a good control on its torsion, as in CSIDH, and act by rank 2 module (to prevent Kuperberg).

We will select a prime of the form  $u2^e - 1$  with  $e$  large, in order to use  $2^e$ -isogenies in higher dimension. So in that case  $A_1, A_2$  are supersingular abelian surfaces over  $\mathbb{F}_p$ , and  $A_{12}$  is of dimension 4. The key exchange takes  $3 \log p$  bit to send the Igusa invariants  $J(A_i)$ .

It should be noted that if  $p \equiv 3 \pmod{4}$ ,  $E_0 : y^2 = x^3 + x$  over  $\mathbb{F}_p$ , its twist  $E'_0 : y^2 = x^3 - x$  and  $IE_0 = E'_0$ . By computation, we have  $E_0^t = E'_0$  and  $E_0'^t = E_0$ . Hence  $W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E'_0) = E_0'^2$ .

$$\begin{array}{ccc} E'_0 & \xrightarrow{\quad\quad\quad} & E_1 \\ \downarrow & & \downarrow \\ E_2 & \xrightarrow{\quad\quad\quad} & W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_1) \otimes_{E'_0} W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_2) \end{array}$$
  

$$\begin{array}{ccc} E_0'^4 & \xrightarrow{\quad\quad\quad} & W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_1)^2 \\ \downarrow & & \downarrow \\ W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_2)^2 & \xrightarrow{\quad\quad\quad} & W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_1) \otimes_{E'_0} W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_2) \end{array}$$

For the security, we need  $E_1, E_2$  are randomly chosen. We use the method in SQIsign2D-West, that is one selects a left-ideal  $I$  in  $\mathcal{O}' = \text{End}(E'_0)$ , and computes two equivalent ideals  $I_1, I_2$  with reduced norm  $d_1, d_2$ , computes positive integers  $u, v$  such that  $ud_1 + vd_2 = 2^e$ ,  $u = u_1^2 + u_2^2$ ,  $v = v_1^2 + v_2^2$ , and computes an endomorphism  $\gamma$  of  $E'_0$  with degree  $uvd_1d_2$ , where  $\gamma \in \mathcal{O}'$ .

We also consider  $\gamma$  as an endomorphism of  $E'_0/\mathbb{F}_{p^2} \cong W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E'_0)/\mathbb{F}_p$ , therefore,  $\gamma \in M_2(\mathcal{O}_R)$ . Then  $\gamma$  is an endomorphism of  $W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_2)^2$ , since  $\gamma$  is in matrix of  $R$ -orientation. Alice then splits using a 8-dimensional isogeny to obtain the dimension four abelian variety  $A_{12}$ .

However, in this case, we need compute 8-dimension isogenies, which leads the key-exchange inefficient. When Alice obtains  $j(E_2)$  from Bob, she computes  $W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_2)$ . We assume the secret isogeny of Alice corresponds to left  $\mathcal{O}'$ -ideal  $I_1$ , then the embedding  $I_1 \hookrightarrow \mathcal{O}'$  is also an  $\mathcal{O}_R$ -module isogeny  $M_1 \hookrightarrow \mathcal{O}_R^2$  and the degree of this module isogeny equals to the degree of secret isogeny. She computes  $K = A_2^2[M_1]$ , where  $A_2 = W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_2)$ . By computing  $A_2^2/K$ , she obtains  $A_{12} = W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_1) \otimes_{E'_0} W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_2)$ . (Here we assume  $M_1 \hookrightarrow \mathcal{O}_R^2$  is  $m_1 \rightarrow (r_1, r_2)$ , then  $m_1 \cdot A_2 : (P, Q) \rightarrow r_1P + r_2Q$ , the kernel of this map is  $\ker(m_1)$  and  $\ker(M_1) = \cap \ker(m_1)$ .)

Hence, Alice only computes 4-dimensional isogeny. However, if Bob also computes  $2^e$ -isogeny as his secret isogeny, the actions of Alice after Bob leads to  $E_0'^4$ , then we need the degree of secret isogenies of Alice and Bob are coprime. Moreover, if Alice computes  $2^e$ -isogeny as secret key, Bob computes  $3^f$ -isogeny as secret key, it required the prime  $p$  equals to  $2^e 3^f - 1 \approx 2^{4\lambda}$ , and  $j$ -invariant  $\approx 2^{8\lambda}$ . This double the key size compared to the previous variant.

**Theorem 19** Assume that we know  $\mathcal{O}_0 = \text{End}(E_0)$ . Assume that the rank 2 module action-CDH from Weil restriction of supersingular curves is as hard as the inversion. Assume that the isogeny path problem on  $E_1, E_2$  is as hard as for a uniformly sampled supersingular curve  $E$ , and that the best attack against this problem is in  $\tilde{O}(\sqrt{p})$ .

Then for  $\lambda$  bits of security for  $\otimes$ -MIKE, we need to select  $p$  with size  $2\lambda$ . The key exchange which outputs the  $j$ -invariant of the  $E_i$  then takes  $4\lambda$  bits for each  $E_i$ .

**Proof.** By assumption action-CDH is as hard as action-inversion, which by Theorem 18 is at least as hard as the supersingular isogeny path problem on  $E_1$  or  $E_2$ .

We note that since  $2^e \approx p$ , there are  $\approx p$  possible different  $2^e$ -isogenies for Alice starting from  $E'_0$  over  $\mathbb{F}_{p^2}$ , so the assumption that the isogeny path problem between  $E'_0$  and  $E_1$  being as hard as for a random supersingular curve is not made immediately vacuous by a meet in the middle collision.  $\square$

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**algorithm 1** The  $\otimes$ -MIKE key exchange on Alice's side

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**INPUT:** The supersingular curve  $E'_0/\mathbb{F}_p : y^2 = x^3 - x$ , primitively oriented by  $\mathcal{O}_R$ , with  $p = u \cdot 2^e - 1$ .

**OUTPUT:** The common secret  $J(A_{12})$  in the  $\otimes$ -MIKE key exchange from Alice's point of view

- 1: As a precomputation step, compute a basis  $(P, Q)$  of  $E'_0[2^e]$  and how generators of  $\mathcal{O}'_0$  act on this basis.
  - 2: Alice selects a random kernel  $K = \langle uP + vQ \rangle$  of degree  $2^e$ , along with its corresponding ideal  $I$ .
  - 3: She computes  $E_1 = E/K$ , and send  $j(E_1)$  to Bob.
  - 4: The  $\mathcal{O}'_0$ -ideal  $2^e$ -similitude  $\Phi : I \hookrightarrow \mathcal{O}'_0$  gives (by forgetting the  $\mathcal{O}'_0$ -orientation), a unimodular  $\mathcal{O}_R$ -module  $2^e$ -similitude  $\psi : M_1 \hookrightarrow \mathcal{O}_R^2$ .
  - 5: She selects a model  $j(E_2)$  from Bob's model of  $E_2$ .
  - 6: She computes the Scholten construction  $A_2 = W'_{\mathbb{F}_{p^2}/\mathbb{F}_p}(E_2)$ .
  - 7: She computes the kernel  $K' = (A_2^2)[M_1]$ , where the action of  $m_1 \in M_1$  on  $A_2^2$  is given by, if  $\psi(m_1) = (\gamma_1, \gamma_2)$ ,  $(P_1, P_2) \in A_2^2 \mapsto \gamma_1 P_1 + \gamma_2 P_2 \in A_2$ , where  $\gamma_i P_i$  is computed via the Frobenius orientation.
  - 8: She computes the quotient  $A_{12} = (A_2^2)/K'$ .
  - 9: She output  $J(A_{12})$  where  $J$  are dimension 4 modular invariants.
- 

### Compare Module Action and Ideal Action

- Invertible ideals form a group, this is very convenient for cryptography. By contrast, projective modules of rank  $> 1$  are not invertible, hence we only have a monoid.
- Each action by a projective module of rank  $g$  multiplies the dimension by  $g$ . Hence, even in rank  $g = 2$ , we can only act by very few modules before the dimension explodes.
- It follows that the module action is a lot less flexible than the ideal action. However, for security, this drawbacks turn into advantages, preventing Kuperberg's algorithm to apply directly.
- The module action computes 4 or 8-dimensional isogeny, and ideal action computes 1-dimensional isogeny. However, ideal action requires using isogenies of large degree. And a dimension 4  $2^u$ -isogeny, while quite a bit slower than a dimension 1  $2^u$ -isogeny, will be faster than a dimension 1  $\ell$ -isogeny for  $\ell \approx 2^u$  large enough.
- The main drawback is that we have only discussed Alice's side here, while in Bob's side, he needs to compute a dimension 8  $2^e$ -isogeny over  $\mathbb{F}_p$  to complete the second part of the key exchange, which will be much more expansive (and annoying to implement) than Alice's dimension 4 counterpart.

### Kuperberg's algorithm can't apply for the module action

- First, we have a monoid, rather than a group.
- Secondly, the monoid is not finite, nor even finitely generated (a projective module of rank a prime number  $\ell$  can only be written as a tensor product of another module of rank  $\ell$  and an ideal)
- And finally, each action increases the dimension, so the action acts on an infinite set.

Although we now have good signatures algorithms, like SQIsign2D whose security properties reduce to the supersingular isogeny path problem, this is not the case key exchange schemes or public key encryption based on supersingular isogenies.

MIKE is a first step towards this direction, since it requires to publish neither torsion point, nor partial information on the endomorphism rings of  $E_1, E_2$ .

MIKE gets a more inefficient scheme (but still polynomial time with respect to the security parameter), especially on Alice's side, whose security reduces to the action-CDH problem for (the Weil restriction of) random supersingular elliptic curves.