

DSC 214

Topological Data Analysis

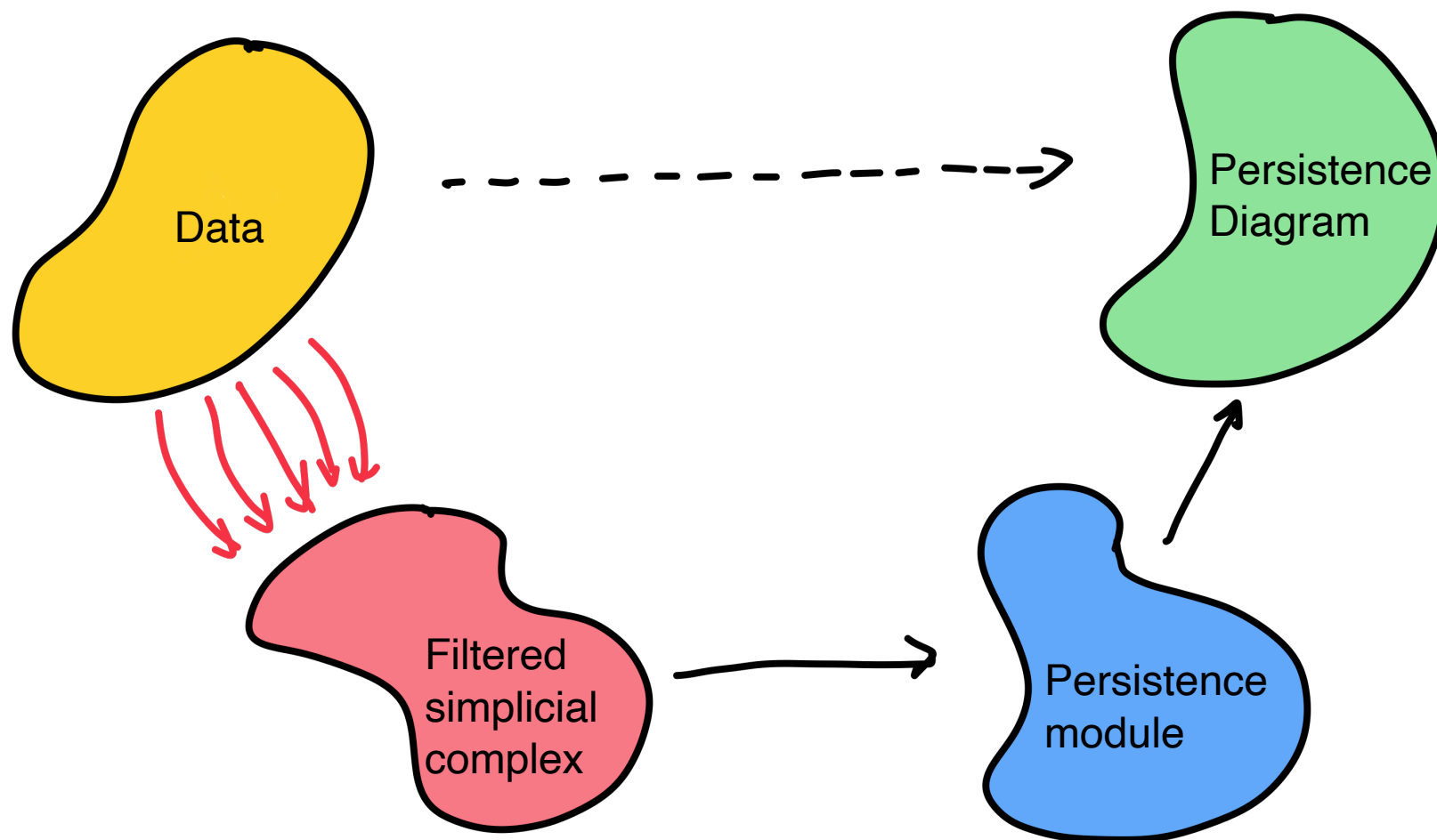
Topic 4-A: Introduction to Persistent Homology

Instructor: Zhengchao Wan

Persistent homology

- ▶ A modern extension of homology to “sequence of spaces”
 - ▶ [Edelsbrunner, Letcher, and Zomorodian, FOCS 2000]
 - ▶ Significantly broaden its practical power
- ▶ What is persistent homology (PH)
 - ▶ Motivation
 - ▶ Persistent betti numbers and persistence diagrams
- ▶ Algorithm(s) for persistent homology

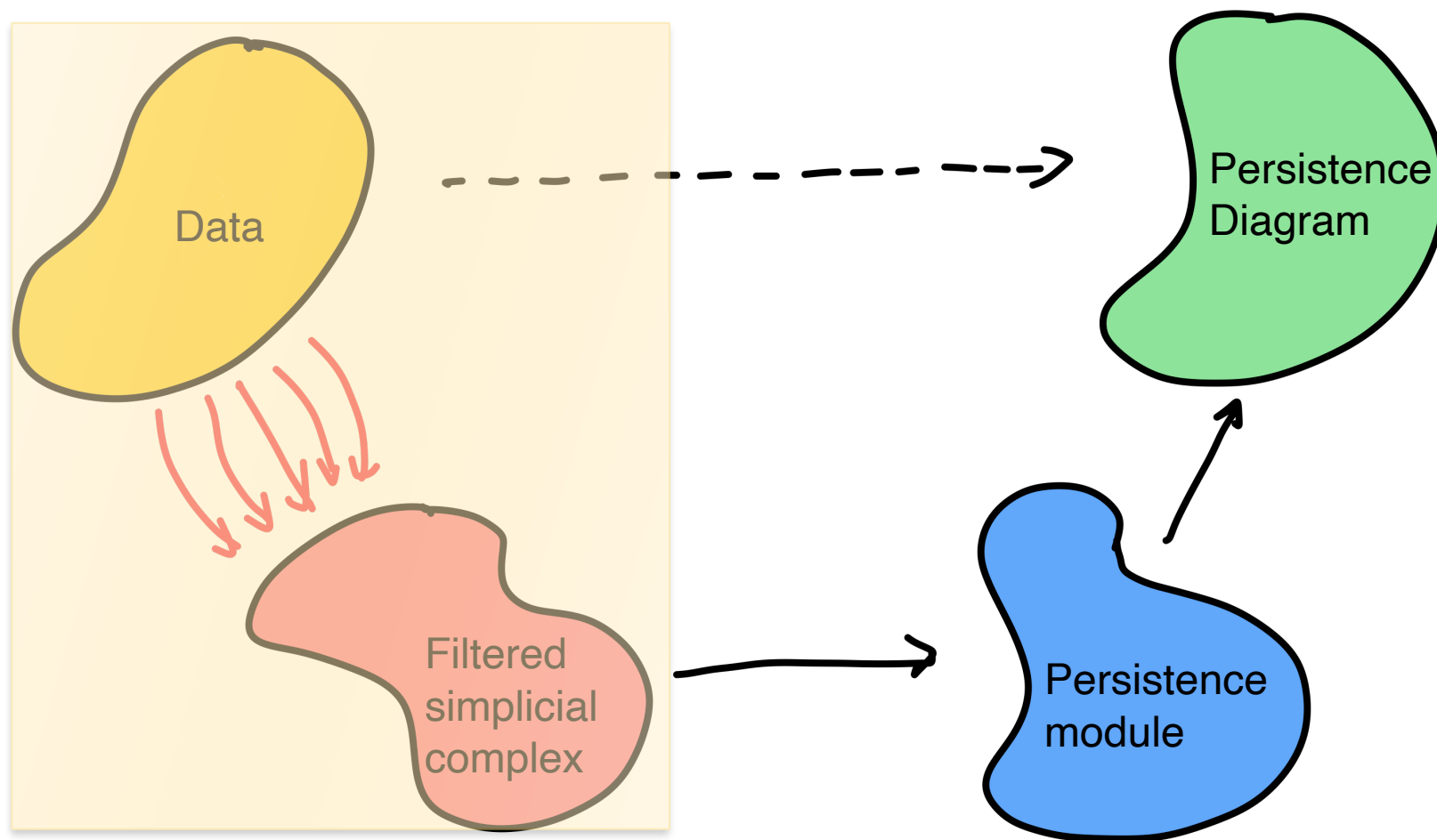
Mind picture



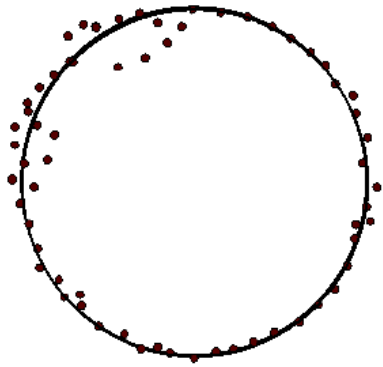
Section 1:

Persistent Homology

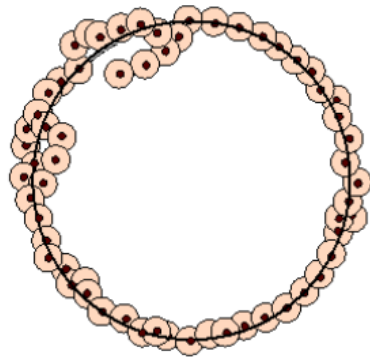
Filtered simplicial complex



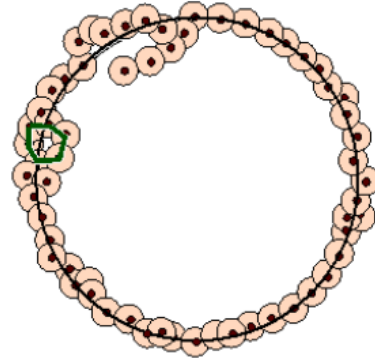
Issue of Scale



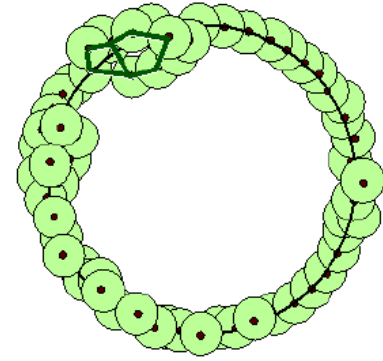
(a)



(b)

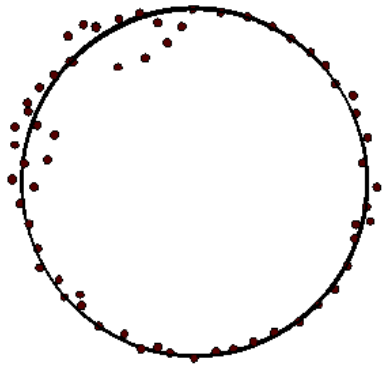


(c)

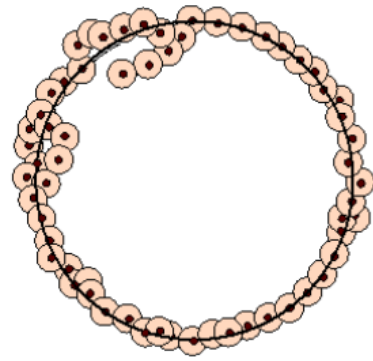


(d)

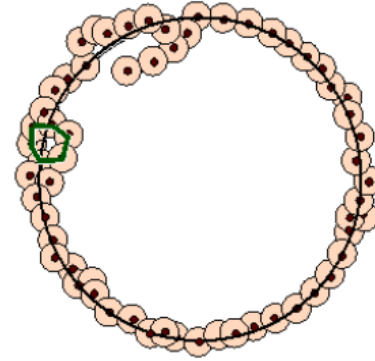
Issue of Scale



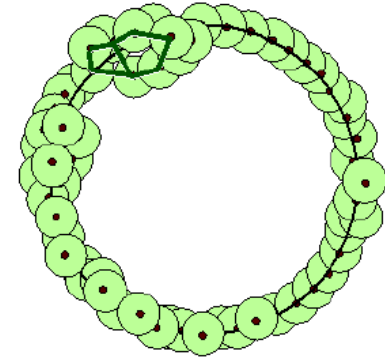
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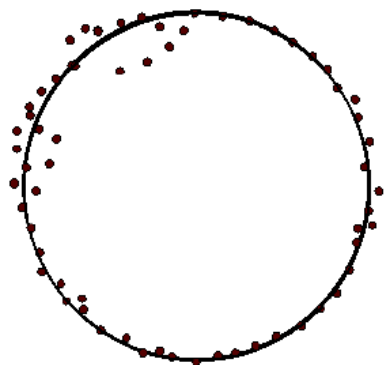
(c)



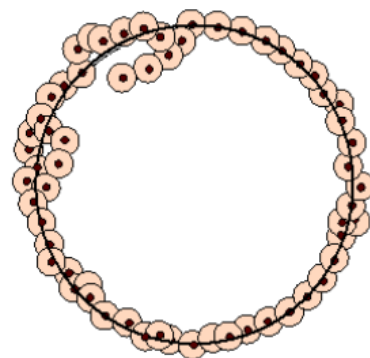
(d)

- Which scale to take?

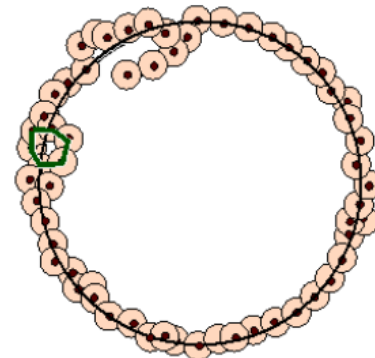
Issue of Scale



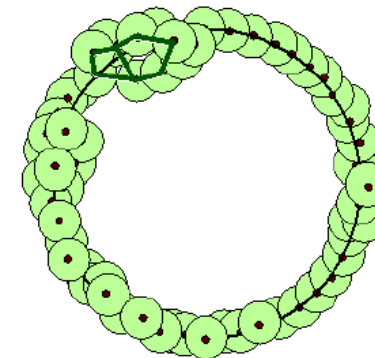
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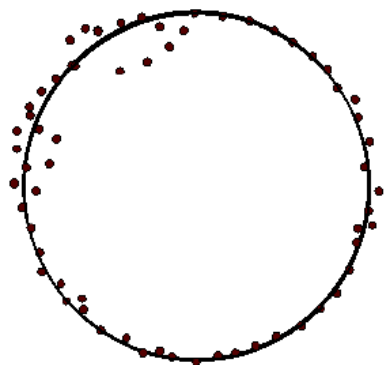
(c)



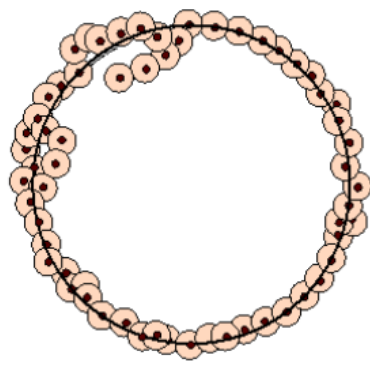
(d)

- ▶ Which scale to take?
- ▶ No single good scale!

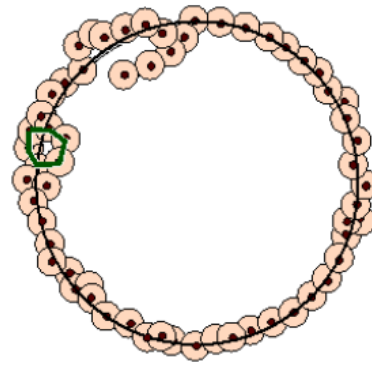
Issue of Scale



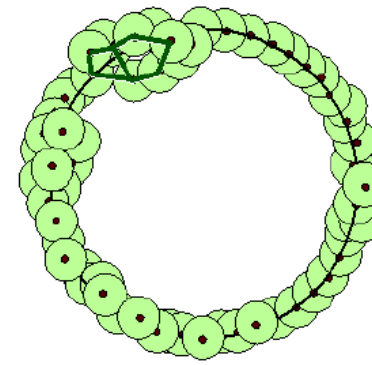
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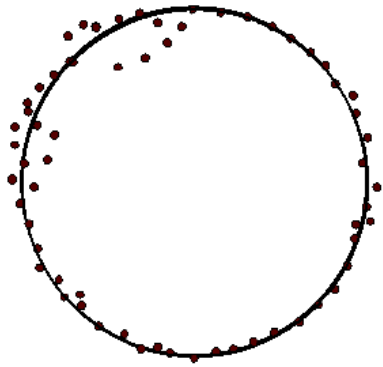
(c)



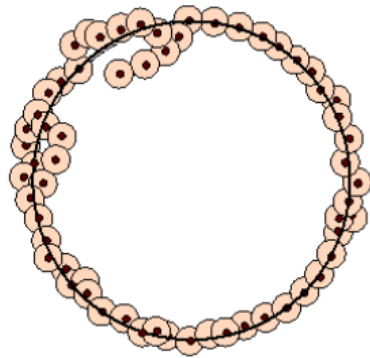
(d)

- ▶ Which scale to take?
- ▶ No single good scale!
- ▶ All scales?

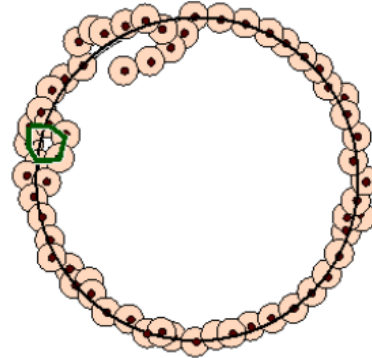
Issue of Scale



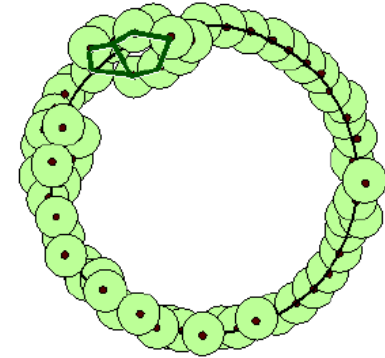
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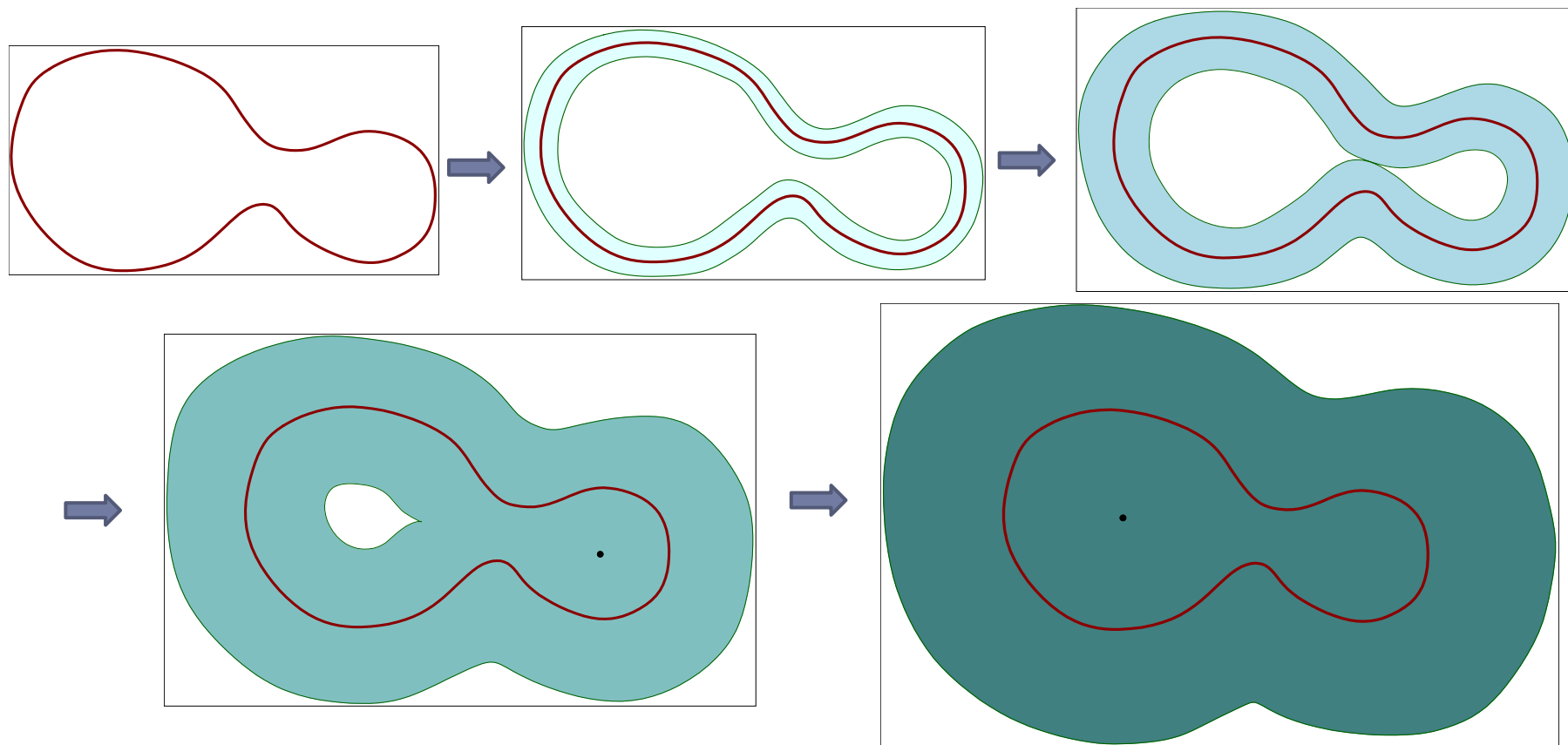
(c)



(d)

- ▶ Which scale to take?
- ▶ No single good scale!
- ▶ All scales?
- ▶ Some ``features'' persists longer than others

Another Example

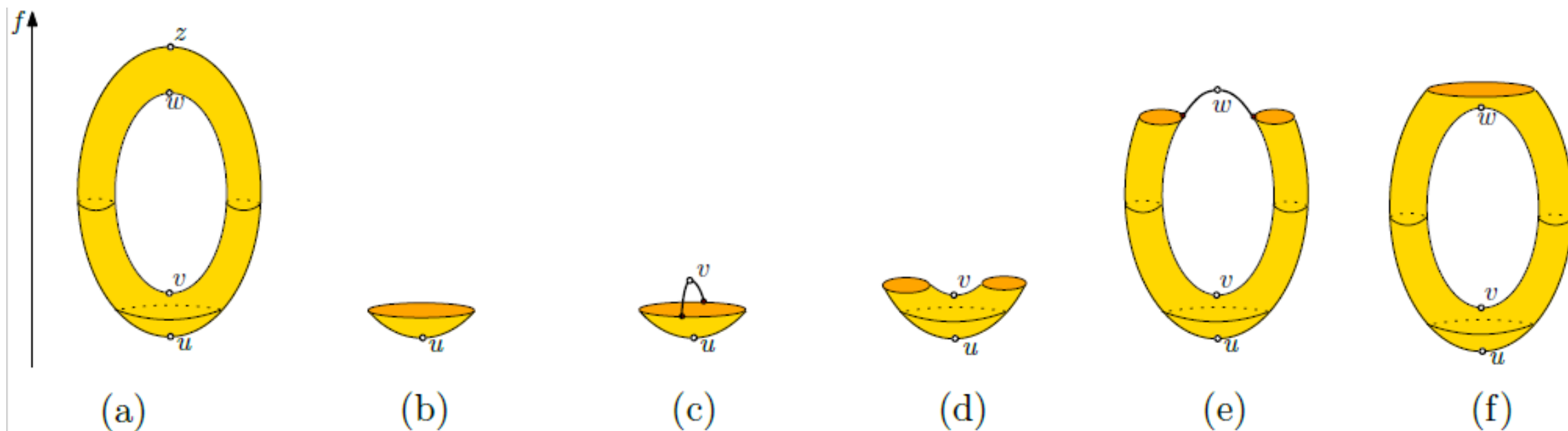


- ▶ Want to capture features of different “sizes”

Another Example

- ▶ Want to capture homotopy type of the underlying space

Another Example



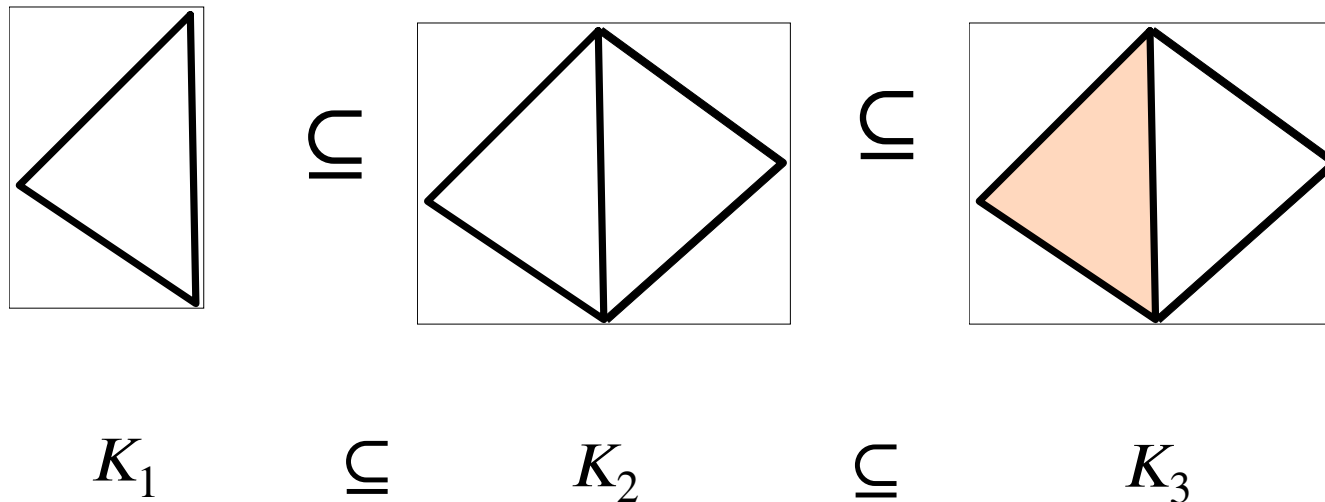
- Want to capture homotopy type of the underlying space

Filtration

- ▶ Inclusion map: $K \subseteq K'$ ($\iota: K \hookrightarrow K'$)
- ▶ A filtration
 - ▶ Given an index set $I \subseteq \mathbb{R}$
 - ▶ A sequence of simplicial complexes $(K_t)_{t \in I}$ is called a **filtered simplicial complex (or a filtration)** if $K_t \subseteq K_{t'}$ for $t < t'$

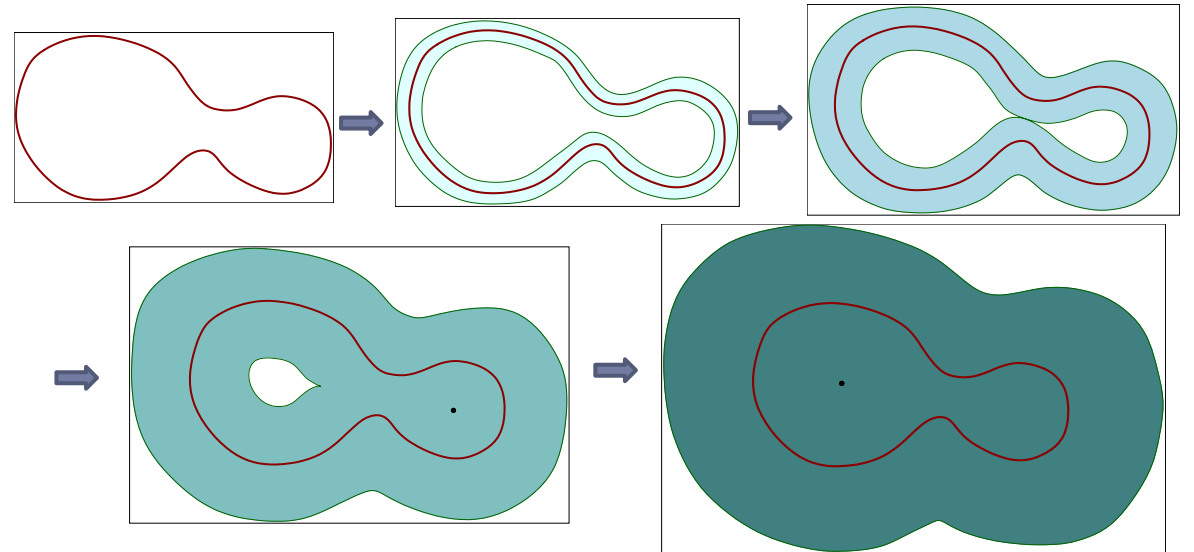
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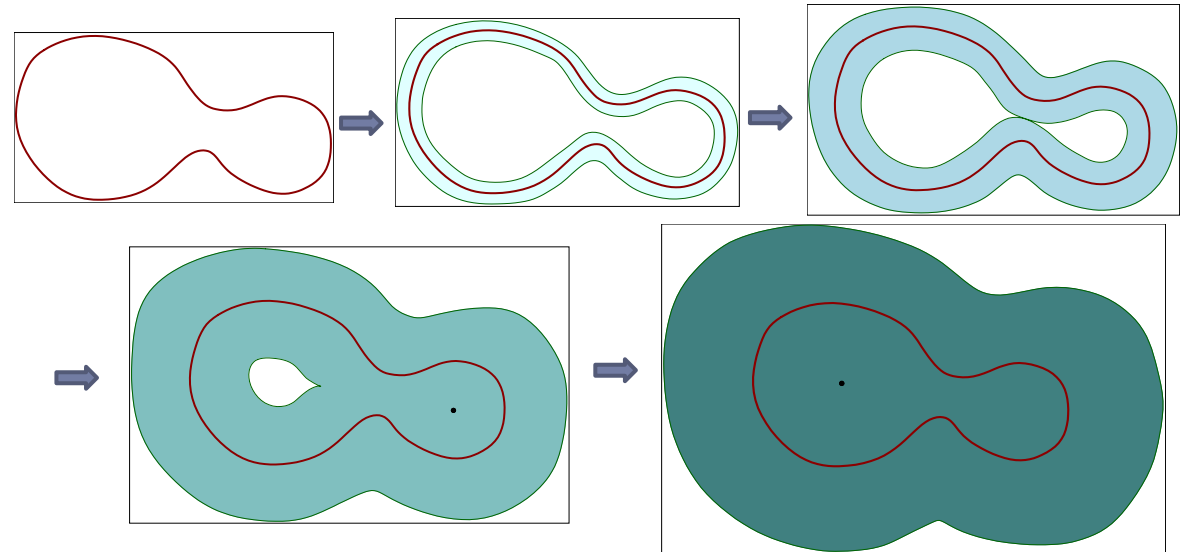
Filtration - variations

- ▶ Different index sets
 - ▶ $I = [0, \infty)$
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 - ▶ $I = \{0, 1, \dots, n\}$
 - ▶ $(K_{t_i})_i \rightarrow (K_i)_i$ by $K_i = K_{t_i}$
- ▶ Filtration of topological spaces



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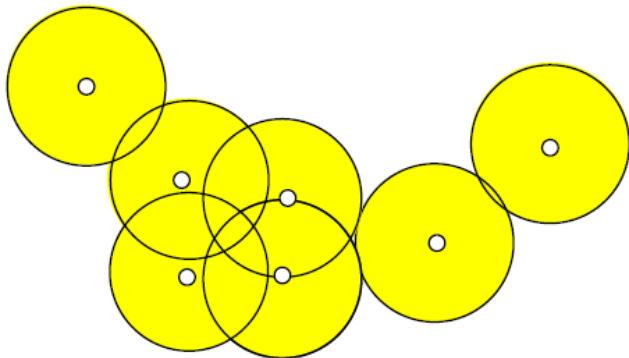
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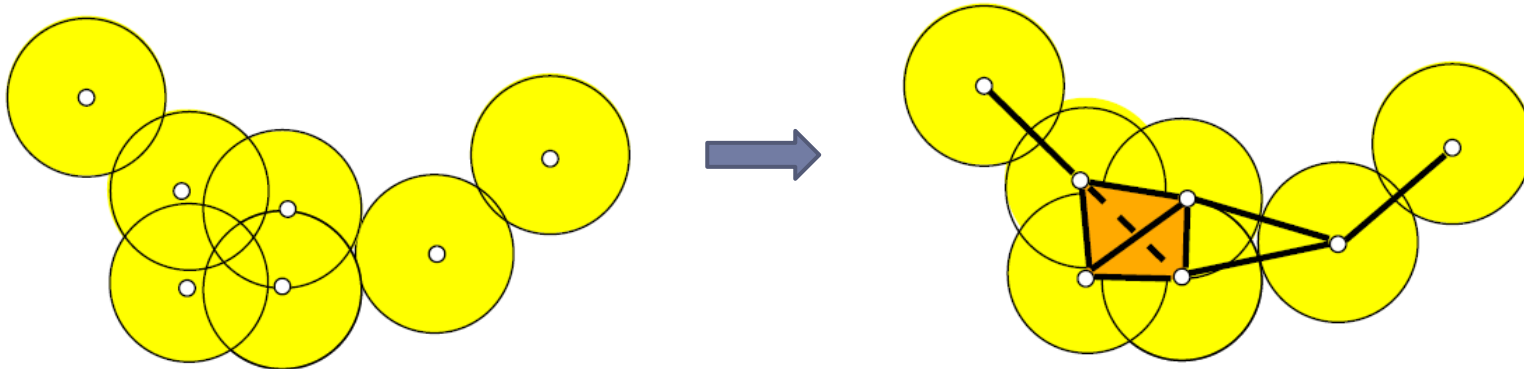
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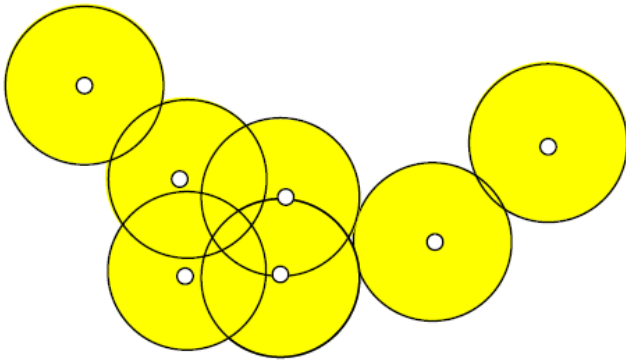
- ▶ Given a set of points $P = \{p_1, \dots, p_n\} \subset \mathbb{R}^d$
- ▶ $(C^r(P))_{r \geq 0}$ is called the Čech filtration

Vietoris-Rips (Rips) Complex

- ▶ Given a set of points $P = \{p_1, \dots, p_n\} \subset \mathbb{R}^d$
- ▶ Given a real value $r > 0$, the *Vietoris-Rips (Rips) complex* $Rips^r(P)$ is:
 - ▶ $\left\{ (p_{i_0}, \dots, p_{i_k}) \mid B(p_{i_l}, r) \cap B(p_{i_j}, r) \neq \emptyset, \forall l, j = 0, \dots, k \right\}.$
- ▶ More generally for P in a metric space (X, d) :
 - ▶ $Rips^r(P) = \left\{ (p_{i_0}, \dots, p_{i_k}) \mid d(p_{i_l}, p_{i_j}) \leq 2r, \forall l, j = 0, \dots, k \right\}.$

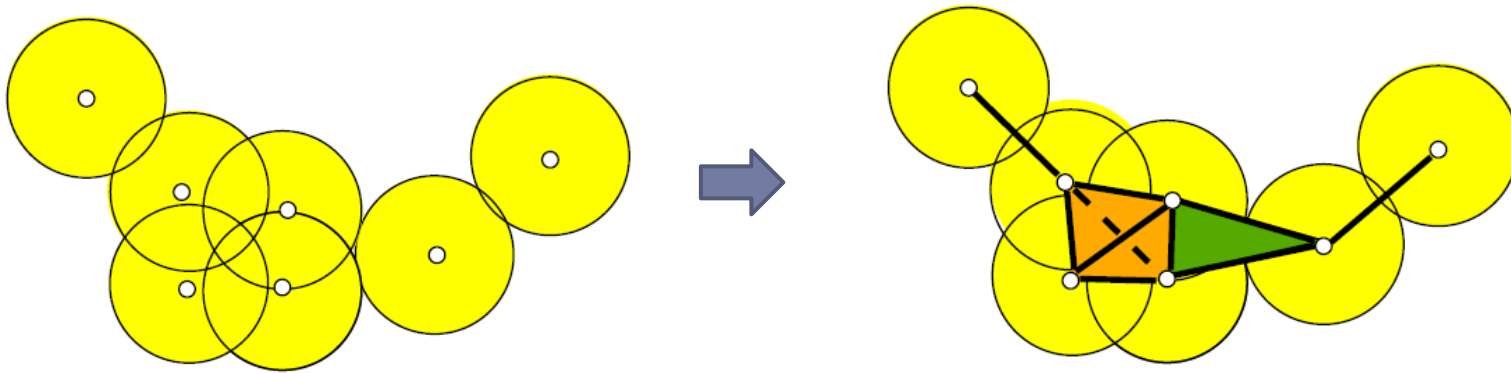
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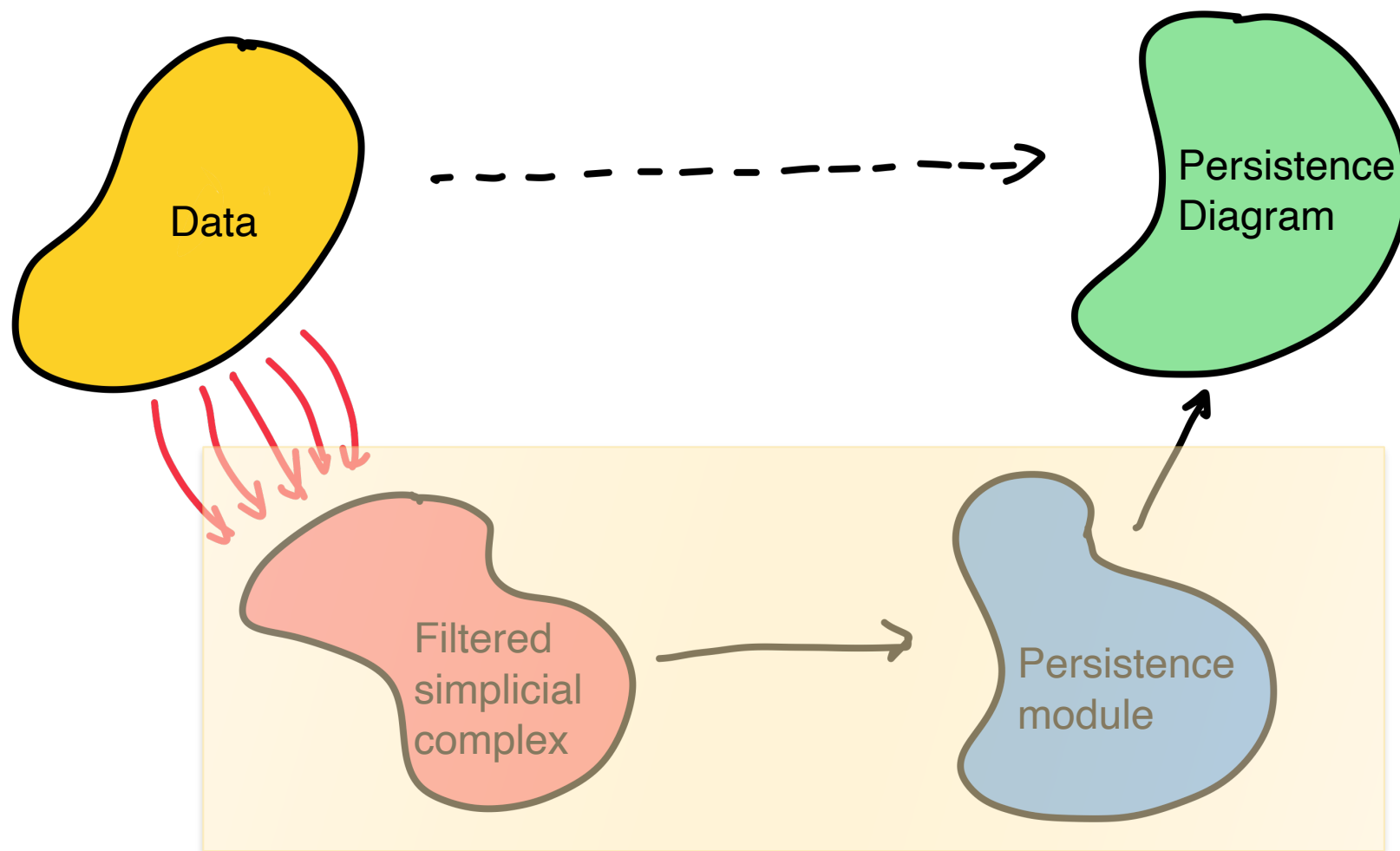
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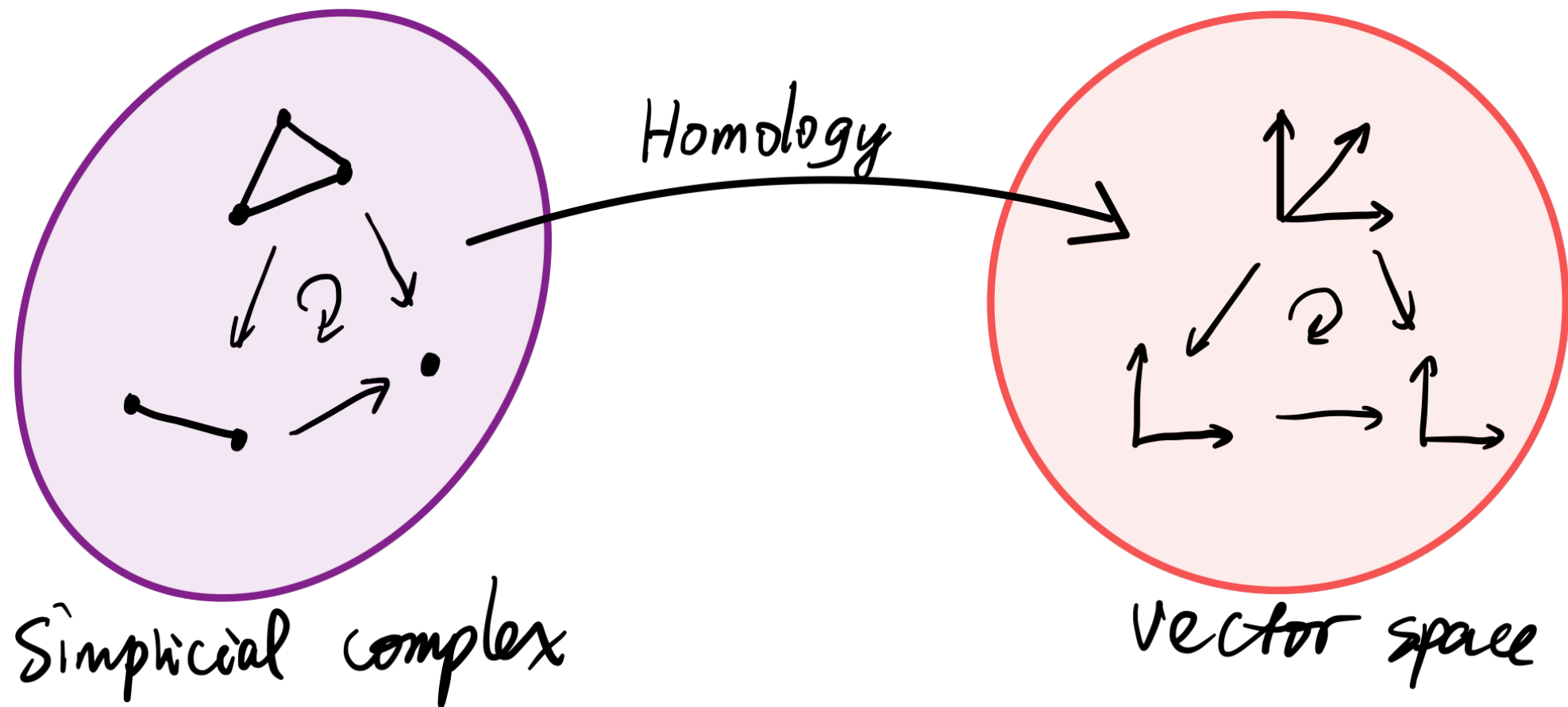
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- ▶ So $(K_t)_{t \in [0, \infty)}$ is essentially the same as (or can be reconstructed from)
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- ▶ Both Čech and Rips filtrations are finitely represented

Persistence modules



Mind picture of functoriality

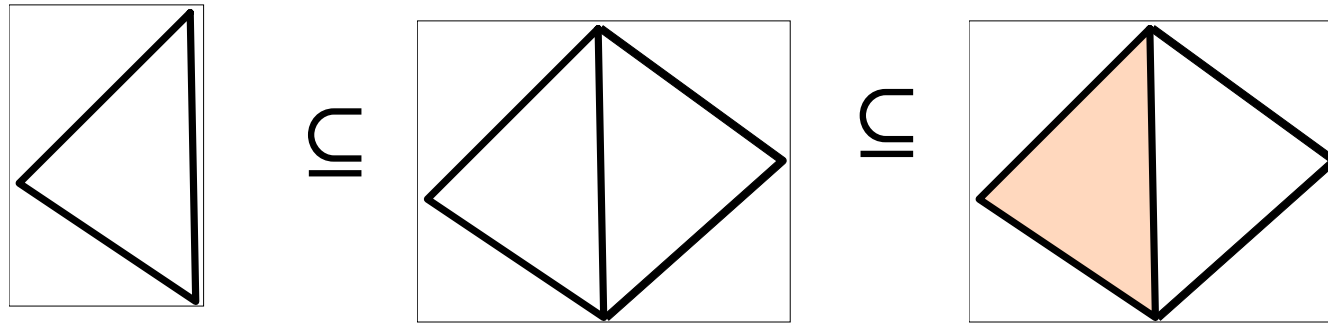


Persistence Modules

- ▶ $K \subseteq K' \Rightarrow \xi_p : H_p(K) \rightarrow H_p(K')$
 - ▶ Inclusion maps induce homomorphisms in homology groups (under Z_2 -coefficients, linear maps in vector spaces)

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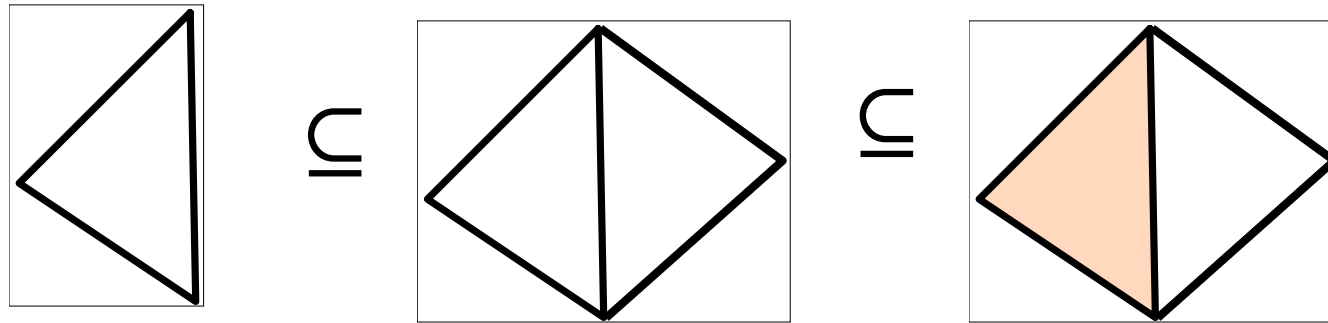


$$K_1 \subseteq K_2 \subseteq K_3$$

$$H_1(K_1) \rightarrow H_1(K_2) \rightarrow H_1(K_3)$$

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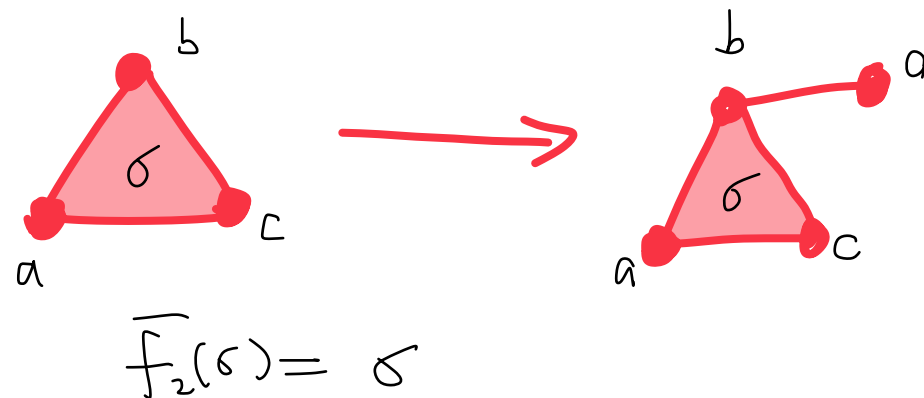
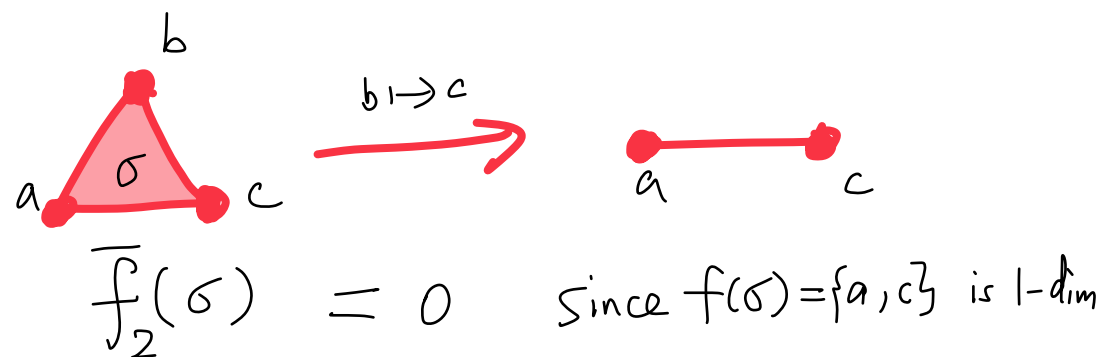
$$\begin{array}{ccccccc}
 K_1 & \subseteq & K_2 & \subseteq & K_3 \\
 H_1(K_1) & \rightarrow & H_1(K_2) & \rightarrow & H_1(K_3) \\
 [c] & \mapsto & [c] & \mapsto & 0
 \end{array}$$

Construction of f_p

- Define $\bar{f}_p : C_p(K) \rightarrow C_p(K')$
- $\bar{f}_p(\sigma) = \begin{cases} f(\sigma) & \text{if } f(\sigma) \text{ is } p\text{-dimensional} \\ 0 & \text{otherwise} \end{cases}$

- Define $f_p : H_p(K) \rightarrow H_p(K')$

- $f_p([c]) := [\bar{f}_p(c)]$



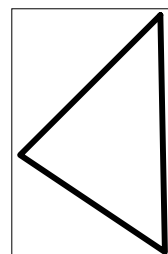
Understanding $H_p(K) \rightarrow H_p(K')$

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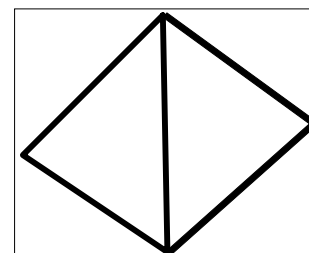
► $\bar{f}_p(\sigma) = f(\sigma)$

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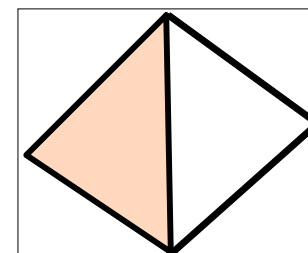
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\subseteq



\subseteq



K_1

\subseteq

K_2

\subseteq

K_3

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\rightarrow

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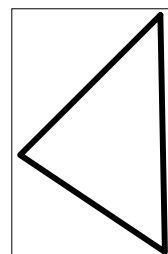
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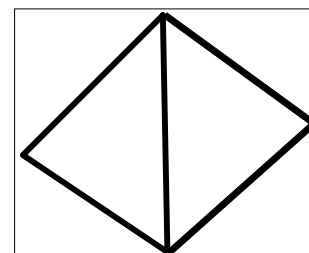
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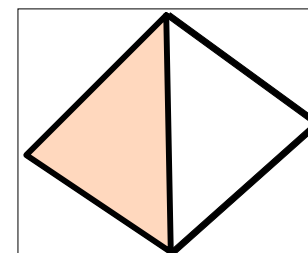
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K_2

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$H_1(K_1)$

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$[c]$

\mapsto

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$$\begin{aligned} K_0 &\subseteq K_1 \subseteq \dots \subseteq K_n = K \\ \Rightarrow H_*(K_0) &\rightarrow H_*(K_1) \rightarrow \dots \rightarrow H_*(K_n) = H_*(K) \end{aligned}$$

Persistence Modules

- ▶ $K \subseteq K' \Rightarrow \xi_p : H_p(K) \rightarrow H_p(K')$
 - ▶ Inclusion maps induce homomorphisms in homology groups (under Z_2 -coefficients, linear maps in vector spaces)

$$\begin{aligned} K_0 \subseteq K_1 \subseteq \dots \subseteq K_n = K \\ \Rightarrow H_*(K_0) \rightarrow H_*(K_1) \rightarrow \dots \rightarrow H_*(K_n) = H_*(K) \end{aligned}$$

- ▶ Define $\xi_*^{i,j} : H_*(K_i) \rightarrow H_*(K_j)$
 - ▶ $\xi_*^{i,j} = \xi_*^{j-1,j} \circ \dots \circ \xi_*^{i,i+1}$
- ▶ **Persistent module** induced by the filtration
 - ▶ $\mathcal{P} = \left\{ H_*(K_i) \xrightarrow{\xi_*^{i,j}} H_*(K_j) \right\}_{0 \leq i \leq j \leq n}$

Persistence Vector Spaces

- ▶ A **persistence vector space** V over a field \mathbb{F} is
 - ▶ a sequence of vector spaces $\{V_i\}_{i=0,\dots,n}$
 - ▶ Together with maps $L_{i,j} : V_i \rightarrow V_j$ for $i \leq j$ such that
 - ▶ $L_{i,j} = Id_{V_i}$
 - ▶ For $i \leq j \leq k$, $L_{i,k} = L_{j,k} \circ L_{i,j}$
 - ▶ Write $V = \{L_{i,j} : V_i \rightarrow V_j\}$ or simply $V = \{V_i\}$

Persistence Vector Spaces

- ▶ Let $\{V_i\}$ and $\{W_i\}$ be two persistence vector spaces
- ▶ a sequence of linear maps $\{\varphi_i : V_i \rightarrow W_i\}_{i=0,\dots,n}$ is called a **linear transformation** from $\{V_i\}$ to $\{W_i\}$ if for any $i \leq j$

$$\begin{array}{ccc} V_i & \xrightarrow{L_{i,j}^V} & V_j \\ \varphi_i \downarrow & & \downarrow \varphi_j \\ W_i & \xrightarrow{L_{i,j}^W} & W_j \end{array}$$

Persistence Vector Spaces

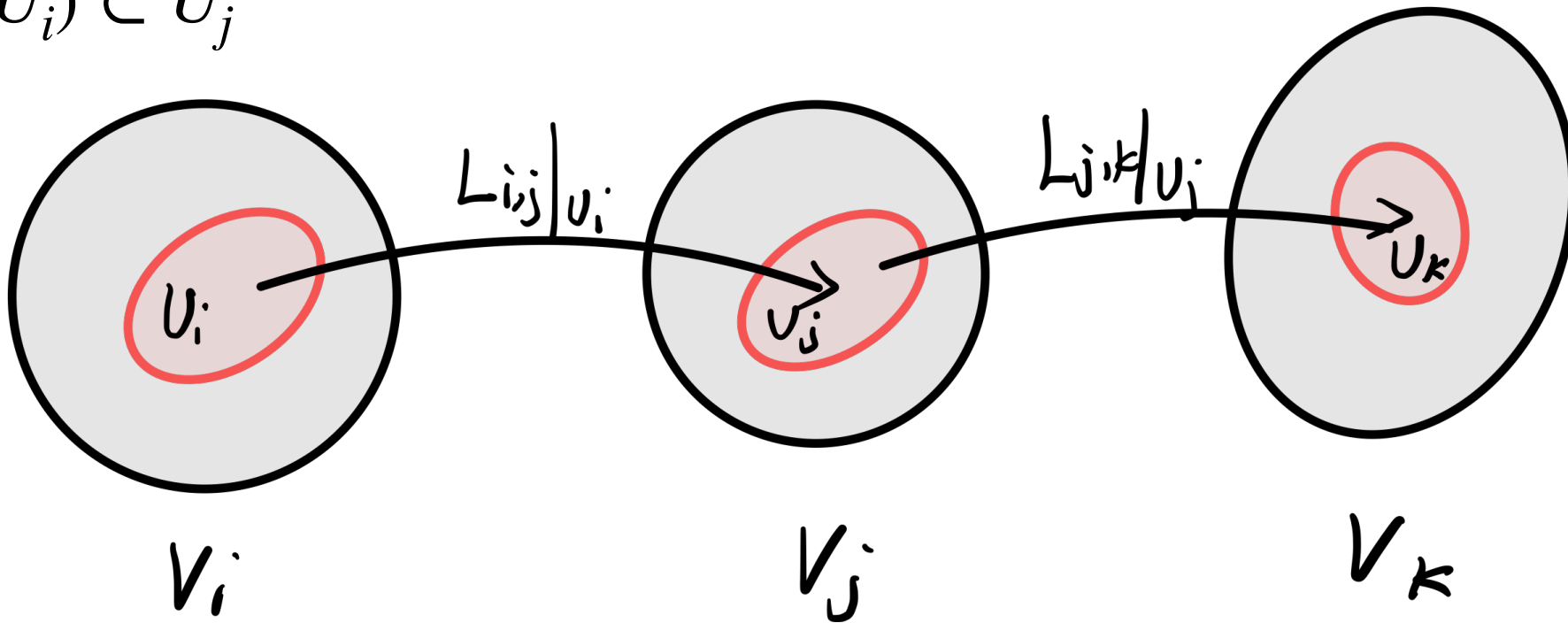
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$$\begin{array}{ccc} V_i & \xrightarrow{L_{i,j}^V} & V_j \\ \varphi_i \downarrow & & \downarrow \varphi_j \\ W_i & \xrightarrow{L_{i,j}^W} & W_j \end{array}$$

- ▶ φ is called an isomorphism if each φ_i is an isomorphism

Persistence Vector Spaces

- ▶ A **sub-persistence vector space** is a collection $U = \{U_i \subset V_i\}$ such that
- ▶ $L_{i,j}(U_i) \subset U_j$

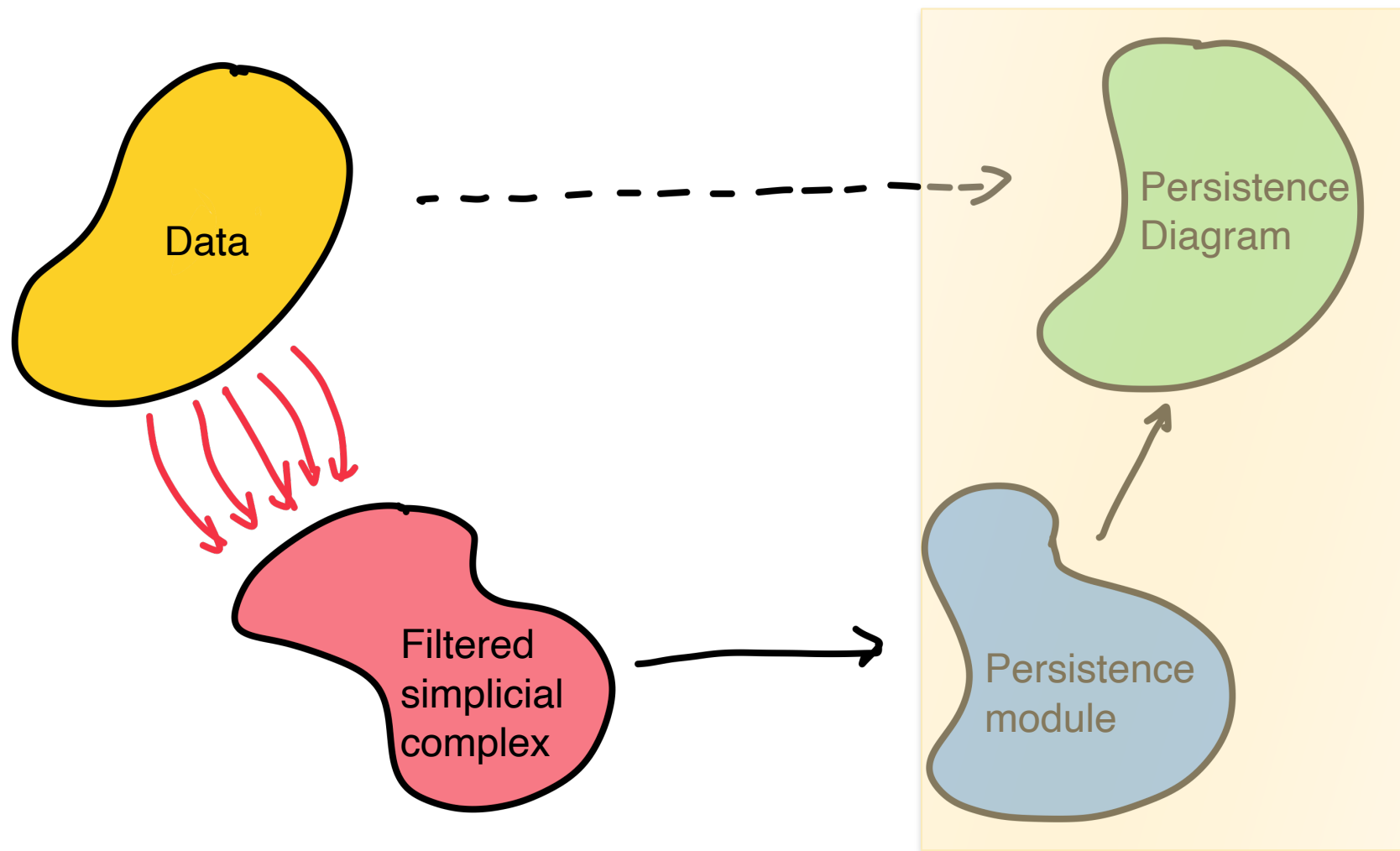


Persistence Vector Spaces

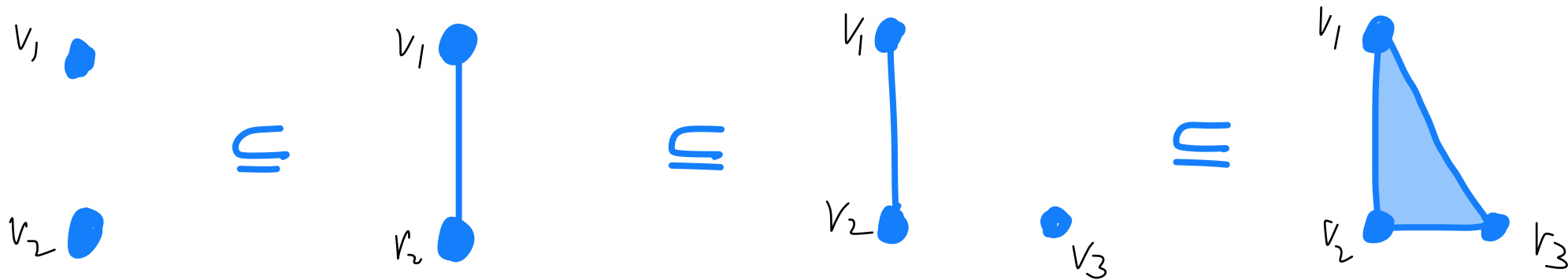
- ▶ Let $\{V_i\}$ and $\{W_i\}$ be two persistence vector spaces
- ▶ The **direct sum** $V \oplus W$ is the collection $\{V_i \oplus W_i\}$ with maps
- ▶ $L_{i,j}^{V \oplus W} = L_{i,j}^V \oplus L_{i,j}^W$ defined by $L_{i,j}^{V \oplus W}(v, w) = (L_{i,j}^V(v), L_{i,j}^W(w))$

- ▶ Dimension and basis are the most important objects of a vector space
- ▶ What are “dimension” and “basis” for a persistence vector space?

Persistence Diagram



Persistent Module Example



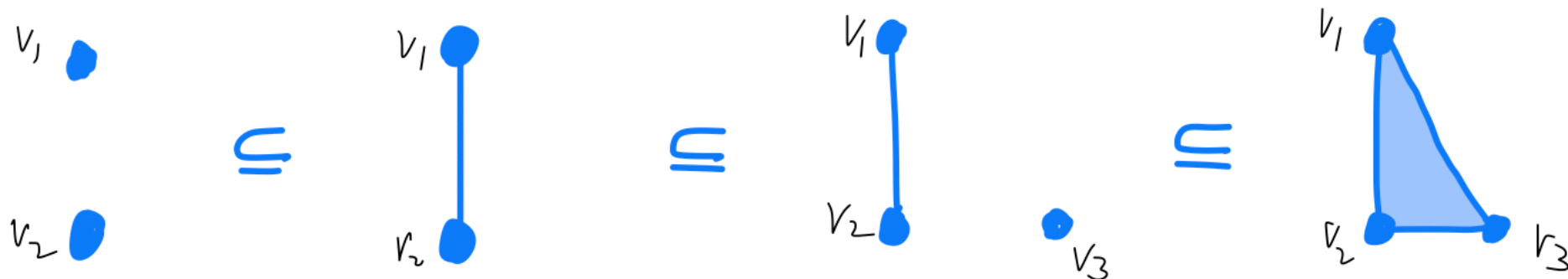
$$V_0 = \langle [v_1], [v_2] \rangle \xrightarrow{\zeta^{0,1}} V_1 = \langle [v_1] \rangle \xrightarrow{\zeta^{1,2}} V_2 = \langle [v_1], [v_3] \rangle \xrightarrow{\zeta^{2,3}} V_3 = \langle [v_1] \rangle$$

$$\zeta^{0,1} = \begin{pmatrix} [v_1] & [v_2] \\ 1 & 1 \end{pmatrix} [v_1]$$

$$\zeta^{1,2} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{matrix} [v_1] \\ [v_3] \end{matrix}$$

$$\zeta^{2,3} = \begin{bmatrix} [v_1] & [v_3] \\ 1 & 1 \end{bmatrix} [v_1]$$

$$\begin{array}{ccccccc} \langle [v_2] \rangle & \longrightarrow & \langle [v_1] \rangle & \longrightarrow & \langle [v_1] \rangle & \longrightarrow & \langle [v_1] \rangle \\ \langle [v_2] - [v_1] \rangle & \longrightarrow & 0 & \longrightarrow & 0 & \longrightarrow & 0 \\ 0 & \longrightarrow & 0 & \xrightarrow{v} & \langle [v_3] - [v_1] \rangle & \longrightarrow & 0 \end{array}$$



$$\langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle$$

$$\cong$$

$$\mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F}$$

$$\langle [v_1] - [v_2] \rangle \rightarrow 0 \rightarrow 0 \rightarrow 0$$

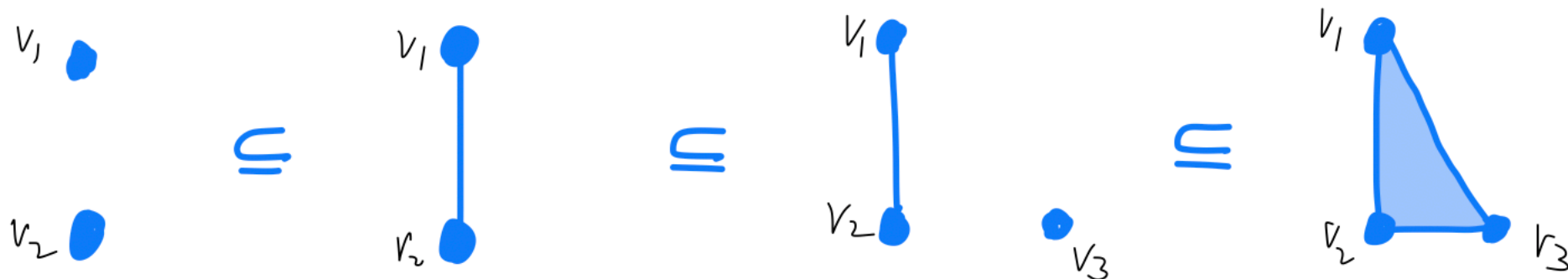
$$\cong$$

$$\mathbb{F} \rightarrow 0 \rightarrow 0 \rightarrow 0$$

$$0 \rightarrow 0 \rightarrow \langle [v_3] - [v_1] \rangle \rightarrow 0$$

$$\cong$$

$$0 \rightarrow 0 \rightarrow \mathbb{F} \rightarrow 0$$



$$\langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \quad \langle [v_1] - [v_2] \rangle \rightarrow 0 \rightarrow 0 \rightarrow 0 \quad 0 \rightarrow 0 \rightarrow \langle [v_3] - [v_1] \rangle \rightarrow 0$$

$$\cong$$

$$\mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F}$$

$$\cong$$

$$\mathbb{F} \rightarrow 0 \rightarrow 0 \rightarrow 0$$

$$\cong$$

$$0 \rightarrow 0 \rightarrow \mathbb{F} \rightarrow 0$$

$$V_0 \rightarrow V_1 \rightarrow V_2 \rightarrow V_3 \cong \begin{array}{l} \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \\ \oplus \mathbb{F} \rightarrow 0 \rightarrow 0 \rightarrow 0 \\ \oplus 0 \rightarrow 0 \rightarrow \mathbb{F} \rightarrow 0 \end{array}$$

Interval persistence vector spaces

- ▶ Given the index set $I = \{0, \dots, n\}$
- ▶ Let $0 \leq b < d \leq n + 1$, the **interval persistence vector space**, denoted by $I[b, d)$ is defined as

$$I[b, d) = 0 \rightarrow \dots \rightarrow 0 \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \dots \rightarrow \mathbb{F} \rightarrow 0 \rightarrow \dots \rightarrow 0$$



b th position



$d - 1$ th position

- ▶ $I[b, n + 1) = 0 \rightarrow \dots \rightarrow 0 \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \dots \rightarrow \mathbb{F}$ is often written as $I[b, \infty)$

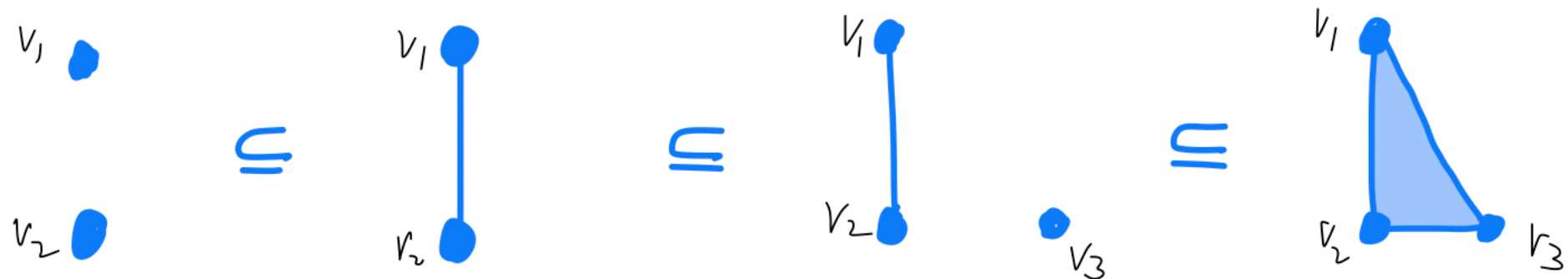
Decomposition Theorem

- ▶ Let $V = \{V_i\}_{i=0}^n$ be any persistence vector space. Then, there exist a collection of $0 \leq b_j < d_j \leq n + 1, j = 1, \dots, M$ such that
- ▶ $V \cong I[b_1, d_1) \oplus I[b_2, d_2) \oplus \dots \oplus I[b_M, d_M)$
- ▶ The composition is unique up to reordering the summands.

Persistence Diagram and Barcodes

- ▶ $V \cong I[b_1, d_1) \oplus I[b_2, d_2) \oplus \cdots \oplus I[b_M, d_M)$
- ▶ Each (b_j, d_j) is called a **persistence pairing**
- ▶ The multiset $D = \{(b_j, d_j)\}_{j=1, \dots, M} \subseteq \mathbb{R}^2$ is called the **persistence diagram** of V
- ▶ The collection of intervals $\{[b_j, d_j)\}_{j=1, \dots, M}$ is called the **barcode** of V

Example



$$\langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle$$

$$\cong$$

$$\mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F}$$

$$\langle [v_1 - v_2] \rangle \rightarrow 0 \rightarrow 0 \rightarrow 0$$

$$\cong$$

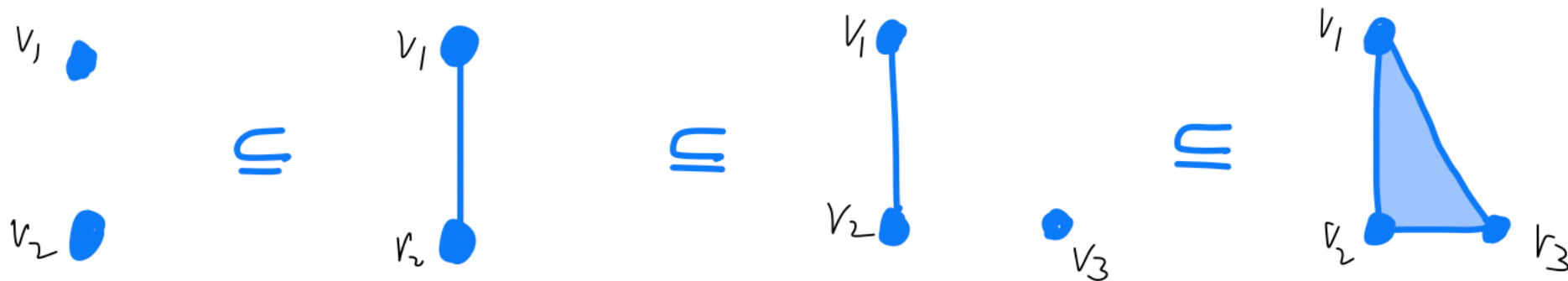
$$\mathbb{F} \rightarrow 0 \rightarrow 0 \rightarrow 0$$

$$0 \rightarrow 0 \rightarrow \langle [v_3] - [v_1] \rangle \rightarrow 0$$

$$\cong$$

$$0 \rightarrow 0 \rightarrow \mathbb{F} \rightarrow 0$$

Example



$$\langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle \rightarrow \langle [v_1] \rangle$$

$$\langle [v_1 - v_2] \rangle \rightarrow 0 \rightarrow 0 \rightarrow 0$$

$$0 \rightarrow 0 \rightarrow \langle [v_3] - [v_1] \rangle \rightarrow 0$$

$$\cong$$

$$\cong$$

$$\cong$$

$$\mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F}$$

$$\mathbb{F} \rightarrow 0 \rightarrow 0 \rightarrow 0$$

$$0 \rightarrow 0 \rightarrow \mathbb{F} \rightarrow 0$$

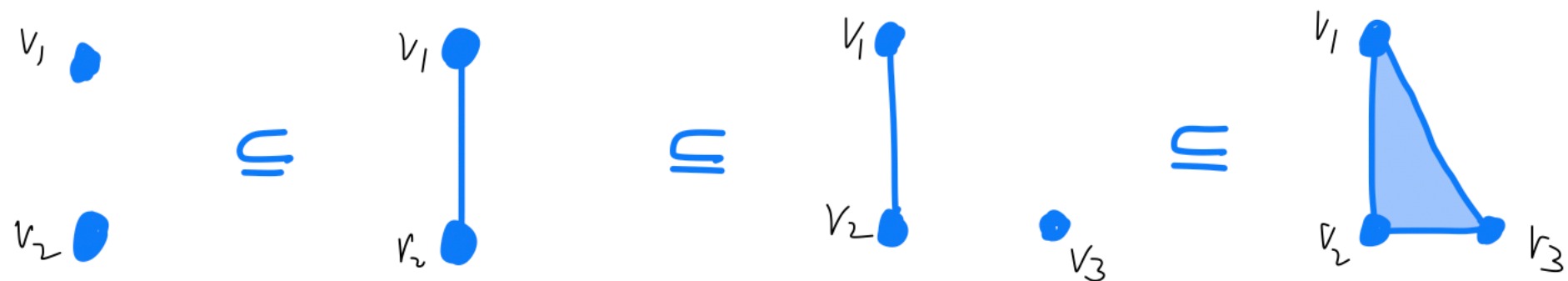
$$V_0 \rightarrow V_1 \rightarrow V_2 \rightarrow V_3 \cong$$

$$\mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F} \rightarrow \mathbb{F}$$

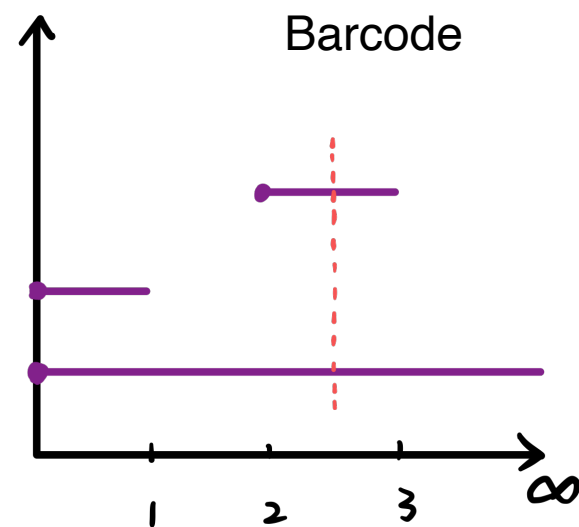
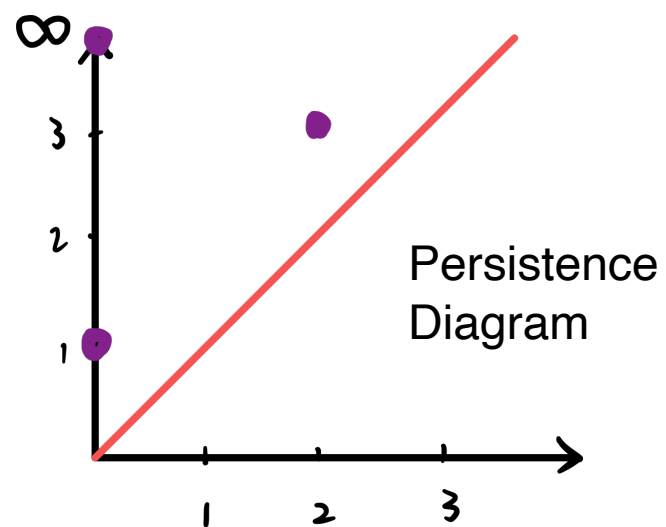
$$\oplus \mathbb{F} \rightarrow 0 \rightarrow 0 \rightarrow 0$$

$$\oplus 0 \rightarrow 0 \rightarrow \mathbb{F} \rightarrow 0$$

Example

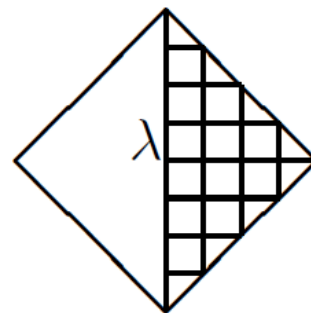
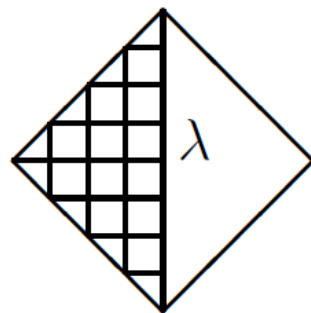
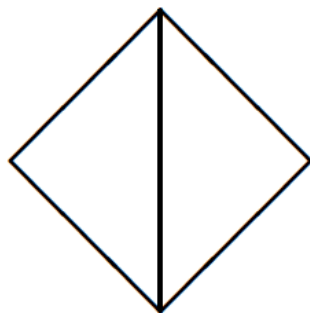
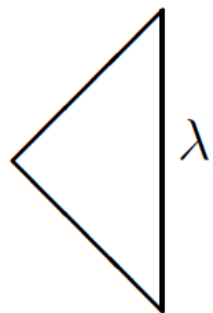


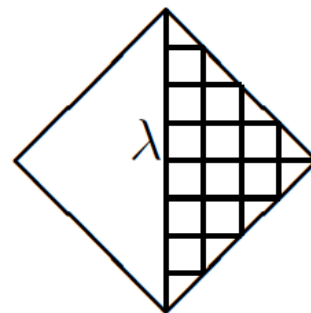
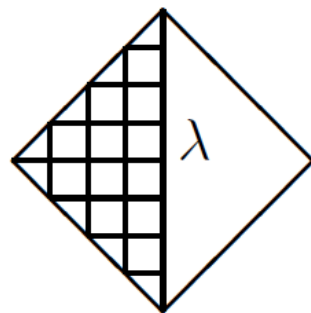
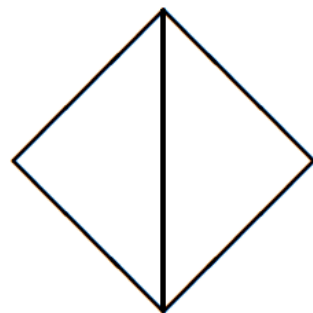
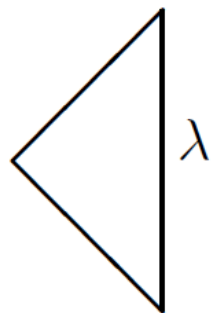
$$V_0 \rightarrow V_1 \rightarrow V_2 \rightarrow V_3 \cong I[0, \infty) \oplus I[0, 1) \oplus I[2, 3)$$



Persistence Diagram and Barcodes for filtrations

- ▶ Let $V = \{V_i = H_p(K_i)\}_{i=0}^n$ be the p -dim persistence module for the filtered simplicial complex $K = \{K_i\}$
- ▶ Assume that $V \cong I[b_1, d_1) \oplus I[b_2, d_2) \oplus \cdots \oplus I[b_M, d_M)$
- ▶ The multiset $Dgm_p(K) = \{(b_j, d_j)\}_{j=1, \dots, M} \subseteq \mathbb{R}^2$ is called the degree p **persistence diagram** of K
- ▶ $\mu_p^{b,d}$ denotes the multiplicity of (b, d) : it denotes the number of independent homology classes **created** at K_b and **died** entering K_d

K_0 K_1 K_2 K_3 K'_3 \emptyset 

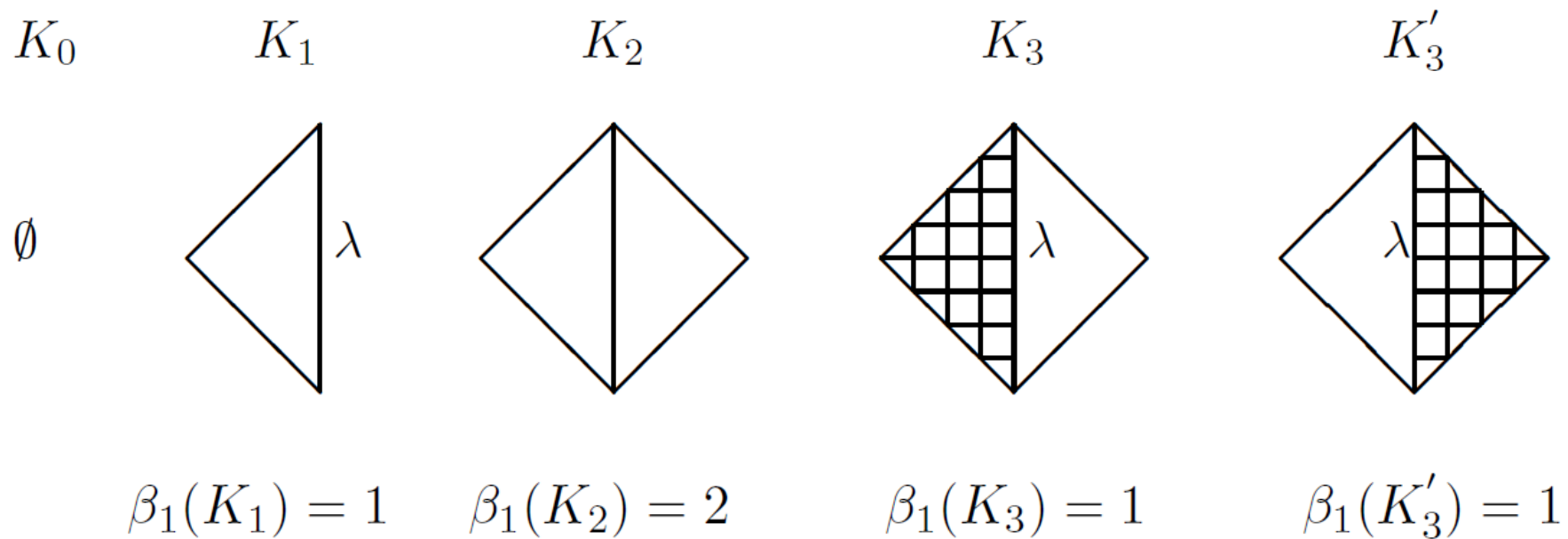
K_0 K_1 K_2 K_3 K'_3 \emptyset 

$$\beta_1(K_1) = 1$$

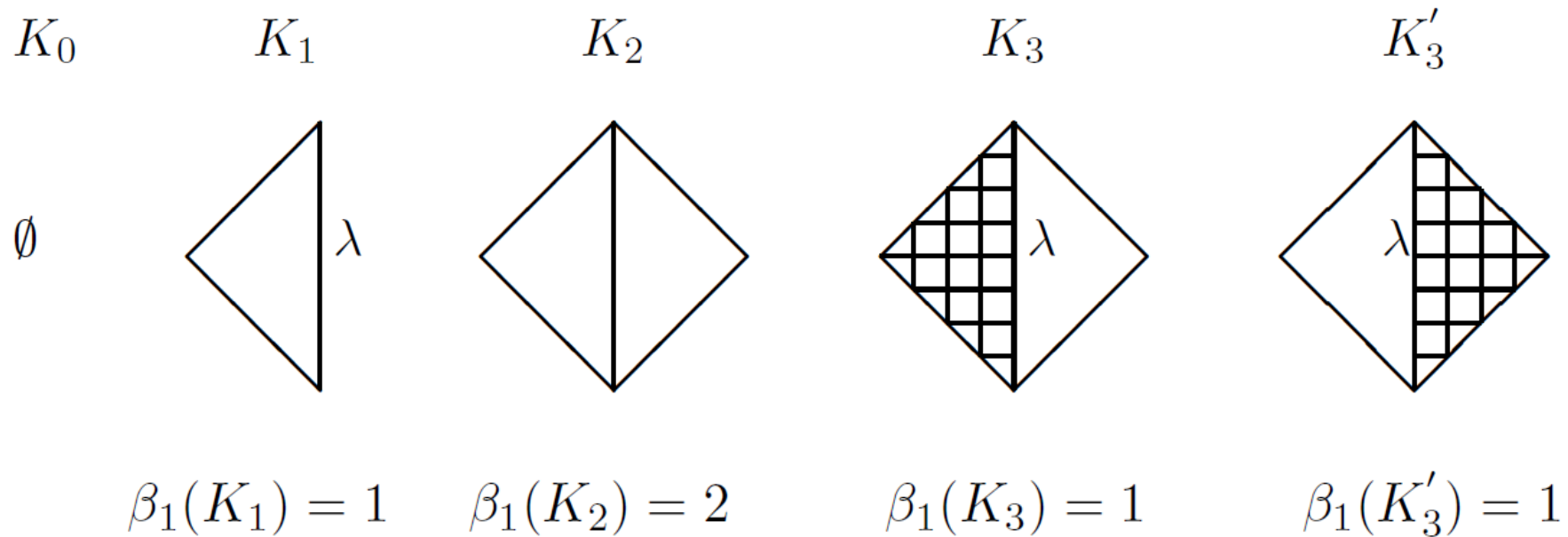
$$\beta_1(K_2) = 2$$

$$\beta_1(K_3) = 1$$

$$\beta_1(K'_3) = 1$$

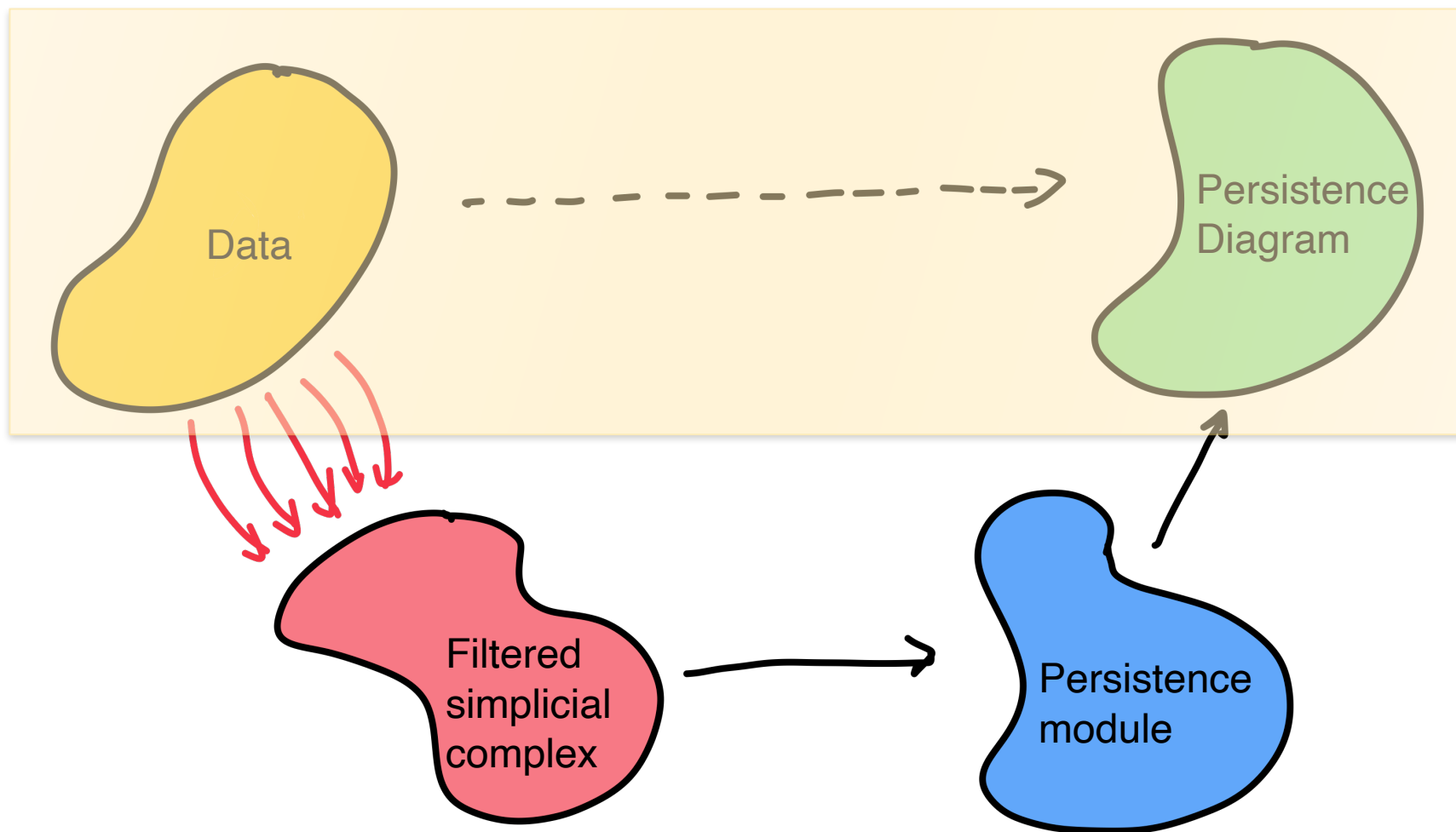


► For $K_0 \subset K_1 \subset K_2 \subset K_3$, what is $\mu_1^{1,3}$? $\mu_1^{1,2}$?



- ▶ For $K_0 \subset K_1 \subset K_2 \subset K_3$, what is $\mu_1^{1,3}$? $\mu_1^{1,2}$?
- ▶ How about for the filtration $K_0 \subset K_1 \subset K_2 \subset K'_3$?

TDA in a nutshell



Möbius Inversion - counting the number of persistence pairings

Persistence Modules

- ▶ $K \subseteq K' \Rightarrow \xi_p : H_p(K) \rightarrow H_p(K')$
 - ▶ Inclusion maps induce homomorphisms in homology groups (under \mathbb{Z}_2 -coefficients, linear maps in vector spaces)

$$\begin{aligned} K_0 \subseteq K_1 \subseteq \dots \subseteq K_n = K \\ \Rightarrow H_*(K_0) \rightarrow H_*(K_1) \rightarrow \dots \rightarrow H_*(K_n) = H_*(K) \end{aligned}$$

- ▶ Define $\xi_*^{i,j} : H_*(K_i) \rightarrow H_*(K_j)$
 - ▶ $\xi_*^{i,j} = \xi_*^{j-1,j} \circ \dots \circ \xi_*^{i,i+1}$
- ▶ **Persistent module** induced by the filtration
 - ▶ $\mathcal{P} = \left\{ H_*(K_i) \xrightarrow{\xi_*^{i,j}} H_*(K_j) \right\}_{0 \leq i \leq j \leq n}$

Persistent Homology

- ▶ p -th **persistent homology group** from i to j :

- ▶ $(H_p(K_j) \supset) H_p^{i,j} = \text{Im}(\xi_p^{i,j})$

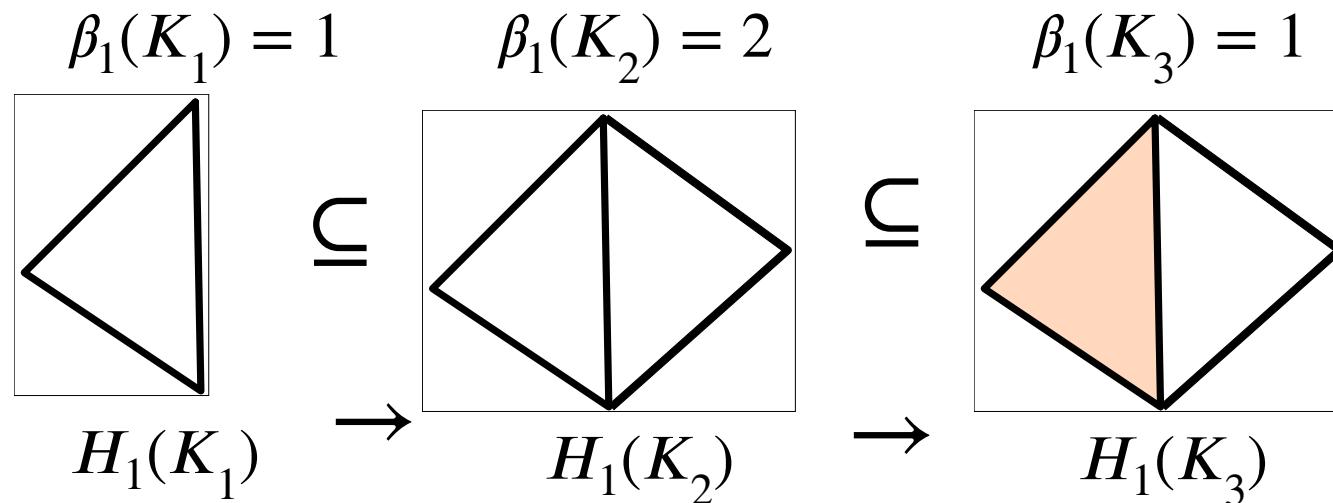
- ▶ Subgroup of $H_p(K_j)$ that “existed” in $H_p(K_i)$

Persistent Homology

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 - ▶ $(H_p(K_j) \supset) H_p^{i,j} = \text{Im}(\xi_p^{i,j} : H_p(K_i) \rightarrow H_p(K_j))$
 - ▶ Subgroup of $H_p(K_j)$ that “**existed**” in $H_p(K_i)$
- ▶ p -th **persistent betti number**: $\beta_p^{i,j} = \dim H_p^{i,j}$
- ▶ $\beta_p^{i,j}$ denotes the number of homology classes existing at both K_i and K_j

Persistent Homology

- ▶ p -th **persistent homology group** from i to j , where $0 \leq i \leq j \leq n$:
 - ▶ $(H_p(K_j) \supset) H_p^{i,j} = \text{Im}(\xi_p^{i,j})$
 - ▶ Subgroup of $H_p(K_j)$ that “existed” in $H_p(K_i)$
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Persistent Homology

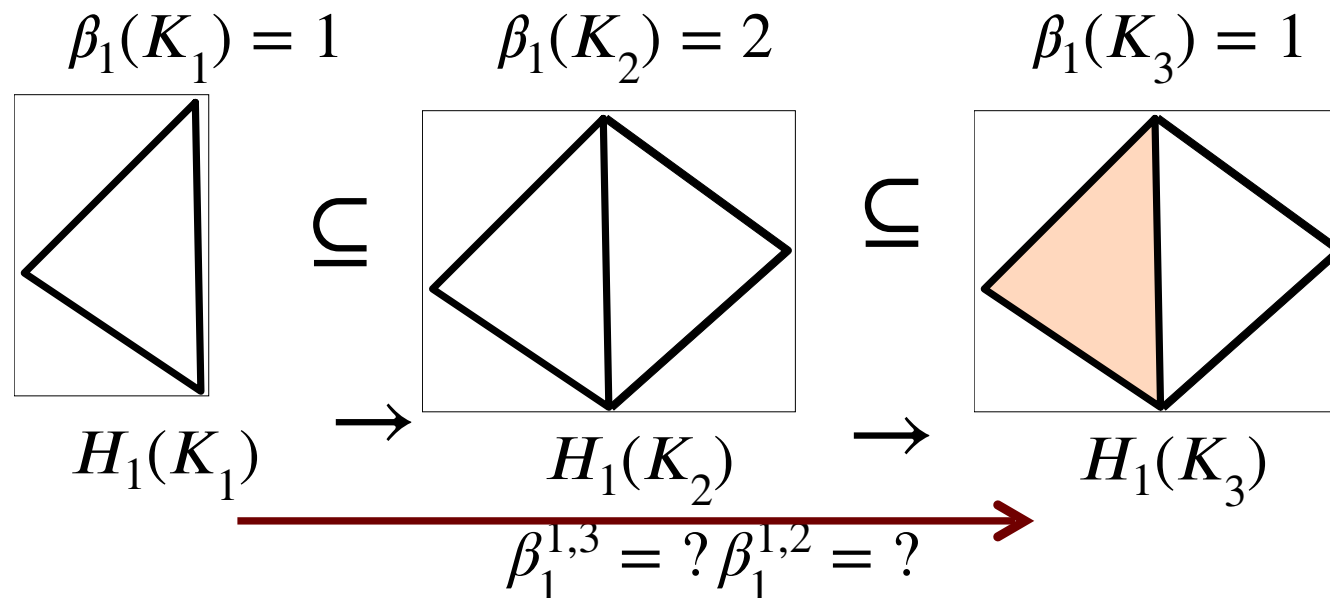
► p -th **persistent homology group** from i to j :

► $(H_p(K_j) \supset) H_p^{i,j} = \text{Im}(\xi_p^{i,j})$

► Subgroup of $H_p(K_j)$ that “existed” in $H_p(K_i)$

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Persistent Homology

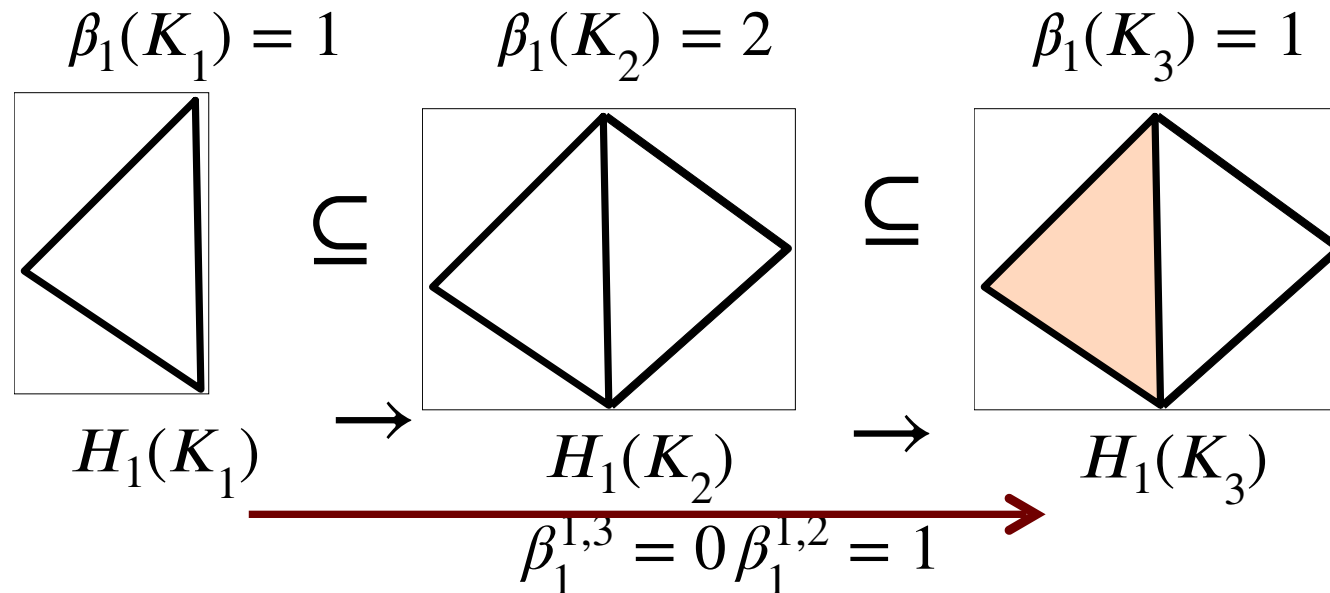
► p -th **persistent homology group** from i to j :

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► Subgroup of $H_p(K_j)$ that “existed” in $H_p(K_i)$

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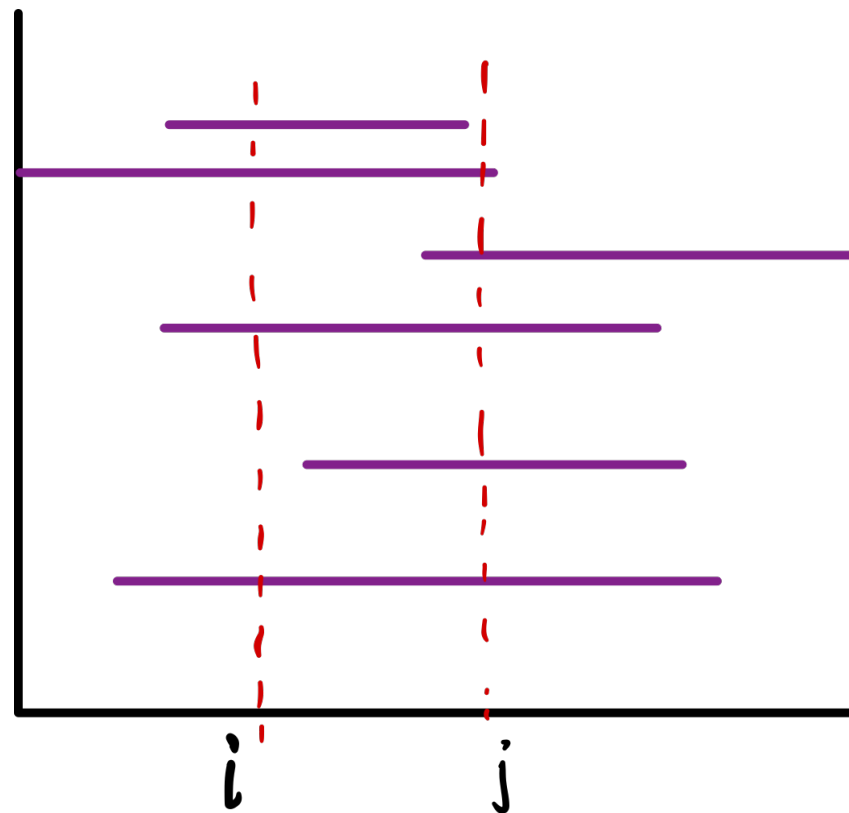


Connection to decomposition theorem

- ▶ Let $V = \{V_i = H_p(K_i)\}_{i=0}^n$ be the p -dim persistence module for the filtered simplicial complex $K = \{K_i\}$
- ▶ Assume that $V \cong I[b_1, d_1) \oplus I[b_2, d_2) \oplus \cdots \oplus I[b_M, d_M)$
- ▶ $\mu^{b,d} :=$ number of intervals $I[b, d)$

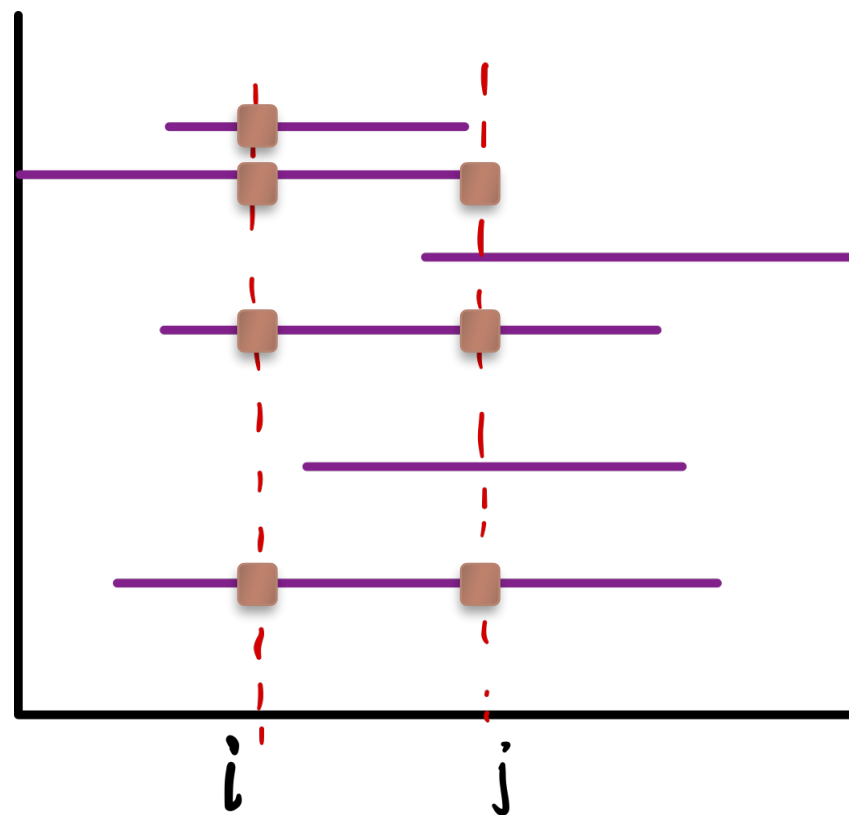
Persistence Betti Number vs Barcode

$$\beta_p^{i,j} = \dim H_p^{i,j}$$



Persistence Betti Number vs Barcode

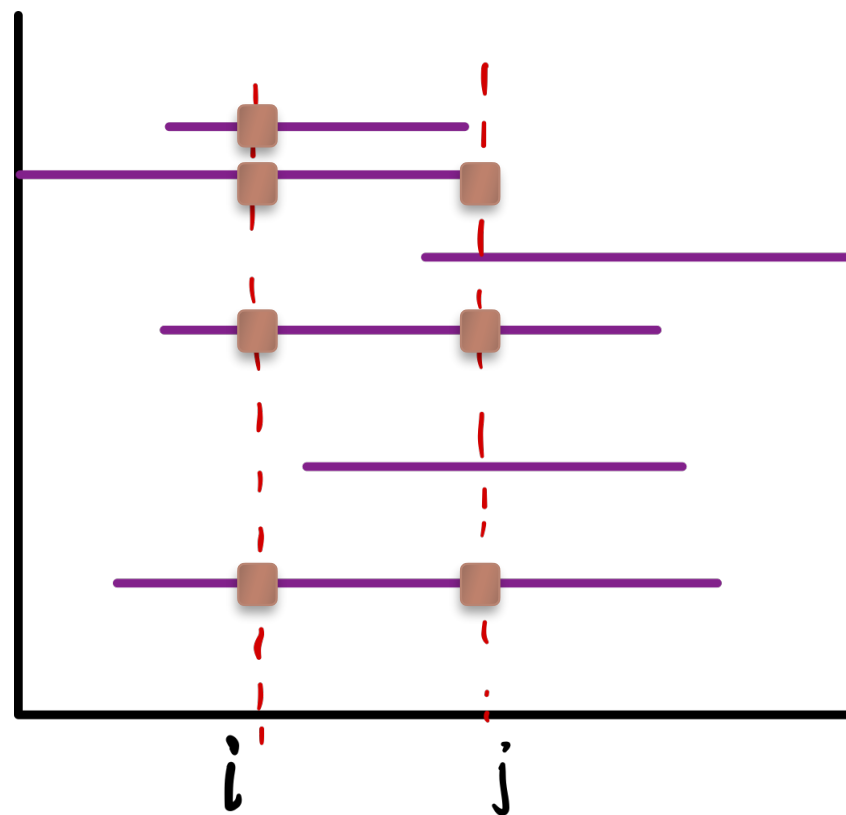
$$\beta_p^{i,j} = \dim H_p^{i,j}$$



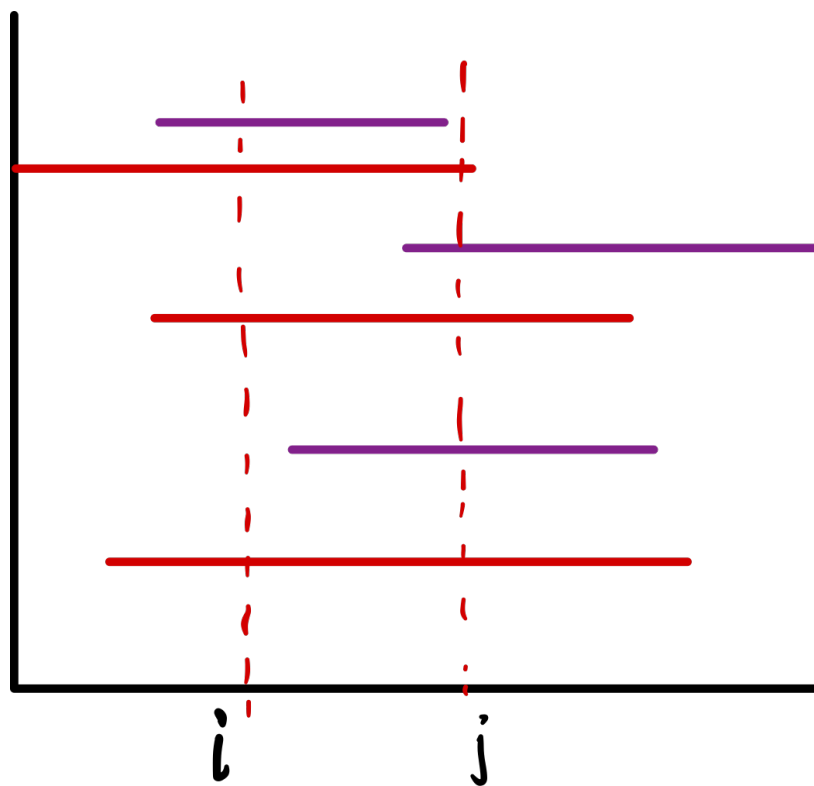
Persistence Betti Number vs Barcode

$$\beta_p^{i,j} = \dim H_p^{i,j}$$

$$\beta_p^{i,j} = 3$$

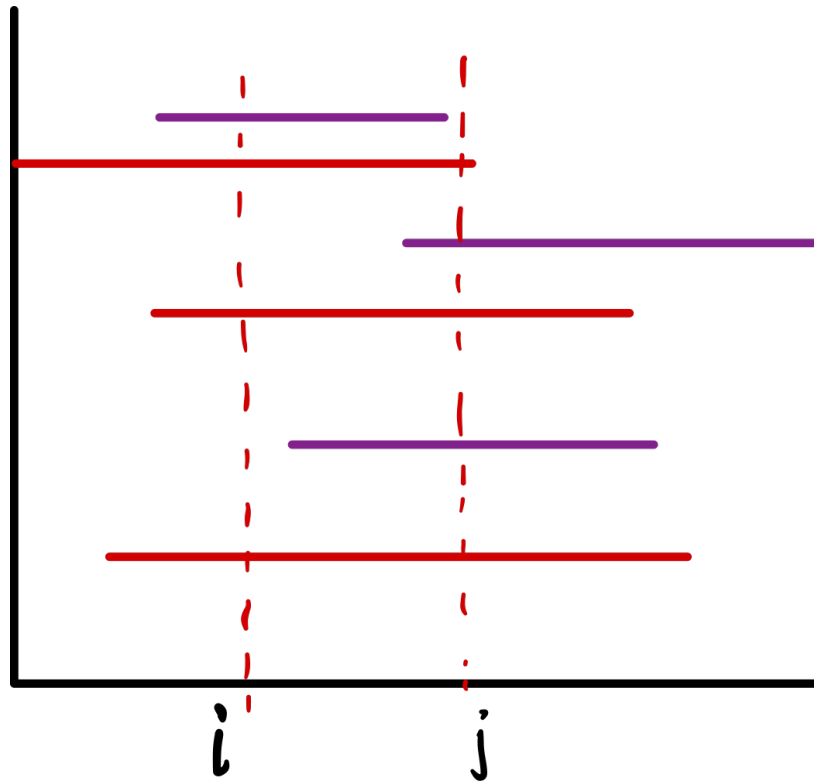


Persistence Betti Number vs Barcode



Persistence Betti Number vs Barcode

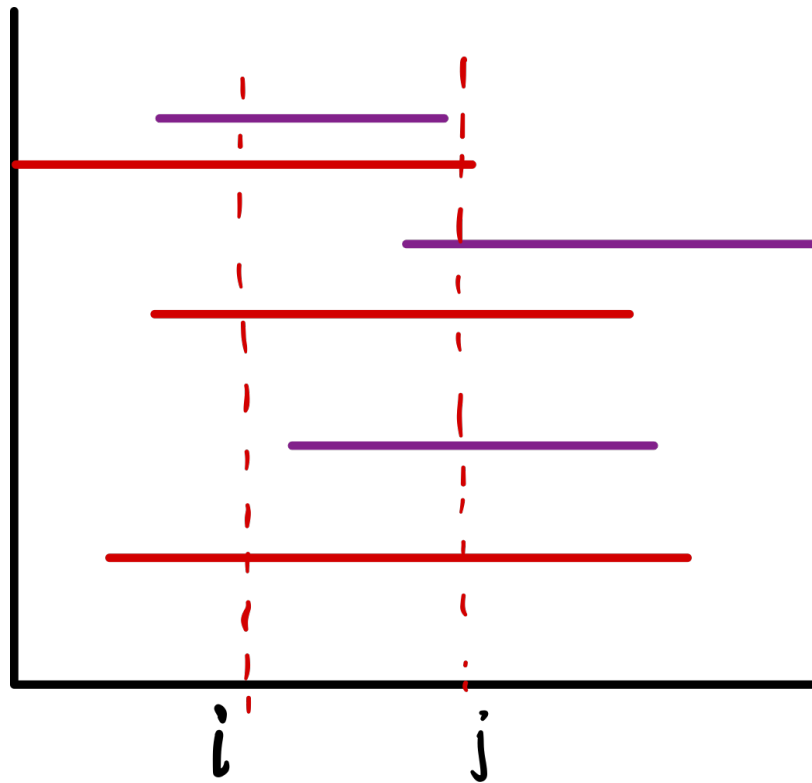
- ▶ $\beta_p^{i,j} = \#$ of bars crossing both vertical lines



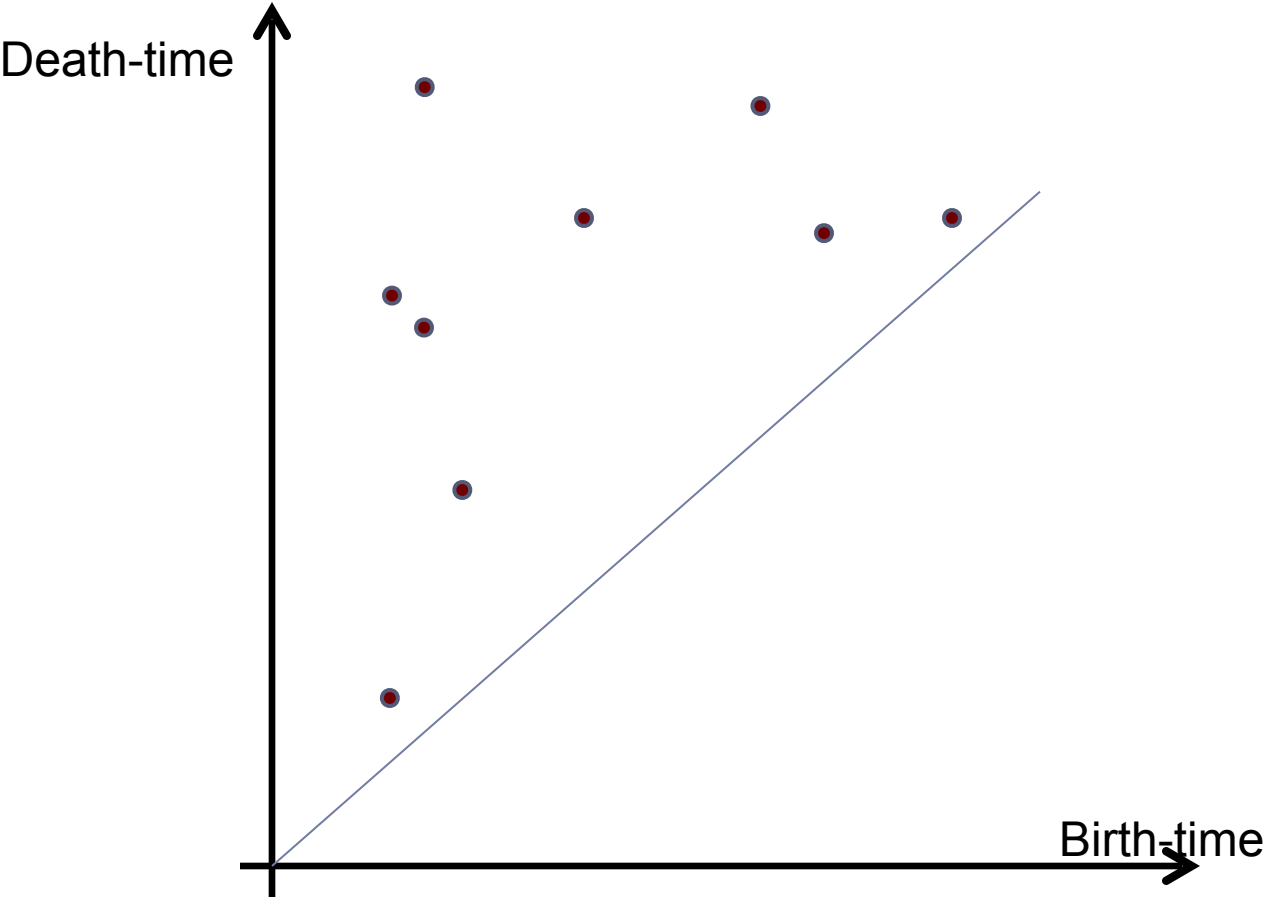
Persistence Betti Number vs Barcode

▶ $\beta_p^{i,j} = \#$ of bars crossing both vertical lines

▶ $\beta_p^{i,j} = \sum_{k \leq i, j < l} \mu_p^{k,l}$

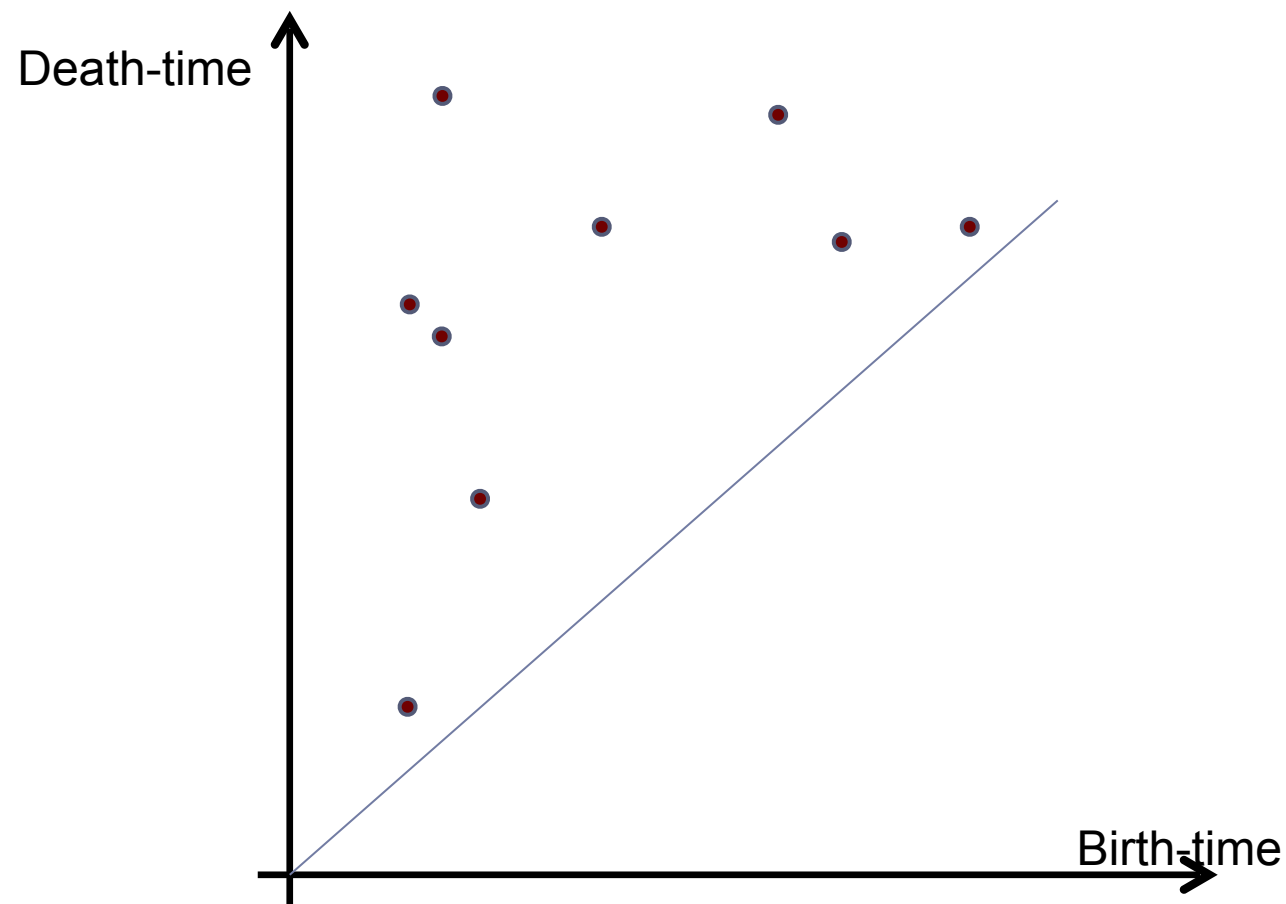


Persistence Betti Number vs Persistence Diagram



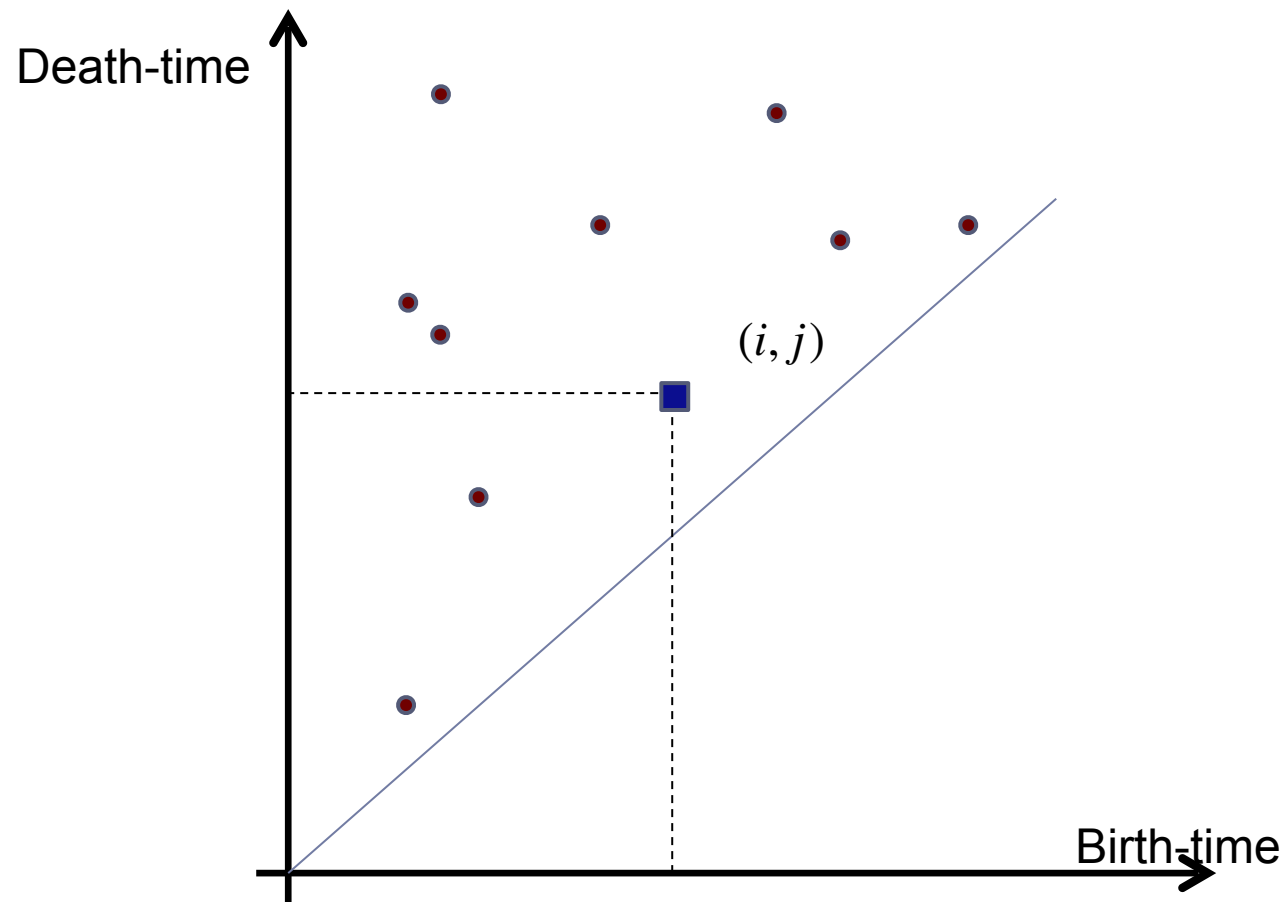
Persistence Betti Number vs Persistence Diagram

► $\beta_p^{i,j} = \sum_{k \leq i, j < l} \mu_p^{k,l}$



Persistence Betti Number vs Persistence Diagram

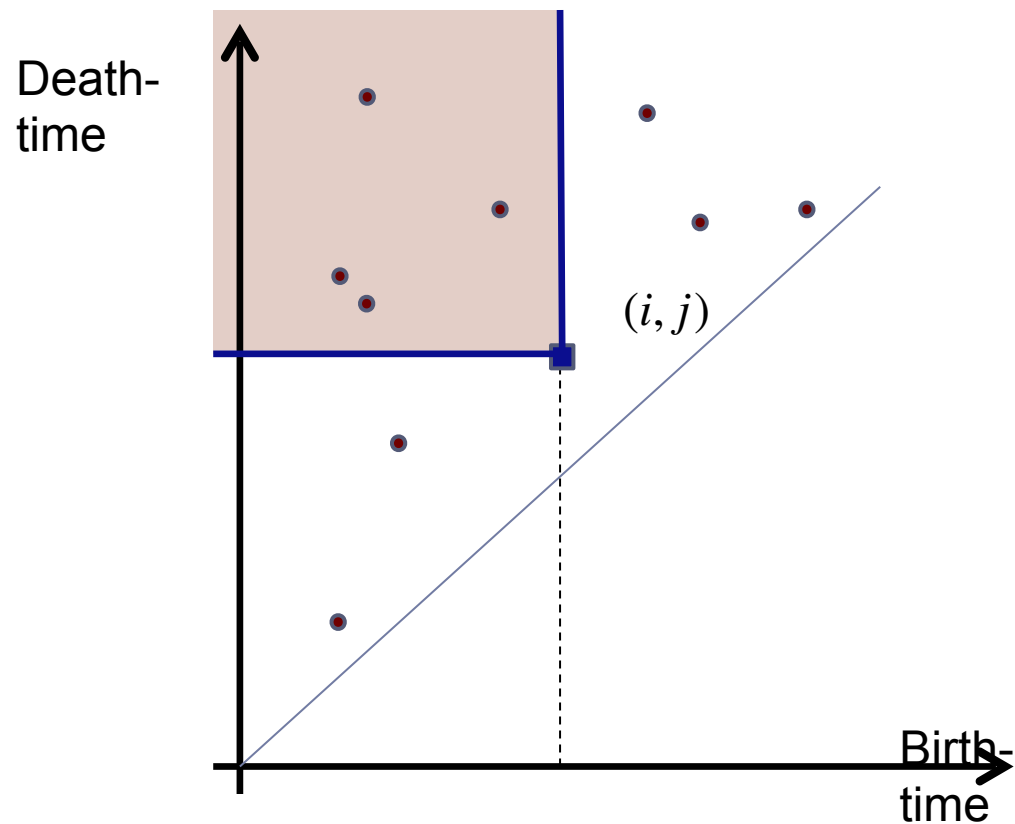
► $\beta_p^{i,j} = \sum_{k \leq i, j < l} \mu_p^{k,l}$



Persistence Betti Number vs Persistence Diagram

► Theorem:

$$\beta_p^{i,j} = \sum_{k \leq i, j < l} \mu_p^{k,l}$$



Möbius inversion

- ▶ For $0 \leq i < j \leq n + 1$, the multiplicity of (i, j) can be computed as follows

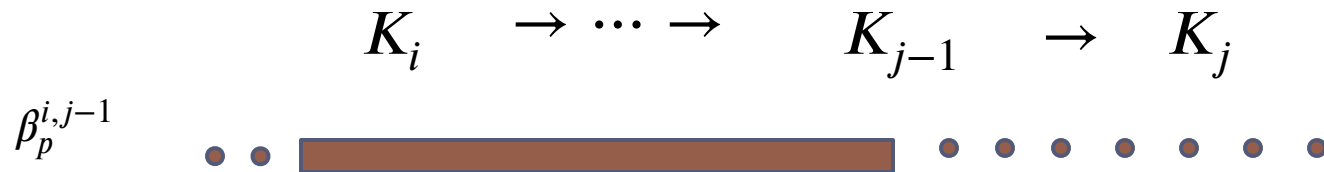
- ▶ $\mu_p^{i,j} = (\beta_p^{i,j-1} - \beta_p^{i,j}) - (\beta_p^{i-1,j-1} - \beta_p^{i-1,j})$

- ▶ $\beta_p^{-1,j} = \beta^{i,n+1} = 0$

Möbius inversion

► Persistent pairing number:

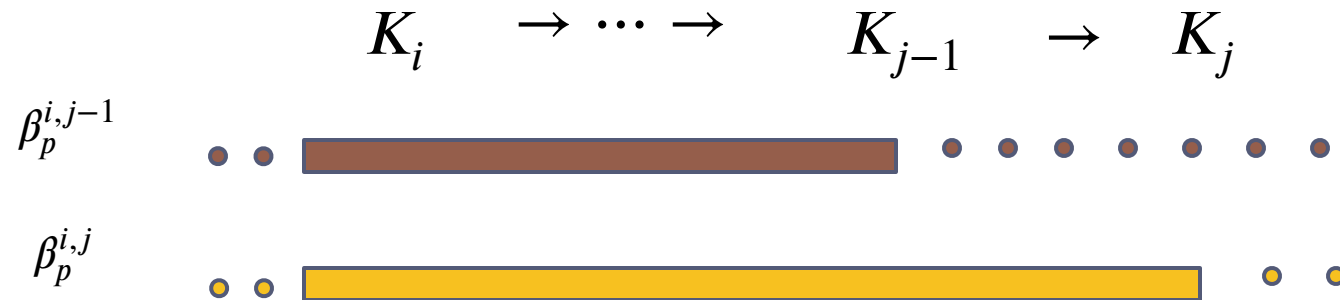
$$\mu_p^{i,j} = \underbrace{(\beta_p^{i,j-1} - \beta_p^{i,j})}_{\text{}} - (\beta_p^{i-1,j-1} - \beta_p^{i-1,j})$$



Möbius inversion

► Persistent pairing number:

$$\mu_p^{i,j} = (\beta_p^{i,j-1} - \beta_p^{i,j}) - (\beta_p^{i-1,j-1} - \beta_p^{i-1,j})$$

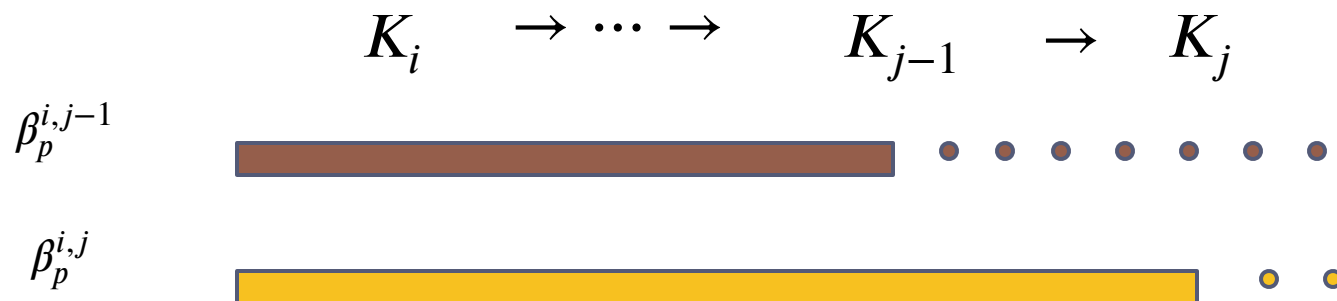


Möbius inversion

► Persistent pairing number:

$$\mu_p^{i,j} = (\beta_p^{i,j-1} - \beta_p^{i,j}) - (\beta_p^{i-1,j-1} - \beta_p^{i-1,j})$$

Number of independent
homology classes from
 K_i but **died** entering K_j



Möbius inversion

► Persistent pairing number:

$$\mu_p^{i,j} = \underbrace{(\beta_p^{i,j-1} - \beta_p^{i,j})}_{\text{Number of independent homology classes from } K_i \text{ but died entering } K_j} - \underbrace{(\beta_p^{i-1,j-1} - \beta_p^{i-1,j})}_{\text{Number of independent homology classes from } K_{i-1} \text{ but died entering } K_j}$$

Number of independent homology classes from K_i but **died** entering K_j

Number of independent homology classes from K_{i-1} but **died** entering K_j

$$K_{i-1} \rightarrow K_i \rightarrow \cdots \rightarrow K_{j-1} \rightarrow K_j$$



Möbius inversion

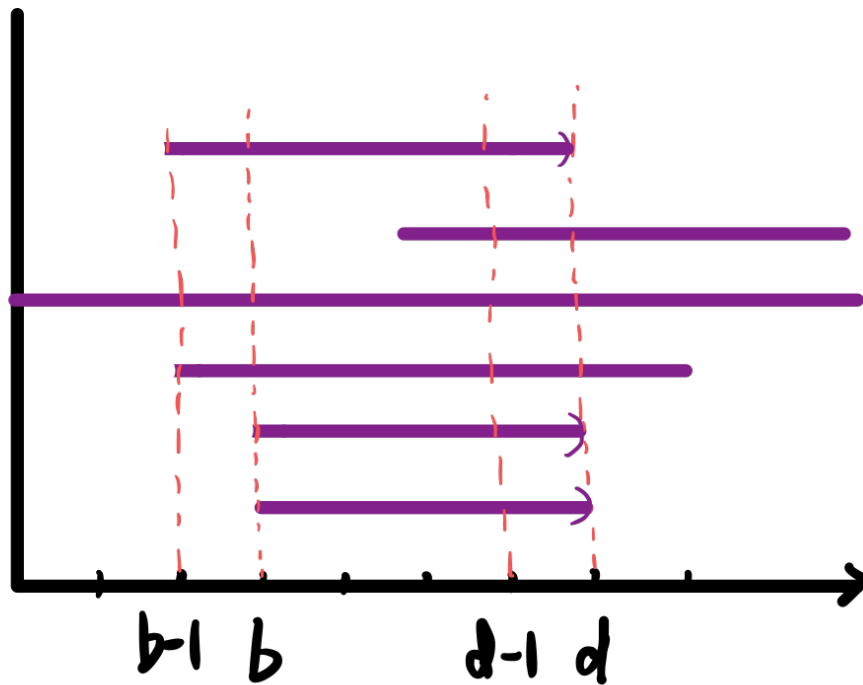
- ▶ Persistent pairing number:

- ▶
$$\mu_p^{i,j} = \underbrace{(\beta_p^{i,j-1} - \beta_p^{i,j})}_{\text{Number of independent homology classes from } K_i \text{ but died entering } K_j} - \underbrace{(\beta_p^{i-1,j-1} - \beta_p^{i-1,j})}_{\text{Number of independent homology classes from } K_{i-1} \text{ but died entering } K_j}$$

- ▶ $\mu_p^{i,j}$ denotes the number of independent homology classes **created** at K_i and **died** entering K_j

Example

► $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



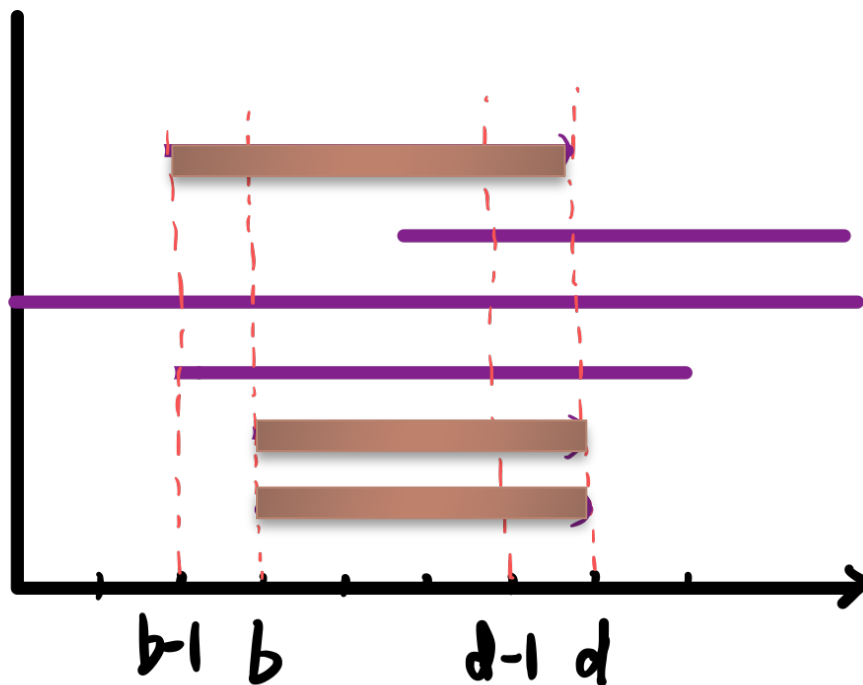
$I[b,d] = 2$

$\beta^{b,d-1} = 5, \beta^{b,d} = 2, \beta^{b-1,d-1} = 2, \beta^{b-1,d} = 1$

$\mu^{b,d} = (5-2) - (2-1) = 2$

Example

► $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



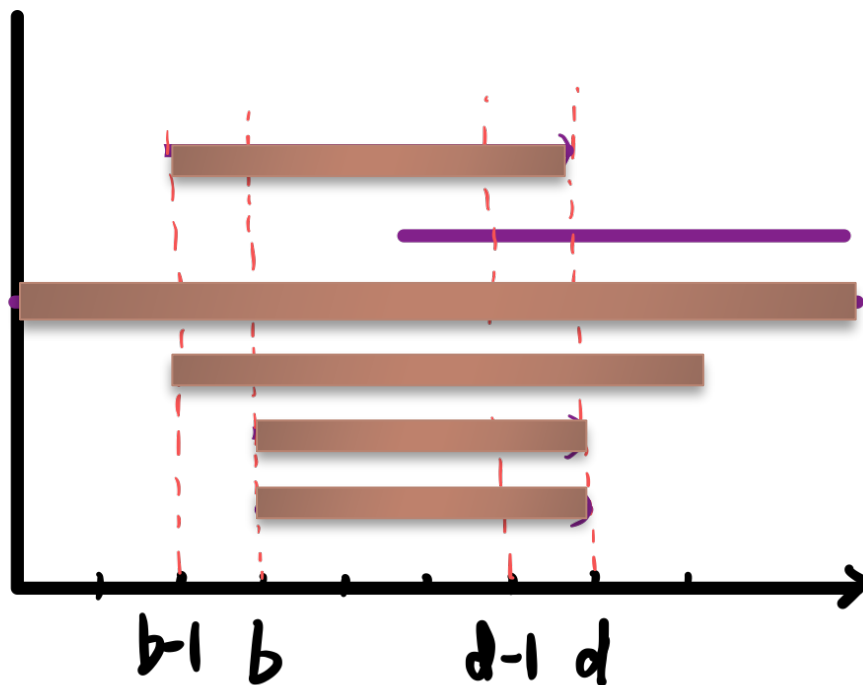
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Example

► $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



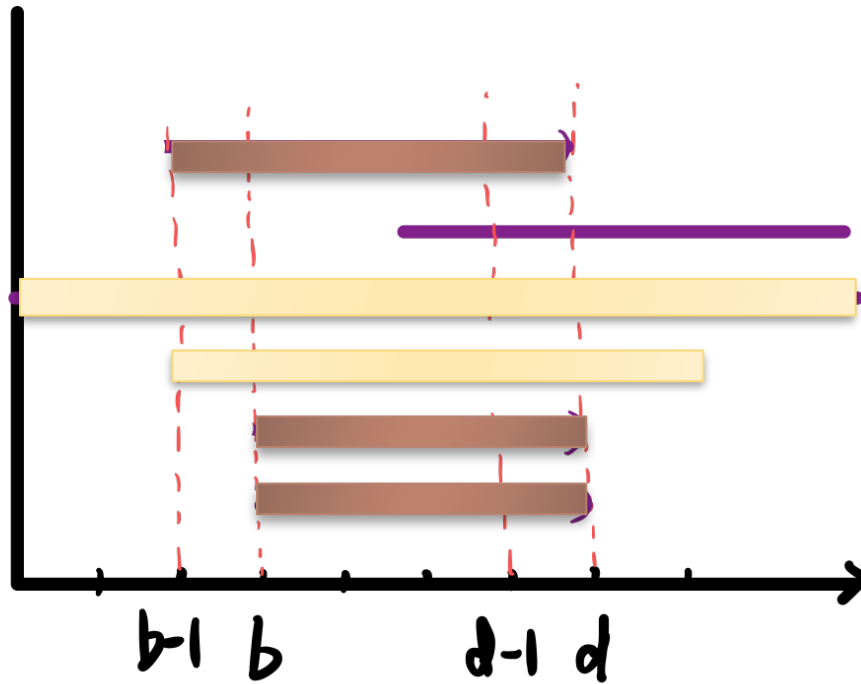
$I[b,d] = 2$

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Example

► $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



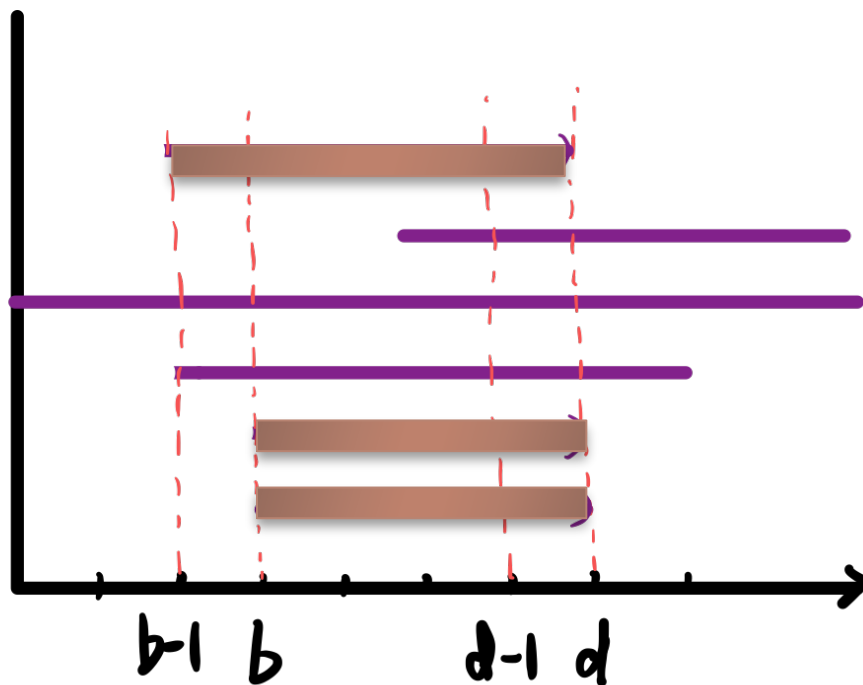
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Example

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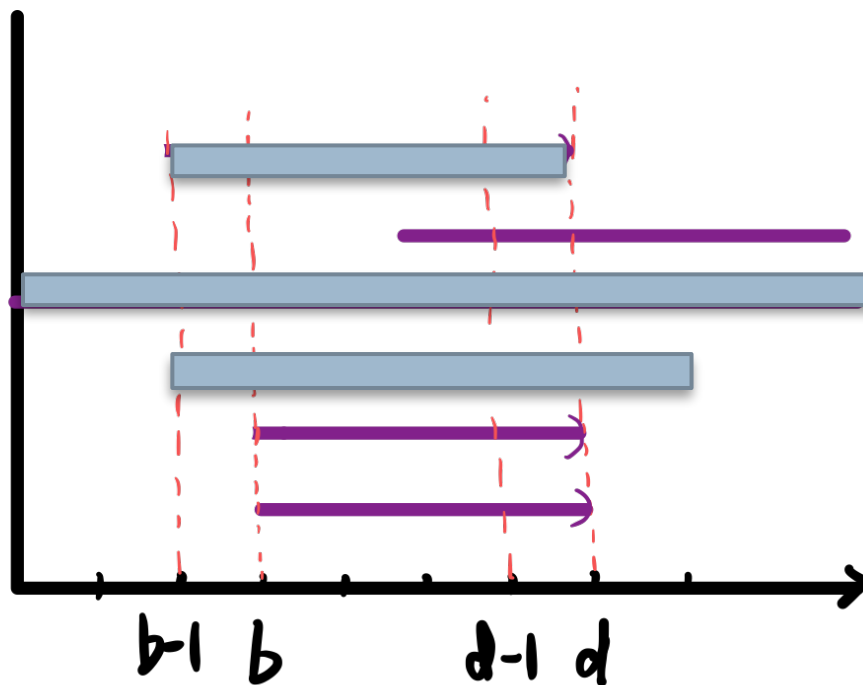
$I[b,d] = 2$

$\beta^{b,d-1} = 5, \beta^{b,d} = 2, \beta^{b-1,d-1} = 2, \beta^{b-1,d} = 1$

$\mu^{b,d} = (5-2) - (2-1) = 2$

Example

► $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



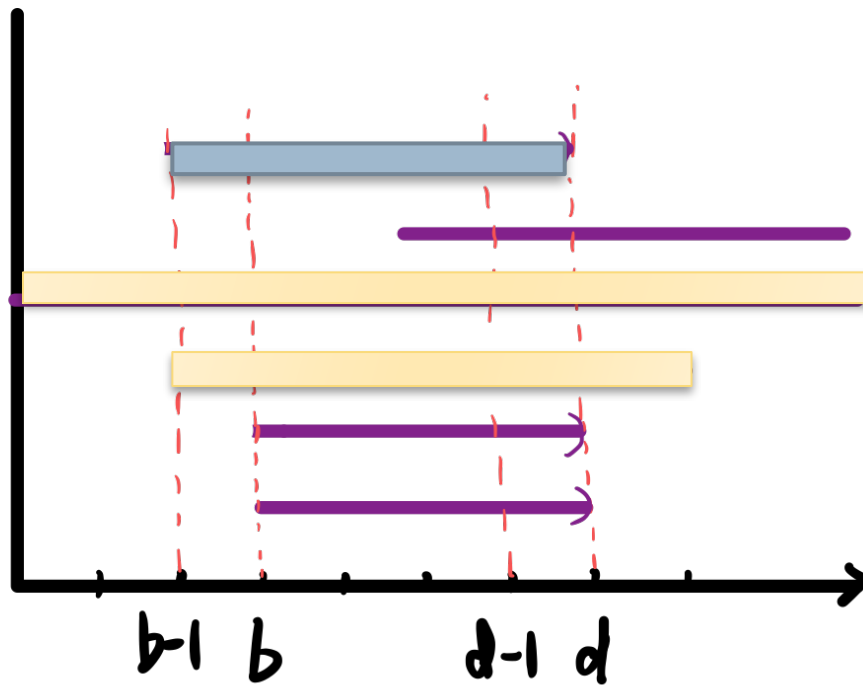
$I[b,d] = 2$

$\beta^{b,d-1} = 5, \beta^{b,d} = 2, \beta^{b-1,d-1} = 2, \beta^{b-1,d} = 1$

$\mu^{b,d} = (5-2) - (2-1) = 2$

Example

$$\blacktriangleright \mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$$



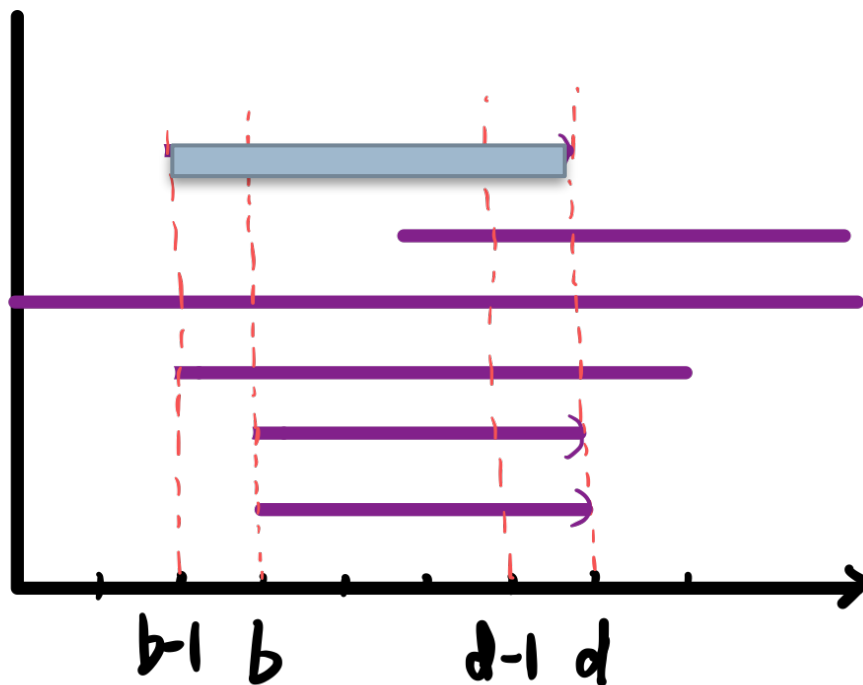
$$\# I[b, d) = 2$$

$$\beta^{b,d-1} = 5, \quad \beta^{b,d} = 2, \quad \beta^{b-1,d-1} = 2, \quad \beta^{b-1,d} = 1$$

$$\mu^{b,d} = (5-2) - (2-1) = 2$$

Example

► $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



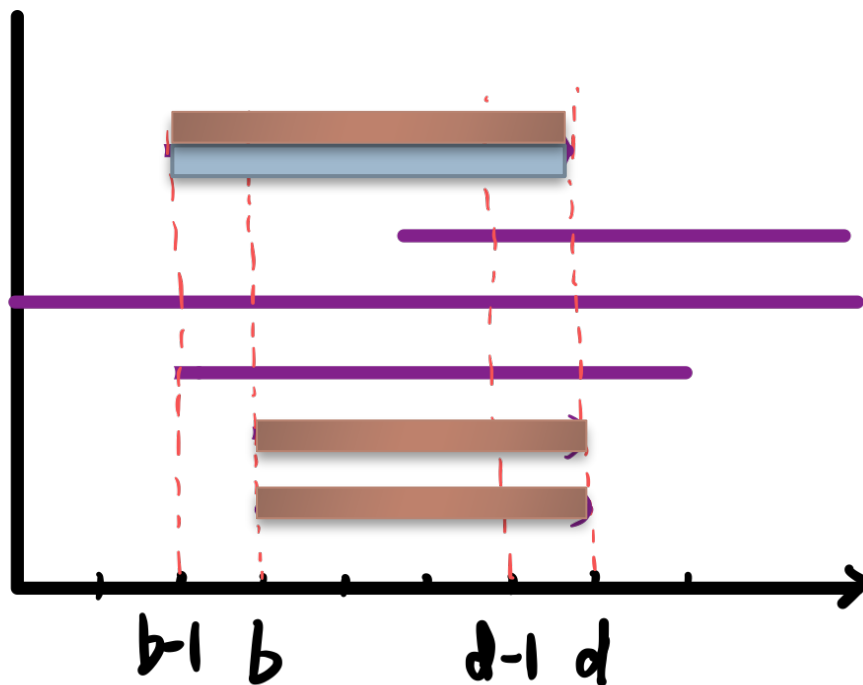
$I[b, d] = 2$

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Example

► $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



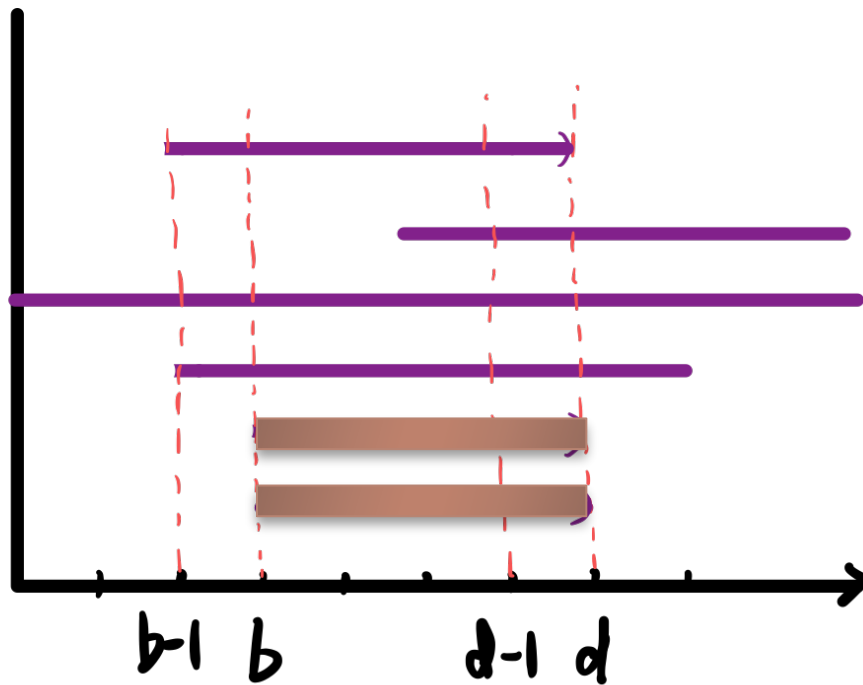
$I[b,d] = 2$

$\beta^{b,d-1} = 5, \beta^{b,d} = 2, \beta^{b-1,d-1} = 2, \beta^{b-1,d} = 1$

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Example

► $\mu^{b,d} = (\beta^{b,d-1} - \beta^{b,d}) - (\beta^{b-1,d-1} - \beta^{b-1,d})$



$I[b,d] = 2$

$\beta^{b,d-1} = 5, \beta^{b,d} = 2, \beta^{b-1,d-1} = 2, \beta^{b-1,d} = 1$

$\mu^{b,d} = (5-2) - (2-1) = 2$

A more refined topological view

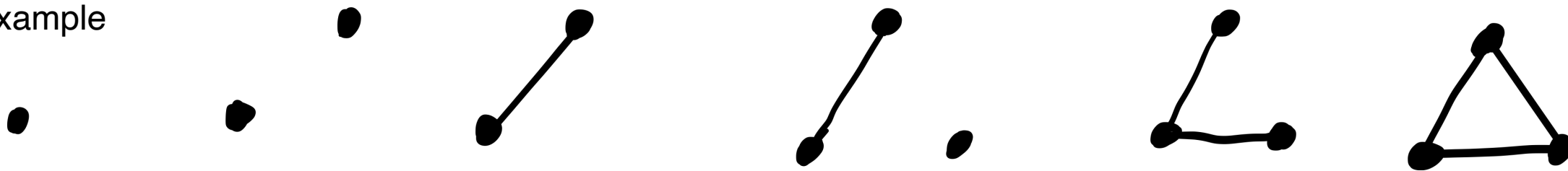
An alternative view

▶ Simplex-wise filtration

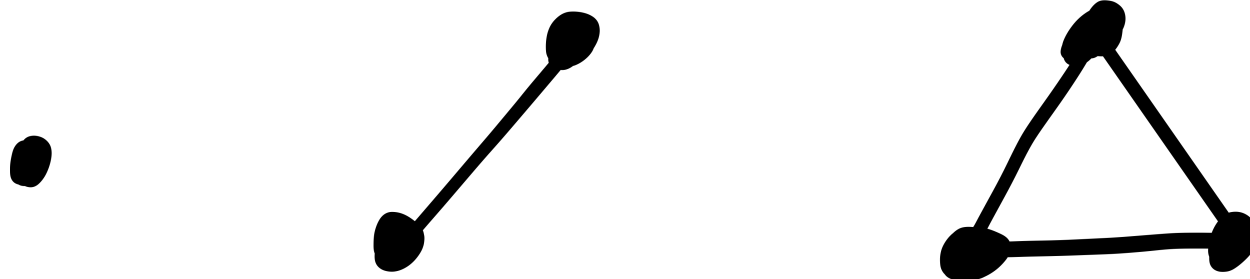
$$\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \dots \subseteq K_n = K$$

▶ s.t , $\sigma_i = K_i \setminus K_{i-1}$

example



non-example



An alternative view

- ▶ **Simplex-wise filtration**

$$\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \cdots \subseteq K_n = K$$

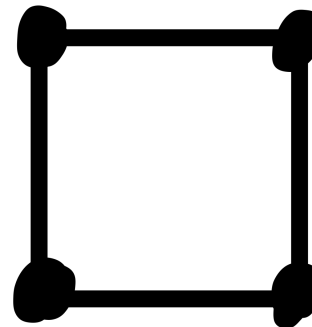
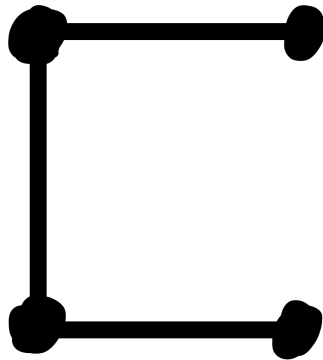
- ▶ s.t , $\sigma_i = K_i \setminus K_{i-1}$

- ▶ Suppose we are at K_i , and consider p -simplex $\sigma = \sigma_{i+1}$

- ▶ creator: adding σ creates a p -cycle

- ▶ this cycle then must be “new”, creates a homology class which is not in the image of $H_p(K_i) \rightarrow H_p(K_{i+1})$

- ▶ hence $\beta_p \rightarrow +$

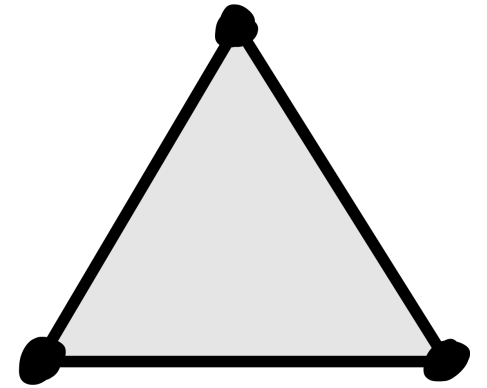
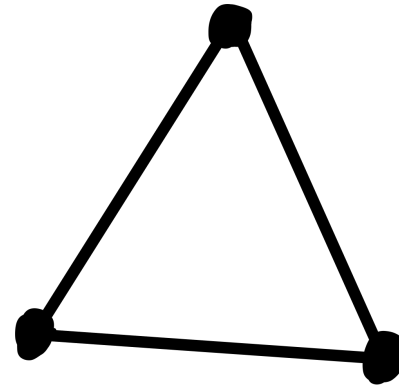


An alternative view

▶ Simplex-wise filtration

$$\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \cdots \subseteq K_n = K$$

▶ s.t , $\sigma_i = K_i \setminus K_{i-1}$



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▶ this cycle then must be “new”, creates a homology class which is not in the image of $H_p(K_i) \rightarrow H_p(K_{i+1})$

▶ hence $\beta_p + +$

▶ destroyer: killing a $(p - 1)$ -cycle

▶ this $(p - 1)$ -cycle is $\partial\sigma$, and $[\partial\sigma] \neq 0$ in $H_{p-1}(K_i)$, but trivial in $H_{p-1}(K_{i+1})$

▶ hence $\beta_{p-1} + +$

An alternative view

▶ Simplex-wise filtration

$$\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \cdots \subseteq K_n = K$$

$$\text{▶ s.t. , } \sigma_i = K_i \setminus K_{i-1}$$

▶ Suppose we are at K_i , and consider p -simplex $\sigma = \sigma_{i+1}$

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An alternative view

▶ Simplex-wise filtration

$$\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \cdots \subseteq K_n = K$$

▶ s.t , $\sigma_i = K_i \setminus K_{i-1}$

▶ Suppose we are at K_i , and consider p -simplex $\sigma = \sigma_{i+1}$

▶ creator: adding σ creates a p -cycle

▶ this cycle then must be “new”, creates a homology class not in the image of $H_p(K_i) \rightarrow H_p(K_{i+1})$

▶ hence $\beta_p \rightarrow +$

Not unique

▶ destroyer: killing a $(p-1)$ -cycle

▶ this $(p-1)$ -cycle is $\partial\sigma$, and $[\partial\sigma] \neq 0$ in $H_{p-1}(K_i)$ but $[\partial\sigma] = 0$ in $H_{p-1}(K_{i+1})$

▶ hence $\beta_{p-1} \rightarrow +$

Not unique

An alternative view

▶ Simplex-wise filtration

$$\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \cdots \subseteq K_n = K$$

▶ s.t , $\sigma_i = K_i \setminus K_{i-1}$

▶ Suppose we are at K_i , and consider p -simplex $\sigma = \sigma_{i+1}$

- ▶ create a new $(p-1)$ -cycle $[\sigma_i, \sigma_{i+1}]$
 - Intuitively, the persistence pairing (i, j) means that adding σ_j destroys a homology class created when adding σ_i .
- ▶ deduce that $\dim(\sigma_j) = \dim(\sigma_i) + 1$
- ▶ this $(p-1)$ -cycle is $\partial\sigma$, and $[\sigma\sigma] \neq 0$ in $H_{p-1}(K_i)$, but trivial in $H_{p-1}(K_{i+1})$
- ▶ hence $\beta_{p-1} + 1$

- See board for an example

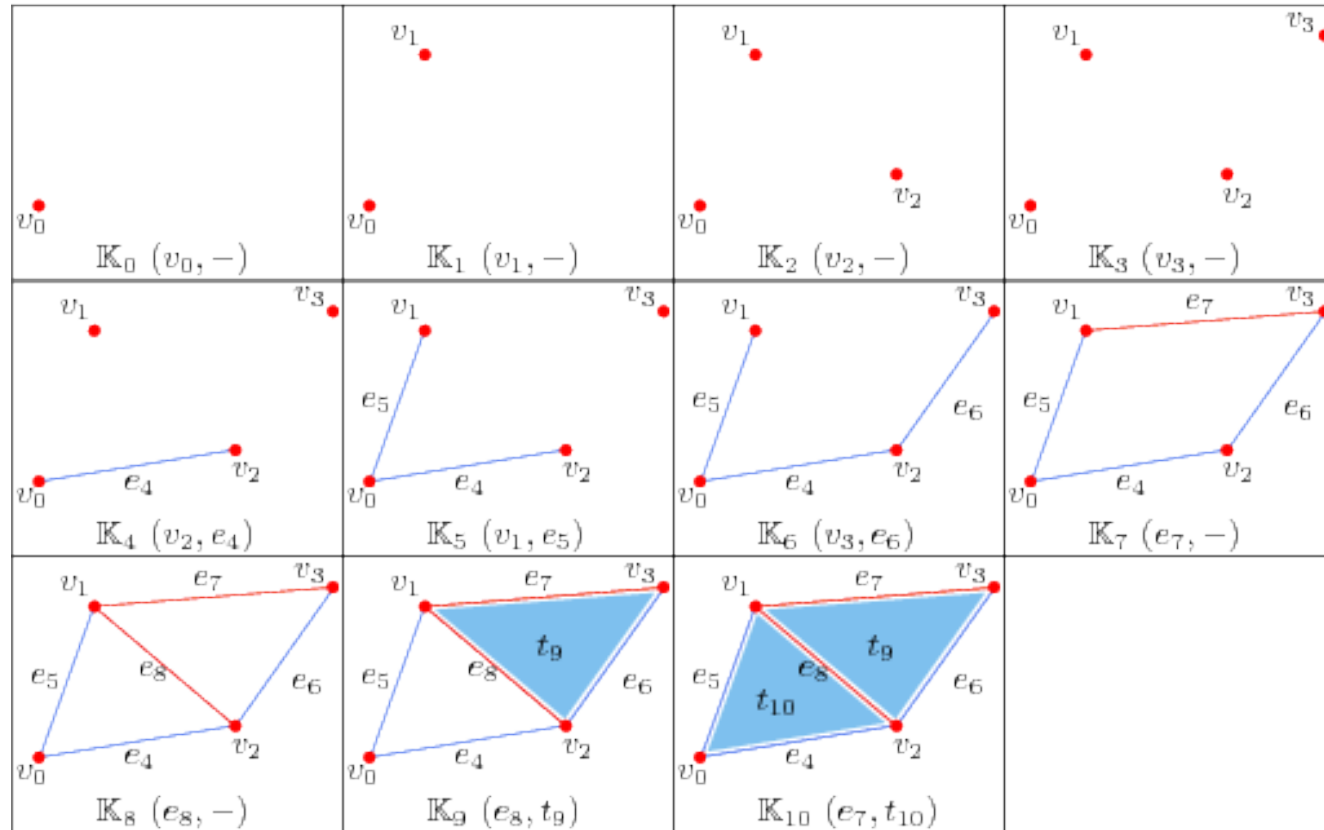
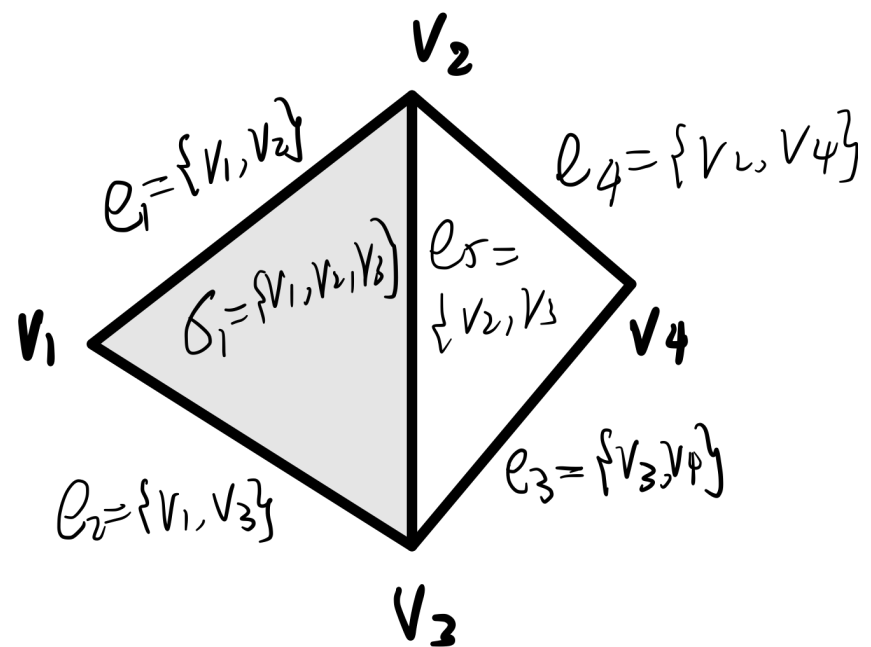


Image courtesy of T.K.Dey

Section 2:

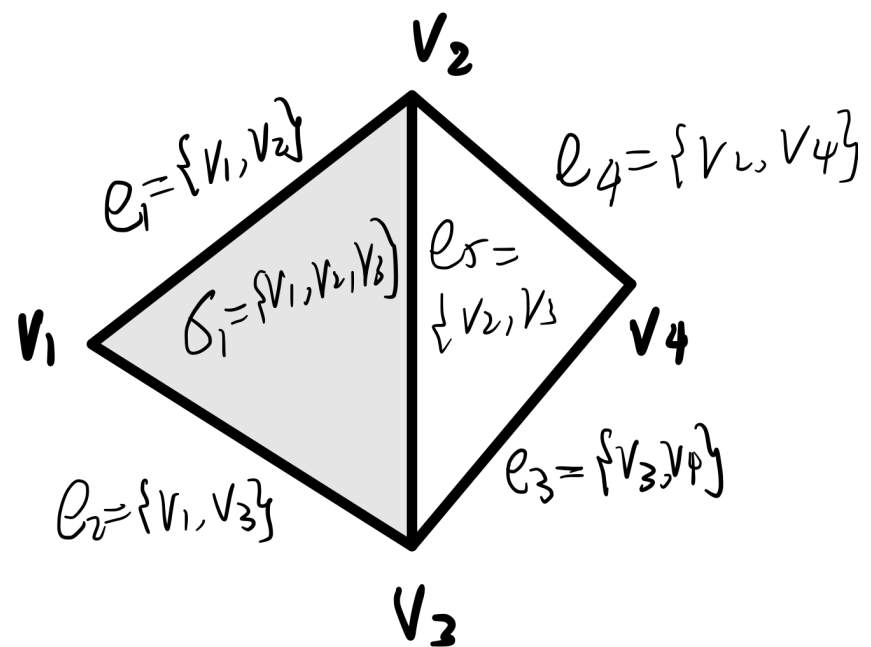
Persistence Algorithm

Recall homology algorithm



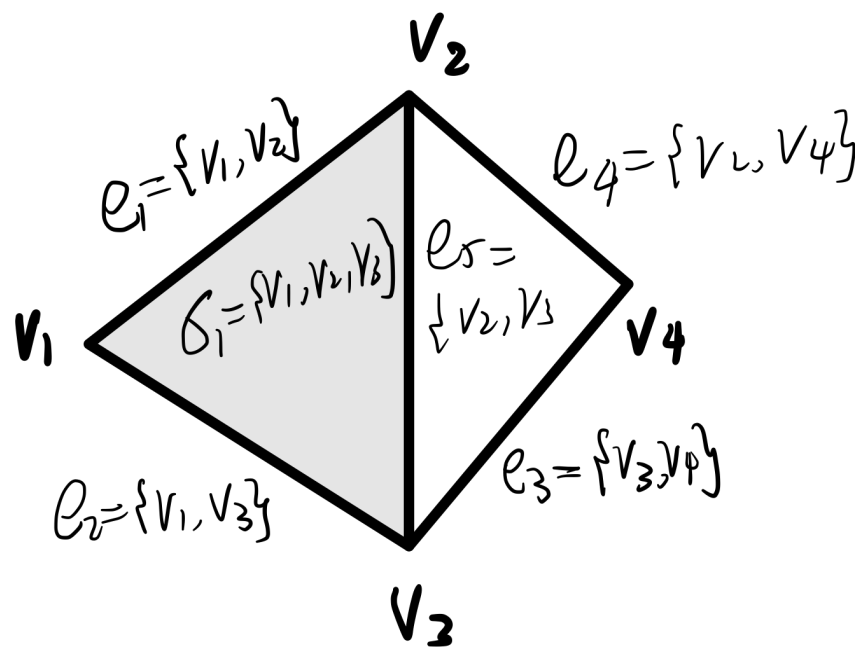
	e1	e2	e3	e4	e5
v1	1	1	0	0	0
v2	1	0	0	1	1
v3	0	1	1	0	1
v4	0	0	1	1	0

Recall homology algorithm



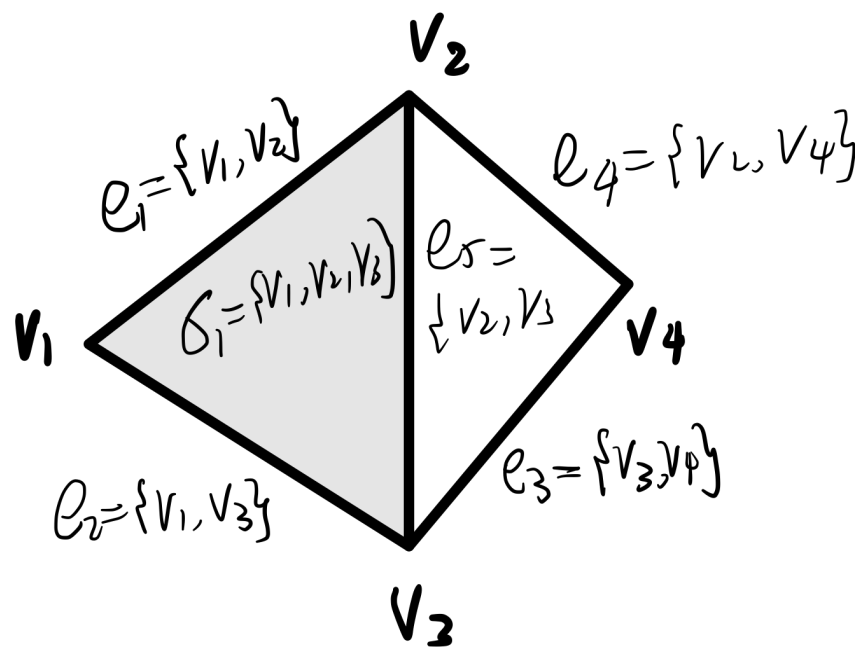
	e1	e2	e3	e4+e3	e5
v1	1	1	0	0	0
v2	1	0	0	1	1
v3	0	1	1	1	1
v4	0	0	1	0	0

Recall homology algorithm



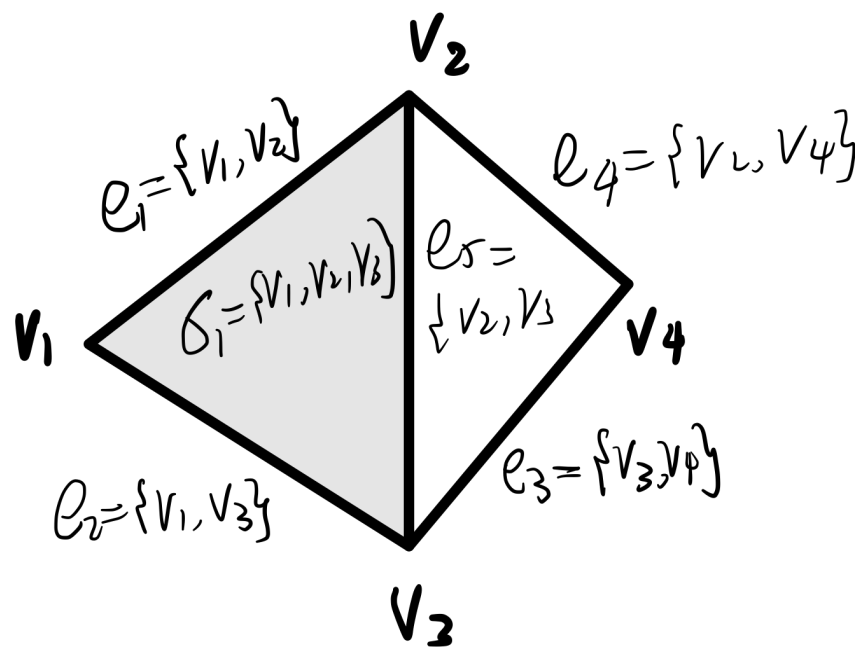
	e1	e2	e3	e4+e3+e2	e5
v1	1	1	0	1	0
v2	1	0	0	1	1
v3	0	1	1	0	1
v4	0	0	1	0	0

Recall homology algorithm



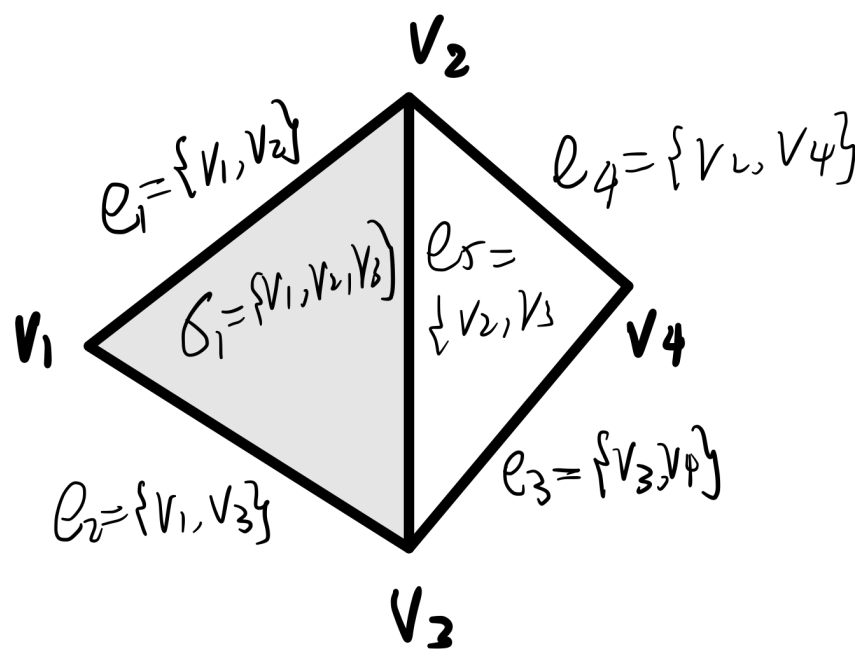
	e1	e2	e3	e4+e3+e2 +e1	e5
v1	1	1	0	0	0
v2	1	0	0	0	1
v3	0	1	1	0	1
v4	0	0	1	0	0

Recall homology algorithm



	e1	e2	e3	e4+e3+e2 +e1	e5+e2
v1	1	1	0	0	1
v2	1	0	0	0	1
v3	0	1	1	0	0
v4	0	0	1	0	0

Recall homology algorithm



	e1	e2	e3	e4+e3+e2+e1	e5+e2+e1
v1	1	1	0	0	0
v2	1	0	0	0	0
v3	0	1	1	0	0
v4	0	0	1	0	0

Persistent Algorithm

- ▶ Simplex-wise filtration $\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \cdots \subseteq K_n = K$
 - ▶ s.t., $\sigma_i = K_i \setminus K_{i-1}$
 - ▶ i.e, filtration induced by an ordered sequence of simplices $\sigma_1, \sigma_2, \dots, \sigma_n$ s.t. $K_i = \{\sigma_1, \dots, \sigma_i\}$
- ▶ Let A be boundary matrix for K with $Col_A[i] = \partial\sigma_i$
- ▶ $lowId_M(j)$: index of lowest 1-entry in $Col_M[j]$

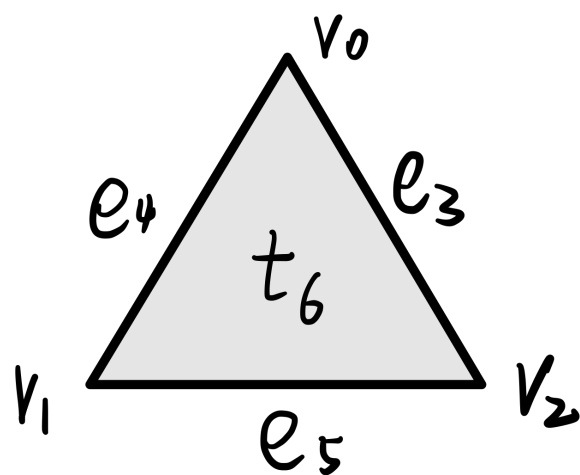
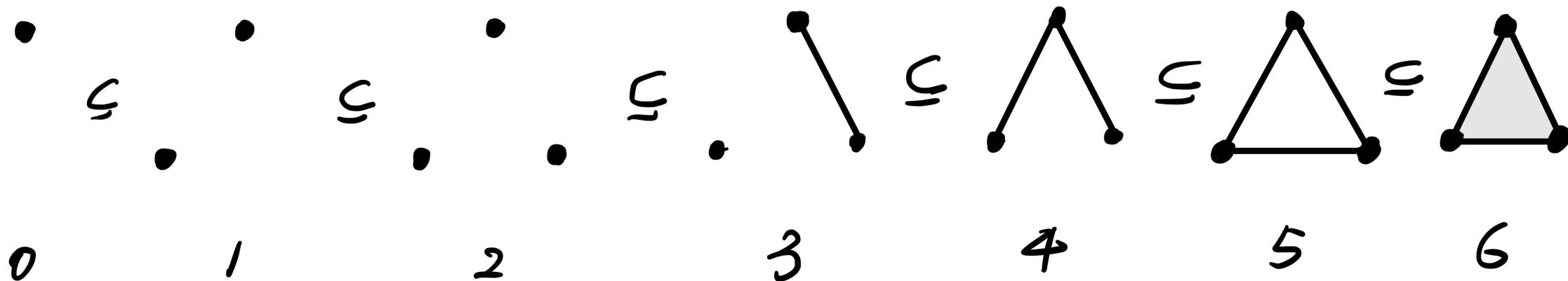
Persistent Algorithm

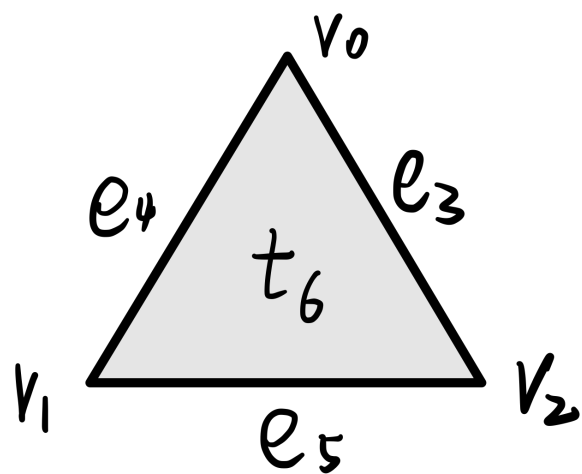
- ▶ Assume input filtration $\emptyset = K_0 \subseteq K_1 \subseteq K_2 \subseteq \dots \subseteq K_m = K$
 - ▶ $\sigma_i = K_i \setminus K_{i-1}$
 - ▶ i.e, filtration induced by an ordered sequence of simplices $\sigma_1, \sigma_2, \dots, \sigma_n$ s.t.
 $K_i = \{\sigma_1, \dots, \sigma_i\}$
 - ▶ Let A be boundary matrix for K with $Col_A[i] = \partial\sigma_i$
- ▶ $lowId_M(j)$: index of lowest 1-entry in $Col_M[j]$

Algorithm 1 Right-Reduction(A)

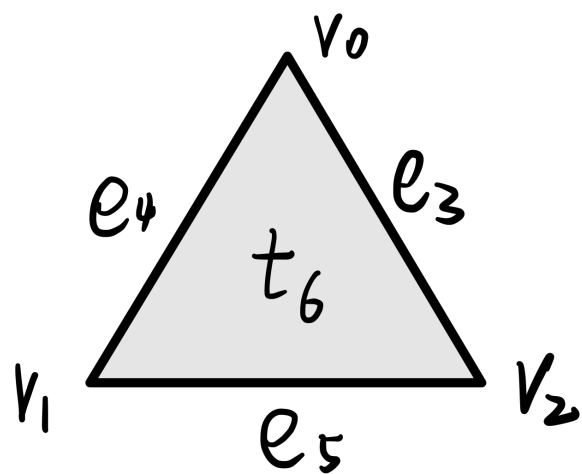
```
R = A;  
for  $j = 1 \rightarrow m$  do  
    while there exists  $j_0 < j$  with  $lowId(j_0) = lowId(j)$  do  
        add column  $j_0$  of  $R$  to column  $j$  of  $R$   
    end while  
end for
```

Example

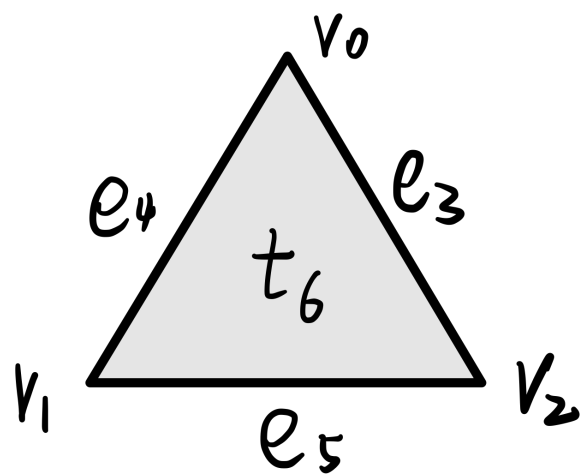




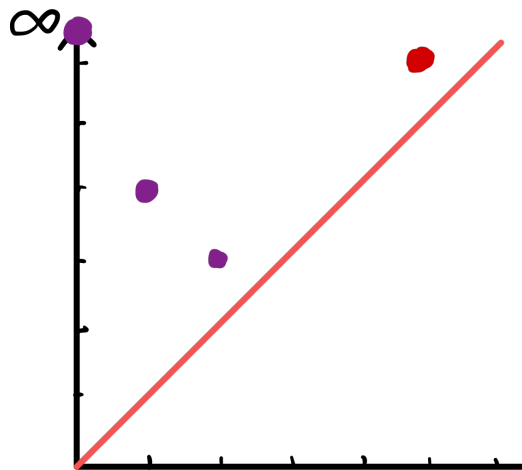
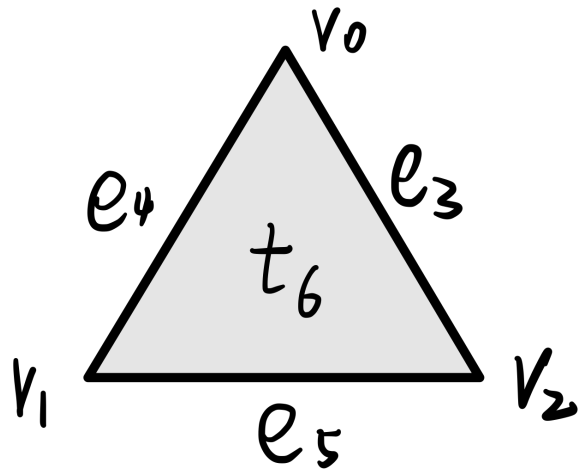
	v0	v1	v2	e3	e4	e5	t6
v0	0	0	0	1	1	0	0
v1	0	0	0	0	1	1	0
v2	0	0	0	1	0	1	0
e3	0	0	0	0	0	0	1
e4	0	0	0	0	0	0	1
e5	0	0	0	0	0	0	1
t6	0	0	0	0	0	0	0



	v0	v1	v2	e3	e4	e5+e3	t6
v0	0	0	0	1	1	1	0
v1	0	0	0	0	1	1	0
v2	0	0	0	1	0	0	0
e3	0	0	0	0	0	0	1
e4	0	0	0	0	0	0	1
e5	0	0	0	0	0	0	1
t6	0	0	0	0	0	0	0

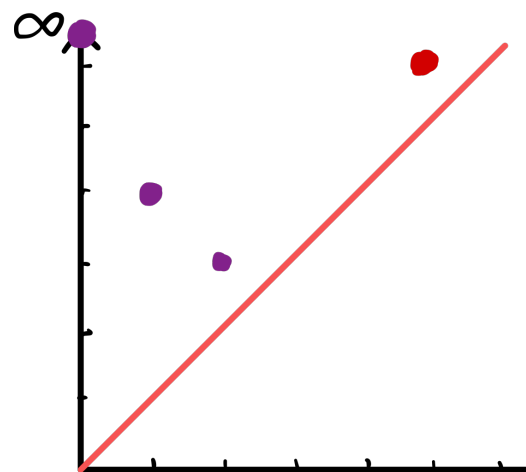
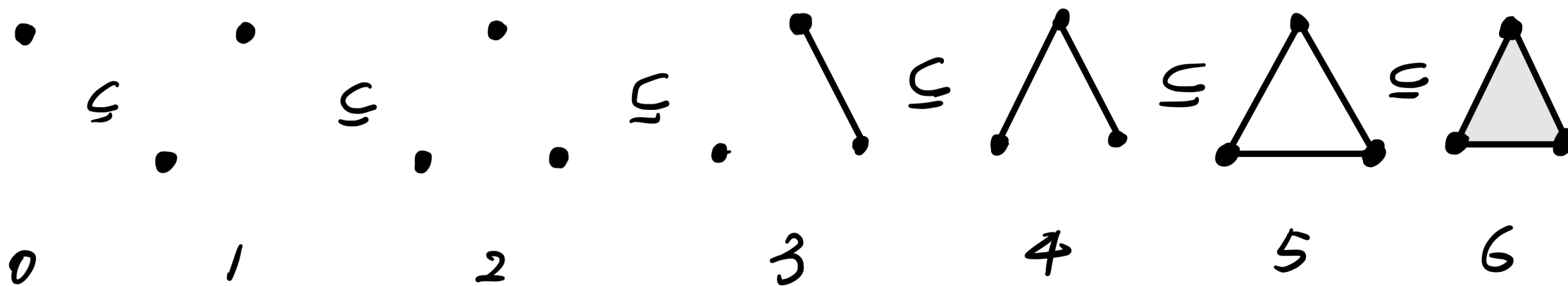


	v0	v1	v2	e3	e4	e5+e3+ e4	t6
v0	0	0	0	1	1	0	0
v1	0	0	0	0	1	0	0
v2	0	0	0	1	0	0	0
e3	0	0	0	0	0	0	1
e4	0	0	0	0	0	0	1
e5	0	0	0	0	0	0	1
t6	0	0	0	0	0	0	0



	v0	v1	v2	e3	e4	e5+e3+ e4	t6
v0	0	0	0	1	1	0	0
v1	0	0	0	0	1	0	0
v2	0	0	0	1	0	0	0
e3	0	0	0	0	0	0	1
e4	0	0	0	0	0	0	1
e5	0	0	0	0	0	0	1
t6	0	0	0	0	0	0	0

- ▶ Homology classes born at 0,1,2,5
- ▶ $(v_0, \infty), (v_1, e_4), (v_2, e_3), (e_5, t_6)$
- ▶ $Dgm_0 = \{(0, \infty), (1, 4), (2, 3)\}$
- ▶ $Dgm_1 = \{(5, 6)\}$



- ▶ Homology classes born at 0,1,2,5
- ▶ $(v_0, \infty), (v_1, e_4), (v_2, e_3), (e_5, t_6)$
- ▶ $Dgm_0 = \{(0, \infty), (1, 4), (2, 3)\}$
- ▶ $Dgm_1 = \{(5, 6)\}$

Invariance

- ▶ Column addition \sim change of basis for C_p
 - ▶ Rank does not change
- ▶ For any intermediate matrix M
 - ▶ Each column i is associated with a p -chain Γ^i
 - ▶ The column $Col_M[i]$ corresponds to the boundary of Γ^i
 - ▶ If $Col_M[i] = [0 \ 0 \ \dots \ 0]^T$, it is a cycle generating a new homology class
 - ▶ Birth event
 - ▶ Otherwise, it is a *boundary cycle*
 - ▶ Death event

Persistent Pairings

- ▶ Theorem A:

- ▶ Consider the output matrix R of algorithm $\text{Right-Reduction}(A)$.

Then $\mu^{i,j} = 1$ **iff** $\text{lowId}_R(j) = i$

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- ▶ Consider the output matrix R of algorithm Right-Reduction(A). Then $\mu^{i,j} = 1$ **iff** $lowId_R(j) = i$

▶ Theorem B:

- ▶ Given boundary matrix A , perform **any** sequence of right-column-addition operations only to convert it into the reduced form R . Then

$$\mu^{i,j} = 1 \text{ **iff** } lowId_R(j) = i$$

Generating cycles

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Generating cycle if
this column is all-
zero!

Computation

- ▶ Right-Reduction(A) runs in $O(N^3)$ time
 - ▶ where N is total number of simplices
- ▶ Can be improved to matrix multiplication time

Efficient implementation

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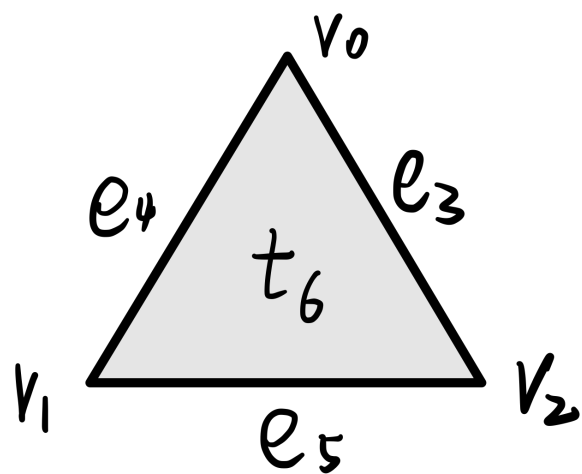
- ▶ Given a persistence pair (σ_i, σ_j) , the column of σ_i will become zero eventually

Efficient implementation

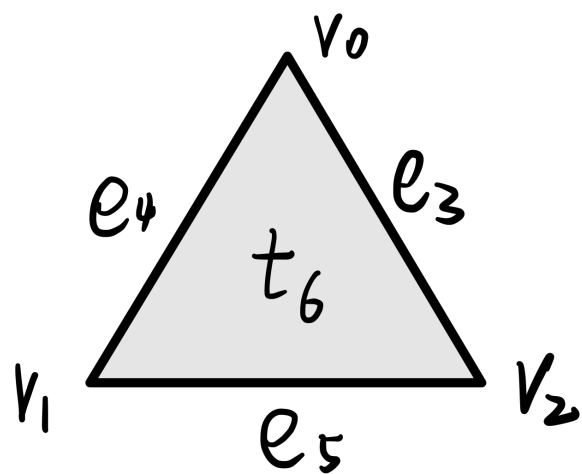
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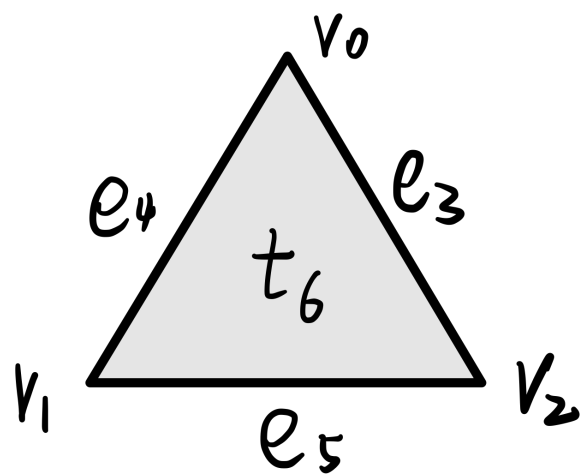
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- ▶ Clearing:
 - ▶ Break the total boundary matrix to A_1, A_2, \dots, A_d
 - ▶ Apply right reduction to A_d, \dots, A_1
 - ▶ When (σ_i, σ_j) appears in reduced A_d , assign 0 to the column corresponding to σ_i in A_{d-1}
 - ▶ Record Γ_i by the column of σ_j



	v0	v1	v2	e3	e4	e5	t6
v0	0	0	0	1	1	0	0
v1	0	0	0	0	1	1	0
v2	0	0	0	1	0	1	0
e3	0	0	0	0	0	0	1
e4	0	0	0	0	0	0	1
e5	0	0	0	0	0	0	1
t6	0	0	0	0	0	0	0



	v0	v1	v2	e3	e4	e5+e4 +e3	t6
v0	0	0	0	1	1	0	0
v1	0	0	0	0	1	0	0
v2	0	0	0	1	0	0	0
e3	0	0	0	0	0	0	1
e4	0	0	0	0	0	0	1
e5	0	0	0	0	0	0	1
t6	0	0	0	0	0	0	0

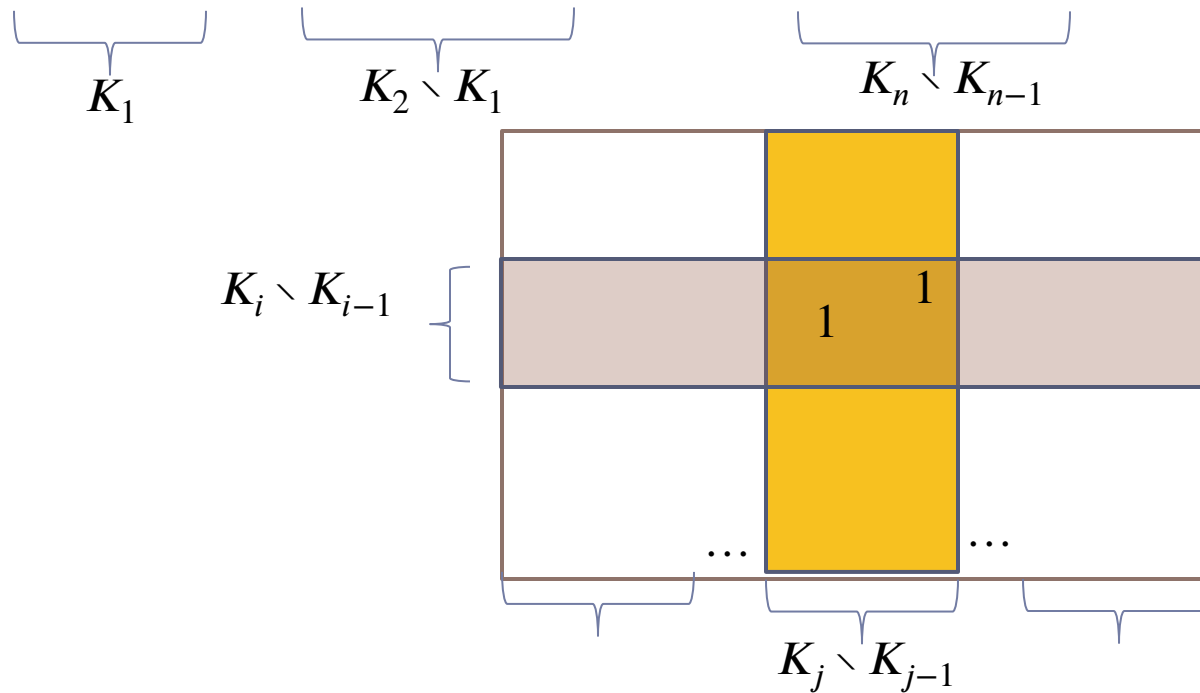


	v0	v1	v2	e3	e4	e5+e4 +e3	t6
v0	0	0	0	1	1	0	0
v1	0	0	0	0	1	0	0
v2	0	0	0	1	0	0	0
e3	0	0	0	0	0	0	1
e4	0	0	0	0	0	0	1
e5	0	0	0	0	0	0	1
t6	0	0	0	0	0	0	0

- ▶ See more acceleration tricks in this [video](#)

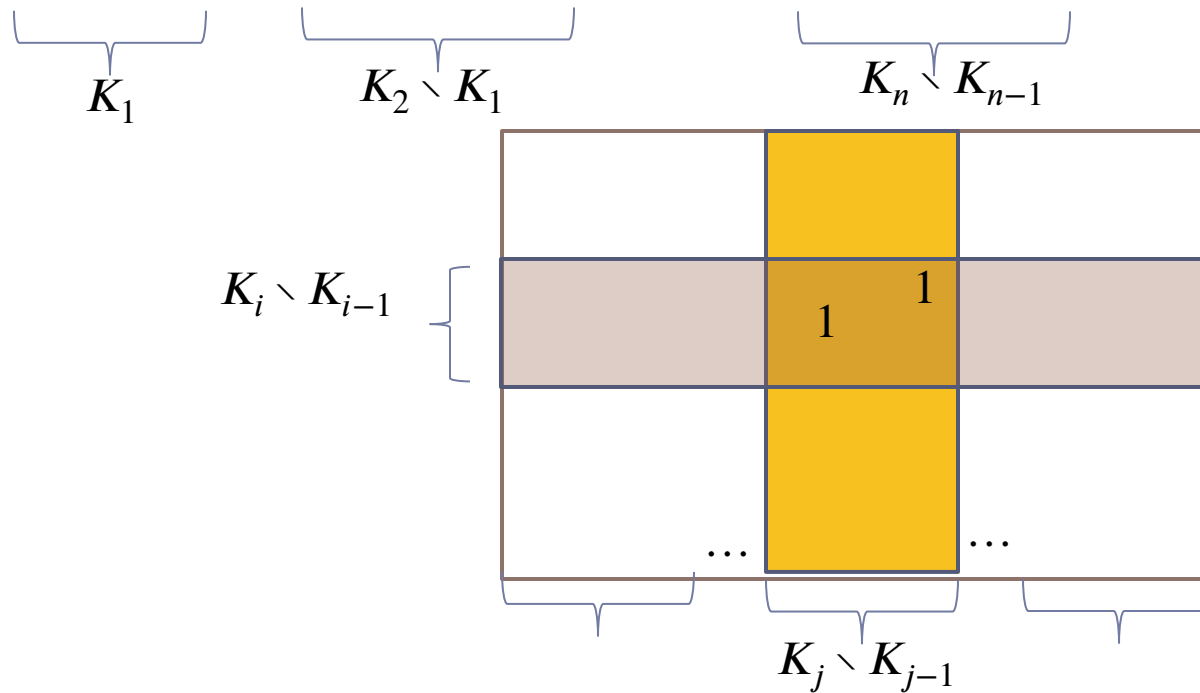
General Filtration

- ▶ Given $K_1 \subseteq K_2 \subseteq \dots \subseteq K_n$, let $\sigma_1, \sigma_2, \dots, \sigma_N$ be an ordering of simplices consistent with the filtration
- ▶ i.e, $\sigma_1, \dots, \sigma_{I_1}, \sigma_{I_1+1}, \dots, \sigma_{I_2}, \dots, \sigma_{I_{n-1}+1}, \dots, \sigma_{I_n}$



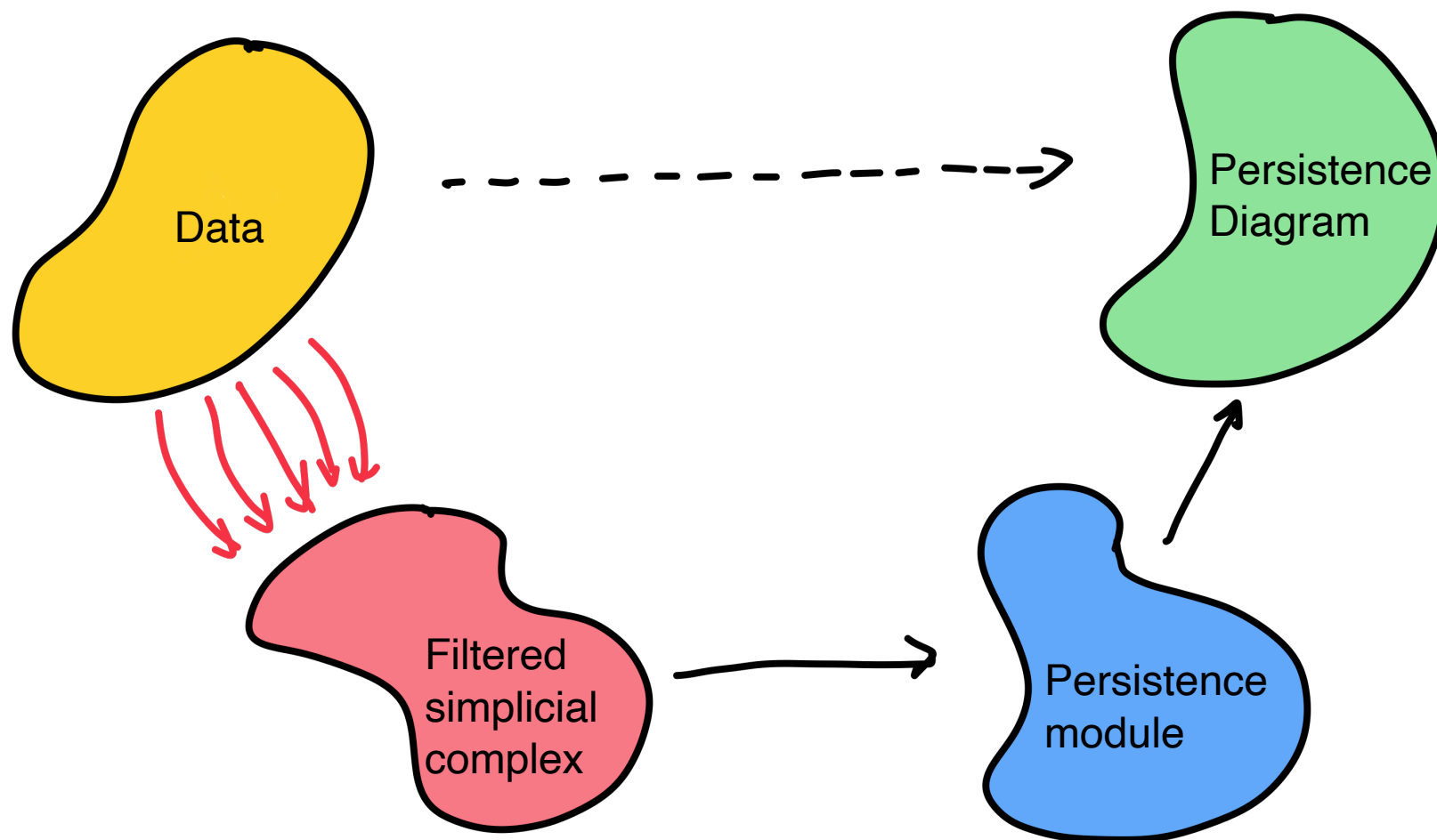
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$$\mu^{i,j} = 2$$

Mind picture



FIN