

# Algorithms & Data Structures I

## CSC 225

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# A summary

	Comparison -based	Stable	In-place	Time Complexity
SELECTION-SORT	✓	✓	✓	$O(n^2)$

Good because the idea is very simple and intuitive!

# A summary

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SELECTION-SORT	✓	✓	✓	$O(n^2)$
INSERTION-SORT	✓	✓	✓	$O(n^2)$

Efficient for small inputs of around 20 elements

# A summary

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INSERTION-SORT	✓	✓	✓	$O(n^2)$
MERGE-SORT	✓	✓	✗	$O(n \log n)$

Stable and good time complexity

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HEAP-SORT	✓	✗	✓	$O(n \log n)$

Good time complexity and in-place

# A summary

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**Exercise:** show with an example that heap-sort is not stable? You have to show that original order of equal numbers is not preserved necessarily.

# Quicksort

- Quicksort is an in-place comparison-based **randomized** algorithm with **expected running time** of  $O(n \log n)$ .
- To be able to analyze a randomized algorithm we need to know about **mathematical expectation**.
- But let's first clarify what it means for an algorithm to be randomized.

# Randomized vs. deterministic

- A deterministic algorithm always takes the **same steps** on the same input.
- However, a randomized algorithm might take **different steps** even on the same input.



# Randomized vs. deterministic

- Imagine we have  $n$  doors and behind one of them there is prize.
- Give an algorithm to find the prize.



# Randomized vs. deterministic

- **Deterministic Algorithm 1:**  
Check the doors from left to right



# Randomized vs. deterministic

- **Deterministic Algorithm 1:**  
Check the doors from left to right
- What if the prize is always behind the last door?



# Randomized vs. deterministic

- **Deterministic Algorithm 2:**  
Check the doors from right to left
- What if the prize is always behind the first door?



# Randomized vs. deterministic

- **Randomized Algorithm:**

Flip a coin first:

if it's head check from left to right

if it's tail check from right to left



# Randomized vs. deterministic

- We can show that unlike the deterministic algorithms that check all  $n$  doors in the worst case, the randomized algorithm is **expected** to check  $\frac{n}{2}$  doors.
- Of course in this example we are saving only a constant factor which is not significant asymptotically; however, good randomized algorithms work very well even asymptotically.

# Probability

- Probability is a measure to show how likely is an outcome in an **experiment**.
- Any probabilistic statement is associated with a **probability space  $S$** .
- Each subset of the probability space is called an **event**.
- Each member of  $S$  is called an **elementary event**.

# Example

- For example, **rolling a dice** is an experiment.
- The probability space is  $\{1, 2, 3, 4, 5, 6\}$ .
- $\{2, 3, 5\}$  is an event  
means the event of getting **2 or 3 or 5** as the outcome.
- $\{1\}, \{2\}, \{3\}, \dots, \{6\}$  are all elementary events.



# Probability

- A probability function ***Pr*** associates a **real number** to each subset of  $S$ .
- We have  $\text{Pr}: \mathcal{P}(S) \rightarrow \mathbb{R}$  such that:
  1. For any event  $A$ ,  $0 \leq \text{Pr}(A) \leq 1$
  2.  $\text{Pr}(S) = 1$
- $\mathcal{P}(S)$  is called **power set** of  $S$ , which is the set of all subsets of  $S$ .

# Example

- In rolling a dice if we assume that each elementary event occurs with probability of  $\frac{1}{6}$ , we have
- $\Pr(\{1\}) = \dots = \Pr(\{6\}) = \frac{1}{6}$
- $\Pr(\{4, 5\}) = \frac{1}{6} + \frac{1}{6} = \frac{2}{6} = \frac{1}{3}$
- $\Pr(\{1, 2, \dots, 6\}) = \frac{1}{6} + \frac{1}{6} + \dots + \frac{1}{6} = 1$

# Discrete Random variable

- A **random variable  $X$  is a function** that maps each elementary event to a real value, i.e.  $X: S \rightarrow \mathbb{R}$
- A discrete random variable takes **only discrete values**.

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# Discrete Random variable

- A random variable  $X$  is a function that maps each elementary event to a real value, i.e.  $X: S \rightarrow \mathbb{R}$
- A discrete random variable takes only discrete values.
- **Question:** how is this different from probability function  $\text{Pr}: \mathcal{P}(S) \rightarrow \mathbb{R}$ ?
- **Answer:** Prob. function says how likely an outcome is, but a random variable says what is the value if an outcome occurs.

# Discrete Random variable

- Example of rolling a dice
- Say  $X$  is the random variable **showing the dice's outcome.**
- If you get a 3  $\rightarrow X = 3$
- If you get a 6  $\rightarrow X = 6$
- ...
- However **the probabilities** of these events **are the same.**

# Discrete Random variable

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- $\Pr(X = 3) = \frac{1}{6}$

# Discrete Random variable

- Example of rolling a dice
- Say  $X$  is the random variable **showing the dice's outcome.**
- We can also define events based on  $X$ :
- $\Pr(X = 3) = \frac{1}{6}$
- $\Pr(X \leq 2.5) = \frac{1}{6} + \frac{1}{6} = \frac{1}{3}$  ( $X$  has to be either 1 or 2)



# Expected value

- A basic characteristic of a random variable is its **expectation**.

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# Expected value

- A basic characteristic of a random variable is its **expectation**.

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- If  $X$  is the outcome of a dice, then
- $E[X] = 1 \times \frac{1}{6} + 2 \times \frac{1}{6} + \dots + 6 \times \frac{1}{6} = 3.5$

# Expected value

- Expected value doesn't mean which outcome is most likely.
- Intuitively, it means if we keep repeating the experiment **many many times** what is the value that we are going to see on average.

# Linearity of expectation

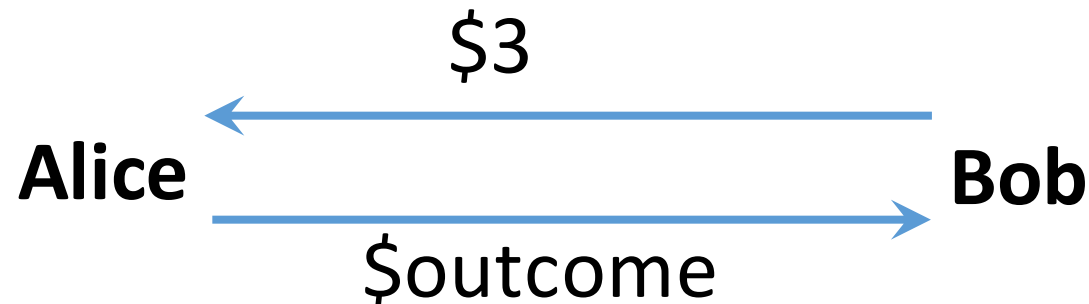
- An awesome property of the expected value is linearity
- For **any** collection of random variables  $X_1, X_2, \dots, X_n$ , if we have:
- $Y = X_1 + X_2 + \dots + X_n$ , then
- $$\begin{aligned} E[Y] &= E[X_1 + X_2 + \dots + X_n] \\ &= E[X_1] + E[X_2] + \dots + E[X_n] \end{aligned}$$

# Other properties

- Expected value of non-random quantity is the quantity itself, e.g.  $E[-3] = -3$
- $E[cX] = cE[X]$  for any constant  $c$ .

# A simple game

- Say Alice and Bob are playing a game with a dice
- **Rules:**
  1. Each round Bob has to pay \$3 to play the game
  2. In each round Alice throws the dice and pays Bob as much as the outcome of the dice



# A simple game

- Let  $X$  the random variable **representing Bob's profit**
- **Question:** How much profit can Bob expect to make in one round?



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# A simple game

- Let  $X$  the random variable representing Bob's profit
- **Question:** How much profit can Bob expect to make in one round?
- **Answer:** Say  $Y$  is the dice's outcome.

$$\begin{aligned} E[X] &= E[-3 + Y] \\ &= E[-3] + E[Y] = -3 + 3.5 = \$0.5 \end{aligned}$$

# A simple game

- Let  $X$  the random variable representing Bob's profit
- **Question:** How much profit can Bob expect to make in ten rounds?
- **Answer:** We can define  $X_1, X_2, \dots, X_{10}$  for each round the Bob plays. Because of the linearity of expectation, Bob's overall expected profit is \$5.

# Let's change the game

- Say Alice and Bob are playing a game with a dice

- **Rules:**

Bob pays \$5 to play	Alice pays <ul style="list-style-type: none"><li>• \$2 on odd numbers</li><li>• \$4 on a 2</li><li>• \$6 on a 4</li><li>• \$12 on a 6</li></ul>
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- $E[Y] = \frac{1}{2}2 + \frac{1}{6}4 + \frac{1}{6}6 + \frac{1}{6}12 = 4.66$

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- $E[X] = -5 + E[Y] = -0.34\$$

# Expected value

- In algorithms usually the random variable is **the running time of the algorithm**.
- A nice thing about expected value is that if for some variable  $X$ ,  $E[X] = a$ , then most of the time we can prove that with high probability  $X$  is close to  $a$ .
- If interested to know more about this, take a look at Chernoff bounds for example.



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- **Problem:** Let's say we have an unbiased coin, how many times do we expect to flip it until we get the **first head**?

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- $\Pr(X = 1) = \frac{1}{2}$

# One last problem

- **Problem:** Let's say we have an unbiased coin, how many times do we expect to flip it until we get the **first head**?
- Let  $X$  be the random variable **representing the answer**.
- $$\Pr(X = 2) = \underbrace{\frac{1}{2}}_{\text{tail}} \times \underbrace{\frac{1}{2}}_{\text{head}} = \frac{1}{4}$$

# One last problem

- **Problem:** Let's say we have an unbiased coin, how many times do we expect to flip it until we get the **first head**?
- Let  $X$  be the random variable **representing the answer**.
- $$\Pr(X = 3) = \underbrace{\frac{1}{2} \times \frac{1}{2}}_{\text{tail}} \times \underbrace{\frac{1}{2}}_{\text{head}} = \frac{1}{8}$$

# One last problem

- **Problem:** Let's say we have an unbiased coin, how many times do we expect to flip it until we get the **first head**?
- Let  $X$  be the random variable **representing the answer**.

- $$\Pr(X = k) = \underbrace{\frac{1}{2} \times \frac{1}{2} \times \cdots}_{k-1 \text{ tails}} \times \underbrace{\frac{1}{2}}_{\text{head}} = \left(\frac{1}{2}\right)^{k-1} \times \frac{1}{2} = \frac{1}{2^k}$$

# One last problem

- So,

$$E[X] = \sum_{k=1}^{\infty} k \Pr(X = k) = \sum_{k=1}^{\infty} \frac{k}{2^k}$$

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- We know that this sum is equal to 2 at infinity.
- Therefore, **we expect 2 flips** until we get the first head.

# One last problem

- So,

$$E[X] = \sum_{k=1}^{\infty} \frac{k}{2^k}$$

- We know that this sum is equal to 2 at infinity.
- Therefore, **we expect 2 flips** until we get the first head.
- In general, if the success probability in a Bernoulli trial is  $p$ , we expect  $\frac{1}{p}$  trials to see the first success.