Convolutional Neural Networks V

CS7150, Spring 2025

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Recap

Batch Normalization for ConvNets

Batch Normalization for **fully-connected** networks

Normalize
$$\begin{array}{c}
x : N \times D \\
\mu, \sigma : 1 \times D \\
\gamma, \beta : 1 \times D \\
y = \frac{(x - \mu)}{\sigma} \gamma + \beta
\end{array}$$

Batch Normalization for **convolutional** networks (Spatial Batchnorm, BatchNorm2D)

$$x: N \times C \times H \times N$$

$$\mu, \sigma$$

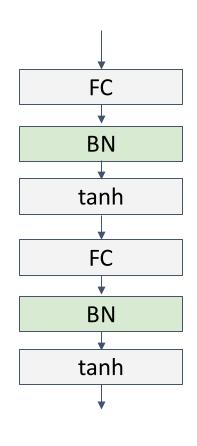
$$: 1 \times C \times 1 \times 1$$

$$\gamma, \beta$$

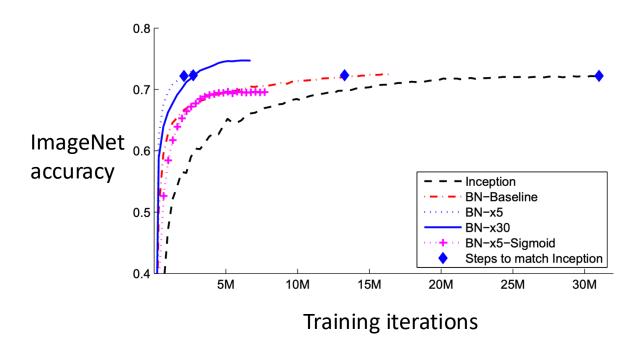
$$: 1 \times C \times 1 \times 1$$

$$y = \frac{(x - \mu)}{\sigma} \gamma + \beta$$

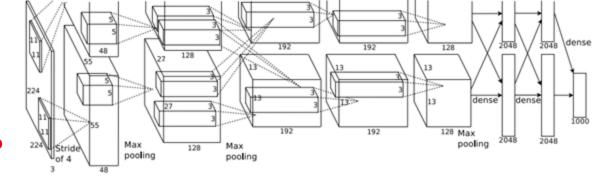
Batch Normalization



- Makes deep networks much easier to train!
- Allows higher learning rates, faster convergence
- Networks become more robust to initialization
- Acts as regularization during training
- Free at test-time: can be fused with conv!



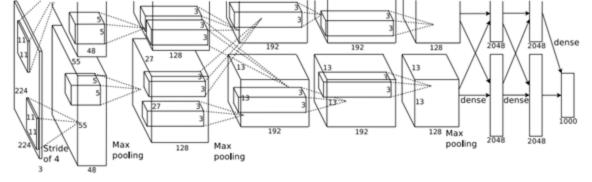
AlexNet



How to choose this? Trial and error =(

| | Input size | | Layer | | | | Outp | ut size | | | |
|---------|------------|-------|------------------|--------|--------|-----|------|---------|-------------|------------|----------|
| Layer | С | H / W | filters | kernel | stride | pad | C | H / W | memory (KB) | params (k) | flop (M) |
| conv1 | | 3 227 | 64 | 11 | 4 | 2 | 64 | 56 | 784 | 23 | 73 |
| pool1 | 6 | 4 56 | ō | 3 | 2 | 2 0 | 64 | 27 | 182 | C | 0 |
| conv2 | 6 | 4 27 | ⁷ 192 | 5 | 1 | . 2 | 192 | 27 | 547 | 307 | 224 |
| pool2 | 19 | 2 27 | 7 | 3 | 2 | 2 0 | 192 | 13 | 127 | C | 0 |
| conv3 | 19 | 2 13 | 384 | 3 | 1 | . 1 | 384 | 13 | 254 | 664 | 112 |
| conv4 | 38 | 4 13 | 256 | 3 | 1 | . 1 | 256 | 13 | 169 | 885 | 145 |
| conv5 | 25 | 6 13 | 256 | 3 | 1 | . 1 | 256 | 13 | 169 | 590 | 100 |
| pool5 | 25 | 6 13 | 3 | 3 | 2 | 0 | 256 | 6 | 36 | C | 0 |
| flatten | 25 | 6 6 | 5 | | | | 9216 | | 36 | C | 0 |
| fc6 | 921 | 6 | 4096 | | | | 4096 | | 16 | 37,749 | 38 |
| fc7 | 409 | 6 | 4096 | | | | 4096 | | 16 | 16,777 | 17 |
| fc8 | 409 | 6 | 1000 | | | | 1000 | | 4 | 4,096 | 5 4 |

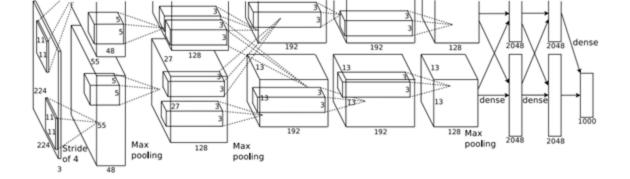
AlexNet



Interesting trends here!

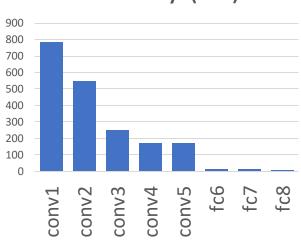
| | | Input | t size | Layer | | | | 0 | utp | ut size | | | |
|---------|---|-------|--------|---------|--------|--------|-----|-----|-----|---------|-------------|------------|----------|
| Layer | C | | H / W | filters | kernel | stride | pad | С | | H/W | memory (KB) | params (k) | flop (M) |
| conv1 | | 3 | 227 | 64 | 11 | 4 | . 2 | 2 | 64 | 56 | 784 | 23 | 73 |
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| conv5 | | 256 | 13 | 256 | 3 | 1 | | 1 2 | 256 | 13 | 169 | 590 | 100 |
| pool5 | | 256 | 13 | | 3 | 2 | . (|) : | 256 | 6 | 36 | 0 | 0 |
| flatten | | 256 | 6 | | | | | 92 | 216 | | 36 | 0 | 0 |
| fc6 | | 9216 | | 4096 | | | | 40 | 096 | | 16 | 37,749 | 38 |
| fc7 | | 4096 | | 4096 | | | | 40 | 096 | | 16 | 16,777 | 17 |
| fc8 | | 4096 | | 1000 | | | | 10 | 000 | | 4 | 4,096 | 4 |

AlexNet

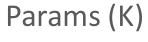


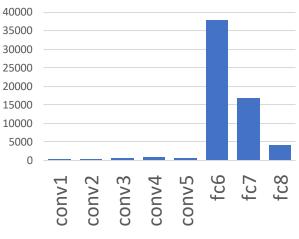
Most of the **memory usage** is in the early convolution layers

Memory (KB)



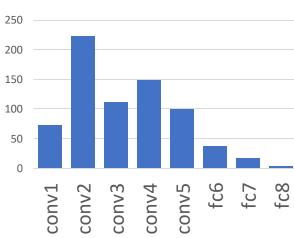
Nearly all **parameters** are in the fully-connected layers





Most **floating-point ops** occur in the convolution layers





VGG: Deeper Networks, Regular Design

VGG Design rules:

All conv are 3x3 stride 1 pad 1
All max pool are 2x2 stride 2
After pool, double #channels

Conv layers at each spatial resolution take the same amount of computation!

Softmax
FC 1000
FC 4096
FC 4096
Pool
3x3 conv, 256
3x3 conv, 384
Pool
5x5 conv, 256
11x11 conv, 96

AlexNet

FC 4096

Input: C x 2H x 2W

Layer: Conv(3x3, C->C)

Memory: 4HWC

Params: 9C²

FLOPs: 36HWC²

Memory: 2HWC

Input: 2C x H x W

Conv(3x3, 2C -> 2C)

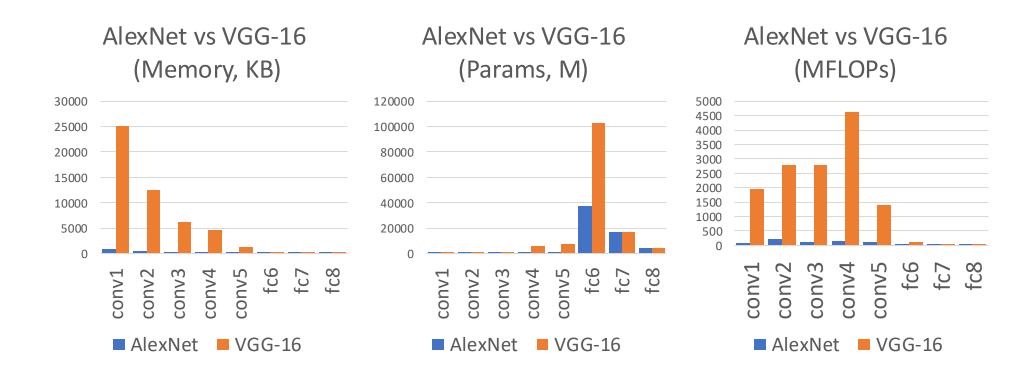
Params: 36C²

FLOPs: 36HWC²

VGG16

VGG19

AlexNet vs VGG-16: Much Bigger!



AlexNet total: 1.9 MB

VGG-16 total: 48.6 MB (25x)

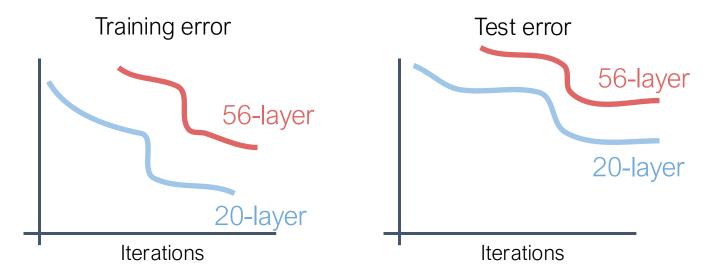
AlexNet total: 61M

VGG-16 total: 138M (2.3x)

AlexNet total: 0.7 GFLOP

VGG-16 total: 13.6 GFLOP (19.4x)

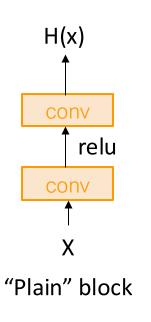
Once we have Batch Normalization, we can train networks with 10+ layers. What happens as we go deeper?

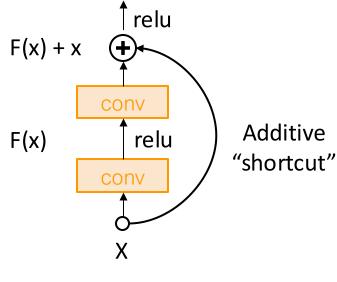


In fact the deep model seems to be underfitting since it also performs worse than the shallow model on the training set! It is actually underfitting

He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

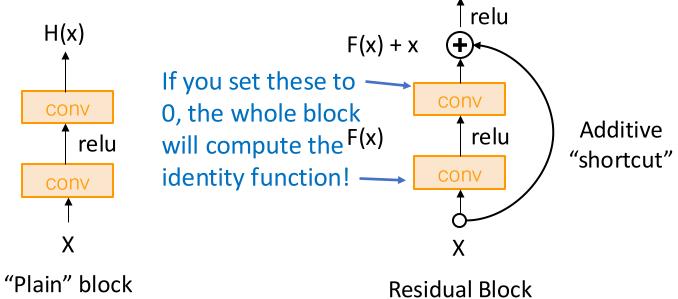
Solution: Change the network so learning identity functions with extra layers is easy!





Residual Block

Solution: Change the network so learning identity functions with extra layers is easy!



He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

A residual network is a stack of many residual blocks

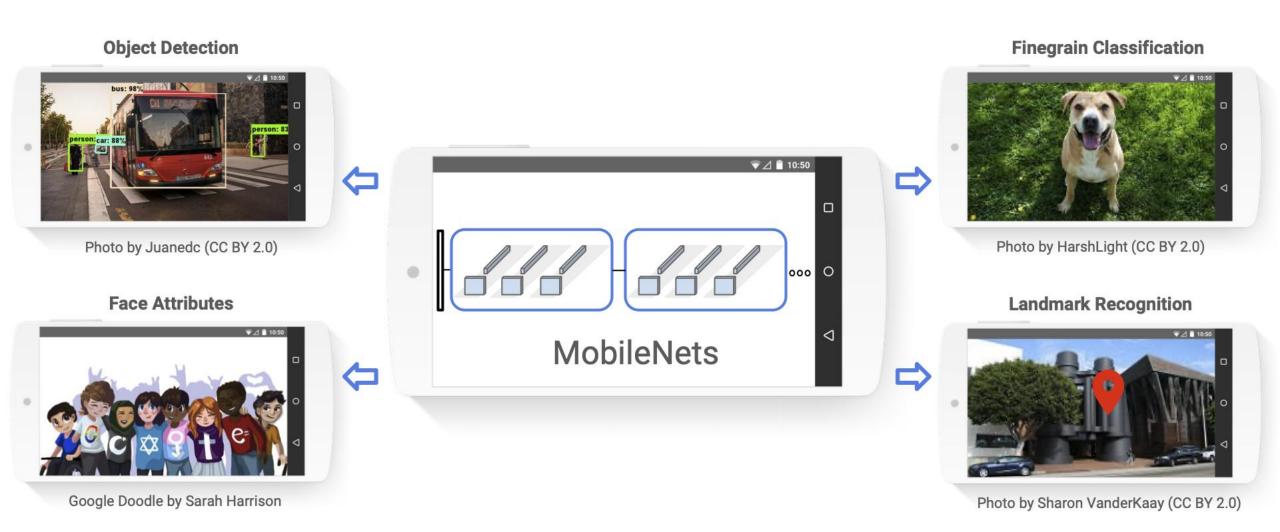
Regular design, like VGG: each residual block has two 3x3 conv

Network is divided into stages: the first block of each stage halves the resolution (with stride-2 conv) and doubles the number of channels

relu F(x) + x3x3 conv F(x)relu Residual block relu F(x) + x3x3 conv F(x)relu 3x3 conv Residual block

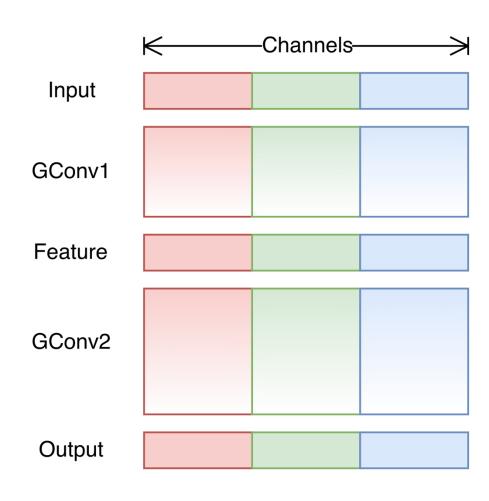
He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

Tiny Networks for Mobile Devices



[Howard et al., MobileNets: Efficient Convolutional Neural Networks for Mobile Vision Applications. arXiv 2017]

Group-based Convolution



Input: C_{in} x H x W

Hyperparameters:

- **Kernel size**: $K_H \times K_W$

- Number filters: C_{out}

- Padding: P

- **Stride**: S

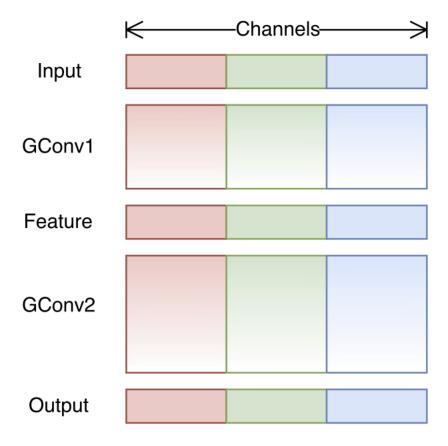
- **Groups:** G

Weight matrix: $C_{out} / G \times C_{in} / G \times K_H \times K_W \times G$

Bias vector: C_{out}/G

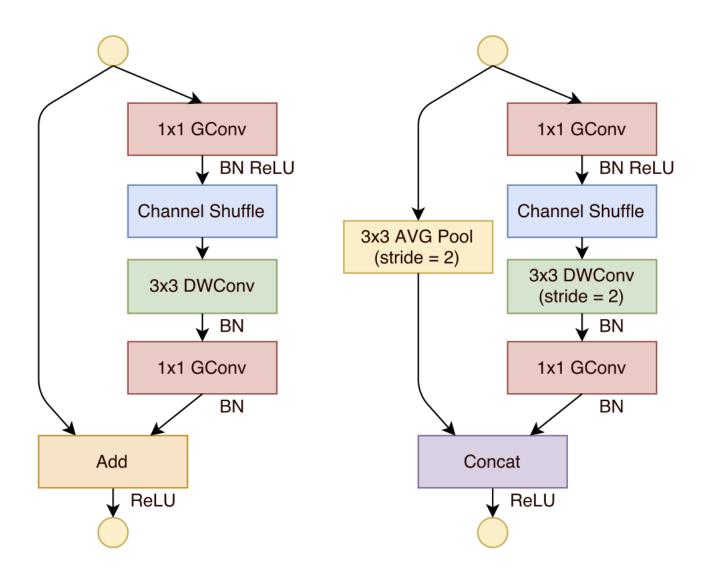
FLOPS: C_{out} /G x C_{in} /G x K_H x K_W x G x H x W

ShuffleNet



[Zhang et al., ShuffleNet: An Extremely Efficient Convolutional Neural Network for Mobile Devices. CVPR 2018]

ShuffleNet Units

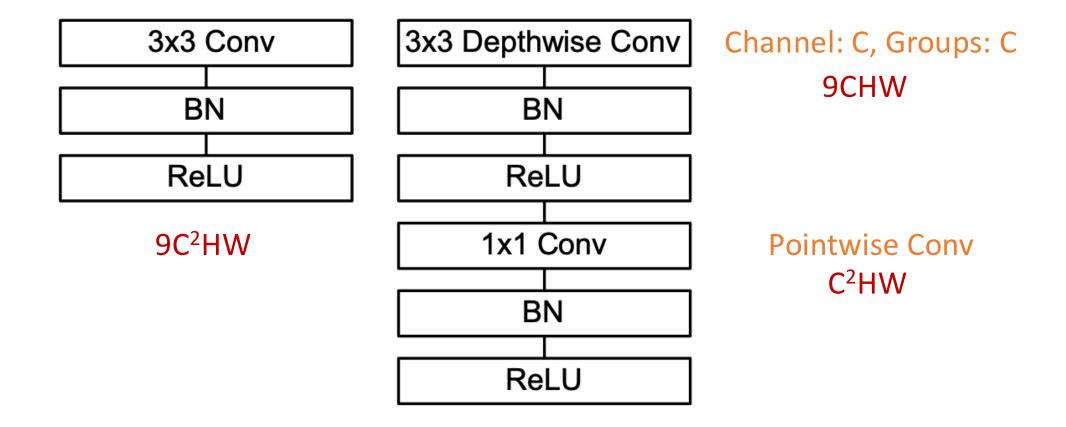


[Zhang et al., ShuffleNet: An Extremely Efficient Convolutional Neural Network for Mobile Devices. CVPR 2018]

Today's Class

- Lightweight convolutional neural networks
- Tips of training deep convolutional neural networks
- PyTorch tutorial

MobileNet



Computation reduction: $9C^2HW/(9CHW + C^2HW) = 9C/(9+C)$

[Howard et al., MobileNets: Efficient Convolutional Neural Networks for Mobile Vision Applications. arXiv 2017]

- 1. Download big datasets
- 2. Design CNN architecture
- 3. Initialize Weights
- 4. For t = 1 to T:
 - 1. Form minibatch
 - 2. Compute loss + gradient
 - 3. Update Weights
- 5. Apply trained model to task

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If the model

is big, won't

we overfit?

- 3. Update Weights
- 5. Apply trained model to task

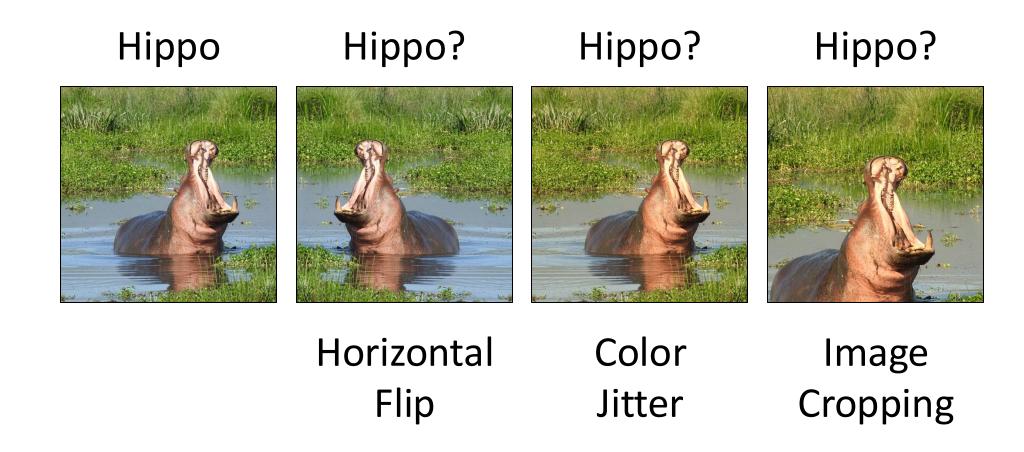
Regularizing CNNs: Weight Decay

$$L_{reg} = \frac{1}{2} \sum_{\ell} ||W_{\ell}||^2 \qquad \frac{\partial L_{reg}}{\partial W_{\ell}} = W_{\ell}$$

Add L2 regularization term L_{reg} to the loss penalizing large weight matrices

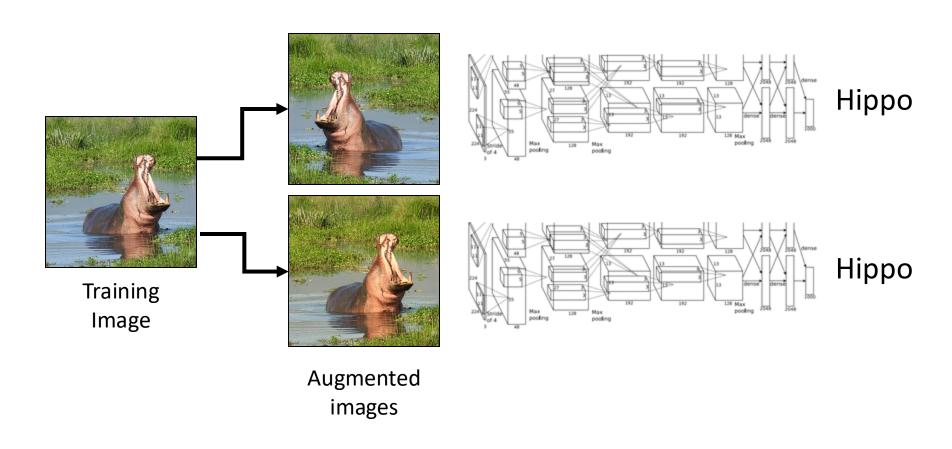
Usually don't regularize bias terms, or BatchNorm scale / shift params

Regularizing CNNs: Data Augmentation



Regularizing CNNs: Data Augmentation

Apply random transformations to input images during training Artificially "inflate" the size of your dataset



- 1. Download big datasets
- 2. Design CNN architecture
- 3. Initialize Weights
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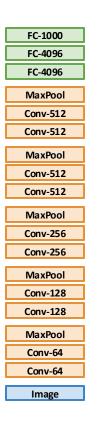
- 3. Update Weights
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- 1. Download big datasets
- 2. Design CNN architecture find one?
- 3. Initialize Weights
- 4. For t = 1 to T:
 - 1. Form minibatch
 - 2. Compute loss + gradient
 - 3. Update Weights
- 5. Apply trained model to task

What if we can't find one?

Transfer Learning: Feature Extraction

1. Train on ImageNet



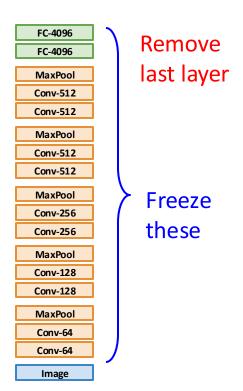
Donahue et al, "DeCAF: A Deep Convolutional Activation Feature for Generic Visual Recognition", ICML 2014

Transfer Learning: Feature Extraction

1. Train on ImageNet

FC-1000 FC-4096 FC-4096 MaxPool Conv-512 Conv-512 MaxPool Conv-512 Conv-512 MaxPool Conv-256 Conv-256 MaxPool Conv-128 Conv-128 MaxPool Conv-64 Conv-64 Image

2. CNN as feature extractor

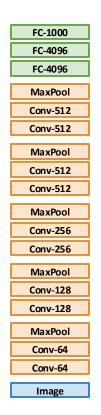


Use your small dataset to train a linear classifier on top of pretrained CNN features

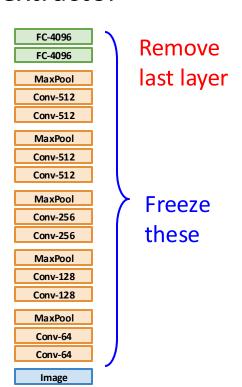
Donahue et al, "DeCAF: A Deep Convolutional Activation Feature for Generic Visual Recognition", ICML 2014

Transfer Learning: Fine-Tuning

1. Train on ImageNet



2. CNN as feature extractor



3. Bigger dataset:

Fine-Tuning

FC

FC-4096

FC-4096

MaxPool

Conv-512

Conv-512

MaxPool

Conv-512

Conv-512

MaxPool

Conv-256

Conv-256

MaxPool

Conv-128

Conv-128

MaxPool

Conv-64

Conv-64

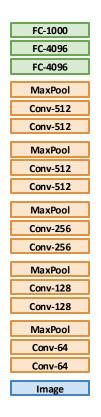
Image

Reinitialize last layer and continue training whole network on your dataset

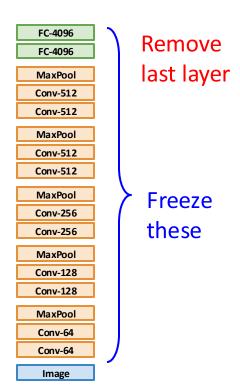
and who

Transfer Learning: Fine-Tuning

1. Train on ImageNet



2. CNN as feature extractor



3. Bigger dataset:

Fine-Tuning

MaxPool

Conv-256

Conv-256

MaxPool

Conv-128

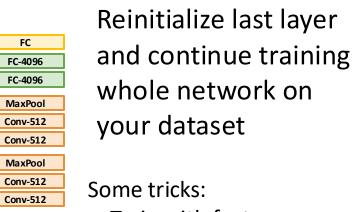
Conv-128

MaxPool

Conv-64

Conv-64

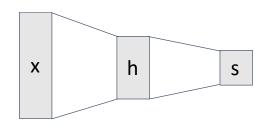
Image



- Train with feature extraction first before fine-tuning
- Lower the learning rate: use ~1/10 of LR used in original training
- Sometimes freeze lower layers to save computation

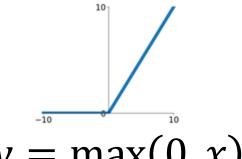
Recap: Convolutional Networks

Fully-Connected Layers



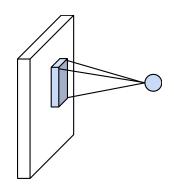
$$y = Wx + b$$

Activation Function

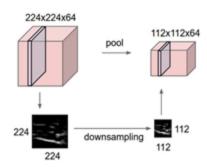


$$y = \max(0, x)$$

Convolution Layers



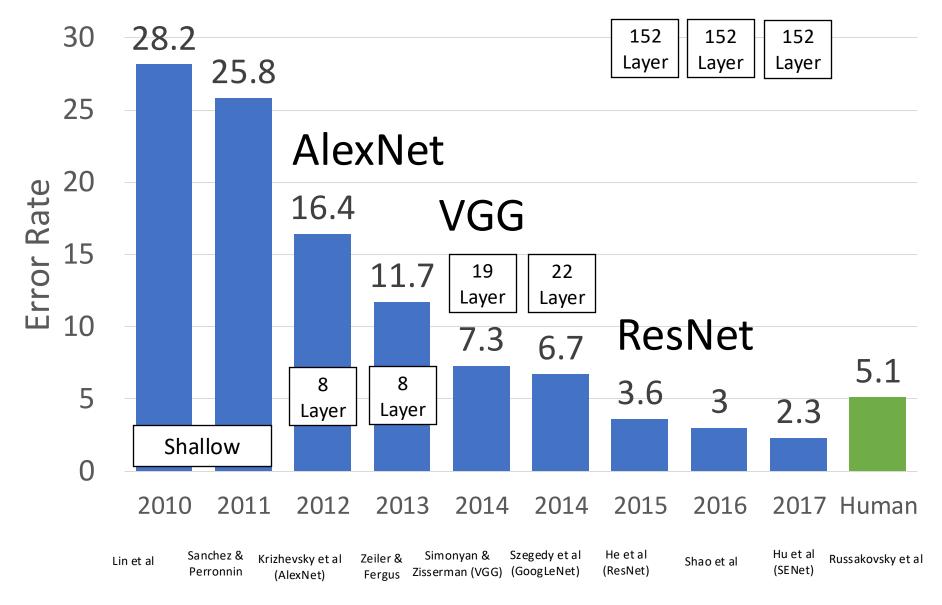
Pooling Layers



Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

Recap: CNN Architectures



Recap: Training CNNs

- 1. Download big datasets Transfer Learning
- 2. Design CNN architecture
- 3. Initialize Weights Xavier / MSRA Init
- 4. For t = 1 to T:
 - 1. Form minibatch
 - 2. Compute loss + gradient
 - 3. Update Weights
- 5. Apply trained model to task

Regularization

+ Data

Augmentation

Next Class

More about Convolutional Neural Networks