

A Ready To Use Web-Application Providing a Personalized Biopsy Schedule for Men With Low-Risk PCa Under Active Surveillance^{*}

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Abstract

Background: Prostate cancer active surveillance (AS) patients undergo repeat biopsies. Active treatment is advised when biopsy Gleason grade group ≥ 2 (*upgrading*). Many patients never experience upgrading, yet undergo biopsies frequently. Personalized biopsy decisions based on upgrading-risk may

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reduce patient burden.

Objective: Develop a risk prediction model and web-application to assist patients/doctors in personalized biopsy decisions.

Design, Setting, and Participants: Model development: world's largest AS study PRIAS, 7813 patients, 1134 experienced upgrading; External validation: largest five cohorts of Movember Foundation's GAP3 database ($> 20,000$ patients, 27 centers worldwide); Data: repeat prostate-specific antigen (PSA) and biopsy Gleason grade.

Outcome Measurements, and Statistical Analysis: A Bayesian joint model fitted to the PRIAS dataset. This model was validated in GAP3 cohorts using risk prediction error, calibration, area under ROC (AUC). Model and personalized biopsy schedules based on predicted risks were implemented in a web-application.

Results and Limitations: Cause-specific cumulative upgrading-risk at year five of follow-up: 35% in PRIAS, at most 50% in GAP3 cohorts. PRIAS based model: PSA velocity was a stronger predictor of upgrading (Hazard Ratio: 2.47, 95%CI: 1.93–2.99) than PSA value (Hazard Ratio: 0.99, 95%CI: 0.89–1.11). Validation: Moderate AUC (0.55–0.75) in PRIAS and GAP3 cohorts. Moderate prediction error (0.1–0.3) in GAP3 cohorts where impact of PSA value and velocity on upgrading-risk was similar to PRIAS, but large (0.3–0.45) otherwise. Recalibration advised for external cohorts.

Conclusions: We successfully developed and validated a model for predicting upgrading-risk, and providing risk-based personalized biopsy decisions, in prostate cancer AS. The model made available via a web-application enables shared decision making of biopsy schedules by comparing fixed and personalized schedules on total biopsies and expected time delay in detecting upgrading.

Patient Summary: Personalized prostate biopsies are a novel alternative to fixed one-size-fits-all schedules. The underlying statistical models are made available through a user-friendly web-application and may help to reduce unnecessary prostate biopsies while maintaining cancer control.

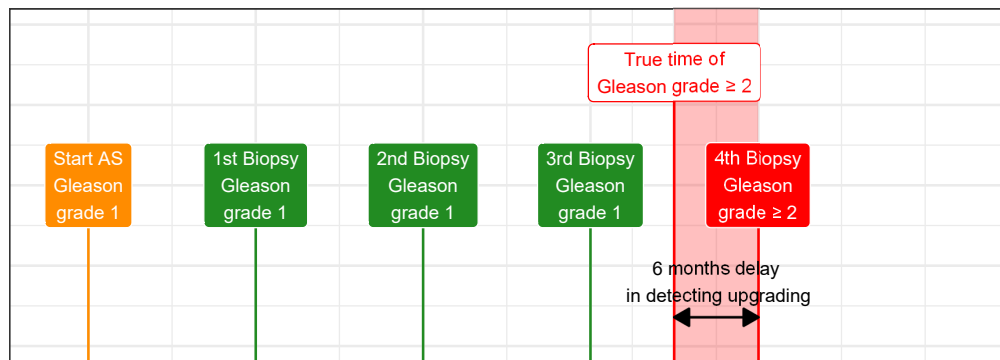
Keywords: Active Surveillance, Biopsies, Personalized Medicine, Prostate Cancer, Shared Decision Making

1. Introduction

Patients with low- and very low-risk screening-detected localized prostate cancer are usually recommended active surveillance (AS) instead of immediate radical treatment [1]. In AS, cancer progression is routinely monitored via prostate-specific antigen (PSA), digital rectal examination, and repeat biopsies. Among these, the strongest indicator of cancer-related outcomes is the biopsy Gleason grade group [2]. When the Gleason grade group increases from group 1 (Gleason 3+3) to 2 (Gleason 3+4) or higher, called *upgrading* [3], patients are commonly advised curative treatment [4].

In most AS protocols, biopsies are scheduled periodically. Consequently,

A Biopsy every year



B Biopsy every 2 years

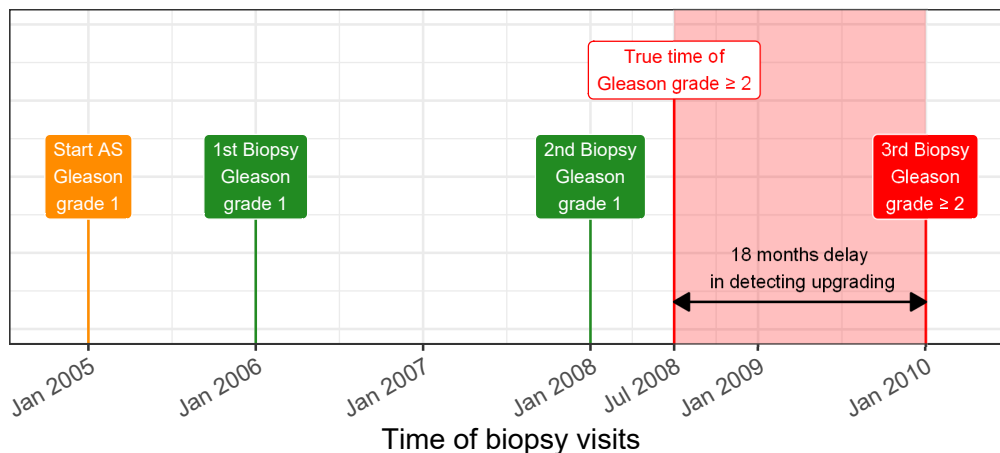


Figure 1: **Trade-off between the number of biopsies and time delay in detecting upgrading (Increase in Gleason grade group from 1 to 2 or higher):** The true time of upgrading for the patient in this figure is July 2008. When biopsies are scheduled annually (**Panel A**), upgrading is detected in January 2009 with a time delay of six months, and a total of four biopsies are scheduled. When biopsies are scheduled biennially (**Panel B**), upgrading is detected in January 2010 with a time delay of 18 months, and a total of three biopsies are scheduled. Since biopsies are conducted periodically, the time of upgrading is observed as an interval. For example, between Jan 2008–Jan 2009 in **Panel A** and between Jan 2008–Jan 2010 in **Panel B**. The phrase ‘Gleason grade group’ is shortened to ‘Gleason grade’ for brevity.

11 upgrading is always detected with a time delay (Figure 1). For detecting
 12 upgrading timely, many AS programs schedule fixed and frequent biopsies
 13 (e.g., annually) for all patients [5, 6]. However, this leads to many unnec-
 14 essary biopsies in slow/non-progressing patients. Biopsies are invasive, may
 15 be painful, and are prone to medical complications such as bleeding and
 16 septicemia[7]. Thus, biopsy burden and patient non-compliance to frequent
 17 biopsies [8] has raised concerns regarding the optimal biopsy schedule [9, 10].
 18 To this end, some cohorts have started using magnetic resonance imaging
 19 (MRI) for deciding biopsies. Although, due to currently limited AS data,
 20 MRI's value is not clear. Others have proposed infrequent schedules such
 21 as biennial biopsies as an alternative [9, 11]. However, fundamental differ-
 22 ences exist in underlying upgrading-risk across cohorts [9]. Thus, biennial
 23 biopsies may still lead to five unnecessary biopsies over ten years (current
 24 study period of large AS programs) for many slow/non-progressing patients.
 25 A promising alternative to fixed and frequent biopsies is personalized biopsy
 26 schedules based on the patient-specific upgrading-risk (Figure 2).

27 The first challenge in developing personalized biopsy schedules is consol-
 28 idating accumulated patient data (e.g., PSA, previous biopsy results) into
 29 estimates for upgrading-risk. Existing calculators for upgrading-risk [12, 13]
 30 use only the latest PSA measurement of a patient. In contrast, we intend to
 31 utilize all repeated measurements of PSA, previous biopsy results, and base-
 32 line characteristics of a patient. To this end, a suitable model is the joint
 33 model for time-to-event and longitudinal data [14, 15, 16]. A joint model
 34 predicts the upgrading-risk in a personalized manner. A subsequent chal-
 35 lenge, however, is translating risks into clinical decisions. For example, a

A Should a biopsy be conducted at current visit?

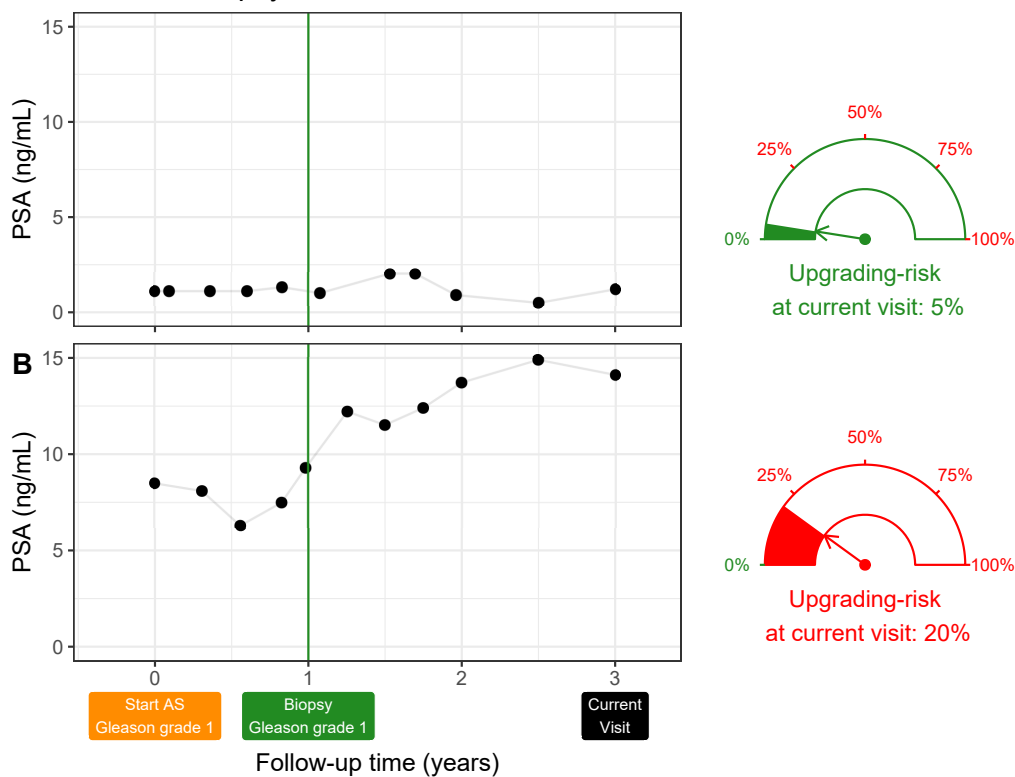


Figure 2: **Motivation for personalized upgrading-risk based decisions of biopsy:** Patient A (**Panel A**) and B (**Panel B**) had their latest biopsy at year one of follow-up (green vertical line). Patient A's prostate-specific antigen (PSA) profile remained stable until his current visit at year three, whereas patient B's profile has shown a rise. Consequently, patient B's upgrading-risk at the current visit (year three) is higher than that of patient A. This makes patient B a more suitable candidate for biopsy than Patient A. Risk estimates in this figure are only illustrative.

10% upgrading-risk can be perceived high/low depending upon the patient's age. Patients may also weigh risks of upgrading with the potential *consequences* of another biopsy. Two relevant *consequences* of biopsies (Figure 1) are the timing and the total number of biopsies (burden), and the time delay in detecting upgrading (smaller is beneficial). The relative importance of these *consequences* can vary between the patients, and also over the follow-up period for the same patient.

The goal of this work is to develop a robust, generalizable model that gives reliable estimates for individual upgrading-risk, and to create personalized biopsy schedules based on this risk. To facilitate shared decision making of biopsy schedules, we also aim to provide quantitative estimates of *consequences* of opting for a personalized versus the standard fixed schedule. For developing our model, we will use the world's largest AS dataset PRIAS. Subsequently, we want to externally validate our model in the largest five AS cohorts from the Movember Foundation's GAP3 database [17]. Last, we intend to implement our model and methodology in a web-application.

2. Patients and Methods

2.1. Study Cohort

For developing a statistical model to predict upgrading-risk, we used the world's largest AS dataset, Prostate Cancer International Active Surveillance or PRIAS [4] (Table 1). In PRIAS, PSA was measured quarterly for the first two years of follow-up and semiannually thereafter. Biopsies were scheduled at year one, four, seven, and ten of follow-up. Additional yearly biopsies were scheduled when PSA doubling time was between zero and ten years.

Table 1: **Summary of the PRIAS dataset.** The primary event of interest is upgrading, that is, increase in Gleason grade group from group 1 [2] to 2 or higher. IQR: interquartile range, PSA: prostate-specific antigen. Study protocol URL: <https://www.prias-project.org>

Characteristic	Value
Total centers	> 100
Total patients	7813
Upgrading (primary event)	1134
Treatment	2250
Watchful waiting	334
Loss to follow-up	249
Death (unrelated to prostate cancer)	95
Death (related to prostate cancer)	2
Median age at diagnosis (years)	66 (IQR: 61–71)
Median follow-up period per patient (years)	1.8 (IQR: 0.9–4.0)
Total PSA measurements	67578
Median number of PSA measurements per patient	6 (IQR: 4–12)
Median PSA value (ng/mL)	5.7 (IQR: 4.1–7.7)
Total biopsies	15686
Median number of biopsies per patient	2 (IQR: 1–2)

60 We selected all 7813 patients who had Gleason grade group 1 at the time
 61 of inclusion in PRIAS. Our primary event of interest is an increase in this
 62 Gleason grade group upon repeat biopsy, called *upgrading* (1134 patients).
 63 Upgrading is a trigger for treatment advice in PRIAS. Although, 2250 pa-
 64 tients were provided treatment based on their PSA, or number of biopsy cores
 65 with cancer, or anxiety/other reasons. Our reasons for focusing solely on up-
 66 grading are, namely, upgrading is strongly associated with cancer-related
 67 outcomes, and other triggers for treatment vary between cohorts [5].

68 For model validation, we selected the largest five cohorts from Movember
 69 Foundation’s GAP3 database [17]. These were, namely, the University of
 70 Toronto AS (Toronto), Johns Hopkins AS (Hopkins), Memorial Sloan Ket-
 71 tering Cancer Center AS (MSKCC), King’s College London AS (KCL), and
 72 Michigan Urological Surgery Improvement Collaborative AS (MUSIC). Only
 73 patients with a Gleason grade group 1 at the time of inclusion in these cohorts
 74 were selected (Supplementary A.2).

75 2.2. Statistical Model

76 For developing an upgrading-risk prediction model, the available data in
 77 the PRIAS cohort was patient age at inclusion in AS, longitudinally measured
 78 PSA, timing of repeat biopsies and corresponding Gleason grades, and ob-
 79 served time of upgrading. Analysis of this data required modeling the within-
 80 patient correlation for PSA, the association between the Gleason grades and
 81 PSA profiles of a patient, and handling missing PSA measurements after a
 82 patient experienced upgrading. In such situations, a commonly used model
 83 is the joint model for time-to-event and longitudinal data [14, 15, 16].

84 Our joint model consisted of two sub-models. First, a linear mixed sub-

85 model [18] for longitudinally measured PSA (log-transformed). Second, a
 86 relative-risk sub-model (similar to the Cox model) for obtaining the cause-
 87 specific upgrading-risk. Patient age was included as a predictor in both sub-
 88 models. In the PSA sub-model, we fitted a curve to the PSA measurements
 89 (Panel A, Figure 3). From each patient’s fitted PSA profile, we extracted his
 90 time-varying PSA velocity (Panel B, Figure 3). This instantaneous velocity
 91 is more precise than the widely employed constant PSA velocity [19]. We
 92 modeled the impact of PSA on upgrading-risk by including fitted PSA value
 93 and velocity as predictors in the relative-risk model. Also, the time of the
 94 latest negative biopsy was utilized in the relative-risk sub-model (Panel C,
 95 Figure 3). The parameters of the two sub-models were estimated jointly
 96 (Supplementary A) using the R package **JMbayes** [20].

97 *2.3. Model Validation*

98 We validated our PRIAS based risk prediction model internally in the
 99 PRIAS cohort, and externally using the largest five GAP3 database cohorts
 100 (Section 2.1 and Supplementary A.2). We assessed our model’s ability to
 101 discriminate between patients who experience/do not experience upgrading
 102 via the area under the receiver operating characteristic curve or AUC [21].
 103 We employed calibration plots [22, 23] and mean absolute risk prediction
 104 error [21] to graphically and quantitatively evaluate our model’s risk predic-
 105 tion accuracy. Since AS studies are longitudinal, both AUC and prediction
 106 error vary over follow-up (Supplementary B.1). Lastly, to resolve any po-
 107 tential model miscalibration in validation cohorts, we aimed to recalibrate
 108 our model’s baseline hazard of upgrading (Supplementary A), individually
 109 for each cohort.

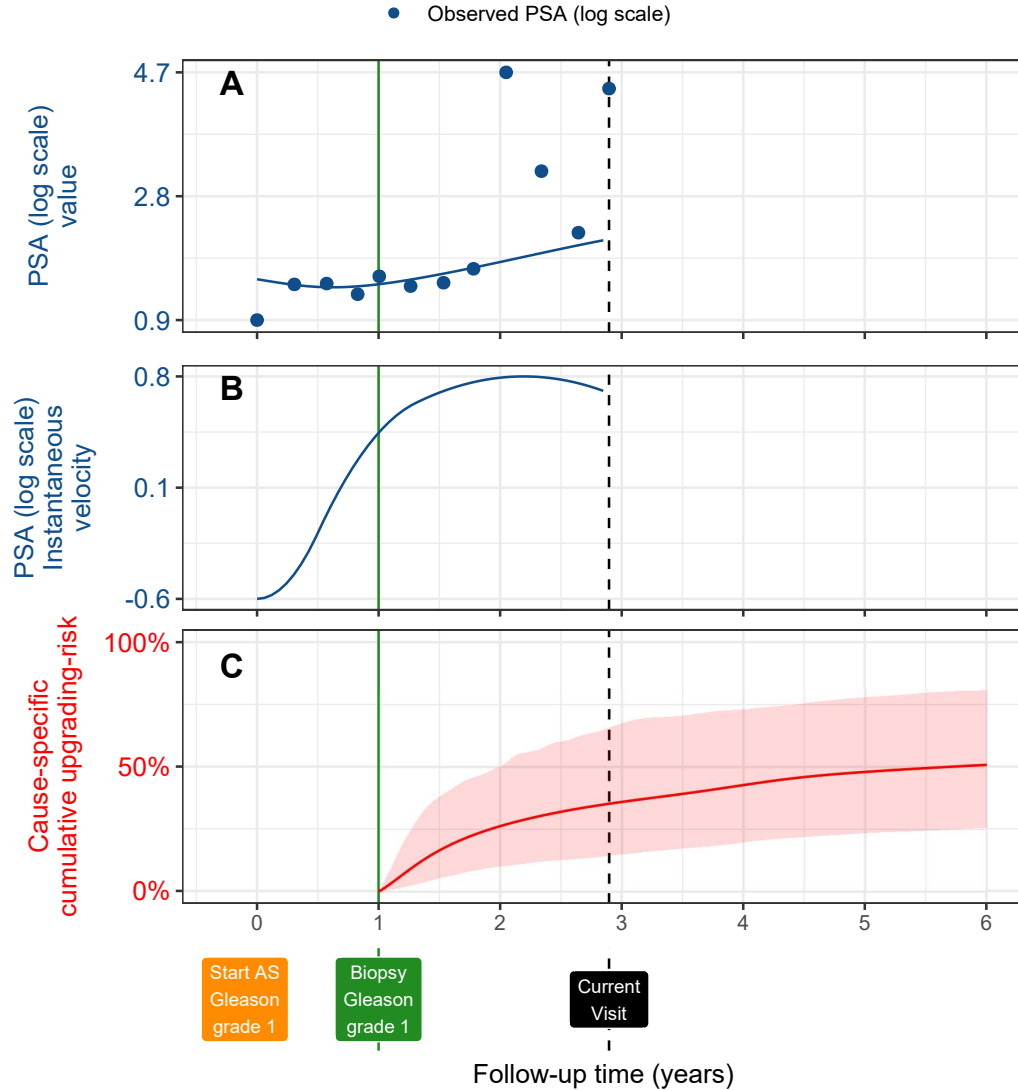


Figure 3: **Illustration of the joint model on a real PRIAS patient.** **Panel A:** Observed PSA (blue dots) and fitted PSA (solid blue line), log-transformed. **Panel B:** Estimated instantaneous velocity of PSA (log-transformed). **Panel C:** Predicted cause-specific cumulative upgrading-risk (95% credible interval shaded). Upgrading is defined as an increase in the Gleason grade group from group 1 [2] to 2 or higher. This upgrading-risk is calculated starting from the time of the latest negative biopsy (vertical green line at year 1 of follow-up). The joint model estimated it by combining the fitted PSA (log scale) value and instantaneous velocity, and time of the latest negative biopsy. Black dashed line at year 3 denotes the time of current visit.

110 3. Results

111 The cause-specific cumulative upgrading-risk at year five of follow-up was
 112 35% in PRIAS, and at most 50% in the five validation cohorts (Panel B,
 113 Figure 4). That is, many patients may not require any biopsy in the first
 114 five years of AS.

115 In the joint model fitted to the PRIAS dataset, the adjusted hazard ratio
 116 of upgrading for an increase in patient age from 61 to 71 years (25-th to
 117 75-th percentile) was 1.45 (95%CI: 1.30–1.63). For an increase in fitted PSA
 118 value (log scale) from 2.36 to 3.07 (25-th to 75-th percentile), the adjusted
 119 hazard ratio was 0.99 (95%CI: 0.89–1.11). In contrast to PSA value, instan-
 120 taneous PSA velocity was a stronger predictor of upgrading-risk, because
 121 an increase in velocity from -0.09 to 0.31 (25-th to 75-th percentile) had a
 122 hazard ratio of 2.47 (95%CI: 1.93–2.99). The impact of PSA value and veloc-
 123 ity on upgrading-risk varied between cohorts (Table 6, Supplementary A.2).
 124 Detailed results are in Supplementary A.2.

125 The time-varying mean absolute risk prediction error; time-varying AUC;
 126 and calibration plot of our model in different validation cohorts are shown in
 127 Panel B, Figure 8, Supplementary B; Panel A, Figure 4; and Panel B, Fig-
 128 ure 4, respectively. In all cohorts, AUC was moderate (0.55 to 0.75). Mean
 129 absolute prediction error was large (0.3 to 0.45) in those cohorts where the
 130 impact of PSA value and velocity on upgrading-risk was different from PRIAS
 131 (e.g., MUSIC cohort, Table 6, Supplementary A.2), and moderate (0.1 to 0.3)
 132 otherwise. To resolve issues in calibration-at-large (Panel B, Figure 4), we
 133 recalibrated the baseline hazard of upgrading in all cohorts (Figure 6, Sup-
 134 plementary B). We compared risk predictions from the recalibrated models

with predictions from separately fitted joint models to each cohort (Figure 7, Supplementary B). The difference in predictions was lowest in Johns Hopkins cohort (impact of PSA similar to PRIAS, Table 5, Supplementary A.2). Comprehensive validation results are in Supplementary B.

3.1. *Personalized Biopsy Schedules*

We utilized the fitted joint model to create upgrading-risk based personalized biopsy schedules. To this end, given a new patient’s accumulated PSA measurements (Panel A, Figure 3) and biopsy results, we first predicted his cause-specific cumulative upgrading-risk at his current as well as future PSA follow-up visits (Panel A, Figure 5). These PSA visits occur every six months in PRIAS. Subsequently, we scheduled personalized biopsies on those future follow-up visits of a patient, where his conditional cumulative upgrading-risk was more than a certain threshold (Supplementary C), for example, 10% risk. We maintained a minimum gap of one year between consecutive biopsies (PRIAS recommendation). Example personalized schedules based on 5% and 10% risk thresholds are shown in Panel B, Figure 5, and in Figure 9–11, Supplementary C. Both the risk predictions and resulting personalized schedules were dynamic because they were updated as more follow-up data became available over follow-up (Figure 5, Supplementary B).

The choice of the risk threshold in the personalized schedule dictates the timing and the total number of biopsies, and the expected time delay (Figure 1) in detecting upgrading. We estimated the time delay for both personalized and fixed schedules (Panel C in Figure 5 and Figure 9–11, Supplementary C). Since we estimated the time delay in a personalized manner as well, patients/doctors can compare personalized schedules based on different

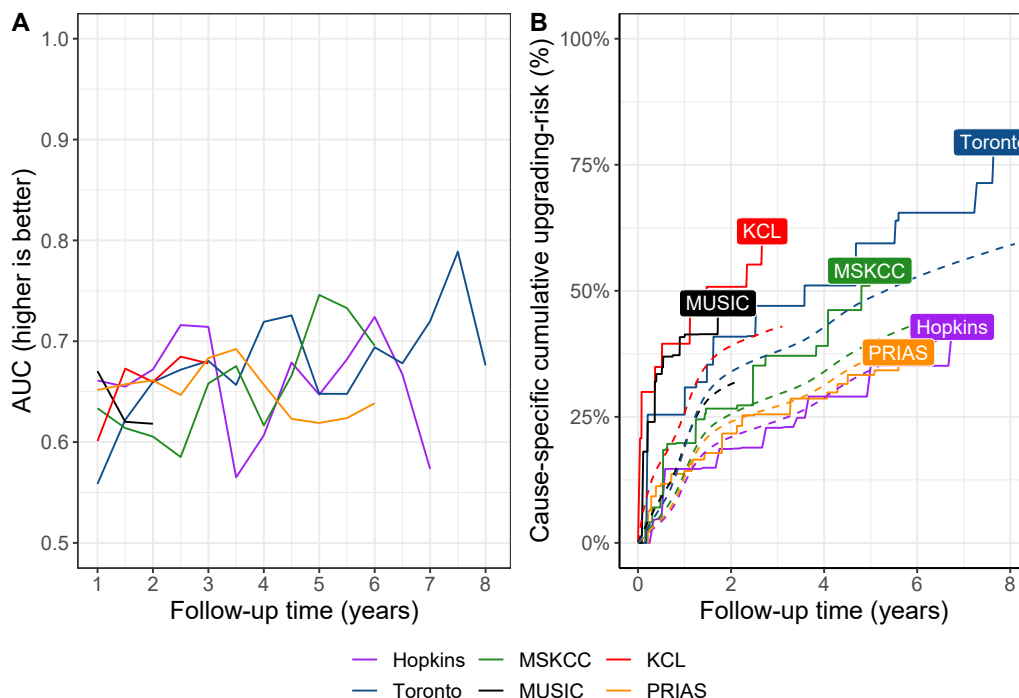


Figure 4: **Model Validation Results.** **Panel A:** time dependent area under the receiver operating characteristic curve or AUC (measure of discrimination). **Panel B:** calibration-at-large indicates model miscalibration. This is because solid lines depicting the non-parametric estimate of the cause-specific cumulative upgrading-risk [24], and dashed lines showing the average cause-specific cumulative upgrading-risk obtained using the joint model fitted to the PRIAS dataset, are not overlapping. Same plot after recalibration is shown in Figure 6, Supplementary B. Full names of Cohorts are *PRIAS*: Prostate Cancer International Active Surveillance, *Toronto*: University of Toronto Active Surveillance, *Hopkins*: Johns Hopkins Active Surveillance, *MSKCC*: Memorial Sloan Kettering Cancer Center Active Surveillance, *KCL*: King's College London Active Surveillance, *MUSIC*: Michigan Urological Surgery Improvement Collaborative Active Surveillance.

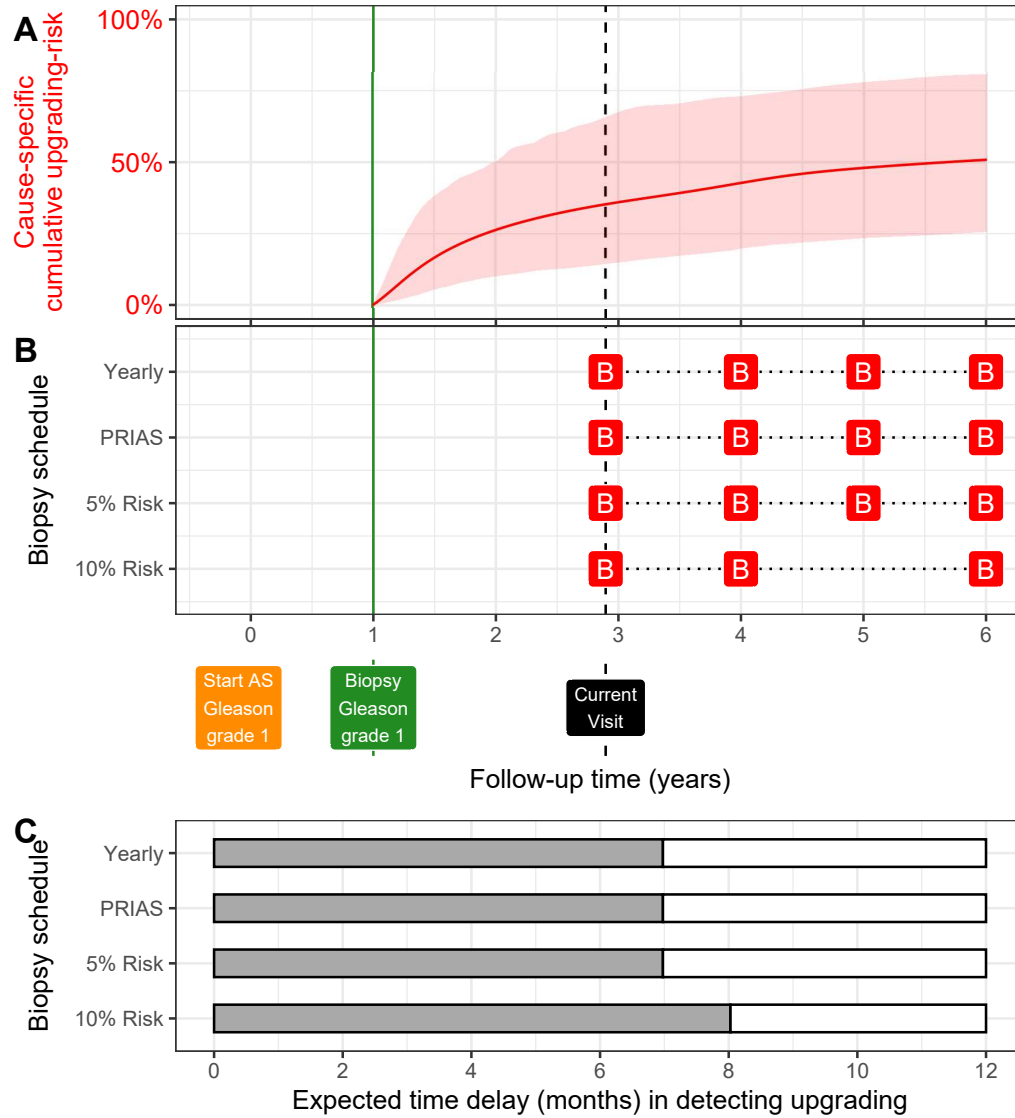


Figure 5: **Illustration of personalized and fixed schedules of biopsies.** Due to a lack of space, the PSA profile of this patient is shown in Figure 3. **Panel A:** Predicted cumulative upgrading-risk (95% credible interval shaded). **Panel B:** Personalized and fixed schedules of biopsies, with a red 'B' indicating a scheduled biopsy. The green vertical line at year 1 denotes the time of the latest negative biopsy. Black dashed line at year 3 denotes the time of the current visit. **Panel C:** Expected time delay in detecting upgrading (months) for different schedules. A compulsory biopsy was scheduled at year six (maximum biopsy scheduling time in PRIAS, Supplementary C) in all schedules for a meaningful comparison between them.

160 risk thresholds, with fixed schedules, before making a choice.

161 *3.2. Web-Application*

162 We implemented our model and personalized schedules in a user-friendly
 163 web-application [https://emcbiostatistics.shinyapps.io/prias_biopsy_
 164 recommender/](https://emcbiostatistics.shinyapps.io/prias_biopsy_recommender/). Currently, the web-application supports PRIAS and the five
 165 validation cohorts. Patient data can be entered manually and in Microsoft
 166 Excel format. Predictions for upgrading-risk are available for a currently
 167 limited, cohort-specific, follow-up period (Table 7, Supplementary C). The
 168 web-application visualizes the timing of biopsies, and expected time delay in
 169 detecting upgrading, for personalized schedules based on 5%, 10%, and 15%
 170 risk threshold; annual biopsies; biennial biopsies; and PRIAS schedule.

171 **4. Discussion**

172 We successfully developed and externally validated a model for predicting
 173 upgrading-risk [3], and providing risk-based personalized biopsy decisions,
 174 in prostate cancer AS. Our work has four novel features over earlier risk
 175 calculators [15, 25]. First, our model was fitted to the world’s largest AS
 176 dataset PRIAS and externally validated in the largest five cohorts of the
 177 Movember Foundation’s GAP3 database [17]. Second, the model predicts
 178 a patient’s current and future upgrading-risk in a dynamic and personal-
 179 ized manner. Third, we use the risks to make a personalized schedule, and
 180 also calculate expected time delay in detecting upgrading (less is benefi-
 181 cial) if that schedule is followed. Thus, patients/doctors can compare sched-
 182 ules before making a choice. Fourth, we implemented our methodology in a

183 user-friendly web-application ([https://emcbiostatistics.shinyapps.io/
184 prias_biopsy_recommender/](https://emcbiostatistics.shinyapps.io/prias_biopsy_recommender/)) for PRIAS and validated cohorts.

185 Our PRIAS based model is useful for a large number of patients from
186 the PRIAS and the following validation cohorts: Johns Hopkins AS (Hop-
187 kins), Memorial Sloan Kettering Cancer Center AS, King’s College London
188 AS (KCL), and Michigan Urological Surgery Improvement Collaborative AS
189 (MUSIC). The model had a moderate AUC (0.55–0.75), a measure of dis-
190 crimination, in all validation cohorts. In contrast, the mean absolute risk pre-
191 diction error varied much more between cohorts. It was moderate in cohorts
192 where the effect size for impact of PSA value and velocity on upgrading-risk
193 was similar to that for PRIAS (e.g., Hopkins cohort). Otherwise, as in the
194 case of KCL or MUSIC cohorts, the prediction error was large. Also, in co-
195 horts with longer follow-up periods, prediction error improved over time as
196 more follow-up data became available. Both KCL and MUSIC cohorts cur-
197 rently have a small follow-up period. Hence, we expect that prediction error
198 will improve in the future with more data. Last, we required recalibration
199 of our model’s baseline hazard of upgrading, individually for all validation
200 cohorts.

201 The clinical implications of our work are as follows. First, the cause-
202 specific cumulative upgrading-risk at year five of follow-up was at most 50%
203 in all cohorts (Panel B, Figure 4). That is, many patients may not require any
204 biopsy in the first five years of AS. Given the non-compliance and burden of
205 frequent biopsies [8], the availability of our methodology as a web-application
206 may encourage patients/doctors to consider upgrading-risk based personal-
207 ized schedules instead. An additional advantage of these schedules is that

208 they update as more patient data becomes available over follow-up. Fur-
209 thermore, to assist patients/doctors in choosing between personalized and
210 fixed schedules, the web-application provides a patient-specific estimate of
211 time delay in detecting upgrading, for following both personalized and fixed
212 schedules. We hope that this will objectively address patient apprehensions
213 regarding adverse outcomes in AS.

214 This work has certain limitations. Predictions for upgrading-risk and per-
215 sonalized schedules are available only for a currently limited, cohort-specific,
216 follow-up period (Table 7, Supplementary C). This problem can be mitigated
217 by refitting the model with new follow-up data in the future. It is important
218 to differentiate the instantaneous PSA velocity (predictor for upgrading-risk
219 in our model), from the currently used constant PSA velocity. Unlike the
220 drawbacks suffered by the constant PSA velocity [19], instantaneous PSA ve-
221 locity is more precise. This is because it changes over time and is estimated
222 from the fitted longitudinal PSA profile of a patient. Along with PSA, in
223 some cohorts recently, MRI is also used for deciding biopsies. However, the
224 utility of MRI can only be determined with more follow-up data in the fu-
225 ture. Subsequently, MRI data can also be added as a predictor in our model.
226 Decisions based on information combined from multiple sources can yield
227 better results than based on MRI or PSA alone. We scheduled biopsies using
228 cause-specific cumulative upgrading-risk. Accounting for competing events,
229 such as treatment based on the number of positive biopsy cores, may lead to
230 improved personalized biopsy decisions. Although, in this work, we did not
231 consider such additional triggers for treatment because, unlike upgrading,
232 they differ between cohorts [5]. Upgrading is susceptible to inter-observer

variation too. Models which account for this variation [15, 26] will be interesting to investigate further. However, the methodology for personalized scheduling, and for comparison of various schedules need not change.

5. Conclusions

We successfully developed and validated a model for predicting upgrading-risk, and providing risk-based personalized biopsy decisions, in prostate cancer AS. The model made available via a user-friendly web-application (https://emcbiostatistics.shinyapps.io/prias_biopsy_recommender/) enables shared decision making of biopsy schedules by comparing fixed and personalized schedules on total biopsies and expected time delay in detecting upgrading. Novel biomarkers and MRI data can be added as predictors in the model to improve predictions in the future. Recalibration of the baseline hazard of upgrading-risk is advised before using the model in cohorts other than the PRIAS cohort.

Author Contributions

Anirudh Tomer had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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Acquisition of data: Tomer, Nieboer, and Roobol

Analysis and interpretation of data: Tomer, Nieboer, and Rizopoulos

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 261 *Supervision:* Roobol, and Rizopoulos
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