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# Chapter 5 – Reducibility

CSC 320

## Reductions

Basic idea: we don't have to solve problems from scratch.      Use existing problems to solve new problems.

Can be useful in practice (e.g. SAT solvers) but is also a way to show that new problems are e.g., undecidable

Say, e.g., we have a language  $L$  and we want to know whether it is decidable.

Suppose we can show that *if* we *could* decide  $L$ , *then* we *could* decide  $A_{TM}$

We conclude that we *can't* decide  $L$

How do we show *if* . . . *then*? Reductions!

$$HALT_{TM}$$

$HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w \}.$

**Theorem:**  $HALT_{TM}$  is undecidable.

IDEA: Use machine for  $HALT_{TM}$  to solve  $A_{TM}$ .

Proof: Assume there is a TM  $R$  which decides  $HALT_{TM}$ . Construct the TM  $S$  to decide  $A_{TM}$  as follows:

1.  $S$  calls  $R$  on input  $\langle M, w \rangle$
2. If  $R$  rejects, reject.
3. If  $R$  accepts, simulate  $M$  on  $w$  until it halts (since  $R$  accepted we know this will happen).
4. If  $M$  accepts, accept. Else if  $M$  rejects, reject.

We have shown that if there is such an  $R$  then  $A_{TM}$  is decidable, but that is false, so our assumption is false and  $HALT_{TM}$  is undecidable.

## Test for Emptiness

$E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}.$

**Theorem:**  $E_{TM}$  is undecidable.

**Proof:** For any  $M, w$  we can let  $M_1$  be the TM which takes as input string  $x$ :

1. If  $x \neq w$ ,  $M_1$  rejects.
2. If  $x = w$ ,  $M_1$  runs  $M$  on input  $w$  and accepts if  $M$  does.

Now we construct TM  $S$  to decide  $A_{TM}$ . Let  $R$  be a hypothetical TM which decides  $E_{TM}$ :

$S$  has input  $\langle M, w \rangle$

1. Use  $\langle M, w \rangle$  to construct  $M_1$  as described above.
2. Run  $R$  on  $\langle M_1 \rangle$ .
3. If  $R$  accepts, reject; if  $R$  rejects, accept.

If  $R$  decided the emptiness of  $L(M_1)$ , then  $S$  decides  $A_{TM}$ . Therefore  $R$  can't exist and  $E_{TM}$  is undecidable.

## Equivalence of TM's

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TM's and } L(M_1) = L(M_2)\}.$$

**Theorem:**  $EQ_{TM}$  is undecidable.

IDEA: Can use such a TM to test if a language is empty.

**Proof:** Let  $R$  be the (hypothetical) TM which decides  $EQ_{TM}$ . Construct  $S$  to decide  $E_{TM}$  as follows:  $S$  is given  $\langle M \rangle$  as input.

1. Run  $R$  on  $\langle M, M' \rangle$ , where  $M'$  is the TM which rejects all strings.
2. If  $R$  accepts,  $S$  accepts. If  $R$  rejects,  $S$  rejects.

If  $L(M)$  is empty, then  $R$  accepts and  $S$  accepts. If  $L(M)$  is not empty then  $R$  rejects and  $S$  rejects. So  $S$  decides  $E_{TM}$ . But  $E_{TM}$  is undecidable so  $R$  cannot exist and  $EQ_{TM}$  is undecidable.

## Mapping Reducibility

We don't want to do an *ad hoc* argument every time we get a new problem. We will formalize what we have been doing using *mapping reducibilities*.

A *function*  $f : \Sigma^* \rightarrow \Sigma^*$  is a *computable function* if some TM on every input  $w$  halts with just  $f(w)$  on its tape.

Examples:  $f(w) = w^R$ , modifying a description of a TM  $\langle M \rangle$  in a simple way.

## Formal Definition

A language  $A$  is *mapping reducible* to a language  $B$  (written  $A \leq_m B$ ) (if there is a computable function  $f : \Sigma^* \rightarrow \Sigma^*$  where for every  $w$

$$w \in A \text{ iff } f(w) \in B$$

**Observation:**  $A \leq_m B$  iff  $\bar{A} \leq_m \bar{B}$ .

## Proving Problems are Decidable

**Theorem:** If  $A \leq_m B$  and  $B$  is decidable then  $A$  is decidable.

**Proof:** Let  $M$  be a TM which decides  $B$ . Construct a TM  $N$  which decides  $A$  using  $M$  as a subroutine:

1. Compute  $f(w)$
2. Run  $M$  on  $f(w)$

**Corollary:** If  $A \leq_m B$  and  $A$  is undecidable then  $B$  is undecidable.



## Proving Turing-recognizability

**Theorem:** If  $A \leq_m B$  and  $B$  is Turing-recognizable then  $A$  is Turing-recognizable.

**Corollary:** If  $A \leq_m B$  and  $A$  is not Turing-recognizable then  $B$  is not Turing-recognizable.

Recall that  $\overline{A_{TM}}$  is not Turing recognizable. We use this to show that  $EQ_{TM}$  is neither Turing recognizable nor co-Turing recognizable.

## Unrecognizability of $EQ_{TM}$

**Theorem**  $EQ_{TM}$  is not Turing recognizable

**Proof** We show  $A_{TM} \leq_m \overline{EQ_{TM}}$ , which implies  $\overline{A_{TM}} \leq_m EQ_{TM}$ .

Define  $f$  as follows:  $f(\langle M, w \rangle) = \langle M_1, M_2 \rangle$  where  $M_1, M_2$  are machines such that

1.  $M_1$  rejects all inputs;
2. For any input  $x$ ,  $M_2$  runs  $M$  on  $w$  and accepts if  $M$  accepts.

If  $\langle M, w \rangle \in A_{TM}$  then  $M$  accepts  $w$ . But then  $L(M_1) = \emptyset$  rejects everything and  $L(M_2) = \Sigma^*$ , so  $\langle M_1, M_2 \rangle \in \overline{EQ_{TM}}$ .

If  $\langle M, w \rangle \notin A_{TM}$  then  $L(M_1) = L(M_2) = \emptyset$  reject and  $\langle M_1, M_2 \rangle \notin \overline{EQ_{TM}}$ . So

$f$  is a mapping reduction from  $A_{TM}$  to  $\overline{EQ_{TM}}$ .

## Unrecognizability of $\overline{EQ_{TM}}$

**Theorem:**  $EQ_{TM}$  is not co-Turing recognizable

**Proof:** To show  $\overline{EQ_{TM}}$  is not Turing recognizable, we show a reduction from  $A_{TM}$  to  $EQ_{TM}$ . Define  $g$  as follows:  $g(\langle M, w \rangle) = \langle M_1, M_2 \rangle$  where  $M_1, M_2$  are machines such that

1.  $M_1$  accepts all inputs;
2. For any input  $x$ ,  $M_2$  runs  $M$  on  $w$  and accepts if  $M$  accepts.

Similar argument as before except  $M$  accepts  $w$  iff both  $M_1$  and  $M_2$  accept.

## Examples

Does  $M$  halt on the empty tape?

Is there any string which  $M$  halts on?

Given a TM and a state  $q$ , does the TM ever enter state  $q$ ?