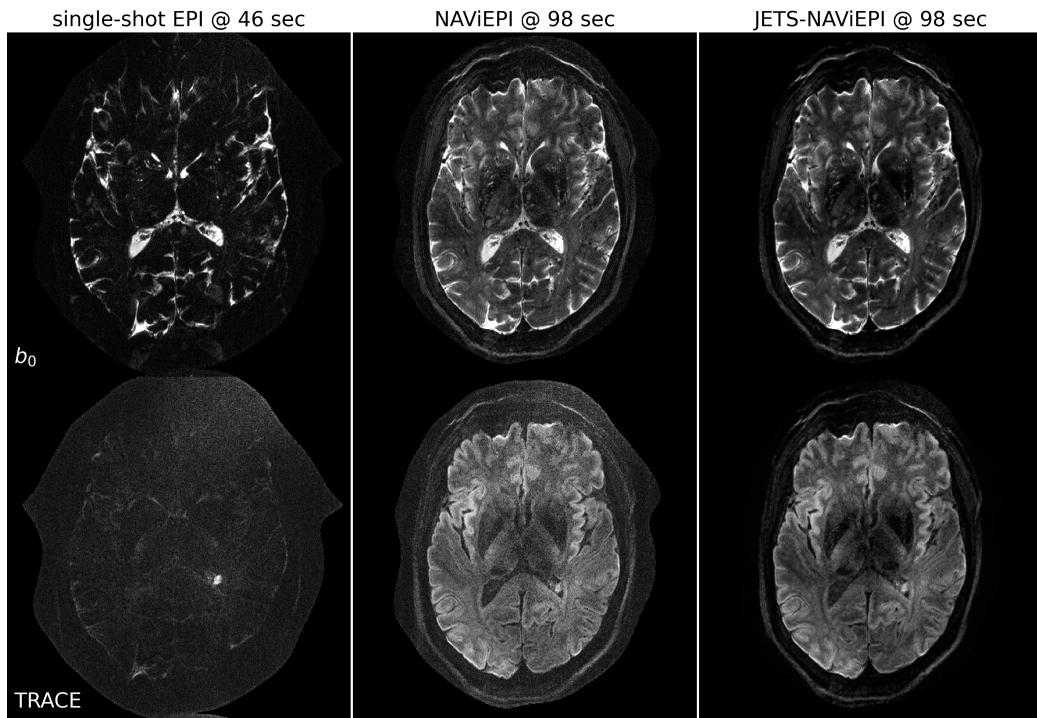


## Graphical Abstract

### Accelerated Diffusion Weighted Magnetic Resonance Imaging at 7 T: Joint Reconstruction for Shift-Encoded Navigator-based Interleaved Echo Planar Imaging (JETS-NAViEPI)

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**3-scan trace acquisition with voxel size 0.5 X 0.5 X 2.0 mm<sup>3</sup>**



## Highlights

### **Accelerated Diffusion Weighted Magnetic Resonance Imaging at 7 T: Joint Reconstruction for Shift-Encoded Navigator-based Interleaved Echo Planar Imaging (JETS-NAViEPI)**

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- Navigator-based interleaved EPI acquisition with minimal distortion mismatch between echoes
- Novel accelerated diffusion acquisition with shifted phase encoding among diffusion directions for complementary  $k$ - $q$ -space sampling at 7 T
- Generalized joint  $k$ - $q$ -slice diffusion-weighted image reconstruction with overlapping locally low-rank regularization
- Efficient simultaneous multi-slice (SMS) image reconstruction
- 3-scan trace acquisition with the voxel size  $0.5 \times 0.5 \times 2.0 \text{ mm}^3$  and 60 slices at 1.5 min

# Accelerated Diffusion Weighted Magnetic Resonance Imaging at 7 T: Joint Reconstruction for Shift-Encoded Navigator-based Interleaved Echo Planar Imaging (JETS-NAViEPI)

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## Abstract

The pursuit of high spatial-angular-temporal resolution for in vivo diffusion-weighted magnetic resonance imaging (DW-MRI) at ultra-high field strength (7 T and above) is important in understanding brain microstructure and function. Such pursuit, however, faces several technical challenges. First, increased off-resonance and shorter  $T_2$  relaxation require faster echo train readouts. Second, existing high-resolution DW-MRI techniques usually employ in-plane fully-sampled multi-shot EPI, which not only prolongs the scan time but also induces a high specific absorption rate (SAR) at 7 T. To address these challenges, we develop in this work navigator-based interleaved EPI (NAViEPI) which enforces the same effective echo spacing (ESP) between the imaging and the navigator echo. First, NAViEPI renders no distortion mismatch between the two echoes, and thus simplifies shot-to-shot phase variation correction. Second, NAViEPI allows for a large number of shots

(e.g.  $> 4$ ) with undersampled iEPI acquisition, thereby rendering clinically-feasible high-resolution sub-millimeter protocols. To retain signal-to-noise ratio (SNR) and to reduce undersampling artifacts, we developed a  $k_y$ -shift encoding among diffusion encodings to explore complementary  $k$ - $q$ -space sampling. Moreover, we developed a novel joint reconstruction with overlapping locally low-rank regularization generalized to the multi-band multi-shot acquisition at 7 T (dubbed JETS-NAViEPI). Our method was demonstrated with experimental results covering 1 mm isotropic resolution multi  $b$ -value DWI and sub-millimeter in-plane resolution fast TRACE acquisition.

*Keywords:* Diffusion-weighted magnetic resonance imaging, Echo planar imaging, Navigator, Ultra-high field, Joint reconstruction, Low rank, Simultaneous multi slice

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<sup>1</sup> **1. Introduction**

<sup>2</sup> Diffusion-weighted magnetic resonance imaging (DW-MRI) ([Le Bihan et al., 1986; Merboldt et al., 1985](#)) is a non-invasive modality that is sensi-  
<sup>3</sup> tive to the intravoxel Brownian motion of water molecules. DW-MRI forms  
<sup>4</sup> the basis for diffusion tensor imaging (DTI) ([Basser et al., 1994; Mori et al., 1999](#)) and high angular resolution diffusion imaging (HARDI) ([Tuch et al., 2002](#)), and has been widely used in acute brain ischemia diagnosis, in tumor  
<sup>5</sup> detection and staging, and in neuroscience ([Jones, 2010](#)).

<sup>6</sup> For DW-MRI acquisition, the commonly used pulse sequence is single-  
<sup>7</sup> shot echo-planar imaging (SS-EPI) ([Mansfield, 1977](#)). SS-EPI is capable of  
<sup>8</sup> rapidly acquiring one DW image per radio-frequency excitation at the order  
<sup>9</sup> of 100 ms, and is thus motion robust. However, conventional SS-EPI, even  
<sup>10</sup> with three-fold accelerated acquisition ([Bammer et al., 2001](#)) using parallel  
<sup>11</sup> imaging ([Roemer et al., 1990; Ra and Rim, 1993; Pruessmann et al., 1999](#);  
<sup>12</sup> [Griswold et al., 2002](#)), still suffers from low spatial resolution and geometric  
<sup>13</sup> distortions.

<sup>14</sup> In the quest for high spatial-angular-temporal-resolution and minimal-  
<sup>15</sup> geometry-distortion DW-MRI, tremendous efforts have been made. Tech-  
<sup>16</sup> niques [for](#) the correction of image distortions induced by off-resonances and R249.Minor.5  
<sup>17</sup> eddy currents have been developed ([Andersson et al., 2003](#)). Furthermore,  
<sup>18</sup> gSlider ([Setsompop et al., 2018](#)) with blipped-CAIPI ([Setsompop et al., 2012](#))  
<sup>19</sup> for simultaneous multi-slice (SMS) ([Maudsley, 1980; Breuer et al., 2005](#))  
<sup>20</sup> was proposed to achieve high-resolution DW-MRI. Advanced pulse sequences  
<sup>21</sup> based on multi-shot EPI have also been developed, including but not limited  
<sup>22</sup> to interleaved EPI (iEPI) ([Butts et al., 1993](#)), PROPELLER ([Pipe et al., 2004](#)),

<sup>26</sup> 2002), and readout-segmented EPI (rsEPI) (Porter and Heidemann, 2009;  
<sup>27</sup> Heidemann et al., 2010).

<sup>28</sup> Based on four-shot iEPI, multiplexed sensitivity encoding (MUSE) image  
<sup>29</sup> reconstruction achieved DW-MRI with a sub-millimeter in-plane resolution  
<sup>30</sup> and maximal  $b$ -value  $800 \text{ s/mm}^2$  at 3 T (Chen et al., 2013). The four-shot  
<sup>31</sup> iEPI employed in MUSE acquired an in-plane fully-sampled  $k$ -space, except  
<sup>32</sup> partial Fourier. Every shot (segment), corresponding to four-fold under-  
<sup>33</sup> sampling, was then reconstructed via parallel imaging to obtain shot-to-shot  
<sup>34</sup> phase variation. This indicates that increasing the number of shots in MUSE  
<sup>35</sup> will result in higher undersampling per shot, and consequently, degrade shot  
<sup>36</sup> phase estimation (Wu and Miller, 2017). On the other hand, the use of in-  
<sup>37</sup> plane fully-sampled four-shot iEPI is challenging at ultra-high field (e.g. 7 T),  
<sup>38</sup> because the SAR is linearly proportional to the square of the field strength.

<sup>39</sup> Alternatively, navigator-based iEPI acquisition has been proposed (Jeong  
<sup>40</sup> et al., 2013; Dai et al., 2017, 2018). These proposals may allow for a larger  
<sup>41</sup> number of shots, and hence higher spatial resolution. However, due to the use  
<sup>42</sup> of different ESP between the imaging echo and the navigator echo, these pro-  
<sup>43</sup> posals suffered from geometric distortion mismatch between the two echoes  
<sup>44</sup> and thus required specific compensation methods. In contrast, rsEPI (Porter  
<sup>45</sup> and Heidemann, 2009; Heidemann et al., 2010) used the same readout seg-  
<sup>46</sup> ment for both echoes, and thus required no correction of the navigator echo.

<sup>47</sup> Beyond the MUSE-type parallel imaging reconstruction, compressed sens-  
<sup>48</sup> ing (Lustig et al., 2007; Block et al., 2007) has been explored. For instance,  
<sup>49</sup> multi-shot reconstruction techniques based on structured low-rank matrix  
<sup>50</sup> completion (MUSSELS) (Mani et al., 2017; Bilgic et al., 2019) achieved 5-

shot DW-MRI with 9-fold undersampling per shot. Recently, JULEP (Dai et al., 2023) incorporated explicit phase mapping into MUSSELS. These reconstruction techniques, i.e., MUSE, MUSSELS and JULEP, targeted the reconstruction of one DW image from interleaved EPI acquisition, and did not explore joint- $k$ - $q$ -space undersampling or reconstruction.

Joint- $k$ - $q$ -space undersampling can be achieved via proper regularization along the diffusion encoding direction. Relevant examples are diffusion undersampling with Gaussian process estimated reconstruction (DAGER) (Wu et al., 2019) and magnitude-based spatial-angular locally low-rank regularization (SPA-LLR) (Hu et al., 2020). However, DAGER addressed the reconstruction problem of single-shot EPI acquisition and SPA-LLR focused on the reconstruction of single-band and fully-sampled iEPI acquisition.

R248.Major.2

In this work, we propose a Joint  $k$ - $q$ -slice rEconsTruction framework for Shift-encoded NAVigator-based interleaved EPI at 7 T (dubbed JETS-NAVIEPI). Our pulse sequence, NAVIEPI, differs from most existing techniques. First, NAVIEPI builds upon interleaved EPI, thereby allowing for fast and efficient  $k$ -space coverage. Second, inspired by rsEPI, NAVIEPI ensures the same effective ESP between the imaging and the navigator echo, thereby minimizing geometric distortion and allowing for the use of a larger number of shots. NAVIEPI essentially integrates the advantages of both iEPI and rsEPI. Third, NAVIEPI utilizes undersampled multi-shot iEPI, thereby alleviating the SAR problem at 7 T. Fourth, NAVIEPI shifts the  $k$ -space in-plane sampling pattern along the phase encoding ( $k_y$ ) direction. This shifting creates complementary  $k$ - $q$ -space sampling, which leads to the possibility of our joint  $k$ - $q$ -slice reconstruction. Specifically, we employ spatial-diffusion

<sup>76</sup> overlapping LLR regularization to jointly reconstruct all diffusion encodings  
<sup>77</sup> and multi-band slices. In vivo experiments at 7 T and comparisons with other  
<sup>78</sup> techniques demonstrate the efficiency of our proposed method in achieving  
<sup>79</sup> high spatial resolution DW-MRI at ultra-high field.

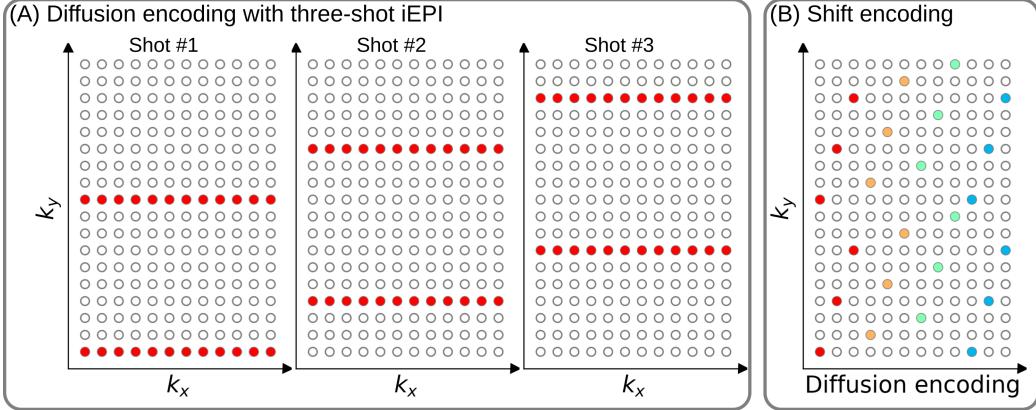


Figure 1: (A) An example DW-MRI acquisition with three-shot interleaved EPI acquisition. (B) The proposed  $k_y$  shifted diffusion encoding scheme. This example employs three shots per DW image. Therefore, every three columns have the same color.

## 80 2. Materials and methods

### 81 2.1. Multi-band shift-encoded iEPI acquisition

82 Fig. 1 (A) displays the diffusion-weighted image acquisition based on  
 83 three-shot interleaved EPI with three-fold in-plane undersampling. Conven-  
 84 tionally, such a sampling pattern is repeated for all diffusion directions. In  
 85 contrast, we propose the  $k_y$ -shifted diffusion encoding, as shown in Fig. 1 (B).  
 86 The interleaved EPI sampling pattern is shifted by one  $k_y$  line per diffusion  
 87 direction, with the cycling period being the in-plane undersampling factor.

88 It is worth noting that, as shown in Fig. 1 (A), the undersampling factor  
 89 of one segment is  $R_{\text{in-plane}} \times N_{\text{shot}}$  (ignore multi-band undersampling here),  
 90 yielding nine-fold in-plane undersampling in this example. In other words,  
 91 the undersampling factor per segment linearly scales up with the number  
 92 of shots. Consequently, conventional self-gating reconstruction techniques,  
 93 e.g. MUSE, suffer from degraded shot-to-shot phase estimation, which in

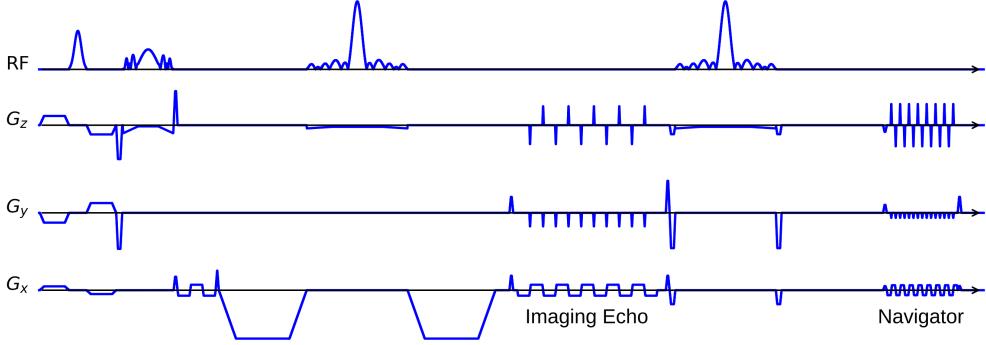


Figure 2: The NAViEPI sequence diagram. SMS is utilized for the acquisition of both imaging and navigator echoes. While the acceleration factor per navigator is the same as listed in Table 1, the acceleration factor per imaging echo is in addition linearly scaled by the number of shots.

94 turn limits the number of shots and spatial resolution.

95 *2.2. NAViEPI: Navigator-based iEPI with consistent effective ESP between  
96 the imaging and the navigator echo - where iEPI meets rsEPI*

97 Instead of the self-gated MUSE with in-plane fully-sampled iEPI and  
98 a limited number of shots, We propose NAVigator-based interleaved EPI  
99 (NAViEPI), as illustrated in Fig. 2. Moreover, inspired by rsEPI (Porter and  
100 Heidemann, 2009), NAViEPI enforces a consistent effective ESP between the  
101 imaging and the navigator echo, thereby minimizing distortion mismatch  
102 between the two echoes.

103 Since one imaging echo presents one segment in multi-shot EPI acquisi-  
104 tion, its effective ESP is defined as

$$\text{ESP}_{\text{eff}} = \frac{\text{ESP}}{R_{\text{in-plane}} \times N_{\text{shot}}} \quad (1)$$

105 Here, a larger number of shots (segments) increases the undersampling factor  
106 per segment (see Fig. 1), but decreases the effective ESP. Since the navigator

107 echo is acquired for each segment, its in-plane undersampling factor equals  
108  $R_{\text{in-plane}}$ . Therefore, the effective ESP of the navigator echo must match that  
109 of the imaging echo, as given in Eq. (1). With a matching effective ESP, the  
110 base resolution of the navigator echo can then be determined.

111 *2.3. In vivo acquisition protocols*

112 We implemented multiple in-vivo acquisition protocols at a clinical 7 T  
113 MR system (MAGNETOM Terra, Siemens Healthineers, Erlangen, Ger-  
114 many) equipped with a 32-channel head coil (Nova Medical, Wilmington,  
115 MA, USA) and the XR-gradient system (maximum gradient strength 80 mT/m  
116 with a peak slew rate of 200 T/m/s). To calibrate coil sensitivity maps, refer-  
117 ence scans employed a gradient-echo (GRE) sequence. Spectral fat saturation  
118 and mono-polar diffusion-encoding gradients were used. The phase-encoding  
119 direction was selected as anterior-to-posterior.

Table 1: NAViEPI acquisition protocols

Protocol	1.0 mm isotropic		sub-millimeter	
	#1	#2	#3	#4
Diffusion mode	MDDW <sup>1</sup>		3-scan trace	
Diffusion scheme	monopolar			
Diffusion direction	20	114	3	
<i>b</i> -value (s/mm <sup>2</sup> )	1000	3-shell <sup>2</sup>	1000	
<i>b</i> <sub>0</sub>	0	12	1	
FOV (mm <sup>2</sup> )	200		220	
In-plane resolution (mm <sup>2</sup> )	1.0		0.5	
Slice thickness (mm)	1.0		2.0	
Slices	141	114	60	
Navigator	No	No	Yes	No
Shots	4	2	5	1
TR (ms)	7700	5200	4400	8000
TEs (ms)	67	66	58/95.1	143
ESP (ms)	1.02	0.81	1.52	1.48
Bandwidth (Hz/Pixel)	1086	1460	758	
Partial Fourier			6/8	
Acceleration <sup>3</sup>	1 × 3	3 × 3	3 × 2	
TA (min) <sup>4</sup>	10 : 42	22 : 25	1 : 38	0 : 46

<sup>1</sup> MDDW: Multi-direction diffusion weighting;<sup>2</sup> 3-shell: 20, 30, and 64 directions with *b*-values of 1000, 2000, and 3000 s/mm<sup>2</sup>, respectively;<sup>3</sup> Acceleration: Both in-plane and slice undersampling can be employed, denoted as (*R*<sub>in-plane</sub> × *R*<sub>slice</sub>);<sup>4</sup> TA: Total acquisition time.

121 This study was approved by the local ethics committee. Three volunteers  
122 with informed consent obtained before scanning participated in this study.  
123 Detailed acquisition protocols are listed in Table 1.

124 *2.3.1. 20-diffusion-direction acquisition at 1 mm isotropic resolution*

125 As listed in Table 1, Protocol #1 with four-shot iEPI and without in- R248.Major.1a  
126 plane undersampling was implemented. This protocol represents the acquisi-  
127 tion scheme employed in many existing multi-shot reconstruction techniques,  
128 (e.g., MUSE, SPA-LLR, and JULEP). The acquired data from this protocol  
129 served as ground truth. Different reconstruction methods, specifically JETS,  
130 MUSE, and JULEP were compared. We compared with JULEP instead of R249.Minor.6  
131 MUSSELS, because JULEP uses not only structured low-rank constraints R248.Major.3a  
132 but also explicit phase mapping.

133 We then retrospectively reduced the four-shot data to only one shot per R248.Major.1b  
134 diffusion encoding without and with the proposed  $k_y$  shifting to simulate  
135 four-fold in-plane undersampling. JETS reconstruction was performed on  
136 the fully-sampled data and the retrospectively undersampled data to validate  
137 the proposed  $k_y$ -shifted acquisition. R248.Major.1c

138 *2.3.2. Three-shell direction acquisition at 1 mm isotropic resolution*

139 Protocol #2 in Table 1 was implemented for multi-shell diffusion tensor R248.Major.3c  
140 imaging (DTI) (Basser et al., 1994). We acquired a total of 114 diffusion  
141 directions, whereas  $b_0$  measurements were interspersed every ten diffusion  
142 directions. This protocol was used to demonstrate the capability of of JETS  
143 in achieving high spatial-angular-temporal resolution.

144 2.3.3. 3-scan trace acquisition at  $0.5 \times 0.5 \times 2.0 \text{ mm}^3$  voxel size

145 As listed in Table 1, Protocol #3 was implemented based on NAViEPI  
146 with five shots per diffusion encoding. This protocol was compared against  
147 single-shot EPI (Protocol #4) with the same spatial resolution and acceler-  
148 ation, such as to demonstrate the sampling efficiency of NAViEPI.

149 2.4. Forward modeling

150 Our proposed acquisition method yields multi-dimensional multi-band  
151  $k$ -space data  $\mathbf{y}_{c,q,s}$ , where  $c, q, s$  denotes the index of the coil sensitivity  
152 map, the diffusion encoding, and the shot, respectively. Acquisition modeling  
153 needs to consider several aspects.

154 First, the acquired  $k$ -space data  $\mathbf{y}$  is mapped from individual shot images  
155  $\mathbf{x}_{q,s,z}$  via the forward model,

$$\begin{aligned}\mathbf{y}_{c,q,s} &= \mathbf{P}_{q,s} \boldsymbol{\Sigma} \boldsymbol{\Theta}_z \mathbf{F} \mathbf{S}_c \mathbf{x}_{q,s,z} \\ \mathbf{y} &:= \mathbf{E}_1 \mathbf{x}\end{aligned}\tag{2}$$

156 Here, the encoding matrix  $\mathbf{E}_1$  comprises a chain of linear operators. Every  
157 shot image  $\mathbf{x}$  is point-wise multiplied by a set of coil sensitivity maps ( $\mathbf{S}$ ) and  
158 Fourier transformed ( $\mathbf{F}$ ). The output is then point-wise multiplied by the  
159 multi-slice phase map ( $\boldsymbol{\Theta}$ ) with  $z$  the slice index in simultaneously excited  
160 slices. This operator shifts individual slice along the phase-encoding direction  
161 via varying phase modulation (Breuer et al., 2005). The SMS  $k$ -space data  
162 is then summed (collapsed,  $\boldsymbol{\Sigma}$ ) along the slice dimension and masked (point-  
163 wise multiplied,  $\mathbf{P}$ ) by the sampling pattern of each diffusion encoding and  
164 shot.

165 Second, for diffusion MRI based on multi-shot EPI, multiple shots ac-  
 166 quired for a given diffusion encoding need to be combined as one DW image  
 167 ( $\tilde{\mathbf{x}}$ ). One possibility is to perform magnitude average (Chen et al., 2013)  
 168 or root-sum-squares (RSS) (Mani et al., 2017) of shot images. This method R249.Minor.7  
 169 is robust to in-plane motion, but sub-optimal concerning SNR (Guhaniyogi  
 170 et al., 2016). Alternatively, shot combination can be done via shot-to-shot  
 171 phase variation correction (Liu et al., 2005; Chen et al., 2013). This can be  
 172 incorporated into our formulation as point-wise multiplication between the R249.Minor.8  
 173 shot-to-shot phase variation ( $\Phi$ ) and the DW image ( $\tilde{\mathbf{x}}$ ),

$$\mathbf{x}_{q,s,z} = \Phi_{q,s,z} \tilde{\mathbf{x}}_{q,z} \quad (3)$$

174 Note that  $\tilde{\mathbf{x}}$  can be obtained by applying the adjoint of  $\Phi$  to  $\mathbf{x}$ . In MUSE,  
 175  $\Phi$  is obtained by parallel imaging reconstruction of all shots with subsequent  
 176 phase smoothing of every shot image. Based on this phase correction, the  
 177 complete forward model follows

$$\mathbf{y} := \mathbf{E}_2 \tilde{\mathbf{x}} = \mathbf{E}_1 \Phi \tilde{\mathbf{x}} \quad (4)$$

178 where the encoding matrix  $\mathbf{E}_2$  comprises the chain of the shot-to-shot phase  
 179 variation  $\Phi$  and the encoding matrix  $\mathbf{E}_1$ . We implemented these two encoding  
 180 matrices in SigPy (Ong and Lustig, 2019).

181 *2.5. Joint  $k$ - $q$ -slice reconstruction*

182 Based on the generalized forward models in Eqs. (2) and (4), our proposed  
 183 joint  $k$ - $q$ -slice reconstruction can be formulated as a three-step approach.

184 **I. Navigator echo reconstruction.** The acquisition of navigator echoes  
 185 follows the forward model in Eq. (2), so the reconstruction of navigator

186 echoes can be formulated as:

$$\operatorname{argmin}_{\mathbf{x}} \|\mathbf{y} - \mathbf{E}_1 \mathbf{x}\|_2^2 + \lambda \mathbf{R}(\mathbf{x}) \quad (5)$$

187 where  $\mathbf{R}(\mathbf{x})$  denotes the regularization functional with the regularization  
188 strength  $\lambda$ . In this work,  $\ell^2$  regularization was used, i.e.,  $\mathbf{R}(\mathbf{x}) =$   
189  $\|\mathbf{x}\|_2^2$ . In the case of self-navigating (i.e., no navigator acquired) as Pro-  
190 tocol #2, the central  $k$ -space region (i.e., 1/4 of the full image matrix)  
191 of each segment is used as  $\mathbf{y}$  in Eq. (5).

192 **II. Iterative phase smoothing.** Shot-to-shot phase variation was ex-  
193 tracted from the reconstructed navigator echo phases. Assuming that  
194 phase images are spatially smooth (Chen et al., 2013; Dai et al., 2023),  
195 we employed the iterative approach to smooth phase,

$$\mathbf{x}^{(k+1)} = \mathbf{F}^{-1} \mathcal{H} \mathbf{F} \mathbf{x}^{(k)} \quad (6)$$

196 where the index  $k$  denotes the phase smoothing iteration step, and  $x^{(0)}$   
197 is then the reconstructed navigator image from Step I.  $\mathcal{H}$  is the Hanning R248.Minor.12  
198 window.

199 **III. Shot-combined reconstruction.** Joint reconstruction of all DW im-  
200 ages using the shot-combined forward model  $\mathbf{E}_2$  with shot-to-shot phase  
201 variation from Step II reads:

$$\operatorname{argmin}_{\tilde{\mathbf{x}}} \|\mathbf{y} - \mathbf{E}_2 \tilde{\mathbf{x}}\|_2^2 + \lambda \|\mathbf{T}(\tilde{\mathbf{x}})\|_* \quad (7)$$

202 Here, LLR regularization was employed in the local spatial-diffusion ma-  
203 trices, based on the theory of partially separable functions (Liang, 2007;  
204 Trzasko and Manduca, 2011; Zhang et al., 2015).  $\mathbf{T}$  represents a linear

operator that firstly slides a local patch window through all DW images  
and then flattens every set of local patches to construct two-dimensional  
(2D) spatial-diffusion matrices. The spatial dimension equals the block  
size, and the diffusion dimension is the number of diffusion encodings.  
 $\|\mathbf{T}(\tilde{\mathbf{x}})\|_*$  is the nuclear norm, i.e. the sum of singular values of a spatial-  
diffusion matrix. This nuclear norm regularization was accomplished  
via singular value thresholding (SVT) of each spatial-diffusion matrix R248.Minor.6  
(Cai et al., 2010). After SVT, the adjoint of  $\mathbf{T}$ ,  $\mathbf{T}^H$ , was needed to  
reorder pixel values from the spatial-diffusion matrices back to DW im-  
ages. To alleviate checkerboard artifacts induced by LLR regularization  
with non-overlapping blocks (Hu et al., 2020), we employed overlapping  
blocks. In this case, values from overlapping positions are summed up  
to the output of  $\mathbf{T}^H$ . To enable the correct use of  $\mathbf{T}^H$ , we element-wise  
divided the output of  $\mathbf{T}^H$  by a scaling matrix. This matrix was obtained  
via  $\mathbf{T}^H(\mathbf{T}(\mathbf{1}))$ , where  $\mathbf{1}$  denotes the matrix of all ones with the same  
shape as the input  $\mathbf{x}$ . R249.Minor.9

## 221 2.6. Reconstruction

222 The acquired raw data was read in by twixtools (<https://github.com/pehses/twixtools>). Ramp-sampling regridding and FOV/2-ghost correc-  
223 tion were also performed in twixtools. Subsequently, coil sensitivity maps  
224 were computed from reference scans using ESPIRiT (Uecker et al., 2014) in  
225 SigPy (Ong and Lustig, 2019).

227 With this pre-processing as well as the implemented forward models and  
228 proximal operator, the inverse problem in Eq. (7) was solved by the alter-  
229 nating direction method of multipliers (ADMM) (Boyd et al., 2010).

230 ADMM solves the minimization problems in an alternating update scheme,

$$\begin{cases} \mathbf{x}^{(k+1)} := \underset{\mathbf{x}}{\operatorname{argmin}} \| \mathbf{y} - \mathbf{E}(\mathbf{x}) \|^2 + \rho/2 \| \mathbf{T}\mathbf{x} - \mathbf{z}^{(k)} + \mathbf{u}^{(k)} \|_2^2 \\ \mathbf{z}^{(k+1)} := \mathcal{T}_{\lambda/\rho}(\mathbf{T}\mathbf{x}^{(k+1)} + \mathbf{u}^{(k)}) \\ \mathbf{u}^{(k+1)} := \mathbf{u}^{(k)} + \mathbf{T}\mathbf{x}^{(k+1)} - \mathbf{z}^{(k+1)} \end{cases} \quad (8)$$

231 where  $k$  denotes the ADMM iteration.  $\mathbf{z}$  is the auxiliary variable ( $\mathbf{z} = \mathbf{T}\mathbf{x}$ ),  
232 and  $\mathbf{u}$  is the Lagrangian multipliers. Importantly, when solving Eq. (2),  $\mathbf{x}$   
233 denotes shot images and  $\mathbf{E}$  denotes  $\mathbf{E}_1$  in Eq. (8). In contrast,  $\mathbf{x}$  denotes shot-  
234 combined images and  $\mathbf{E}$  denotes  $\mathbf{E}_2$  when solving Eq. (4).  $\mathbf{x}$  can be solved  
235 using linear least square algorithms, e.g. conjugate gradients (Hestenes and  
236 Stiefel, 1952), while  $\mathbf{z}$  is updated via singular value thresholding ( $\mathcal{T}$ ) with  
237 the thresholding parameter  $\lambda/\rho$ . The coupling parameter  $\rho$  is effective in  
238 both the update of  $\mathbf{x}$  and  $\mathbf{z}$ . It acts as Tikhonov regularization strength  
239 when updating  $\mathbf{x}$ , but also inversely scales the thresholding strength when  
240 updating  $\mathbf{z}$ , as shown in Supporting Information Figures S1 and S2.

241 In this work, 15 ADMM iterations with  $\rho = 0.05$  and  $\lambda = 0.04$ , and a  
242 block size of 6 for LLR (refer to Supporting Information Figure S3) were  
243 used. All reconstructions were done on a single A100 SXM4/NVLink GPU  
244 with 40 GB memory (NVIDIA, Santa Clara, CA, USA).

245 We compared our proposed joint reconstruction with established multi-  
246 shot reconstruction techniques, specifically, MUSE (Chen et al., 2013) and  
247 JULEP (Dai et al., 2023), hosted on GitHub by Dr. Dai (Dai et al., 2023).  
248 Further, we performed the local-PCA denoising (Cordero-Grande et al., 2019)  
249 as implemented in MRtrix (Tournier et al., 2019) on the MUSE reconstructed  
250 complex DW images.

251 With reconstructed DW images from Protocol #2 in Table 1, color-coded

<sup>252</sup> fractional anisotropy (cFA) maps ([Basser et al., 1994](#)) were fitted using DiPy  
<sup>253</sup> ([Garyfallidis et al., 2014](#)),

254 **3. Results**

255 *3.1. Iterative smoothing of shot-to-shot phase variation*

256 Navigators were acquired with the acceleration rate as listed in Table 1.  
257 Besides, the base resolution of navigators (e.g. 32 in Protocol #3 in Table 1)  
258 was smaller than imaging echoes. As a result, reconstructed navigator phases  
259 (refer to the first column in Fig. 3) from Step I in Section 2.5 are not spatially  
260 smooth. Such phases, when used in the shot-combined reconstruction, result  
261 in signal void artifacts in DW images. To address this problem, we utilized  
262 the iterative smoothing procedure. As shown in Fig. 3, the ripple-like phase  
263 artifact disappears after five iterations. It can also be seen that such an R249.Minor.11  
264 iterative procedure retains the shot-to-shot phase variation.

– 12

265 *3.2. Comparison to MUSE and JULEP with four-shot iEPI acquisition*

266 The iterative phase smoothing was also applicable to MUSE-type self-  
267 navigating reconstruction, where shot phases were reconstructed from imag-  
268 ing echoes. Fig. 4 compares our proposed JETS with MUSE (Chen et al.,  
269 2013), MUSE with complex-valued local-PCA denoiser (Cordero-Grande et al.,  
270 2019), and JULEP (Dai et al., 2023). The residual noise from MUSE can be  
271 largely removed by the denoiser. However, when compared to JETS, the de-  
272 noiser shows residual noise patterns within the globus pallidus (indicated by  
273 the red arrow). JETS also shows better denoising than JULEP. The reason  
274 is that JETS enforces spatial-diffusion regularization, whereas JULEP for-  
275 mulates structured low-rank regularization of the four shots for one diffusion  
276 encoding.

### Iterative smoothing of shot-to-shot phase variation

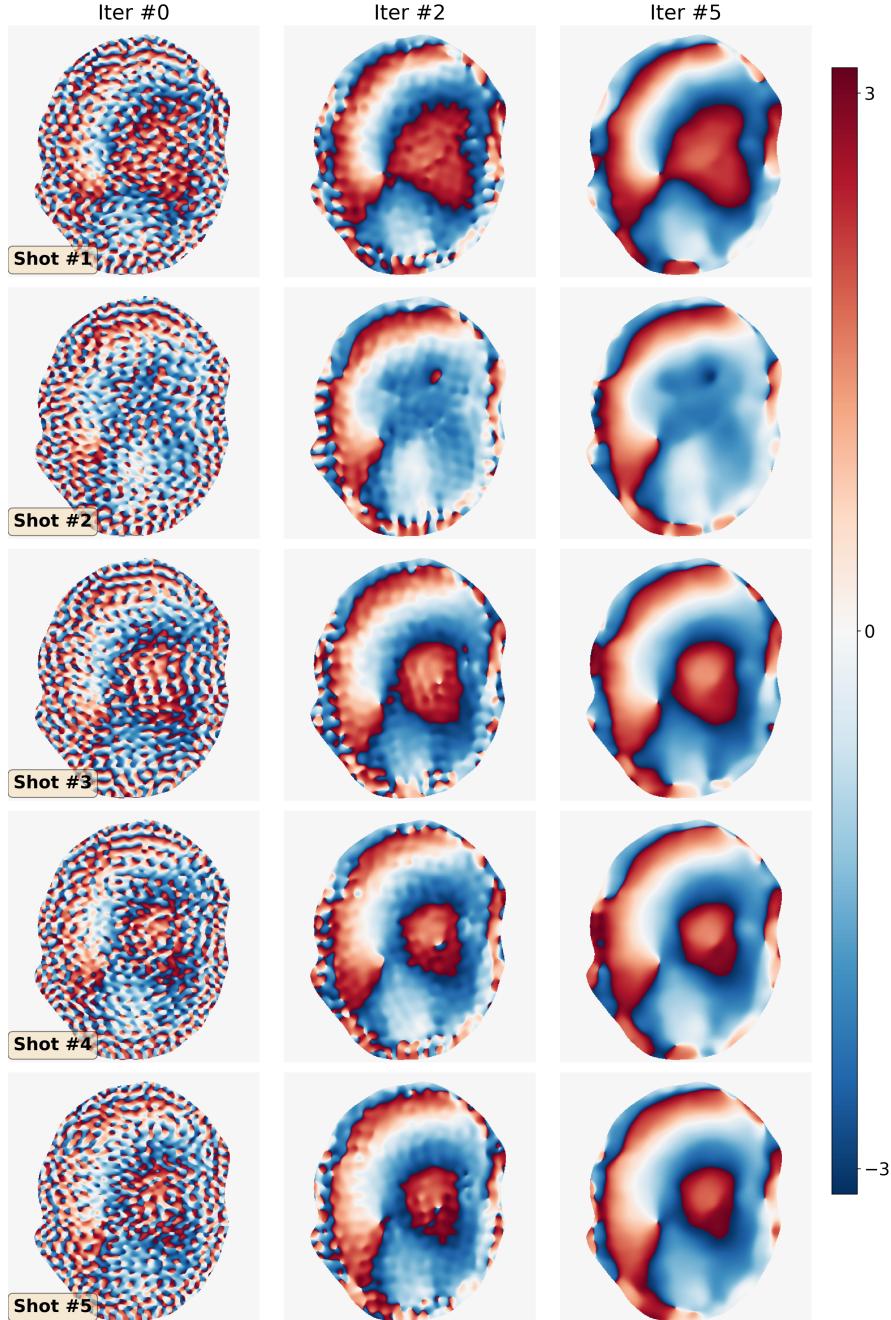


Figure 3: Iterative smoothing of shot-to-shot phase variation according to Eq. (6). Navigators from Protocol #3 were reconstructed based on Step I in Section 2.5 and then used as the input (iter #0, left column).

**8th DW image from 4-shot iEPI @ 1 mm ISO**

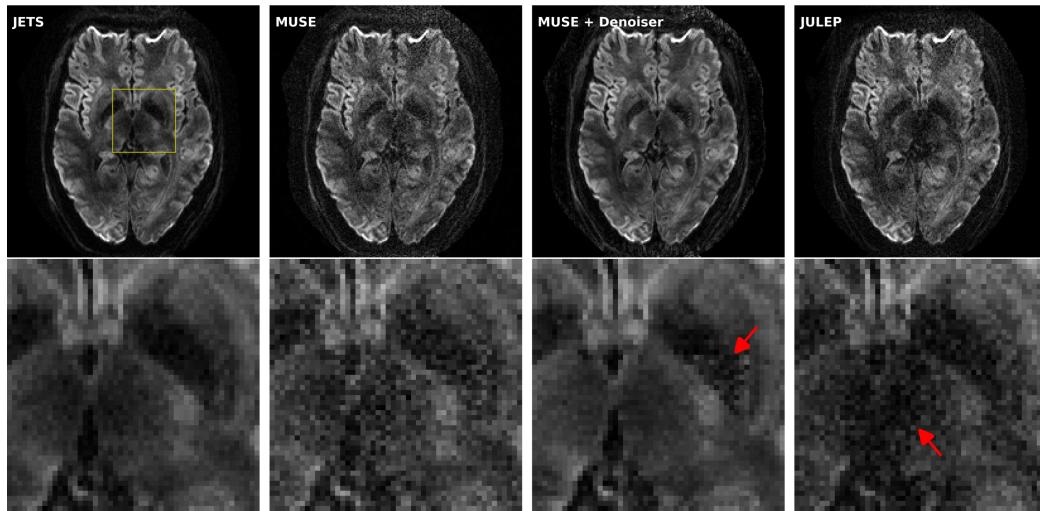


Figure 4: Reconstructed DW images (the 8th diffusion encoding) based on 4-shot iEPI acquisition with 1 mm isotropic resolution (Protocol #1 in Table 1). Four reconstruction methods are compared (from left to right): JETS, MUSE, MUSE with denoiser, and JULEP. The 2nd row displays the magnified views of the yellow square. The image from the denoiser (3rd column) shows residual noise patterns within the globus pallidus (indicated by the red arrow). The JULEP reconstruction (4th column) shows signal dropout in the central region (indicated by the red arrow).

R249.Minor.13

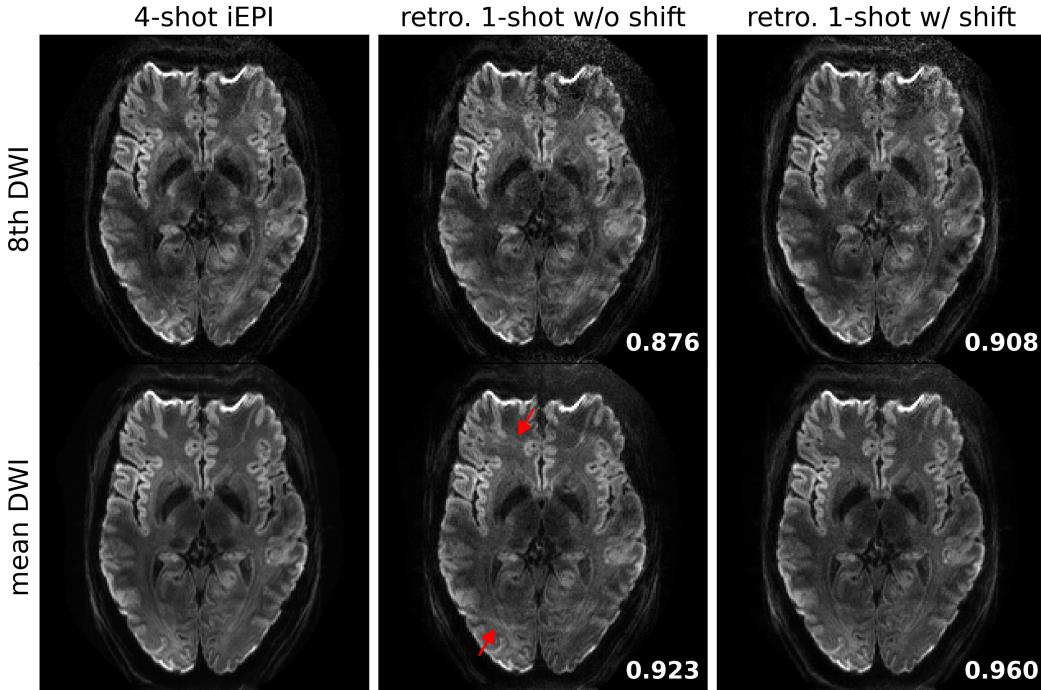


Figure 5: Quantitative validation of the proposed  $k_y$ -shift encoding sampling pattern based on 4-shot iEPI acquisition with 1 mm isotropic resolution (Protocol #1 in Table 1). (Top) the 8th diffusion encoding and (bottom) mean DWI over 20 diffusion encodings. (1st column) JETS reconstruction of 4-shot iEPI acquisition is used as the ground truth. The 2nd and the 3rd column displays JETS reconstruction of retrospectively undersampled 1-shot acquisition without and with  $k_y$  shifting, respectively. Residual aliasing artifacts are visible in the reconstruction without  $k_y$  shifting, as indicated by the red arrows. Structural similarity (SSIM) values are computed and displayed in the bottom right corners.

R249.Minor.14

- 16

277    3.3. Retrospectively undersampling from the four-shot iEPI acquisition

278    JETS reconstruction results on the four-shot prospectively fully-sampled  
279    data from Protocol #1 in Table 1, as well as on the retrospectively under-  
280    sampled one-shot data without and with the proposed  $k_y$  shift are displayed  
281    in Fig. 5. Residual aliasing artifacts are visible in the reconstruction without  
282     $k_y$  shifting, as indicated by the red arrows. In contrast, the reconstruction R249.Minor.17  
283    with the proposed  $k_y$  shifting among diffusion encodings shows much reduced R249.Minor.18  
284    aliasing, reduced noise, and higher SSIM.

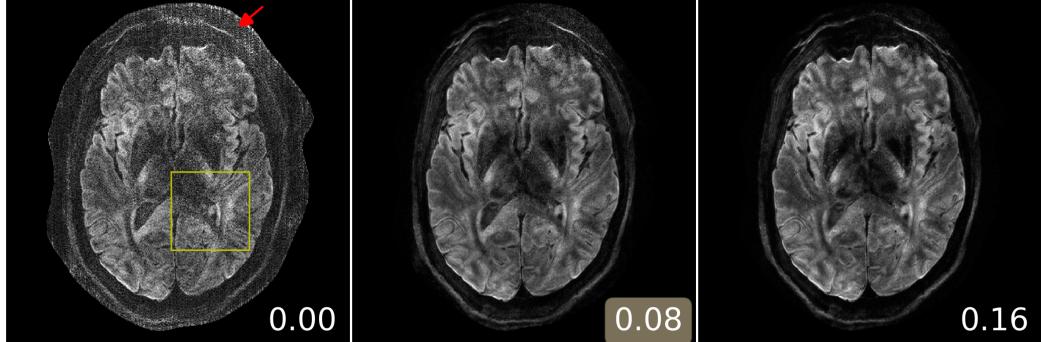
285    3.4. Analysis of reconstruction parameters

286    Here we provide a systematic analysis of the proposed JETS reconstruc-  
287    tion with LLR regularization applied to the spatial-diffusion dimension, as  
288    shown in Fig. 6.

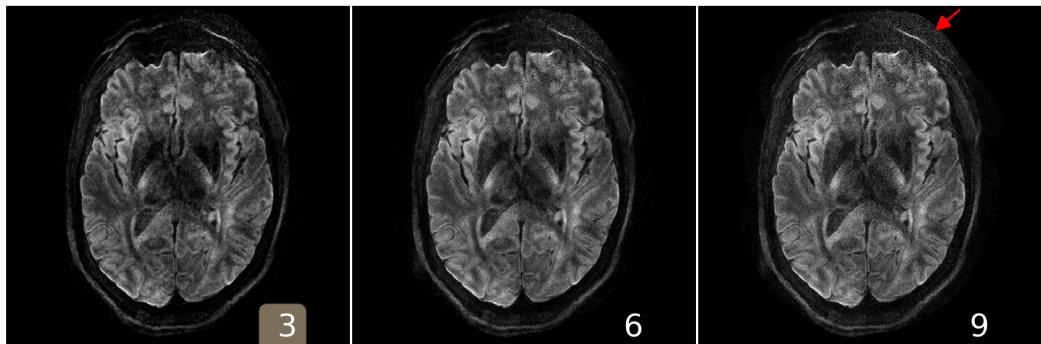
289    First, we varied the regularization strength  $\lambda$ . We tested values of 0, 0.08,  
290    and 0.16. The reconstruction with  $\lambda = 0$  in Eq. (7) corresponds to parallel R249.Minor.19  
291    imaging reconstruction without LLR regularization. It is worth noting that  
292    the proposed NAViEPI sequence demonstrates high-quality sub-millimeter  
293    DW images ( $0.5 \times 0.5 \times 2.0 \text{ mm}^3$  in this example). The DW images can be  
294    further improved with the use of LLR regularization, i.e., reduced noise, as  
295    seen in the reconstruction with  $\lambda = 0.08$ . Increasing  $\lambda$  (e.g. 0.16) further  
296    reduces noise, but at the cost of increased blurring. Therefore,  $\lambda = 0.08$  was  
297    selected in this work.

298    Second, besides the regularization strength, the block size (i.e., the area  
299    of 2D patches) also plays a role in denoising. We employed square blocks in  
300    this work. Here, the block width of 2 shows the best denoising as compared  
301    to 1 and 3, especially in the peripheral brain region. Among the three tested

**(A) varying  $\lambda$ , keeping block as 6 and stride as 1**



**(B) varying block size, keeping  $\lambda$  as 0.08 and stride as 1**



**(C) varying stride, keeping  $\lambda$  as 0.08 and block as 6**

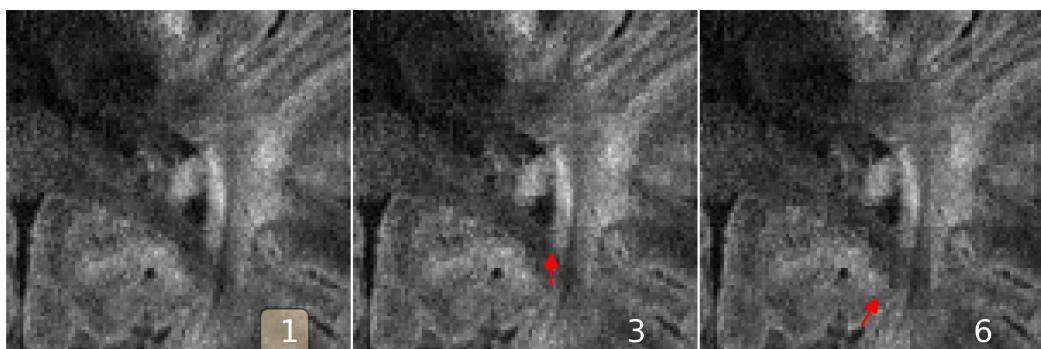


Figure 6: Analysis of reconstruction parameters based on the 3-scan trace acquisition with  $0.5 \times 0.5 \times 2.0 \text{ mm}^3$  (Protocol #3 in Table 1). Displayed are JETS reconstructed single-direction DW images. **(A)** Varying the regularization strength  $\lambda$  from 0 to 0.08 and 0.16. **(B)** Varying the block size from 3 to 6 and 9. **(C)** Varying the stride size from 1 to 3 and 6 (non-overlapping).

302 block widths, the block size of 4 (with the block width 2) is the smallest one  
303 which is no smaller than the diffusion directions in this 3-scan trace example  
304 (1  $b_0$  plus 3 orthogonal diffusion directions). This observation agrees with  
305 the suggestion that the patch size should be no smaller than and close to the  
306 diffusion directions (Cordero-Grande et al., 2019).

307 Third, we varied the stride, i.e., the step from one local patch to the  
308 next. The use of overlapping LLR (Fig. 6 (C) left) better suppresses blocky  
309 artifacts, compared to the partially overlapping LLR (Fig. 6 (C) middle) and  
310 the non-overlapping LLR (Fig. 6 (C) right).

R248.Major.4b

### 311 3.5. Sampling efficiency of NAViEPI

312 As shown in Fig. 7, NAViEPI achieves sub-millimeter resolution (voxel  
313 size  $0.5 \times 0.5 \times 2.0 \text{ mm}^3$ ) with the use of a 5-shot acquisition. When compared  
314 to a single-shot acquisition with the same voxel size, the acquisition time of  
315 NAViEPI is about two times longer, but the image quality of NAViEPI is  
316 remarkably improved.

R249.Minor.22

317 In the sub-millimeter imaging scenario, the increased base resolution re-  
318 quires longer TE (143 ms) in the single-shot acquisition, which results in  
319 significant signal loss due to  $T_2$  relaxation. Therefore, sub-millimeter DWI  
320 necessitates multi-shot acquisition, which is subject to shot-to-shot phase  
321 variation and long scan time. However, NAViEPI solves both challenges. The  
322 5-shot acquisition reduces TE to 58 ms, and thus retains SNR significantly  
323 compared to the single-shot acquisition. Moreover, the JETS reconstruction  
324 can help to reduce noise and improve structural visibility.

325 Fig. 8 shows the JETS reconstructed  $b_0$  and TRACE images in different  
326 slice locations. Admittedly, the lower brain region (e.g. slice #22) exhibits in-

**3-scan trace acquisition with voxel size  $0.5 \times 0.5 \times 2.0 \text{ mm}^3$**

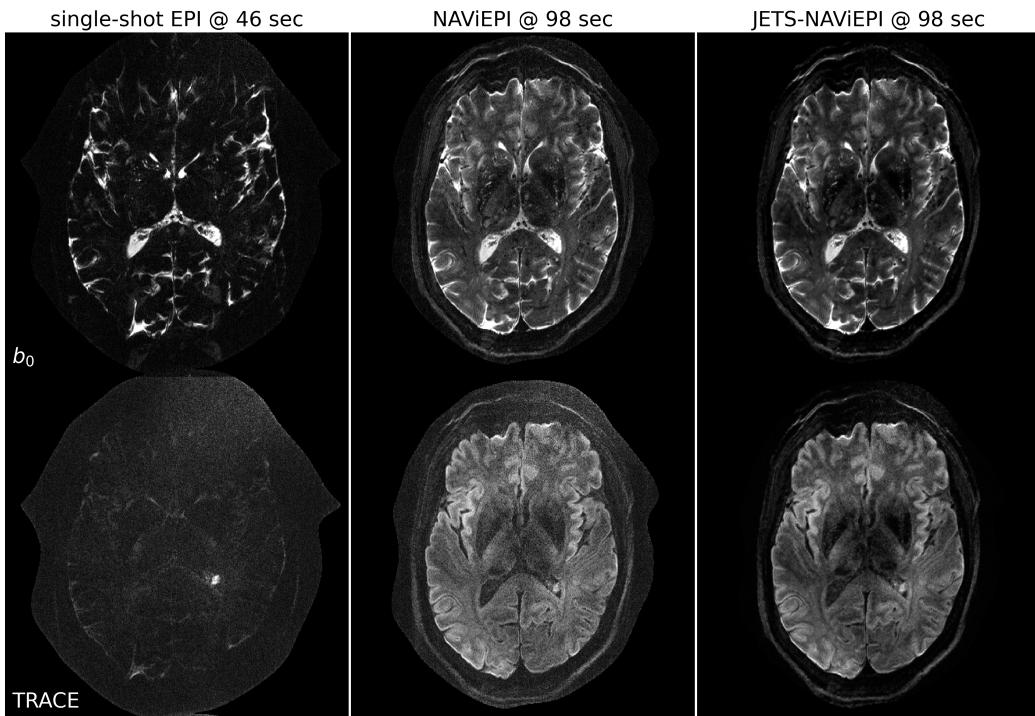


Figure 7: Sampling efficiency of the proposed NAViEPI sequence. 5-shot NAViEPI acquisition with the voxel size  $0.5 \times 0.5 \times 2.0 \text{ mm}^3$  (Protocol #3) was compared with single-shot EPI acquisition (Protocol #4). Both the 1st and the 2nd columns were reconstructed via parallel imaging without LLR regularization, whereas the 3rd column was reconstructed via JETS.

**3-scan trace acquisition with voxel size  $0.5 \times 0.5 \times 2.0 \text{ mm}^3$**

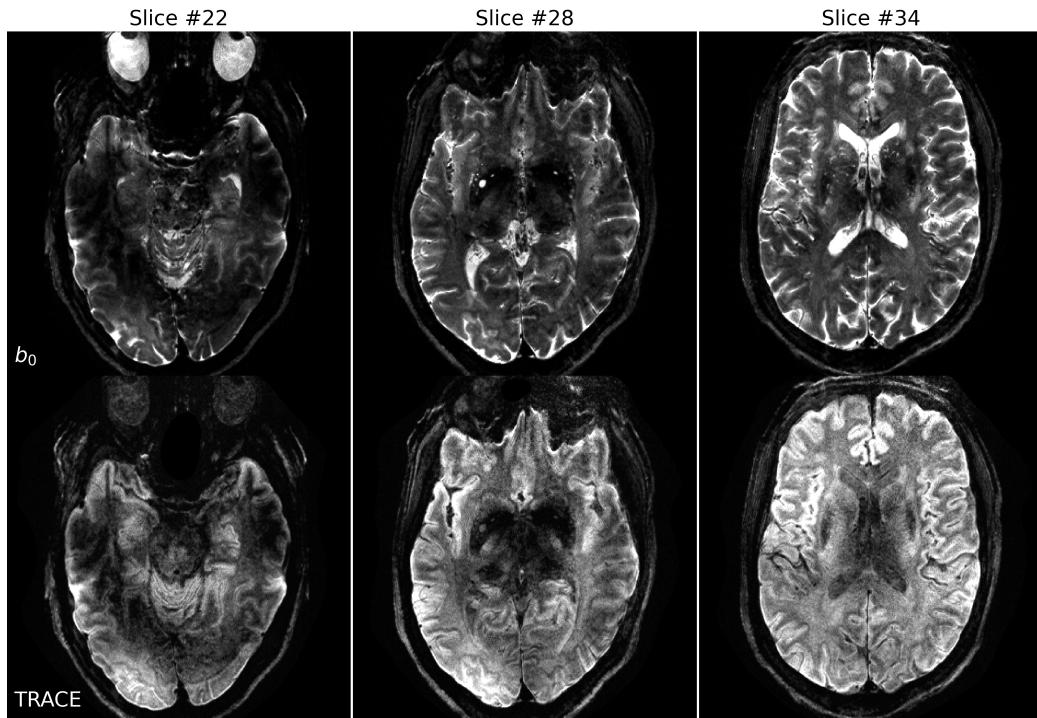


Figure 8: Reconstruction of the 3-scan trace acquisition with the voxel size  $0.5 \times 0.5 \times 2.0 \text{ mm}^3$  (Protocol #3) at different slices.

327 homogeneous and lower signal intensity than the upper slices. Such inhomogeneity can be alleviated with the use of multi-channel parallel transmission  
328 (Katscher et al., 2003; Grissom et al., 2010).

330 *3.6. Diffusion tensor imaging*

331 Since 30 diffusion encodings were acquired in Protocol #2, the block size  
332 in LLR regularization was lifted to 6, such that the spatial-diffusion matrix  
333 for SVT has similar width and height. The other parameter were kept the  
334 same as found in Fig. 6.

335 The mean DWIs in Fig. 9 illustrate high spatial resolution and high SNR.  
336 In line with Fig. 8, we can notice the signal loss in the cerebellum region, due  
337 to the use of single-channel transmission in this work. On the other hand,  
338 the reconstructed cFA maps in Fig. 9 show clear fiber orientation in all ori-  
339 entations. Moreover, tiny fiber structures can be visualized in the zoomed-in  
340 cFA maps. Because of the low signal sensitivity surrounding the cerebellum,  
341 residual artifacts are visible in the zoomed-in sagittal view. To enhance the  
342 DTI fitting performance, one possibility is to acquire more diffusion encod-  
343 ings.

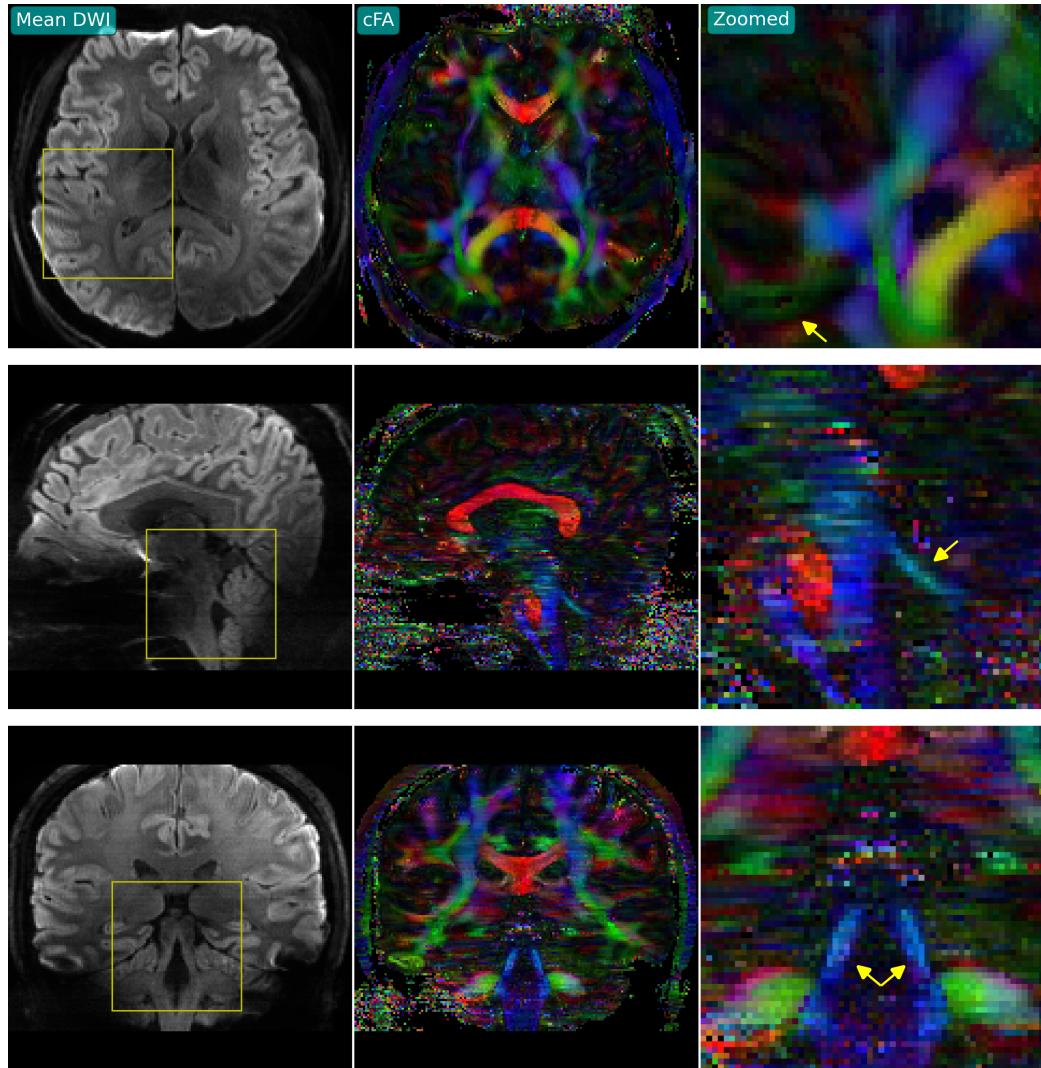


Figure 9: (Left) Mean DWI, (middle) cFA, and (right) cFA in the zoomed-in region based on JETS reconstructed DWI from Protocol #2. Three orthogonal slices (transversal, sagittal, and coronal) are displayed from top to bottom.

344 **4. Discussion**

345 This work reports a novel DW-MRI technique, JETS-NAViEPI. NAViEPI  
346 (1) achieves the fast and efficient acquisition of both imaging and navigator  
347 echoes, (2) enforces consistent effective ESP between the two echoes, and (3)  
348 allows for undersampled iEPI as well as a large number of shots. Moreover,  
349 compared to the single-shot acquisition, joint  $k$ - $q$ -slice reconstruction with  $k_y$ -  
350 shift encoding on NAViEPI retains SNR and reduces aliasing artifacts in DW  
351 images. As a result, JETS-NAViEPI renders high spatiotemporal resolution  
352 diffusion MRI protocols in 7 T, e.g., a 3-scan trace acquisition with the voxel  
353 size  $0.5 \times 0.5 \times 2.0 \text{ mm}^3$  at 1.5 min.

R249.Minor.24

354 One limitation of JETS-NAViEPI is the long reconstruction time due to  
355 the simultaneous reconstruction of all DW images and the use of overlapping  
356 locally low-rank regularization. The reconstruction for the Protocol #3 in  
357 Table 1 on an A100 GPU takes about 2 min per multi-band slice. To reduce  
358 the computation time, coil compression algorithms (Buehrer et al., 2007;  
359 Huang et al., 2008) can be employed to reduce the number of coils for image  
360 reconstruction. Moreover, one may deploy multi-GPU distributed computing  
361 or modern optimization algorithms (e.g. stochastic gradient descent) (Ong  
362 et al., 2020) to speed up the reconstruction.

363 Neither the signal modeling in Eqs. (2) and (4) nor the LLR regularization  
364 considers the subject motion. In the presence of motion, the regularized  
365 reconstruction may degrade. To overcome this problem, scout-informed mo-  
366 tion estimation and reconstruction (Polak et al., 2022) could be integrated  
367 into the framework.

368 Another potential extension of this work is to incorporate distortion cor-

369 rection. The standard distortion correction method is known as TOPUP  
370 (Andersson et al., 2003), which acquires two scans with opposing phase-  
371 encoding directions to obtain the field inhomogeneity map and then performs  
372 conjugate phase reconstruction to correct for distortion. Alternatively, the  
373 multi-echo acquisition could be used for the coil sensitivity reference scan,  
374 such that both coil sensitivity and  $B_0$  field inhomogeneity maps could be  
375 reconstructed from the data.

R249.Minor.25

376 This work employed a single regularization weight  $\lambda$  to enforce low rank-  
377 ness along the spatial-diffusion direction. However, SNR may be heteroge-  
378 neous within the FOV. Therefore, one single regularization scalar may be  
379 inadequate to cover the whole FOV. Beyond this SVT-based reconstruction,  
380 one may seek to use machine learning to learn a  $q$ -space prior as the regular-  
381 izer (Hammerlik et al., 2018; Lam et al., 2019; Mani et al., 2021).

R249.Minor.26

382 Although NAViEPI employs navigators for the acquisition of shot-to-  
383 shot phase variation, it is worth noting that phase behavior depends on  
384 several hard-to-control factors such as pulsatile motion, bulk motion, loca-  
385 tions within the brain, and diffusion sensitization strength. Therefore, more  
386 comprehensive modeling or post-processing such as image registration may  
387 be considered in future work.

388 potential synergies between their LLR and the denoiser

R248.3b

389 While this work reconstructs all DW images and then performs model  
390 fitting, an alternative approach is to directly estimate  $b_0$  and diffusion ten-  
391 sors from measured  $k$ - $q$ -space data using model-based reconstruction (Knoll  
392 et al., 2015; Dong et al., 2018; Shafieizargar et al., 2023). Compared to DW  
393 image reconstruction, model-based reconstruction solves for a fewer number

394 of unknowns, but requires strict diffusion tensor modeling and the use of  
395 nonlinear least square solvers.

396 **5. Conclusions**

397 We demonstrated the JETS-NAViEPI technique, which integrates a  $k_y$ -  
398 shifted encoding interleaved EPI sequence and a joint reconstruction with  
399 overlapping locally low-rank regularization for high spatial-angular-temporal  
400 resolution DW-MRI at 7 T. This technique allows for high-quality DW image  
401 reconstruction with accelerated acquisitions.

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406 **Data and code available statement**

407 In the spirit of reproducible and open science, we publish our source  
408 code (<https://github.com/ZhengguoTan/sigpy>) as well as the raw  $k$ -space  
409 data (<https://doi.org/10.5281/zenodo.7548595>). We also provide inter- R248.8  
410 active demonstrations of the reconstruction procedure ([https://github.com/ZhengguoTan/demo\\_jets\\_diffusion\\_mri\\_7t](https://github.com/ZhengguoTan/demo_jets_diffusion_mri_7t)).  
411

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