

## CATAM: 19.2 Information content of natural language

#### 1

Text A is chosen to answer the questions in this project. Refer to q1.m in Appendix (A) for the program for this question.

The source entropy found from q1.m is returned in h = 4.0688 (4 d.p.)

Huffman code returned from the program can be seen in figure (1)

0 11 1 100 2 11110 3 1101
2 11110
3 1101
3 1101
4 101
5 0
6 11100
7 1100
8 1000
9 1001
10 11101100
11 11100
12 11
13 1111
14 1010
15 110
16 11111
17 111011011
18 10
19 1011
20 101
21 1000
22 111010
23 1001
24 1110111
25 11101
26 111011010

Figure 1: left column is the alphabet and right column is the corresponding huffman code

The expected codeword length is returned as E = 4.1142 (4 d.p.)

Shannon-fano code returned from the program can be seen in figure (2) Where in the program I have used the method of working out the lengths with  $l_i = \lceil -log_2(p_i) \rceil$ , where  $l_i$  is the length and  $p_i$  is the probability of the letter represented by i. Then ordering the probabilities such that  $p_1 \geq p_2 \geq ... \geq p_{27}$ , define cumulative probability  $P_i = \sum_{j=1}^{i-1} p_i$ . Then define the codeword for letter i as the first  $l_i$  digits of the binary representation of  $P_i$  after the decimal point.

This contructs a prefix-free code of the desired lengths:

From the definition of  $l_i$ , we know  $log_2(\frac{1}{p_i}) \leq l_i < log_2(\frac{1}{p_i}) + 1 \Rightarrow \frac{1}{2^{l_i}} \leq p_i < \frac{1}{2^{l_i-1}}$ . Therefore the code for  $P_i$  will differ from all succeeding codes in one or more of its  $l_i$  places, all the remaining  $P_i$  are at least  $\frac{1}{2^{l_i}}$  larger, so their binary expansions will differ in the first  $l_i$  places. This means the code generated from this method is prefix free.

The expected codeword length is returned as ESF = 4.6136 (4 d.p.)

The expected codeword length for Huffman's code is less than for the Shannon-fano code, and both are greater than the source entropy, as expected from Shannon's noiseless encoding theorem.

0	000
	0100
	1111010
	111000
	11001
	0011
6	111011
7	110111
8	01111
9	10001
10	11111111101
11	1111101
12	10111
13	111001
14	10011
15	01110
16	1111100
17	1111111111110
18	10110
19	10100
20	0101
	110100
	11111110
	110110
	1111111101
25	1111000
26	111111111101

Figure 2: left column is the alphabet and the right column is the corresponding Shannon-Fano code

How would segmentation improve expected length if source was assumed Bernoulli?

Previously we know by Shannon's noiseless encoding theorem that  $h \leq \mathbb{E}(S) < h+1$ , where S is the length of optimal codewords and h is the source entropy.

With segmentation, let  $S^{(n)}$  be the length of an optimal codeword for a segment of length n, and let  $h_n$  be the source entropy of the new code after segmentation. Then from the same way as before, with Shannon's noiseless encoding theorem,  $\frac{h_n}{n} \leq \mathbb{E}(\frac{S^{(n)}}{n}) < \frac{h_n}{n} + \frac{1}{n}$ . Where we look at  $\frac{S^{(n)}}{n}$  instead as this represents the length of codeword per source letter, which is more comparable to the results before segmentation.[1] By claim (1.1), we then know that  $h \leq \mathbb{E}(S^{(n)}) < h + \frac{1}{n}$ . Hence  $\mathbb{E}(S^{(n)}) \to h$  as  $n \to \infty$ .

Thus there is advantage in segmented codes in terms of length of code. But this is ignoring the increased storage requirements need to store the new increased alphabet  $I_m^n$  and their respective codewords.

#### Claim 1.1. For a Bernoulli source, $h_n = n \times h$ .

*Proof.* Since source is Bernoulli, the probability of a message of length n occurring is  $p_{i_1}p_{i_2}...p_{i_n}$ , where  $p_{i_j}$  is the probability of the letter corresponding with  $i_j$  occurring, where  $i_1, i_2, ..., i_n \in \{0, ..., 26\}$ .

$$\Rightarrow h_n = -\sum_{i_1,i_2,...,i_n} p_{i_1}p_{i_2}...p_{i_n}log(p_{i_1}p_{i_2}...p_{i_n})$$

$$\Rightarrow h_n = -\sum_{i_1, i_2, ..., i_n} p_{i_1} p_{i_2} ... p_{i_n} (\sum_{j=1}^n log(p_{i_j}))$$

Rearranging the sum:

$$\Rightarrow h_n = n \times \sum_{j=1}^n log(p_j) p_j (\sum_{i_1, i_2, \dots, i_{n-1} \neq j} p_{i_1} p_{i_2} \dots p_{i_{n-1}}) = nh$$

Refer to q2.m in Appendix (B) for the program for this question.

The English text is definitely not Bernoulli, for example if the previous letter is space, the probability of the following letter being space is 0.

Looking at the probabilities of pairs occurring deduced from the source text in the program, from the 729 possible combinations, only 334 are non-zero. If source was Bernoulli, then all the probabilities would be non-zero because the probabilities for the original alphabet is all non-zero.

Certain pairs of letters that do not appear in the text are assumed to have probability 0, so will be ignored and not assigned any codeword. See figure (3) for Huffman code assigned to pairs of letters for the pairs that appear in the text. In this table the numbers associated with the alphabet has been shifted by 1, ie. 1 - space, 2 - A, 3 - B, etc.

The expected length is then also calculated from this with the result of  $\mathbb{E}(S^{(n)}/n) = 3.6572$  (4 d.p.). This is an improvement on expected word length per source letter compared to the Huffman code in question 1 with E = 4.1142 before segmentation. Hence segmentation reduces the expected length of codewords.

If the source was Bernoulli, the expected codeword length per source letter would be at least the source entropy from question 1 (h = 4.0688). So English text has shorter expected length. This confirms that English text is not Bernoulli, as the result does not match what we proved in question 1 for Bernoulli sources. In fact segmentation improves the expected word length more than expected of a bernoulli source, this is because segmentation helps include some of the relations of the letters to each other.

#### References

[1] Goldie CM, Pinch RGE. Communication Theory; 1991.

Pairs of		Pairs of let		Pairs of lett		Pairs of le	
1	2 10111 3 0001011	6 7	26 001010110 1 1011000	15 15	12	22 22	15 <sup>*</sup> 000110010 17 <sup>*</sup> 0110010111
1	4 0101010	7	1	15	16 010000001	22	19 000001001
1	5 0111110	7	6 0010001000	15	20 00100111111	22	20 001001010
i	6 000110011	7	7 *0110011011	15	21 01100010	22	21 01110110
i	7 0111000	7	10 0010001110	15	23 110010010101	22	27 110011100000
1	8 10010110	7	13 *11000010010	15	24 110011101010	23	2 11001111011
1	9 011011	7	16 100110011	15	26 0010001101	23	6 *01100000
1	10 101001	7	19 1011010001	16	1 00011100	23	10 110011100001
1	11 1001010111	7	21 00100100110	16	2 11001101110	24	1 0010000111
1	12 00100101110	7	22 00100100111	16	3 1100101001	24	2 1110110
1	13 0110100	8	1 1111110	16	4 0110010010	24	3 110011100110
1	14 0100010	8	2 0000011011	16	5 000010101	24	5 110011100111
1	15 011101000 16 111010	8	6	16 16	7 1010110 8 1100101110	24 24	6 <sup>*</sup> 01110111 7 <sup>*</sup> 110011100100
1	17 00101100	8	9 011010100	16	10 1100101111	24	8 110011100101
i	19 01010011	8	10 0110011000	16	12 0000011110	24	9 011001010
1	20 010111	8	13 *0110011001	16	13 001010100	24	10 01010111
1	21 10000	8	15 *11000010011	16	14 010000110	24	13 00100001011
1	22 11111011	8	16 1011010111	16	15 1111100	24	15 1001010110
1	23 111110101	8	19 00100100100	16	16 00110101	24	16 100101010
1	24 101010	8	20 1011010100	16	17 1100101100	24	19 11001111000
1	26 001100100	8	21 110010000100	16	19 1110011	24	20 110011111010
2	1 0010111	9	1 0100111	16	20 0010000010	25	10 11001111001
2	3 101000100	9	2 1010000	16	21 000001010	25	21 110011111011
2	4 1110111	9	6 10001	16	22 1001000	25	26 110011111000
2	5 000110000 7 11000011010	9	10 - 1110010 13 - 110010000101	16 16	23 0010000011 24 001010101	26 26	1  0010100 6  00100001000
2	8 0001110110	9	16 *11110011	16	26 110011101011	26 26	10 11001111110
2	10 00001110	9	19 1011010101	17	1 000001011	26	16 010011011
2	12 00100101111	9	21 010000010	17	2 000101011	26	20 110011111001
2	13 00000010	š	22 1100101010	17	6 *100110000	26	21 00100001001
2	14 110001110	9	26 110010011010	17	10 110011101000	26	24 110011111110
2	15 011110	10	1 *11110000	17	12 *110011101001	27	13 *110011111111
2	17 11000011011	10	2 11000010000	17	13 011010101	6	12 110010000110
2	18 110010001110	10	3 110010011011	17	16 0010000000	6	13 10010111
2	19 0111001	10	4 110000010	17	17 0110010011	6	14 0110000101
2	20 0100011	10	5 00110011	17	19 11001101111	6	15 1001001
2	21 0101101	10	6 110000011	17	20 11001101100	6	17 00100100000
2	22 0001110111	10	7 1100101011	17	22 00100111100	6	19 100111
2	23 0001110100 24 1111101000	10	8 000010100	19	1 111101 2 01010110	6	20 1010111 21 000010111
2	24 1111101000 26 0110000110	10 10	12 <sup>*</sup> 010000011 13 <sup>*</sup> 11110001	19 19	2 01010110 3 110011101110	6 6	21
3	1 1100100111	10	14 00101011	19	4 0011011101	6	23 0110010000111
3	2 1111101001	10	15 0000110	19	5 00100110010	6	24 00100100001
3	3 *110010001100	10	16 *110010011000	19	6 0011000	6	25 1011010000
3	6 "110001111	10	17 *11000010001	19	8 00100110011	14	19 *11001101010
3	10 11000011000	10	19 10010100	19	10 100110001	14	20 00100111110
3	13 010100100	10	20 00101101	19	12 0010011000C	14	22 11001101011
3	16 101000101	10	21 00000011	19	13 00100110001	14	26 0010001100
3	19 110001100	10	22 110010011001	19	15 0110010000	15	1 111000
3	22 011101001	10	23 00100100101	19	16 00110110	15	2 0000011000
3	23 110010001101	10	25 11000010110	19	17 110011101111 19 1100101101	15	4 0110011101
3 4	26 11000011001 2 011010110	10 11	27	19 19	19 1100101101 20 110000000	15 15	5 0000100 6 00010100
4	6 010100101	12	1 00110111	19	21 100010110	15	8 1011001
4	9 *000110001	12	2 110010011111	19	22 00100110110	15	9 110010010100
4	10 11000011110	12	6 01110101	19	23 11001101101	15	10 0000011001
4	12 10110110	12	10 00100111010	19	26 0000011111	21	24 00100110101
4	13 100110010	12	15 00100111011	20	1 *010010	21	26 *11001100111
4	16 000010110	12	20 0110011110	20	2 010000111	22	1 0010000110
4	18 11001000001	13	1 00000000	20	4 11001100010	22	2 11001100100
4	19 00100101100	13	2 00011110	20	6 01100011	22	4 11001100101
4	22 0001110101	13	3 110010011100	20	9 00001111	22	5 110011101101
5 5	1 11010	13	5 010000000	20	10 0110010001	22	6 00100001010
5	2 1011010010 5 11000011111	13 13	6	20 20	12 00100110111 13 110000001	22 22	7
5	6 000101010	13	8 11001001101	20	14 00000011100	22	10 11001111010
5	8 11000011100	13	10 00110100	20	15 11001100011	22	13 0100111010
5	10 110001101	13	12 *11000010111	20	16 0010000001	22	14 *110011100011
5	12 *11000011101	13	13 *01011001	20	17 *1100100010		
5	13 00100101101	13	14 110010010011	20	20 010000100		
5	15 0010001010	13	16 00000001	20	21 1100010		
5	16 001100101	13	17 110010010000	20	22 11001100000		
5	19 11001000001	13	19 110010010001	20	24 11001100001		
5	20 0010001011	13	20 00100111000	21	1 000100		
5	21 11001000000	13	21 11000010100	21	2 100110111		
5	22 00100100010	13	22 0110011111	21	4 110011101100		
5 6	26 0000011010 1 00111	13 13	23 110010010110 26 00011111	21	6 01001100 9 11011		
6	2 01011000	13 14	1 10100011	21 21	10 100110100		
6	4 0110000111	14	2 0010001111	21	13 100110101		
6	5 0001101	14	3 11000010101	21	15 11001100110		
6	6 011010111	14	6 *1111111	21	16 0101000		
6	7 0110000100	14	7 110010010111	21	19 010000101		
6	8 1011010011	14	10 0110011100	21	20 0000011101		
6	9 1100100000C	14	16 10110111	21	21 0110010110		
6	10 00100100011	14	17 00100111001	21	22 00100110100		

Figure 3: pairs of letters and their corresponding huffman codewords

# **Appendix**

### A q1.m

```
function [h, codewords, codewordsSF] = q1()
%h stores source entropy
%codewords contains codewords after hamming's code
%codewordsSF contains codewords after shannon-fano code
clear
format long
A = readmatrix("https://www.maths.cam.ac.uk/undergrad/catam/data/II-19-2-dataA.txt");
A(end, :) = [];
A = A + 1; %add one to every letter, so we work in 1= space, 2 = A, 3 = B, ... to fit matlab
   \hookrightarrow better
%disp(A)
"first need to create list of probabilities for alphabet in this text.
n = 400*25; %is the number of characters in the text
p = zeros(27, 1);
for i = 1:n
   for j = 1:27
       if A(i) == (j)
          p(j) = p(j) + 1;
       end
   end
end
%now divide all entries of p my n so that it is a probability
%with this we can work out source entropy
h = 0;
for i = 1:27
   h = h - p(i) * log2(p(i));
end
letters = [1:1:27];
p = [letters p];
psorted = sortrows(p, 2, {'descend'}); %sorted by probabilities
codewords = strings(27, 1); %This will store the code words corresponding to the alphabet
%where position 1 stores cw for space, position 2 stores cw for A, etc.
I = num2cell(psorted); %first create copy of p as cell
for i = 1:26
   last1 = cell2mat(I(end, 1));
   last2 = cell2mat(I(end-1, 1));
   for k = 1:size(last1, 2)
       codewords(last1(k)) = '1' + codewords(last1(k));
   for l = 1 : size(last2, 2)
       codewords(last2(1)) = '0' + codewords(last2(1));
   end
   I(end-1, 1) = {[last2, last1]};
   I\{end-1, 2\} = I\{end-1, 2\} + I\{end, 2\};
   I(end, :) = [];
```

```
I = sortrows(I, 2, 'descend');
end
%now with codewords we can work out expected word length, E
E = 0;
for i = 1:27
   E = E + p(i, 2) * strlength(codewords(i));
"Now that's done do the same for Shannon-fano
lengths = ceil(-log2(p(:, 2)));
%lengths = [letters lengths];
%lengths = sortrows(lengths, 2);
cumulativep = zeros(27, 1); "will store the cumulative probabilities of the sorted p
for i = 2:27
   cumulativep(i) = cumulativep(i-1) + psorted(i-1, 2);
end
cumulativep = [psorted(:, 1) cumulativep];
cumulativep = sortrows(cumulativep, 1, 'ascend');
%Now workout codewords. again pos1 stores space, pos2 stores A etc
codewordsSF = strings(27, 1);
for i = 1:27
   for j = 1:lengths(i)
       temp = cumulativep(i, 2)*2;
       if temp >= 1
           codewordsSF(i) = codewordsSF(i) + '1';
           cumulativep(i, 2) = cumulativep(i, 2)*2 - 1;
       else
           codewordsSF(i) = codewordsSF(i) + '0';
           cumulativep(i, 2) = cumulativep(i, 2)*2;
       end
   end
end
%now work out corresponding expected length
ESF = 0;
for i = 1:27
   ESF = ESF + p(i, 2)*strlength(codewordsSF(i));
end
end
```

### B q2.m

```
%disp(A)
%first need to create list of probabilities for alphabet in this text.
n = 400*25; %is the number of characters in the text
""now create a new alphabet consisting of any combination of pairs of
%letters
alphabet = zeros(27*27, 2);
m = 27*27; %size of alphabet
for i = 1:27
   for j = 1:27
       alphabet((i-1)*27 + j, :) = [i, j];
   end
end
A = A'; %take A transposed so we go through entries row by row of original A
p = zeros(m, 1);
for i = 1:2:n-1
   for j = 1:m
       if A(i) == alphabet(j, 1) && A(i+1) == alphabet(j, 2)
          p(j) = p(j) + 1;
       end
   end
end
%A contains n/2 pairs
p = p./(n/2);
%rename alphabet for easier process
L = [1:1:27*27];
p = [L p];
psorted = sortrows(p, 2, 'descend');
%we can delete rows where probability is 0, as we assume those cannot
%occur.
for i = m:-1:1
   if psorted(i, 2) == 0
       psorted(i, :) = [];
   end
end
% %with this we can work out source entropy(Actually not needed)
% h = 0;
% for i = 1:size(psorted, 1)
% h = h - psorted(i, 2) * log2(psorted(i, 2));
% end
%Now do Huffman again
codewords = strings(m, 1);
I = num2cell(psorted); %first create copy of p as cell
for i = 1:size(psorted, 1)-1
   last1 = cell2mat(I(end, 1));
   last2 = cell2mat(I(end-1, 1));
   for k = 1:size(last1, 2)
       codewords(last1(k)) = '1' + codewords(last1(k));
```