# Assignment 4 - Report

Department of Industrial and Enterprise Systems Engineering Zhenye Na (zna2) April 17, 2018

### 1 Indexing (10 pts)

- 1. Suppose we want to build an index on a relation R which has a total of x records, with each block capable of holding either *y* records or *z* key-pointer pairs. Assuming *x* is divisible by y, please answer the following questions (if your value evaluates to a fraction, use ceiling [ ] or floor [ ] as appropriate):
  - a) Suppose you construct a simple single level index, and that index is dense. How many index blocks are required to access all of the records of R?

### **Solutions:**

We need  $\left\lceil \frac{x}{z} \right\rceil$  index blocks.

b) Suppose the index built is sparse. If the index stores a pointer to the lowest search key in each block, and the index is a simple single level index, how many data blocks do we need? How many index blocks do we need?

**Solutions:** We need  $\left\lceil \frac{x}{yz} \right\rceil$  index blocks and  $\left\lceil \frac{x}{y} \right\rceil$  data blocks.

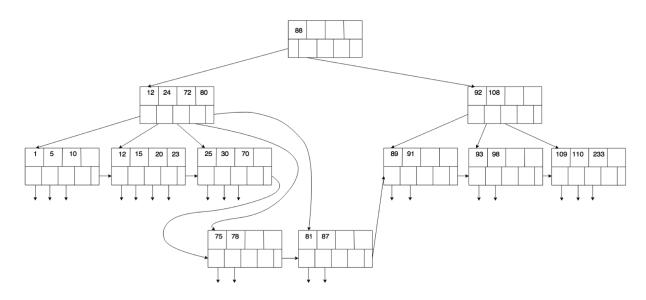
2. True/False question - In order to use a dense index, you will have to have the data file sorted by the search key; otherwise, you will need to use a sparse index. Explain your

**Solutions:** False. First, in order to use dense index, you do not *have to* sort the index. You can directly use an unclustered index, which you *have to* use dense index.

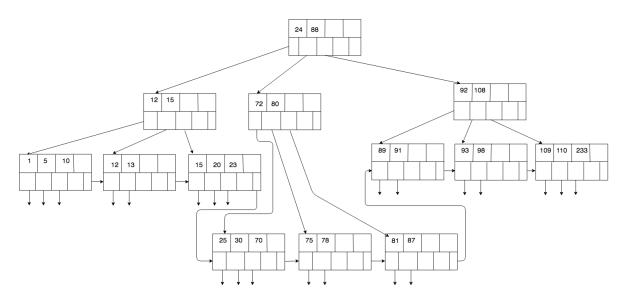
# 2 B+ TREE (30 PTS)

# In this question, I kept the first two questions as the previous version and only changed the third question to the new version of question.

Consider a B+ tree of degree 2 shown below:

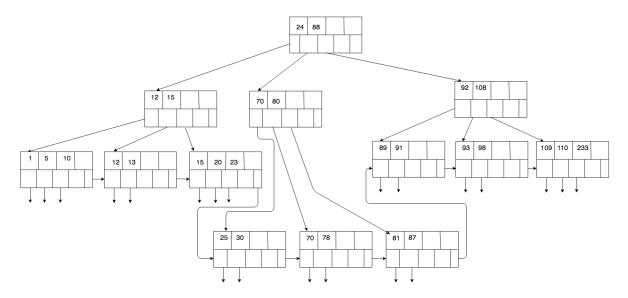


1. Draw the B+ tree that would result from inserting a data entry with key 13. **Solutions:** 

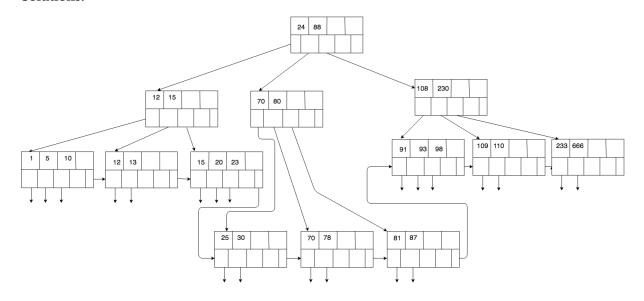


2. Based on the B+ tree that you drew in the previous question, draw the B+ tree that would result from deleting the data entry with key 75.

### **Solutions:**



3. Based on the B+ tree that you drew in the previous question, draw the B+ tree that would result from deleting the data entry with key 89. **Solutions:** 

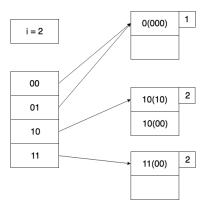


# 3 Extensible Hashing (30 pts)

Assume you have a extensible hash table with hash function  $h(k) = k \mod 13$ , expressed as a binary string of size 4, and data block of size 2 (i.e., it can accommodate two tuples). You are asked to index the following key values in order: 25, 13, 23, 21.

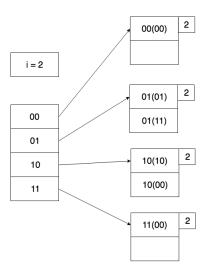
1. Draw the extensible hash table which obeys the above constraints after the four keys are inserted.

**Solutions:** First, we apply hash function to every key value.



2. Using your solution to the previous question, now consider insertion of keys 18 and 20 into the hash table, and draw the resulting hash table.

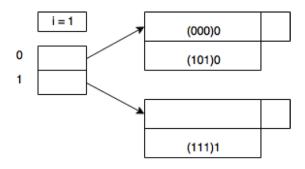
**Solutions:** First, we apply hash function to every key value.



4 Linear Hashing (30 pts)

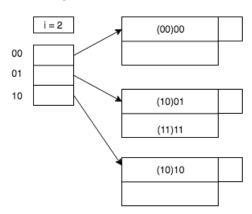
Consider a linear hash table with  $r \le 1.76n$  with each data block capable of holding 2 records (that is, the average number of record per bucket should not exceed 88% of the total number

of records per block):



1. Insert 1001 and draw the resulting table.

### **Solutions:**



2. With your solution from the previous question, insert 1101, 1110, 0001 incrementally and draw the final table; that is, insert one at a time, check the condition, and move to the next one.

# **Solutions:**

