

**DSC 214**

# **Topological Data Analysis**

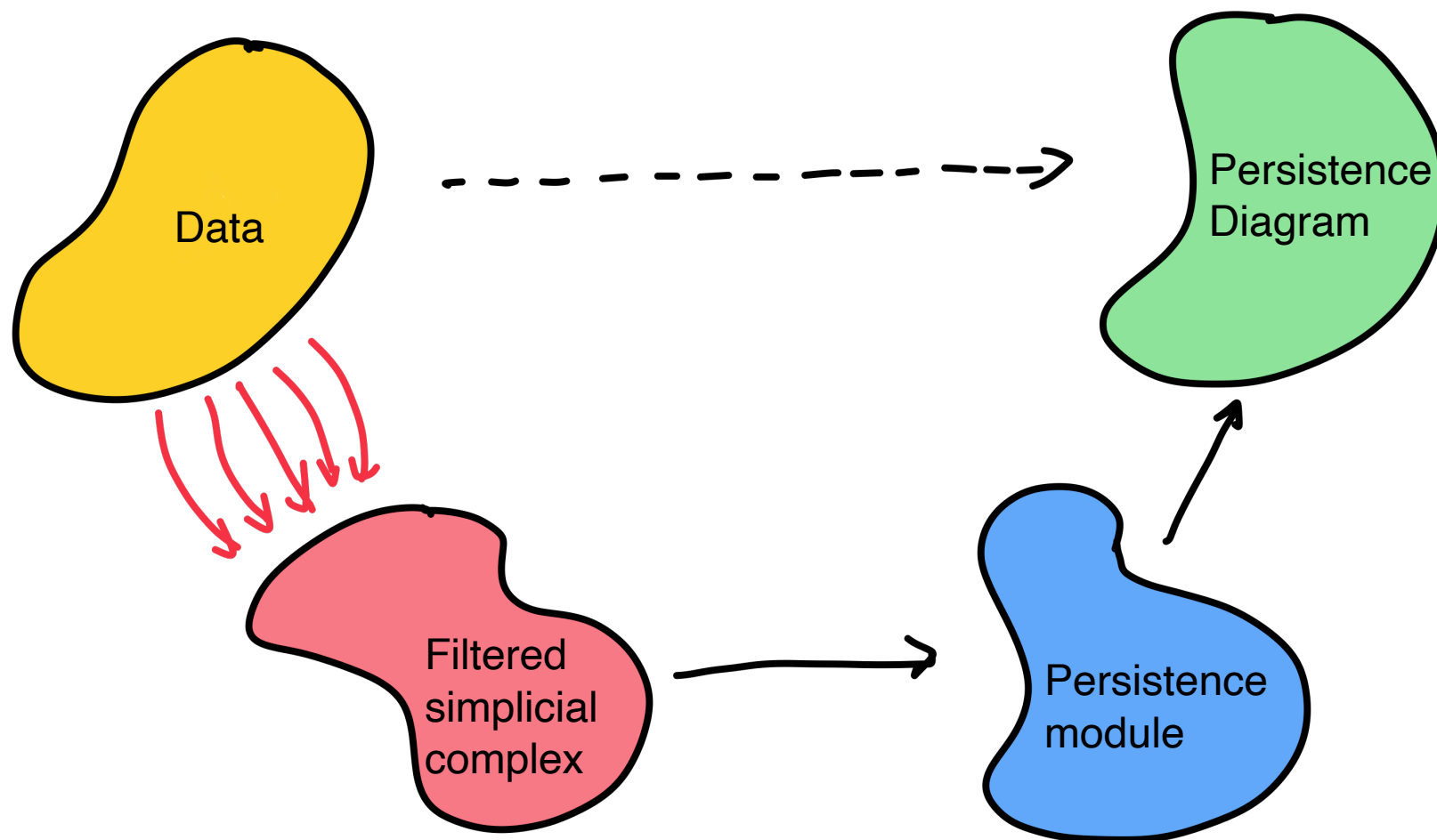
**Topic 4-A: Introduction to Persistent Homology**

Instructor: Zhengchao Wan

# Persistent homology

- ▶ A modern extension of homology to “sequence of spaces”
  - ▶ [Edelsbrunner, Letcher, and Zomorodian, FOCS 2000]
  - ▶ Significantly broaden its practical power
- ▶ What is persistent homology (PH)
  - ▶ Motivation
  - ▶ Persistent betti numbers and persistence diagrams
- ▶ Algorithm(s) for persistent homology

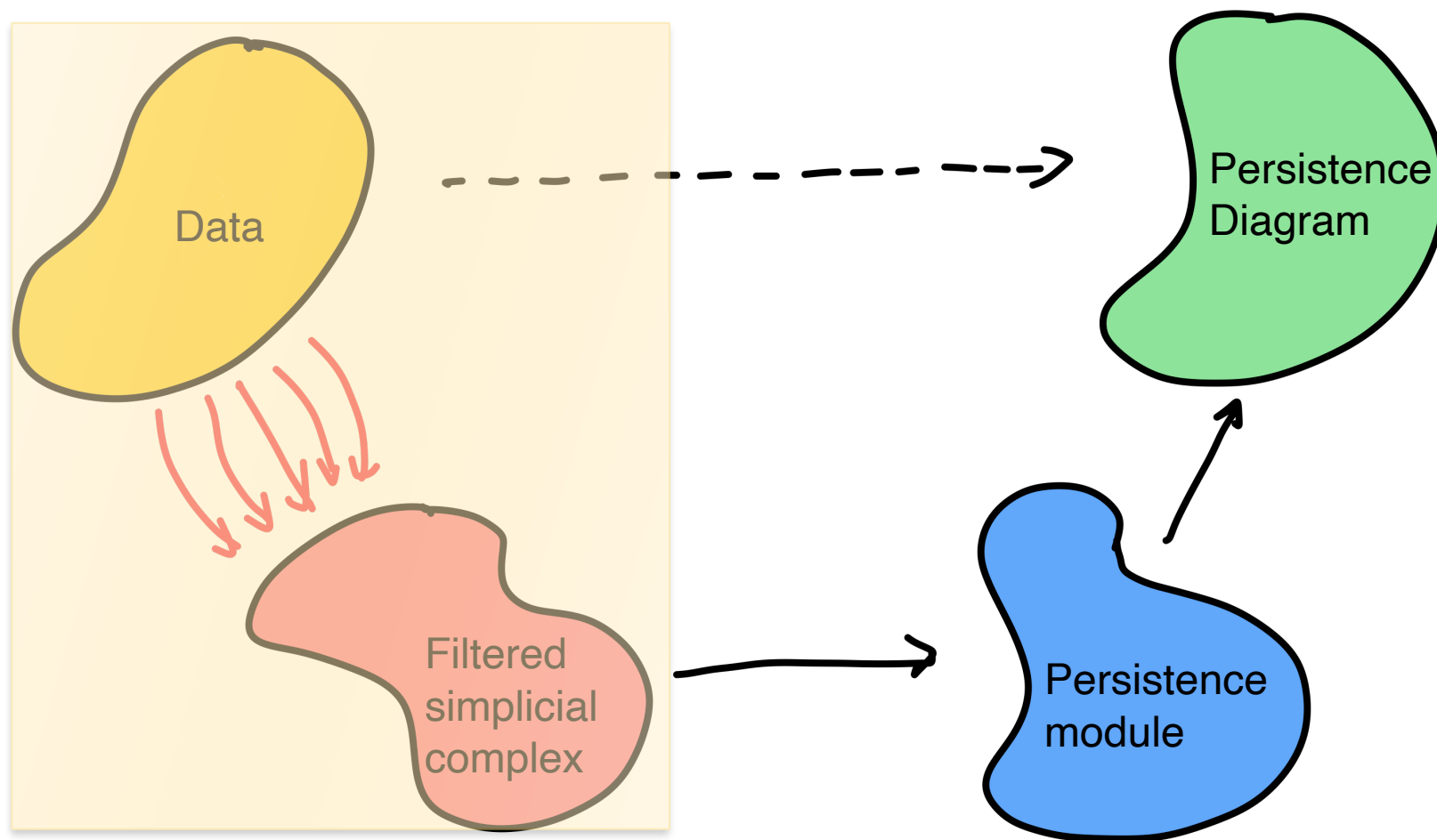
# Mind picture



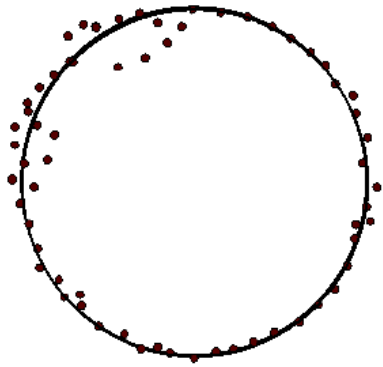
# Section 1:

# Persistent Homology

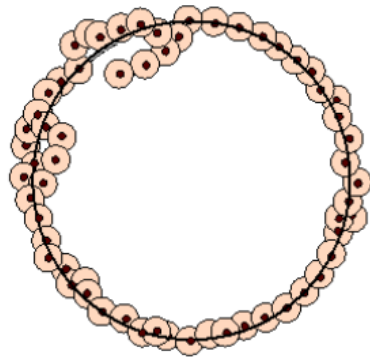
# Filtered simplicial complex



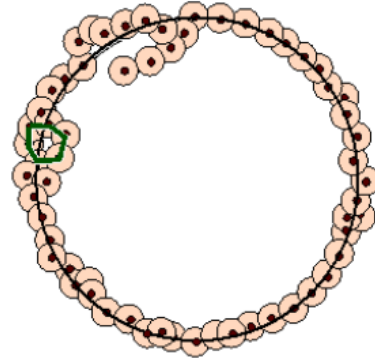
# Issue of Scale



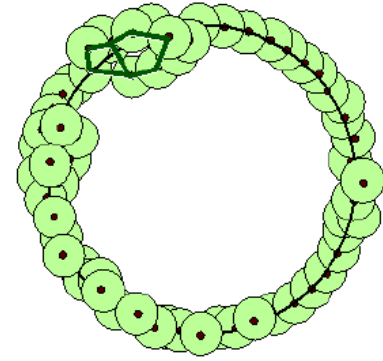
(a)



(b)

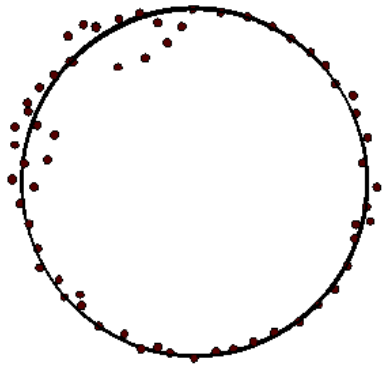


(c)

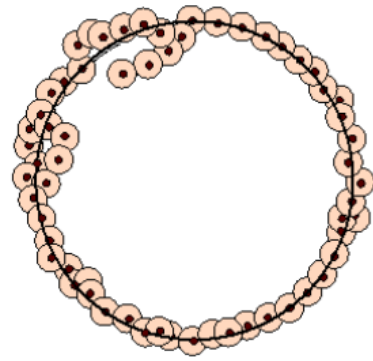


(d)

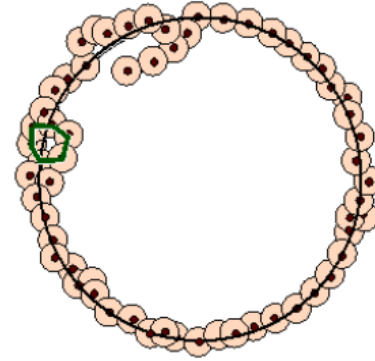
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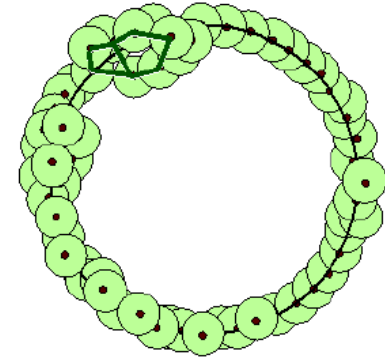
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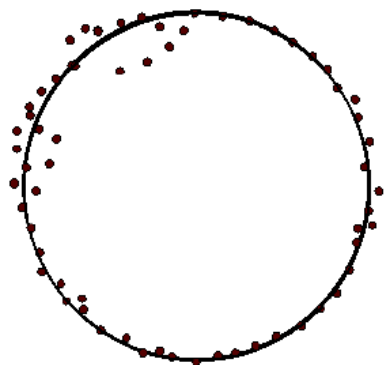
(c)



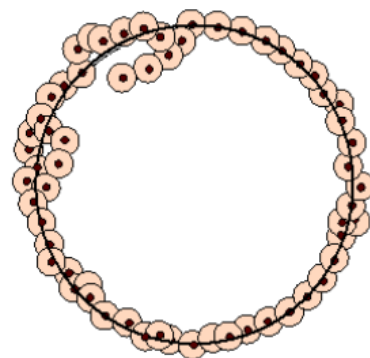
(d)

- Which scale to take?

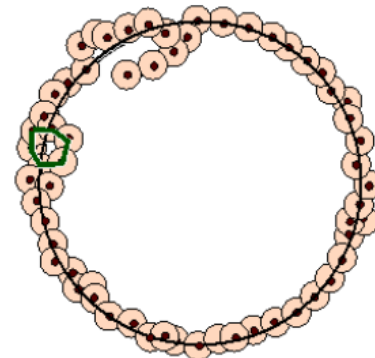
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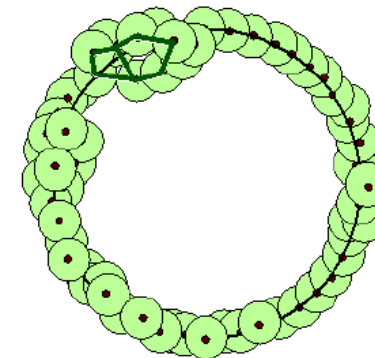
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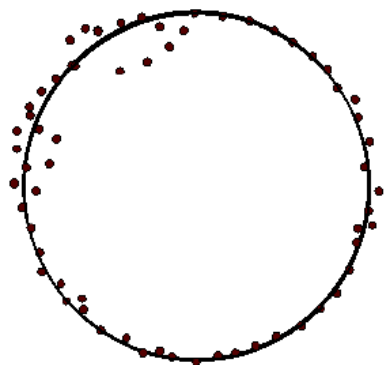


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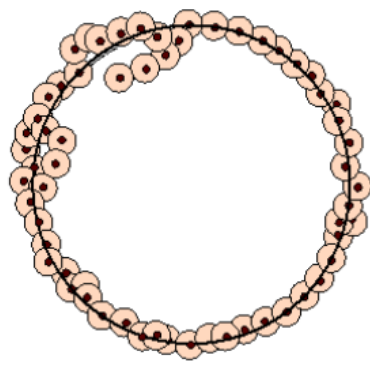
- ▶ Which scale to take?
- ▶ No single good scale!



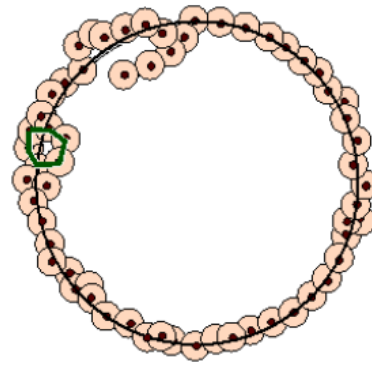
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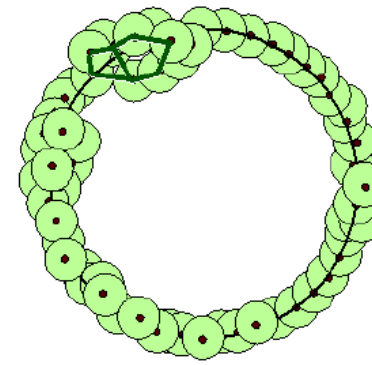
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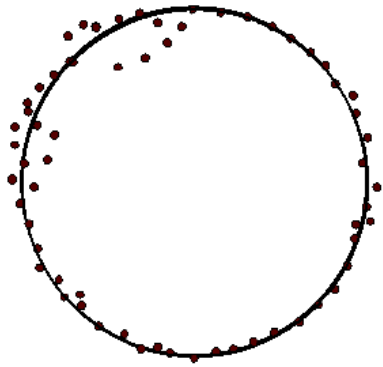
(c)



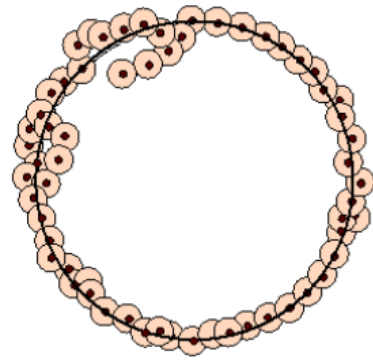
(d)

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- ▶ No single good scale!
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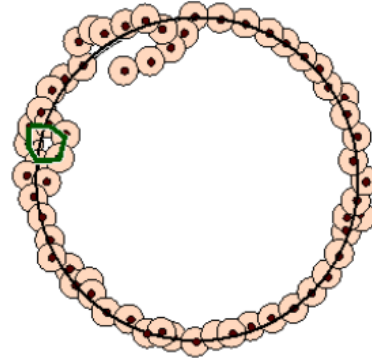
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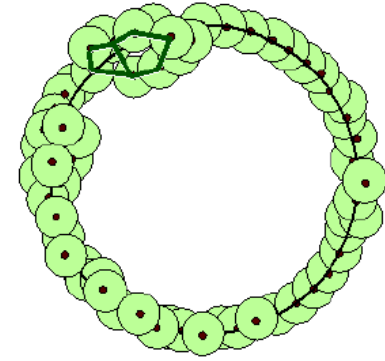
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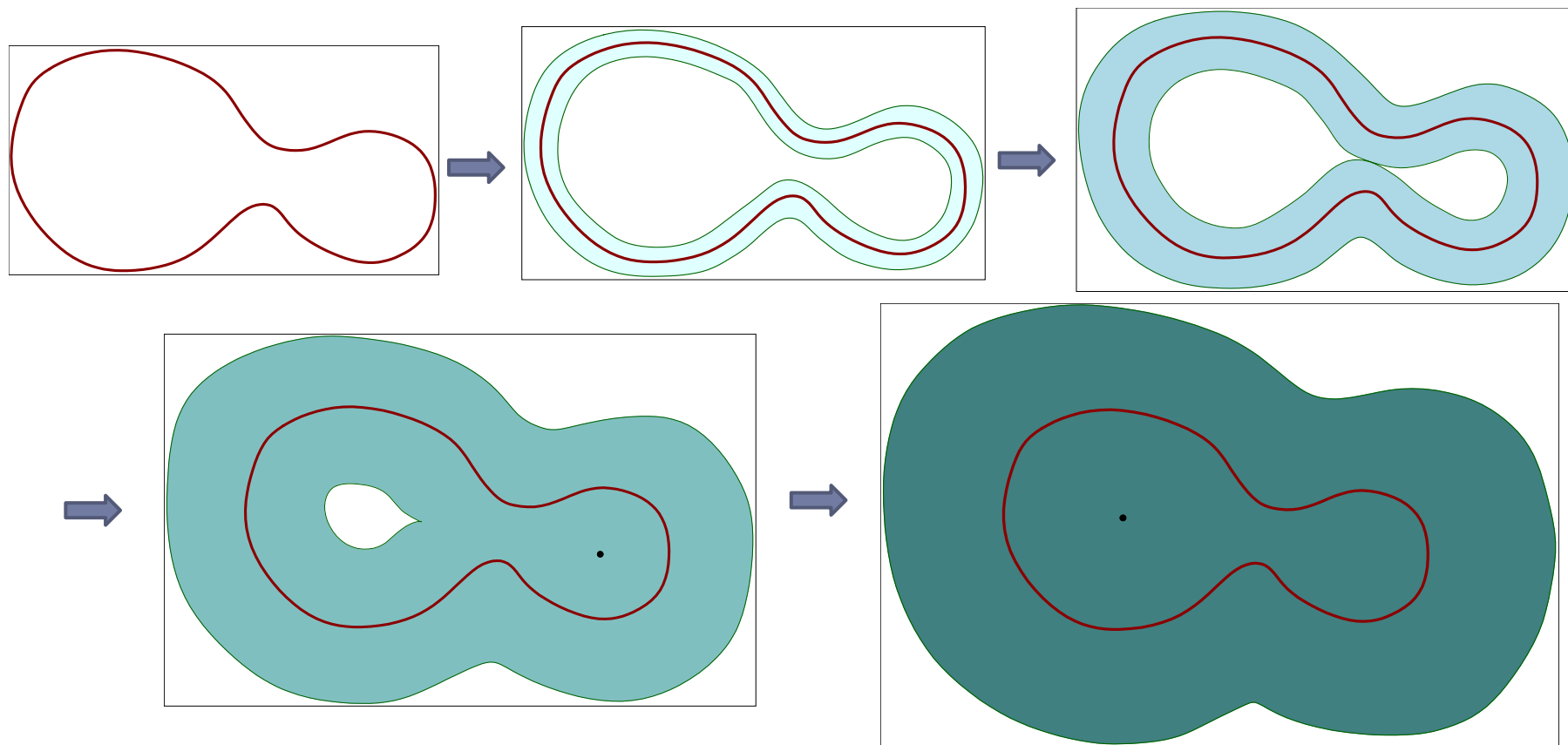
(c)



(d)

- ▶ Which scale to take?
- ▶ No single good scale!
- ▶ All scales?
- ▶ Some ``features'' persists longer than others

## Another Example



- ▶ Want to capture features of different “sizes”

# Čech Complex

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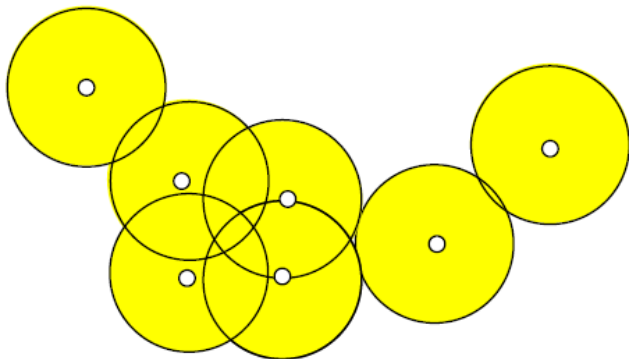
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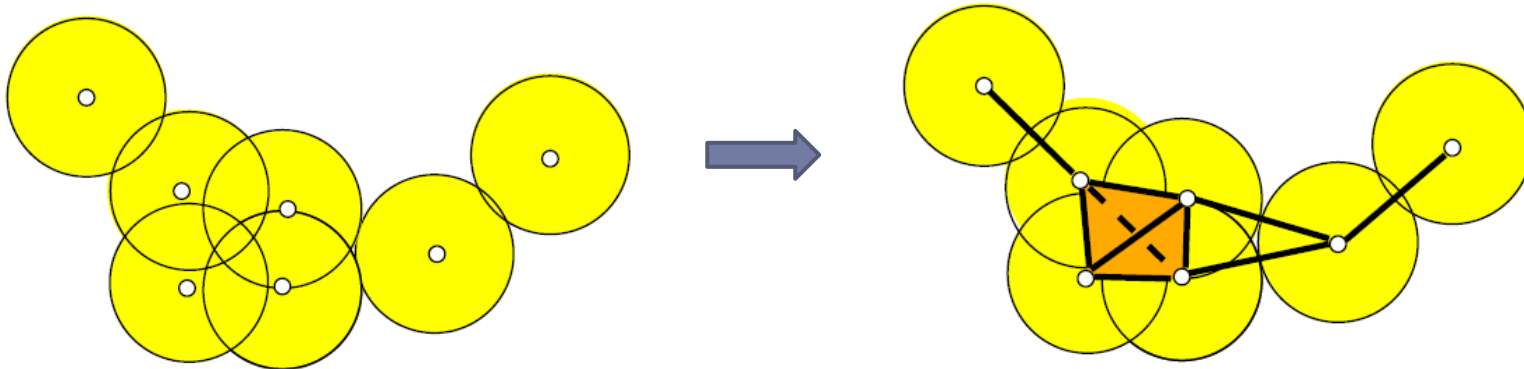
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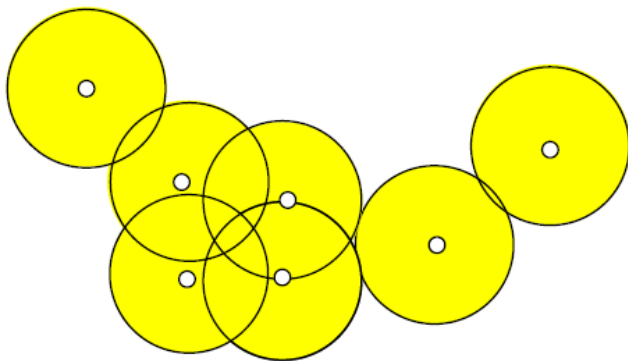
- ▶ Given a set of points  $P = \{p_1, \dots, p_n\} \subset \mathbb{R}^d$
- ▶  $(C^r(P))_{r \geq 0}$  is called the Čech filtration

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- ▶ Given a real value  $r > 0$ , the *Vietoris-Rips (Rips) complex*  $Rips^r(P)$  is:
  - ▶  $\left\{ (p_{i_0}, \dots, p_{i_k}) \mid B(p_{i_l}, r) \cap B(p_{i_j}, r) \neq \emptyset, \forall l, j = 0, \dots, k \right\}.$
- ▶ More generally for  $P$  in a metric space  $(X, d)$ :
  - ▶  $Rips^r(P) = \left\{ (p_{i_0}, \dots, p_{i_k}) \mid d(p_{i_l}, p_{i_j}) \leq 2r, \forall l, j = 0, \dots, k \right\}.$

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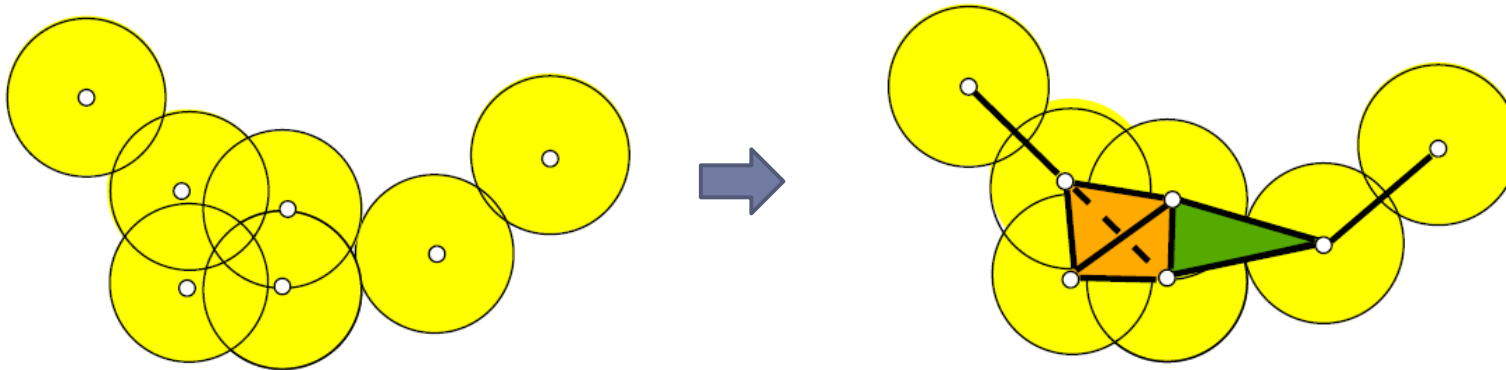
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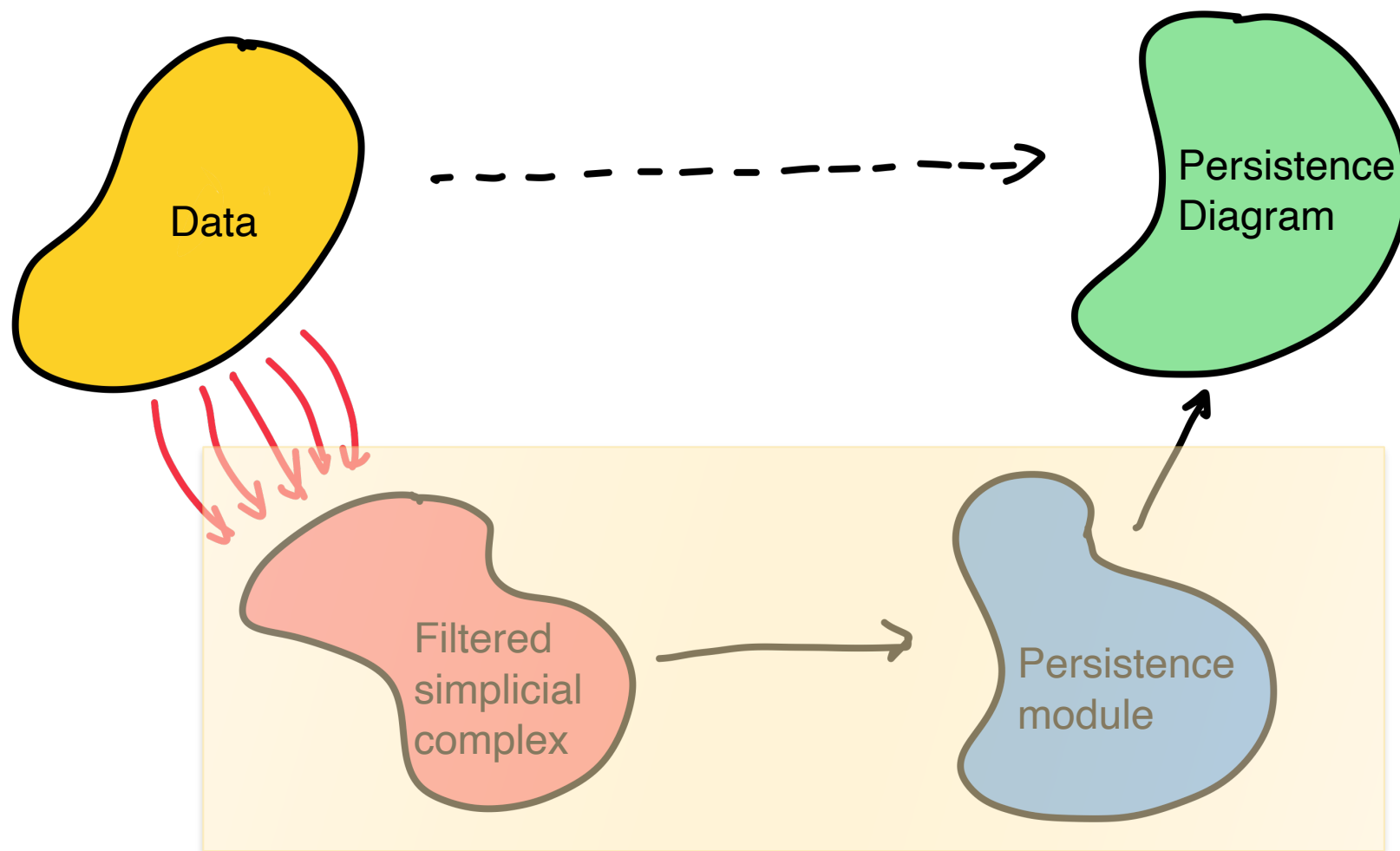
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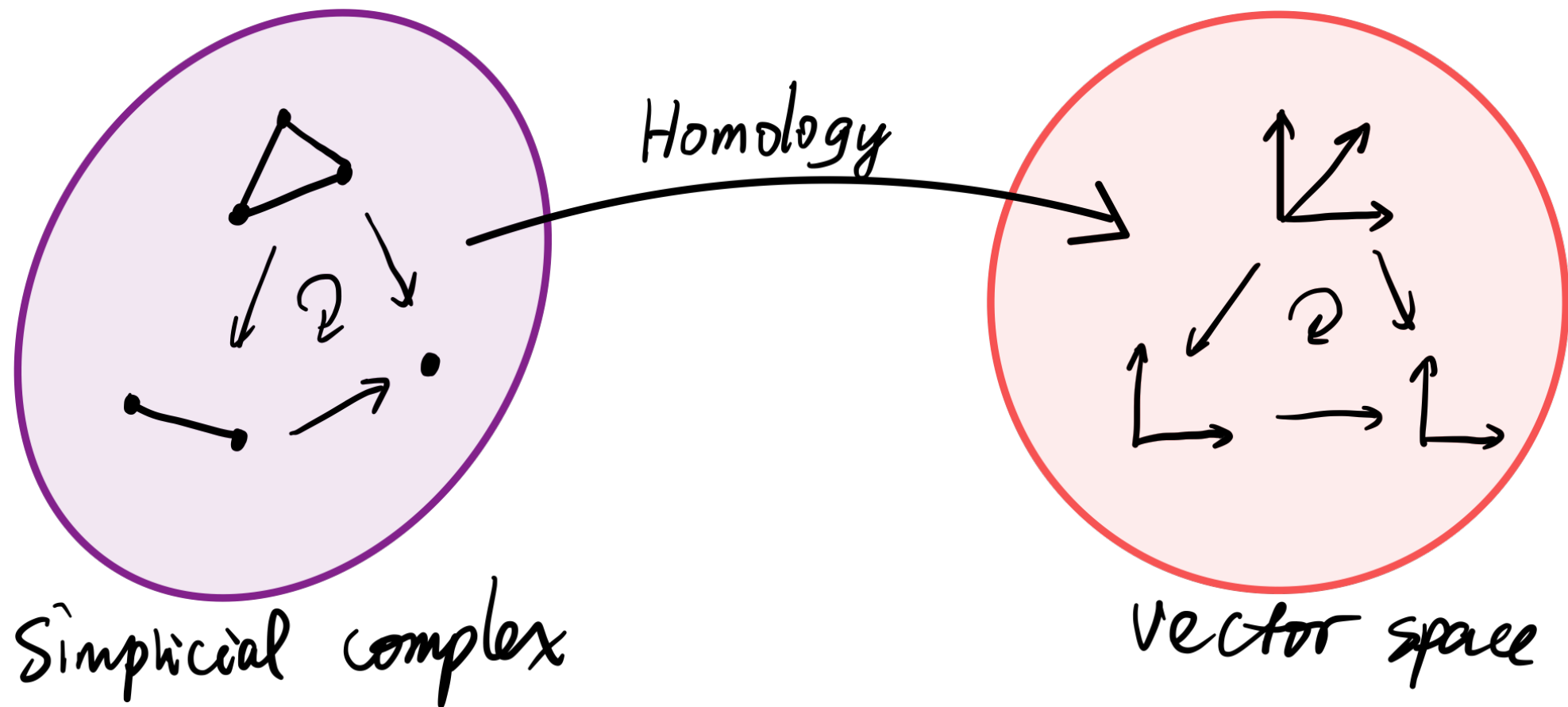
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- ▶ Both Čech and Rips filtrations are finitely represented

# Persistence modules



# Mind picture of functoriality

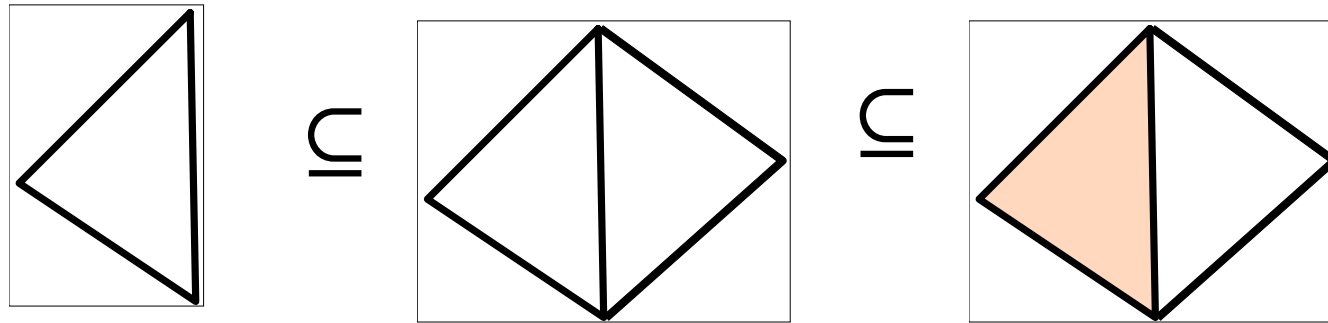


# Persistence Modules

- ▶  $K \subseteq K' \Rightarrow \xi_p : H_p(K) \rightarrow H_p(K')$ 
  - ▶ Inclusion maps induce homomorphisms in homology groups (under  $Z_2$ -coefficients, linear maps in vector spaces)

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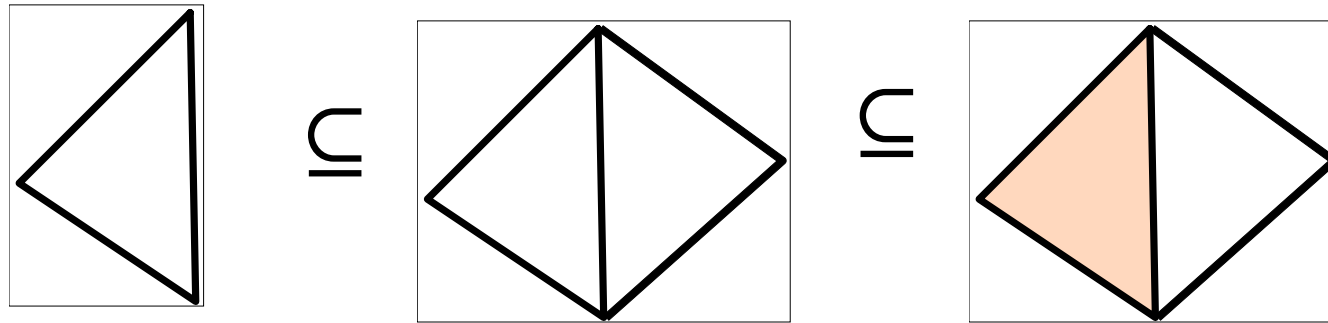
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$$\begin{array}{ccccc} K_1 & \subseteq & K_2 & \subseteq & K_3 \\ H_1(K_1) & \rightarrow & H_1(K_2) & \rightarrow & H_1(K_3) \end{array}$$

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 H_1(K_1) & \rightarrow & H_1(K_2) & \rightarrow & H_1(K_3) \\
 [c] & \mapsto & [c] & \mapsto & 0
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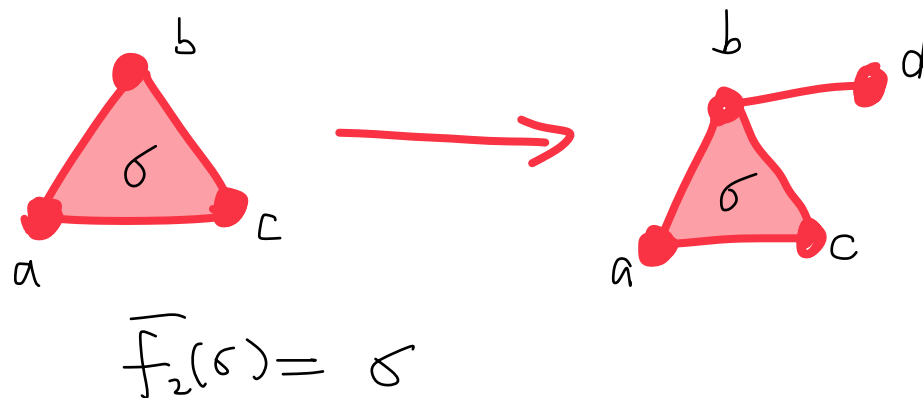
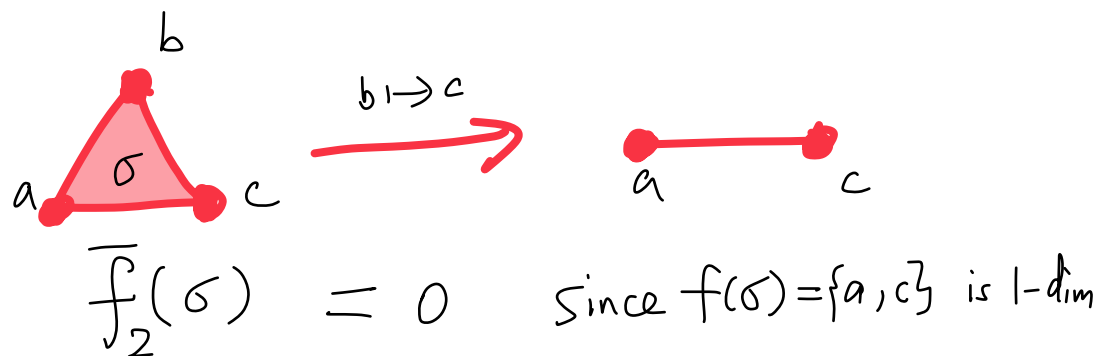
# Construction of $f_p$

► Define  $\bar{f}_p : C_p(K) \rightarrow C_p(K')$

$$\bar{f}_p(\sigma) = \begin{cases} f(\sigma) & \text{if } f(\sigma) \text{ is } p\text{-dimensional} \\ 0 & \text{otherwise} \end{cases}$$

► Define  $f_p : H_p(K) \rightarrow H_p(K')$

$$f_p([c]) := [\bar{f}_p(c)]$$



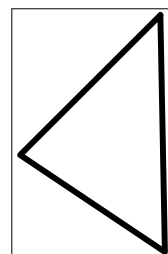
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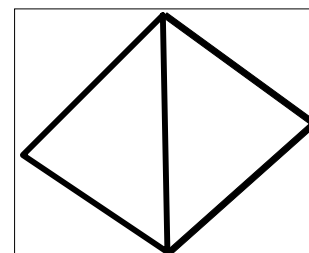
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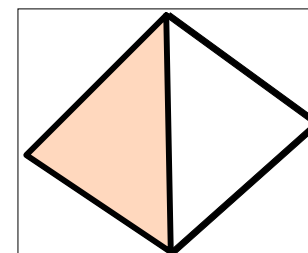
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$\subseteq$



$\subseteq$



$K_1$

$\subseteq$

$K_2$

$\subseteq$

$K_3$

$H_1(K_1)$

$\rightarrow$

$H_1(K_2)$

$\rightarrow$

$H_1(K_3)$



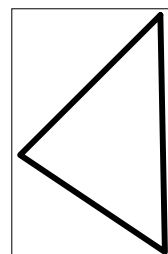
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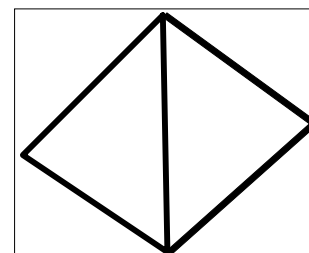
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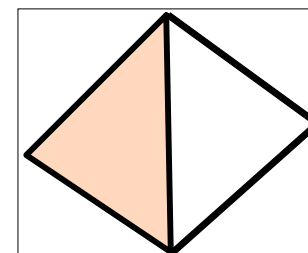
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$H_1(K_1)$

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$\rightarrow$

$H_1(K_3)$

$[c]$

$\mapsto$

$[c]$

$\mapsto$

$0$

# Persistence Modules

- ▶  $K \subseteq K' \Rightarrow \xi_p : H_p(K) \rightarrow H_p(K')$ 
  - ▶ Inclusion maps induce **homomorphisms** in homology groups (under  $\mathbb{Z}_2$ -coefficients, **linear maps** in vector spaces)

$$\begin{aligned} K_0 \subseteq K_1 \subseteq \dots \subseteq K_n = K \\ \Rightarrow H_*(K_0) \rightarrow H_*(K_1) \rightarrow \dots \rightarrow H_*(K_n) = H_*(K) \end{aligned}$$

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- ▶ Define  $\xi_*^{i,j} : H_*(K_i) \rightarrow H_*(K_j)$ 
  - ▶  $\xi_*^{i,j} = \xi_*^{j-1,j} \circ \dots \circ \xi_*^{i,i+1}$
- ▶ **Persistent module** induced by the filtration
  - ▶  $\mathcal{P} = \left\{ H_*(K_i) \xrightarrow{\xi_*^{i,j}} H_*(K_j) \right\}_{0 \leq i \leq j \leq n}$

# Persistence Vector Spaces

- ▶ A **persistence vector space**  $V$  over a field  $\mathbb{F}$  is
  - ▶ a sequence of vector spaces  $\{V_i\}_{i=0,\dots,n}$
  - ▶ Together with maps  $L_{i,j} : V_i \rightarrow V_j$  for  $i \leq j$  such that
    - ▶  $L_{i,j} = Id_{V_i}$
    - ▶ For  $i \leq j \leq k$ ,  $L_{i,k} = L_{j,k} \circ L_{i,j}$
  - ▶ Write  $V = \{L_{i,j} : V_i \rightarrow V_j\}$  or simply  $V = \{V_i\}$

# Persistence Vector Spaces

- ▶ Let  $\{V_i\}$  and  $\{W_i\}$  be two persistence vector spaces
- ▶ a sequence of linear maps  $\{\varphi_i : V_i \rightarrow W_i\}_{i=0,\dots,n}$  is called a **linear transformation** from  $\{V_i\}$  to  $\{W_i\}$  if for any  $i \leq j$

$$\begin{array}{ccc} V_i & \xrightarrow{L_{i,j}^V} & V_j \\ \varphi_i \downarrow & & \downarrow \varphi_j \\ W_i & \xrightarrow{L_{i,j}^W} & W_j \end{array}$$

# Persistence Vector Spaces

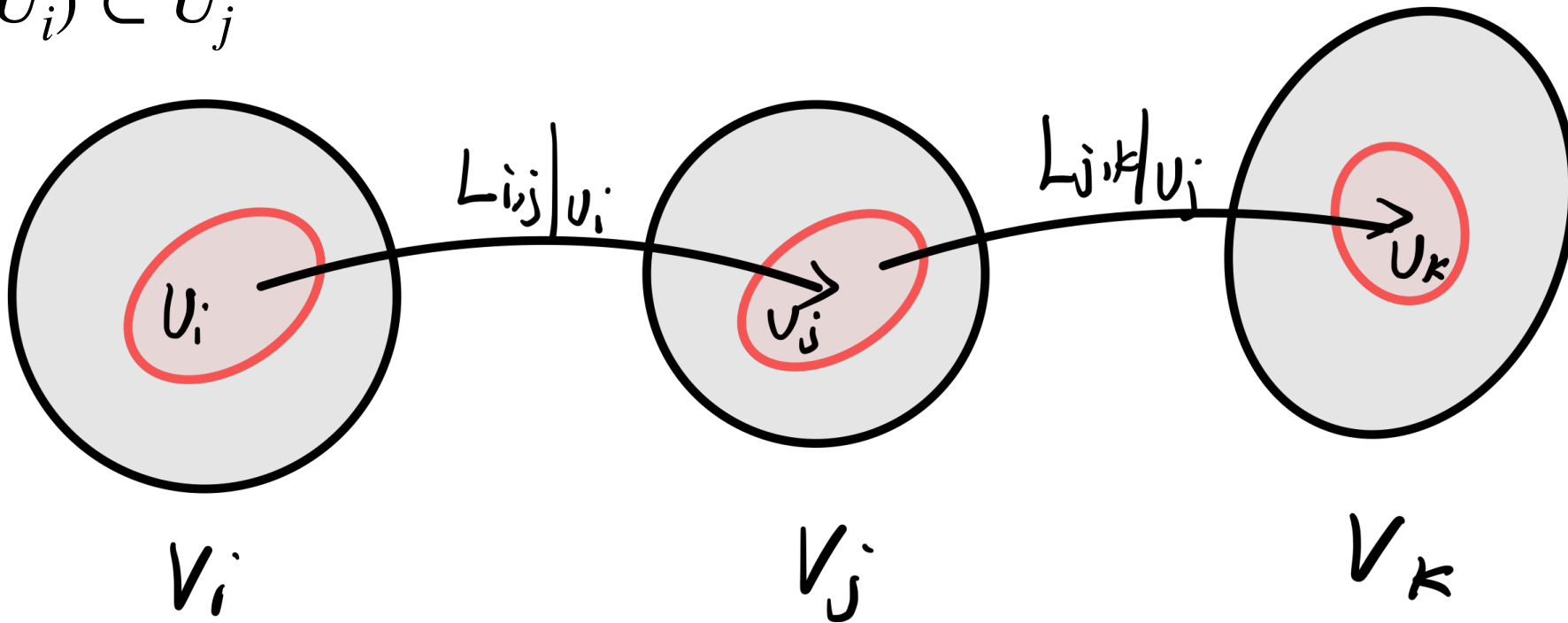
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$$\begin{array}{ccc} V_i & \xrightarrow{L_{i,j}^V} & V_j \\ \varphi_i \downarrow & & \downarrow \varphi_j \\ W_i & \xrightarrow{L_{i,j}^W} & W_j \end{array}$$

- ▶  $\varphi$  is called an isomorphism if each  $\varphi_i$  is an isomorphism

# Persistence Vector Spaces

- ▶ A **sub-persistence vector space** is a collection  $U = \{U_i \subset V_i\}$  such that
- ▶  $L_{i,j}(U_i) \subset U_j$



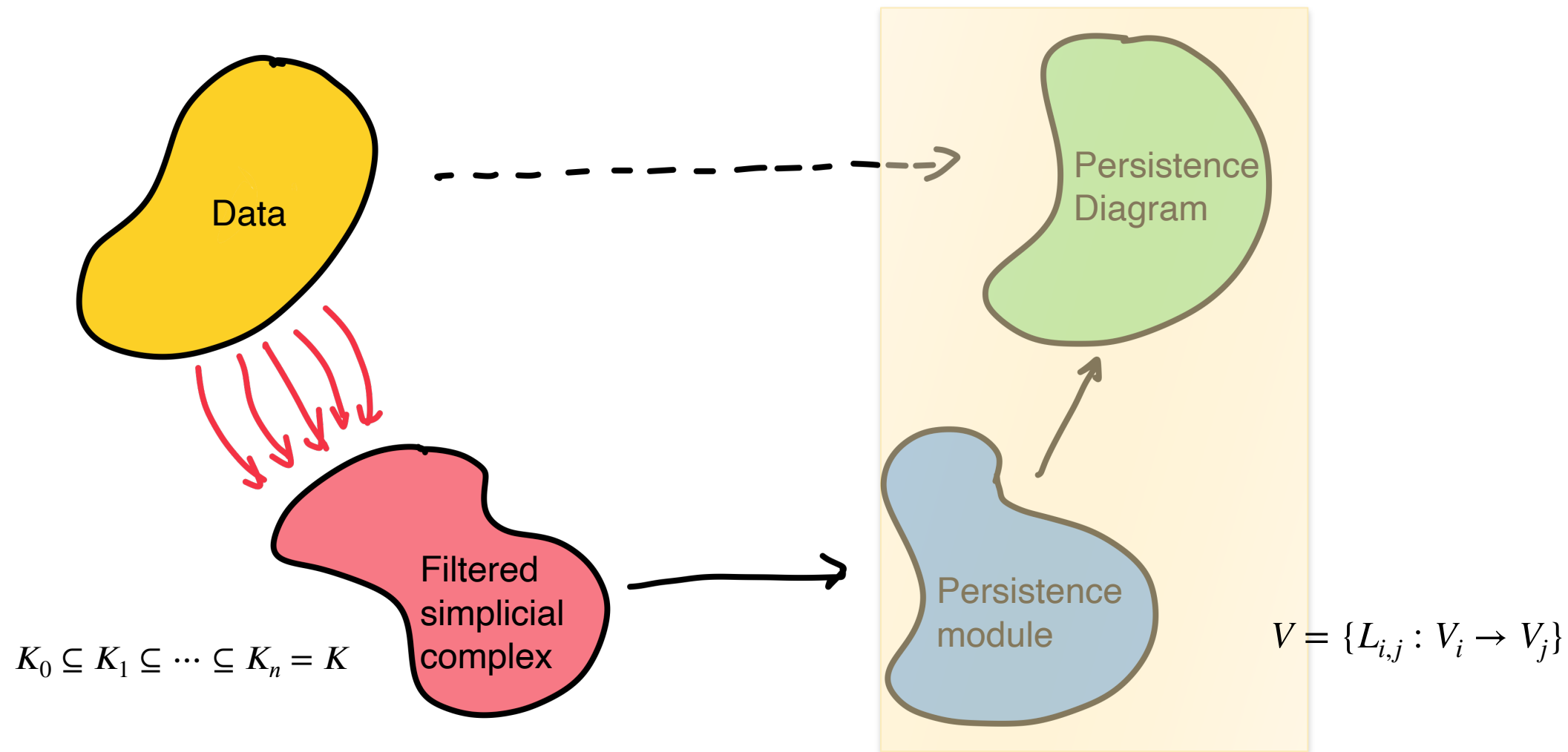
# Persistence Vector Spaces

- ▶ Let  $\{V_i\}$  and  $\{W_i\}$  be two persistence vector spaces
- ▶ The **direct sum**  $V \oplus W$  is the collection  $\{V_i \oplus W_i\}$  with maps
- ▶  $L_{i,j}^{V \oplus W} = L_{i,j}^V \oplus L_{i,j}^W$  defined by  $L_{i,j}^{V \oplus W}(v, w) = (L_{i,j}^V(v), L_{i,j}^W(w))$

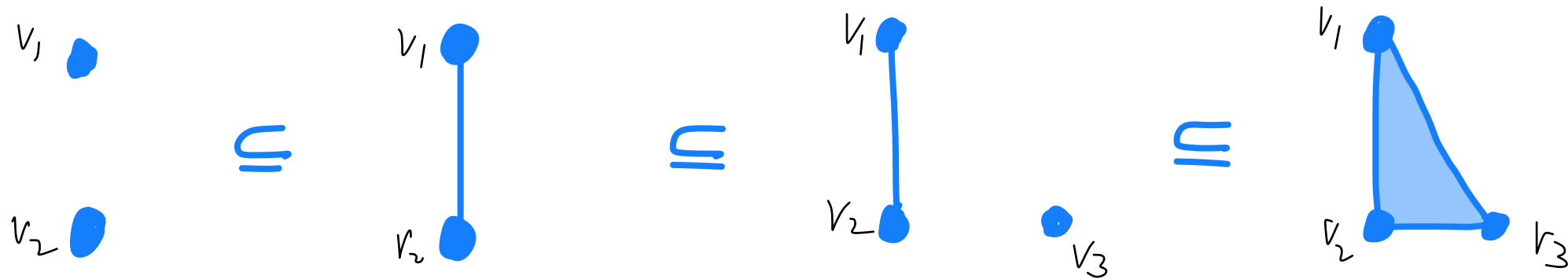


- ▶ Dimension and basis are the most important objects of a vector space
- ▶ What are “dimension” and “basis” for a persistence vector space?

# Persistence Diagram



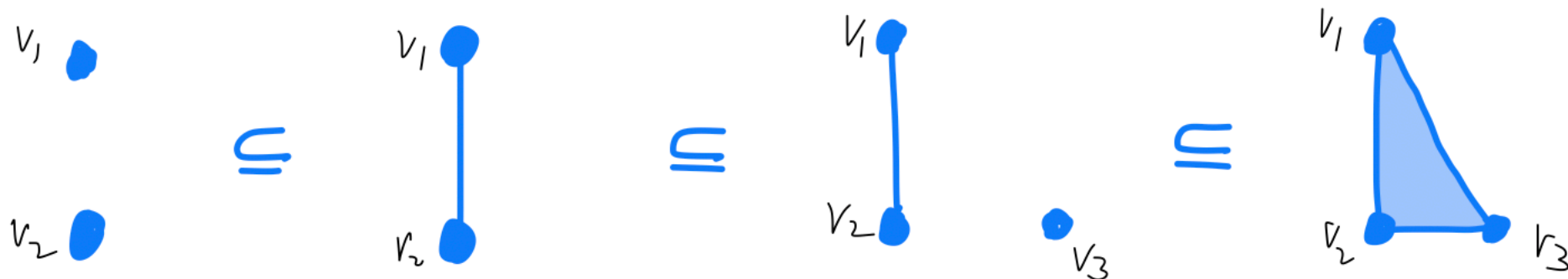
# Persistent Module Example



$$V_0 = \langle [v_1], [v_2] \rangle \longrightarrow V_1 = \langle [v_1] \rangle \xrightarrow{\quad} V_2 = \langle [v_1], [v_3] \rangle \xrightarrow{\quad} V_3 = \langle [v_1] \rangle$$

$\xi^{0,1} = \begin{pmatrix} [v_1] & [v_2] \\ 1 & 1 \end{pmatrix} [v_1]$ 
 $\xi^{1,2} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{matrix} [v_1] \\ [v_3] \end{matrix}$ 
 $\xi^{2,3} = \begin{bmatrix} [v_1] & [v_3] \\ 1 & 1 \end{bmatrix} [v_1]$

$$\begin{array}{ccccccc}
 \langle [v_2] \rangle & \longrightarrow & \langle [v_1] \rangle & \longrightarrow & \langle [v_1] \rangle & \longrightarrow & \langle [v_1] \rangle \\
 \langle [v_2] - [v_1] \rangle & \longrightarrow & 0 & \longrightarrow & 0 & \longrightarrow & 0 \\
 0 & \longrightarrow & 0 & \xrightarrow{v} & \langle [v_3] - [v_1] \rangle & \longrightarrow & 0
 \end{array}$$



$$\langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle$$

$$\cong$$

$$\mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F}$$

$$\langle [v_1] - [v_2] \rangle \rightarrow 0 \rightarrow 0 \rightarrow 0$$

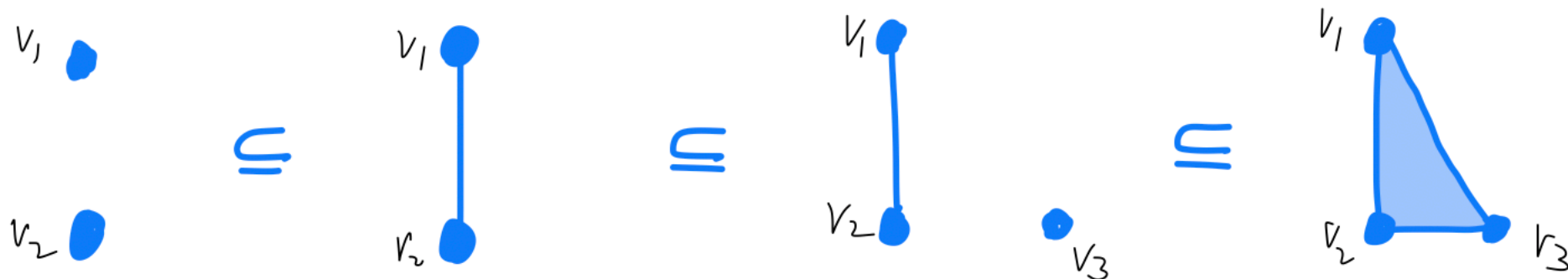
$$\cong$$

$$\mathbb{F} \rightarrow 0 \rightarrow 0 \rightarrow 0$$

$$0 \rightarrow 0 \rightarrow \langle [v_3] - [v_1] \rangle \rightarrow 0$$

$$\cong$$

$$0 \rightarrow 0 \rightarrow \mathbb{F} \rightarrow 0$$



$$\langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \quad \langle [v_1] - [v_2] \rangle \rightarrow 0 \rightarrow 0 \rightarrow 0 \quad 0 \rightarrow 0 \rightarrow \langle [v_3] - [v_1] \rangle \rightarrow 0$$

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$$\mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F}$$

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$$V_0 \rightarrow V_1 \rightarrow V_2 \rightarrow V_3 \cong \begin{array}{l} \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \\ \oplus \mathbb{F} \rightarrow 0 \rightarrow 0 \rightarrow 0 \\ \oplus 0 \rightarrow 0 \rightarrow \mathbb{F} \rightarrow 0 \end{array}$$

# Interval persistence vector spaces

- ▶ Given the index set  $I = \{0, \dots, n\}$
- ▶ Let  $0 \leq b < d \leq n + 1$ , the **interval persistence vector space**, denoted by  $I[b, d)$  is defined as

$$I[b, d) = 0 \rightarrow \dots \rightarrow 0 \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \dots \rightarrow \mathbb{F} \rightarrow 0 \rightarrow \dots \rightarrow 0$$



$b$ th position



$d - 1$ th position

- ▶  $I[b, n + 1) = 0 \rightarrow \dots \rightarrow 0 \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \dots \rightarrow \mathbb{F}$  is often written as  $I[b, \infty)$

# Decomposition Theorem

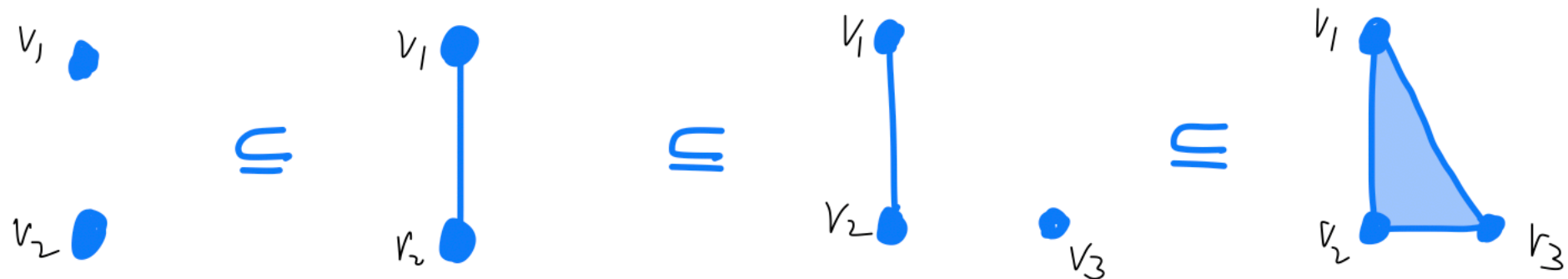
- ▶ Let  $V = \{V_i\}_{i=0}^n$  be any persistence vector space. Then, there exist a collection of  $0 \leq b_j < d_j \leq n + 1, j = 1, \dots, M$  such that
- ▶  $V \cong I[b_1, d_1) \oplus I[b_2, d_2) \oplus \dots \oplus I[b_M, d_M)$
- ▶ The composition is unique up to reordering the summands.

# Persistence Diagram and Barcodes

- ▶  $V \cong I[b_1, d_1) \oplus I[b_2, d_2) \oplus \cdots \oplus I[b_M, d_M)$
- ▶ Each  $(b_j, d_j)$  is called a **persistence pairing**
- ▶ The multiset  $D = \{(b_j, d_j)\}_{j=1, \dots, M} \subseteq \mathbb{R}^2$  is called the **persistence diagram** of  $V$
- ▶ The collection of intervals  $\{[b_j, d_j)\}_{j=1, \dots, M}$  is called the **barcode** of  $V$



# Example



$$\langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle$$

$$\cong$$

$$\mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F}$$

$$\langle [v_1 - v_2] \rangle \rightarrow 0 \rightarrow 0 \rightarrow 0$$

$$\cong$$

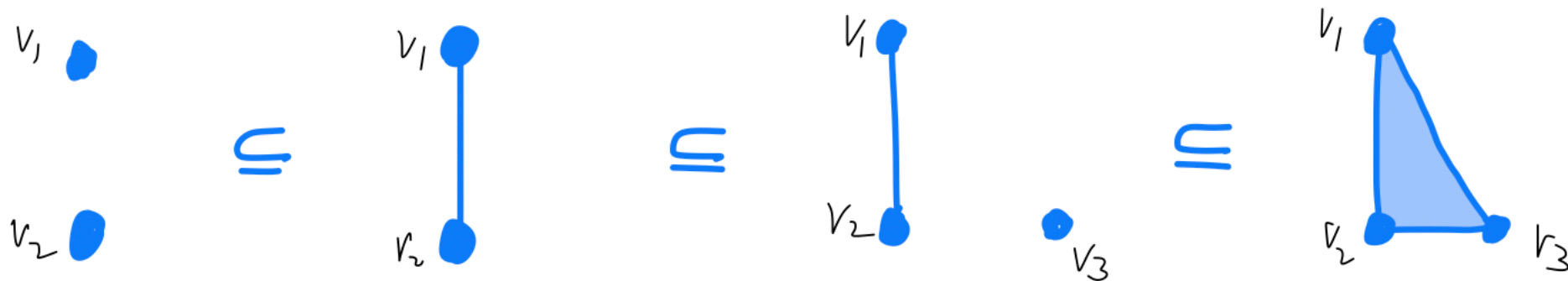
$$\mathbb{F} \rightarrow 0 \rightarrow 0 \rightarrow 0$$

$$0 \rightarrow 0 \rightarrow \langle [v_3] - [v_1] \rangle \rightarrow 0$$

$$\cong$$

$$0 \rightarrow 0 \rightarrow \mathbb{F} \rightarrow 0$$

# Example



$$\langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle$$

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$$\mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F}$$

$$\mathbb{F} \rightarrow 0 \rightarrow 0 \rightarrow 0$$

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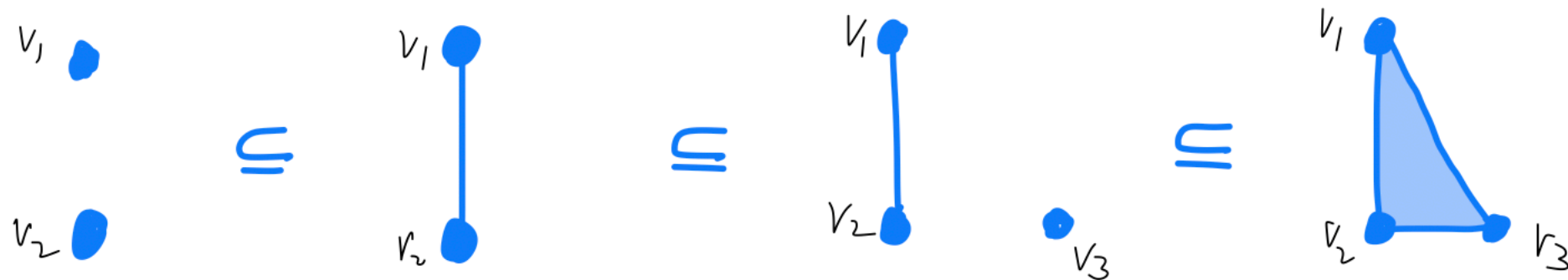
$$V_0 \rightarrow V_1 \rightarrow V_2 \rightarrow V_3 \cong$$

$$\mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F}$$

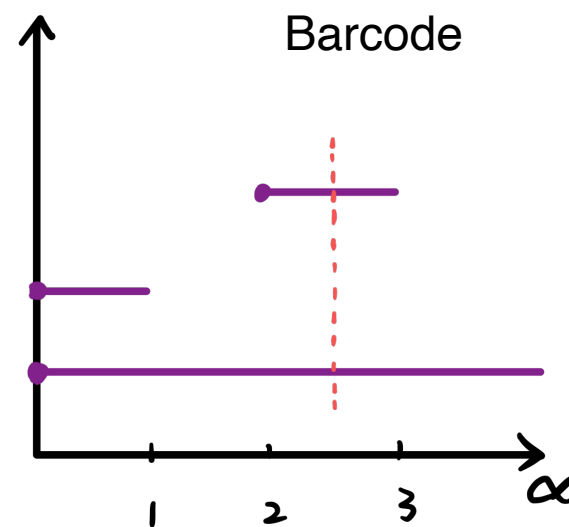
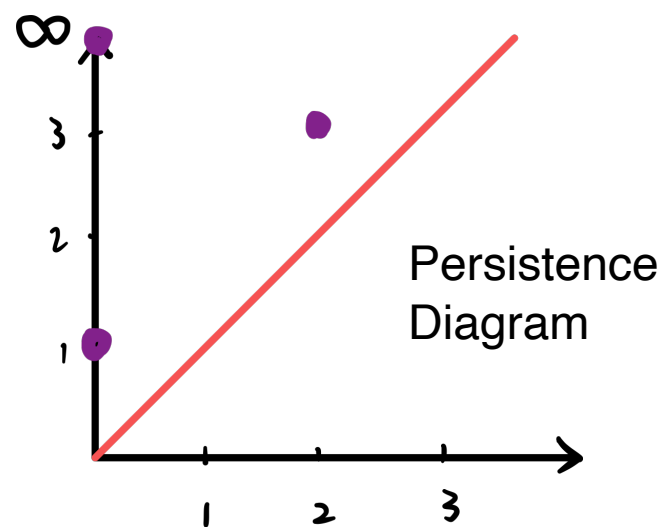
$$\oplus \mathbb{F} \rightarrow 0 \rightarrow 0 \rightarrow 0$$

$$\oplus 0 \rightarrow 0 \rightarrow \mathbb{F} \rightarrow 0$$

# Example

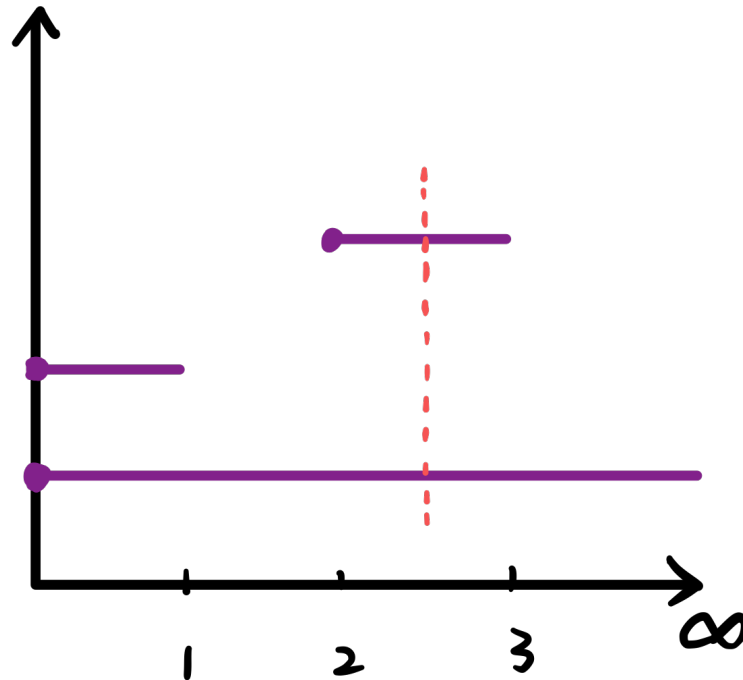


$$V_0 \rightarrow V_1 \rightarrow V_2 \rightarrow V_3 \cong I[0, \infty) \oplus I[0, 1) \oplus I[2, 3)$$

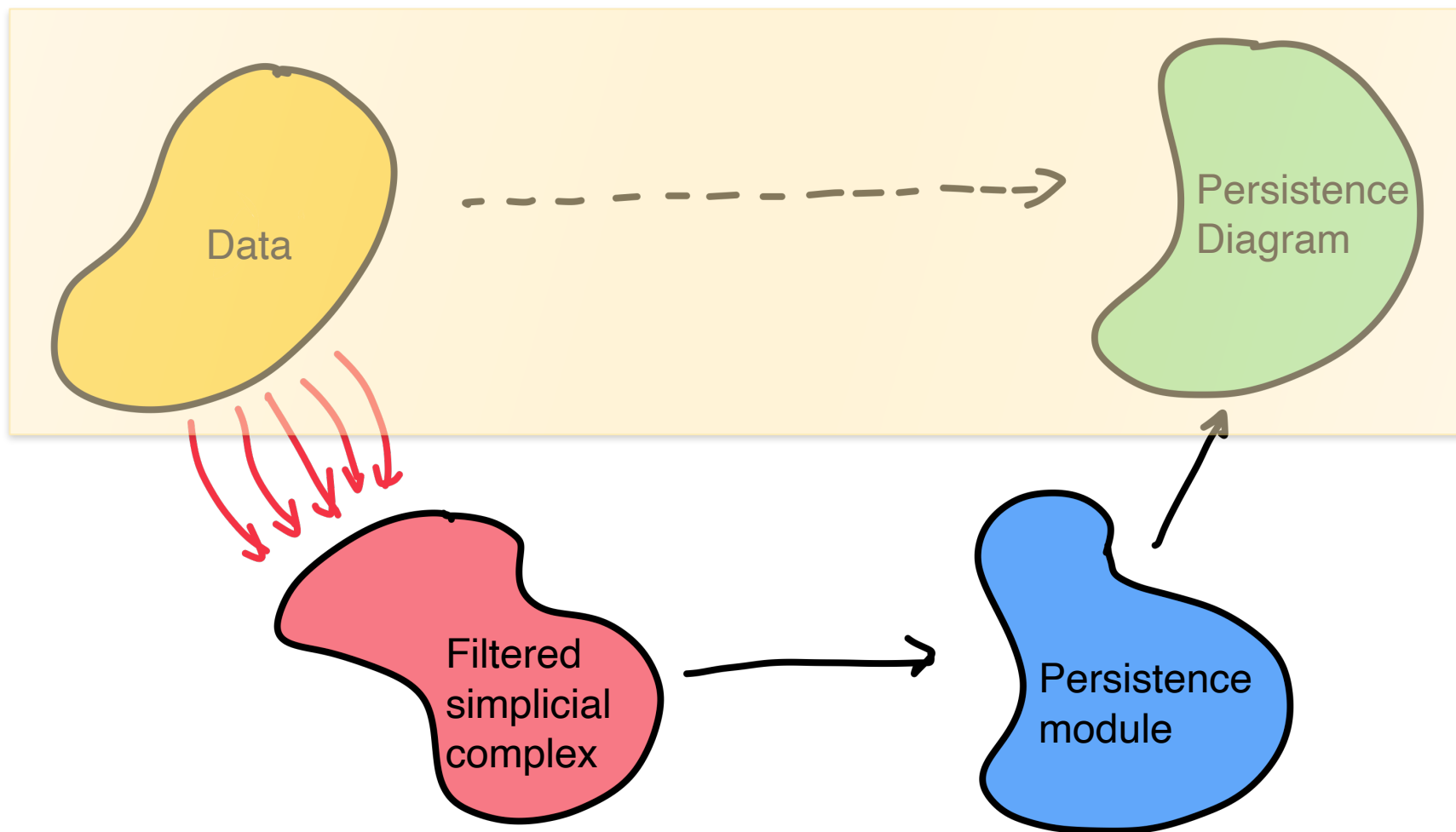


## Remark

- Persistence diagrams (or barcodes) are serving the role of dimension of vector spaces



# TDA in a nutshell



# Persistence Diagram and Barcodes for filtrations

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# Persistence Diagram and Barcodes for filtrations

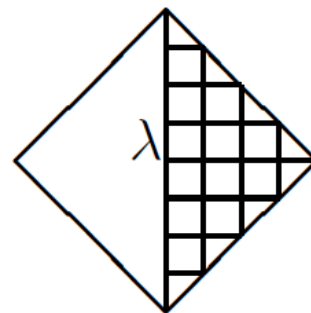
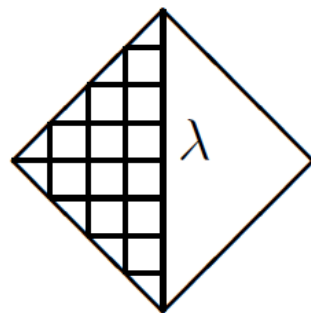
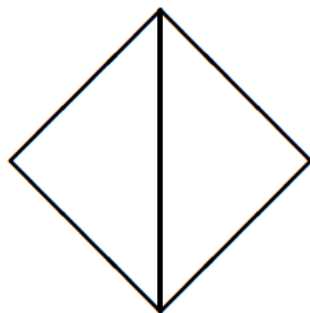
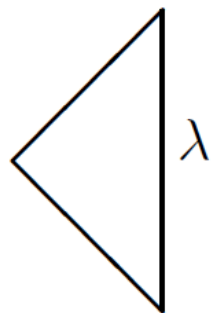
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- ▶ Decompose  $V \cong I[b_1, d_1) \oplus I[b_2, d_2) \oplus \cdots \oplus I[b_M, d_M)$
- ▶ The multiset  $Dgm_p(K) = \{(b_j, d_j)\}_{j=1, \dots, M} \subseteq \mathbb{R}^2$  is called the degree  $p$  **persistence diagram** of  $K$

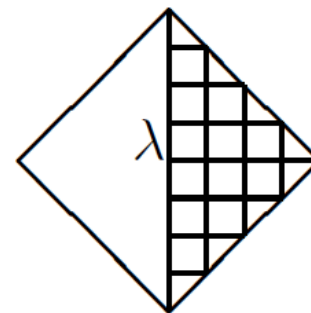
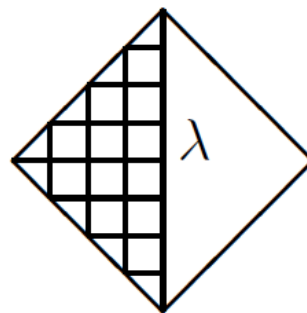
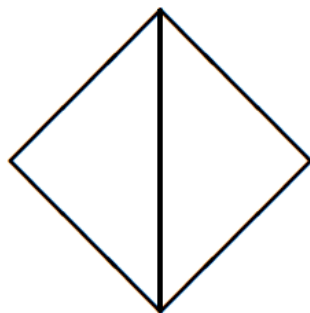
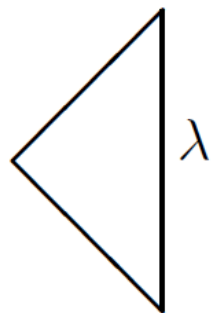
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  - ▶ The intervals can repeat themselves
  - ▶ Let  $\mu_p^{b,d}$  denote the multiplicity of  $(b, d)$ : it denotes the number of independent homology classes **created** at  $K_b$  and **died** entering  $K_d$

$K_0$  $K_1$  $K_2$  $K_3$  $K'_3$  $\emptyset$ 

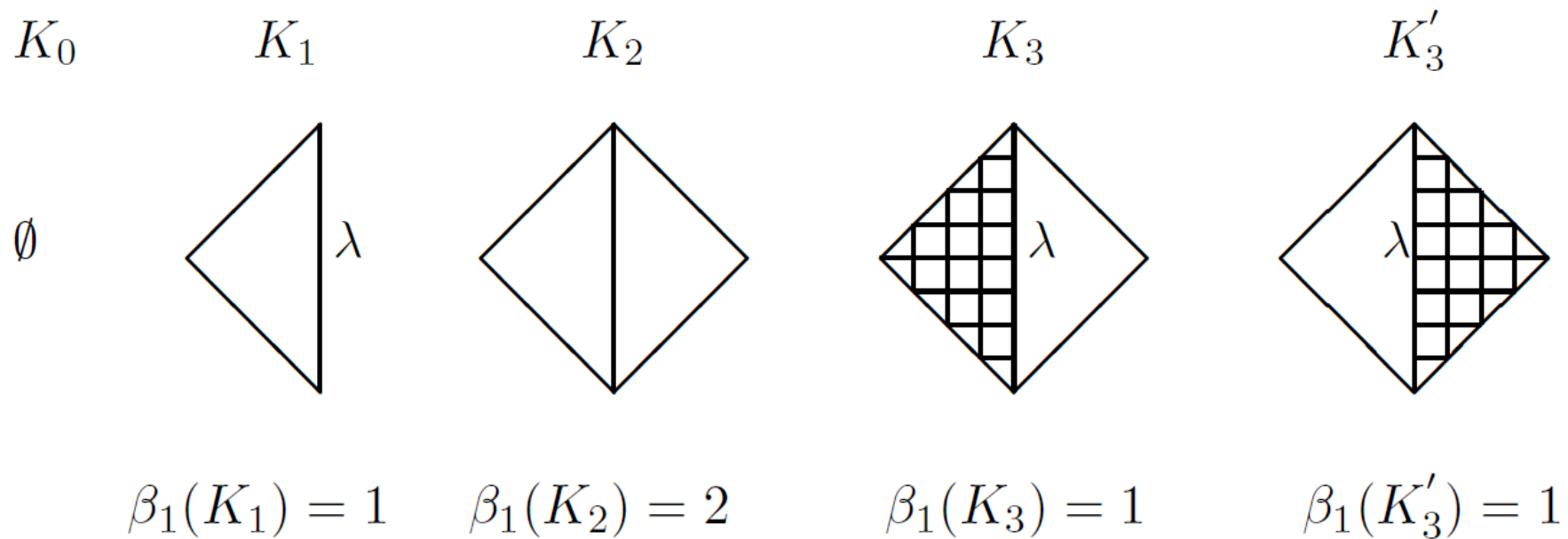
$K_0$  $K_1$  $K_2$  $K_3$  $K'_3$  $\emptyset$ 

$$\beta_1(K_1) = 1$$

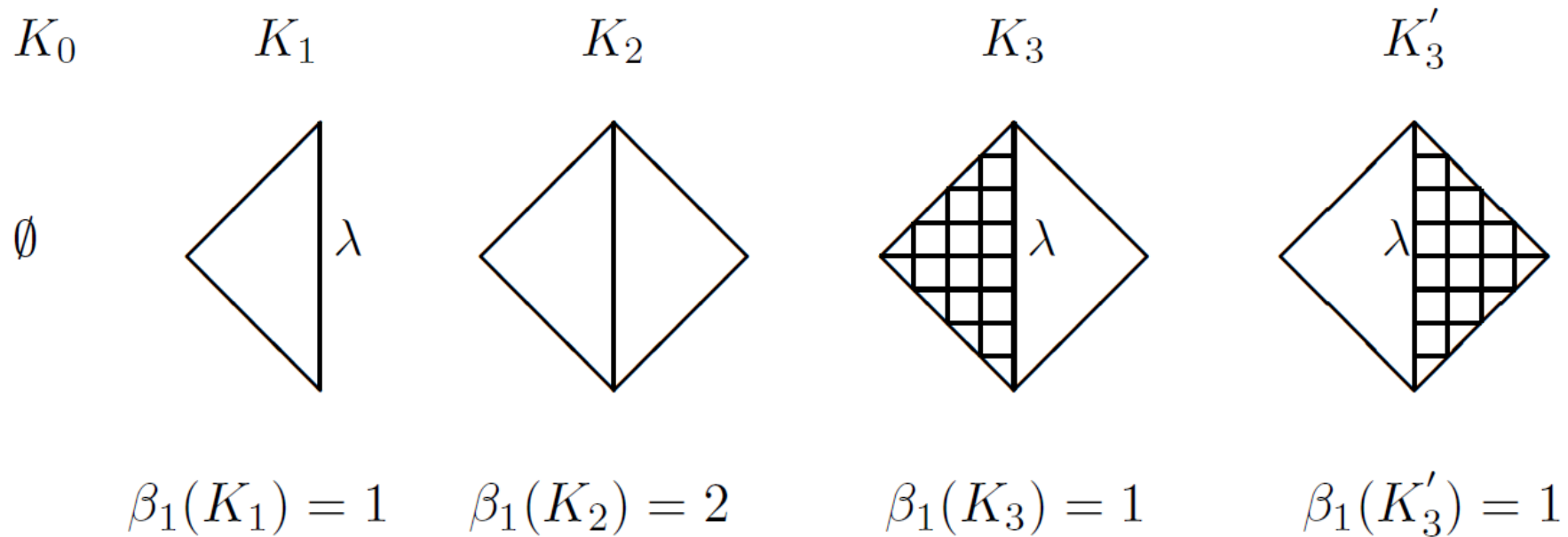
$$\beta_1(K_2) = 2$$

$$\beta_1(K_3) = 1$$

$$\beta_1(K'_3) = 1$$



► For  $K_0 \subset K_1 \subset K_2 \subset K_3$ , what is  $\mu_1^{1,3}$ ?  $\mu_1^{1,2}$ ?



- ▶ For  $K_0 \subset K_1 \subset K_2 \subset K_3$ , what is  $\mu_1^{1,3}$ ?  $\mu_1^{1,2}$ ?
- ▶ How about for the filtration  $K_0 \subset K_1 \subset K_2 \subset K'_3$ ?



# How to compute the decomposition?

- ▶ Möbius inversion
- ▶ Simplex-wise filtration

Möbius Inversion - counting the number of persistence pairings

# Persistence Modules

- ▶  $K \subseteq K' \Rightarrow \xi_p : H_p(K) \rightarrow H_p(K')$ 
  - ▶ Inclusion maps induce homomorphisms in homology groups (under  $\mathbb{Z}_2$ -coefficients, linear maps in vector spaces)

$$\begin{aligned} K_0 &\subseteq K_1 \subseteq \dots \subseteq K_n = K \\ \Rightarrow H_*(K_0) &\rightarrow H_*(K_1) \rightarrow \dots \rightarrow H_*(K_n) = H_*(K) \end{aligned}$$

- ▶ Define  $\xi_*^{i,j} : H_*(K_i) \rightarrow H_*(K_j)$ 
  - ▶  $\xi_*^{i,j} = \xi_*^{j-1,j} \circ \dots \circ \xi_*^{i,i+1}$
- ▶ **Persistent module** induced by the filtration
  - ▶  $\mathcal{P} = \left\{ H_*(K_i) \xrightarrow{\xi_*^{i,j}} H_*(K_j) \right\}_{0 \leq i \leq j \leq n}$

# Persistent Homology

- ▶  $p$ -th **persistent homology group** from  $i$  to  $j$ :

- ▶  $(H_p(K_j) \supset ) H_p^{i,j} = \text{Im}(\xi_p^{i,j})$

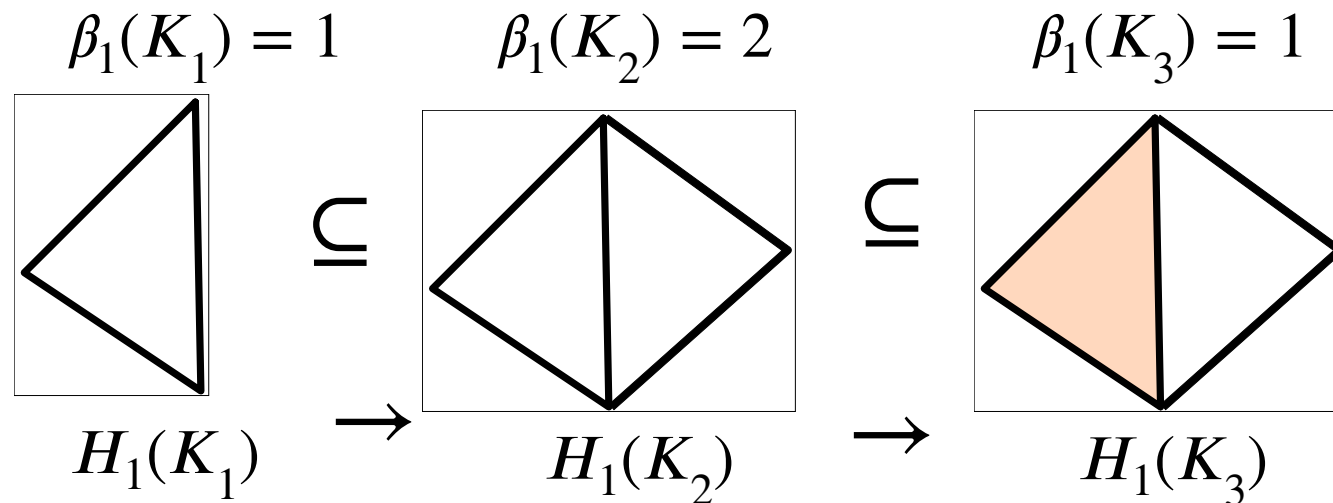
- ▶ Subgroup of  $H_p(K_j)$  that “existed” in  $H_p(K_i)$

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- ▶  $p$ -th **persistent homology group** from  $i$  to  $j$ :
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    - ▶ Subgroup of  $H_p(K_j)$  that “**existed**” in  $H_p(K_i)$
- ▶  $p$ -th **persistent betti number**:  $\beta_p^{i,j} = \dim H_p^{i,j}$
- ▶  $\beta_p^{i,j}$  denotes the number of homology classes existing at both  $K_i$  and  $K_j$

# Persistent Homology

- ▶  $p$ -th **persistent homology group** from  $i$  to  $j$ , where  $0 \leq i \leq j \leq n$ :
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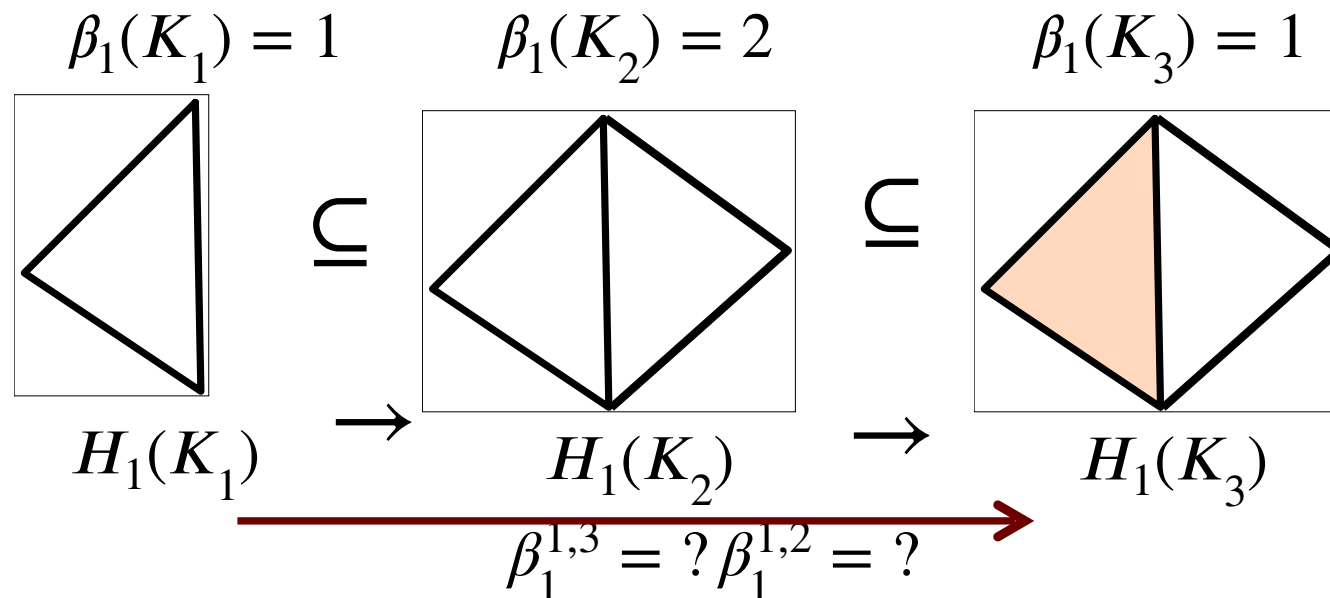
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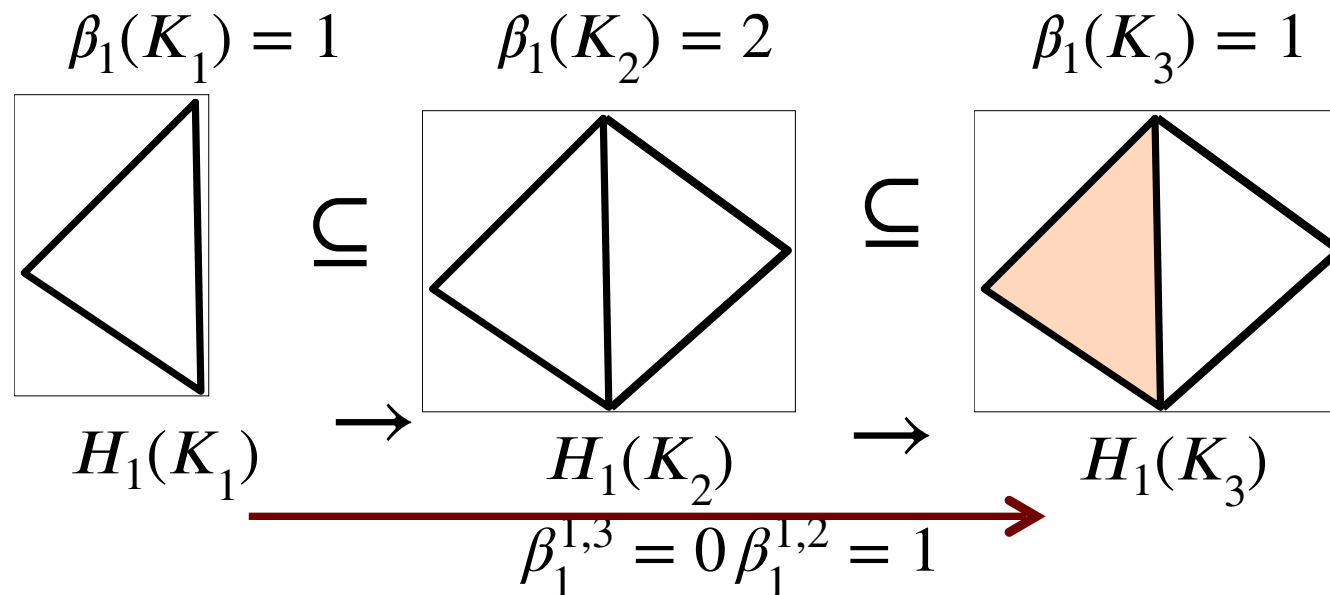
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# Persistent Homology

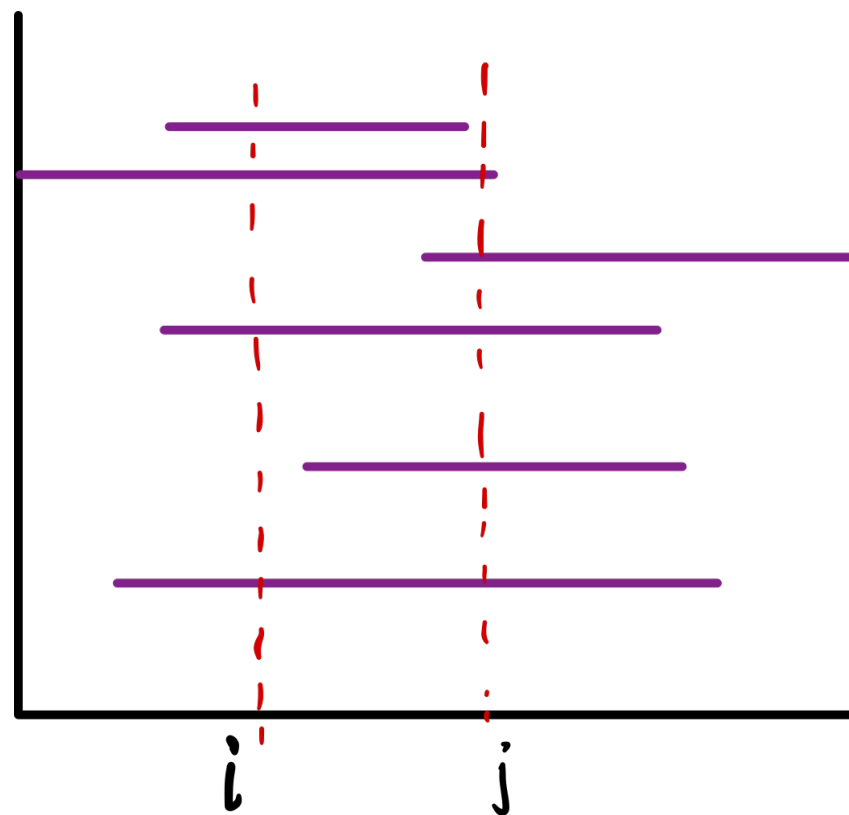
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- ▶  $p$ -th **persistent betti number**:  $\beta_p^{i,j} = \dim H_p^{i,j}$
- ▶  $\beta_p^{i,j}$  denotes the number of homology classes existing at both  $K_i$  and  $K_j$
- ▶ As long as one can write down a matrix representation  $\Xi$  of  $\xi_p^{i,j} : H_p(K_i) \rightarrow H_p(K_j)$ , one has that  $\beta_p^{i,j} = \text{rank} \Xi$

## Connection to decomposition theorem

- ▶ Let  $V = \{V_i = H_p(K_i)\}_{i=0}^n$  be the  $p$ -dim persistence module for the filtered simplicial complex  $K = \{K_i\}$
- ▶ Assume that  $V \cong I[b_1, d_1) \oplus I[b_2, d_2) \oplus \cdots \oplus I[b_M, d_M)$
- ▶  $\mu^{b,d} :=$  number of intervals  $I[b, d)$

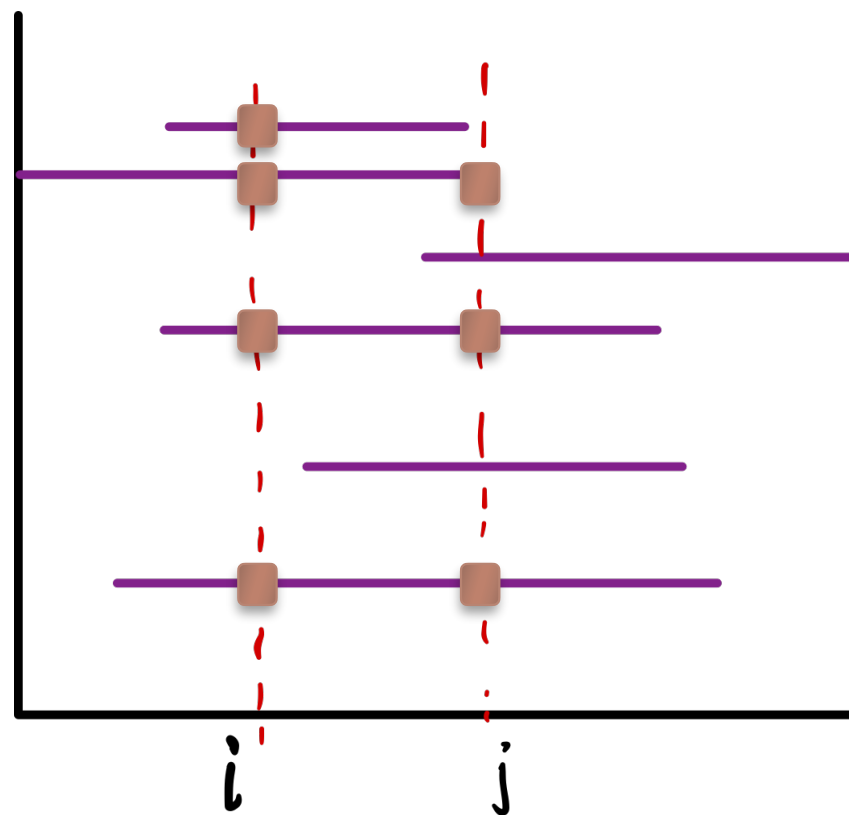
# Persistence Betti Number vs Barcode

$$\beta_p^{i,j} = \dim H_p^{i,j}$$



# Persistence Betti Number vs Barcode

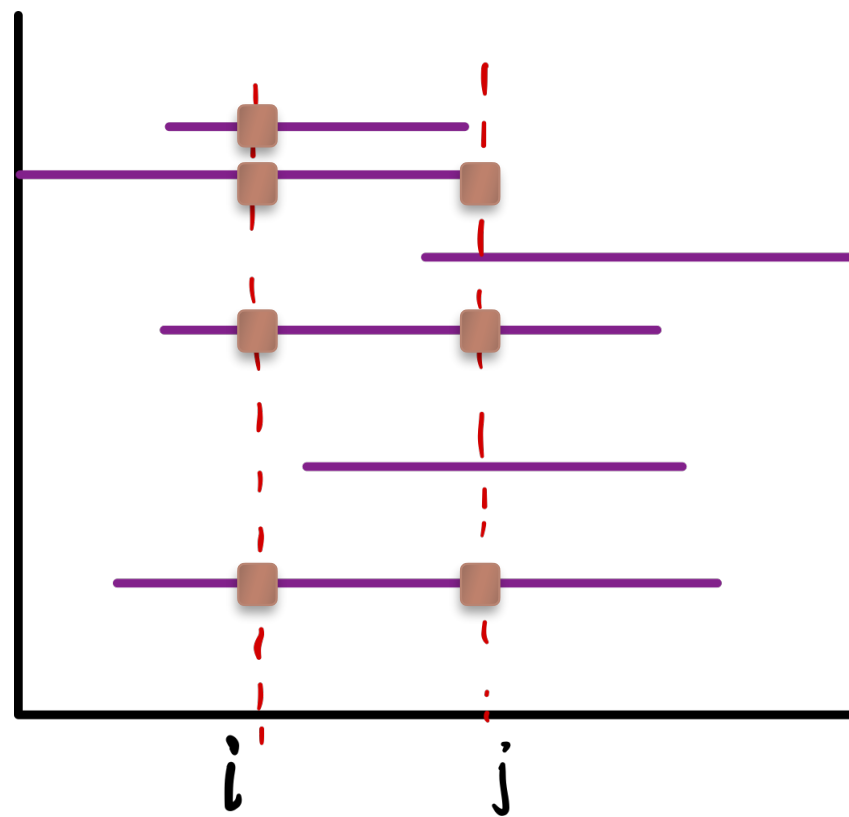
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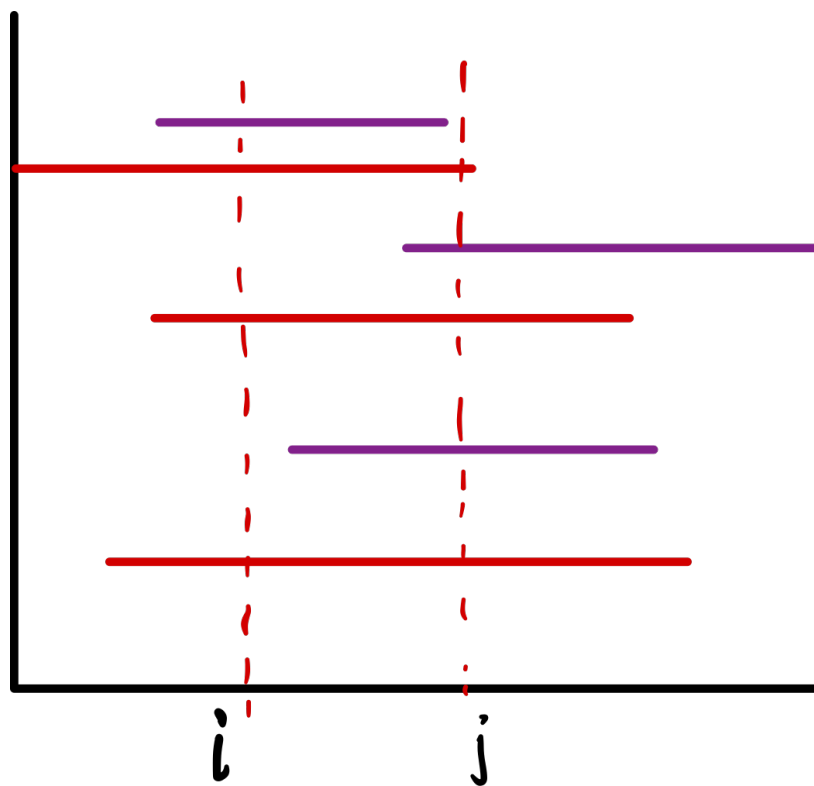
# Persistence Betti Number vs Barcode

$$\beta_p^{i,j} = \dim H_p^{i,j}$$

$$\beta_p^{i,j} = 3$$

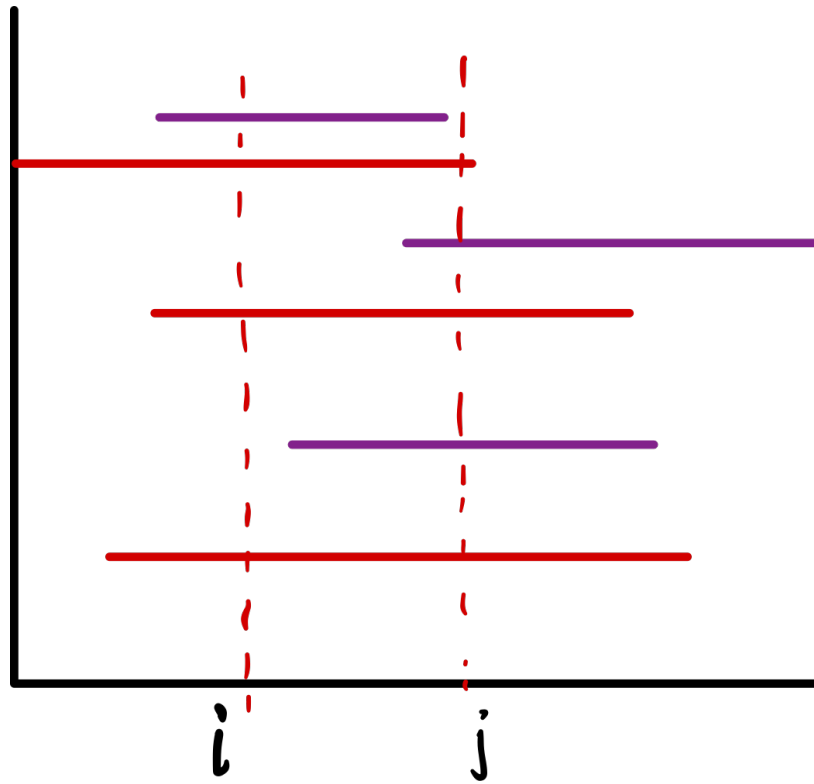


# Persistence Betti Number vs Barcode



# Persistence Betti Number vs Barcode

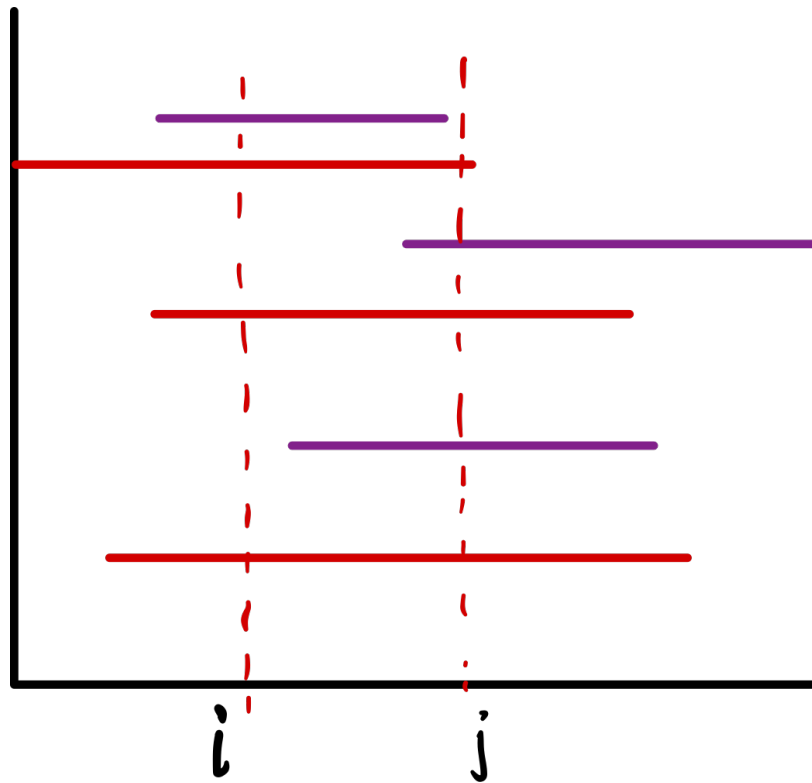
- ▶  $\beta_p^{i,j} = \#$  of bars crossing both vertical lines



# Persistence Betti Number vs Barcode

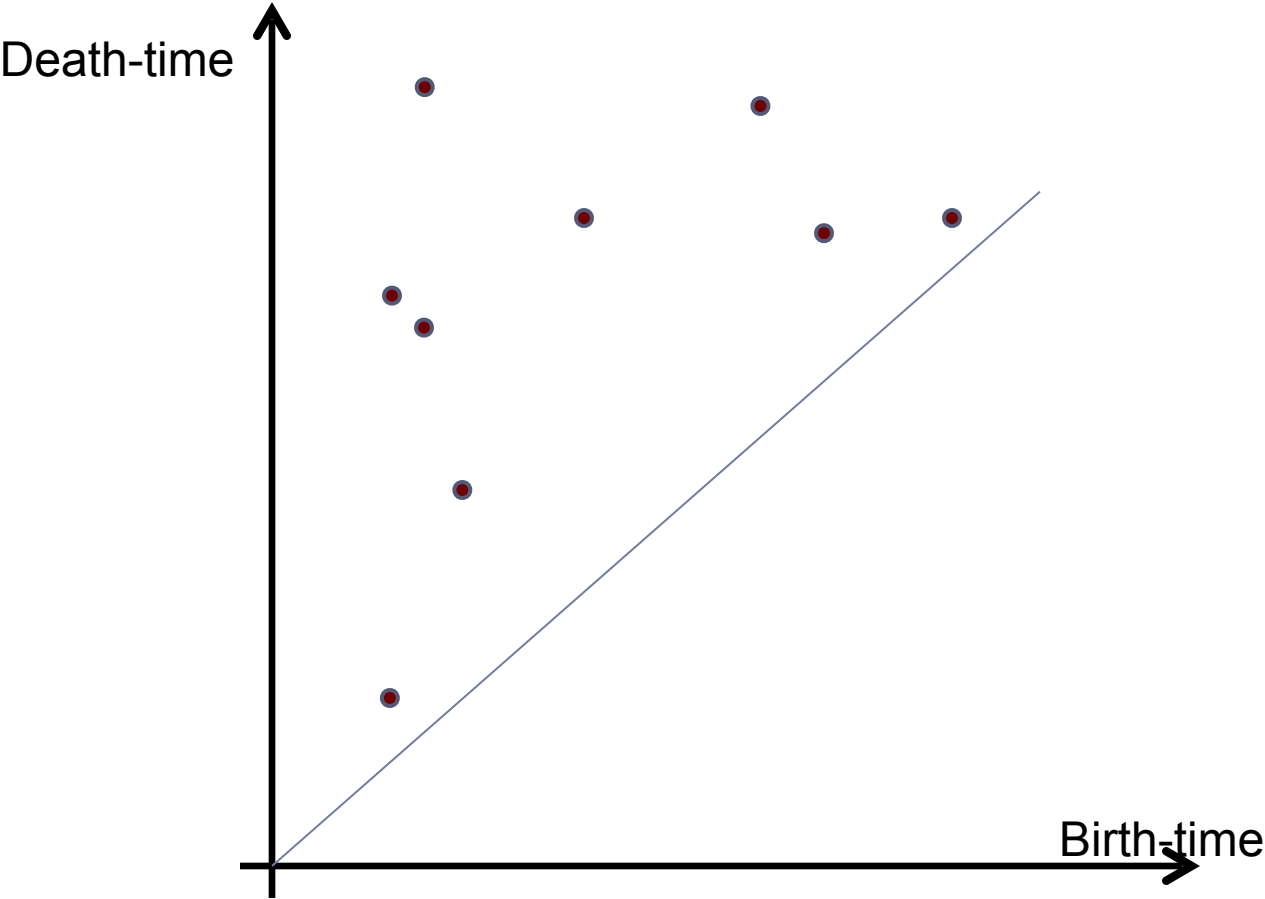
▶  $\beta_p^{i,j} = \#$  of bars crossing both vertical lines

▶  $\beta_p^{i,j} = \sum_{k \leq i, j < l} \mu_p^{k,l}$



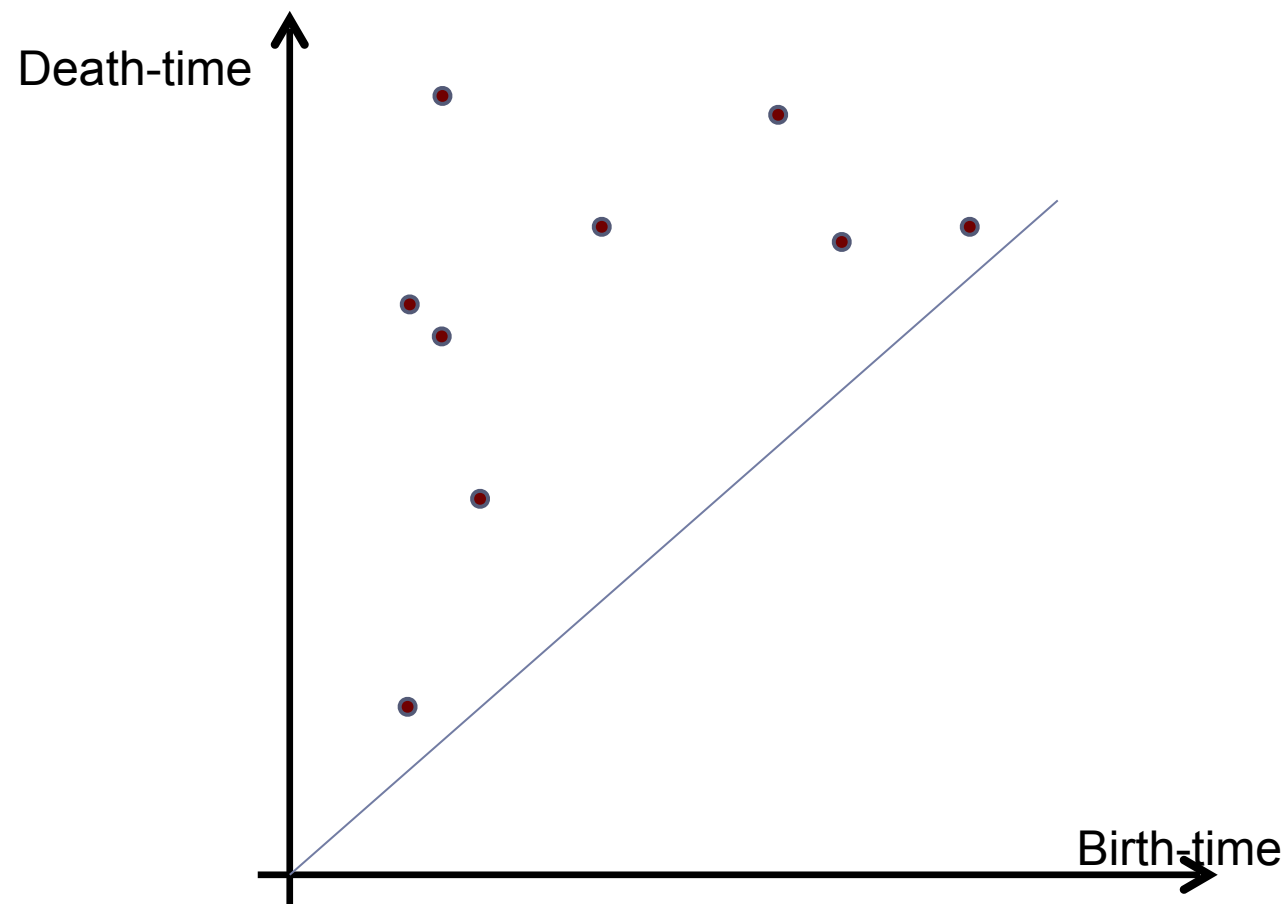


# Persistence Betti Number vs Persistence Diagram



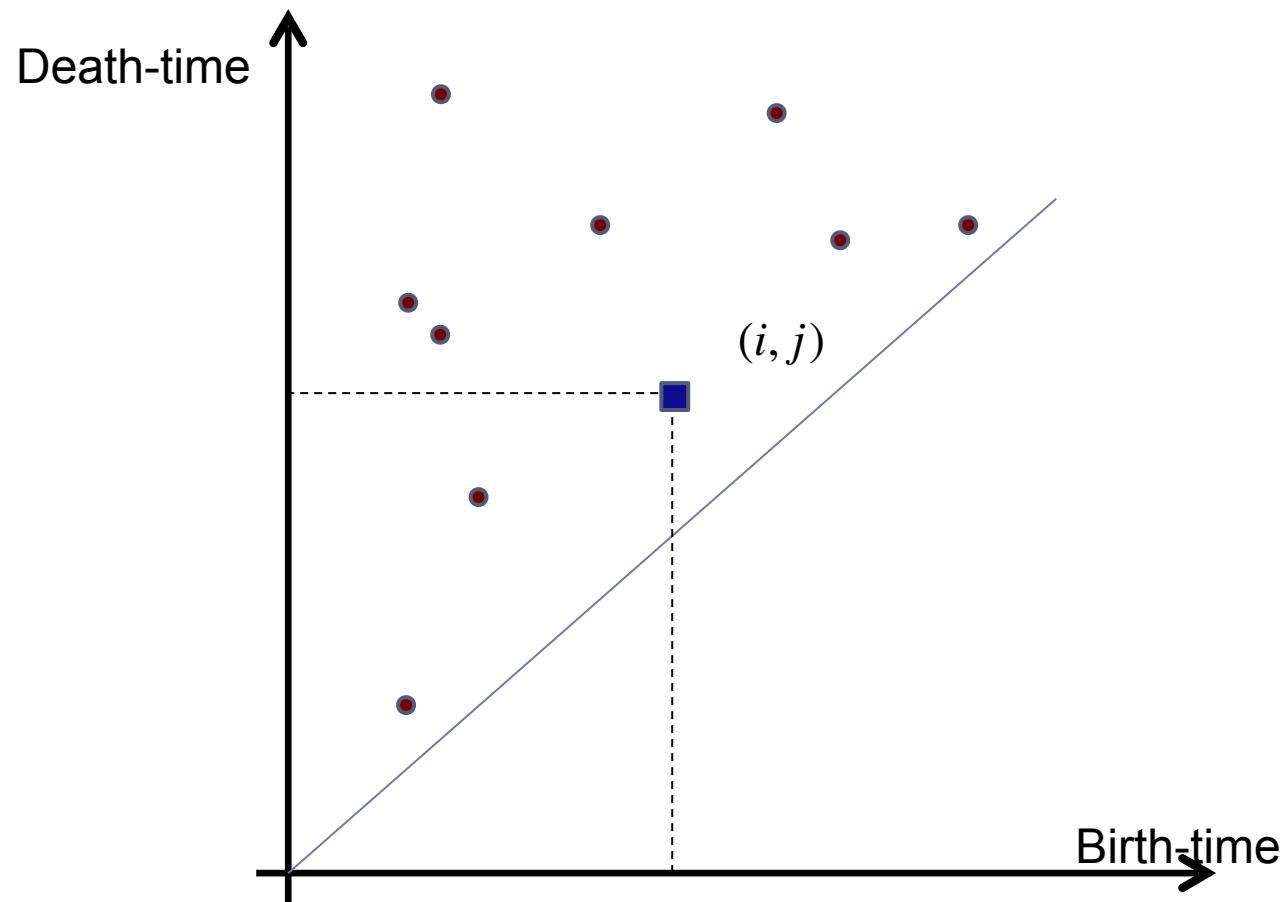
# Persistence Betti Number vs Persistence Diagram

►  $\beta_p^{i,j} = \sum_{k \leq i, j < l} \mu_p^{k,l}$



# Persistence Betti Number vs Persistence Diagram

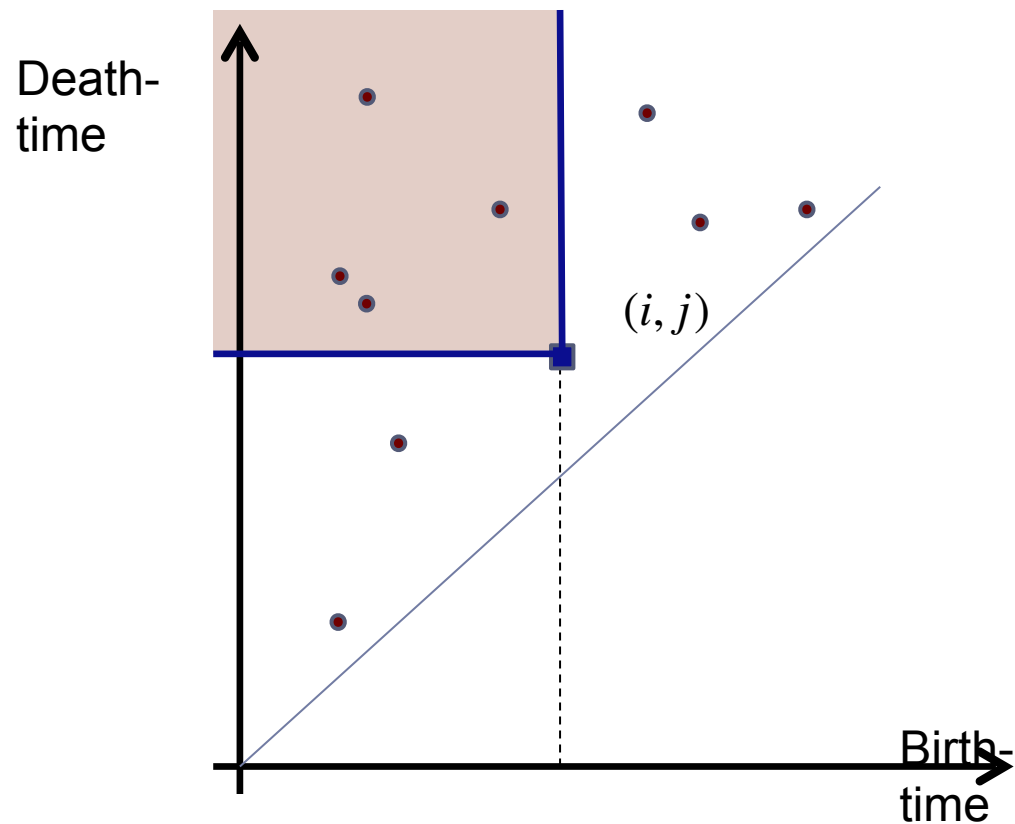
►  $\beta_p^{i,j} = \sum_{k \leq i, j < l} \mu_p^{k,l}$



# Persistence Betti Number vs Persistence Diagram

► Theorem:

$$\beta_p^{i,j} = \sum_{k \leq i, j < l} \mu_p^{k,l}$$



# Möbius inversion

- ▶ For  $0 \leq i < j \leq n + 1$ , the multiplicity of  $(i, j)$  can be computed as follows

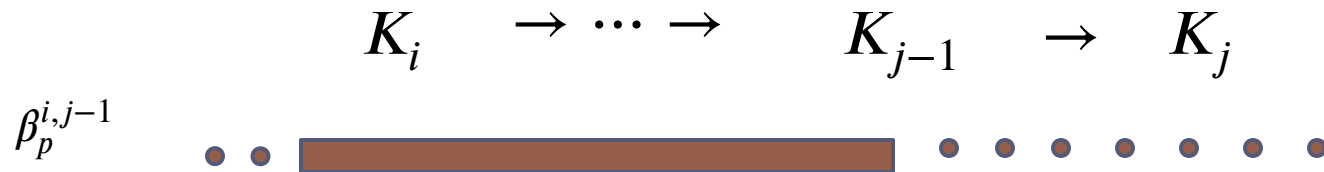
- ▶  $\mu_p^{i,j} = (\beta_p^{i,j-1} - \beta_p^{i,j}) - (\beta_p^{i-1,j-1} - \beta_p^{i-1,j})$

- ▶  $\beta_p^{-1,j} = \beta^{i,n+1} = 0$

# Möbius inversion

## ► Persistent pairing number:

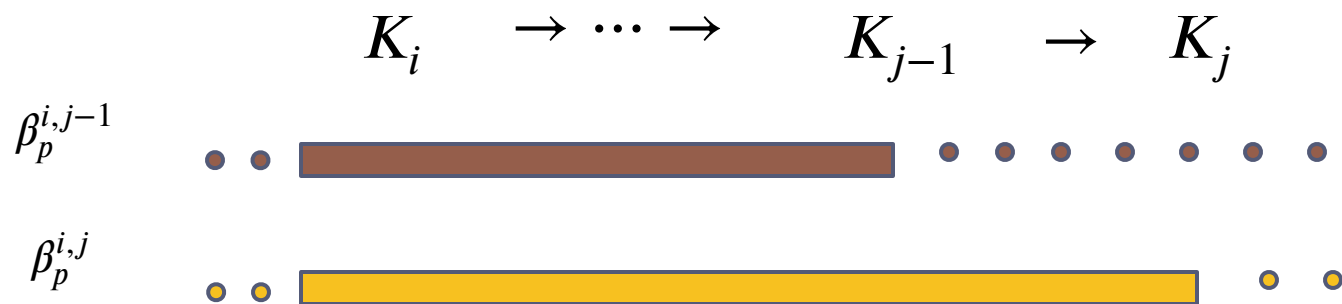
$$\mu_p^{i,j} = \underbrace{(\beta_p^{i,j-1} - \beta_p^{i,j})}_{\text{}} - (\beta_p^{i-1,j-1} - \beta_p^{i-1,j})$$



# Möbius inversion

## ► Persistent pairing number:

$$\mu_p^{i,j} = (\beta_p^{i,j-1} - \beta_p^{i,j}) - (\beta_p^{i-1,j-1} - \beta_p^{i-1,j})$$

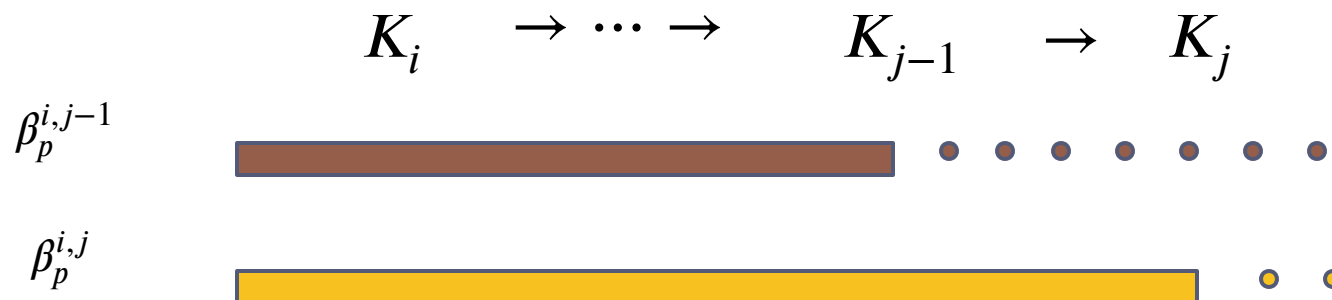


# Möbius inversion

## ► Persistent pairing number:

►  $\mu_p^{i,j} = (\beta_p^{i,j-1} - \beta_p^{i,j}) - (\beta_p^{i-1,j-1} - \beta_p^{i-1,j})$

Number of independent  
homology classes from  
 $K_i$  but **died** entering  $K_j$





# Möbius inversion

## ► Persistent pairing number:

$$\mu_p^{i,j} = \underbrace{(\beta_p^{i,j-1} - \beta_p^{i,j})}_{\text{Number of independent homology classes from } K_i \text{ but died entering } K_j} - \underbrace{(\beta_p^{i-1,j-1} - \beta_p^{i-1,j})}_{\text{Number of independent homology classes from } K_{i-1} \text{ but died entering } K_j}$$

Number of independent homology classes from  $K_i$  but **died** entering  $K_j$

Number of independent homology classes from  $K_{i-1}$  but **died** entering  $K_j$

$$K_{i-1} \rightarrow K_i \rightarrow \cdots \rightarrow K_{j-1} \rightarrow K_j$$



# Möbius inversion

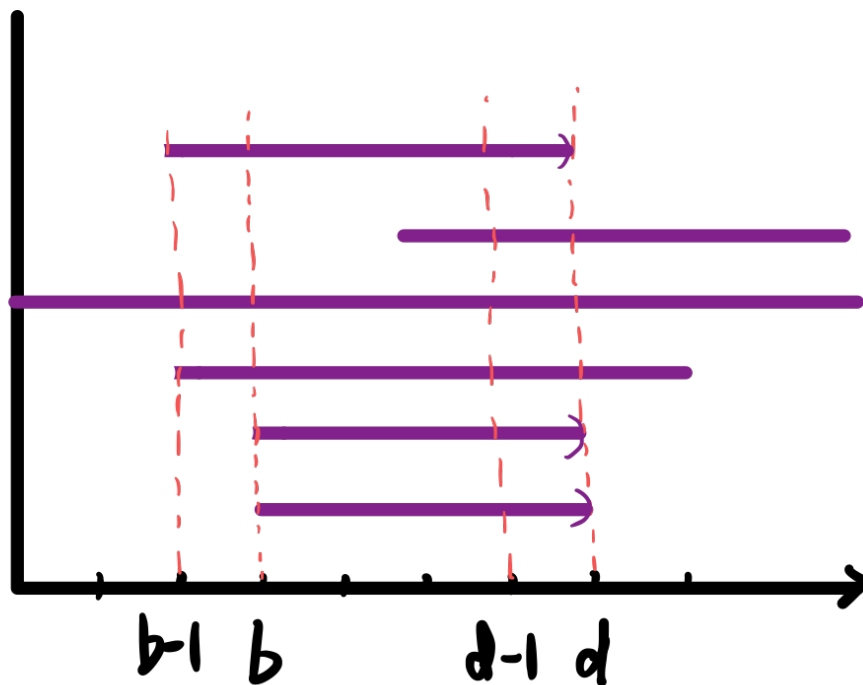
- ▶ Persistent pairing number:

- ▶ 
$$\mu_p^{i,j} = \underbrace{(\beta_p^{i,j-1} - \beta_p^{i,j})}_{\text{Number of independent homology classes from } K_i \text{ but died entering } K_j} - \underbrace{(\beta_p^{i-1,j-1} - \beta_p^{i-1,j})}_{\text{Number of independent homology classes from } K_{i-1} \text{ but died entering } K_j}$$

- ▶  $\mu_p^{i,j}$  denotes the number of independent homology classes **created** at  $K_i$  and **died** entering  $K_j$

# Example

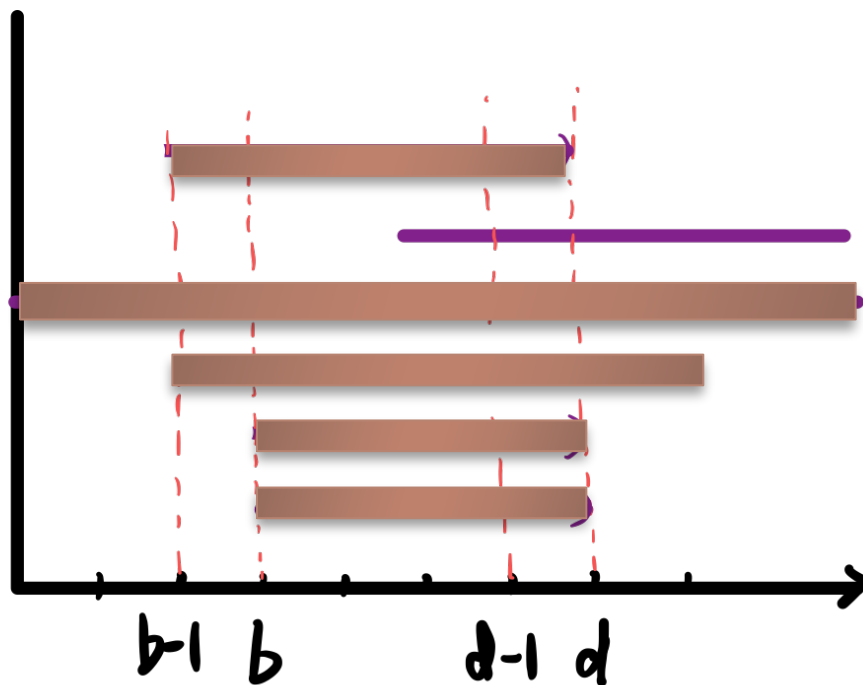
►  $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



$\#I[b, d) = 2$

# Example

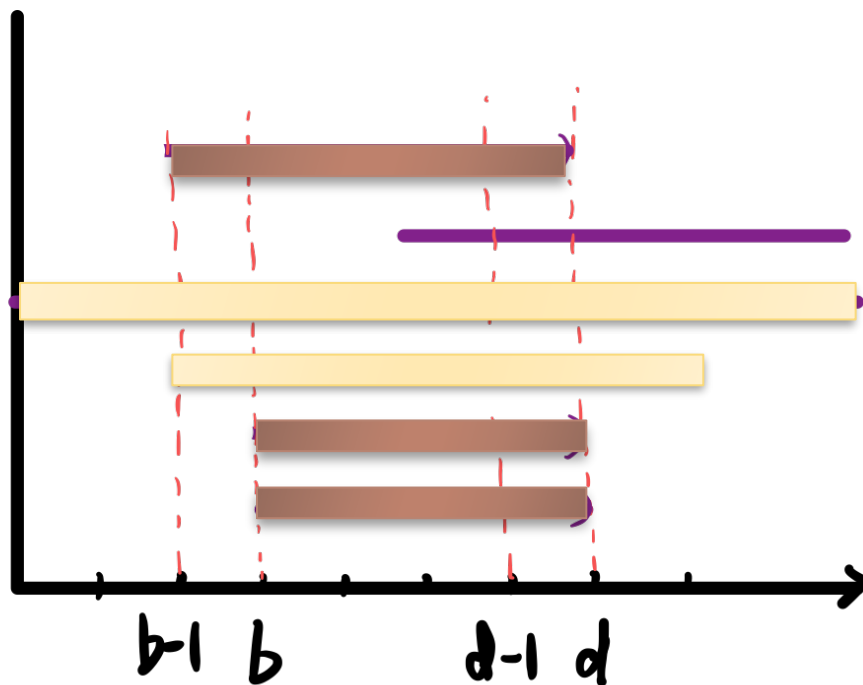
►  $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



$$\beta^{b,d-1} = 5$$

# Example

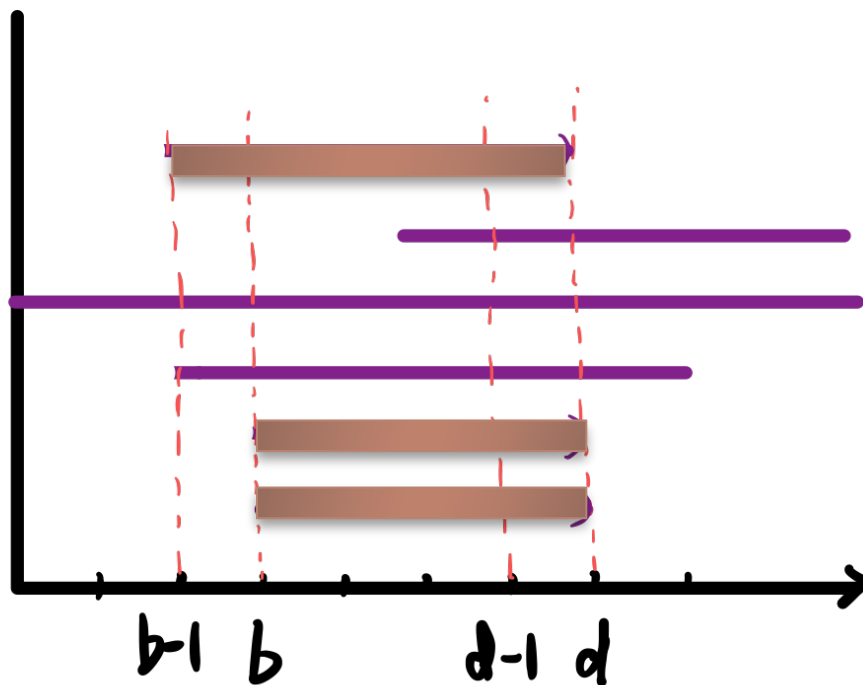
►  $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



$$\beta^{b,d} = 2$$

# Example

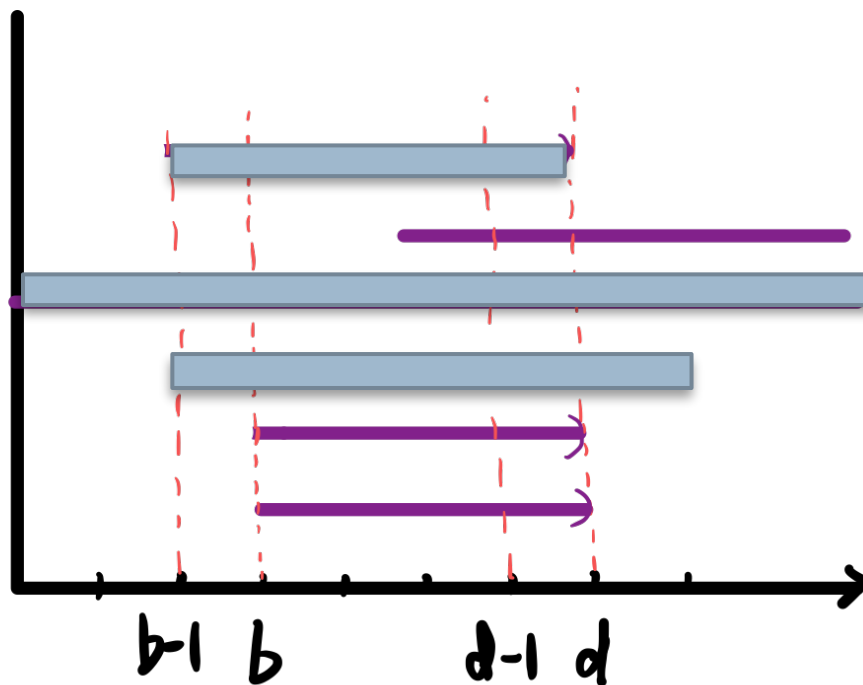
►  $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



$$\beta^{b,d-1} - \beta^{b,d} = 3$$

# Example

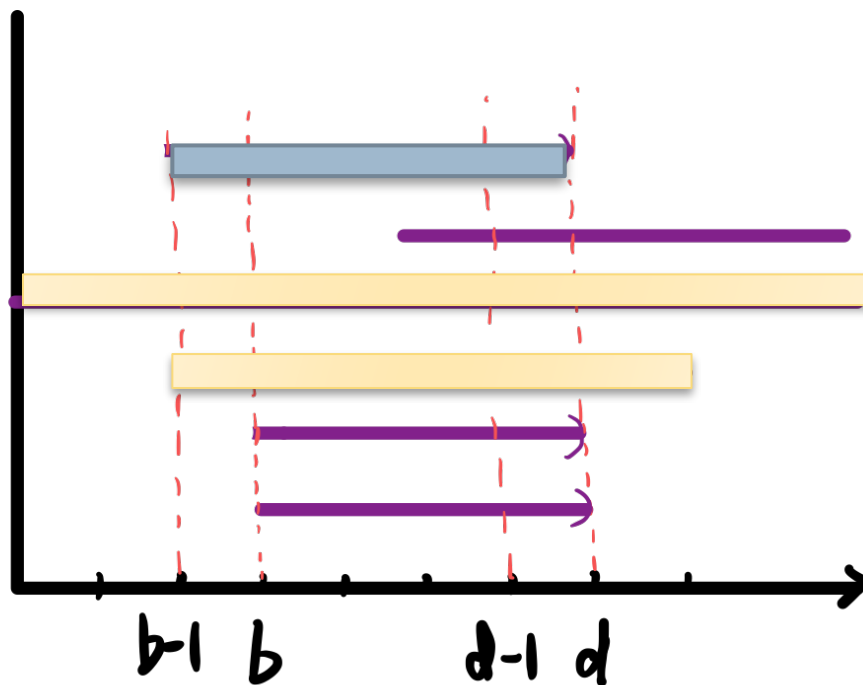
►  $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



$$\beta^{b-1,d-1} = 3$$

# Example

►  $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$

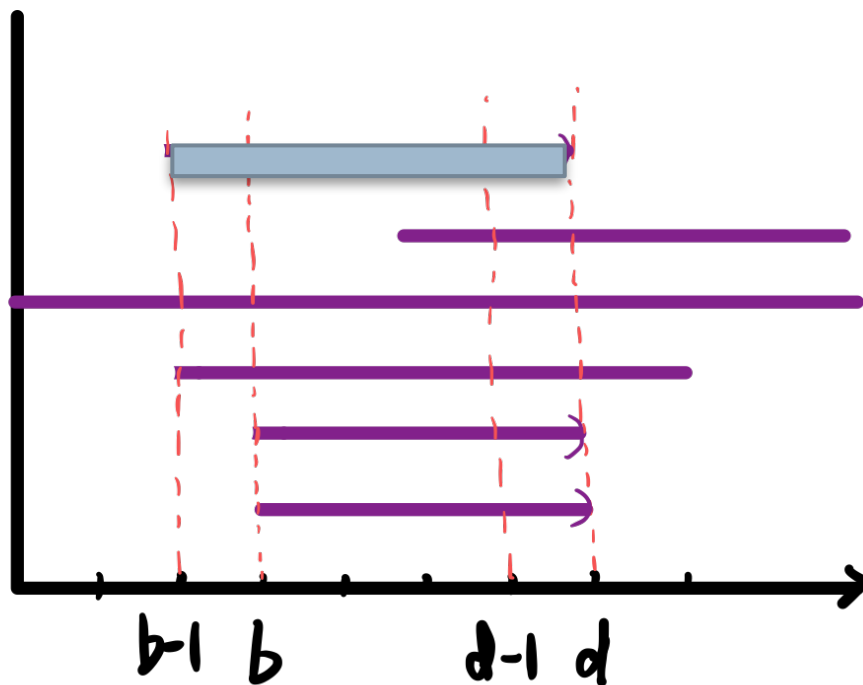


$$\beta^{b-1,d} = 2$$



# Example

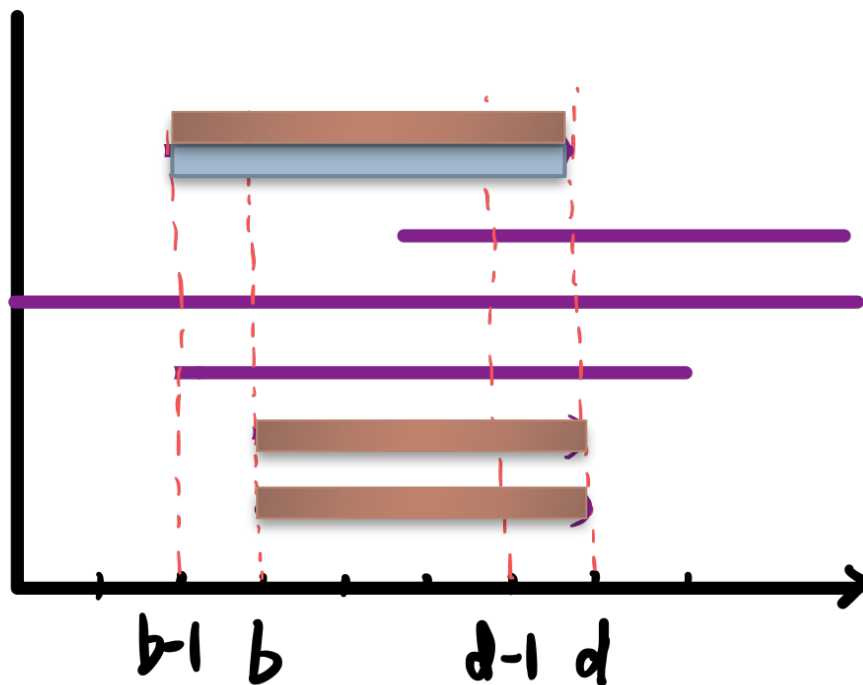
$$\blacktriangleright \mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$$



$$\beta^{b-1,d-1} - \beta^{b-1,d} = 1$$

# Example

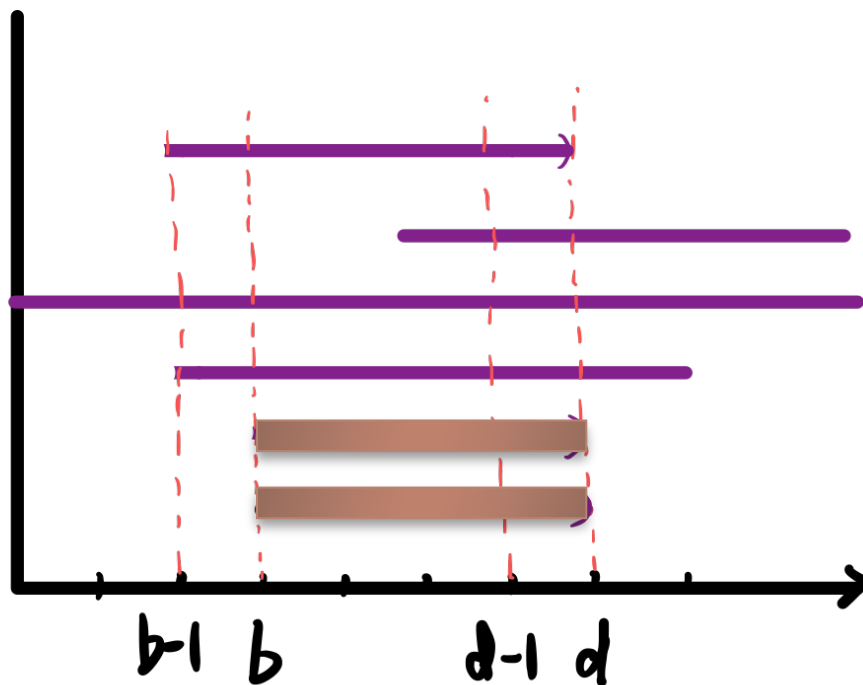
►  $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



$$\mu^{b,d} = 3 - 1 = 2$$

# Example

►  $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



$$\mu^{b,d} = 3 - 1 = 2$$

A more refined topological view - write down barcodes explicitly

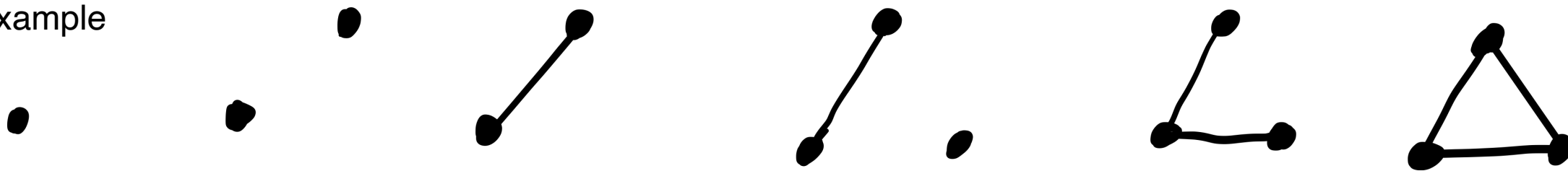
# An alternative view

## ▶ Simplex-wise filtration

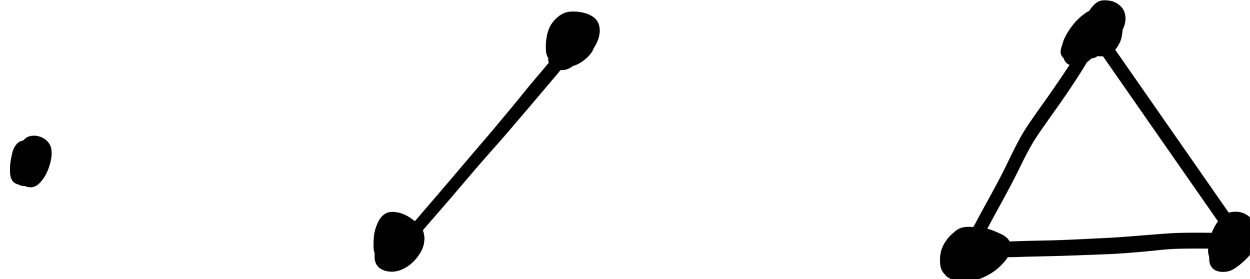
$$\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \dots \subseteq K_n = K$$

▶ s.t ,  $\sigma_i = K_i \setminus K_{i-1}$

example



non-example



# An alternative view

- ▶ **Simplex-wise filtration**

$$\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \cdots \subseteq K_n = K$$

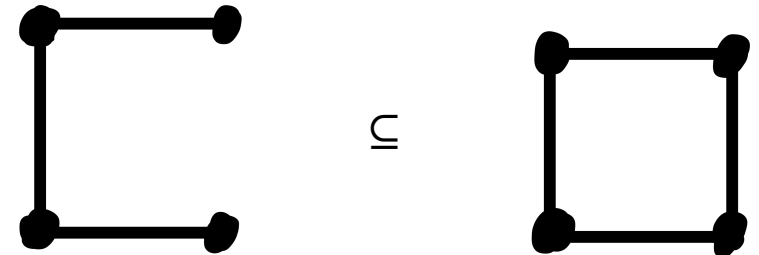
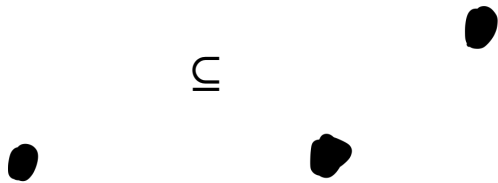
- ▶ s.t ,  $\sigma_i = K_i \setminus K_{i-1}$

- ▶ Suppose we are at  $K_i$ , and consider  $p$ -simplex  $\sigma = \sigma_{i+1}$

- ▶ creator: adding  $\sigma$  creates a  $p$ -cycle

- ▶ this cycle then must be “new”, creates a homology class which is not in the image of  $H_p(K_i) \rightarrow H_p(K_{i+1})$

- ▶ hence  $\beta_p \uparrow$

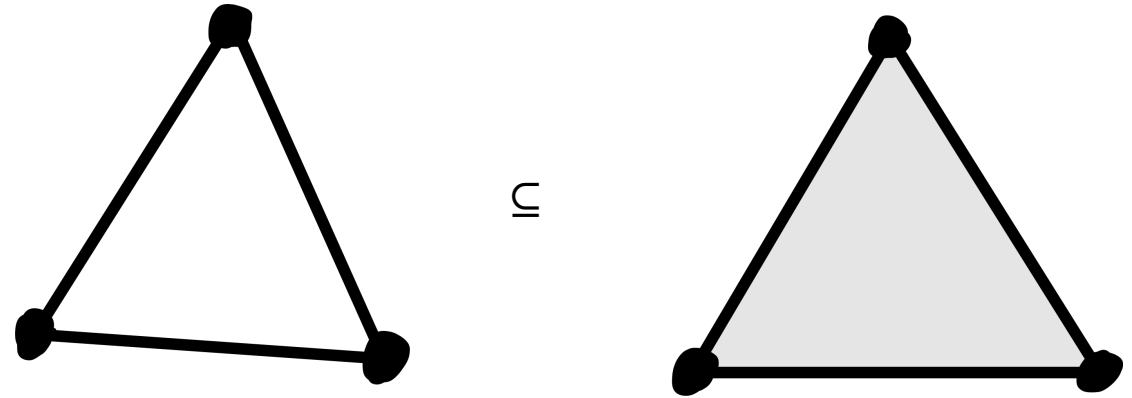


# An alternative view

## ▶ Simplex-wise filtration

$$\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \cdots \subseteq K_n = K$$

$$\text{▶ s.t. , } \sigma_i = K_i \setminus K_{i-1}$$



▶ Suppose we are at  $K_i$ , and consider  $p$ -simplex  $\sigma = \sigma_{i+1}$

▶ creator: adding  $\sigma$  creates a  $p$ -cycle

▶ this cycle then must be “new”, creates a homology class which is not in the image of  $H_p(K_i) \rightarrow H_p(K_{i+1})$

▶ hence  $\beta_p + +$

▶ destroyer: killing a  $(p - 1)$ -cycle

▶ this  $(p - 1)$ -cycle is  $\partial\sigma$ , and  $[\partial\sigma] \neq 0$  in  $H_{p-1}(K_i)$ , but trivial in  $H_{p-1}(K_{i+1})$

▶ hence  $\beta_{p-1} + +$

- ▶ Let  $V = \{V_i = H_p(K_i)\}_{i=0}^n$  and consider the persistence diagram  
 $V \cong I[b_1, d_1) \oplus I[b_2, d_2) \oplus \cdots \oplus I[b_M, d_M)$  for a simplex-wise filtration
- ▶ Each  $I[b, d)$  corresponds to
  - ▶ adding a  $p$  simplex  $\sigma_b$  at time  $b$  to create a  $p$ -cycle
  - ▶ adding a  $p + 1$  simplex  $\sigma_d$  at time  $d$  to kill the above  $p$ -cycle



# Subtlety of non-uniqueness

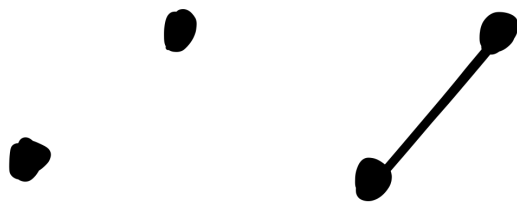
- ▶ Which cycle is killed?



- ▶ The younger one will be killed

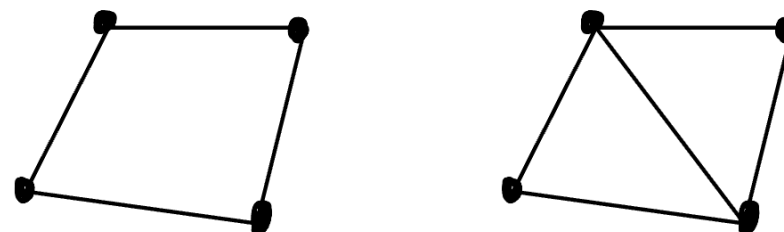
# Subtlety of non-uniqueness

- ▶ Which cycle is killed?



- ▶ The younger one will be killed

- ▶ Which cycle is created?



- ▶ Several cycle classes are born
- ▶ But the dimension only increases by 1

# Elder rule



0



1



2



# Elder rule



0



1



2



► [0,2) or [1,2)?

# Elder rule



0



1



2



- ▶  $[0,2)$  or  $[1,2)$ ?
  - ▶ Older class will continue:  $[1,2)$

# Elder rule



0



1



2



- ▶  $[0,2)$  or  $[1,2)$ ?
  - ▶ Older class will continue:  $[1,2)$

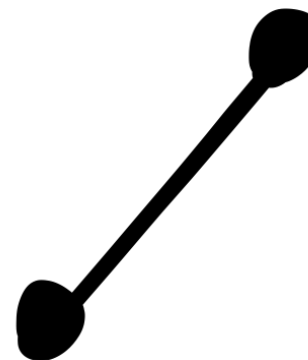
# Elder rule



0



1



2

- ▶  $[0,2)$  or  $[1,2)$ ?
  - ▶ Older class will continue:  $[1,2)$
- ▶ One can then write down the barcodes directly

# Elder rule



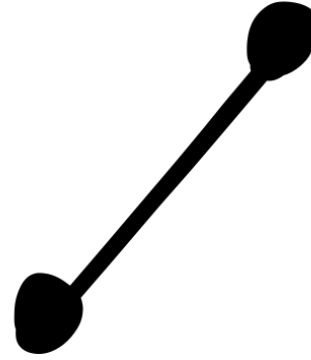
0



1



2



- ▶  $[0,2)$  or  $[1,2)$ ?
  - ▶ Older class will continue:  $[1,2)$
- ▶ One can then write down the barcodes directly
- ▶ A persistence pairing  $(i, j)$  can be specified as  $(\sigma_i, \sigma_j)$



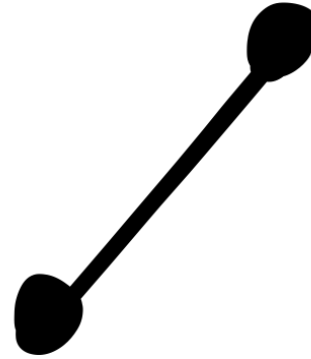
# Elder rule



0



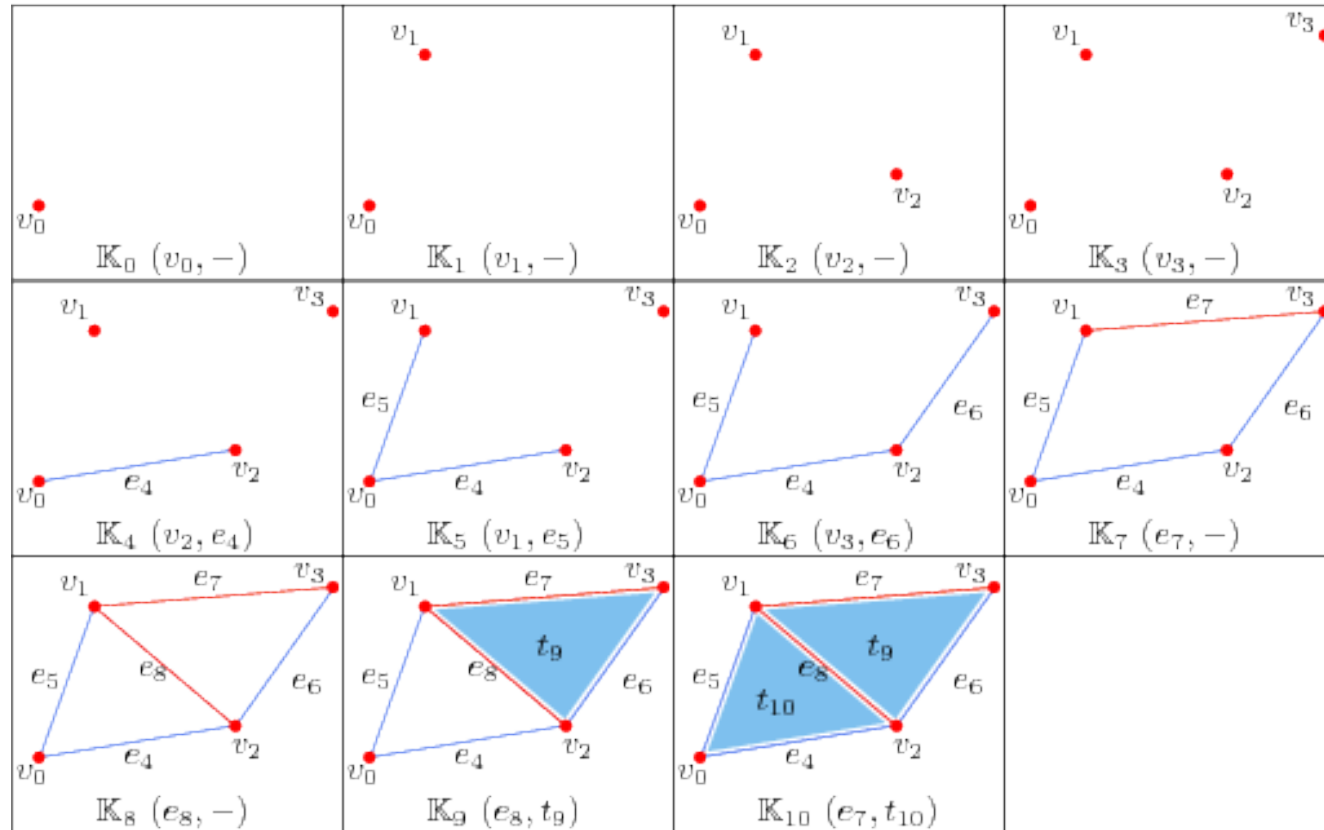
1



2

- ▶  $[0,2)$  or  $[1,2)$ ?
  - ▶ Older class will continue:  $[1,2)$
- ▶ One can then write down the barcodes directly
- ▶ A persistence pairing  $(i, j)$  can be specified as  $(\sigma_i, \sigma_j)$
- ▶ All  $(i, \infty)$  or  $(\sigma_i, \infty)$  correspond to homology classes of the final simplicial complex

- See board for an example

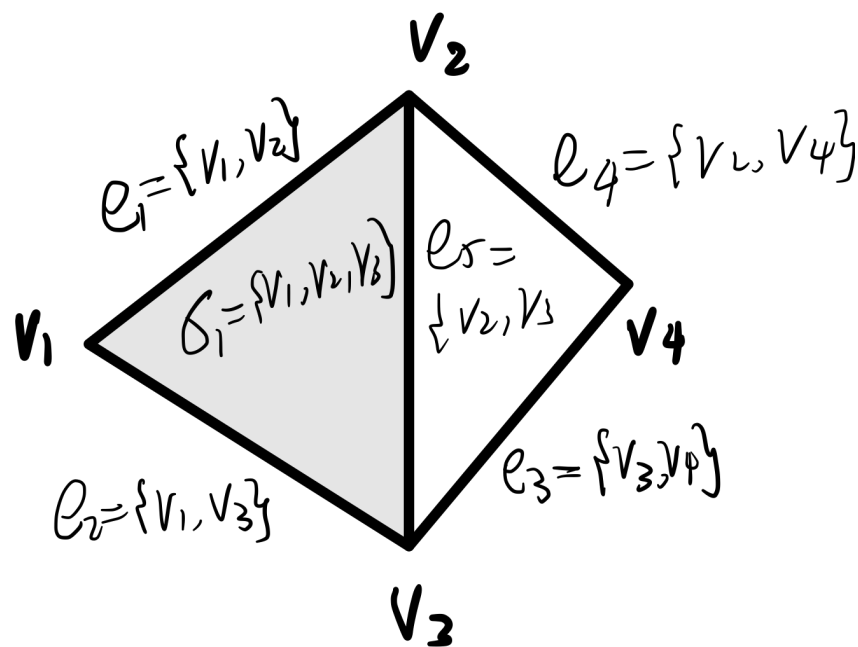


*Image courtesy of T.K.Dey*

# Section 2:

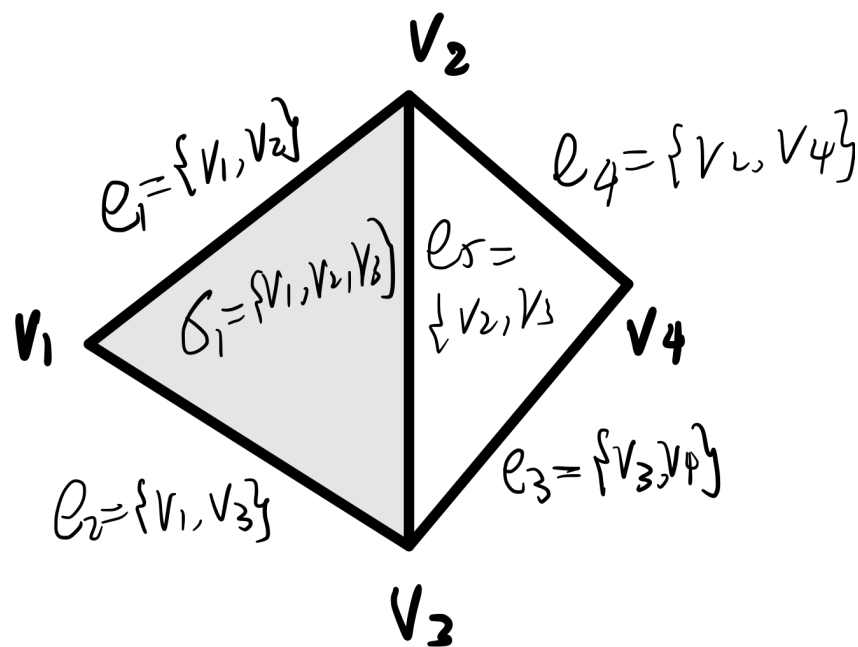
# Persistence Algorithm

# Recall homology algorithm



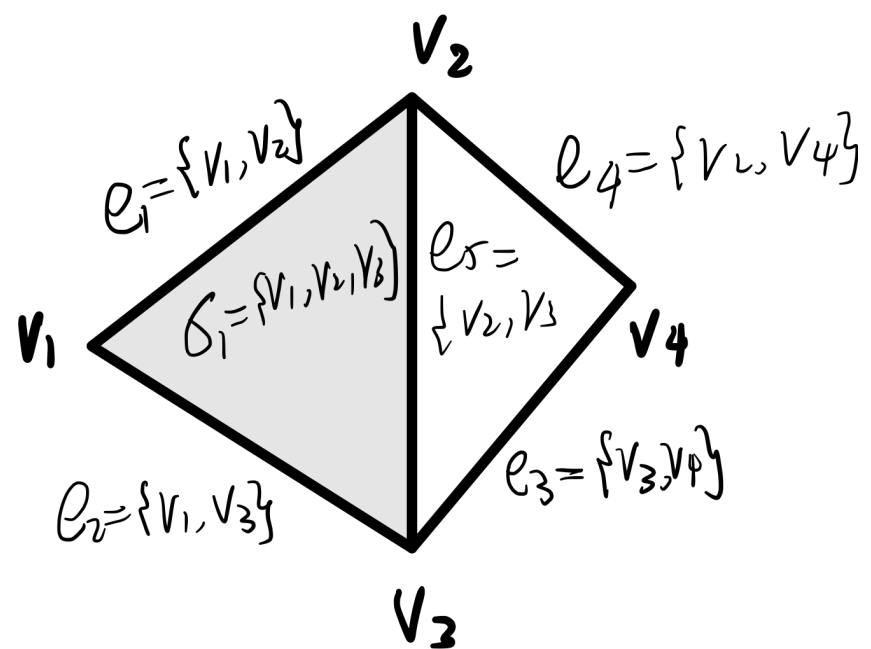
	e1	e2	e3	e4	e5
v1	1	1	0	0	0
v2	1	0	0	1	1
v3	0	1	1	0	1
v4	0	0	1	1	0

# Recall homology algorithm



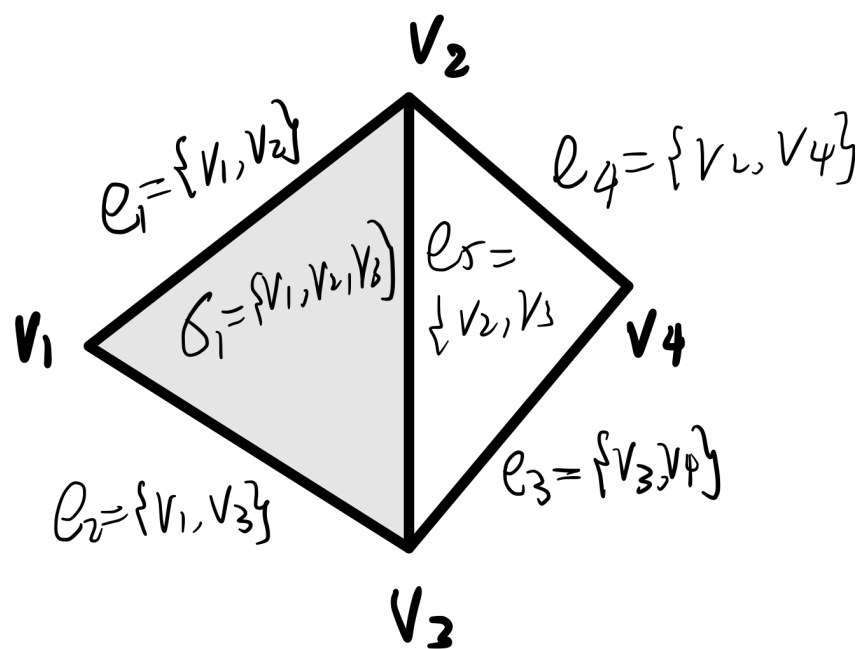
	e1	e2	e3	e4+e3	e5
v1	1	1	0	0	0
v2	1	0	0	1	1
v3	0	1	1	1	1
v4	0	0	1	0	0

# Recall homology algorithm



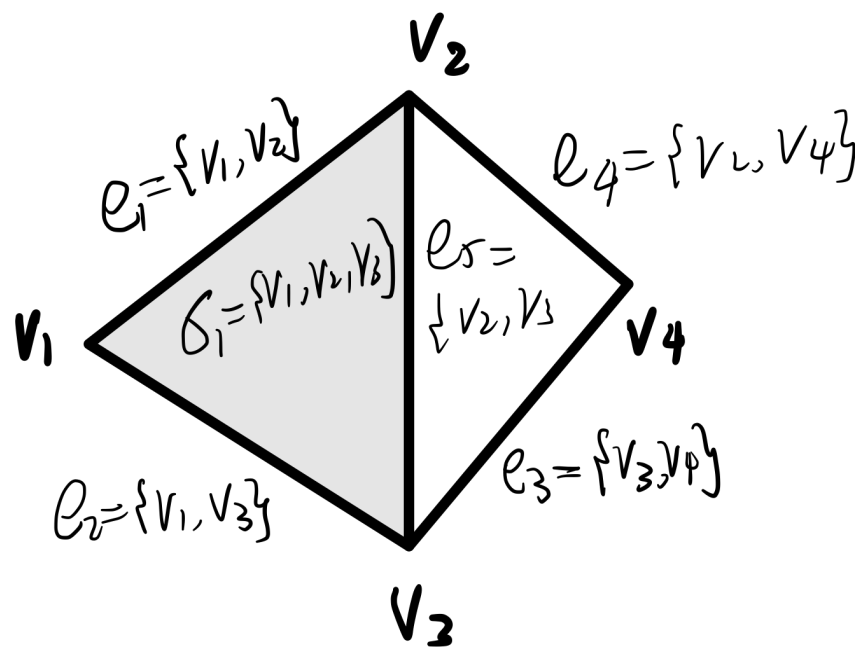
	e1	e2	e3	e4+e3+e2	e5
v1	1	1	0	1	0
v2	1	0	0	1	1
v3	0	1	1	0	1
v4	0	0	1	0	0

# Recall homology algorithm



	e1	e2	e3	e4+e3+e2+e1	e5
v1	1	1	0	0	0
v2	1	0	0	0	1
v3	0	1	1	0	1
v4	0	0	1	0	0

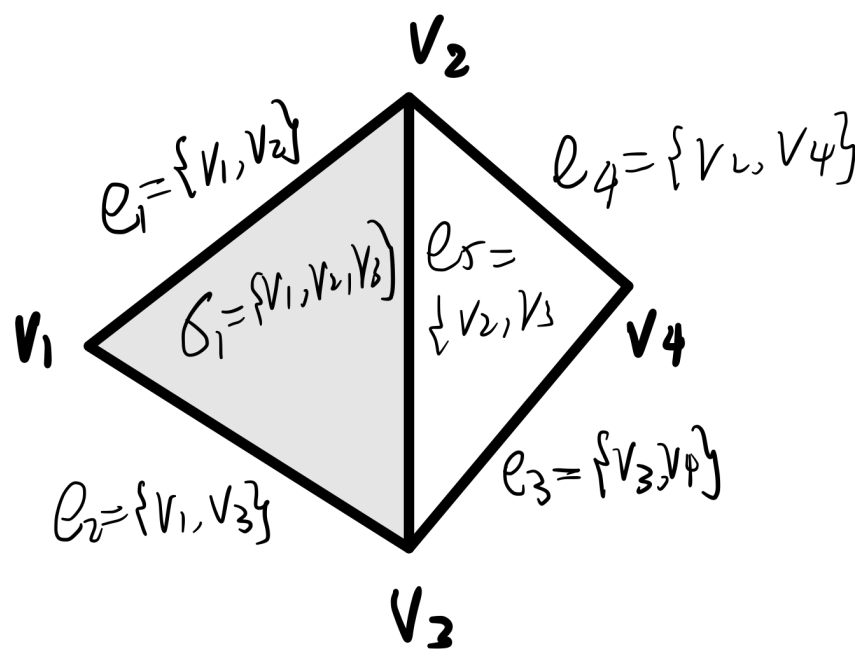
# Recall homology algorithm



	e1	e2	e3	e4+e3+e2 +e1	e5+e2
v1	1	1	0	0	1
v2	1	0	0	0	1
v3	0	1	1	0	0
v4	0	0	1	0	0



# Recall homology algorithm



	e1	e2	e3	e4+e3+e2+e1	e5+e2+e1
v1	1	1	0	0	0
v2	1	0	0	0	0
v3	0	1	1	0	0
v4	0	0	1	0	0

# Persistent Algorithm

- ▶ Simplex-wise filtration  $\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \cdots \subseteq K_n = K$ 
  - ▶ s.t.,  $\sigma_i = K_i \setminus K_{i-1}$
  - ▶ i.e, filtration induced by an ordered sequence of simplices  $\sigma_1, \sigma_2, \dots, \sigma_n$  s.t.  $K_i = \{\sigma_1, \dots, \sigma_i\}$
- ▶ Let  $A$  be boundary matrix for  $K$  with  $Col_A[i] = \partial\sigma_i$
- ▶  $lowId_M(j)$ : index of lowest 1-entry in  $Col_M[j]$

# Persistent Algorithm

- ▶ Assume input filtration  $\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \dots \subseteq K_m = K$ 
  - ▶  $\sigma_i = K_i \setminus K_{i-1}$
  - ▶ i.e, filtration induced by an ordered sequence of simplices  $\sigma_1, \sigma_2, \dots, \sigma_n$  s.t.  
 $K_i = \{\sigma_1, \dots, \sigma_i\}$
  - ▶ Let  $A$  be boundary matrix for  $K$  with  $Col_A[i] = \partial\sigma_i$
- ▶  $lowId_M(j)$ : index of lowest 1-entry in  $Col_M[j]$

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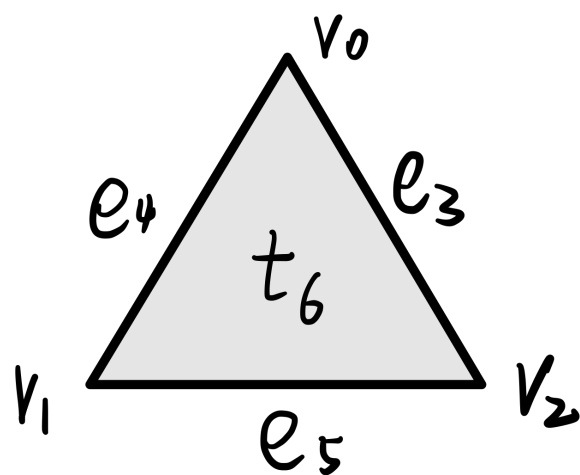
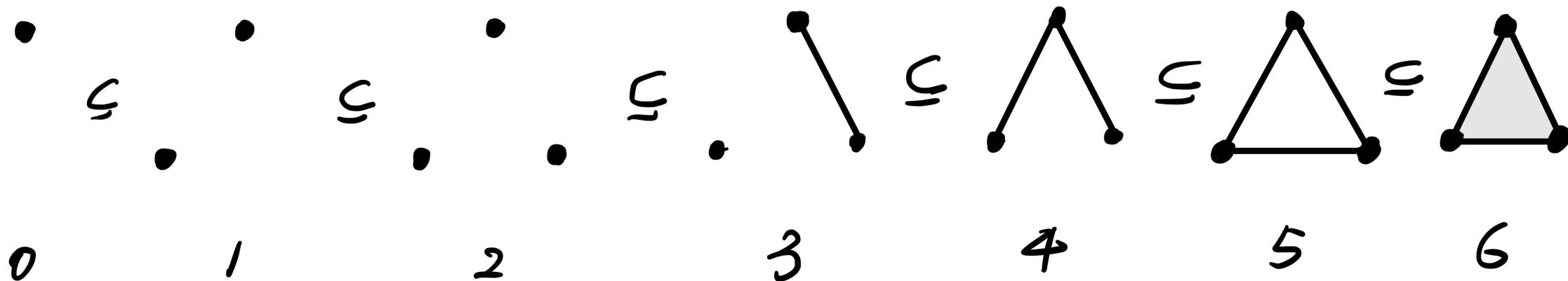
**Algorithm 1** Right-Reduction( $A$ )

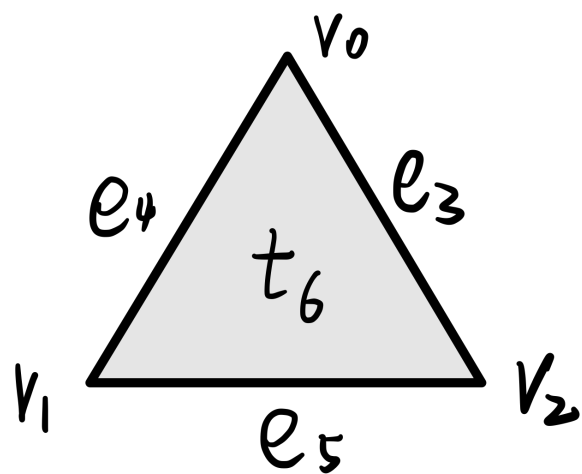
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```
R = A;  
for  $j = 1 \rightarrow m$  do  
    while there exists  $j_0 < j$  with  $lowId(j_0) = lowId(j)$  do  
        add column  $j_0$  of  $R$  to column  $j$  of  $R$   
    end while  
end for
```

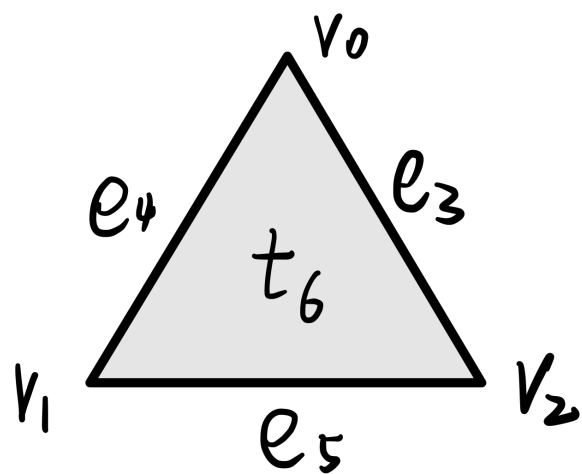
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# Example

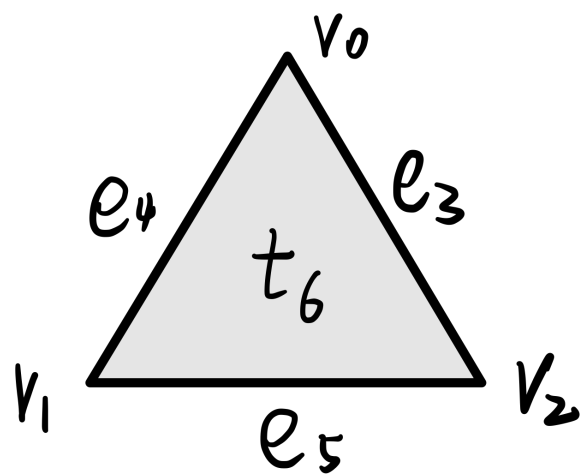




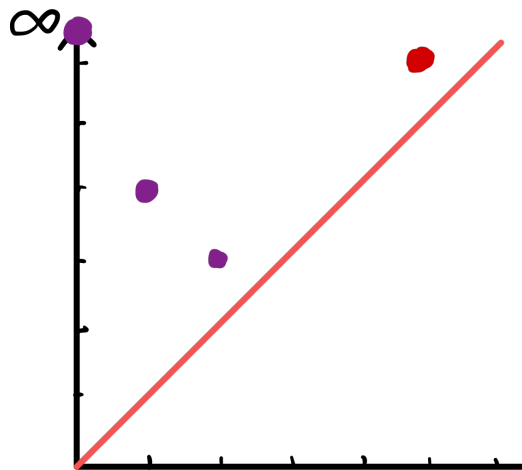
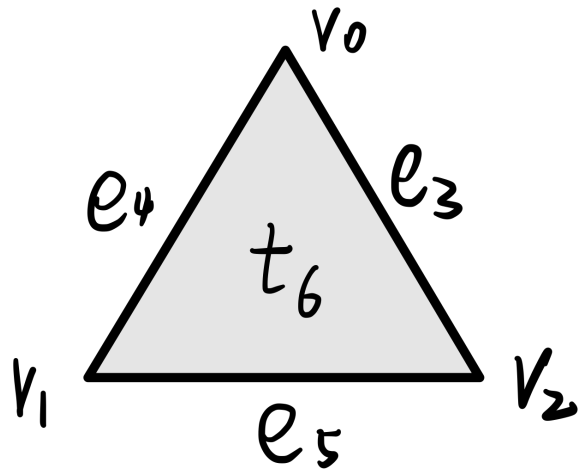
	v0	v1	v2	e3	e4	e5	t6
v0	0	0	0	1	1	0	0
v1	0	0	0	0	1	1	0
v2	0	0	0	1	0	1	0
e3	0	0	0	0	0	0	1
e4	0	0	0	0	0	0	1
e5	0	0	0	0	0	0	1
t6	0	0	0	0	0	0	0



	v0	v1	v2	e3	e4	e5+e3	t6
v0	0	0	0	1	1	1	0
v1	0	0	0	0	1	1	0
v2	0	0	0	1	0	0	0
e3	0	0	0	0	0	0	1
e4	0	0	0	0	0	0	1
e5	0	0	0	0	0	0	1
t6	0	0	0	0	0	0	0



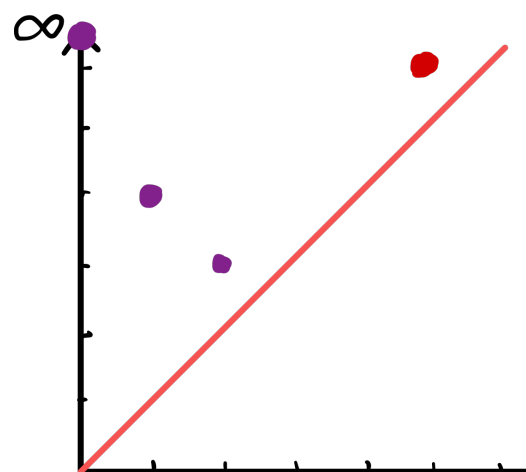
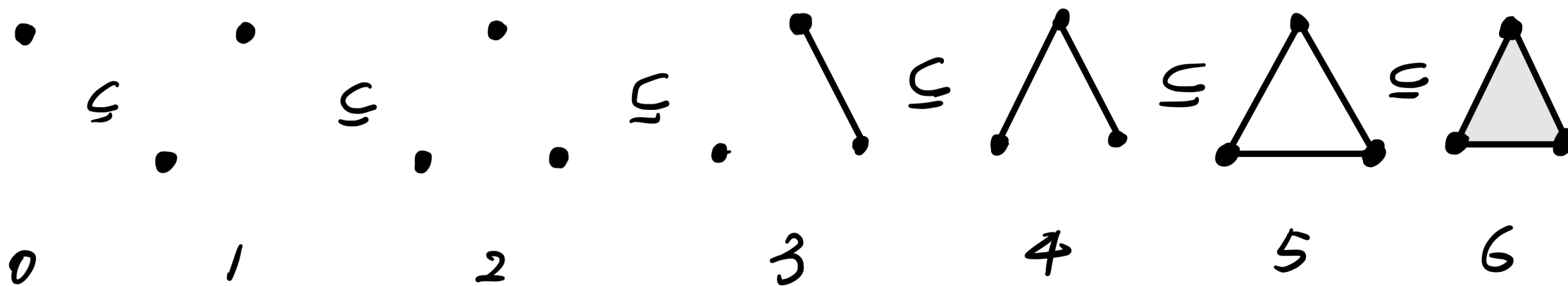
	v0	v1	v2	e3	e4	e5+e3+ e4	t6
v0	0	0	0	1	1	0	0
v1	0	0	0	0	1	0	0
v2	0	0	0	1	0	0	0
e3	0	0	0	0	0	0	1
e4	0	0	0	0	0	0	1
e5	0	0	0	0	0	0	1
t6	0	0	0	0	0	0	0



	v0	v1	v2	e3	e4	e5+e3+ e4	t6
v0	0	0	0	1	1	0	0
v1	0	0	0	0	1	0	0
v2	0	0	0	1	0	0	0
e3	0	0	0	0	0	0	1
e4	0	0	0	0	0	0	1
e5	0	0	0	0	0	0	1
t6	0	0	0	0	0	0	0

- ▶ Homology classes born at 0,1,2,5
- ▶  $(v_0, \infty), (v_1, e_4), (v_2, e_3), (e_5, t_6)$
- ▶  $Dgm_0 = \{(0, \infty), (1, 4), (2, 3)\}$
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# Persistent Pairings

- ▶ Theorem A:

- ▶ Consider the output matrix  $R$  of algorithm  $\text{Right-Reduction}(A)$ .

Then  $\mu^{i,j} = 1$  **iff**  $\text{lowId}_R(j) = i$

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## ▶ Theorem B:

- ▶ Given boundary matrix  $A$ , perform **any** sequence of right-column-addition operations only to convert it into the reduced form  $R$ . Then

$$\mu^{i,j} = 1 \text{ **iff** } lowId_R(j) = i$$

# Generating cycles

- ▶ For any intermediate matrix  $M$ 
  - ▶ Each column  $i$  is associated with a  $p$ -chain  $\Gamma^i$
  - ▶ The column  $Col_M[i]$  corresponds to the boundary of  $\Gamma^i$
  - ▶ If  $Col_M[i] = [0 \ 0 \ \dots \ 0]^T$ , it is a *boundary cycle*
    - ▶ Death event
  - ▶ Otherwise, it is a cycle generating a new homology class
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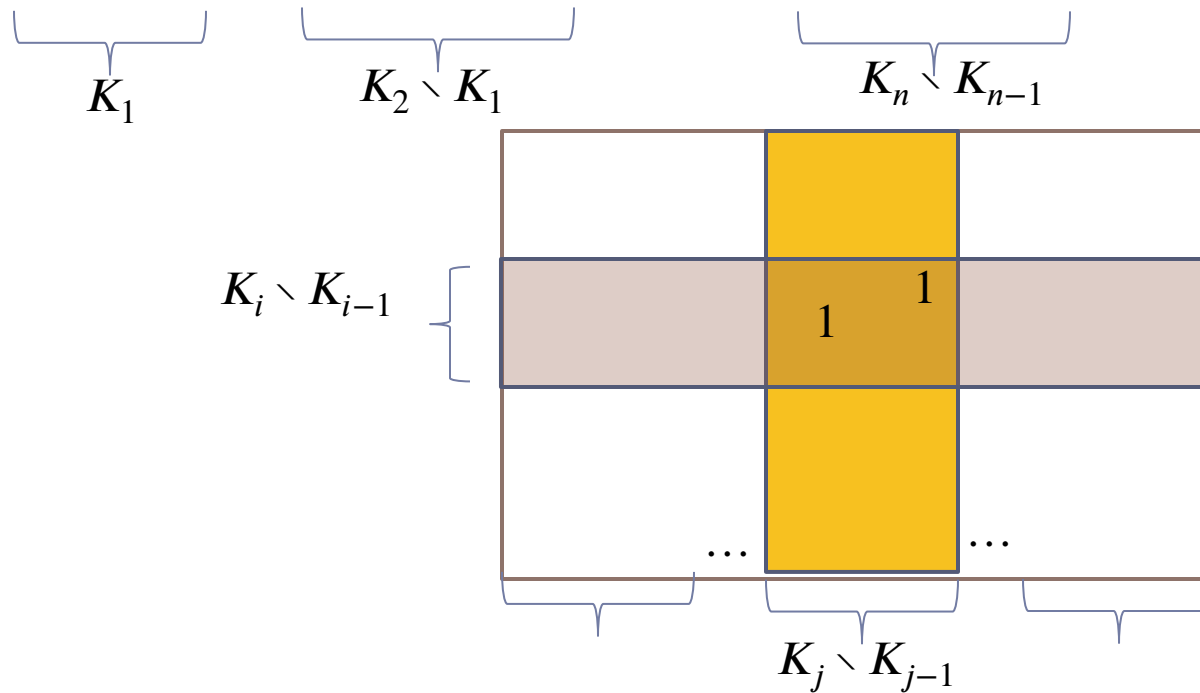
Generating cycle if  
this column is all-  
zero!

# Computation

- ▶ Right-Reduction( $A$ ) runs in  $O(N^3)$  time
  - ▶ where  $N$  is total number of simplices
- ▶ Can be improved to matrix multiplication time

# General Filtration

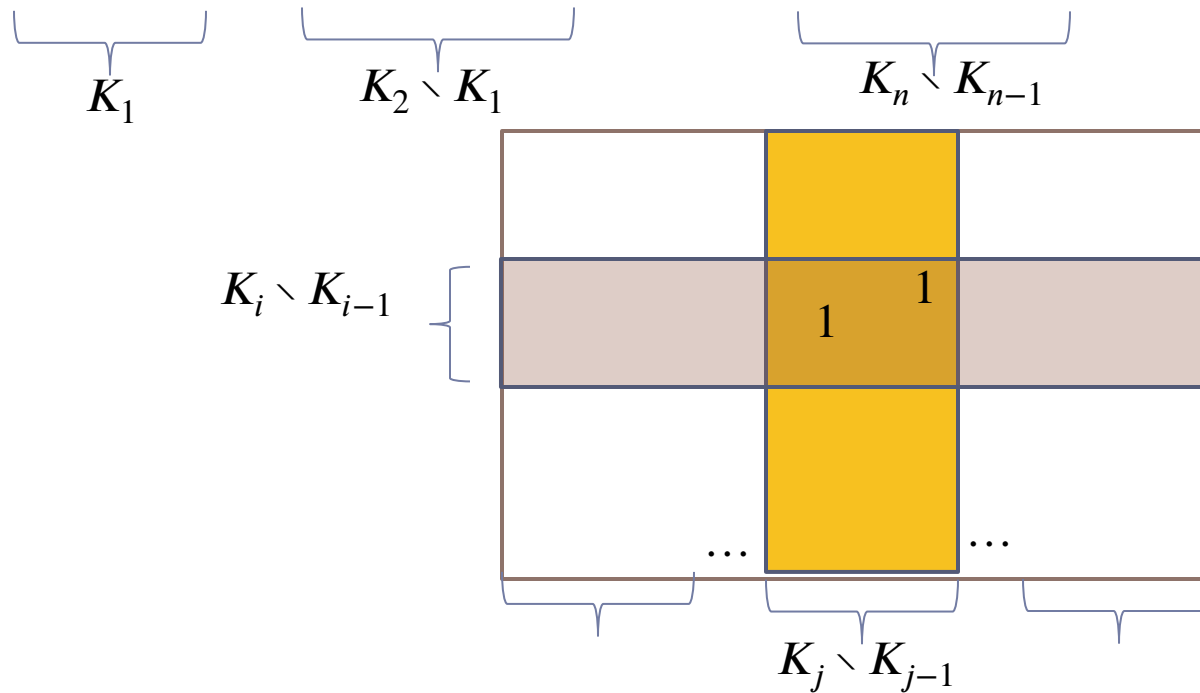
- ▶ Given  $K_1 \subseteq K_2 \subseteq \dots \subseteq K_n$ , let  $\sigma_1, \sigma_2, \dots, \sigma_N$  be an ordering of simplices consistent with the filtration
- ▶ i.e,  $\sigma_1, \dots, \sigma_{I_1}, \sigma_{I_1+1}, \dots, \sigma_{I_2}, \dots, \sigma_{I_{n-1}+1}, \dots, \sigma_{I_n}$



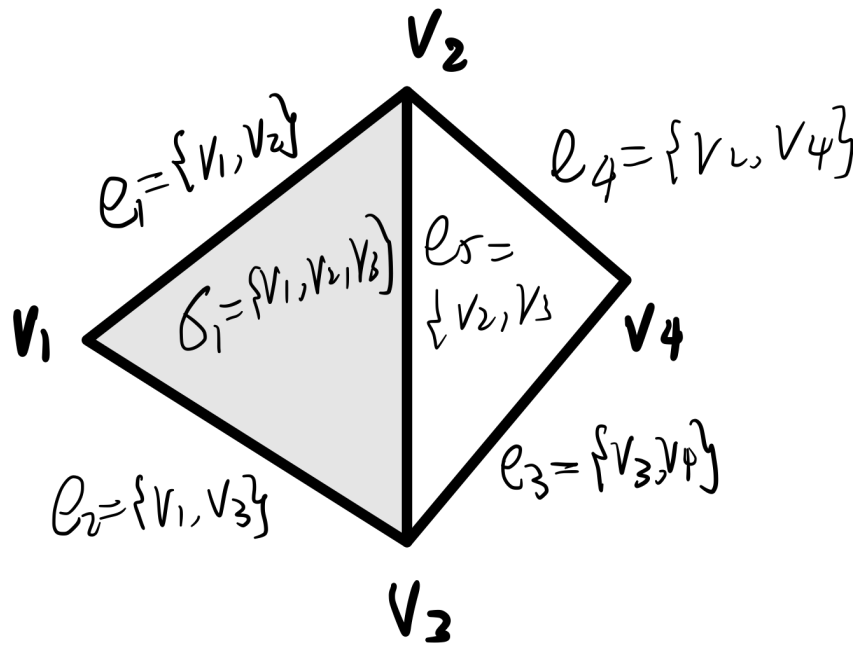


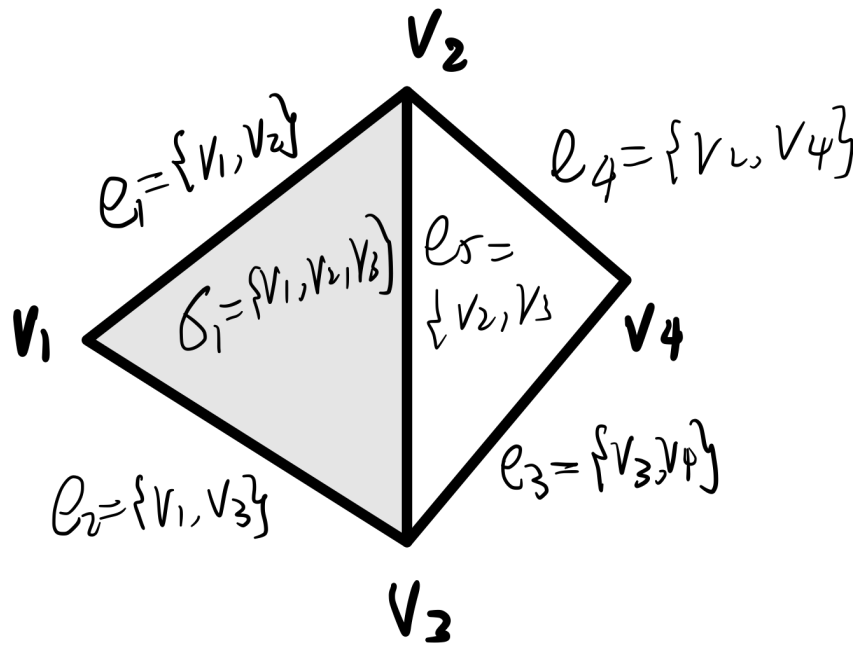
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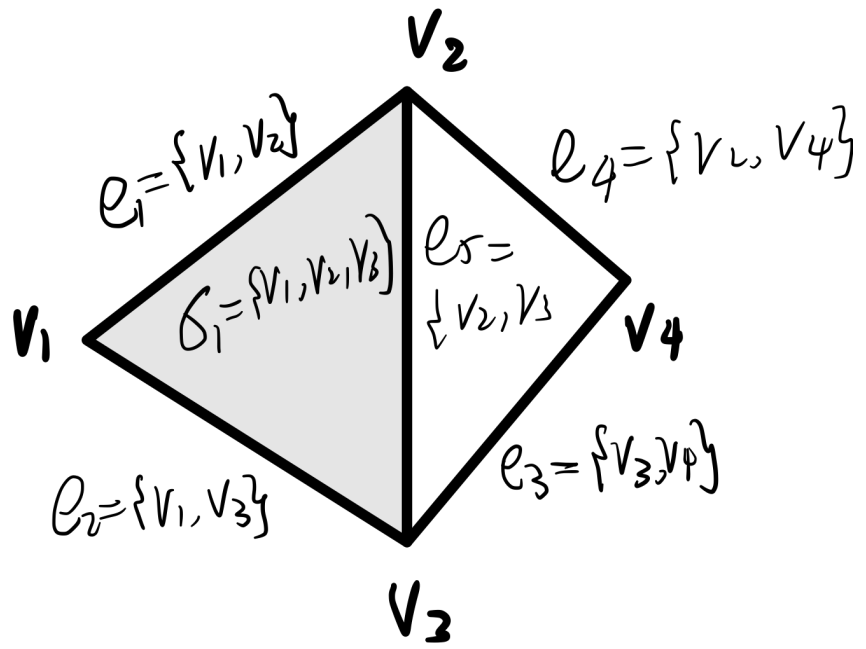
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$$\mu^{i,j} = 2$$

[illegible]

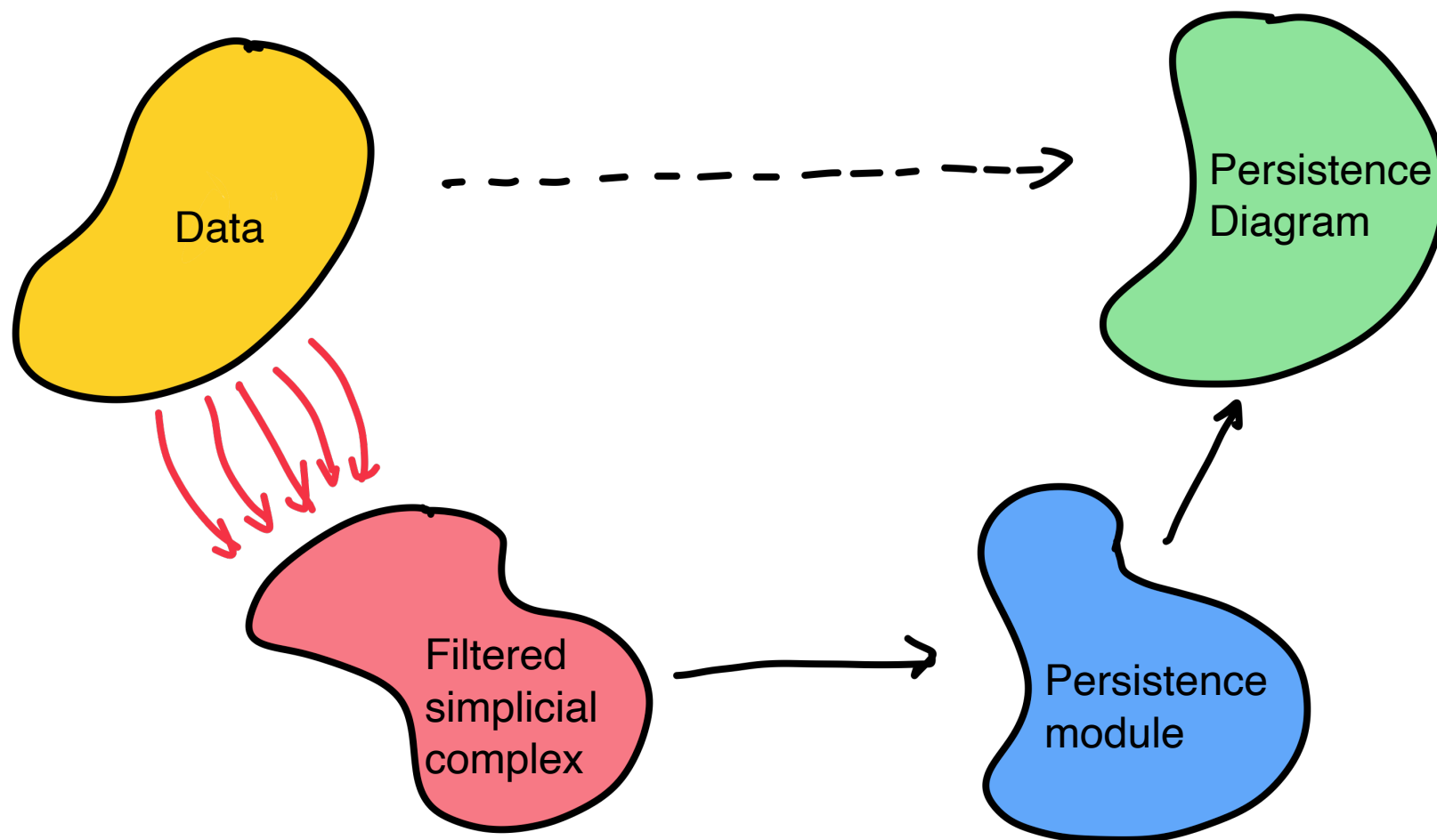
[illegible]



	v1	v2	v3	v4	e1	e2	e3	e4+e3+ e2+e1	e5+e2 +e1	S1
v1					1	1				
v2					1					
v3						1	1			
v4							1			
e1										1
e2										1
e3										
e4										
e5										1
S1										

- ▶  $(v_1, \infty), (v_2, e_1), (v_3, e_2), (v_4, e_3), (e_4, \infty), (e_5, s_1)$
- ▶  $Dgm_0 = \{(0, \infty)\}$
- ▶  $Dgm_1 = \{(0, \infty)\}$
- ▶  $(v_1, \infty), (e_4, \infty)$  correspond to two homology classes

# Mind picture



**FIN**