

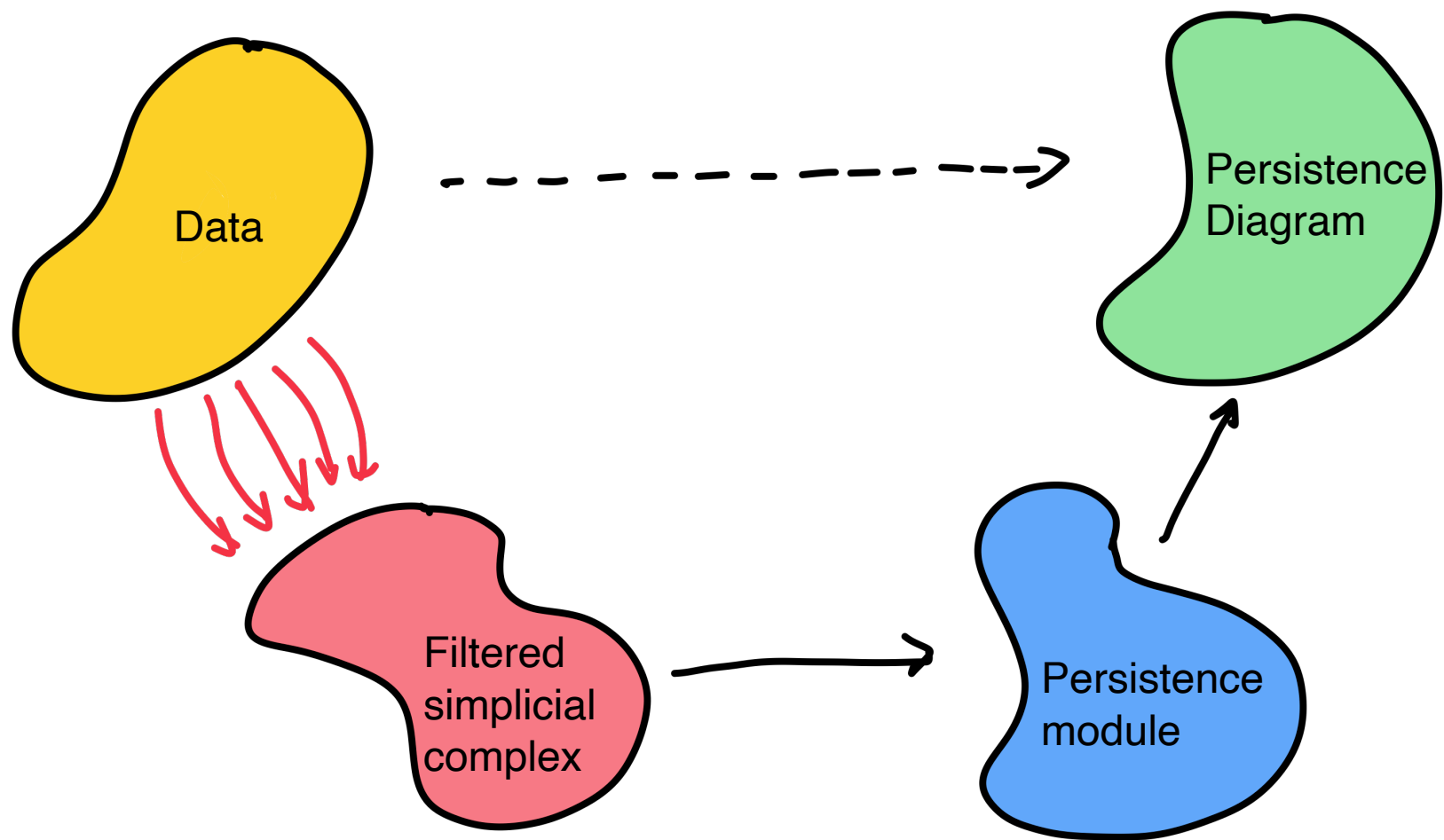
DSC 214

Topological Data Analysis

Topic 4-B: Persistent Homology for PCD and Functions

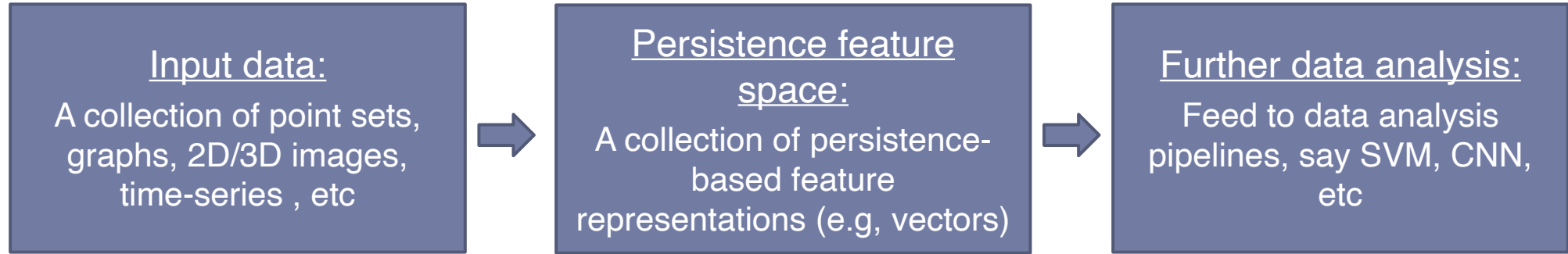
Instructor: Zhengchao Wan

Persistence-based Framework



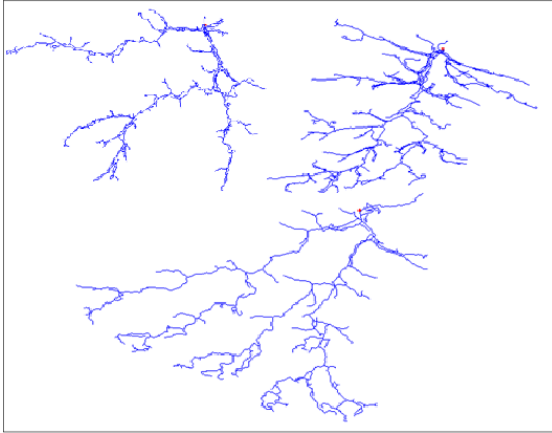
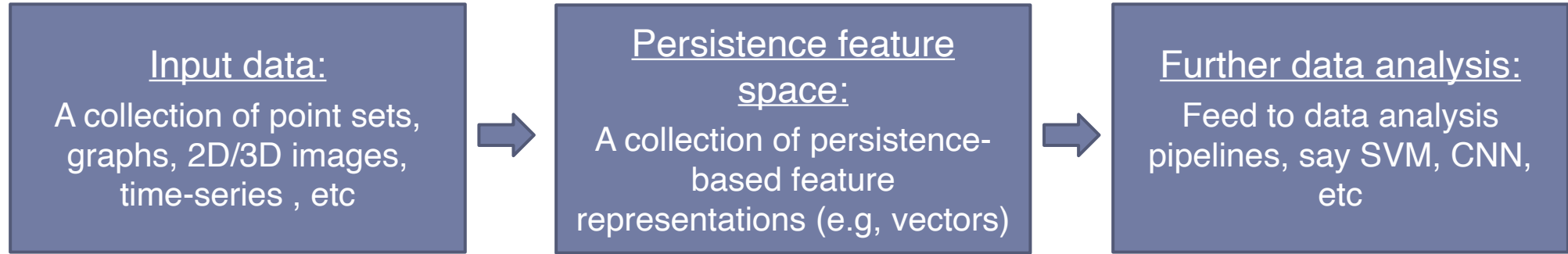
Persistence-based Framework

► Persistence-based feature representation



Persistence-based Framework

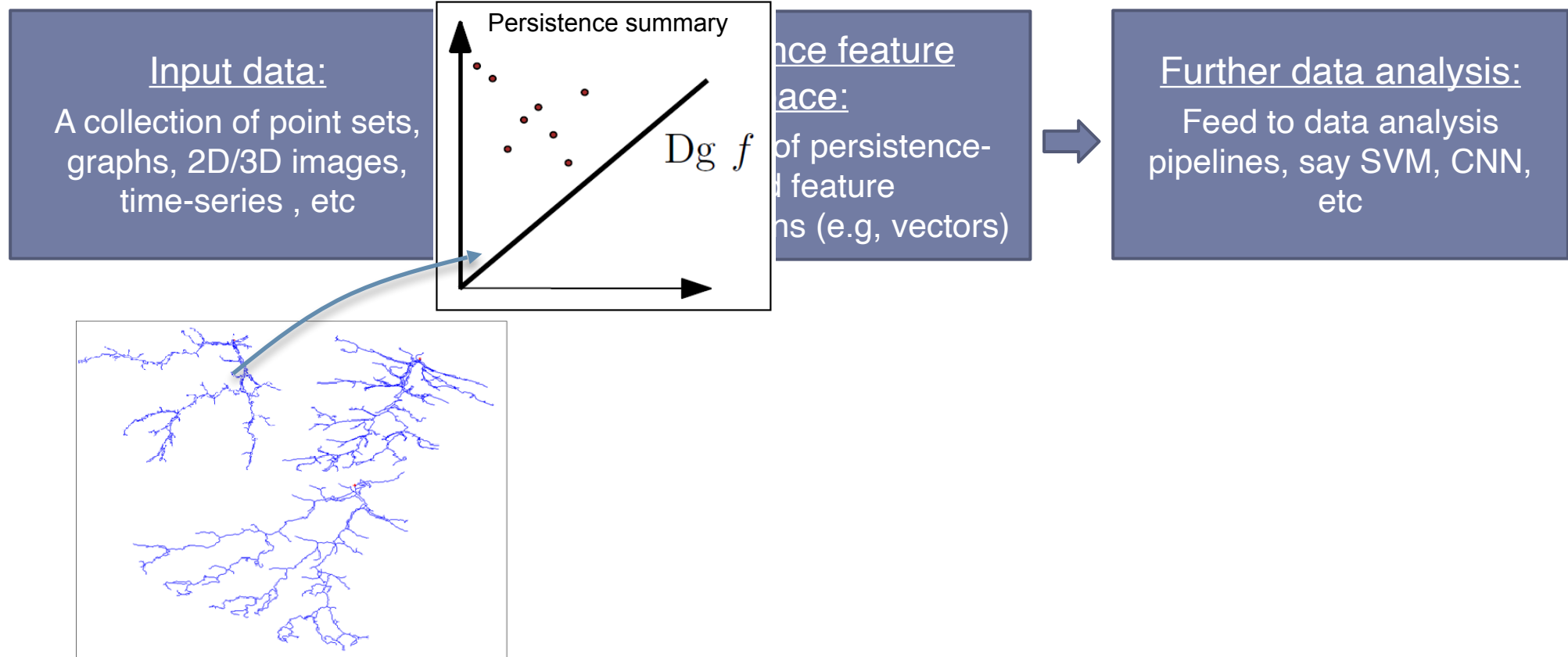
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[Li, et al, W., PLOS One 2017]

Persistence-based Framework

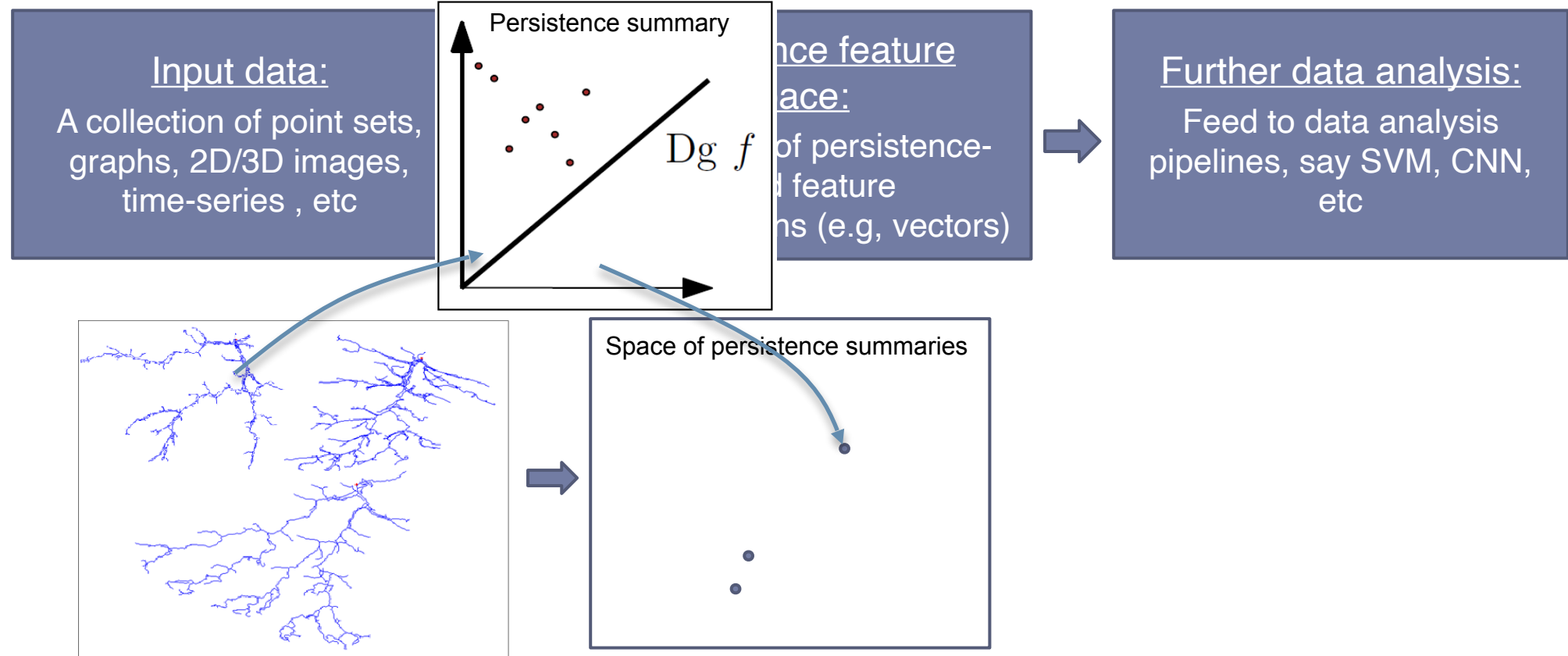
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[Li, et al, W., PLOS One 2017]

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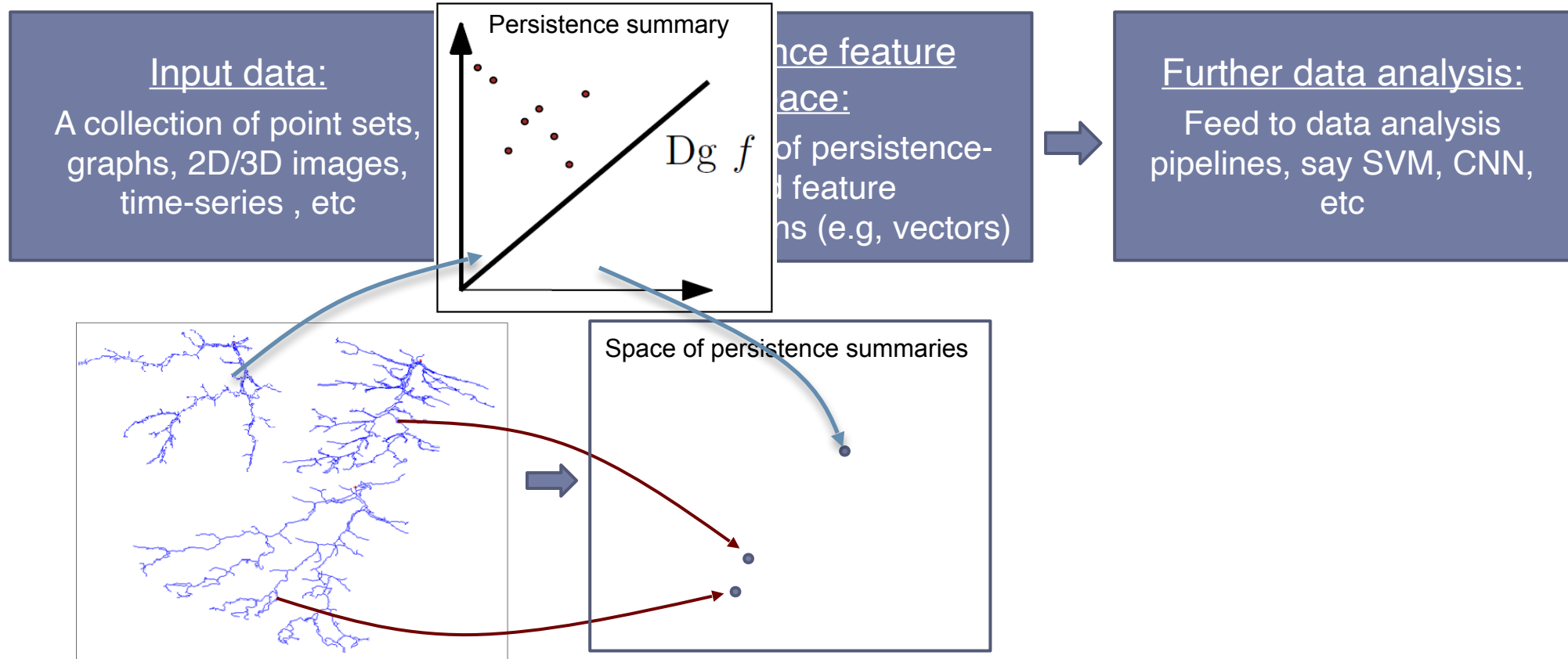
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[Li, et al, W., PLOS One 2017]

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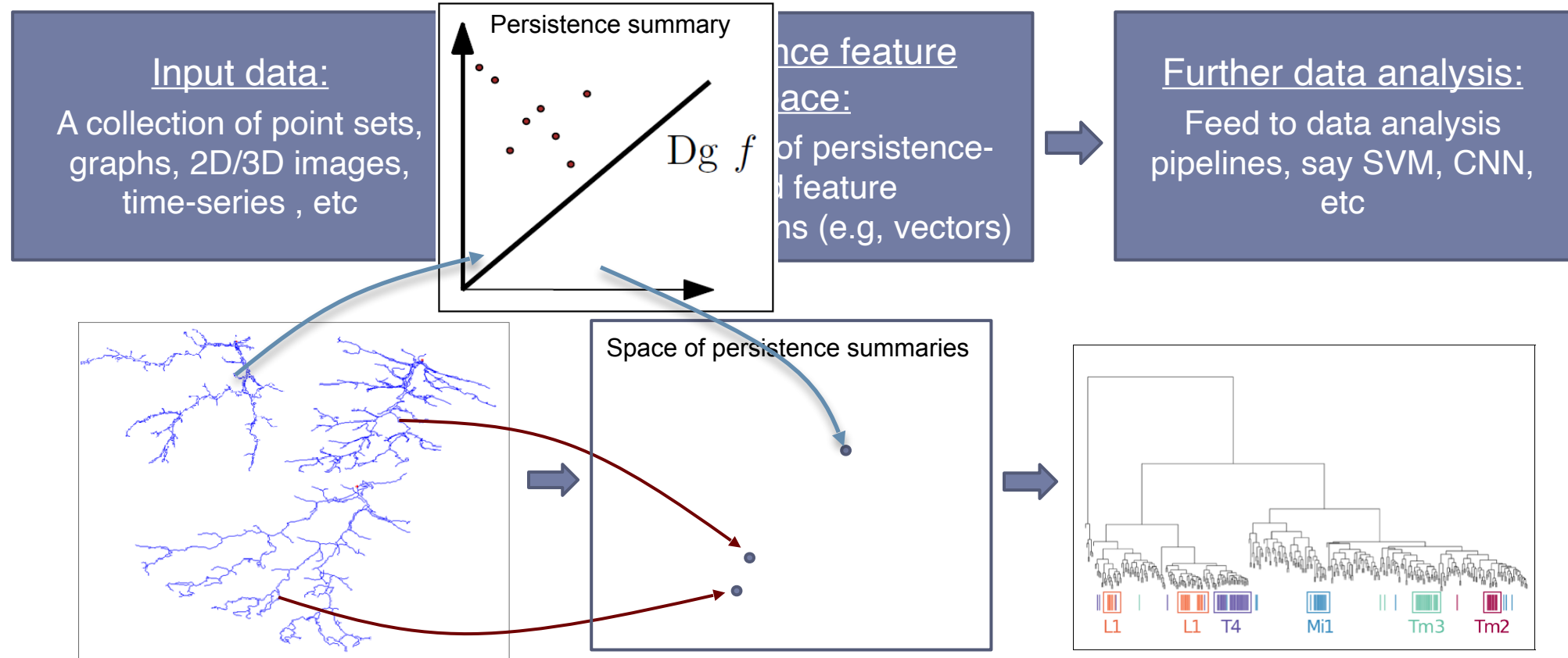
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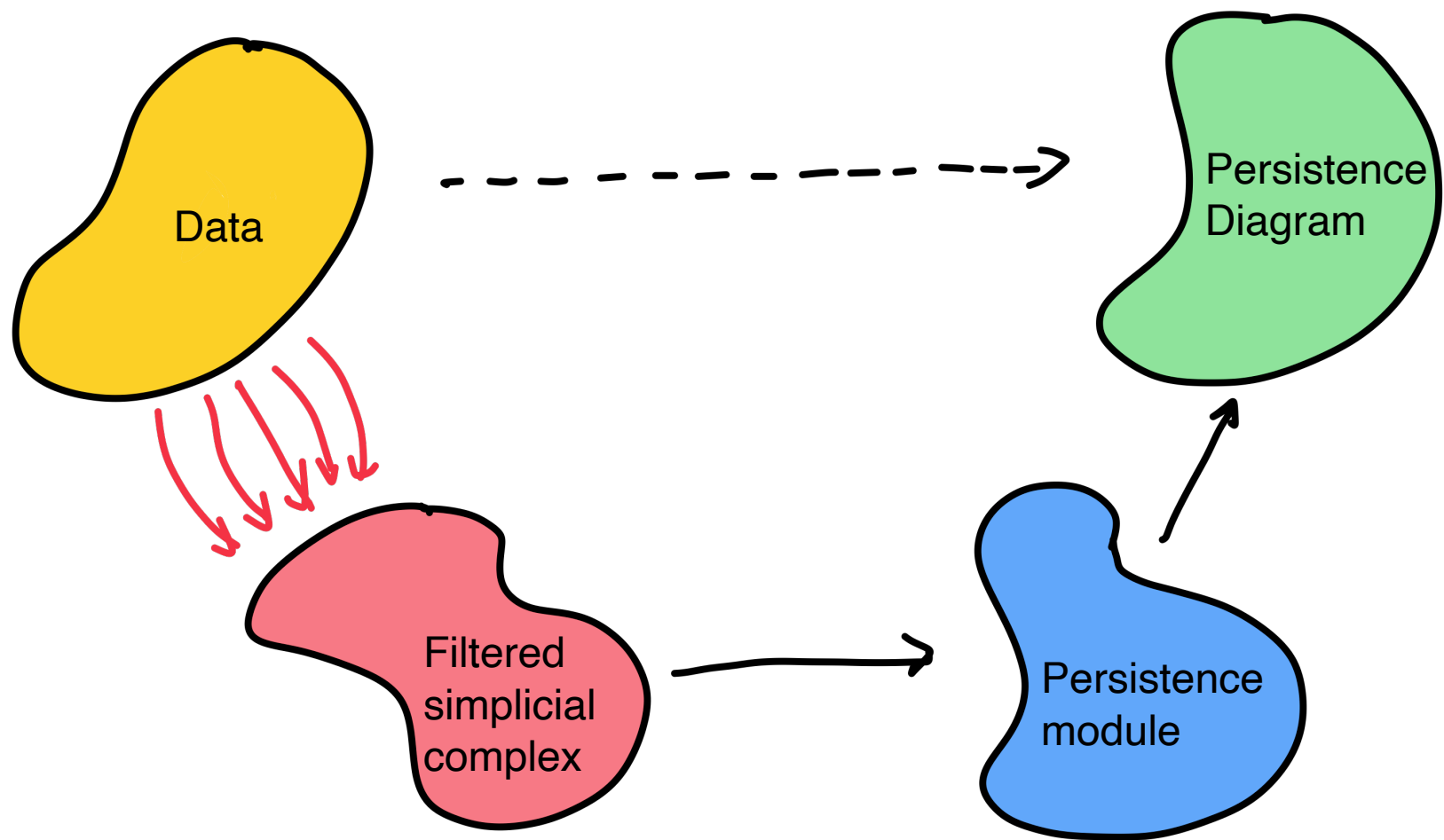
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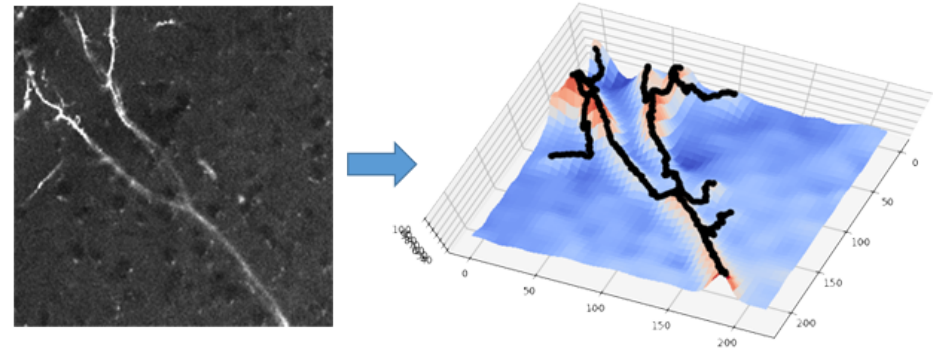
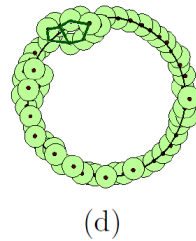
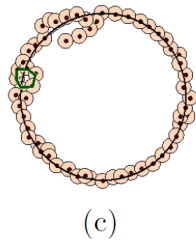
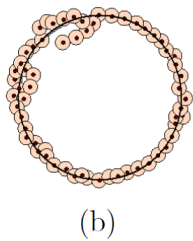
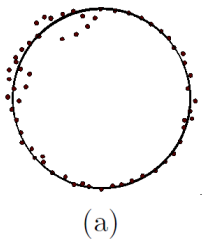
[Li, et al, W., PLOS One 2017]

- ▶ Recently, many methods for mapping persistence diagrams to a finite vector space or a Hilbert space
 - ▶ Persistence landscapes
 - ▶ [Bubenik 2012]
 - ▶ Persistence scale space kernel
 - ▶ [Reininghause et al., 2014]
 - ▶ Persistence images
 - ▶ [Adams et al., 2015, 2017]
 - ▶ Persistence weighted Gaussian kernel
 - ▶ [Kusano et al., 2017]
 - ▶ Sliced Wasserstein kernel
 - ▶ [Carriere et al., 2017]
 - ▶ Persistence Fisher kernel
 - ▶ [Le and Yamada 2018]
 - ▶

Persistence-based Framework



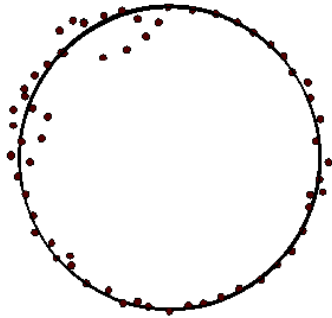
- ▶ how do we use persistent homology that introduced last time to different types of data?
- ▶ Two examples:
 - ▶ Point cloud data
 - ▶ Functions on triangulated spaces



Section 1:

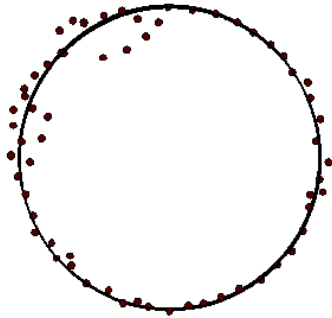
PH for Point Cloud Data

Type I: Point Cloud Data



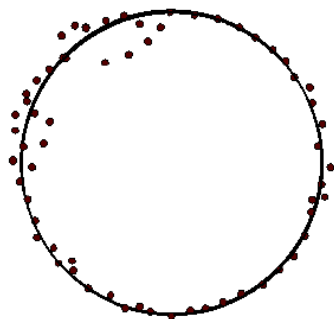
(a)

Type I: Point Cloud Data

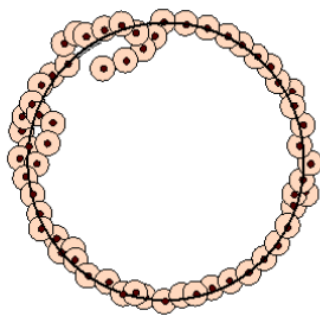


(a)

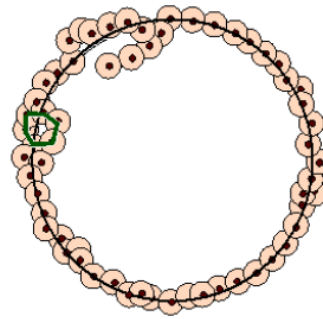
Type I: Point Cloud Data



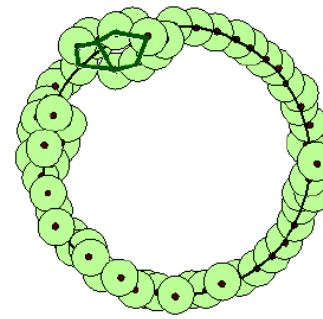
(a)



(b)

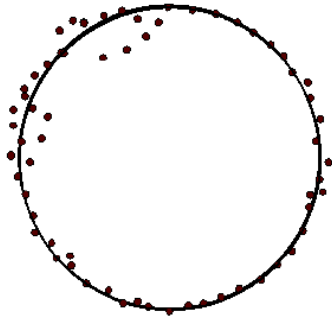


(c)

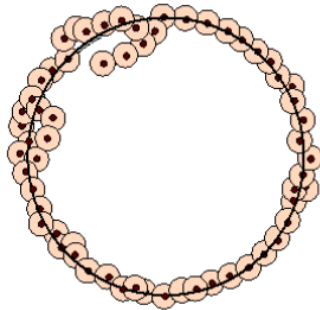


(d)

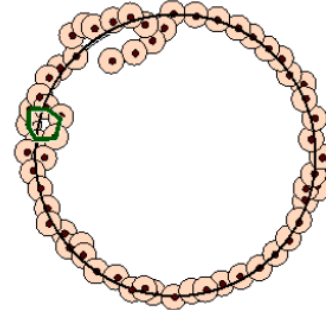
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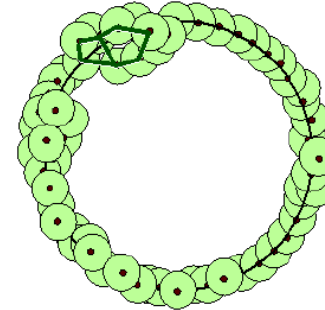
(a)



(b)



(c)



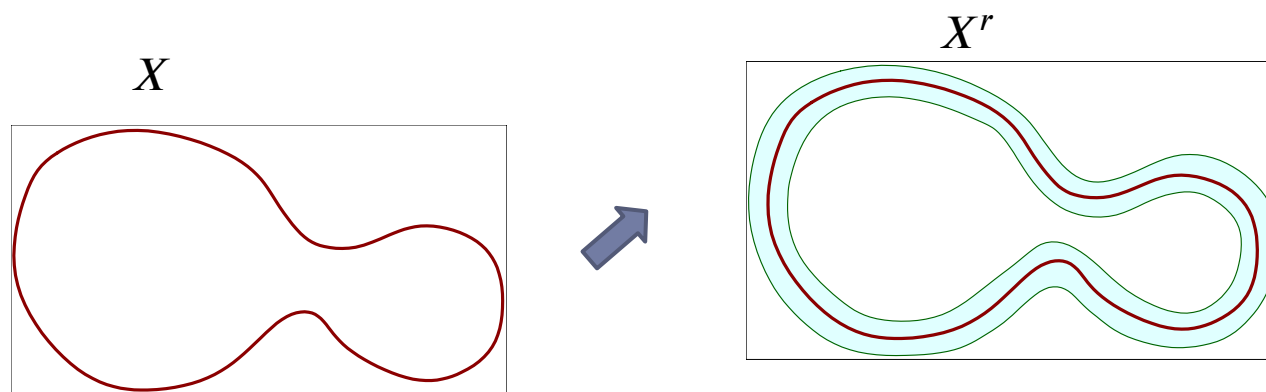
(d)

► Goal:

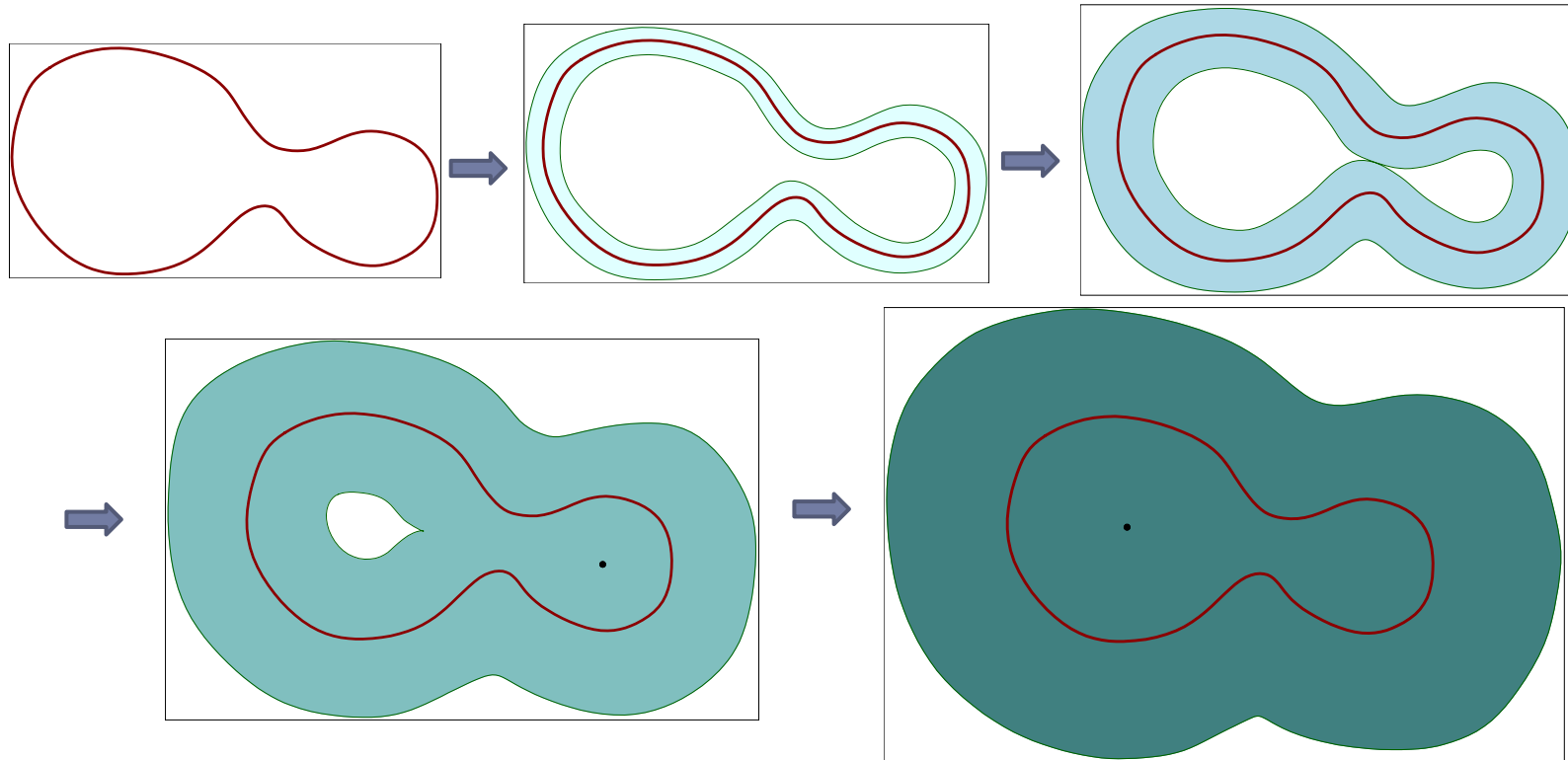
- Construct certain family of simplicial complexes spanned by these input points P at multiple scales
- Will mention two choices:
 - Čech complex and Rips complex induced by filtrations

Offset – Union of Balls

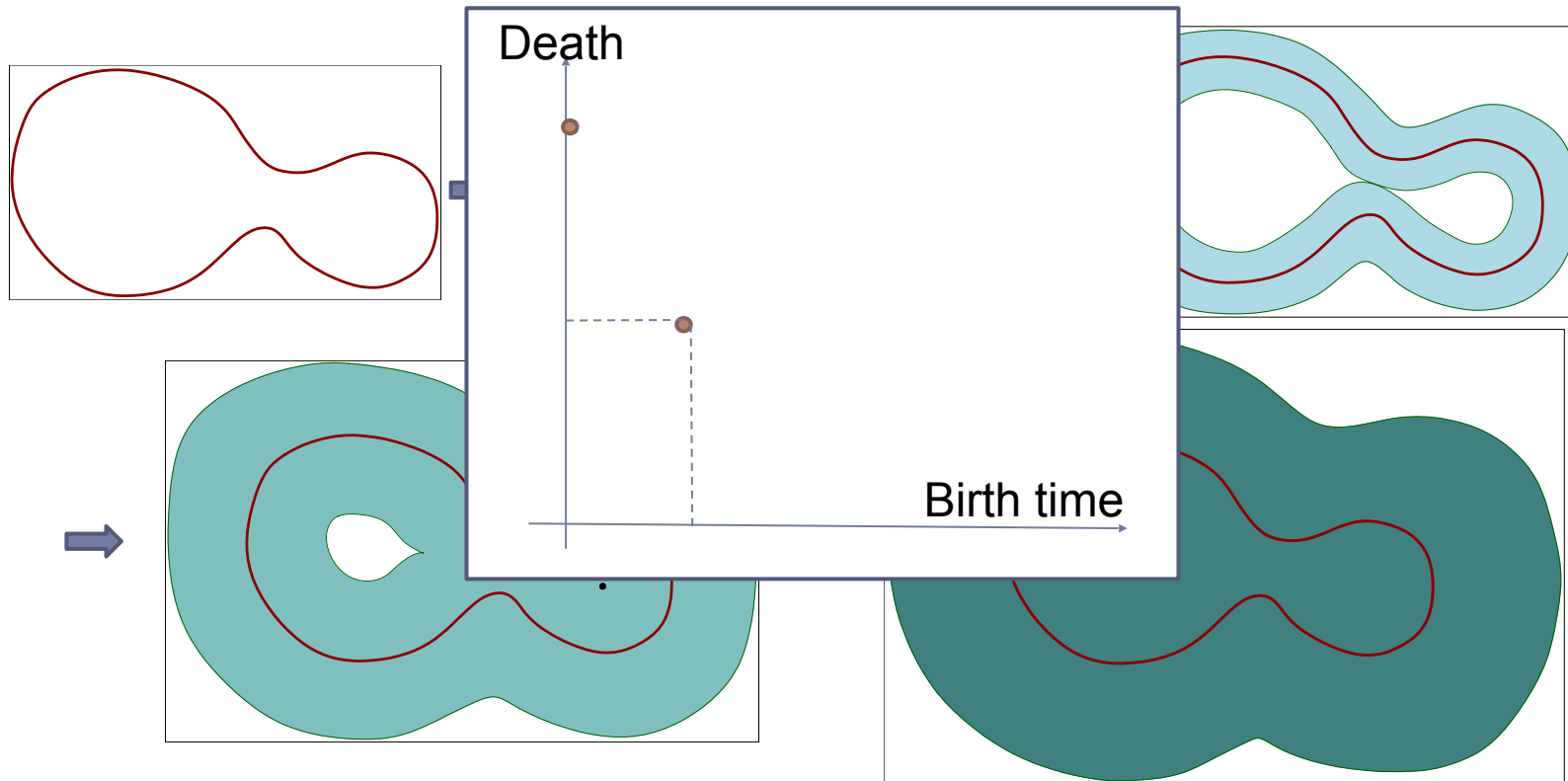
- ▶ $X \subset \mathbb{R}^d$: a compact subset of \mathbb{R}^d
 - ▶ a hidden space of interests
- ▶ X^r : r -offset of X
 - ▶ $X^r = \left\{ y \in \mathbb{R}^d \mid d(y, X) \leq r \right\} = \bigcup_{x \in X} B(x, r)$



- ▶ Target filtration: $X^{\alpha_0} \subseteq X^{\alpha_1} \subseteq \dots X^\alpha \subseteq \dots$
- ▶ PH induced by this filtration provides a summary of X

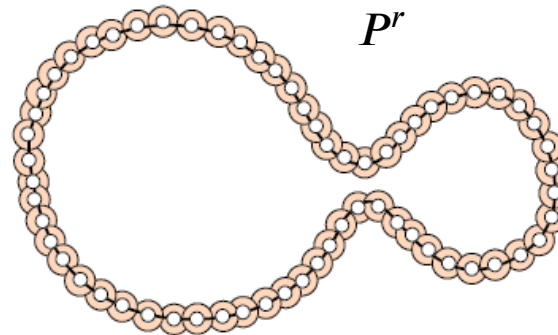
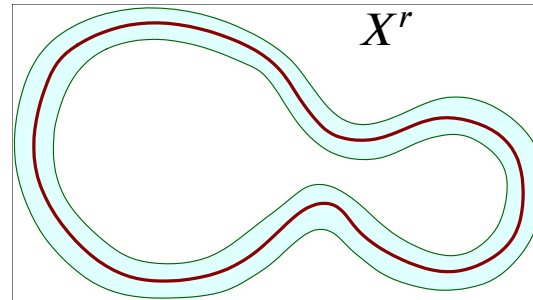
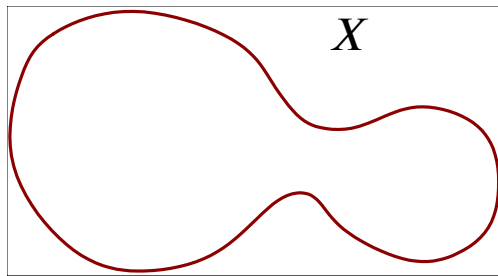


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Union of Balls

- ▶ Target filtration: $X^{r_0} \subseteq X^{r_1} \subseteq \dots X^r \subseteq \dots$
- ▶ Instead of X , we are only given PCD P
 - ▶ $P^r = \bigcup_{p \in P} B(p, r)$
 - ▶ Intuitively, P^r approximates X^r

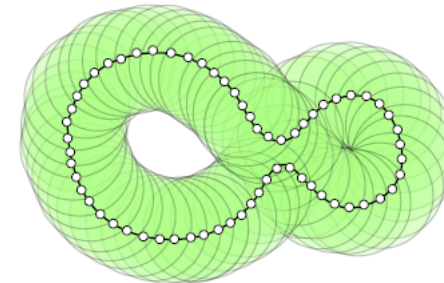
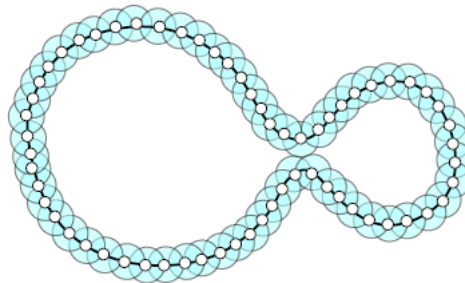
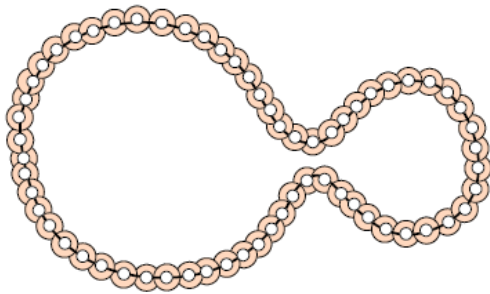


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 - ▶ As we increase r :
 - ▶ Intermediate filtration: $P^{r_0} \subseteq P^{r_1} \subseteq \dots P^r \subseteq \dots$

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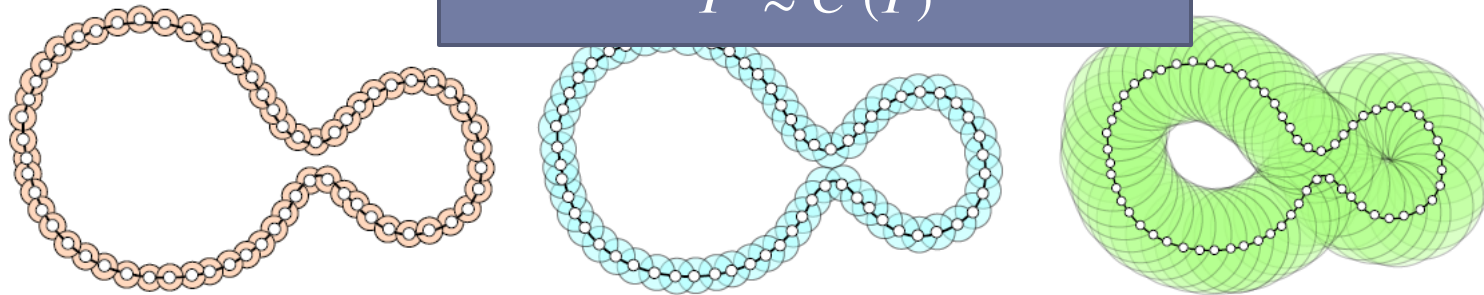
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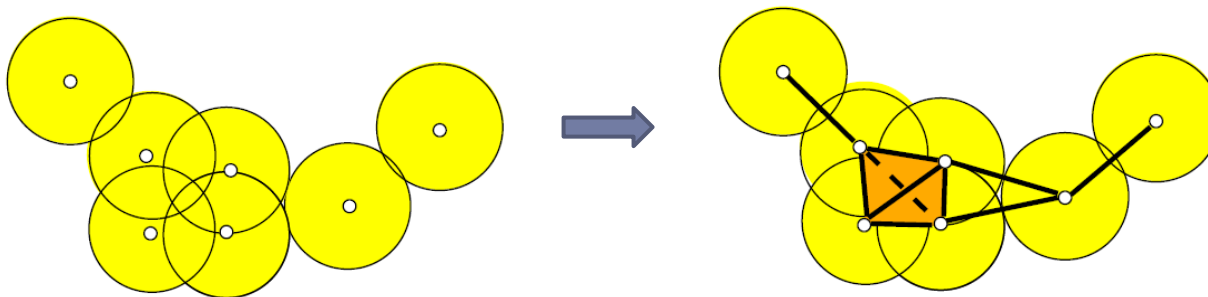
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By Nerve Lemma,
 $P^r \approx C^r(P)$



Recall: Čech Complex

- ▶ Given a set of points $P = \{ p_1, p_2, \dots, p_n \} \subset R^d$
- ▶ Given a real value $r > 0$, the *Čech complex* $C^r(P)$ is the *nerve* of the set $\left\{ B(p_i, r) \right\}_{i \in [1, n]}$
- ▶ i.e, $\sigma = \{ p_{i_0}, \dots, p_{i_s} \} \in C^r(P)$ iff $\bigcap_{j \in [0, s]} B(p_{i_j}, r) \neq \emptyset$
- ▶ The definition can be extended to a finite sample P of a metric space.



Nerves

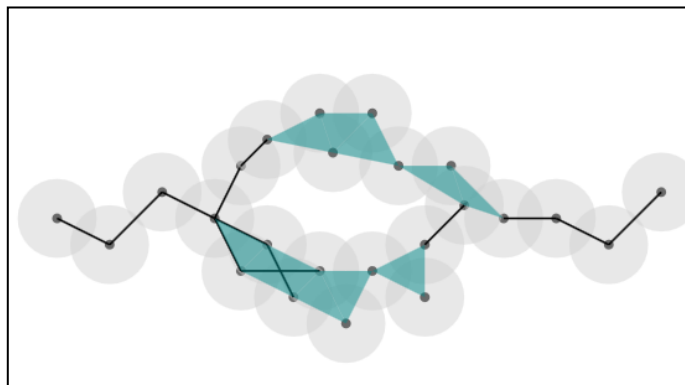
- ▶ Given a finite set F , its **nerve complex** $Nrv(F)$ is
 - ▶ defined as all non-empty subset of F with non-empty common intersection
 - ▶ i.e, $Nrv(F) = \left\{ X \subseteq F \mid \bigcap_{\sigma \in X} \sigma \neq \emptyset \right\}$

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- ▶ Hence Čech complex $C^r(P)$
 - ▶ is the nerve of $F = \left\{ B(p, r) \mid p \in P \right\}$
 - ▶ i.e, $C^r(P) = Nrv(F)$

Nerve Lemma

- ▶ Nerve Lemma (a simplified version):
 - ▶ Let \mathcal{U} be a finite collection of closed, convex subsets in \mathbb{R}^d . Then $|Nrv(\mathcal{U})| \simeq \bigcup_{\alpha \in A} U_\alpha \subset \mathbb{R}^d$.



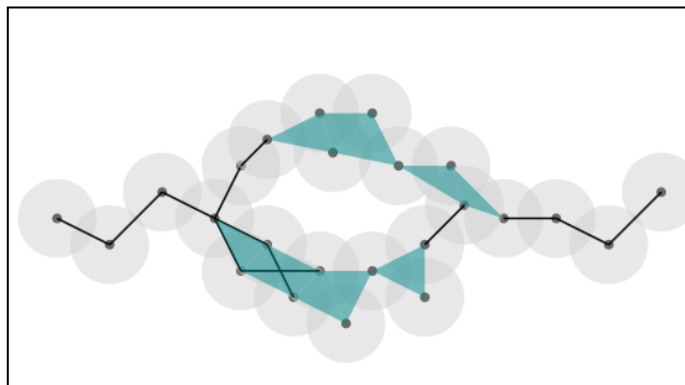
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- ▶ **Corollary:**

- ▶ $|C^r(P)| \simeq \bigcup_{p \in P} B(p, r)$, i.e, $|C^r(P)|$ is homotopy equivalent to the union of r -balls around points in P



Persistent Homology Inference from PCD

- ▶ **Input:**

- ▶ A set of points $P \subseteq \mathbb{R}^d$ sampled on/around X

- ▶ **Question:**

- ▶ How to approximate the persistence module induced by F_X ?

Target filtration (F_X):	$X^{r_0} \subseteq X^{r_1} \subseteq \dots X^r \subseteq \dots$
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Intermediate filtration:	$P^{r_0} \subseteq P^{r_1} \subseteq \dots P^r \subseteq \dots$
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$\cong \updownarrow$ By Nerve Lemma

Čech filtration (\mathcal{C}_X):	$C^{r_0} \subseteq C^{r_1} \subseteq \dots C^r \subseteq \dots$
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Intermedi

The approximation of their persistence diagrams can be made precise by using the so-called interleaving distance.

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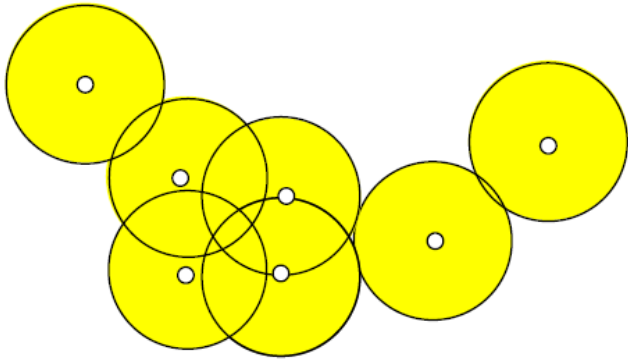
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Recall: Rips Complex

- ▶ Given a set of points $P = \{ p_1, p_2, \dots, p_n \} \subset \mathbb{R}^d$
- ▶ Given a real value $r > 0$, the *Vietoris-Rips (Rips) complex* $R^r(P)$ is:
 - ▶ $\{ (p_{i_0}, p_{i_1}, \dots, p_{i_k}) \mid B_r(p_{i_l}) \cap B_r(p_{i_j}) \neq \emptyset, \forall l, j \in [0, k] \}$.

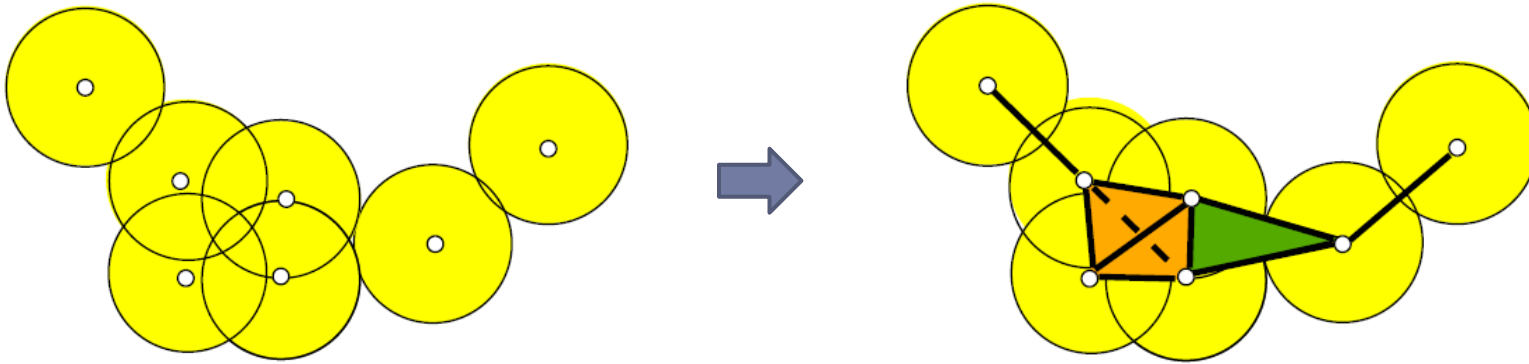
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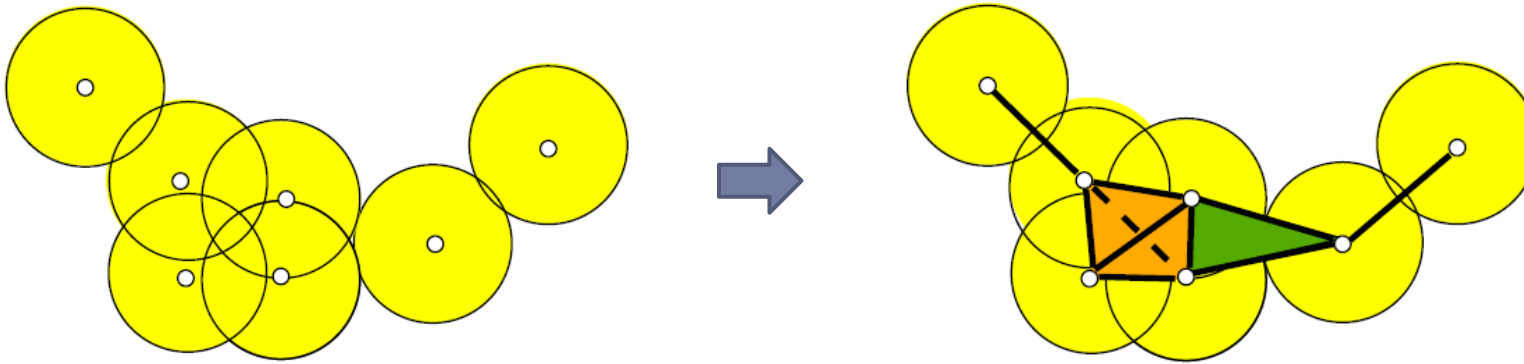
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- Rips complex shares the same edge set as the Cech complex w.r.t same r .
- It is the *clique complex* induced by its edge set.

Rips and Čech Filtrations

- ▶ Relation in general metric spaces

- ▶ $C^r(P) \subseteq R^r(P) \subseteq C^{2r}(P)$

- ▶ Bounds better in Euclidean space

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$\cong \updownarrow$ By Nerve Lemma

Čech filtration (\mathcal{C}_X):	$C^{r_0} \subseteq C^{r_1} \subseteq \dots C^r \subseteq \dots$
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\updownarrow Two sequence interleave

Rips filtration (\mathcal{R}_X):	$R^{r_0} \subseteq R^{r_1} \subseteq \dots R^r \subseteq \dots$
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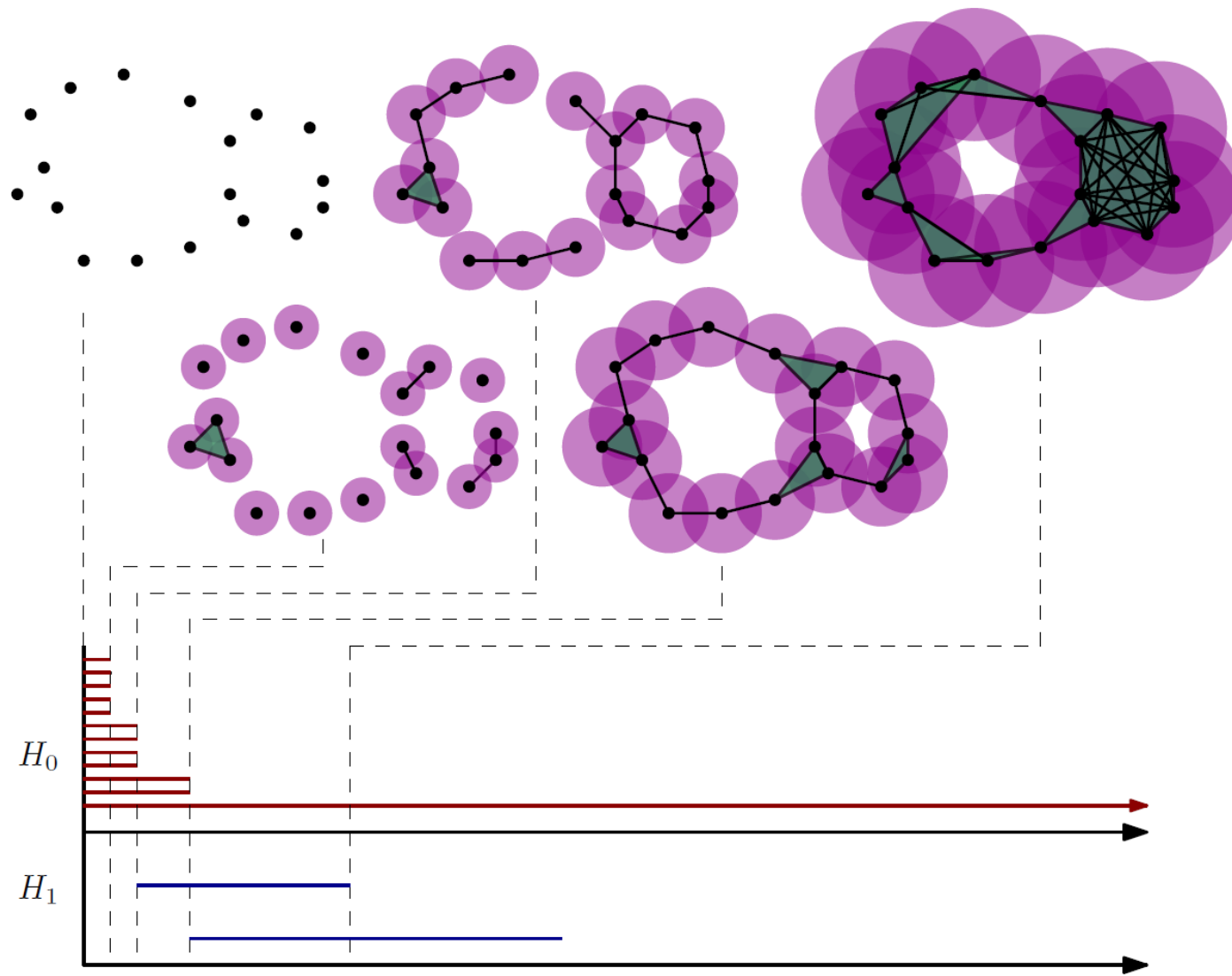
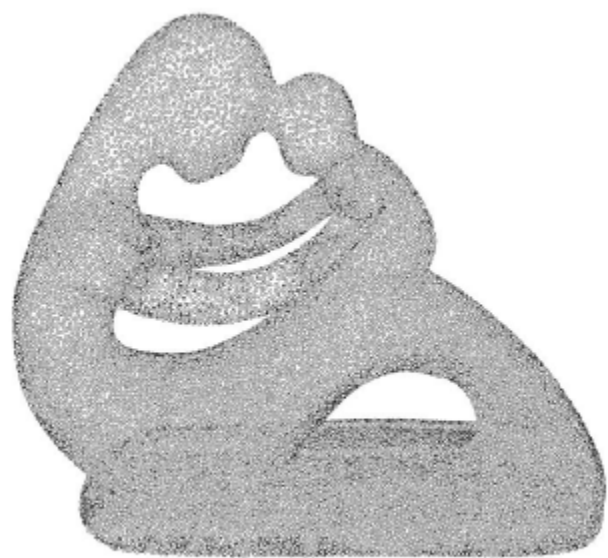
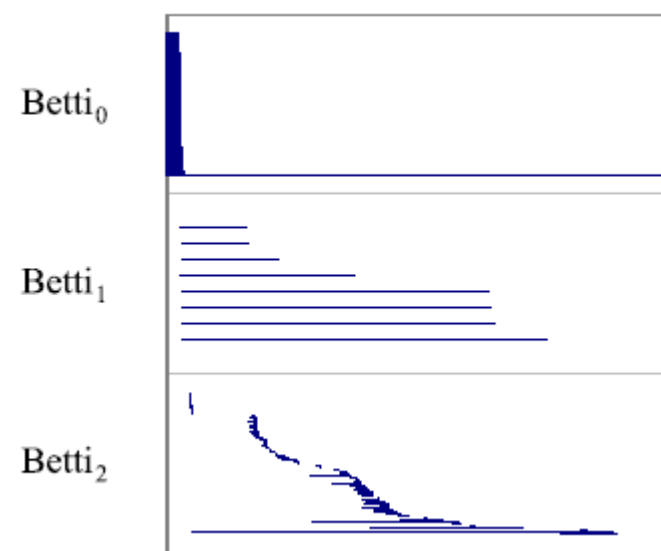


Image courtesy of T. K. Dey



(a) MotherChild model



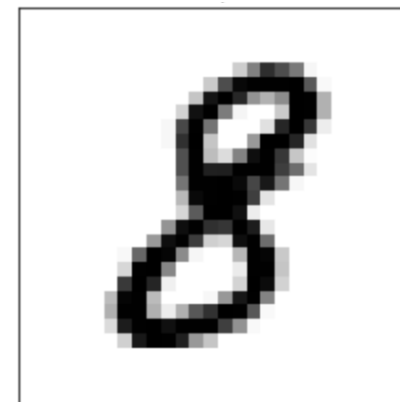
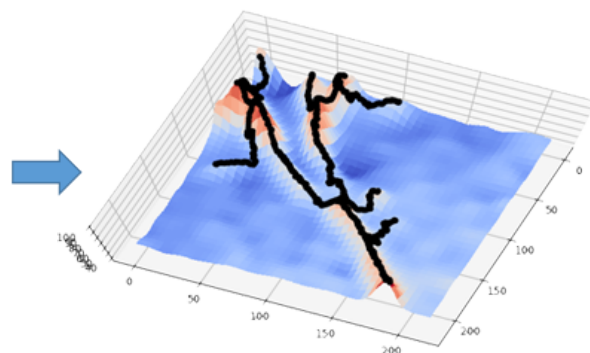
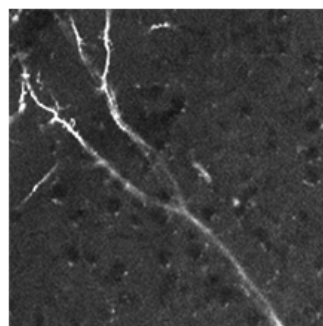
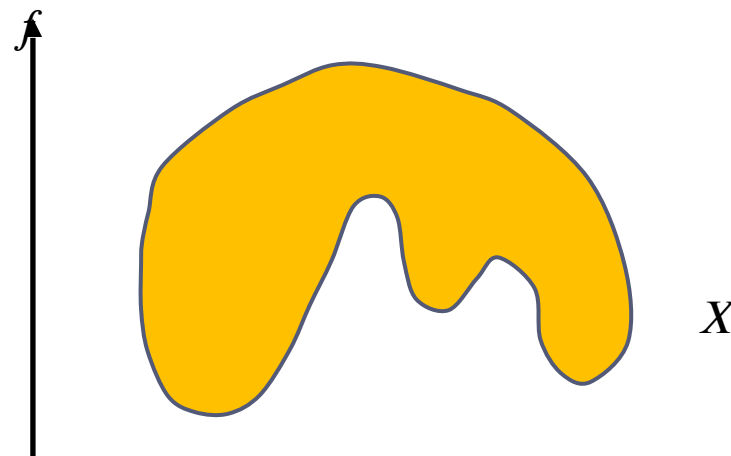
Section 2:

PH induced by Functions

Real-valued Functions

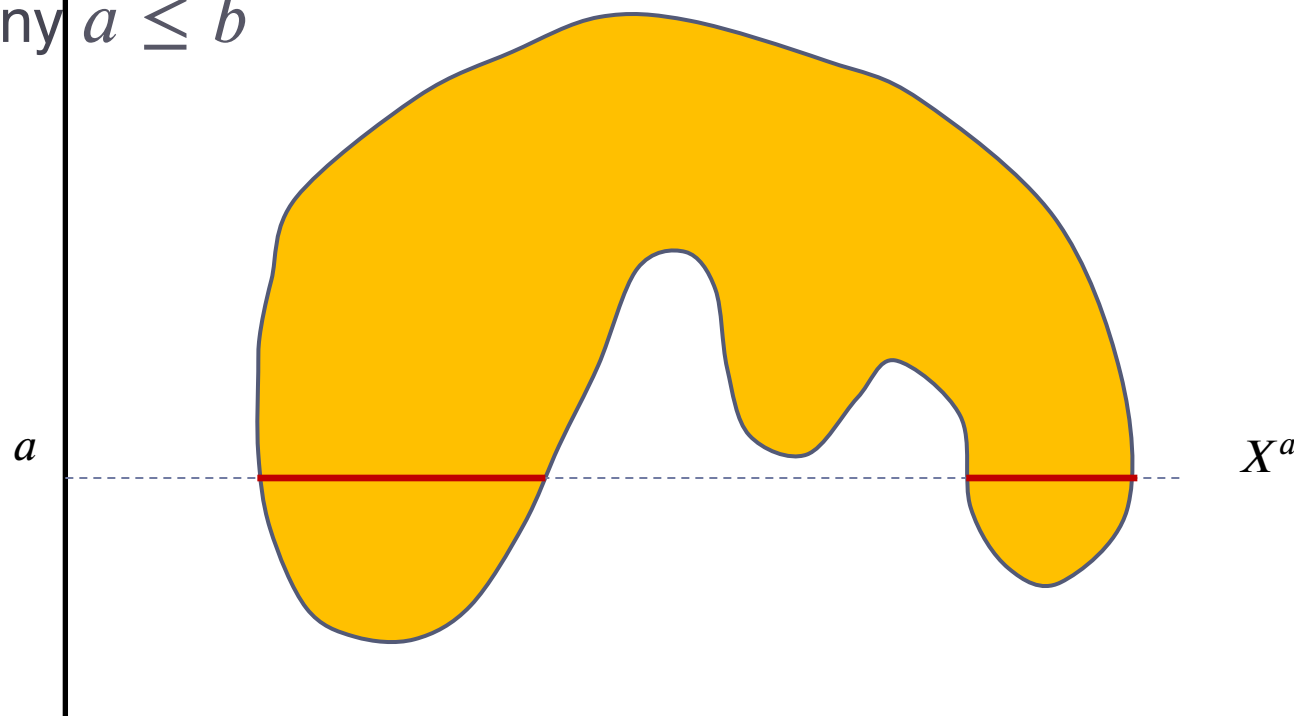
- ▶ Input data:

- ▶ $f: X \rightarrow R$



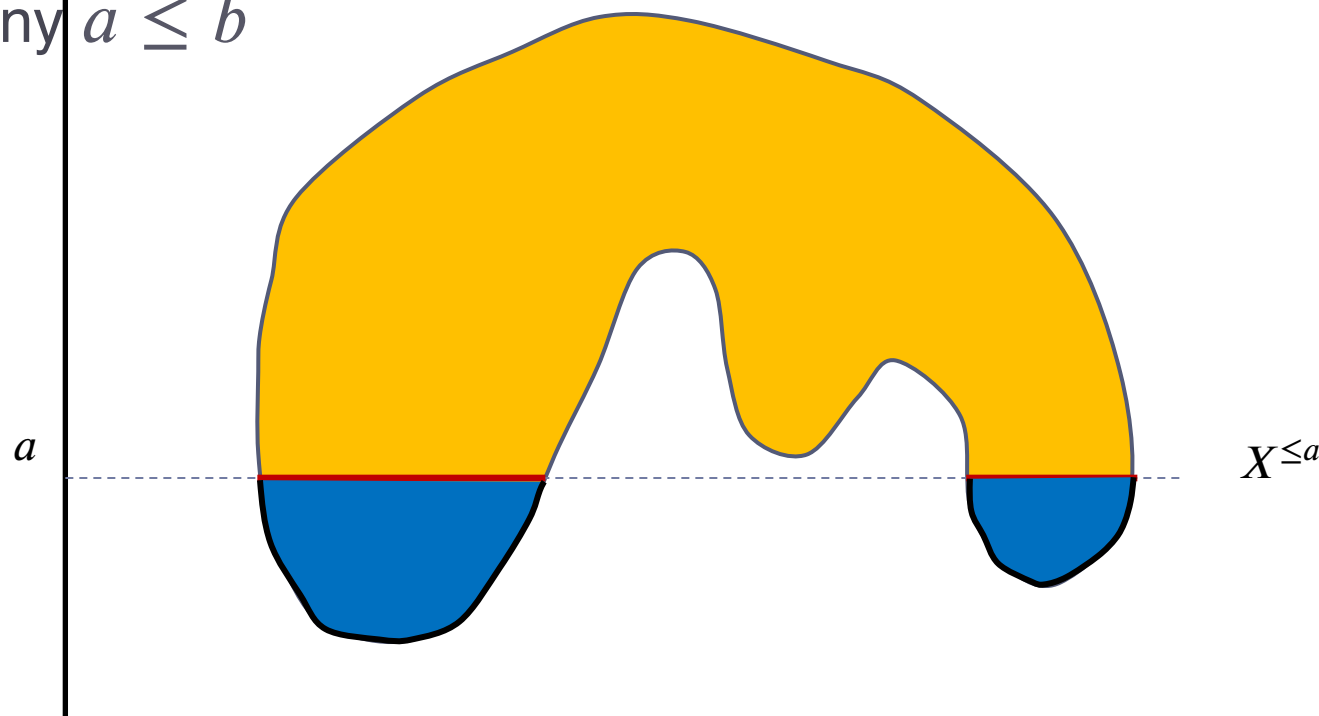
Notations

- ▶ Function: $f: X \rightarrow R$
- ▶ Level set: $X^a = \{x \in X \mid f(x) = a\}$,
- ▶ Sub-level set: $X_f^{\leq a} = \{x \in X \mid f(x) \leq a\}$
 - ▶ $X^{\leq a} \subseteq X^{\leq b}$ for any $a \leq b$



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 - ▶ $X^{\leq a} \subseteq X^{\leq b}$ for any $a \leq b$
- ▶ Interval-level set: $X^I = \{x \in X \mid f(x) \in I\}$

Function-induced Filtration

- ▶ Function: $f: X \rightarrow R$
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- ▶ Sub-level set: $X^{\leq a} = \{x \in X \mid f(x) \leq a\}$
 - ▶ $X^{\leq a} \subseteq X^{\leq b}$ for any $a \leq b$
- ▶ For any sequence $a_1 \leq a_2 \leq \dots \leq a_n$ (with $a_n \geq f_{max}$)
 - ▶ Sublevel set filtration of X w.r.t f :
 - ▶ $X^{\leq a_1} \subseteq X^{\leq a_2} \subseteq \dots \subseteq X^{\leq a_n}$

Function-induced Filtration

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- ▶ For any sequence $a_1 \leq a_2 \leq \dots \leq a_n$ (with $a_n \geq f_{max}$)
 - ▶ Sublevel set filtration of X w.r.t f :
 - ▶ $X^{\leq a_1} \subseteq X^{\leq a_2} \subseteq \dots \subseteq X^{\leq a_n}$
- ▶ Persistence module
 - ▶ $H_*(X^{\leq a_1}) \rightarrow H_*(X^{\leq a_2}) \rightarrow \dots \rightarrow H_*(X^{\leq a_n})$

Function-induced Filtration

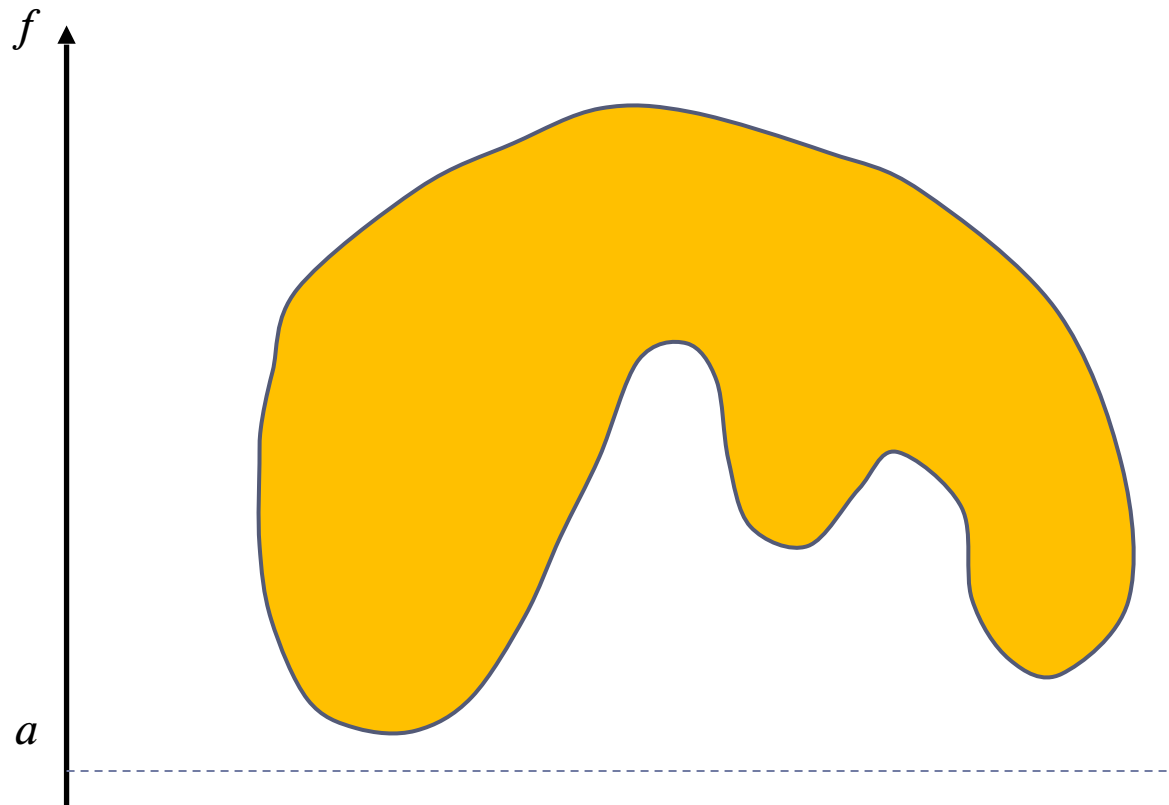
- ▶ Function: $f: X \rightarrow R$
- ▶ Level set: $X^a = \{x \in X \mid f(x) = a\},$
- ▶ Sub-level set: $X^{\leq a} = \{x \in X \mid f(x) \leq a\}$
 - ▶ $X^{\leq a} \subseteq X^{\leq b}$ for any $a \leq b$
- ▶ For any sequence $a_1 \leq a_2 \leq \dots \leq a_n$ (with $a_n \geq f_{\max}$)
 - ▶ Sublevel set filtration of X w.r.t f :
 - ▶ $X^{\leq a_1} \subseteq X^{\leq a_2} \subseteq \dots \subseteq X^{\leq a_n}$
- ▶ Persistence module
 - ▶ $H_*(X^{\leq a_1}) \rightarrow H_*(X^{\leq a_2}) \rightarrow \dots \rightarrow H_*(X^{\leq a_n})$
- ▶ General persistence module indexed by real
 - ▶ $\mathcal{P}_f = \left\{ H_*(X^{\leq a}) \rightarrow H_*(X^{\leq b}) \right\}_{a \leq b}$

Off-set filtration is a sub-level set filtration

- ▶ Given a compact set $X \subset \mathbb{R}^d$
- ▶ Function: $f : \mathbb{R}^d \rightarrow \mathbb{R}$ defined by $f(x) := d(x, X)$
- ▶ Sub-level set:
$$(\mathbb{R}^d)^{\leq a} = \{x \in \mathbb{R}^d : f(x) \leq a\} = \{x \in \mathbb{R}^d : d(x, X) \leq a\} = X^a$$

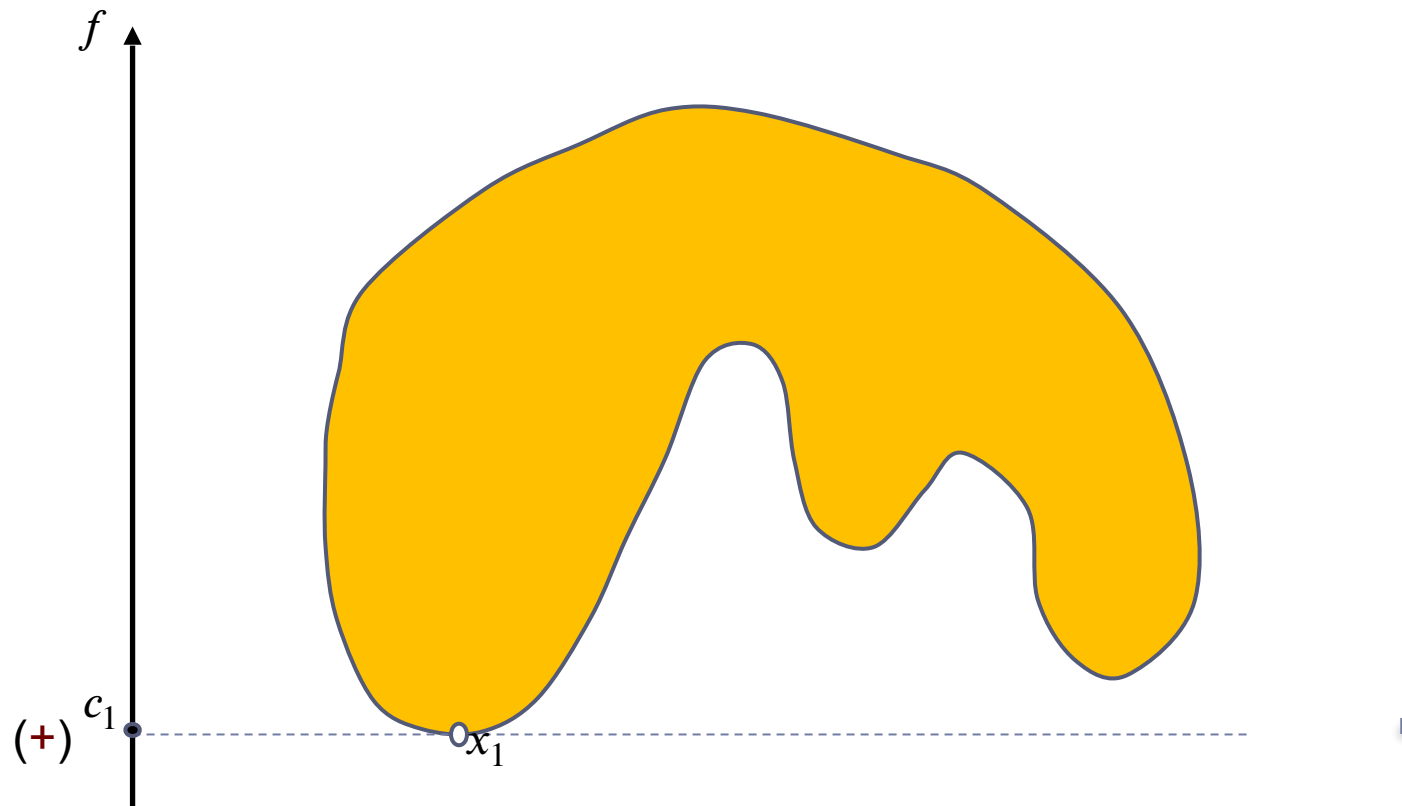
A Simple Example

- ▶ 0-th homology



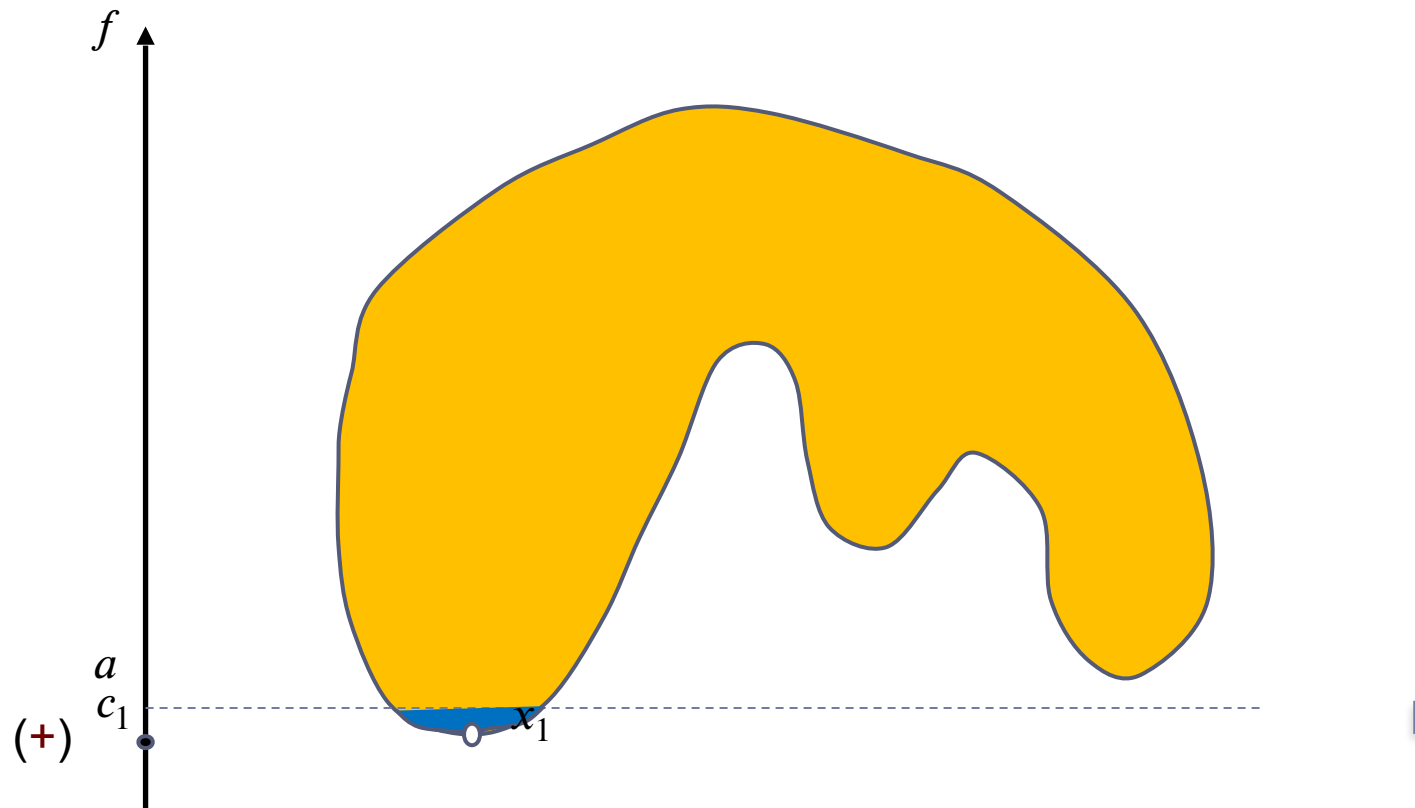
A Simple Example

- ▶ 0-th homology



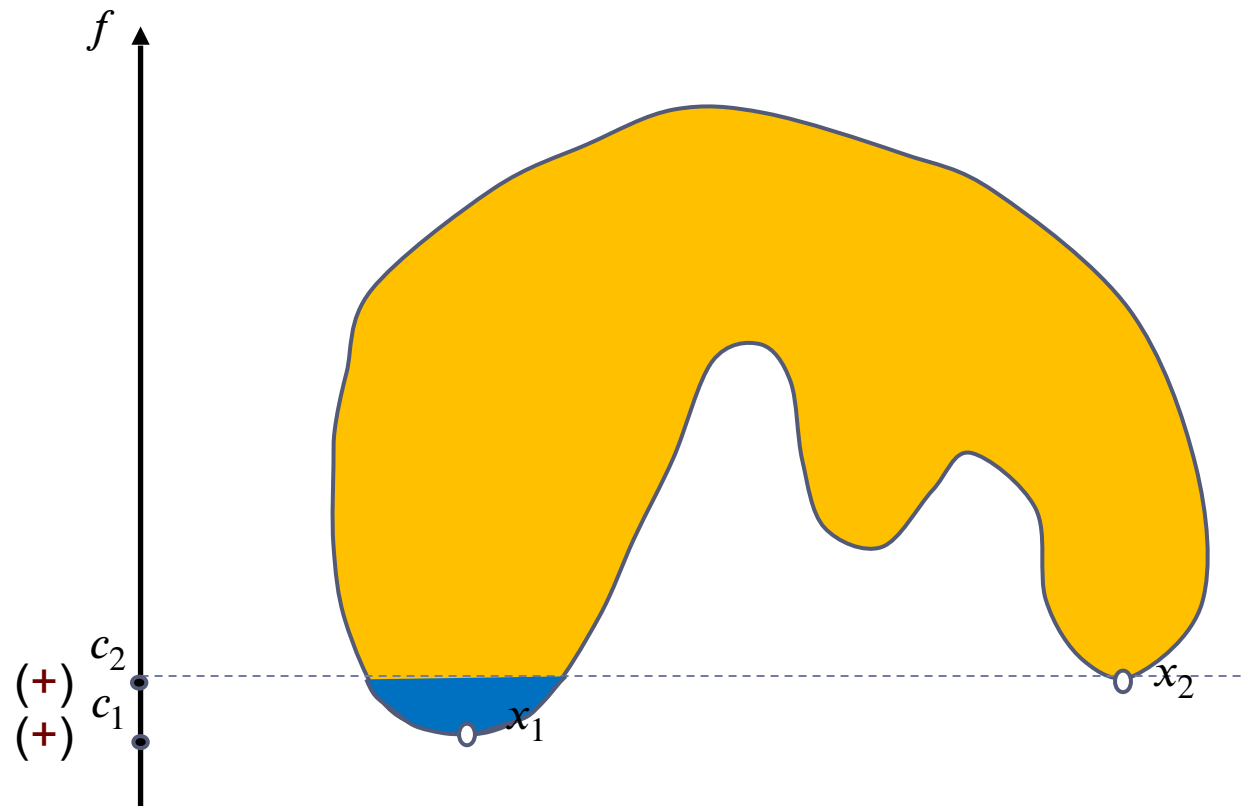
A Simple Example

- ▶ 0-th homology



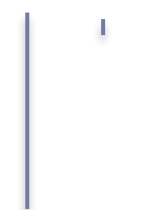
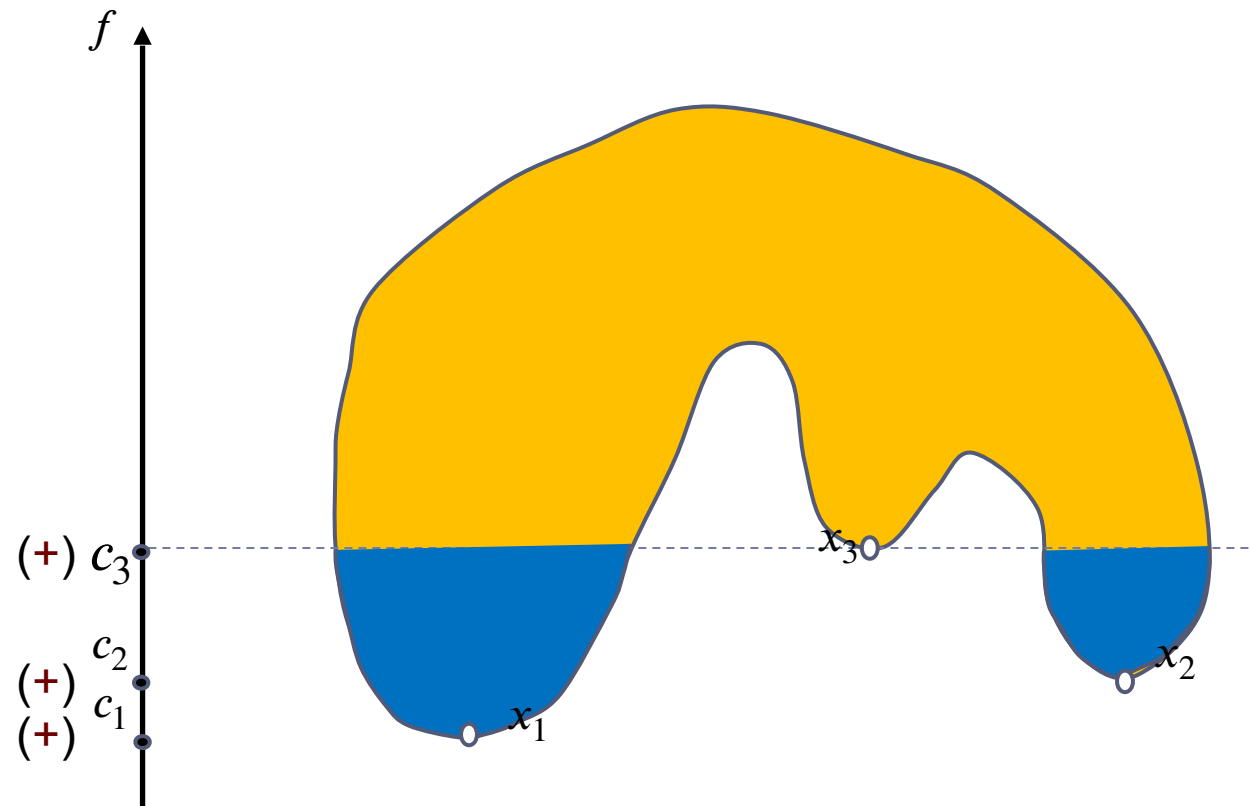
A Simple Example

- ▶ 0-th homology



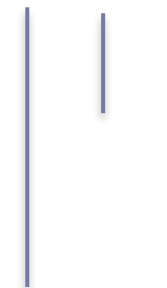
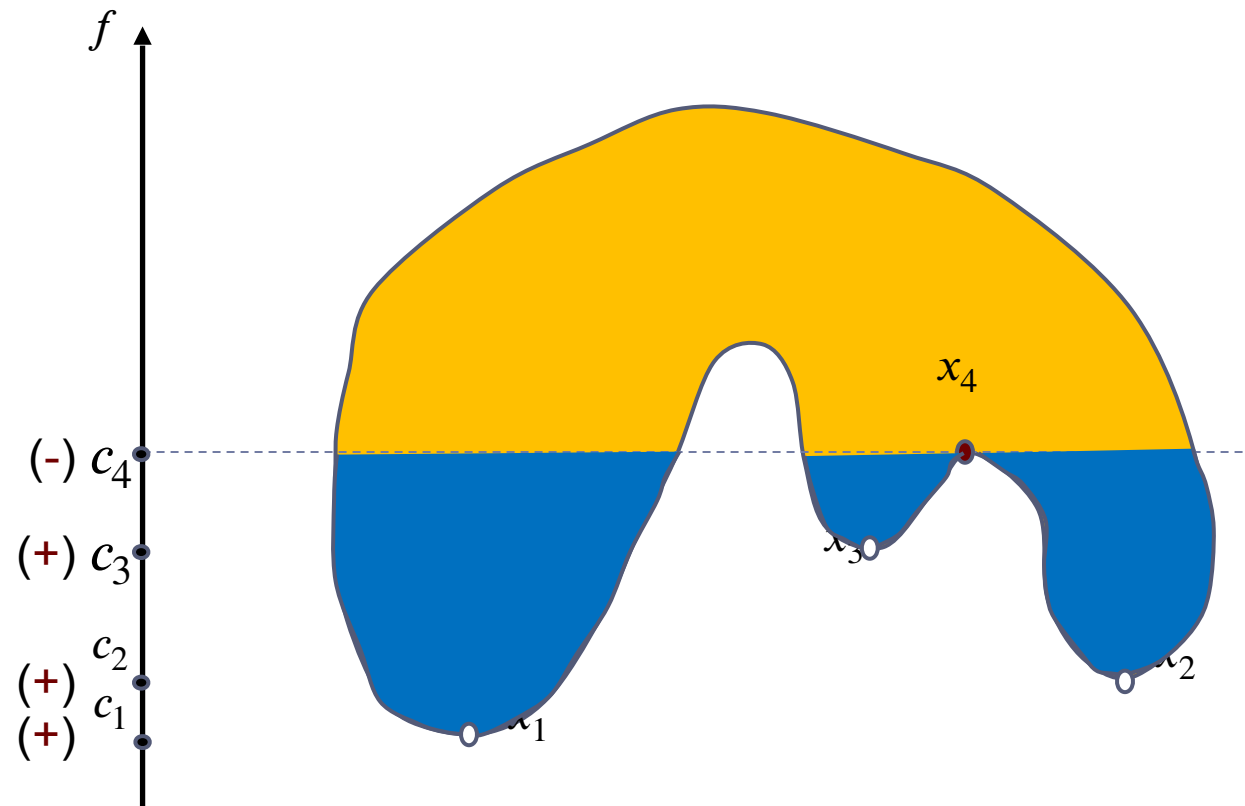
A Simple Example

► 0-th homology



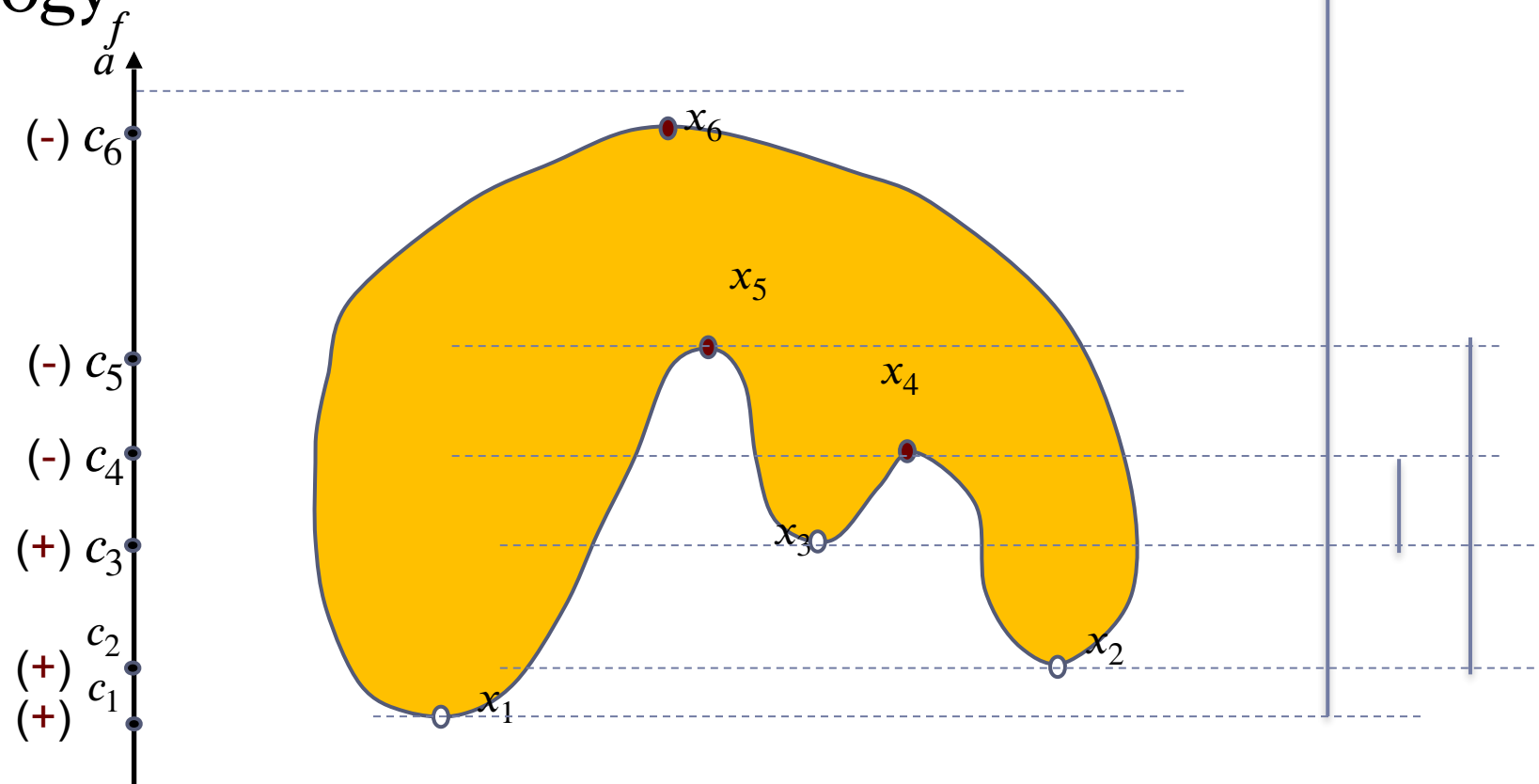
A Simple Example

► 0-th homology



A Simple Example

► 0-th homology



Observations

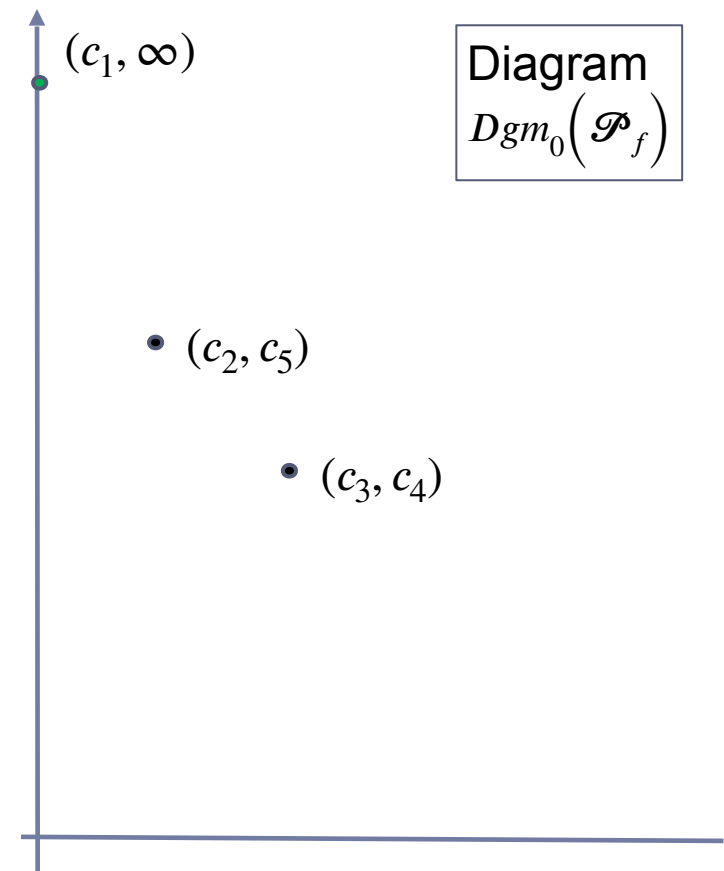
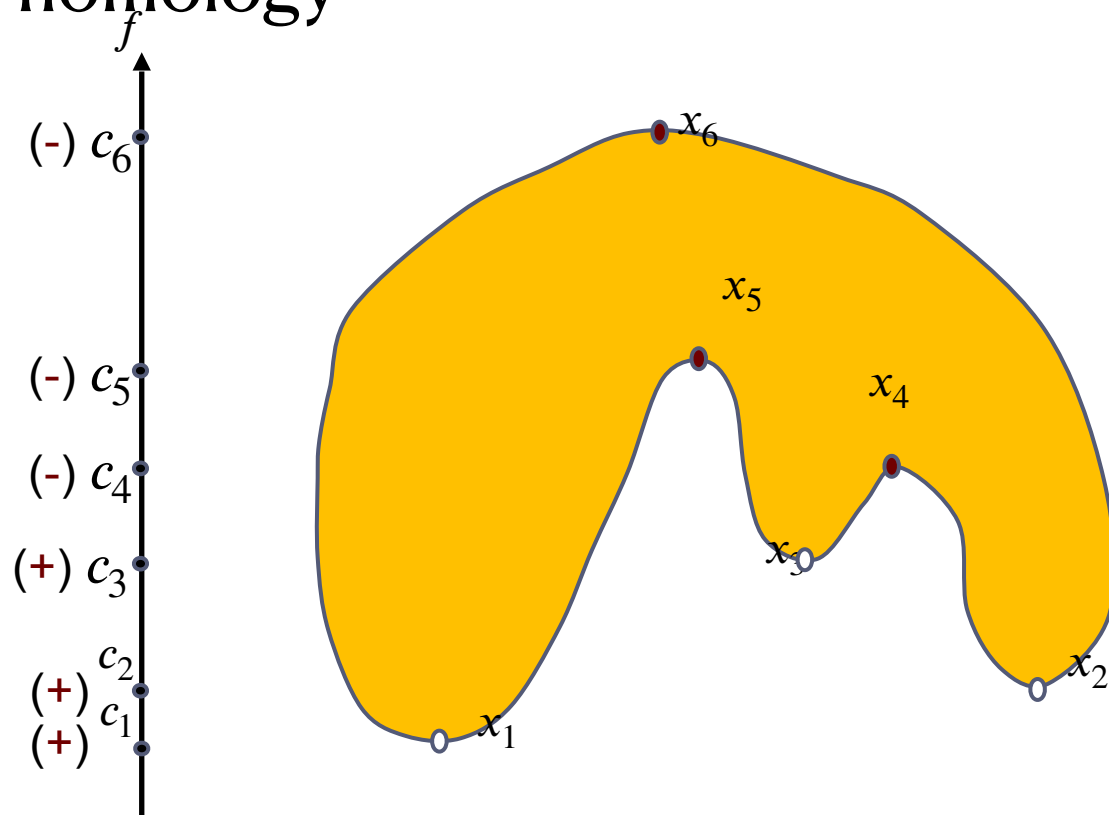
- ▶ $H_*(a)$ only potentially changes at *critical values*
 - ▶ which, in the case of f is a smooth function defined on a smooth manifold, are exactly the function values of critical points of f

Observations

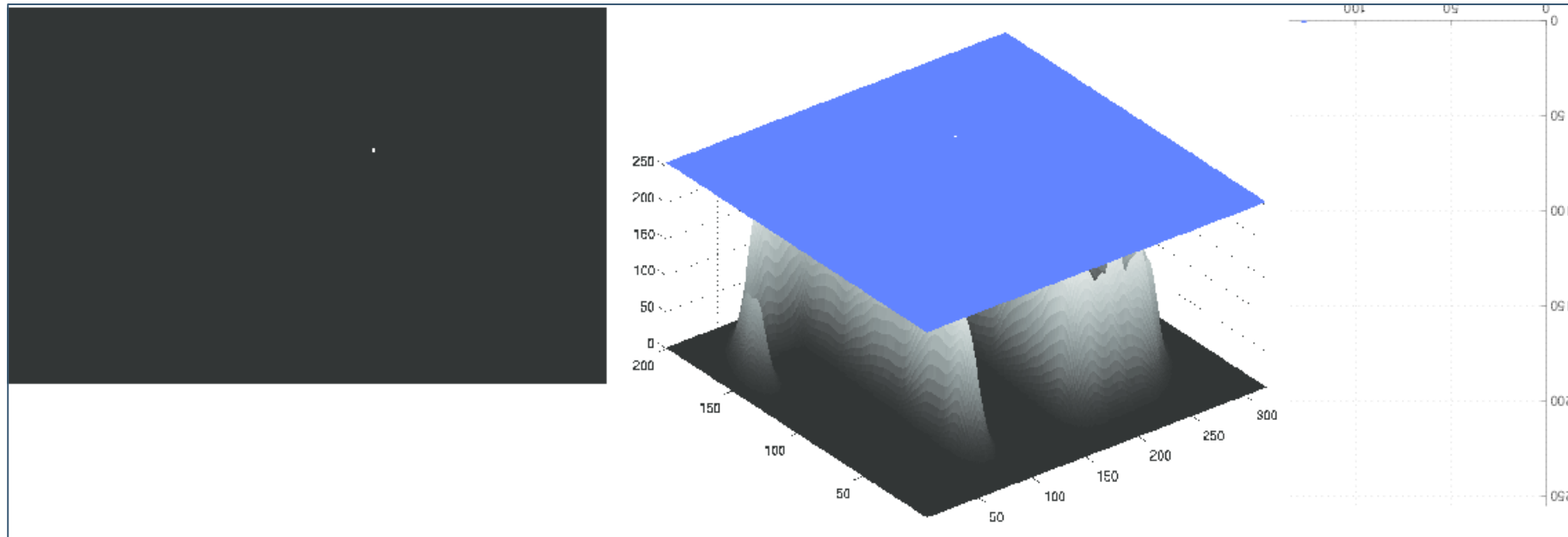
- ▶ $H_*(a)$ only potentially changes at *critical values*
 - ▶ which, in the case of f is a smooth function defined on a smooth manifold, are exactly the function values of critical points of f
- ▶ $H_*(a) \rightarrow H_*(b)$ is isomorphism for $a < b$ within two consecutive critical values
- ▶ Persistence pairings for the sub-level set persistence module
$$\mathcal{P}_f = \left\{ H_*(X^{\leq a}) \rightarrow H_*(X^{\leq b}) \right\}_{a \leq b}$$
 - ▶ are of the form (b, d) , with both b and d being critical values
 - ▶ We sometimes also refer the pairing to between corresponding *critical points*.

A Simple Example

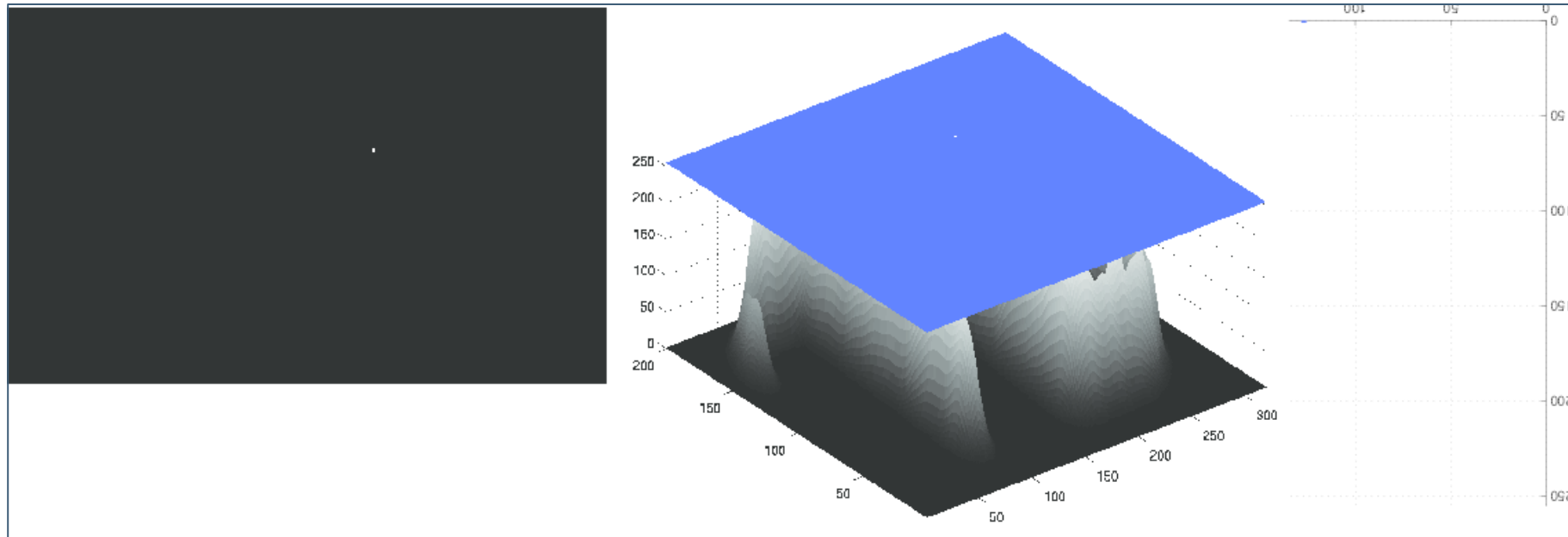
► 0-th homology



- ▶ An example on images
 - ▶ By Chao Chen (Stony Brook Univ)



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Remarks 1: Sublevel set filtration

- ▶ In general, for a smooth function $f: X \rightarrow \mathbb{R}$ defined on a d -manifold X , under sublevel-set filtration,
 - ▶ The persistence pairings are between **critical values**, induced by pairings between **critical points** whose indices differ by 1.
 - ▶ E.g, for $d = 2$,
 - (min, saddle) or (saddle, max)
 - ▶ E.g, for $d = 3$,
 - (min, index-1 saddle), (index-1 saddle, index-2 saddle), or (index-2 saddle, max)
 - ▶ Pairs of the form (a, ∞)
 - ▶ Correspond to homology of X , i.e, $H_*(X)$
 - ▶ This is because $X^{\leq \infty} = X$

Remark 2: Super-level set filtration

- ▶ Symmetrically, one can also consider **super-level set filtration**
- ▶ Super-level set: $X^{\geq a} = \{x \in X \mid f(x) \geq a\}$
 - ▶ $X^{\geq a} \subseteq X^{\geq b}$ for any $a \geq b$
- ▶ For any sequence $a_1 \geq a_2 \cdots \geq a_n$ (s.t $a_1 \geq f_{max}$, $a_n \leq f_{min}$)
 - ▶ **Sublevel set filtration of X w.r.t f :**
 - ▶ $X^{\geq a_1} \subseteq X^{\geq a_2} \subseteq \cdots \subseteq X^{\geq a_n}$
- ▶ Persistence module
 - ▶ $H_*(X^{\geq a_1}) \rightarrow H_*(X^{\geq a_2}) \rightarrow \cdots \rightarrow H_*(X^{\geq a_n})$
- ▶ General persistence module indexed by real
 - ▶ $\mathcal{P}_f = \{H_*(X^{\geq a}) \rightarrow H_*(X^{\geq b})\}_{a \geq b}$

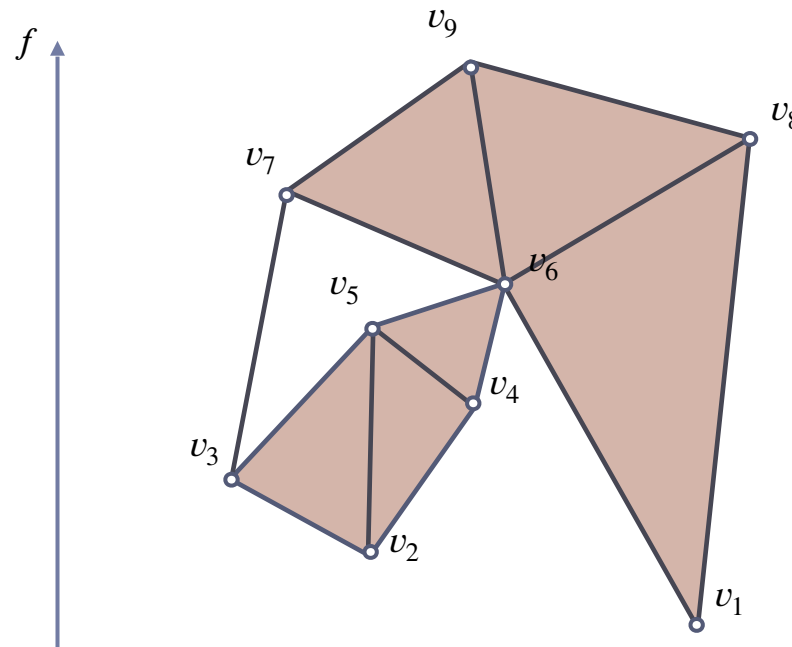
Section 3 :

Computation in the PL-case

- ▶ We cannot deal with smooth manifolds or smooth functions directly
- ▶ Triangulate smooth manifolds to be simplicial complexes
- ▶ Approximate smooth functions by piecewise linear functions

Computation – PL Function

- ▶ K : a simplicial complex, $|K|$ its underlying space (e.g. a triangulation of a manifold)
- ▶ **Piecewise linear (PL) function** $f: |K| \rightarrow R$
 - ▶ f defined at vertices (0-simplices) V of K and linearly interpolated within each simplex $\sigma \in K$



Computation – PL Function

- ▶ Given PL-function $f: |K| \rightarrow R$, consider the persistence module induced by its sub-level set filtration
 - ▶ $\mathcal{P}_f = \left\{ H_*(|K|^{\leq a}) \rightarrow H_*(|K|^{\leq b}) \right\}_{a \leq b}$
- ▶ $\{ |K|^{\leq a} \subset |K|^{\leq b} \}$ is still a filtration of topological spaces
- ▶ To compute persistence pairings for \mathcal{P}_f , we want to simulate sub-level set filtration by a **filtered simplicial complex**

Lower Star filtration

Lower Star filtration

Lower Star filtration

- ▶ Assume vertices $\{v_1, \dots, v_n\}$ sorted in non-decreasing order by function value f

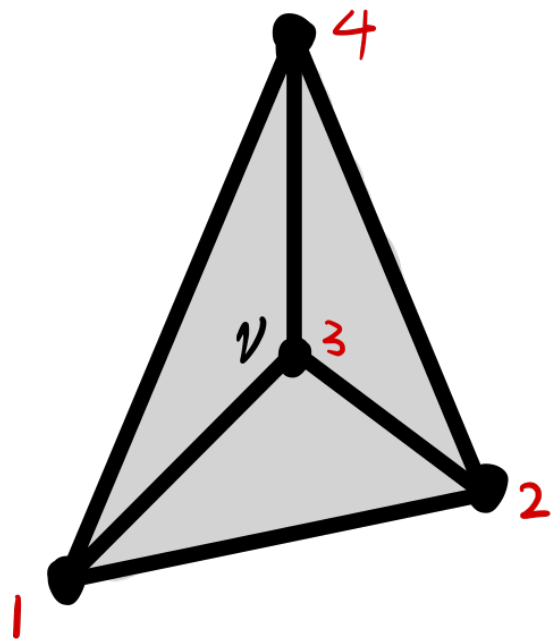
Lower Star filtration

- ▶ Assume vertices $\{v_1, \dots, v_n\}$ sorted in non-decreasing order by function value f
- ▶ Consider discrete values $a_1 \leq \dots \leq a_n$ with $a_i = f(v_i)$
 - ▶ $K_i := \{\sigma \in K \mid f(v) \leq a_i, \forall v \in \sigma\}$
- ▶ Consider filtration $\emptyset = K_0 \subseteq K_1 \subseteq \dots \subseteq K_n = K$

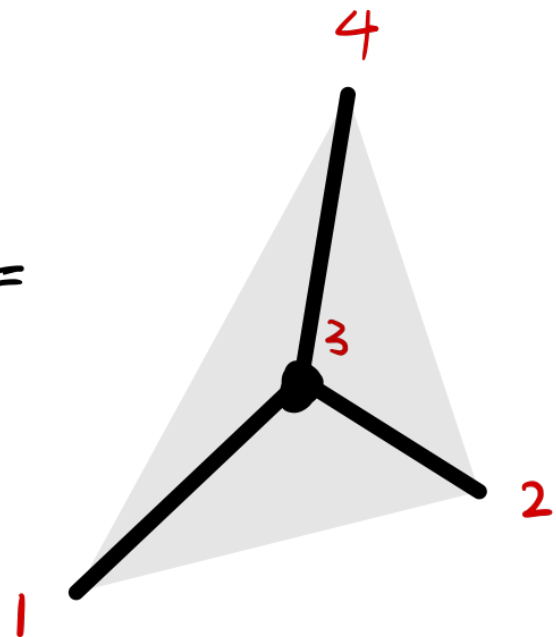
Lower Star filtration

- ▶ Assume vertices $\{v_1, \dots, v_n\}$ sorted in non-decreasing order by function value f
- ▶ Consider discrete values $a_1 \leq \dots \leq a_n$ with $a_i = f(v_i)$
 - ▶ $K_i := \{\sigma \in K \mid f(v) \leq a_i, \forall v \in \sigma\}$
 - ▶ Consider filtration $\emptyset = K_0 \subseteq K_1 \subseteq \dots \subseteq K_n = K$
- ▶ Called **lower star filtration** as
 - ▶ $LowSt(v_i) = K_i \setminus K_{i-1}$
 - ▶ where $LowSt(v) := \{\sigma \in K \mid v \in \sigma \text{ and } f(u) \leq f(v) \text{ for any } u \in \sigma\}$
 - ▶ $K_i = \bigcup_{j \leq i} LowSt(v_j)$

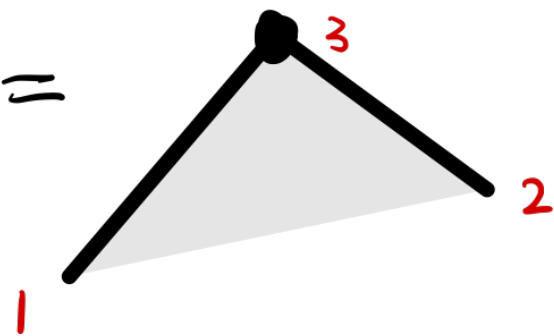
Lower Star vs Star



$$\mathcal{S}_+(v) =$$

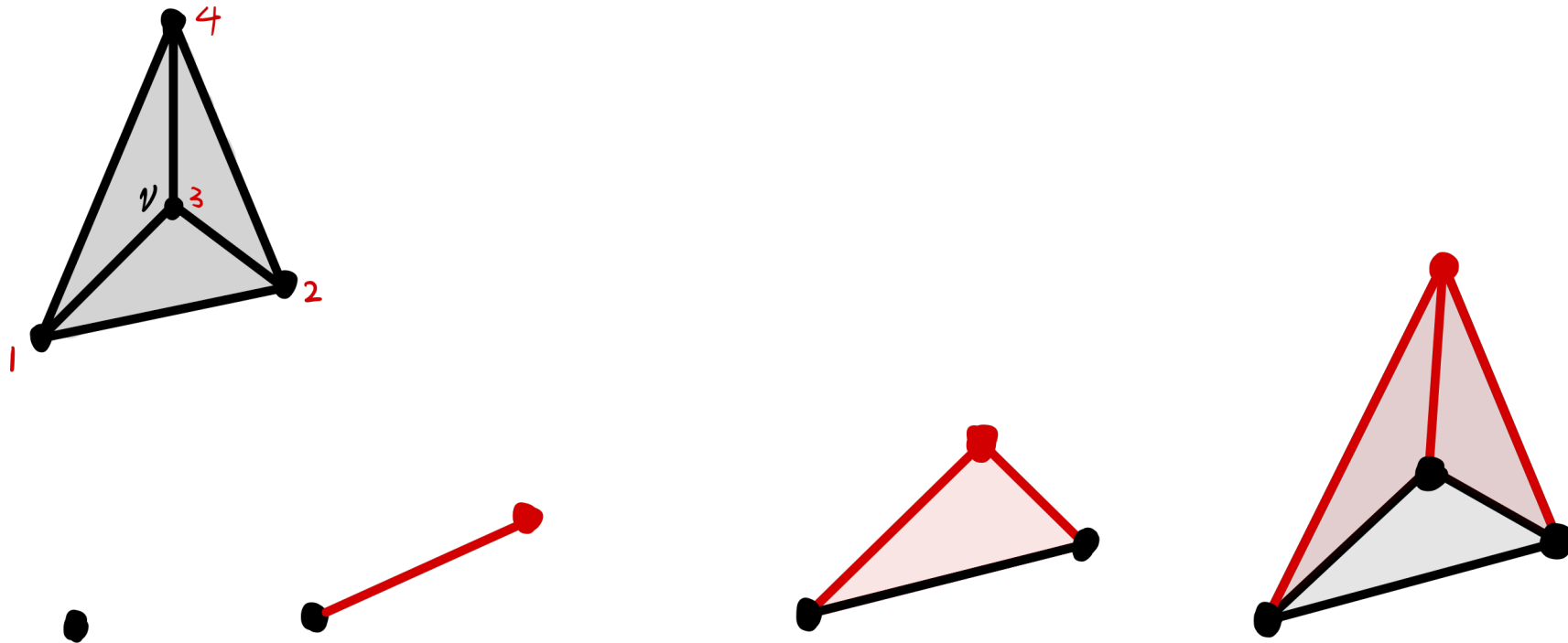


$$\text{LowSt}(v) =$$



Lower Star filtration

- ▶ Consider discrete values $a_1 \leq \dots \leq a_n$ with $a_i = f(v_i)$
 - ▶ $K_i := \{\sigma \in K \mid f(v) \leq a_i, \forall v \in \sigma\}$
 - ▶ Consider filtration $\emptyset = K_0 \subseteq K_1 \subseteq \dots \subseteq K_n = K$



Computation – PL Function

- ▶ Goal: persistence pairings for

- ▶ $\mathcal{P}_f = \left\{ H_*(|K|^{\leq a}) \rightarrow H_*(|K|^{\leq b}) \right\}_{a \leq b}$

- ▶ Simulate sub-level set filtration by ***lower star filtration***

- ▶ Assume vertices $\{v_1, \dots, v_n\}$ sorted in non-decreasing order by function value f
 - ▶ Consider discrete values $a_1 \leq \dots \leq a_n$ with $a_i = f(v_i)$
 - ▶ $K_i := \{\sigma \in K \mid f(v) \leq a_i, \forall v \in \sigma\}$
 - ▶ Consider filtration $\emptyset = K_0 \subseteq K_1 \subseteq \dots \subseteq K_n = K$

Computation – PL Function

- ▶ Goal: persistence pairings for

- ▶ $\mathcal{P}_f = \left\{ H_*(|K|^{\leq a}) \rightarrow H_*(|K|^{\leq b}) \right\}_{a \leq b}$

- ▶ Simulate sub-level set filtration by *lower star filtration*

- ▶ $\emptyset = K_0 \subseteq K_1 \subseteq \dots \subseteq K_n = K$

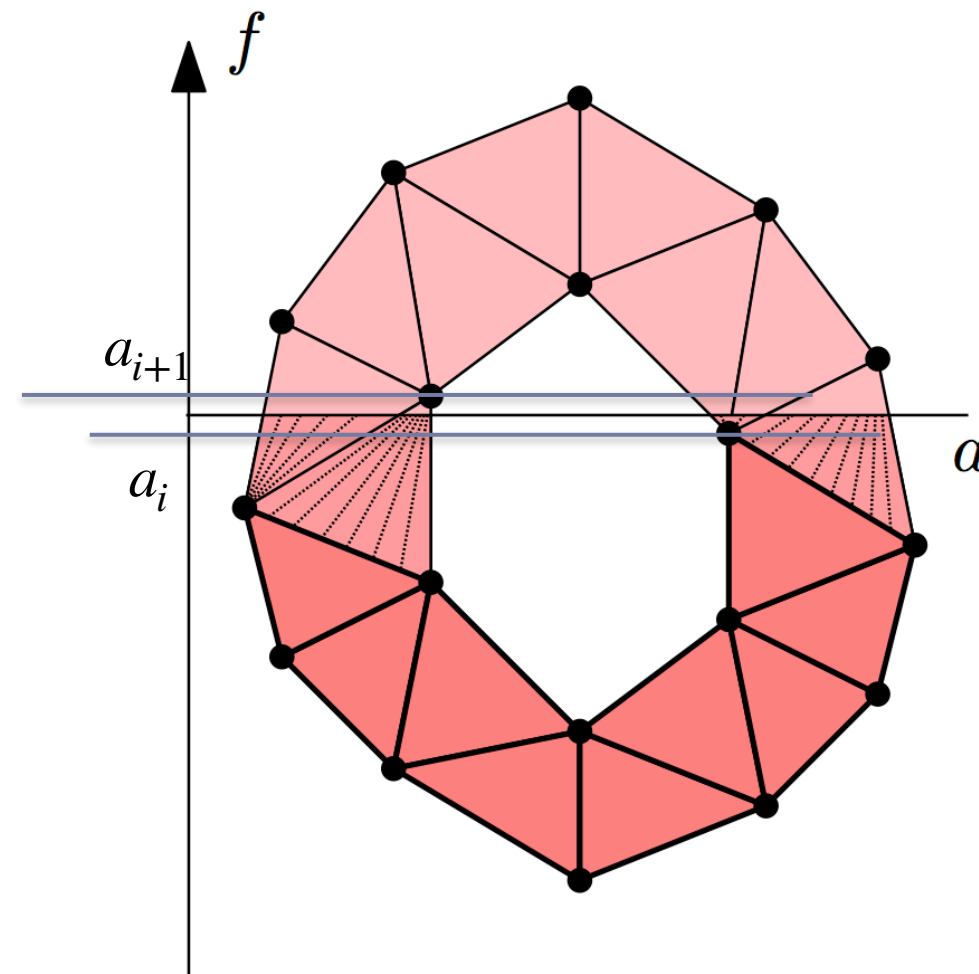
- ▶ where $K_i := \{ \sigma \in K \mid f(v) \leq a_i, \forall v \in \sigma \}$

- ▶ $\Rightarrow H_*(K_0) \rightarrow H_*(K_1) \rightarrow H_*(K_2) \rightarrow \dots \rightarrow H_*(K_n)$

Sub-level set vs Lower Star filtrations

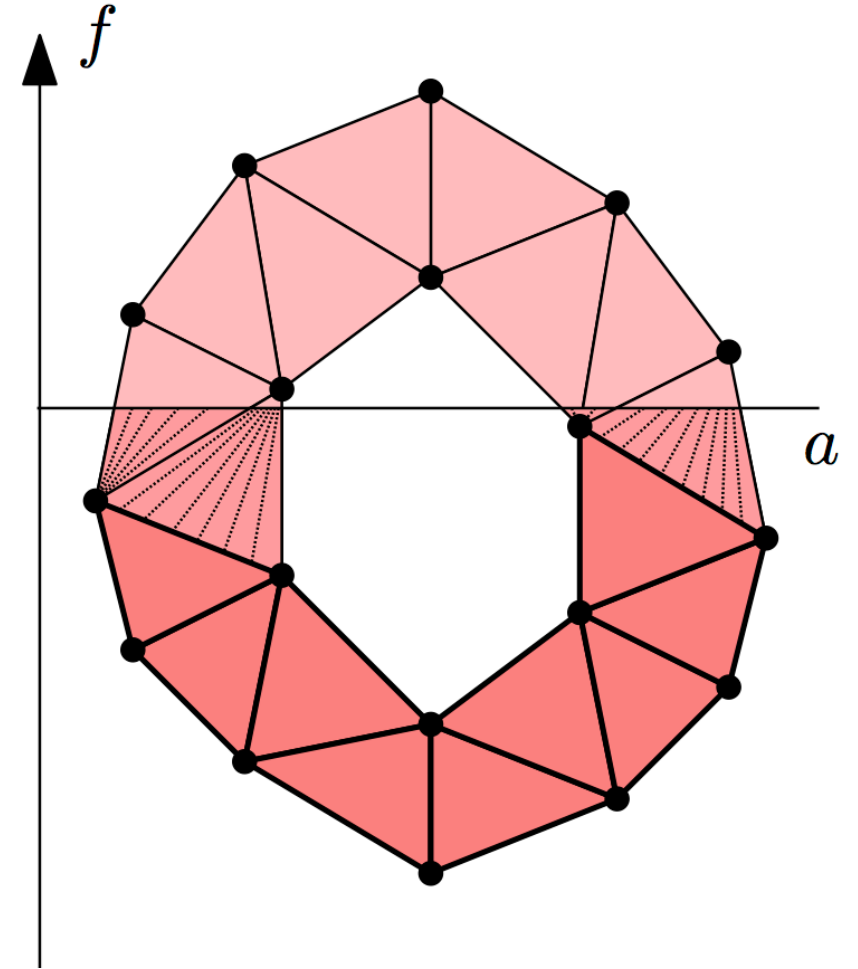
► For any a , if $a_i \leq a < a_{i+1}$, then

► $|K|^{\leq a} \simeq K_i$



Sub-level set vs Lower Star filtrations

- ▶ Lower star filtration
 - ▶ $\emptyset \subset K_1 \subset \dots \subset K_n$
- ▶ Sub-level set filtration
 - $\emptyset \subset |K|^{\leq a_1} \subset \dots \subset |K|^{\leq a_n}$
- ▶ They induce **isomorphic** persistence modules



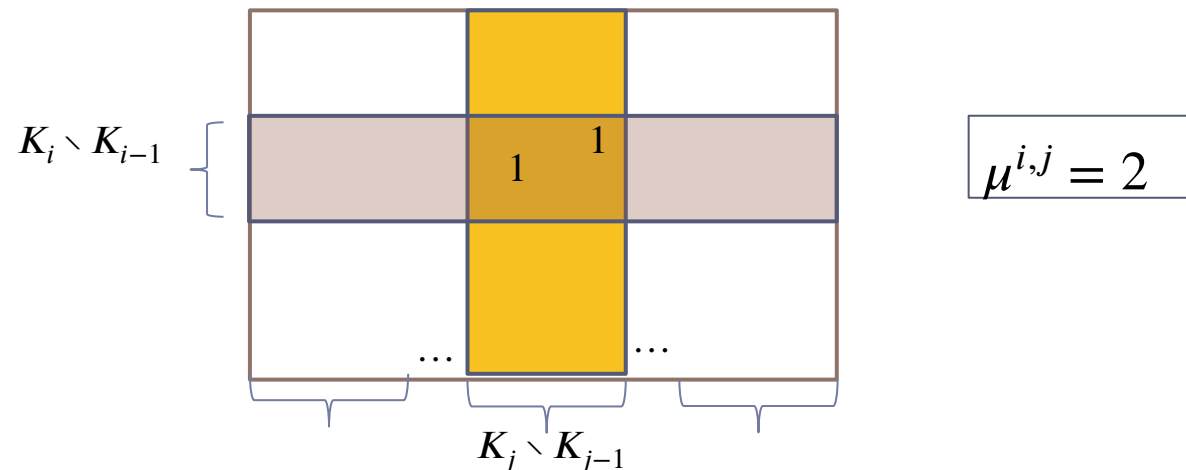
Computation of PD for lower star filtration

- ▶ A simplex-wise filtration *realizes* the lower star filtration if

$$\begin{array}{cccc}
 \underbrace{\sigma_1, \dots, \sigma_{l_1}}_{Lst(v_1)} & \underbrace{\sigma_{l_1+1}, \dots, \sigma_{l_2}}_{\{v_2\} \cup Lst(v_2)} & \underbrace{\sigma_{l_{j-1}+1}, \dots, \sigma_{l_{j+1}}}_{\{v_j\} \cup Lst(v_j)} & \underbrace{\sigma_{l_{n-1}+1}, \dots, \sigma_{l_n}}_{\{v_n\} \cup Lst(v_n)} \\
 \underbrace{\hspace{10em}}_{K_1} & & & \\
 \underbrace{\hspace{15em}}_{K_2} & & & \\
 & \dots & & \\
 \underbrace{\hspace{25em}}_{K_i} & & & \\
 & & \dots & \\
 \underbrace{\hspace{35em}}_{K_n} & & &
 \end{array}$$

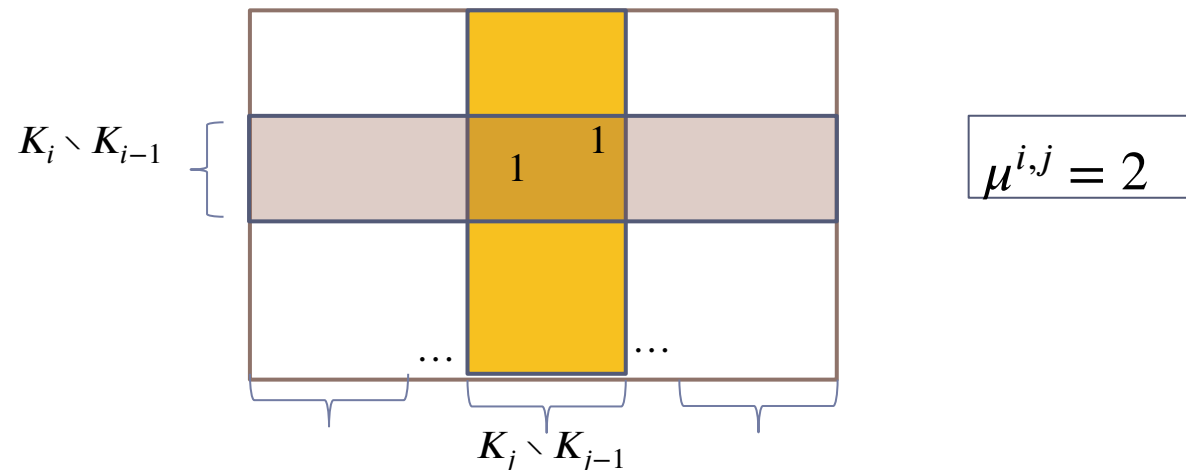
PL-implementation

- ▶ Given a PL function $f: K \rightarrow R$, perform the persistence algorithm for any simplex-wise lower star filtration.
- ▶ Let P denote the output set of paired simplices
- ▶ Then, $\mu^{i,j} > 0$ if and only if there exists $(\sigma, \tau) \in P$ such that $\sigma \in K_i \setminus K_{i-1}$, while $\tau \in K_j \setminus K_{j-1}$
 - ▶ $\mu^{i,j}$ equals the cardinality of the set of such pairs.



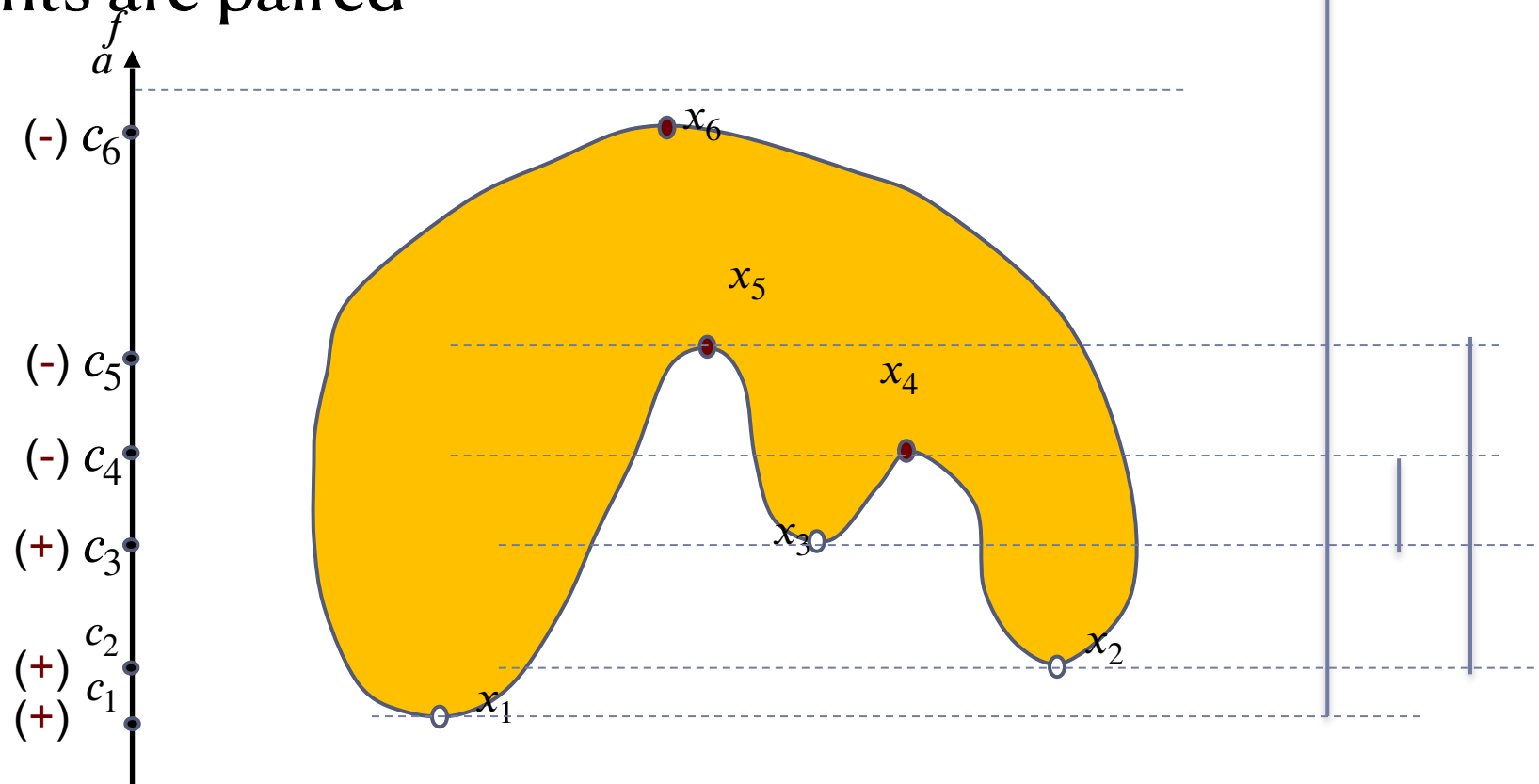
PL-implementation

- ▶ Given a PL function $f: K \rightarrow R$, perform the persistence algorithm for any simplex-wise lower star filtration.
- ▶ Let P denote the output set of paired simplices
- ▶ Then, $\mu^{i,j} > 0$ if a What can we say about (σ, τ) ? such that $\sigma \in K_i \setminus K_{i-1}$, while $\tau \in K_j \setminus K_{j-1}$
 - ▶ $\mu^{i,j}$ equals the cardinality of the set of such pairs.

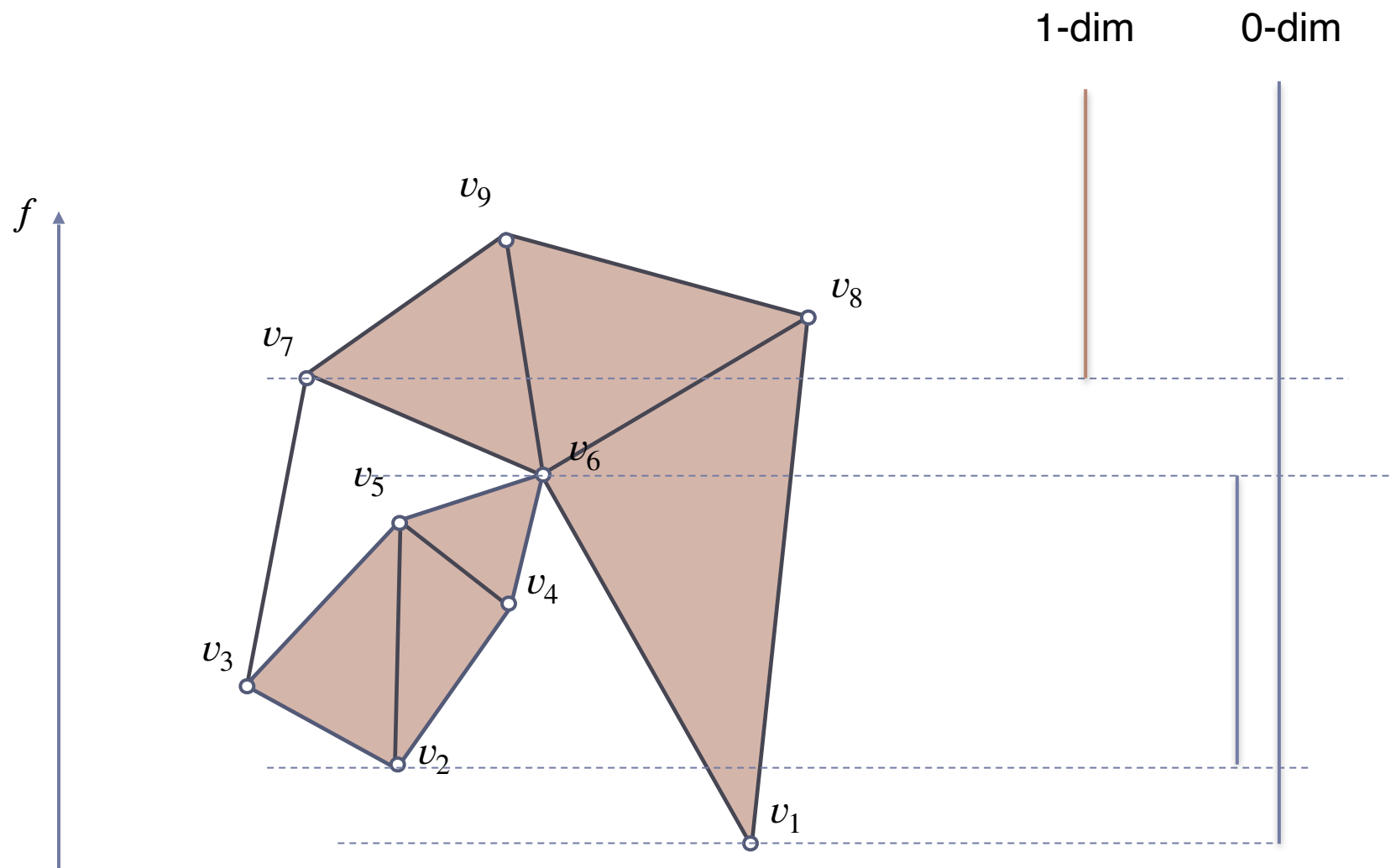


A Simple Example

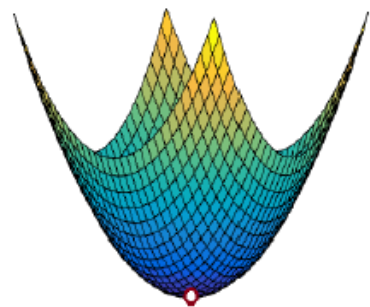
- ▶ Critical points are paired



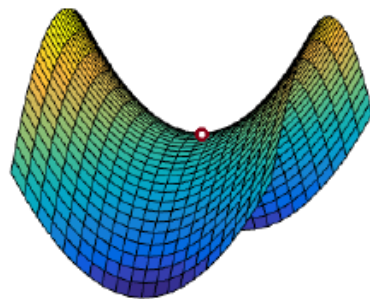
Example



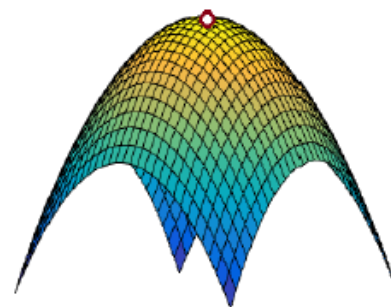
Recall: Critical points and local view



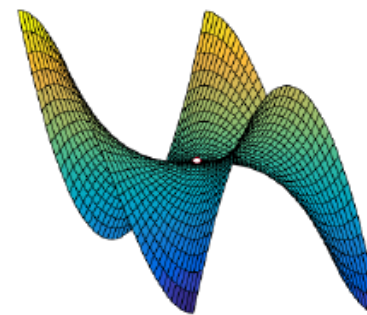
minimum (index-0)



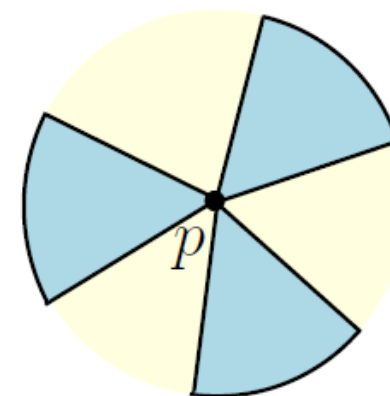
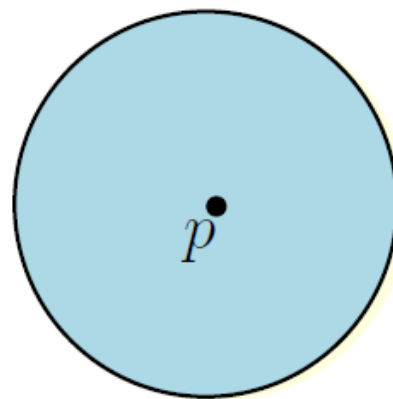
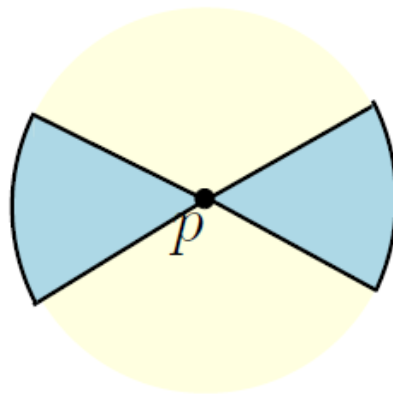
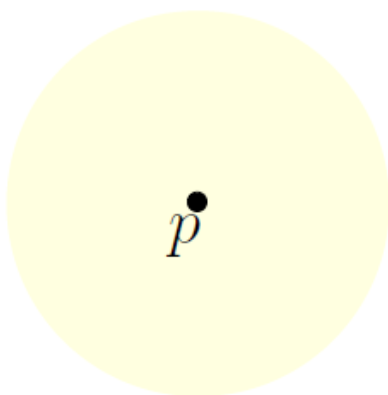
saddle (index-1)



maximum (index-2)



monkey-saddle

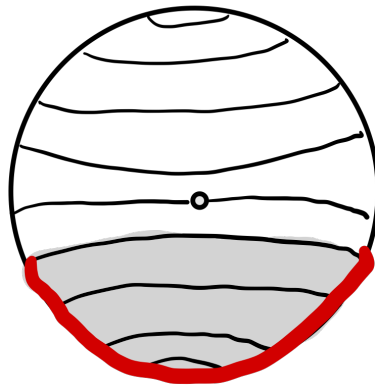
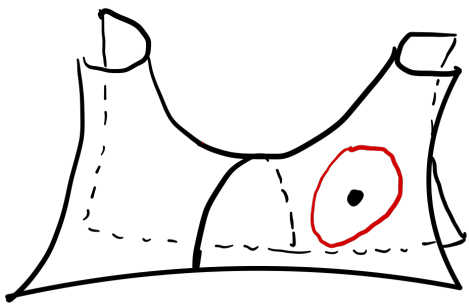


Local View

- ▶ For d -dimensional manifold M
- ▶ An open neighborhood $B_r(p)$ of p
 - ▶ $B_r(p)$ is an m -dimensional open ball
 - ▶ Consider the boundary of the closure of $B_r(p)$

Local View

- ▶ For d -dimensional manifold M
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 - ▶ $B_r(p)$ is an d -dimensional open ball
 - ▶ Consider the boundary of the closure of $B_r(p)$ intersecting the sub-level set $M^{\leq f(p)-\epsilon}$ for some function $f : M \rightarrow \mathbb{R}$

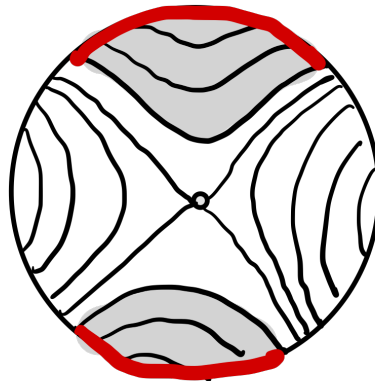
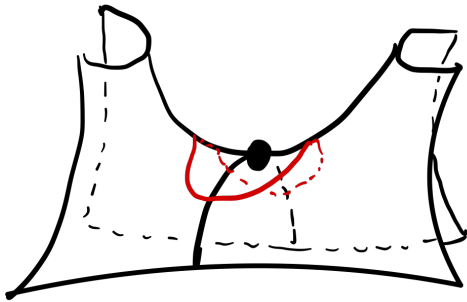


$$\tilde{\beta}_0(X) = \beta_0(X) - 1 = 0$$

$$\beta_p(X) = 0 \text{ for } p \geq 1$$

Local View

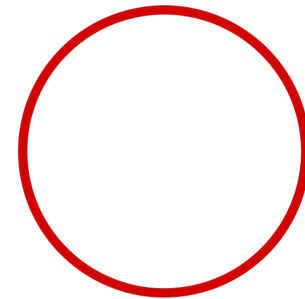
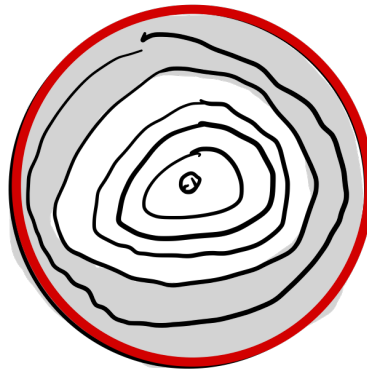
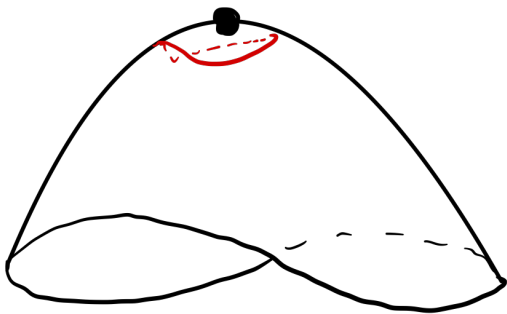
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$$\begin{aligned}\tilde{\beta}_0(X) &> 0 \\ \beta_p(X) &= 0 \text{ for } p \geq 1 \\ \text{Index} &= 1\end{aligned}$$

Local View

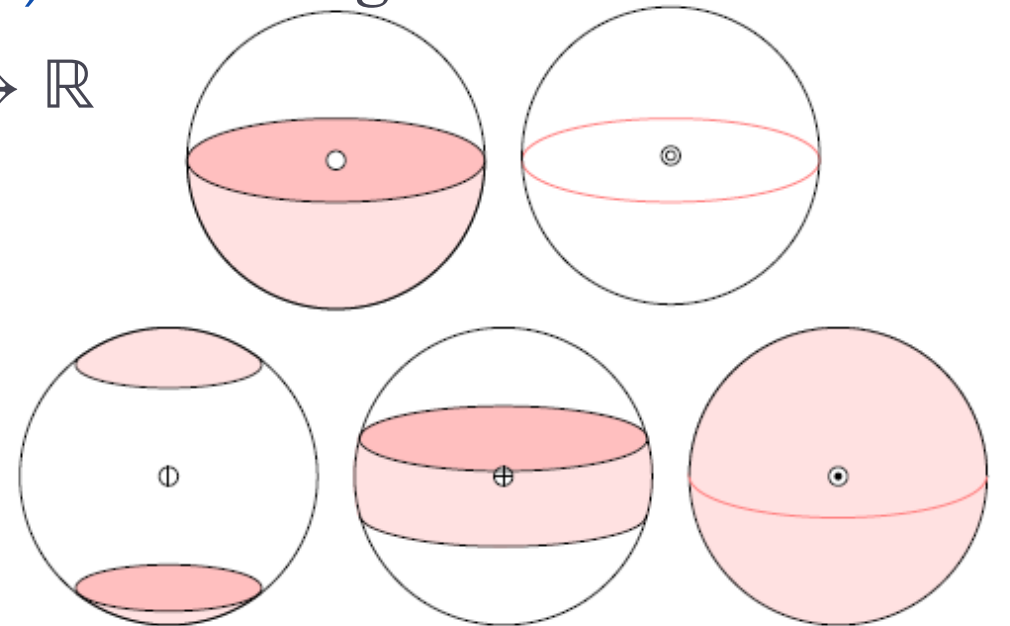
- ▶ For d -dimensional manifold M
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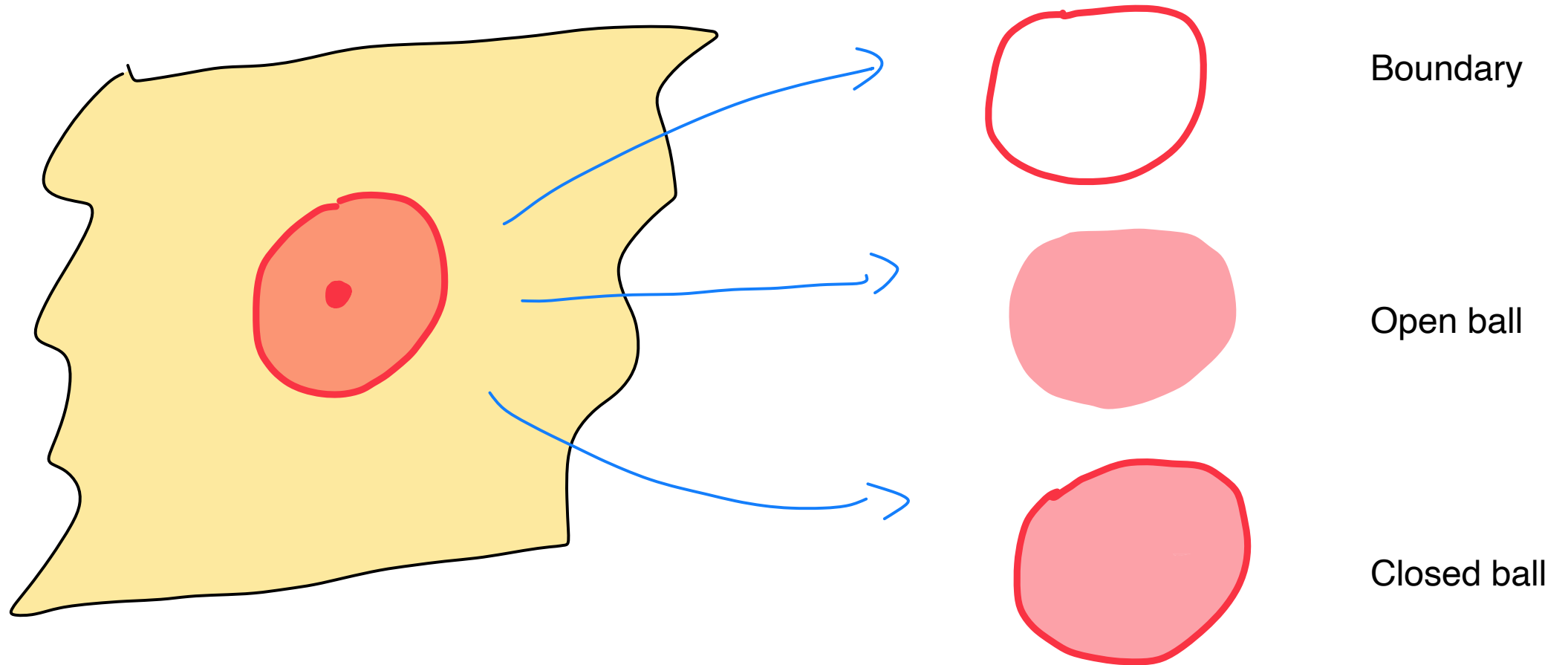
$$\begin{aligned}\tilde{\beta}_0(X) &= 0 \\ \beta_1(X) &= 1 \\ \beta_p(X) &= 0 \text{ for } p \geq 2 \\ \text{Index} &= 2\end{aligned}$$

Local View

- ▶ For d -dimensional manifold M
- ▶ An open neighborhood $B_r(p)$ of p
 - ▶ $B_r(p)$ is an d -dimensional open ball
 - ▶ Consider the boundary of the closure of $B_r(p)$ intersecting the sub-level set $M^{\leq f(p)-\epsilon}$ for some function $f : M \rightarrow \mathbb{R}$



Star and links



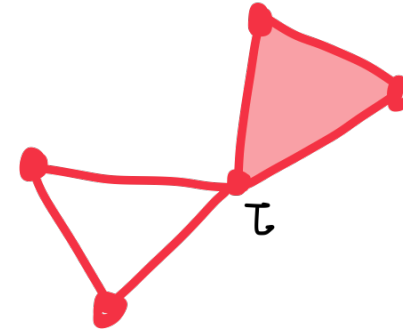
Star and links

► Given a simplex $\tau \in K$

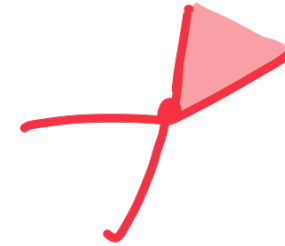
► Star: $St(\tau) = \{ \sigma \in K \mid \tau \subset \sigma \}$

► Closed star: $clSt(\tau) = \bigcup_{\sigma \in St(\tau)} \{ \sigma' \mid \sigma' \subset \sigma \}$

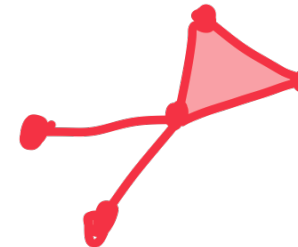
► Link: $Lk(\tau) = \{ \sigma \in clSt(\tau) \mid \sigma \cap \tau = \emptyset \}$



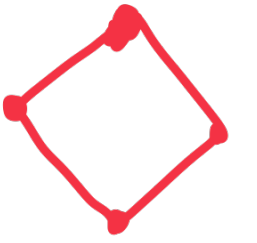
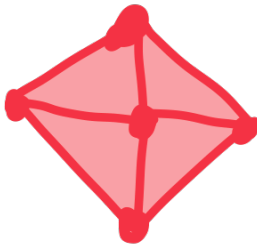
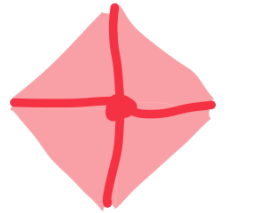
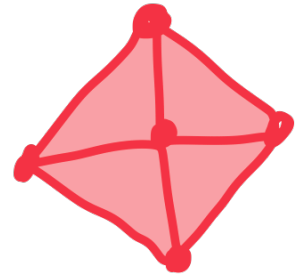
$St(\tau)$



$clSt(\tau)$



$Lk(\tau)$

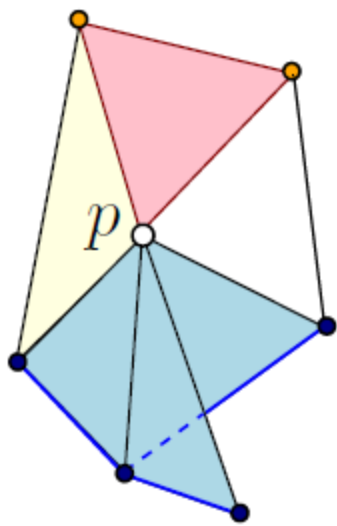


Upper and lower link

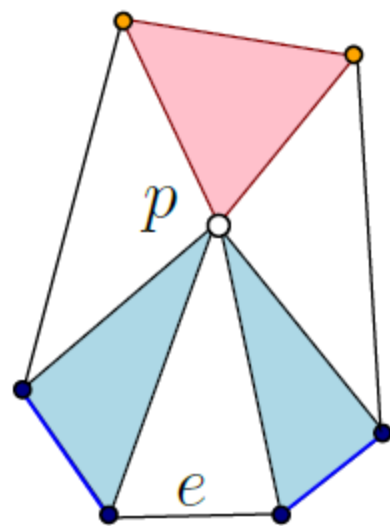
- ▶ $LowSt(v) := \{\sigma \in K \mid v \in \sigma \text{ and } f(u) \leq f(v) \text{ for any } u \in \sigma\}$
- ▶ $clLowSt(v) := \{\sigma \in K \mid \sigma \subset \tau \in LowSt(v)\}$
- ▶ $Llk(v) = clLowSt(v) \setminus LowSt(v)$

- ▶ $UpSt(v) := \{\sigma \in K \mid v \in \sigma \text{ and } f(u) \geq f(v), \forall u \in \sigma\}$
- ▶ $clUpSt(v) := \{\sigma \in K \mid \sigma \subset \tau \in UpSt(v)\}$
- ▶ $Ulk(v) = clUpSt(v) \setminus UpSt(v)$

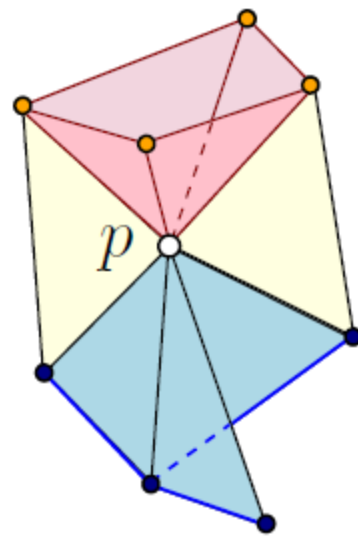
Examples



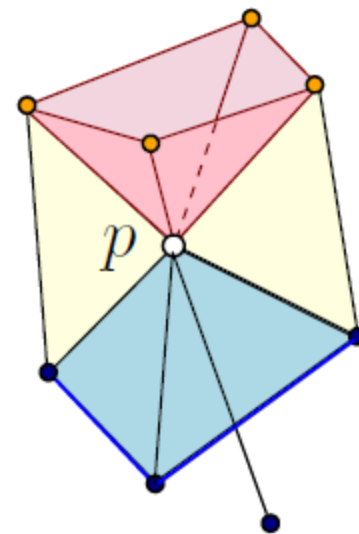
(a)



(b)



(c)



(d)

PL-critical points

- ▶ Analogous to critical points in the smooth case.

Definition 1 (Reduced Betti number). $\tilde{\beta}_p(X) = \beta_p(X)$ for $p > 0$. For $p = 0$, $\tilde{\beta}_0(X) = \beta_0(X) - 1$ and $\tilde{\beta}_{-1}(X) = 0$ if X is not empty; otherwise, $\tilde{\beta}_0(X) = 0$ and $\tilde{\beta}_{-1}(X) = 1$.

- ▶ $\tilde{\beta}_0(X) = \text{number of connected components} - 1$

PL-critical points

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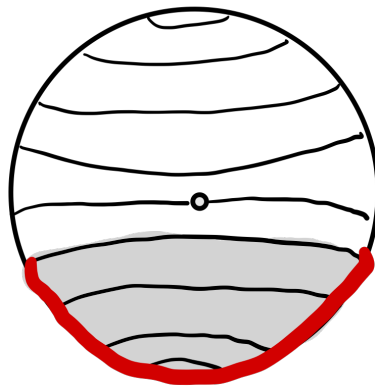
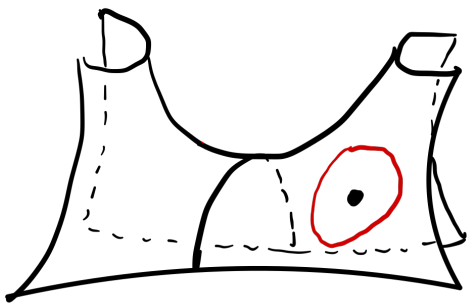
Definition 2 (PL-critical points). Given a PL-function $f : |K| \rightarrow \mathbb{R}$, we say that a vertex $v \in K$ is a *regular* vertex or point if $\tilde{\beta}_p(\text{Llk}(v)) = 0$ and $\tilde{\beta}_p(\text{Ulk}(v)) = 0$ for any $p \geq -1$. It is called *PL-critical* (or simply *critical*) vertex or point otherwise.

Furthermore, we say that v has *lower-link-index* p if $\tilde{\beta}_{p-1}(\text{Llk}(v)) > 0$. Similarly v has *upper-link-index* p if $\tilde{\beta}_{p-1}(\text{Ulk}(v)) > 0$. The function value of a critical point is a *critical value* for f .

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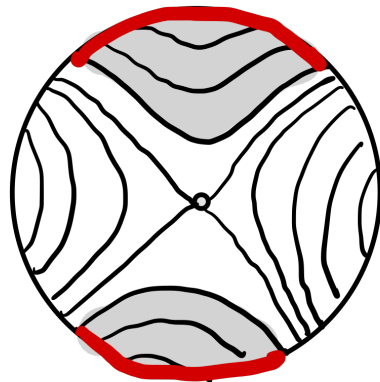
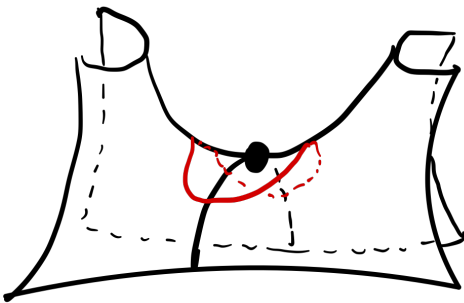
$$\tilde{\beta}_0(X) = \beta_0(X) - 1 = 0$$

$$\beta_p(X) = 0 \text{ for } p \geq 1$$

PL-critical points

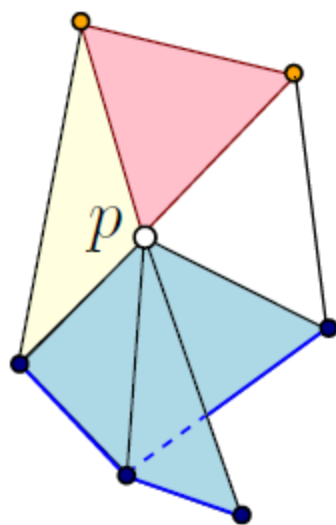
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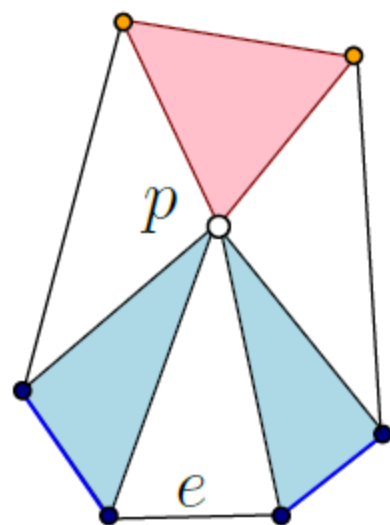


$$\begin{aligned} \tilde{\beta}_0(X) &> 0 \\ \beta_p(X) &= 0 \text{ for } p \geq 1 \\ \text{Index} &= 1 \end{aligned}$$

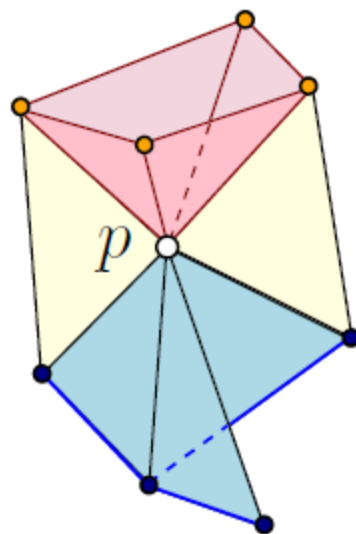
Examples



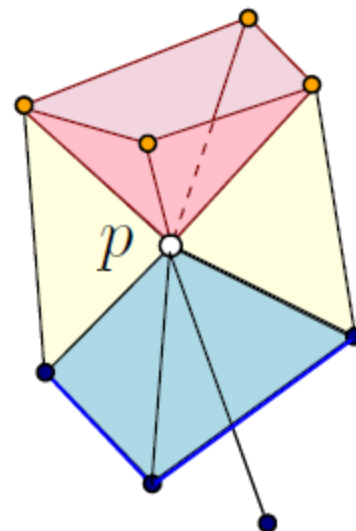
(a)



(b)

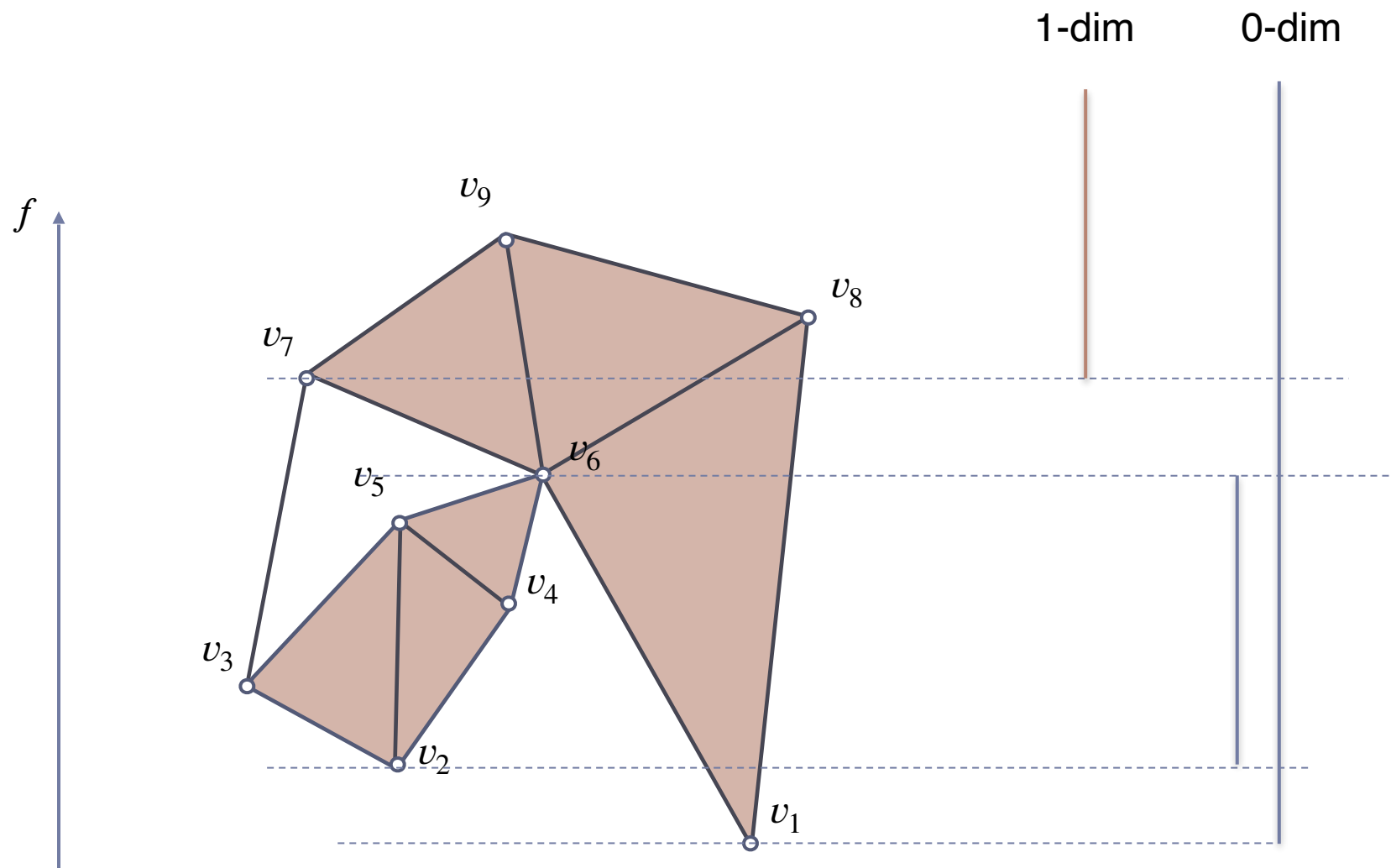


(c)

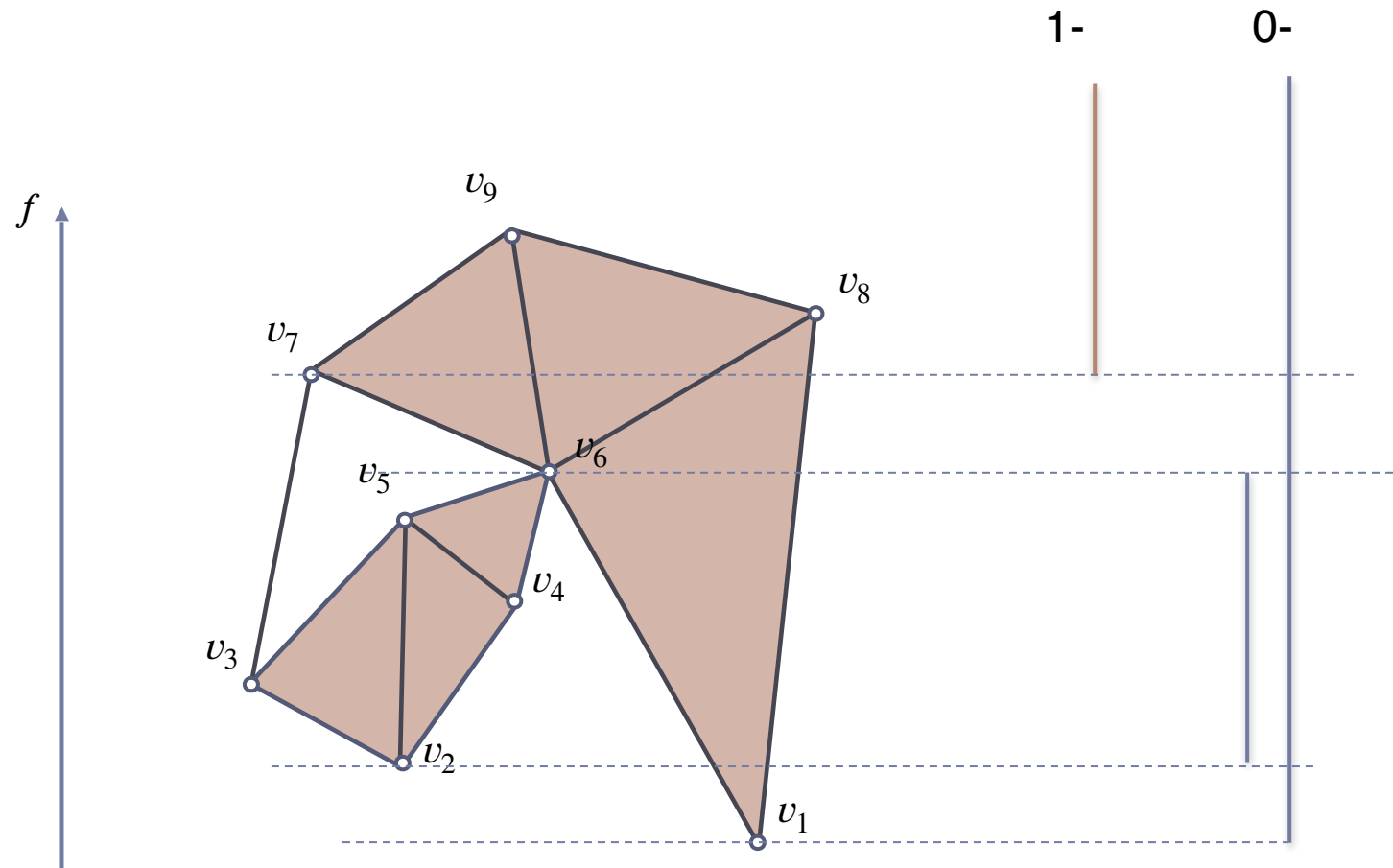


(d)

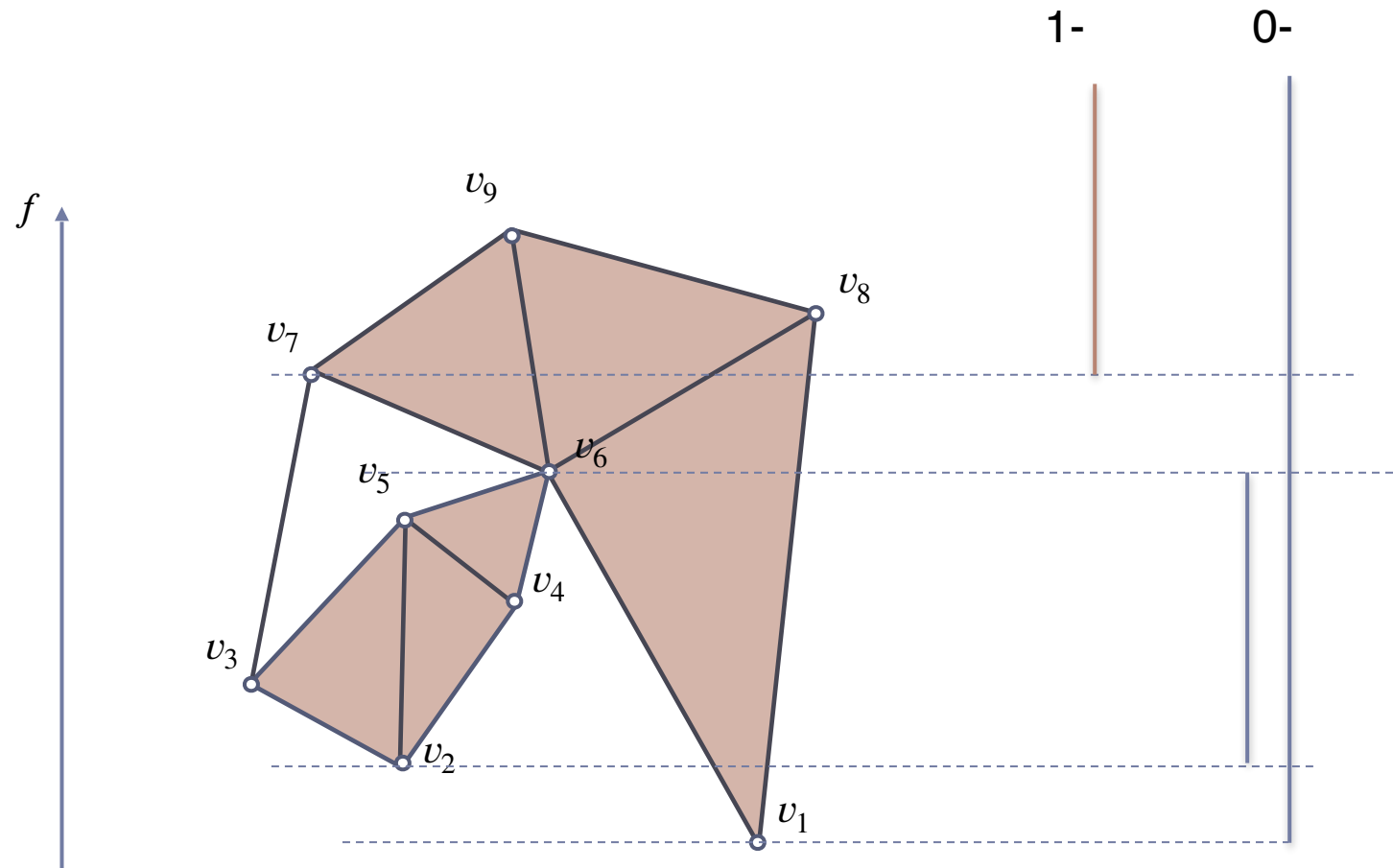
Example



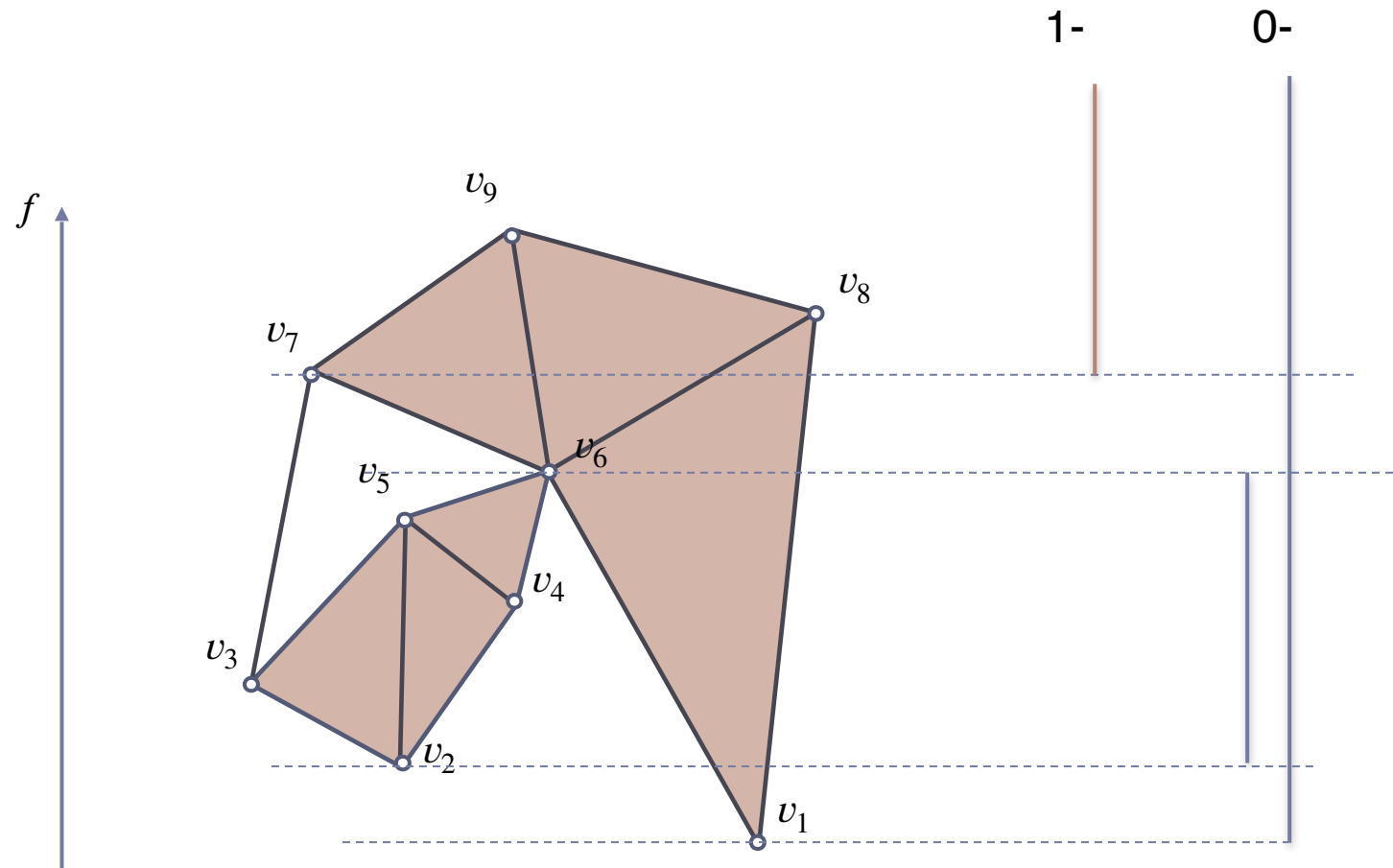
Example



Example

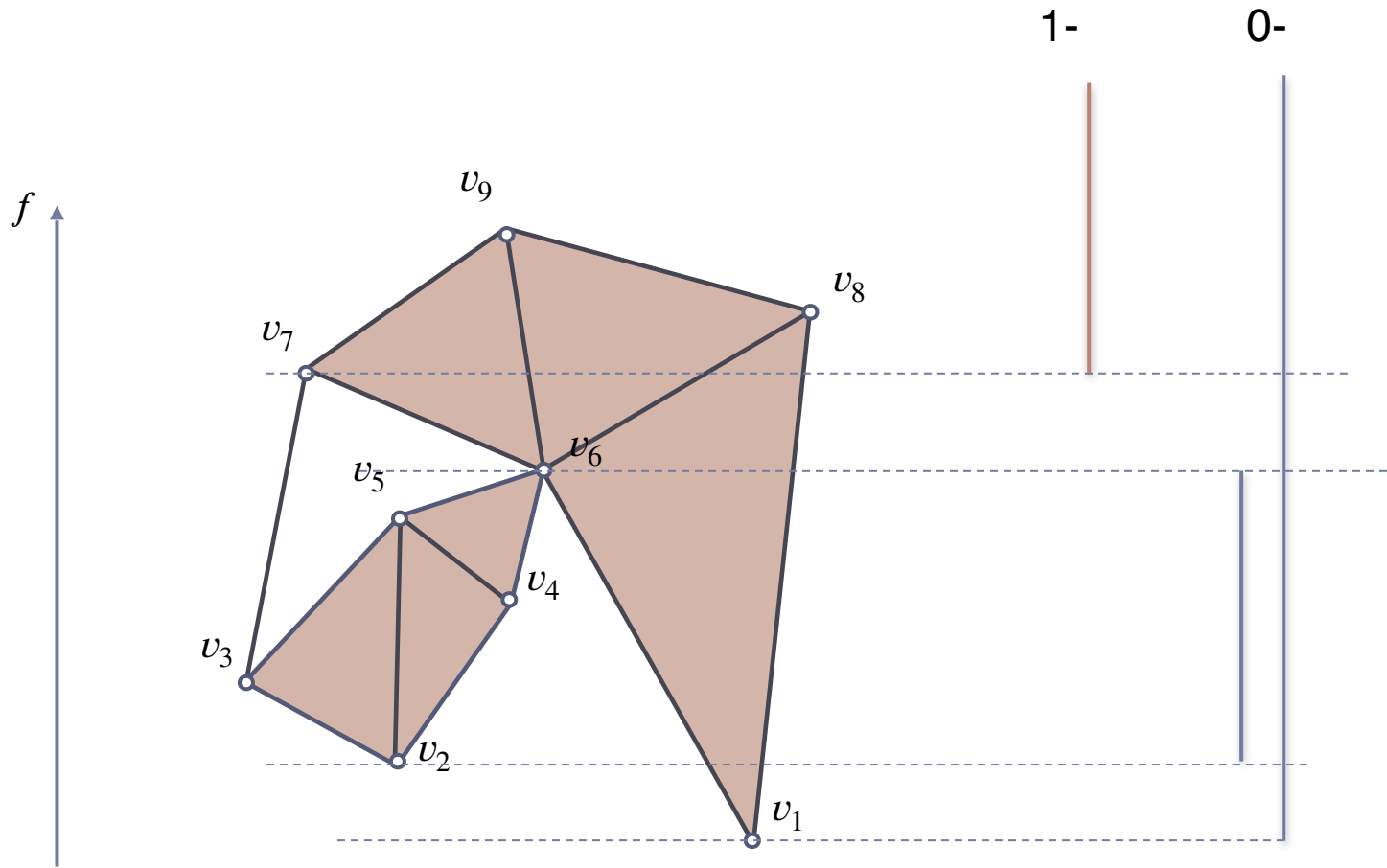


Example



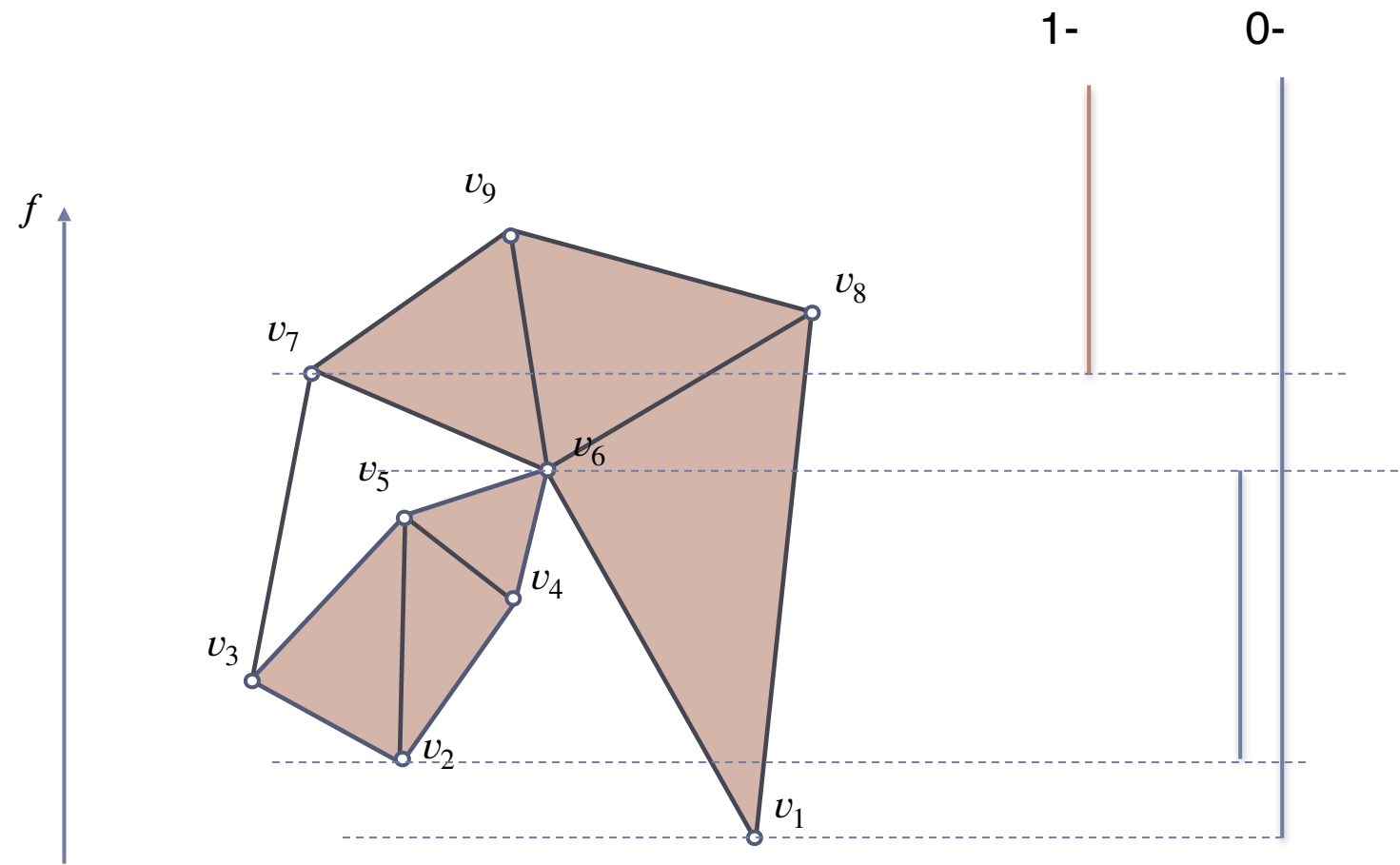
lower-link-index

Example



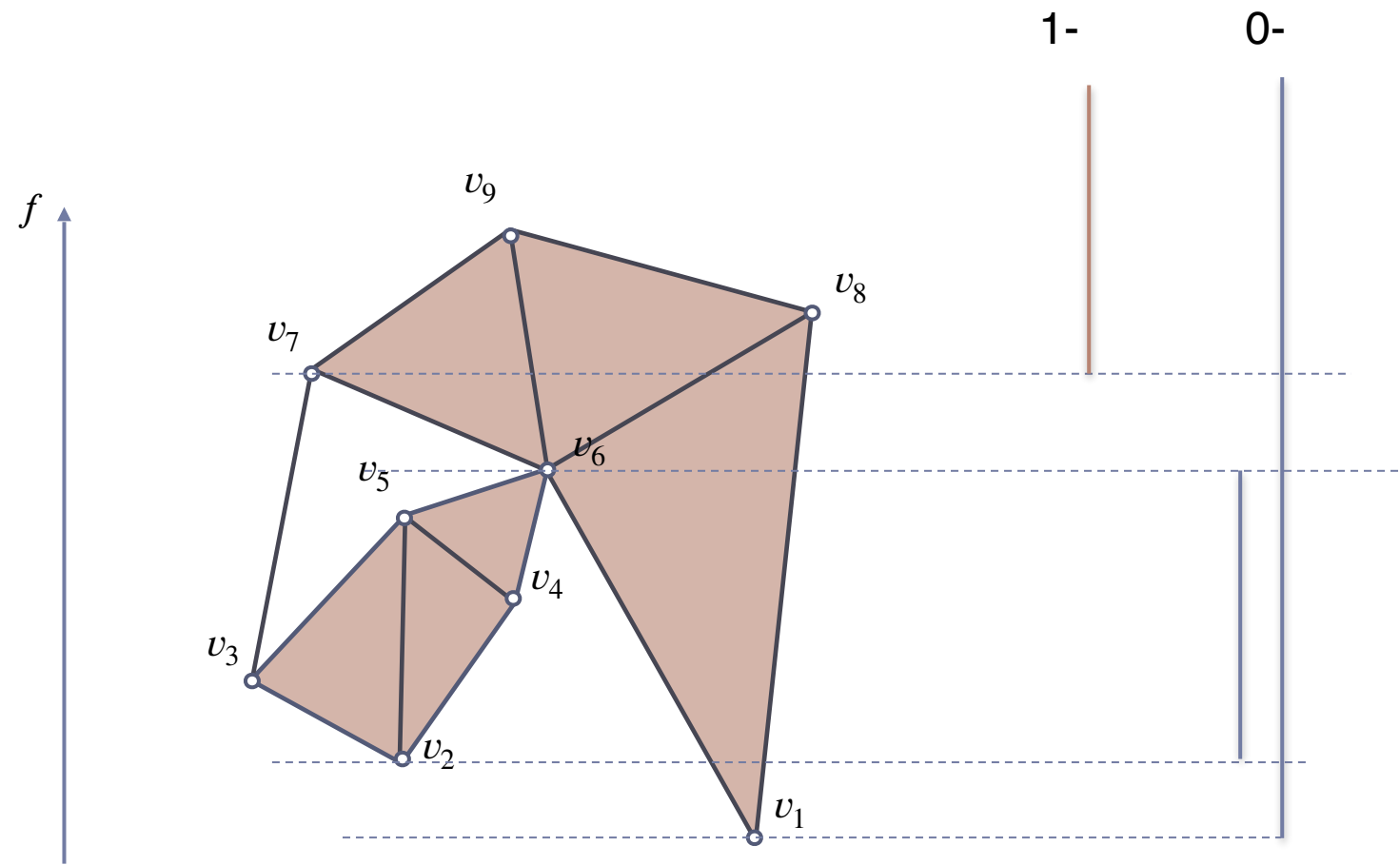
	v1
lower-link-index	0

Example



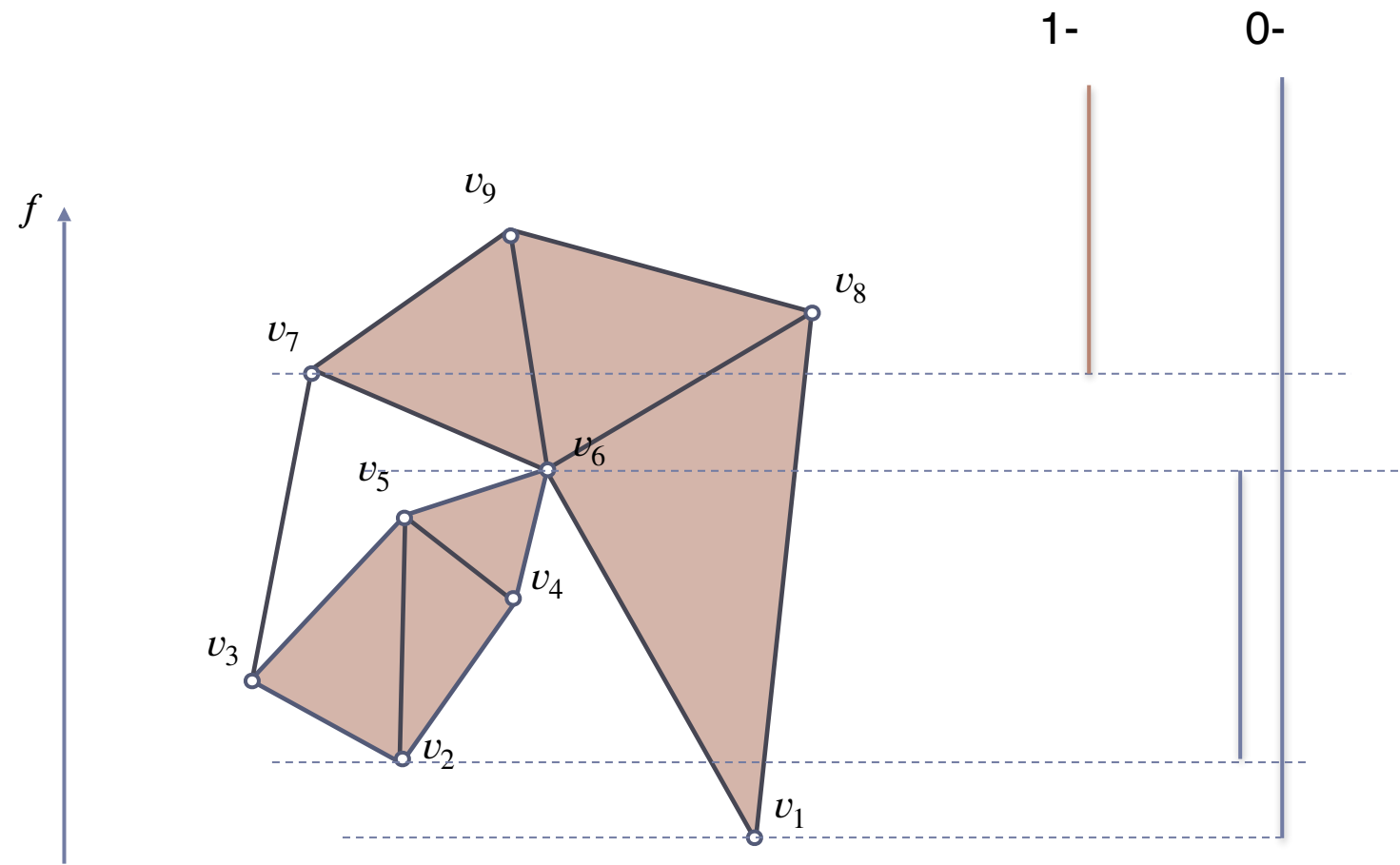
	v1	v2
lower-link-index	0	0

Example



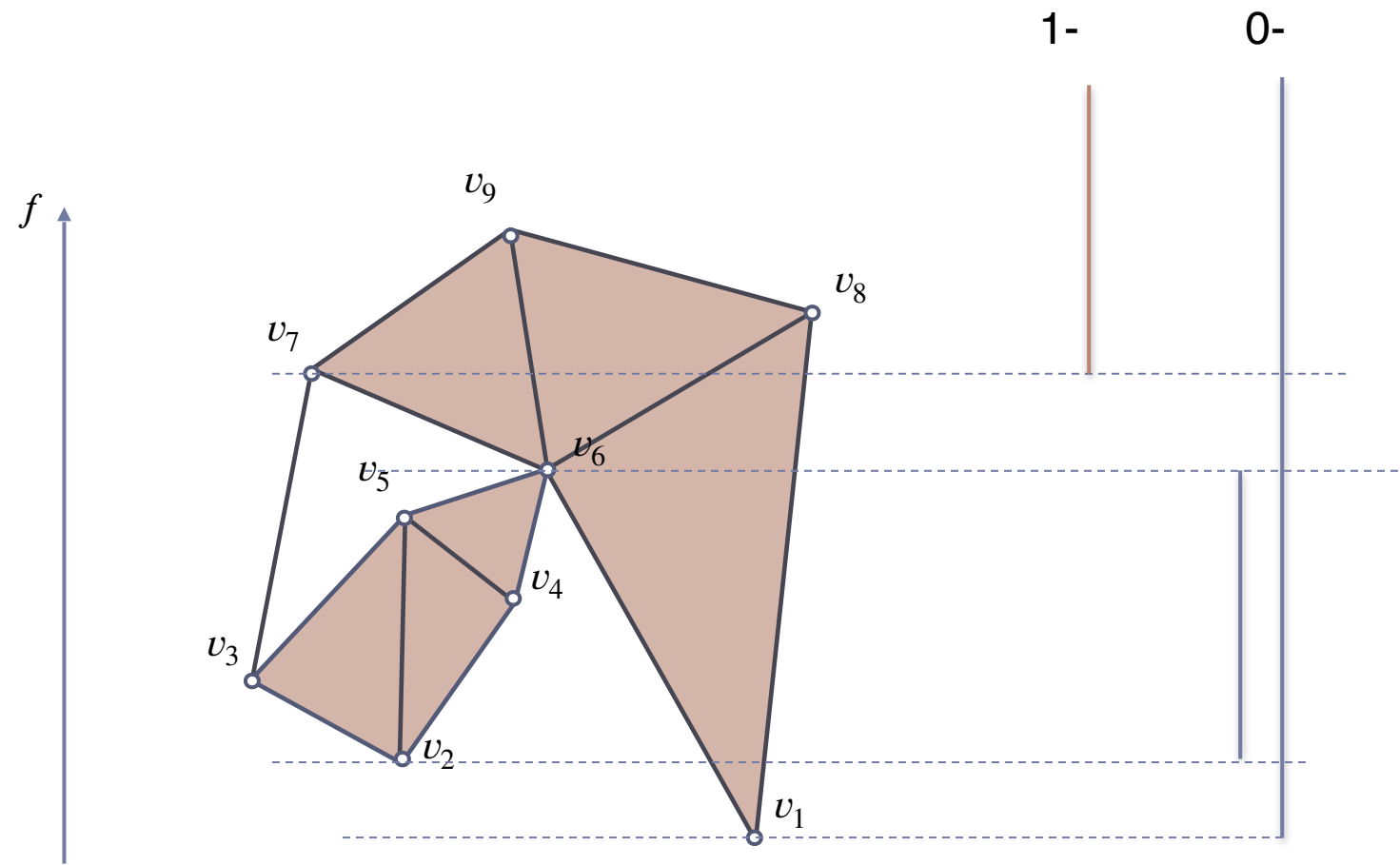
	v1	v2	v3
lower-link-index	0	0	NA

Example



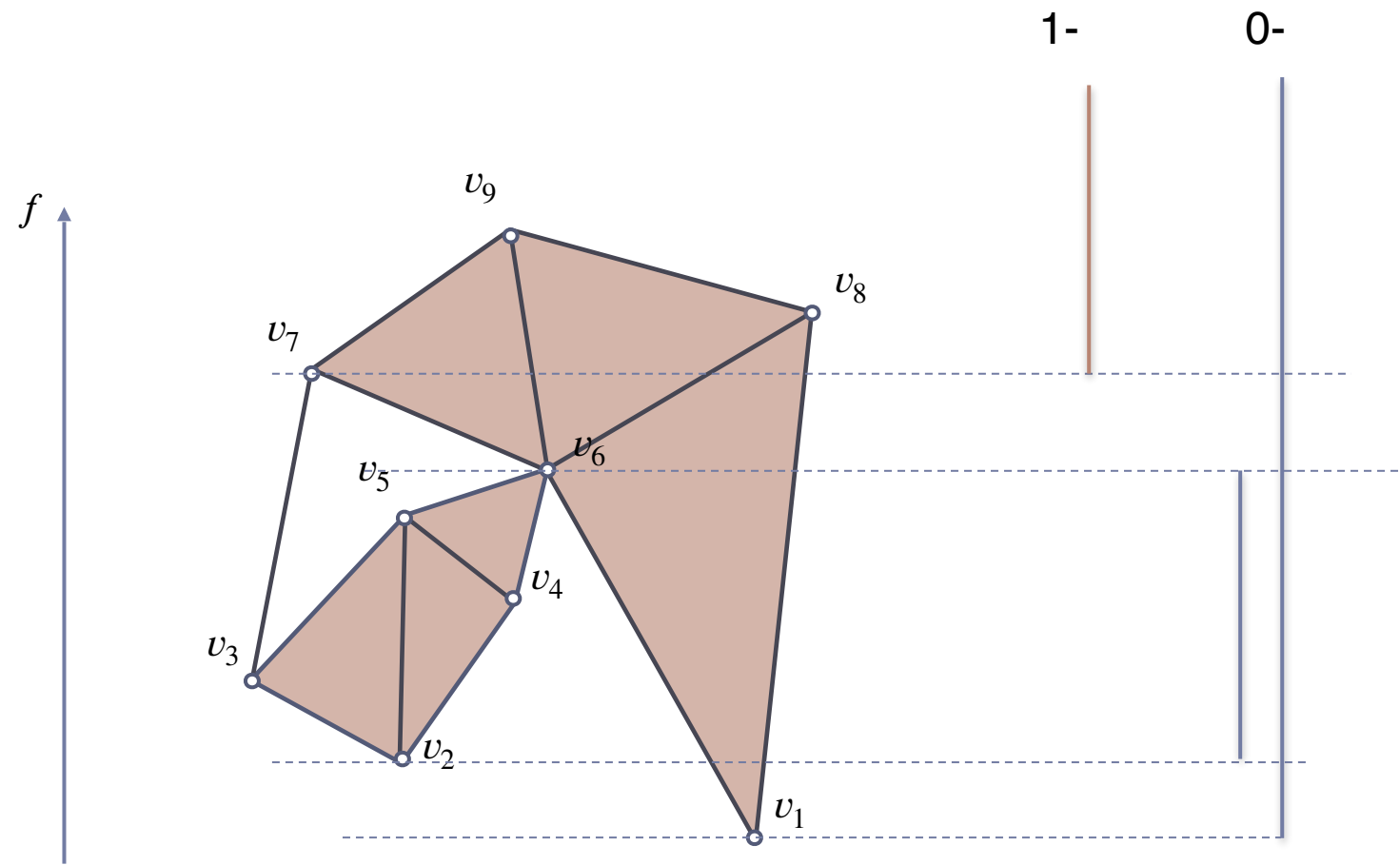
	v1	v2	v3	v4
lower-link-index	0	0	NA	NA

Example



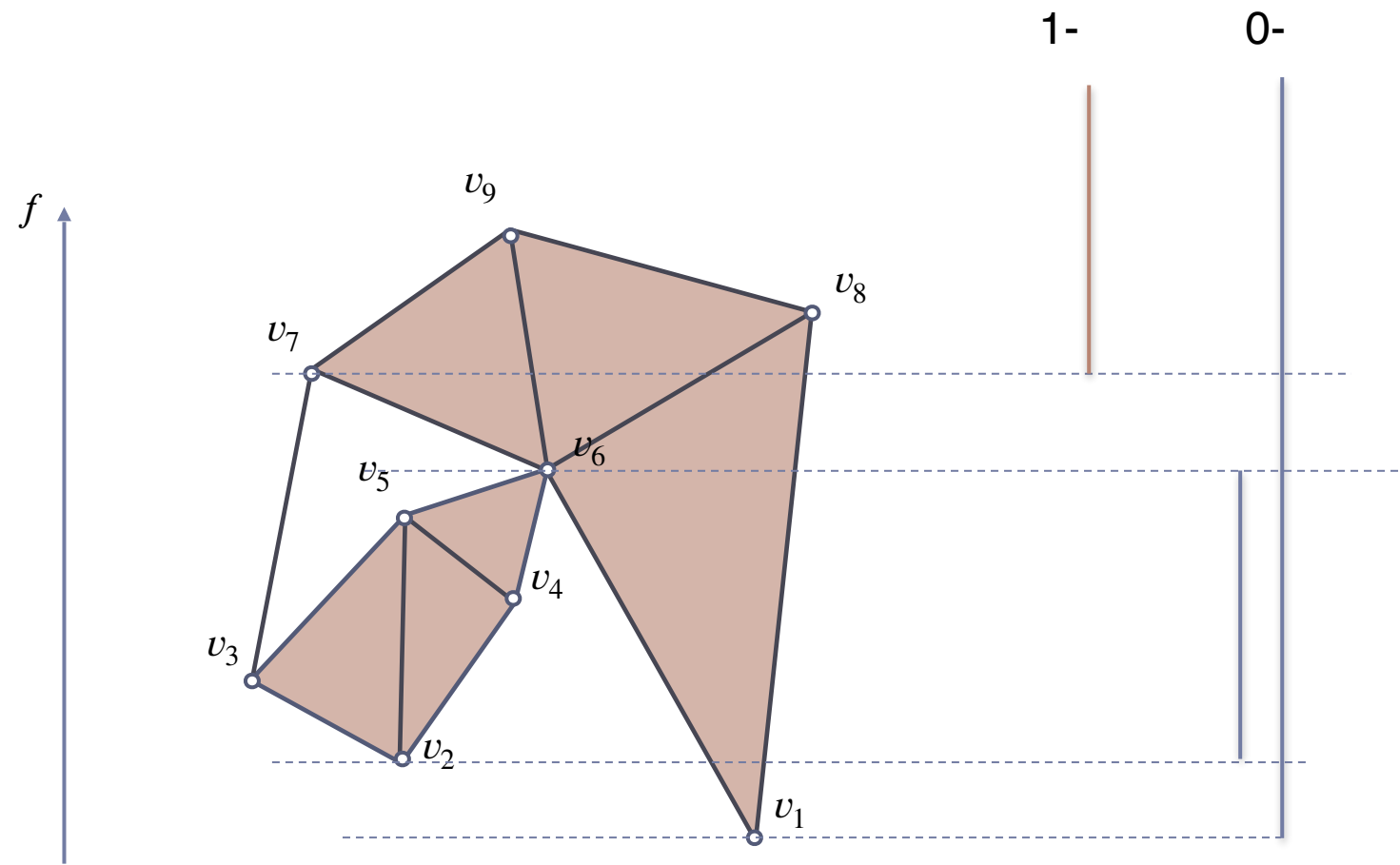
	v1	v2	v3	v4	v5
lower-link-index	0	0	NA	NA	NA

Example



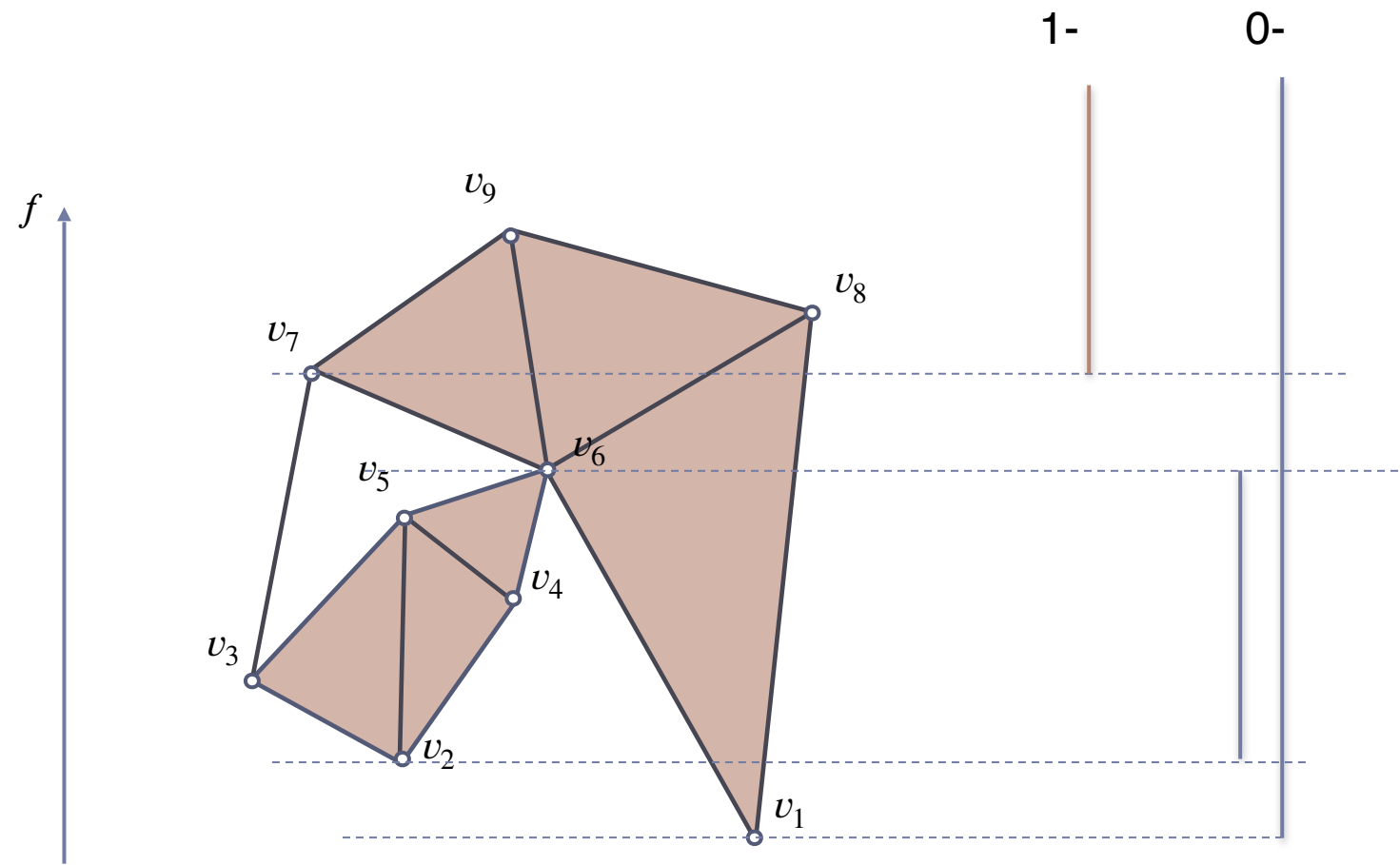
	v1	v2	v3	v4	v5	v6
lower-link-index	0	0	NA	NA	NA	1

Example



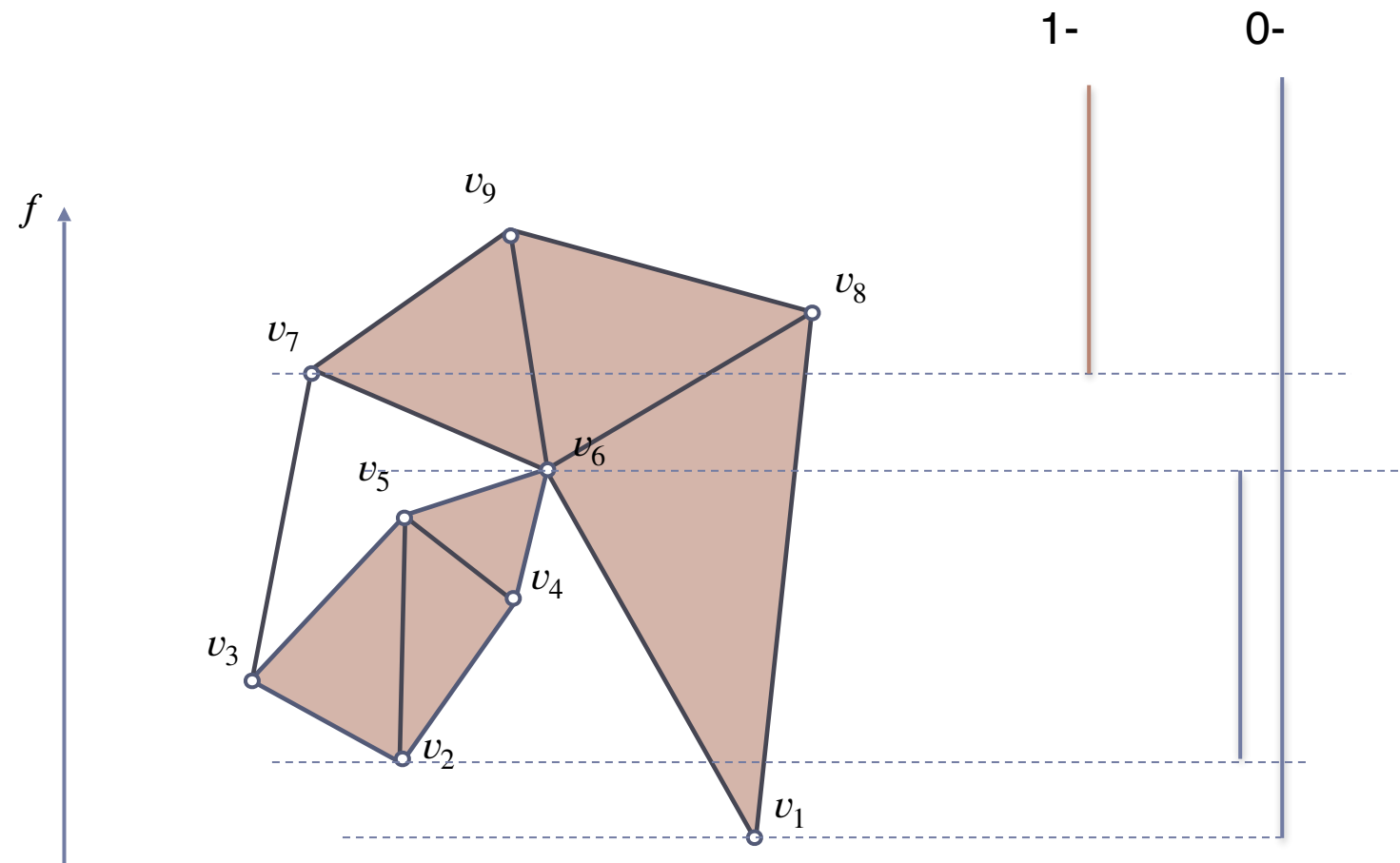
	v1	v2	v3	v4	v5	v6	v7
lower-link-index	0	0	NA	NA	NA	1	1

Example



	v1	v2	v3	v4	v5	v6	v7	v8
lower-link-index	0	0	NA	NA	NA	1	1	NA

Example



	v1	v2	v3	v4	v5	v6	v7	v8	V9
lower-link-index	0	0	NA	NA	NA	1	1	NA	NA

► Theorem

- Given a PL function $f : |K| \rightarrow \mathbb{R}$, for any $2 \leq r \leq n$ and dimension p , $K_{r-1} \subset K_r$ induces an isomorphism $H_p(K_{r-1}) \cong H_p(K_r)$ unless v_r has lower-link-index p or $p + 1$

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► Theorem

- Given a PL function $f : |K| \rightarrow \mathbb{R}$ and compute its persistent homology as described. Then for each persistence pair (i, j) , both v_i and v_j must be PL-critical.

- ▶ Dionysus
- ▶ A GitHub repo

FIN