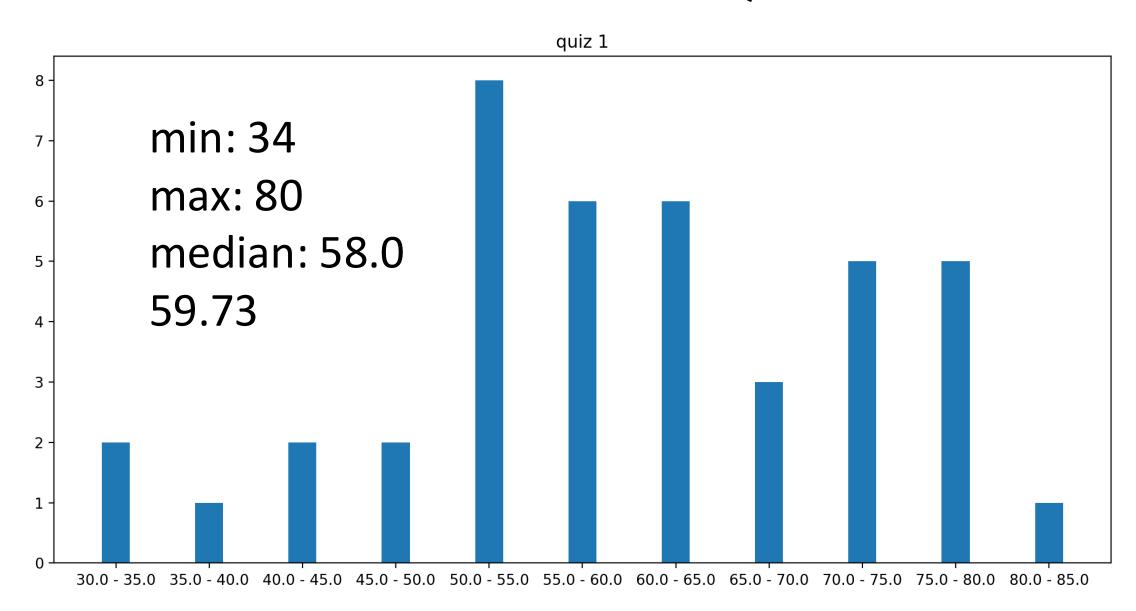
# Convolutional Neural Networks II

CS7150, Spring 2025

Prof. Huaizu Jiang

Northeastern University

#### Distribution of the Grades of Quiz 1



#### How to cope with the unsatisfactory grade

- It's one of several in-class quizzes. So it's weight won't be too high.
- The difficulty is similar to the midterm. After all, this is a 7000-level course.
- Think, instead of memorizing.
- Ask questions if anything is not clear.
- There are opportunities to earn extra credits that will be applied to your final grade directly.

#### Topic of the Course Project

- How can I pick a topic?
- How much time should the project take?
- Do I need to have start-of-the-art performance?
- Common Pitfalls and things to avoid.

#### How can I pick a topic?

- Choose a specific PROBLEM:
  - Computer vision
    - Object classification: which object is shown?
    - Object detection: where are all the objects?
    - Segmentation: Assign a region type to every pixel in the image.
  - Natural Language Processing
    - Translation (difficult)
    - Language modeling
    - Sentiment analysis
    - Co-reference resolution
  - Other domain
    - music genre identification
    - simple speech recognition (difficult)
    - Visual navigation in a 2D maze with a RL agent

#### How to choose a topic

- Choose an area of interest to you.
- However, beware of pitfalls described below.
- Look at Kaggle competitions.
  - You don't have to win the competition!
- Look at common data sets and how they are typically used.

#### How much time should I spend on it?

- About the equivalent of ~3 problem assignments. A bit more or less is OK.
- As early as possible, get SOMETHING going.
  - Example: If I'm doing classification, make sure my basic idea works for just 2 classes before running it on 10 classes.
  - Example: If I'm doing detection, make sure my network is better than a simple R-CNN without pre-training.
  - Get something going soon! Then improve it. So-called baseline.
- Failure case: GANs.
  - Many students never get them to work AT ALL! Results are indistinguishable from a random image.
  - Try diffusion models.

# Do I need to have state-of-the-art performance?

- In a word, "NO".
- Here's what you do need to do:
  - Show that your model is better than something very simple (at least consider the random guess chance first)
  - If you do variations of your model (say, different architectures), discuss the differences in performance for the variations.
  - Try to learn something about what improves or hurts performance.

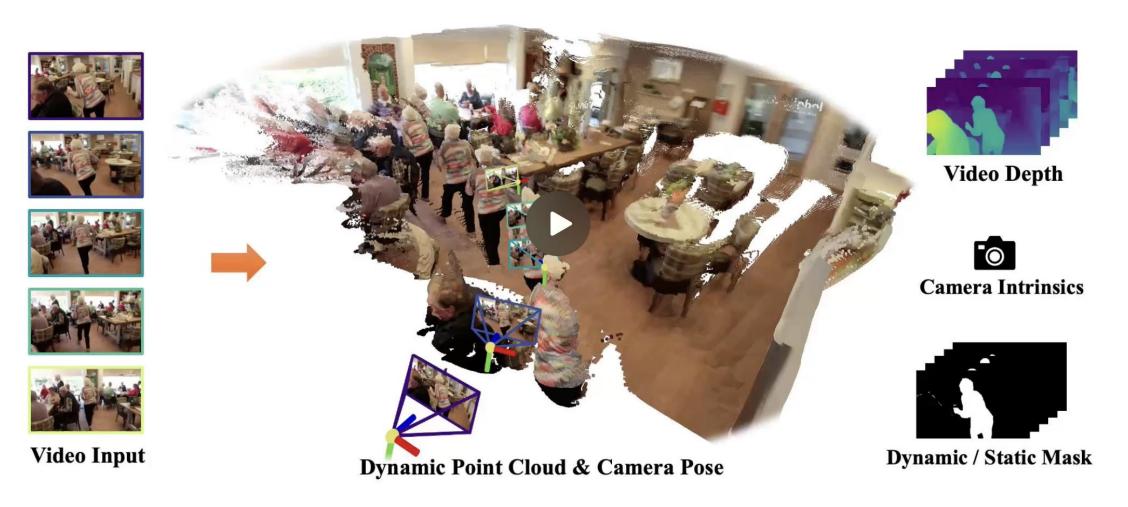
#### Common pitfalls

- No data set.
  - No training set.
  - No test set.
  - Don't try to build your own data set!
  - You should have a data set in mind before you submit your proposal.
- Problem is too hard:
  - GANS, speech recognition, voice synthesis, etc. We will give you feedback about this if we think it's too hard.
- Get started too late.

#### Get Feedback

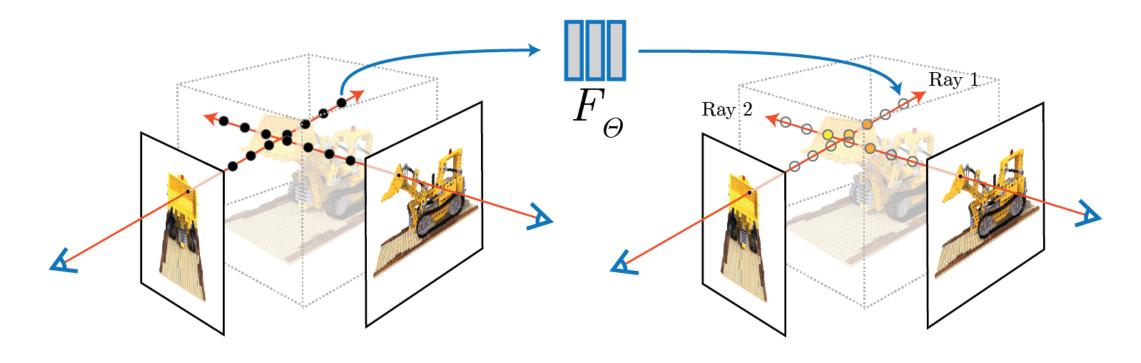
- Follow these guidelines
- Talk to the Professor if you are not sure about the topic of the topic
- We will provide feedback of your project proposal. We will tell you if we think it is too difficult and you need to scale it back or change subjects.

# Project Idea 1: Scene reconstruction from egocentric videos



https://monst3r-project.github.io

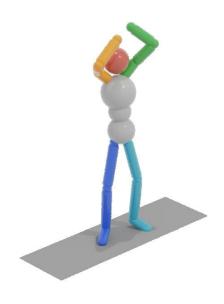
# Project Idea 2: Volume rendering (e.g., 3D Gaussian Splatting) meets diffusion



What if we construct a feature field instead of radian field and use a pre-trained diffusion model to refine the rendered feature map.

# Project Idea 3: Autoregressive diffusion for human motion generation

A person waves with both arms above head.

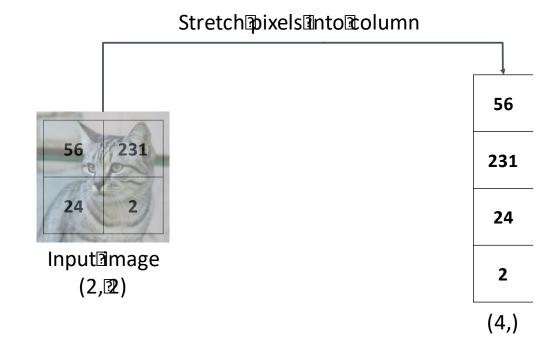


# Recap

# f(x,W) = Wx

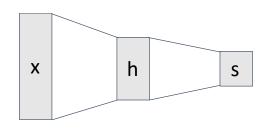
$$f=W_2\max(0,W_1x)$$
Input:  $x$   $W_1$   $h$   $W_2$   $s$  Output:  $100$ 

# **Problem**: So far our classifiers don't respect the spatial structure of images!



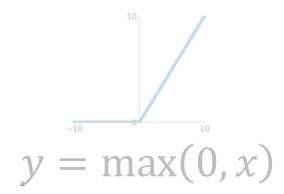
#### Components of a Convolutional Network

#### Fully-Connected Layers

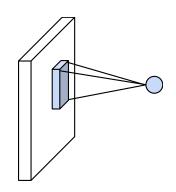


$$y = Wx + b$$

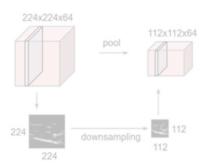
#### **Activation Function**



#### **Convolution Layers**

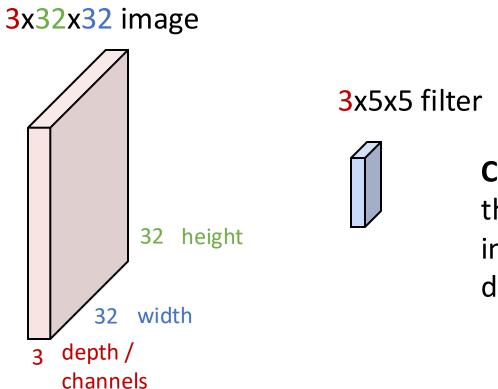


#### Pooling Layers

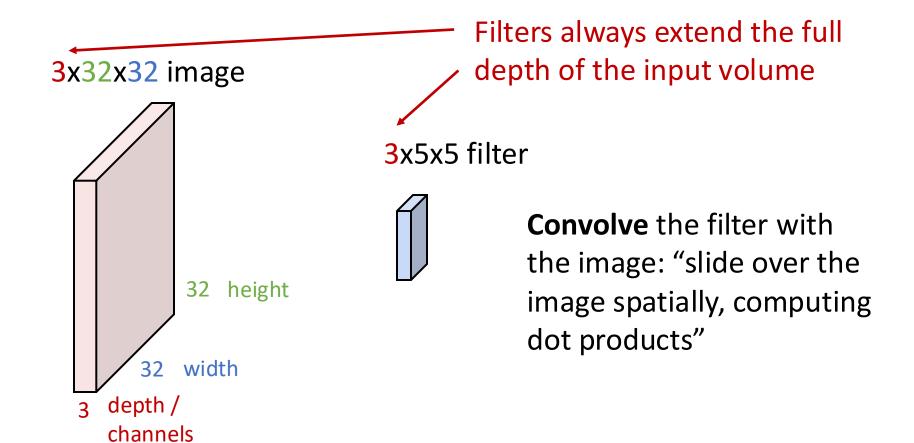


#### Normalization

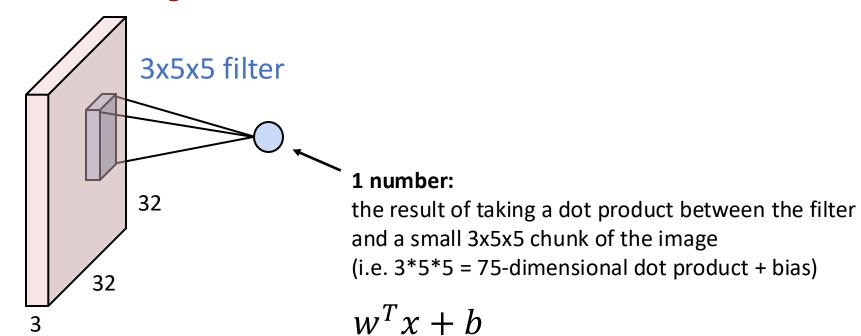
$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

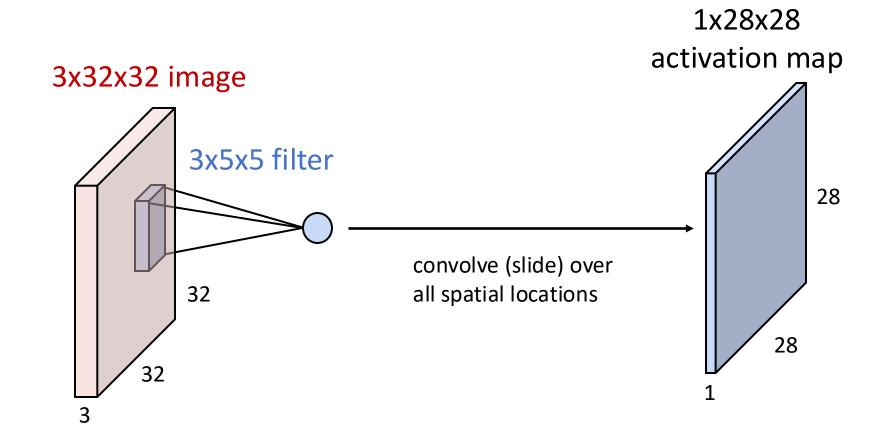


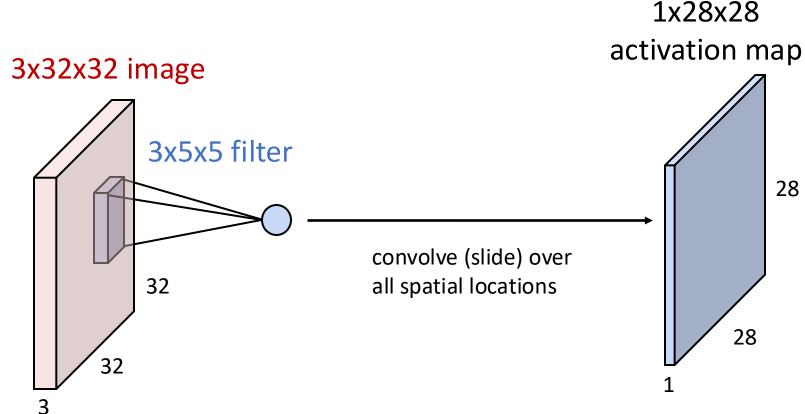
Convolve the filter with the image: "slide over the image spatially, computing dot products"



#### 3x32x32 image



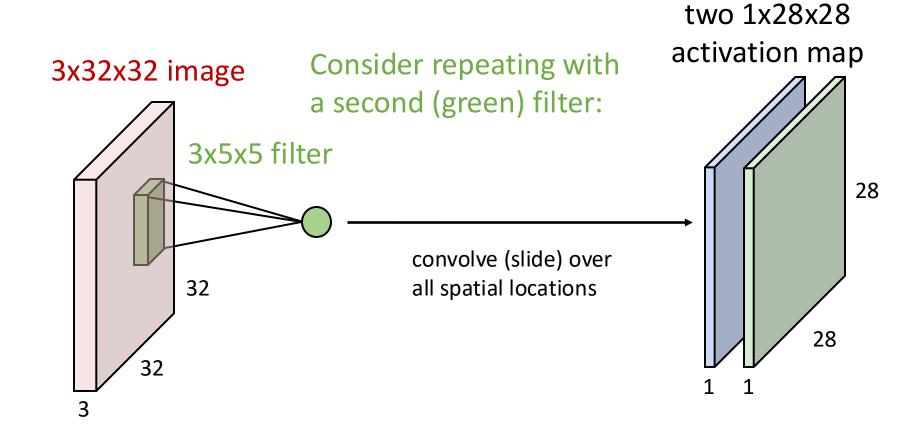


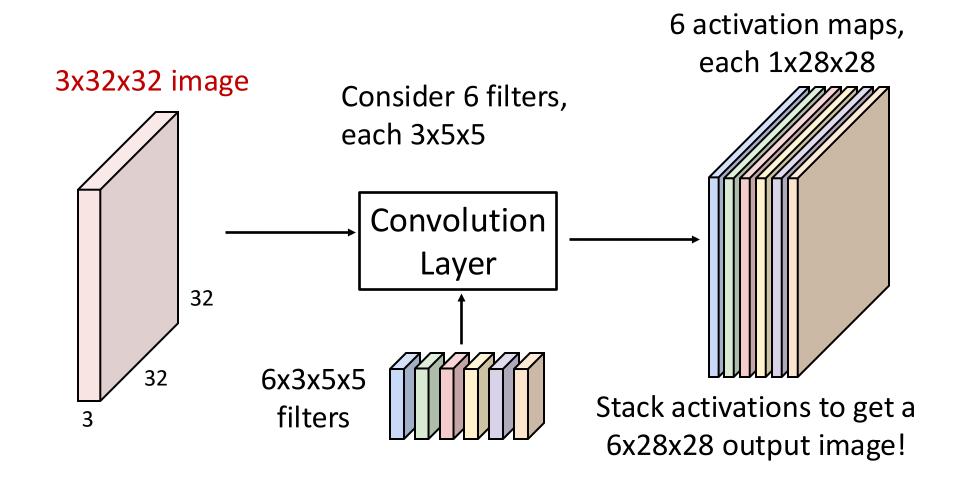


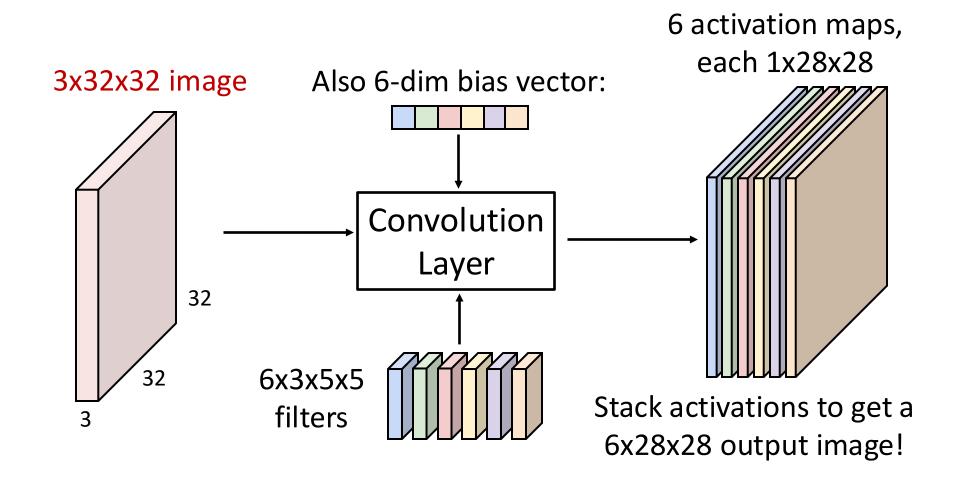
Convolution Layer vs Image Filtering:

>1 input and output channels

Forget about convolution vs cross-correlation

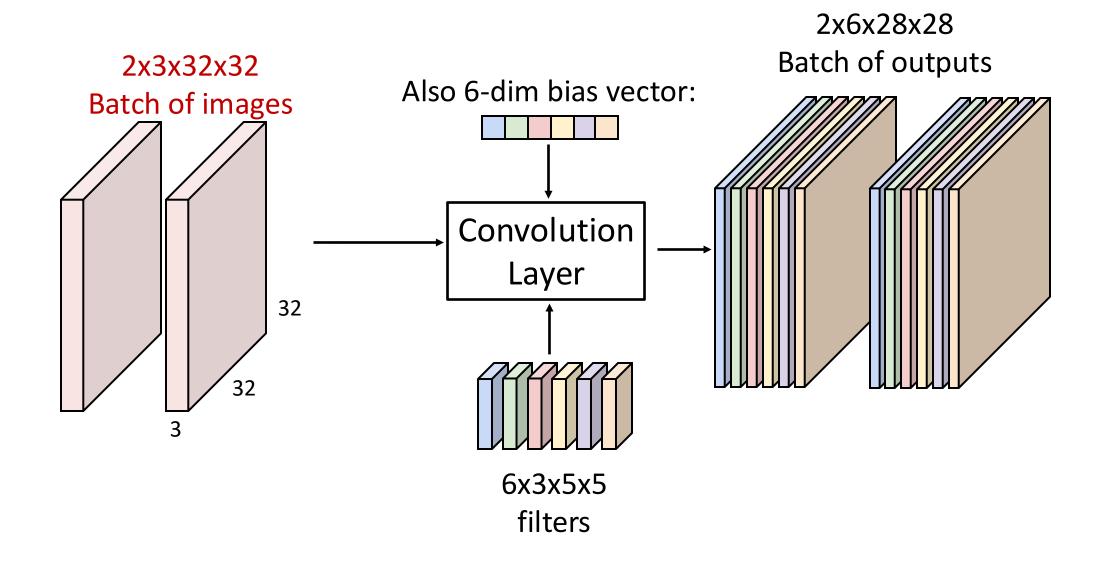


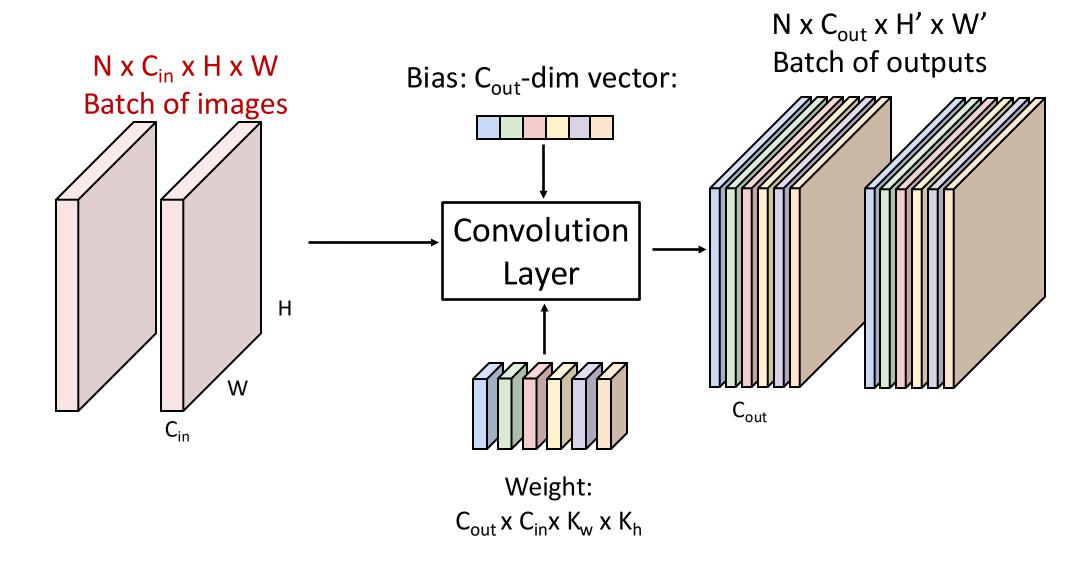




point a 6-dim vector Also 6-dim bias vector: 3x32x32 image Convolution Layer 32 6x3x5x5 32 Stack activations to get a filters 6x28x28 output image!

28x28 grid, at each



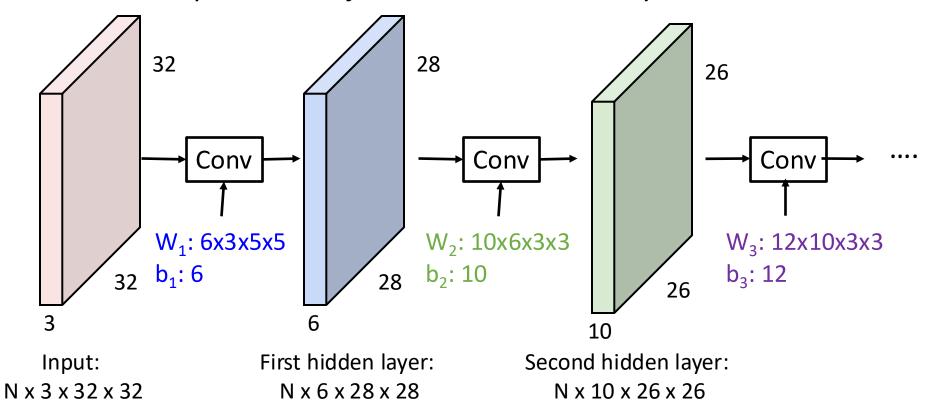


## Stacking Convolutions

(Recall y=W<sub>2</sub>W<sub>1</sub>x is a linear classifier)

**Q**: What happens if we stack two convolution layers?

A: It's equivalent to just one convolution layer!

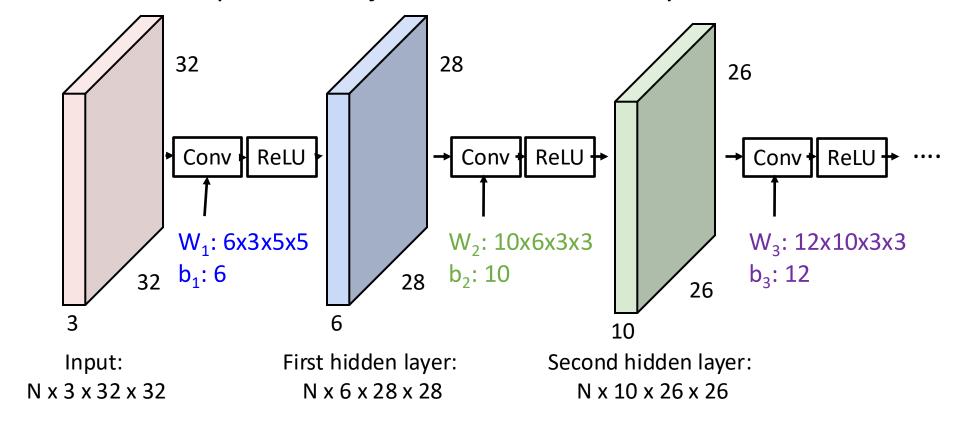


#### Stacking Convolutions

(Recall y=W<sub>2</sub>W<sub>1</sub>x is a linear classifier)

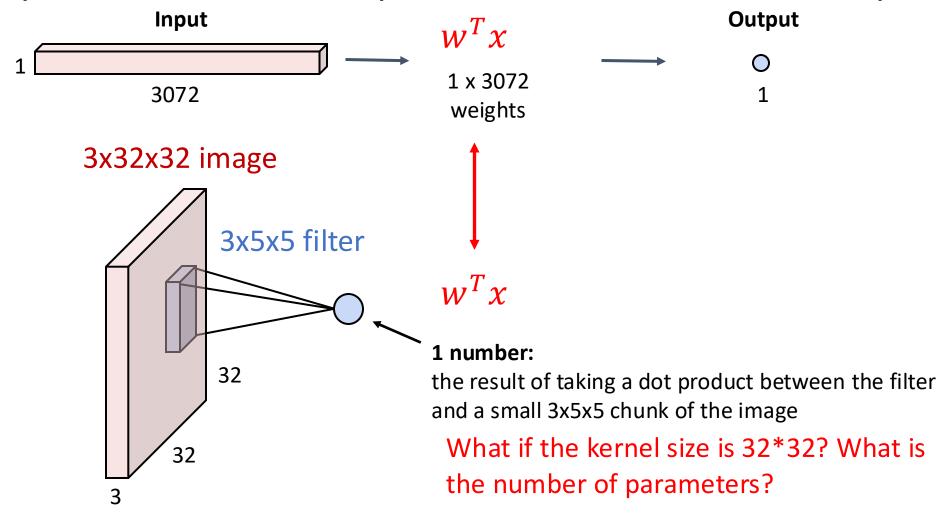
**Q**: What happens if we stack two convolution layers?

A: It's equivalent to just one convolution layer!



**Solution**: Add a nonlinearity between each conv layer

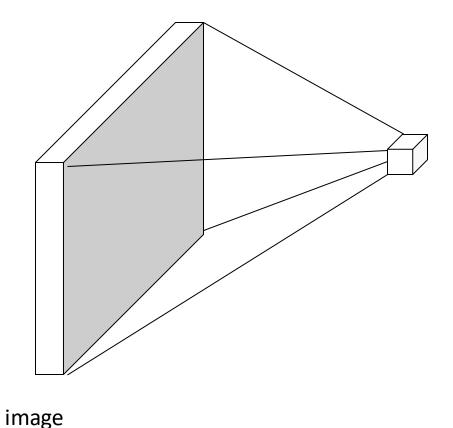
#### Fully-connected Layer vs Convolution Layer

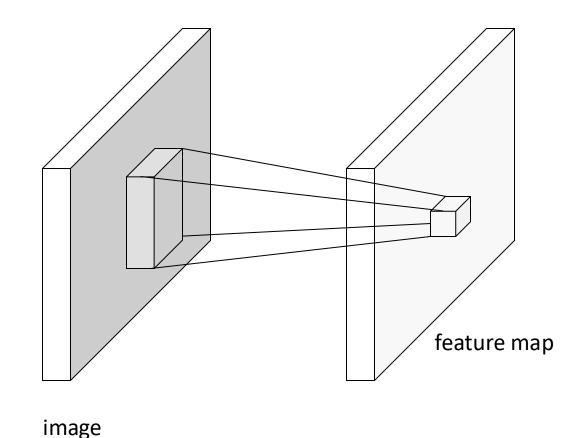


A fully-connected layer is equivalent to a convolution layer.

#### Fully-connected Layer vs Convolution Layer

#### Fully connected layer

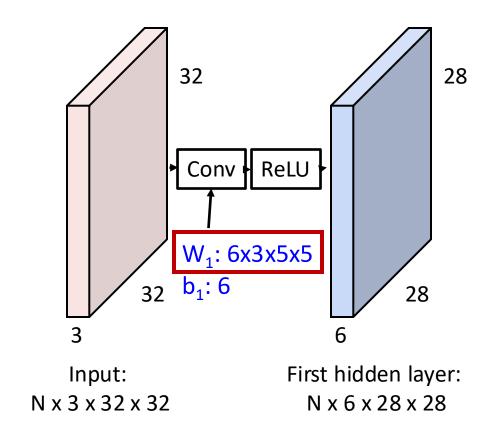




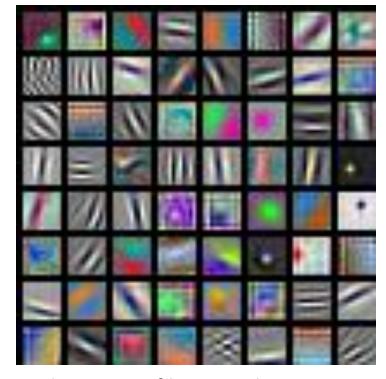
# Today's Class

More about convolution layer

#### What do Conv Filters Learn?

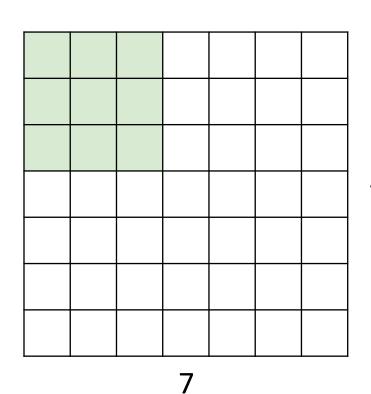


First-layer conv filters: local image templates (Often learns oriented edges, opposing colors)



AlexNet: 64 filters, each 3x11x11

## Convolution Spatial Dimensions

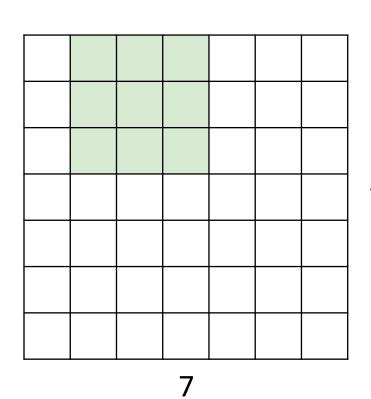


Input: 7x7

Filter: 3x3

Q: How big is output?

## Convolution Spatial Dimensions

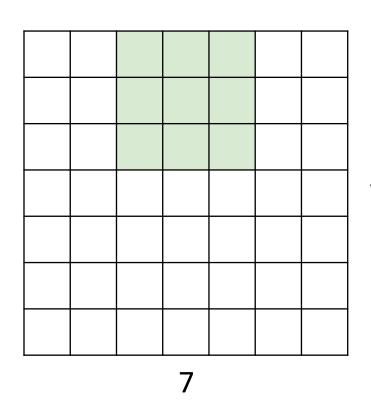


Input: 7x7

Filter: 3x3

Q: How big is output?

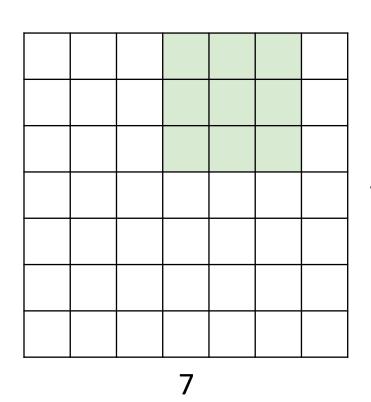
## Convolution Spatial Dimensions



Input: 7x7

Filter: 3x3

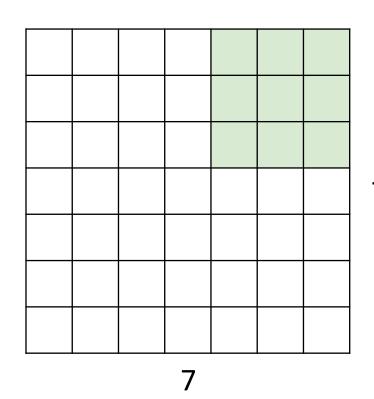
Q: How big is output?



Input: 7x7

Filter: 3x3

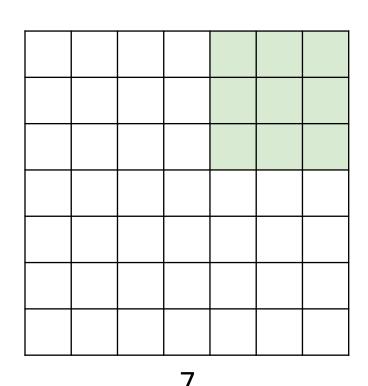
Q: How big is output?



Input: 7x7

Filter: 3x3

Output: 5x5



Input: 7x7

Filter: 3x3

Output: 5x5

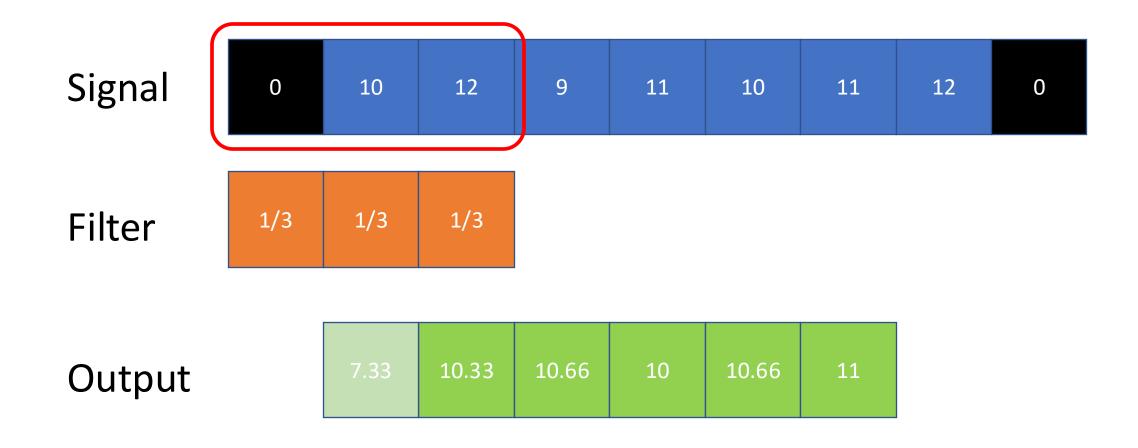
In general: Problem:

Input: W Feature maps

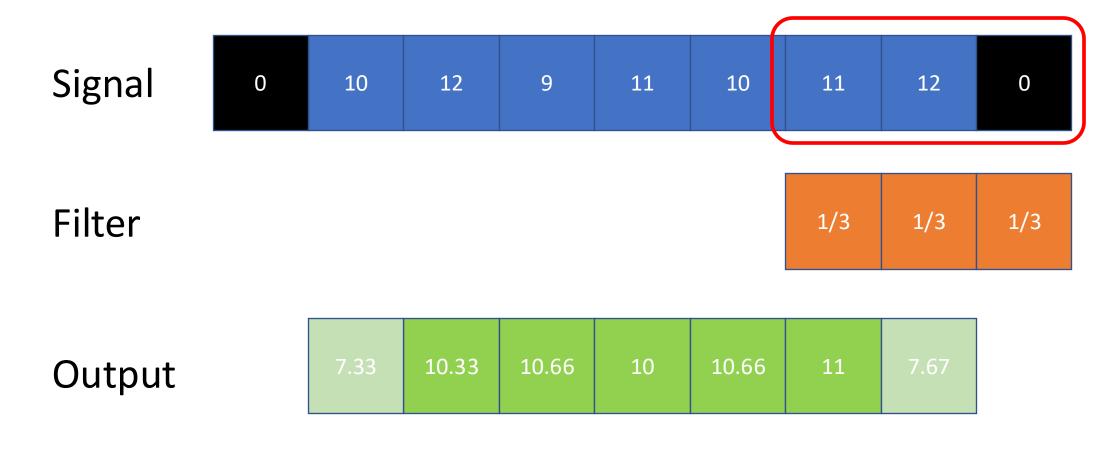
Filter: K "shrink" with

Output: W - K + 1 each layer!

# Padding: 1D Case



# Padding: 1D Case



Input: 7 vs output: 7

Slide inspired by: J Johnson

0	0	0	0	0	0	0	0	0
0								0
0								0
0								0
0								0
0								0
0								0
0								0
0	0	0	0	0	0	0	0	0

Input: 7x7

Filter: 3x3

Output: 5x5

In general: Problem:

Input: W Feature maps

Filter: K "shrink" with

Padding: P each layer!

Solution: padding

Add zeros around the input

0	0	0	0	0	0	0	0	0
0								0
0								0
0								0
0								0
0								0
0								0
0								0
0	0	0	0	0	0	0	0	0

Input: 7x7

Filter: 3x3

Output: 5x5

In general:

Input: W

Filter: K

Padding: P

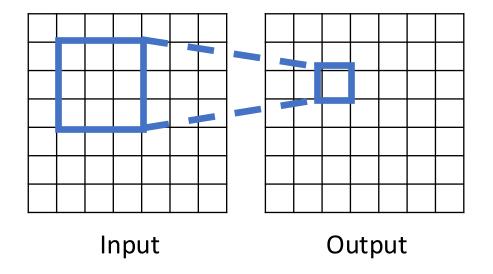
Output: W - K + 1 + 2P

Very common: "same padding"

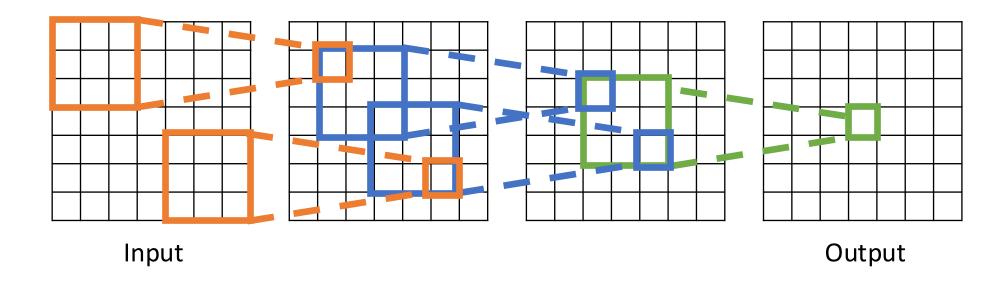
Set P = (K - 1) / 2

Then output size = input size

For convolution with kernel size K, each element in the output depends on a K x K receptive field in the input

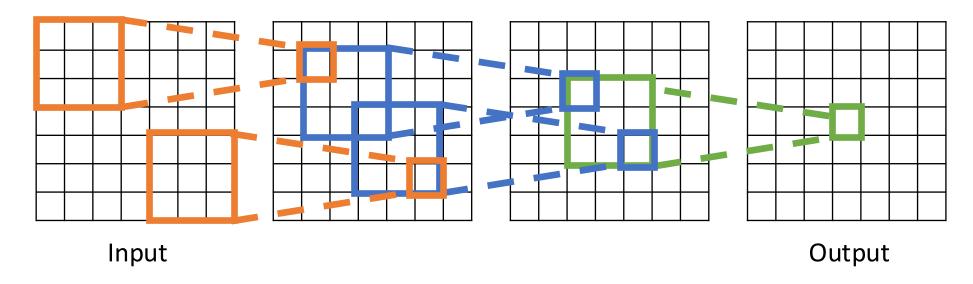


Each successive convolution adds K-1 to the receptive field size With L layers the receptive field size is 1 + L \* (K-1)



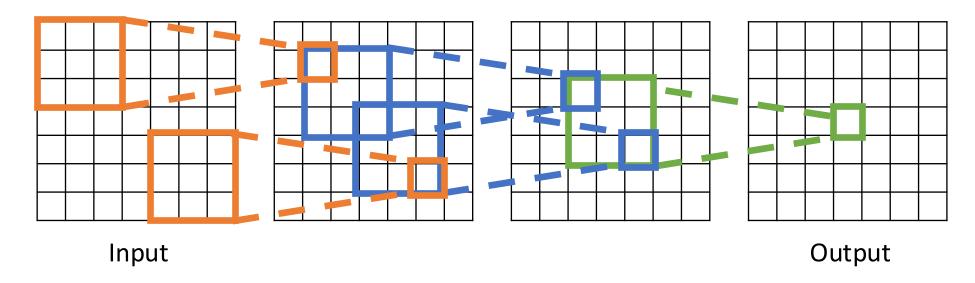
Careful – "receptive field in the input" vs "receptive field in the previous layer" Hopefully clear from context!

Each successive convolution adds K-1 to the receptive field size With L layers the receptive field size is 1 + L \* (K-1)



**Problem**: For large images we need many layers for each output to "see" the whole image image

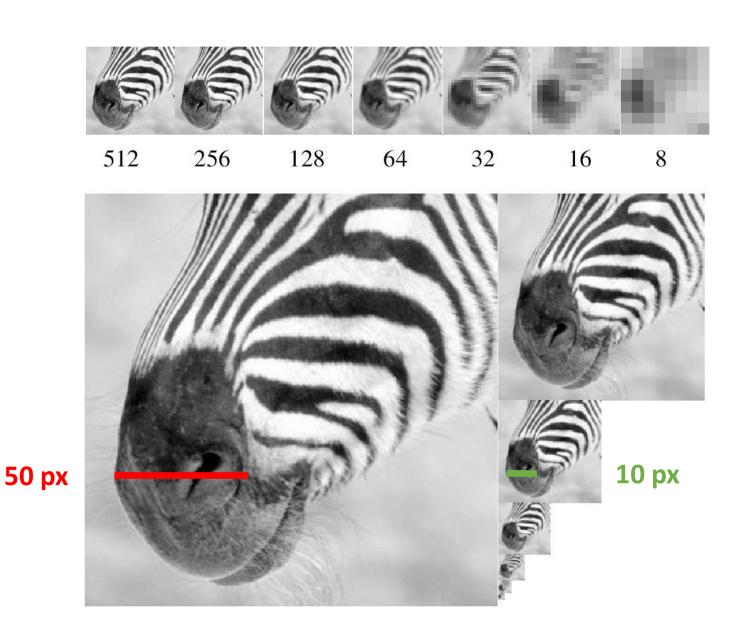
Each successive convolution adds K-1 to the receptive field size With L layers the receptive field size is 1 + L \* (K-1)



**Problem**: For large images we need many layers for each output to "see" the whole image image

Solution: Downsample inside the network

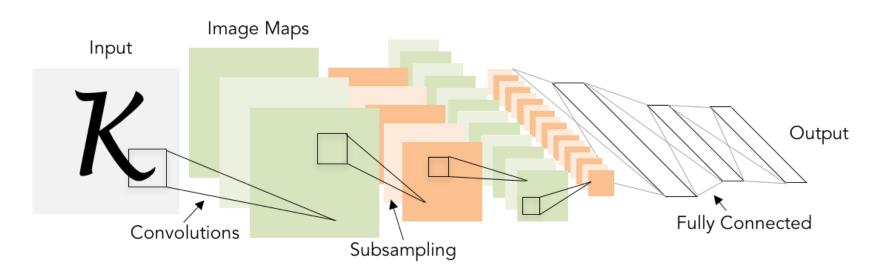
# Idea: Image/Feature Pyramid



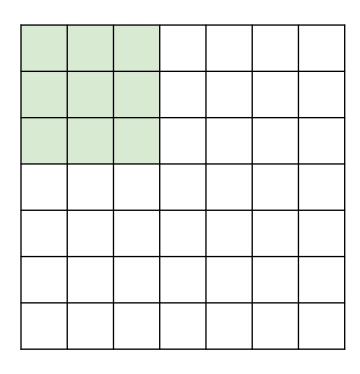
# Convolutional Networks with downsampling

Classic architecture: [Conv, ReLU, Pool] x N, flatten, [FC, ReLU] x N, FC

Example: LeNet-5



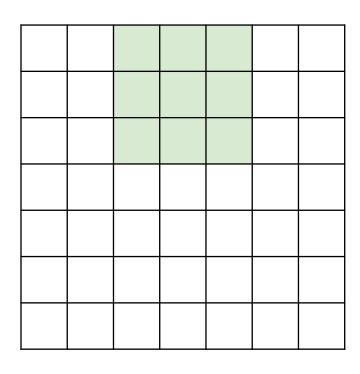
Lecun et al, "Gradient-based learning applied to document recognition", 1998



Input: 7x7

Filter: 3x3

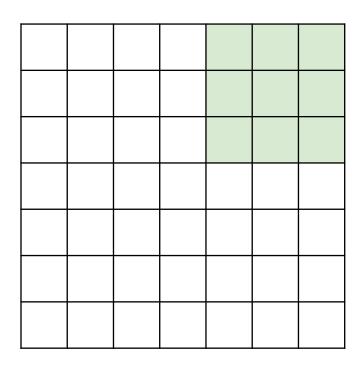
Stride: 2



Input: 7x7

Filter: 3x3

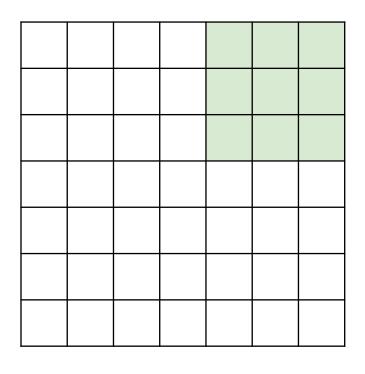
Stride: 2



Input: 7x7

Filter: 3x3 Output: 3x3

Stride: 2



Input: 7x7

Filter: 3x3 Output: 3x3

Stride: 2

In general:

Input: W

Filter: K

Padding: P

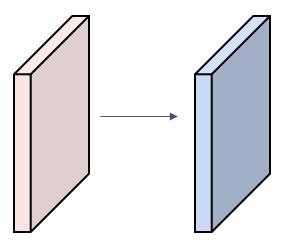
Stride: S

Output: Ceil((W - K + 1 + 2P) / S)

Input volume: 3 x 32 x 32

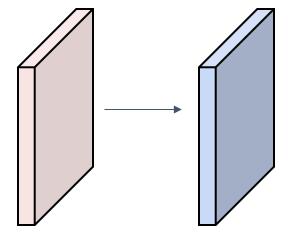
10 5x5 filters with stride 1, pad 2

Output volume size: ?



Input volume: 3 x 32 x 32

10 5x5 filters with stride 1, pad 2



Output volume size:

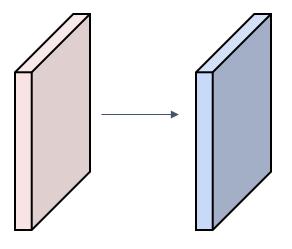
Ceil((32+2\*2-5+1)/1) = 32 spatially, so  $10 \times 32 \times 32$ 

Input volume: 3 x 32 x 32

10 5x5 filters with stride 1, pad 2

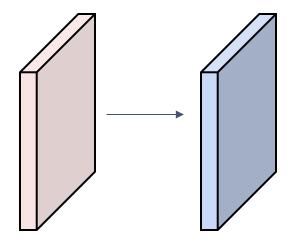
Output volume size: 10 x 32 x 32

Number of learnable parameters: ?



Input volume: 3 x 32 x 32

10 5x5 filters with stride 1, pad 2



Output volume size: 10 x 32 x 32

Number of learnable parameters: 760

Parameters per filter: 3\*5\*5 + 1 (for bias) = 76

**10** filters, so total is **10** \* **76** = **760** 

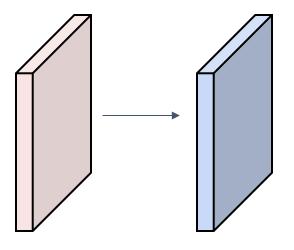
Input volume: 3 x 32 x 32

10 5x5 filters with stride 1, pad 2

Output volume size: 10 x 32 x 32

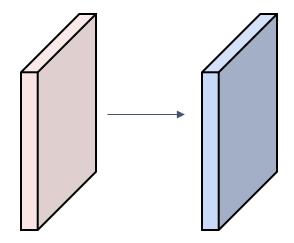
Number of learnable parameters: 760

Number of multiply-add operations: ?



Input volume: 3 x 32 x 32

10 5x5 filters with stride 1, pad 2



Output volume size: 10 x 32 x 32

Number of learnable parameters: 760

Number of multiply-add operations: 768,000

10\*32\*32 = 10,240 outputs; each output is the inner product

of two 3x5x5 tensors (75 elems); total = 75\*10240 = 768K

# **Convolution Summary**

**Input**: C<sub>in</sub> x H x W

### **Hyperparameters**:

- Kernel size: K<sub>H</sub> x K<sub>W</sub>
- **Number filters**: C<sub>out</sub>
- **Padding**: P
- **Stride**: S

**Weight matrix**:  $C_{out} \times C_{in} \times K_H \times K_W$  giving  $C_{out}$  filters of size  $C_{in} \times K_H \times K_W$ 

**Bias vector**: C<sub>out</sub>

**Output size**: C<sub>out</sub> x H' x W' where:

- H' = Ceil((H K + 2P + 1) / S)
- W' = Ceil((W K + 2P + 1) / S)

# **Convolution Summary**

Input: C<sub>in</sub> x H x W

#### **Hyperparameters:**

- Kernel size: K<sub>H</sub> x K<sub>W</sub>
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**Weight matrix**:  $C_{out} \times C_{in} \times K_H \times K_W$  giving  $C_{out}$  filters of size  $C_{in} \times K_H \times K_W$ 

**Bias vector**: C<sub>out</sub>

### **Output size**: C<sub>out</sub> x H' x W' where:

- H' = Ceil((H K + 2P + 1) / S)
- W' = Ceil((W K + 2P + 1) / S)

### **Common settings:**

 $K_H = K_W$  (Small square filters)

P = (K - 1) / 2 ("Same" padding)

 $C_{in}$ ,  $C_{out}$  = 32, 64, 128, 256 (powers of 2)

K = 3, P = 1, S = 1 (3x3 conv)

K = 5, P = 2, S = 1 (5x5 conv)

K = 1, P = 0, S = 1 (1x1 conv)

K = 3, P = 1, S = 2 (Downsample by 2)

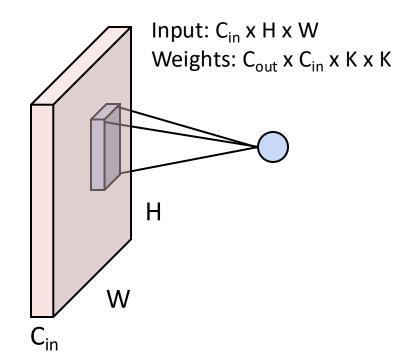
# Forward pass of a convolution layer

```
x_padded = pad_input(x)
H_out, W_out = compute_output_dimension()
N, C, H, W = x.shape
F, C, HH, WW = w.shape
# shape of (F, C'), where C'=C * HH * WW
w reshape = reshape to F Cp(w).T
for i in range(W out):
 for j in range(H out):
  startx, starty = get_top_left_position()
  # shape of (N, C, HH, WW)
  x_data = get_patch(x_padded, startx, starty, HH, WW)
  # shape of (N, C'), where C'=C* HH * WW
  x_data_reshape = reshape_to_N_Cp(x_data)
  # shape of each location is (N, F)
  out[:, :, j, i] = dot_product(x_data_reshape, w_reshape) + b
```

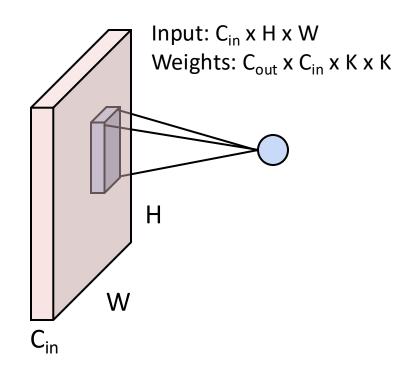
# Backward pass of a convolution layer

```
x padded = pad input(x)
H out, W out = compute output dimension()
N, C, H, W = x.shape
F, C, HH, WW = w.shape
# shape of (F, C'), where C'=C * HH * WW
w reshape = reshape to F Cp(w).T
dx_padded = np.zeros((N, C, H + pad * 2, W + pad * 2))
dw, db = np.zeros like(w), np.zeros like(b)
for i in range(W out):
for j in range(H out):
  startx, starty = get top left position()
  x_data = get_patch(x_padded, startx, starty, HH, WW)
  dx padded ij = get patch(dx padded, startx, starty, HH, WW)
  # for dx padded, its local gradients is simply w reshape, we need to add it (why?) to dx padded
  # in the same patch as x data. We need to combine dout and local gradients to get dx padded.
  # for dw, its local gradient is simply to add x_data. We need to multiply it by dout.
  # for db, its local gradient is simply 1. We need to multiply it by dout.
dx = crop border(dx padded)
```

So far: 2D Convolution



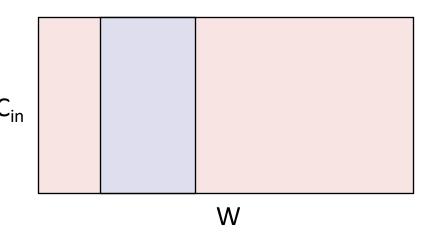
So far: 2D Convolution

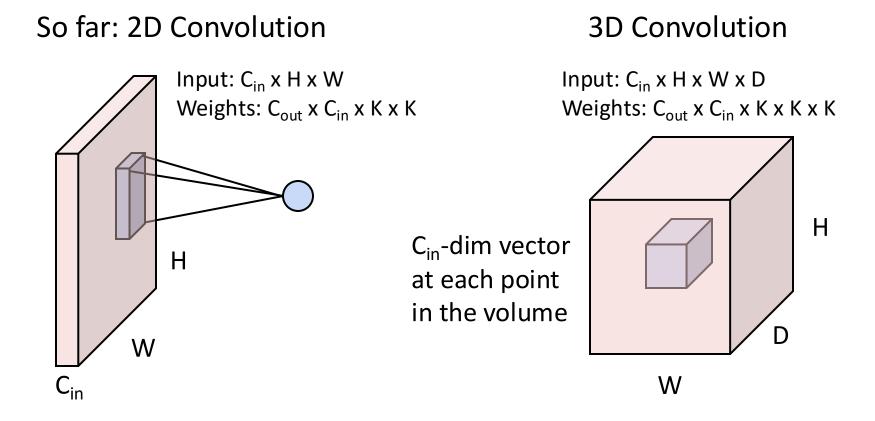


#### 1D Convolution

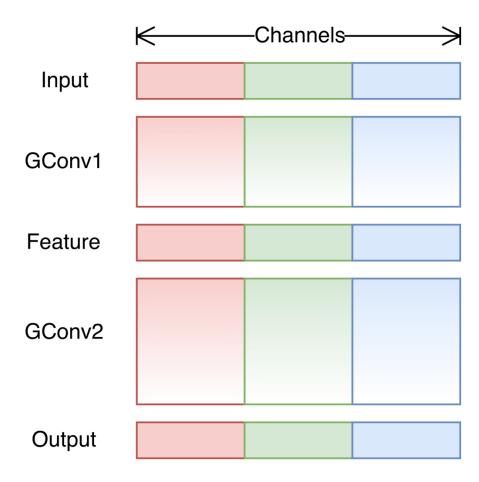
Input: C<sub>in</sub> x W

Weights: C<sub>out</sub> x C<sub>in</sub> x K



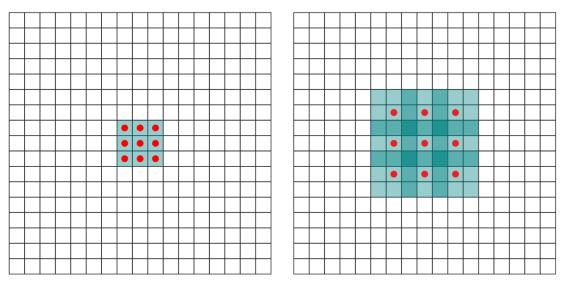


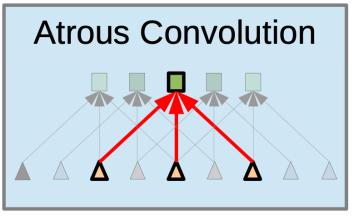
### Group-based convolution



[Zhang et al., CVPR 2017]

### Dilated convolution





[Yu and Koltun. ICLR 2016] [Chen et al., ICLR 2015]

### PyTorch Convolution Layer

#### Conv2d

CLASS torch.nn.Conv2d(in\_channels, out\_channels, kernel\_size, stride=1, padding=0, dilation=1, groups=1, bias=True, padding\_mode='zeros')

[SOURCE]

Applies a 2D convolution over an input signal composed of several input planes.

In the simplest case, the output value of the layer with input size  $(N, C_{\rm in}, H, W)$  and output  $(N, C_{\rm out}, H_{\rm out}, W_{\rm out})$  can be precisely described as:

$$\operatorname{out}(N_i, C_{\operatorname{out}_j}) = \operatorname{bias}(C_{\operatorname{out}_j}) + \sum_{k=0}^{C_{\operatorname{in}}-1} \operatorname{weight}(C_{\operatorname{out}_j}, k) \star \operatorname{input}(N_i, k)$$

### PyTorch Convolution Layers

#### Conv2d

CLASS torch.nn.Conv2d(in\_channels, out\_channels, kernel\_size, stride=1, padding=0, dilation=1, groups=1, bias=True, padding\_mode='zeros')

#### Conv1d

CLASS torch.nn.Conv1d(in\_channels, out\_channels, kernel\_size, stride=1, padding=0, dilation=1, groups=1, bias=True, padding\_mode='zeros') [SOURCE] &

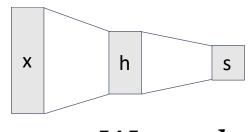
[SOURCE]

#### Conv3d

CLASS torch.nn.Conv3d(in\_channels, out\_channels, kernel\_size, stride=1, padding=0, dilation=1, groups=1, bias=True, padding\_mode='zeros') [SOURCE]

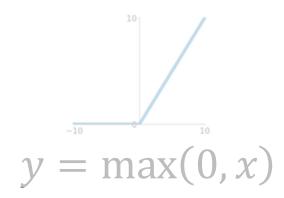
### Components of a Convolutional Network

### Fully-Connected Layers

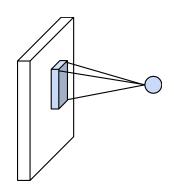


$$y = Wx + b$$

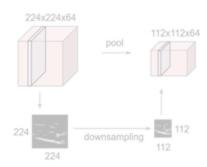
### **Activation Function**



### **Convolution Layers**



### Pooling Layers

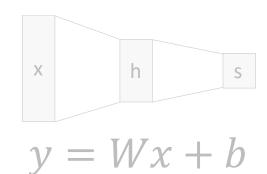


### Normalization

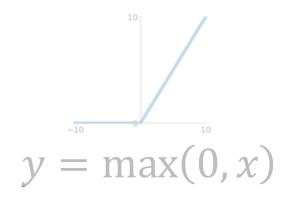
$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

### Components of a Convolutional Network

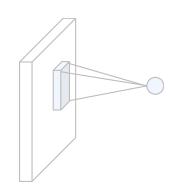
### Fully-Connected Layers



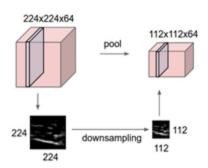
#### **Activation Function**



### **Convolution Layers**



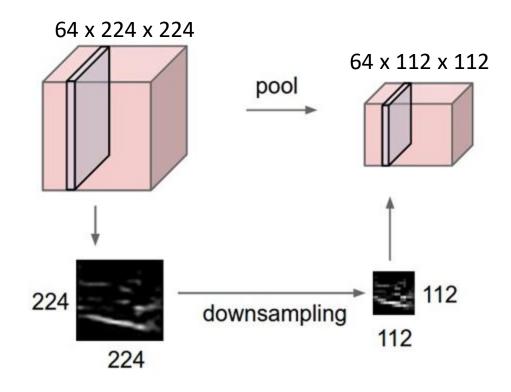
### **Pooling Layers**



### Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

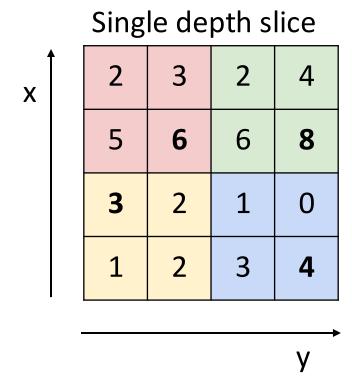
# Pooling Layers: Downampling

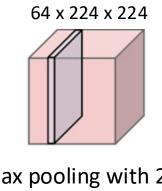


### **Hyperparameters:**

Kernel Size
Stride
Pooling function

### Max Pooling



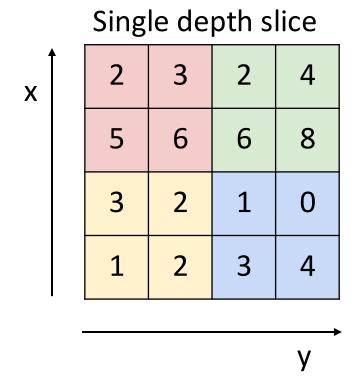


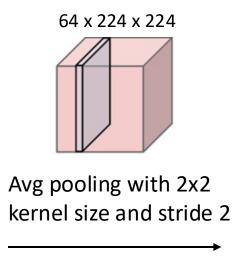
Max pooling with 2x2 kernel size and stride 2

6	8
3	4

Introduces **invariance** to small spatial shifts
No learnable parameters!

### Average Pooling





4	5
2	2

Introduces **invariance** to small spatial shifts
No learnable parameters!

### Pooling Summary

Input: C x H x W

#### **Hyperparameters:**

- Kernel size: K
- Stride: S
- Pooling function (max, avg)

Output: C x H' x W' where

- H' = Ceil((H K + 2P + 1) / S)
- W' = Ceil((W K + 2P + 1) / S)

Learnable parameters: None!

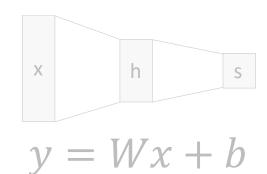
Common settings:

max, K = 2, S = 2

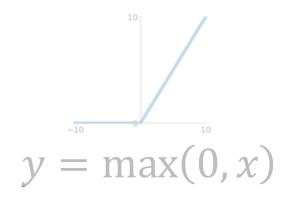
max, K = 3, S = 2 (AlexNet)

### Components of a Convolutional Network

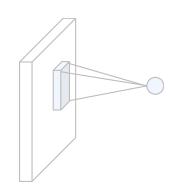
#### Fully-Connected Layers



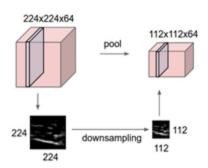
#### **Activation Function**



#### Convolution Layers



#### **Pooling Layers**

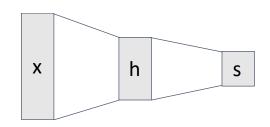


#### Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

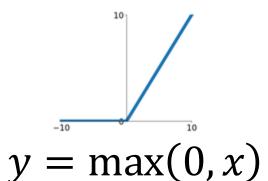
### Components of a Convolutional Network

#### **Fully-Connected Layers**

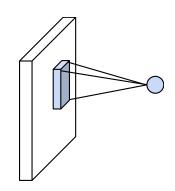


$$y = Wx + b$$

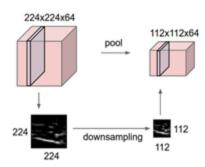
#### **Activation Function**



#### Convolution Layers



#### **Pooling Layers**



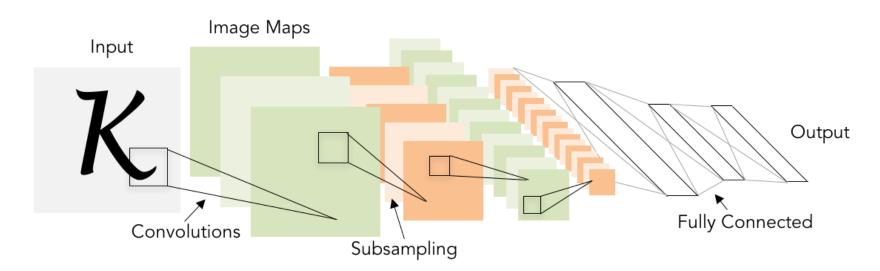
#### Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

### Convolutional Networks

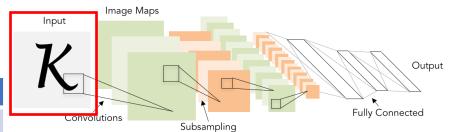
Classic architecture: [Conv, ReLU, Pool] x N, flatten, [FC, ReLU] x N, FC

Example: LeNet-5

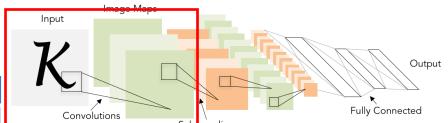


Lecun et al, "Gradient-based learning applied to document recognition", 1998

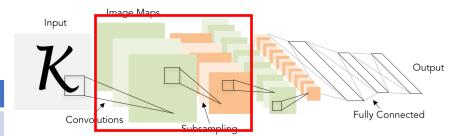
Layer	Output Size	Weight Size
Input	1 x 28 x 28	



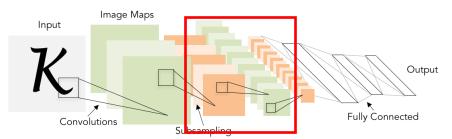
Layer	Output Size	Weight Size
Input	1 x 28 x 28	
Conv (C <sub>out</sub> =20, K=5, P=2, S=1)	20 x 28 x 28	20 x 1 x 5 x 5
ReLU	20 x 28 x 28	



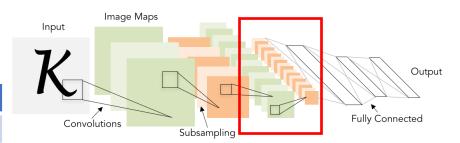
Layer	Output Size	Weight Size
Input	1 x 28 x 28	
Conv (C <sub>out</sub> =20, K=5, P=2, S=1)	20 x 28 x 28	20 x 1 x 5 x 5
ReLU	20 x 28 x 28	
MaxPool(K=2, S=2)	20 x 14 x 14	



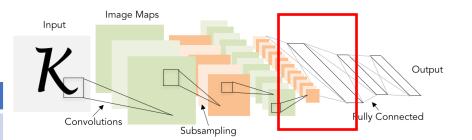
Layer	Output Size	Weight Size
Input	1 x 28 x 28	
Conv (C <sub>out</sub> =20, K=5, P=2, S=1)	20 x 28 x 28	20 x 1 x 5 x 5
ReLU	20 x 28 x 28	
MaxPool(K=2, S=2)	20 x 14 x 14	
Conv (C <sub>out</sub> =50, K=5, P=2, S=1)	50 x 14 x 14	50 x 20 x 5 x 5
ReLU	50 x 14 x 14	



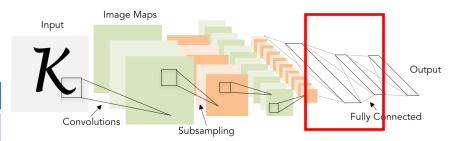
Layer	Output Size	Weight Size
Input	1 x 28 x 28	
Conv (C <sub>out</sub> =20, K=5, P=2, S=1)	20 x 28 x 28	20 x 1 x 5 x 5
ReLU	20 x 28 x 28	
MaxPool(K=2, S=2)	20 x 14 x 14	
Conv (C <sub>out</sub> =50, K=5, P=2, S=1)	50 x 14 x 14	50 x 20 x 5 x 5
ReLU	50 x 14 x 14	
MaxPool(K=2, S=2)	50 x 7 x 7	



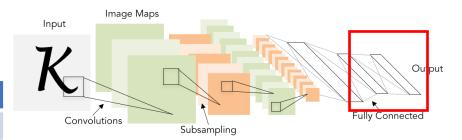
Layer	Output Size	Weight Size
Input	1 x 28 x 28	
Conv (C <sub>out</sub> =20, K=5, P=2, S=1)	20 x 28 x 28	20 x 1 x 5 x 5
ReLU	20 x 28 x 28	
MaxPool(K=2, S=2)	20 x 14 x 14	
Conv (C <sub>out</sub> =50, K=5, P=2, S=1)	50 x 14 x 14	50 x 20 x 5 x 5
ReLU	50 x 14 x 14	
MaxPool(K=2, S=2)	50 x 7 x 7	
Flatten	2450	



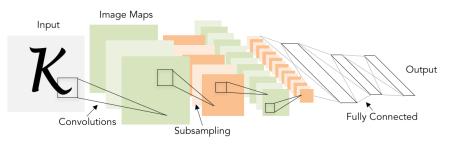
Layer	Output Size	Weight Size
Input	1 x 28 x 28	
Conv (C <sub>out</sub> =20, K=5, P=2, S=1)	20 x 28 x 28	20 x 1 x 5 x 5
ReLU	20 x 28 x 28	
MaxPool(K=2, S=2)	20 x 14 x 14	
Conv (C <sub>out</sub> =50, K=5, P=2, S=1)	50 x 14 x 14	50 x 20 x 5 x 5
ReLU	50 x 14 x 14	
MaxPool(K=2, S=2)	50 x 7 x 7	
Flatten	2450	
Linear (2450 -> 500)	500	2450 x 500
ReLU	500	



Layer	<b>Output Size</b>	Weight Size
Input	1 x 28 x 28	
Conv (C <sub>out</sub> =20, K=5, P=2, S=1)	20 x 28 x 28	20 x 1 x 5 x 5
ReLU	20 x 28 x 28	
MaxPool(K=2, S=2)	20 x 14 x 14	
Conv (C <sub>out</sub> =50, K=5, P=2, S=1)	50 x 14 x 14	50 x 20 x 5 x 5
ReLU	50 x 14 x 14	
MaxPool(K=2, S=2)	50 x 7 x 7	
Flatten	2450	
Linear (2450 -> 500)	500	2450 x 500
ReLU	500	
Linear (500 -> 10)	10	500 x 10



Layer	Output Size	Weight Size
Input	1 x 28 x 28	
Conv (C <sub>out</sub> =20, K=5, P=2, S=1)	20 x 28 x 28	20 x 1 x 5 x 5
ReLU	20 x 28 x 28	
MaxPool(K=2, S=2)	20 x 14 x 14	
Conv (C <sub>out</sub> =50, K=5, P=2, S=1)	50 x 14 x 14	50 x 20 x 5 x 5
ReLU	50 x 14 x 14	
MaxPool(K=2, S=2)	50 x 7 x 7	
Flatten	2450	
Linear (2450 -> 500)	500	2450 x 500
ReLU	500	
Linear (500 -> 10)	10	500 x 10

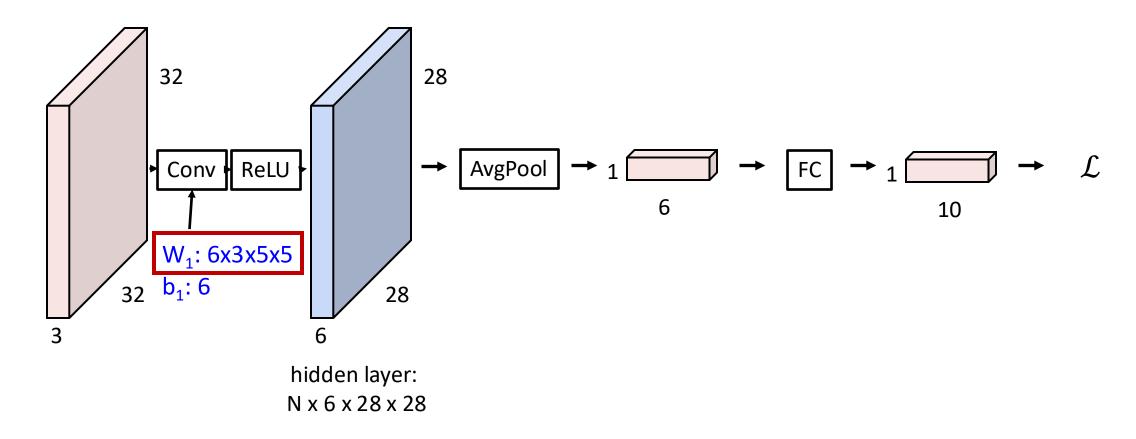


As we go through the network:

Spatial size **decreases** (using pooling or strided conv)

Number of channels **increases** (total "volume" is preserved!)

### Backpropagation for CNNs



What does its computational graph look like?

### Next Class

# More about Convolutional Neural Networks