



# Lecture 5

## Mutual coupling in antenna arrays I : Fundamentals of antenna theory and challenges in radio astronomy

Lecturer: **Dr Quentin Gueuning** (qdg20)

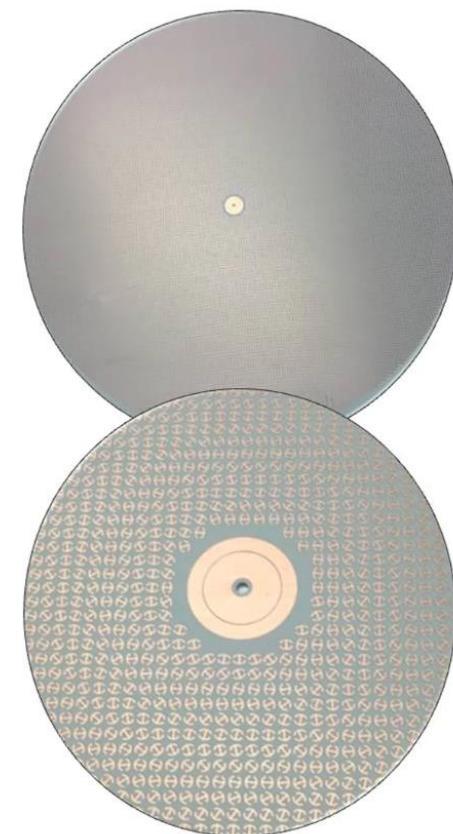


# MC in today's antenna technologies

(11 × 11) massive MIMO array of multimode elements with 484 antenna ports, S. K. Ibrahim, *Design, Challenges and Developments for 5G Massive MIMO Antenna Systems at Sub 6-GHz Band: A Review*, 2023.



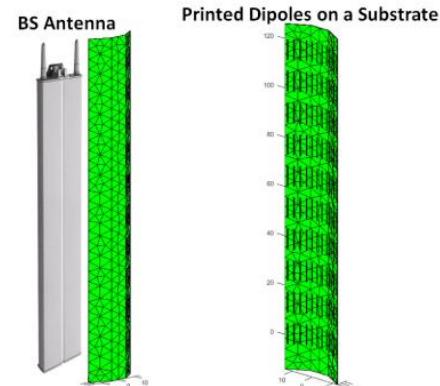
MTS prototype at ~29 GHz, M. Faezi, *Metasurface Antennas: New Models, Applications and Realizations*, Nature, 2019.



EMBRACE, SKA-mid prototype in Nancay, connected array of 4608 Vivaldi antennas, S.A. Torchinsky, 2016.



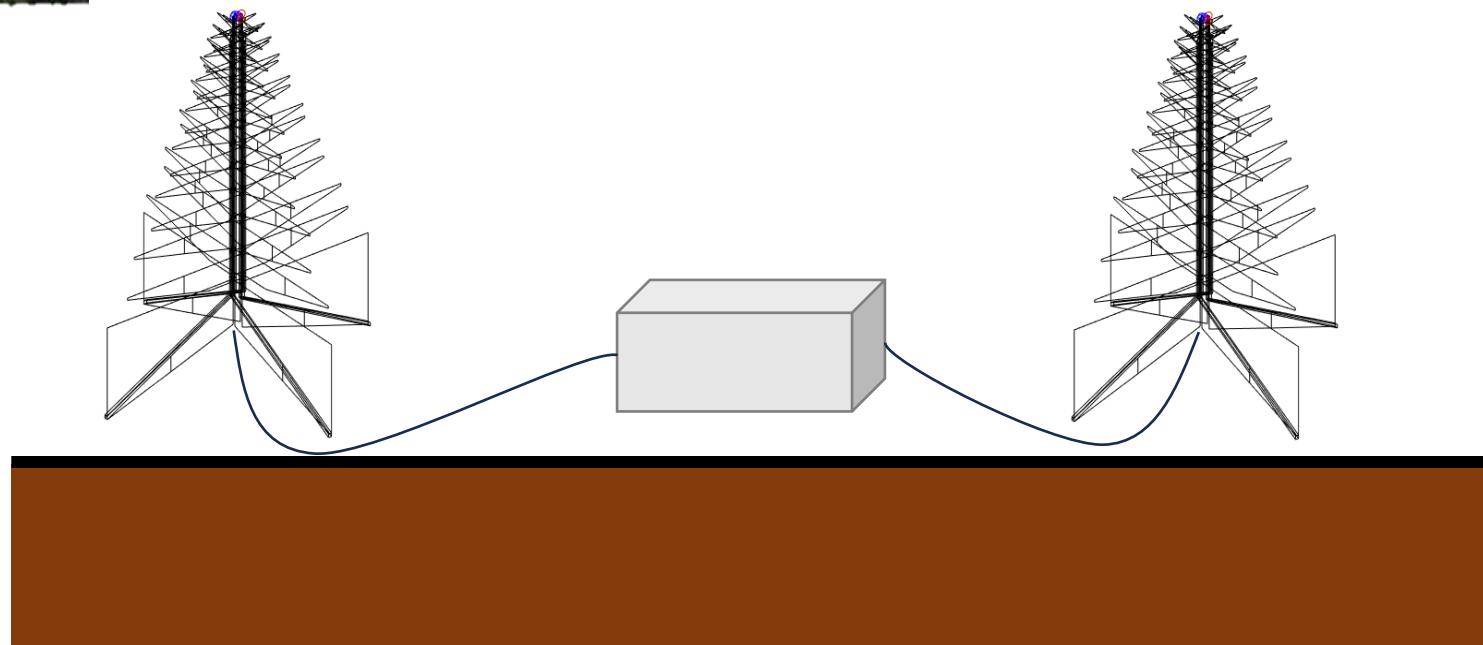
Unintended interaction of antennas on a repeater tower, 2010s. Source: Trevor S. Bird, Mutual coupling between antennas



Reconfigurable Cellular Base Station Antenna Consisting of Parasitic Radiators, Ali Kayani et al., IEEE TIE, 2019

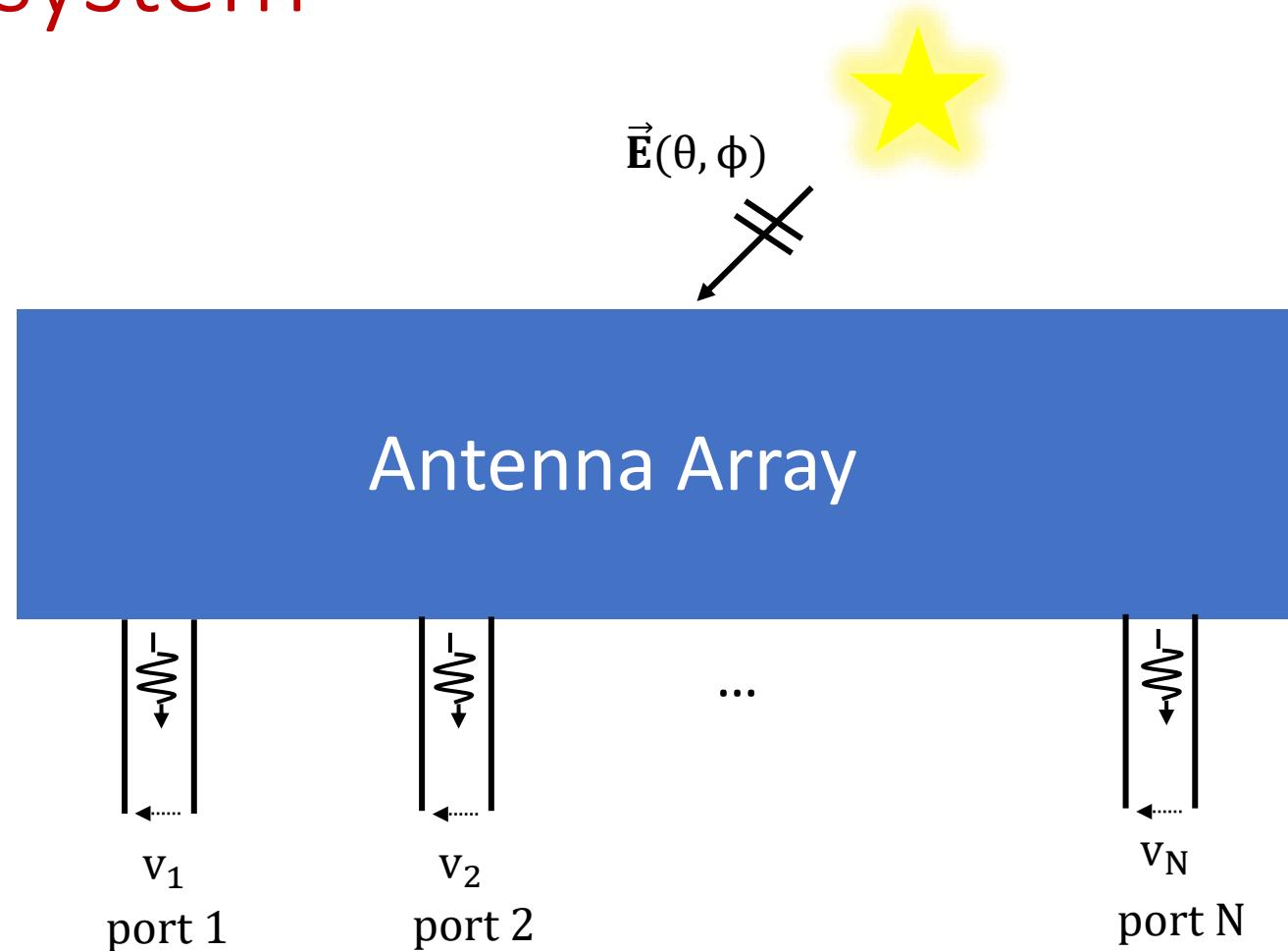


# SKA-low context ...

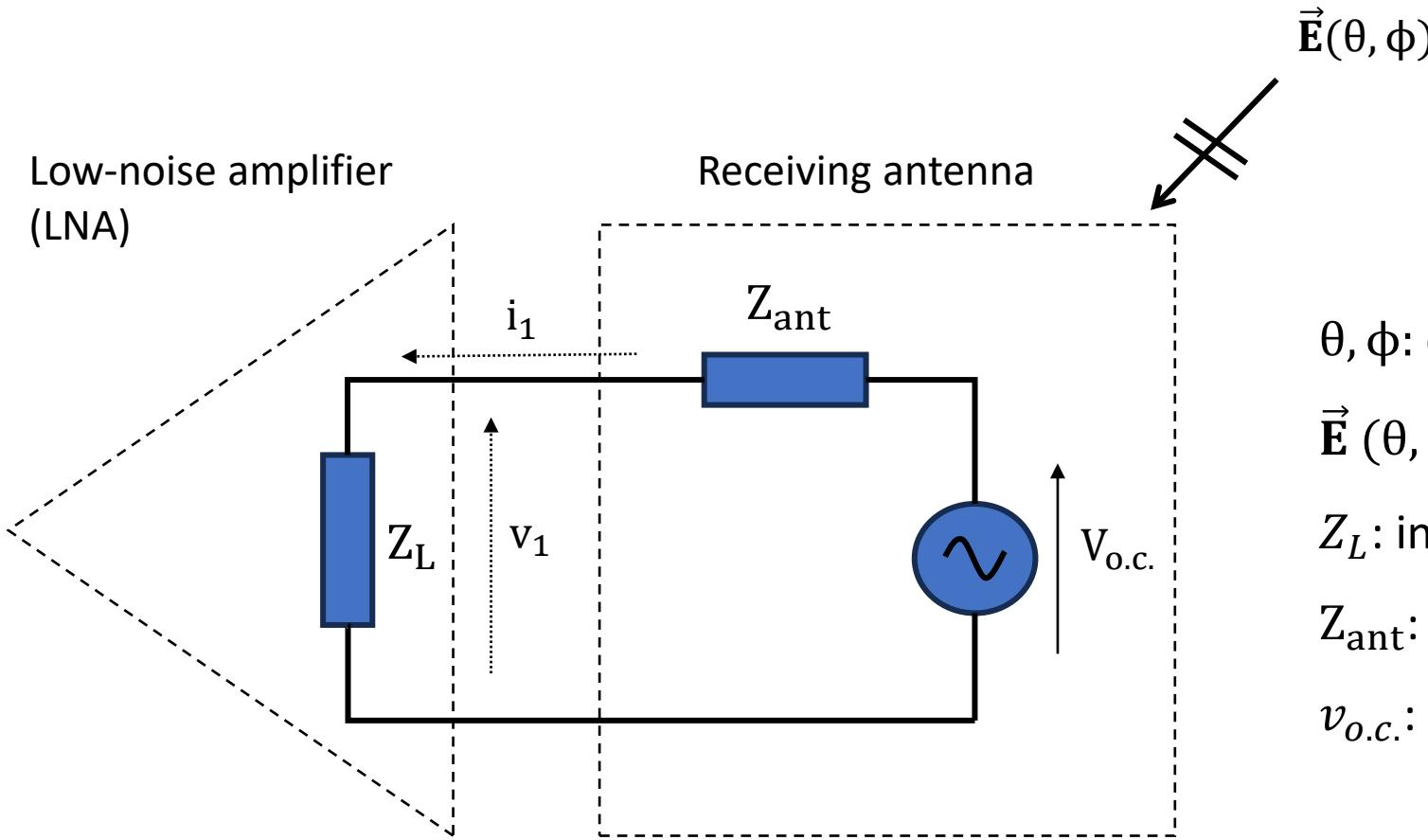




# Linear system



# Circuit model of a single antenna



$\theta, \phi$ : direction of incidence

$\vec{E}(\theta, \phi)$  : incident electric field

$Z_L$ : input impedance of the amplifier

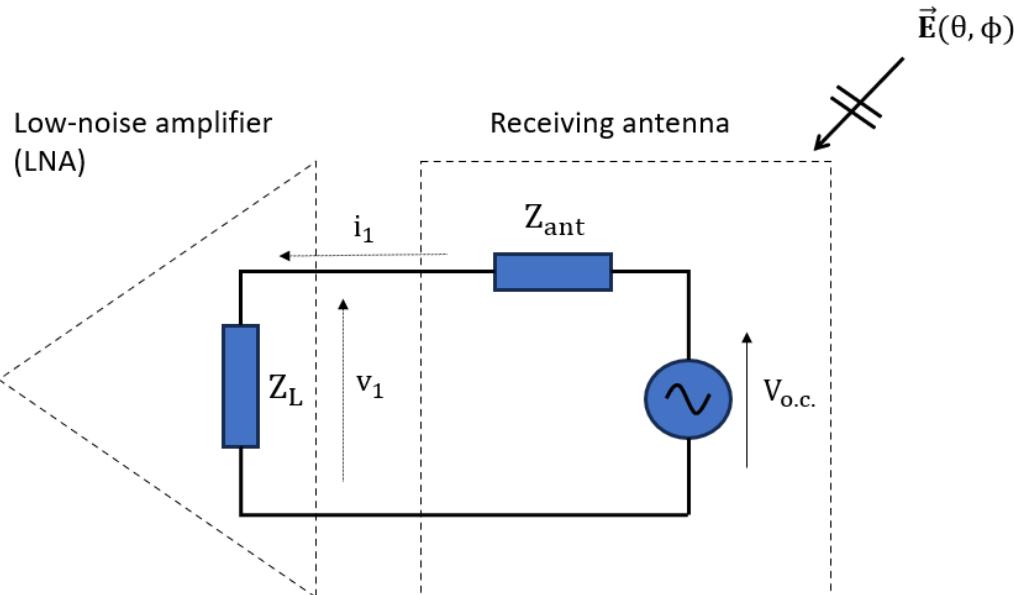
$Z_{\text{ant}}$ : input impedance of the antenna

$v_{\text{o.c.}}$ : open circuit antenna voltage

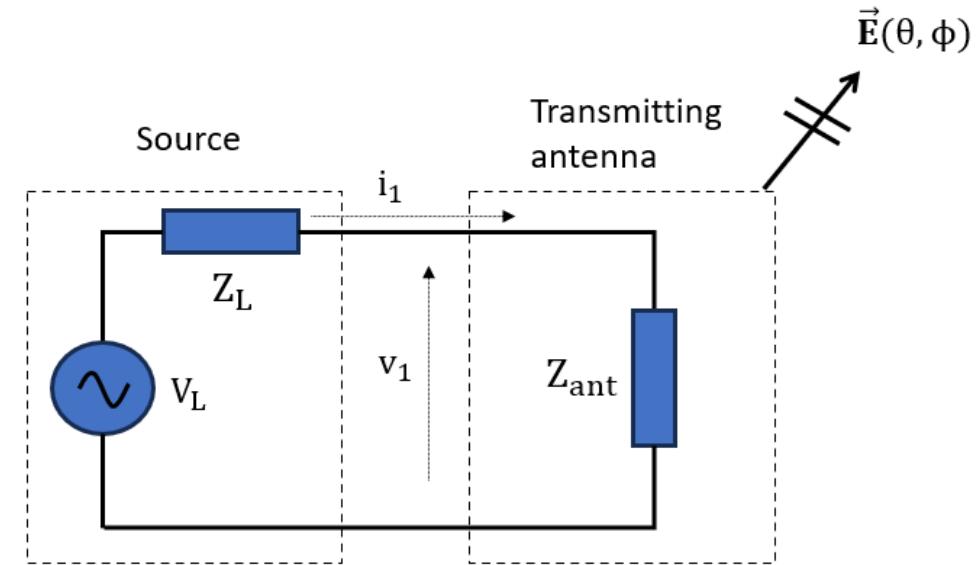


# Reciprocity

Passive mode



Active mode



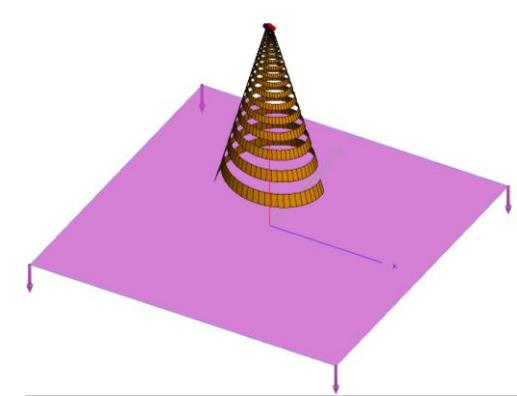
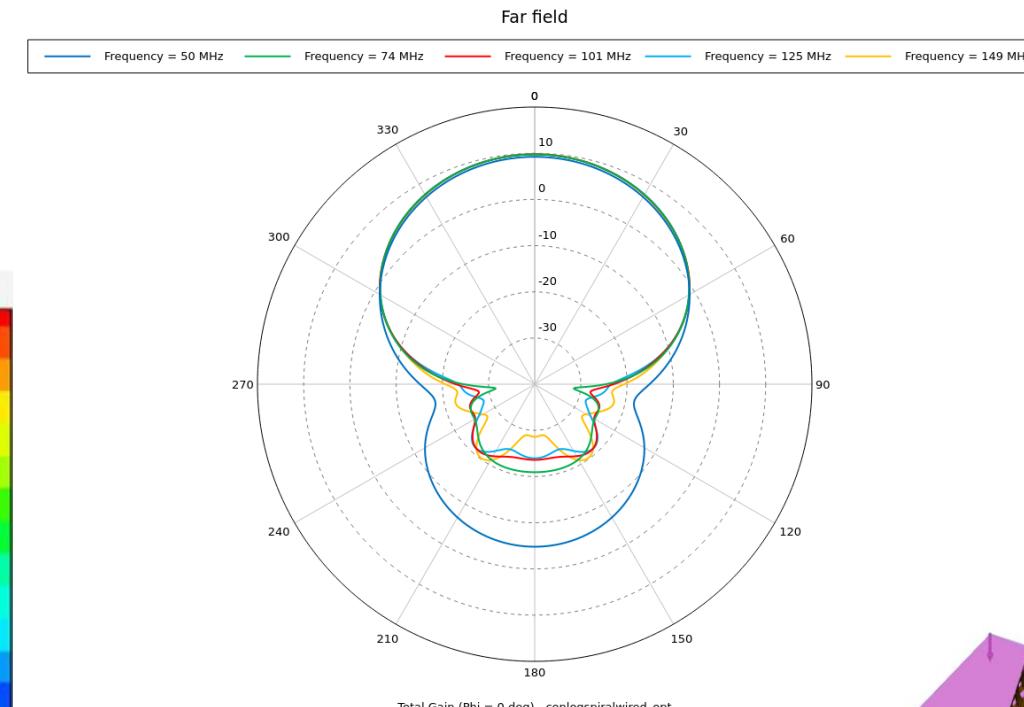
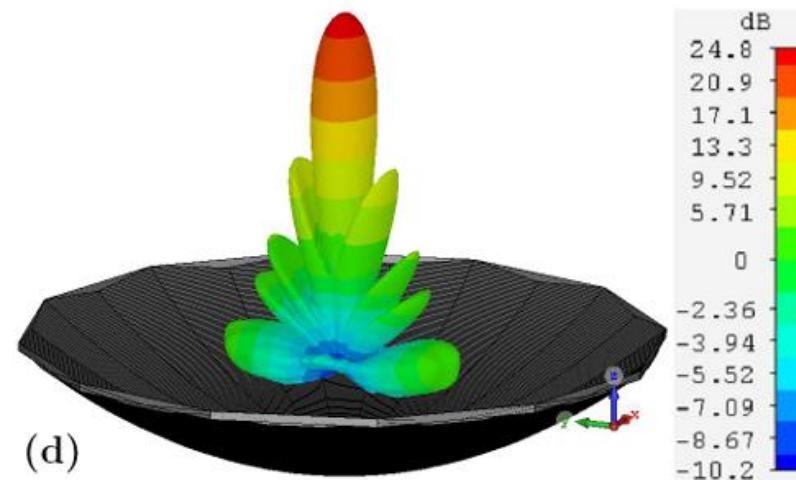
$\vec{F}$  in Volts is the radiation pattern

$$v_1 = \frac{2\lambda}{j\eta} \frac{Z_{ant}}{Z_{ant} + Z_L} \frac{\vec{F}(\theta, \phi) \cdot \vec{E}(\theta, \phi)}{i_0}$$

$$\vec{E}(\theta, \phi) = \frac{\vec{F}(\theta, \phi)}{i_0} \frac{v_1}{Z_L + Z_{ant}} \frac{e^{-jkR}}{R}$$



# Examples of radiation patterns



N. Fagnoni et al., "Understanding the HERA Phase I receiver system with simulations and its impact on the detectability of the EoR delay power spectrum", MNRAS, 2021

# Received power

$$P_r = A_{\text{eff}}(\theta, \phi) S \quad \text{plane wave intensity in W/m}^2$$

Effective area in m<sup>2</sup>

$$A_{\text{eff}}(\theta, \phi) = \frac{\lambda^2}{4\pi} (1 - |\Gamma|^2) D(\theta, \phi) R(\theta, \phi) S$$

Reflection coefficient

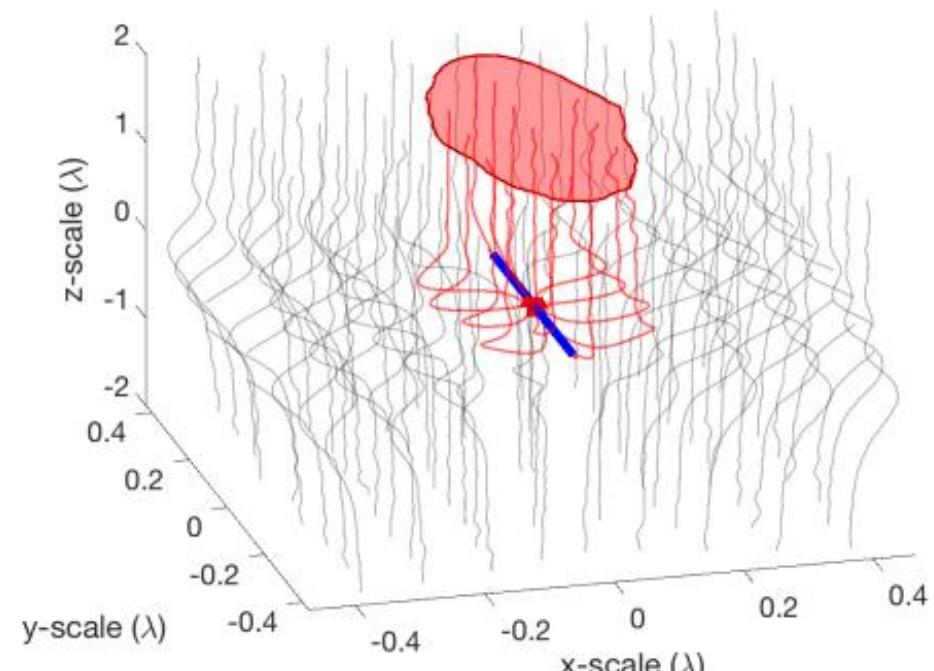
$$\Gamma = \frac{Z_L - Z_{\text{ant}}}{Z_L + Z_{\text{ant}}}$$

Directivity

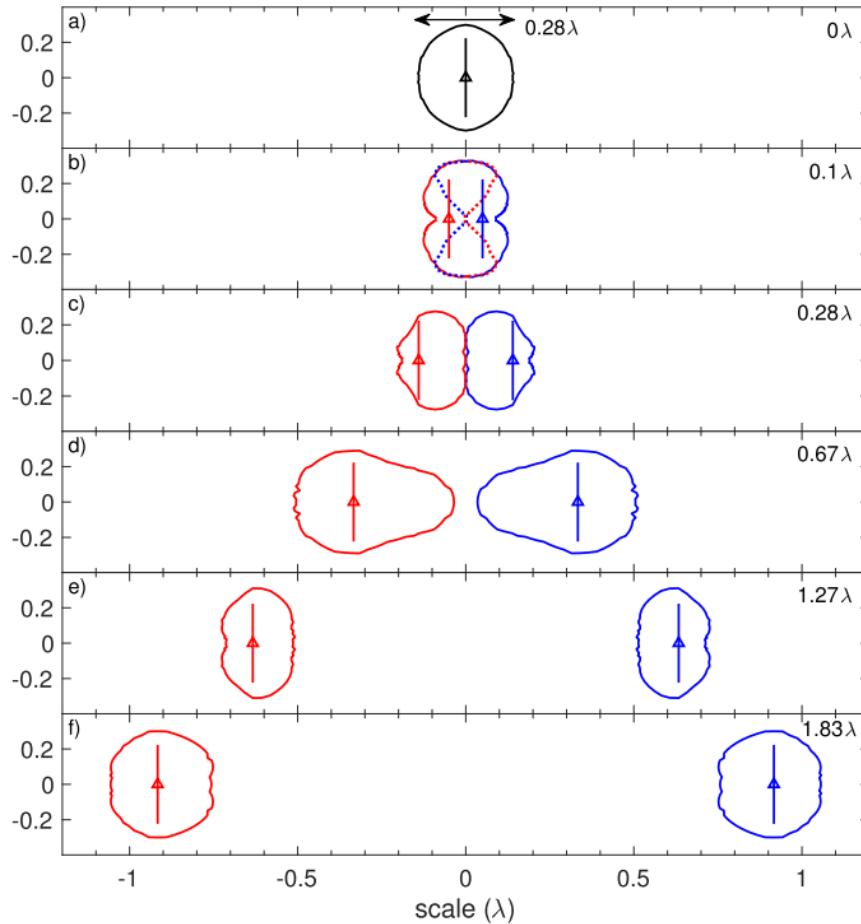
$$D(\theta, \phi) = 4\pi \frac{|F(\theta, \phi)|^2}{\iint |F(\theta, \phi)|^2 d\Omega}$$

Polarisation Loss Factor

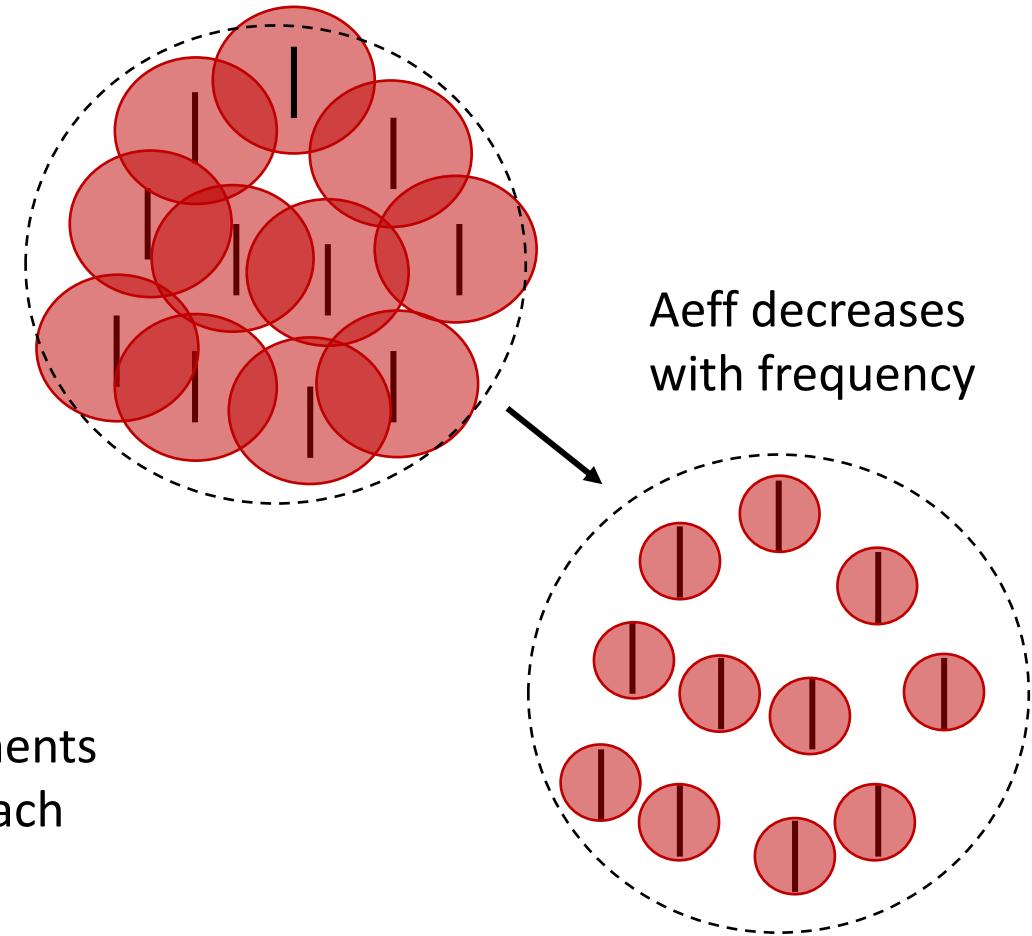
$$R(\theta, \phi) = |\hat{F}(\theta, \phi) \cdot \hat{E}(\theta, \phi)|^2$$



# MC impacts the effective area



A<sub>eff</sub> decreases  
when the elements  
gets close to each  
other



A<sub>eff</sub> decreases  
with frequency

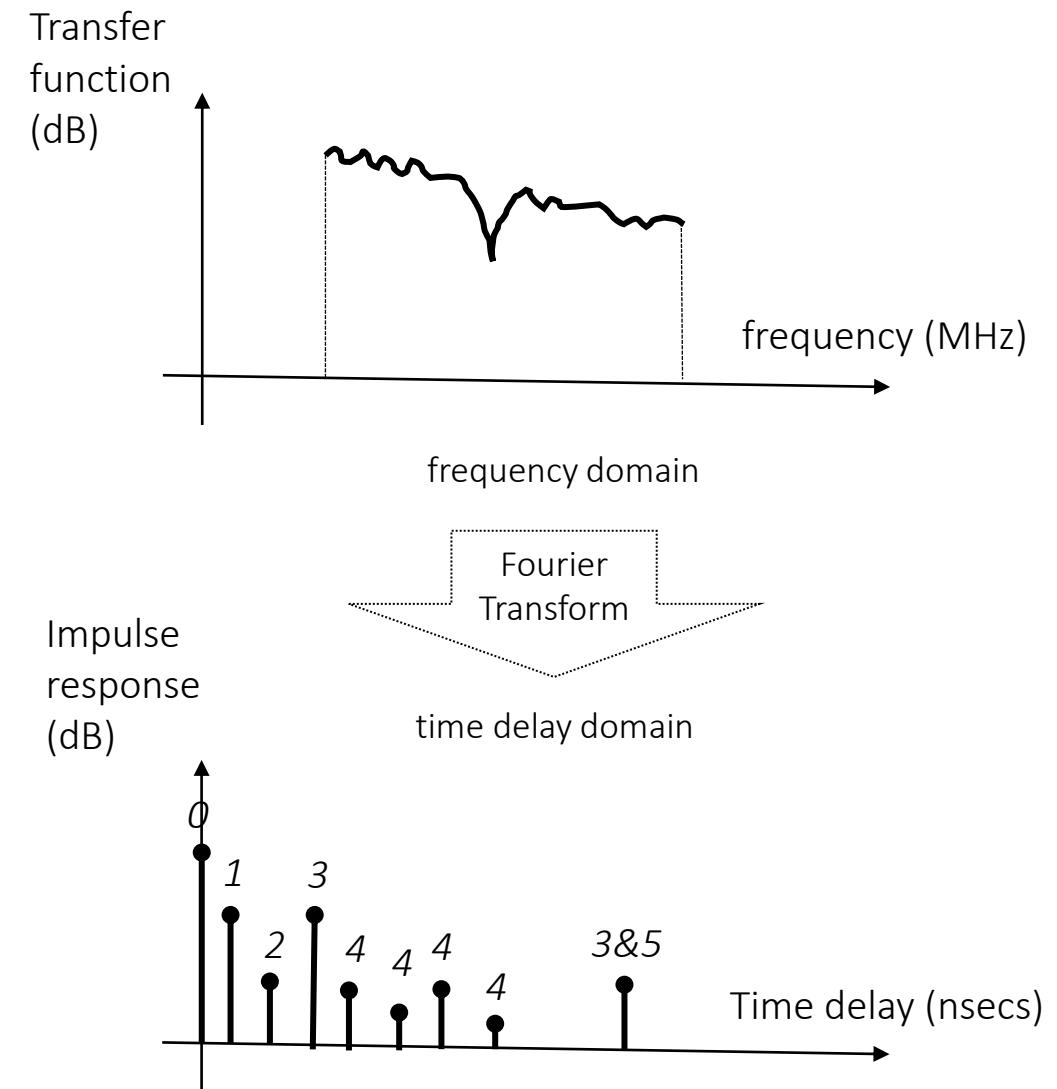
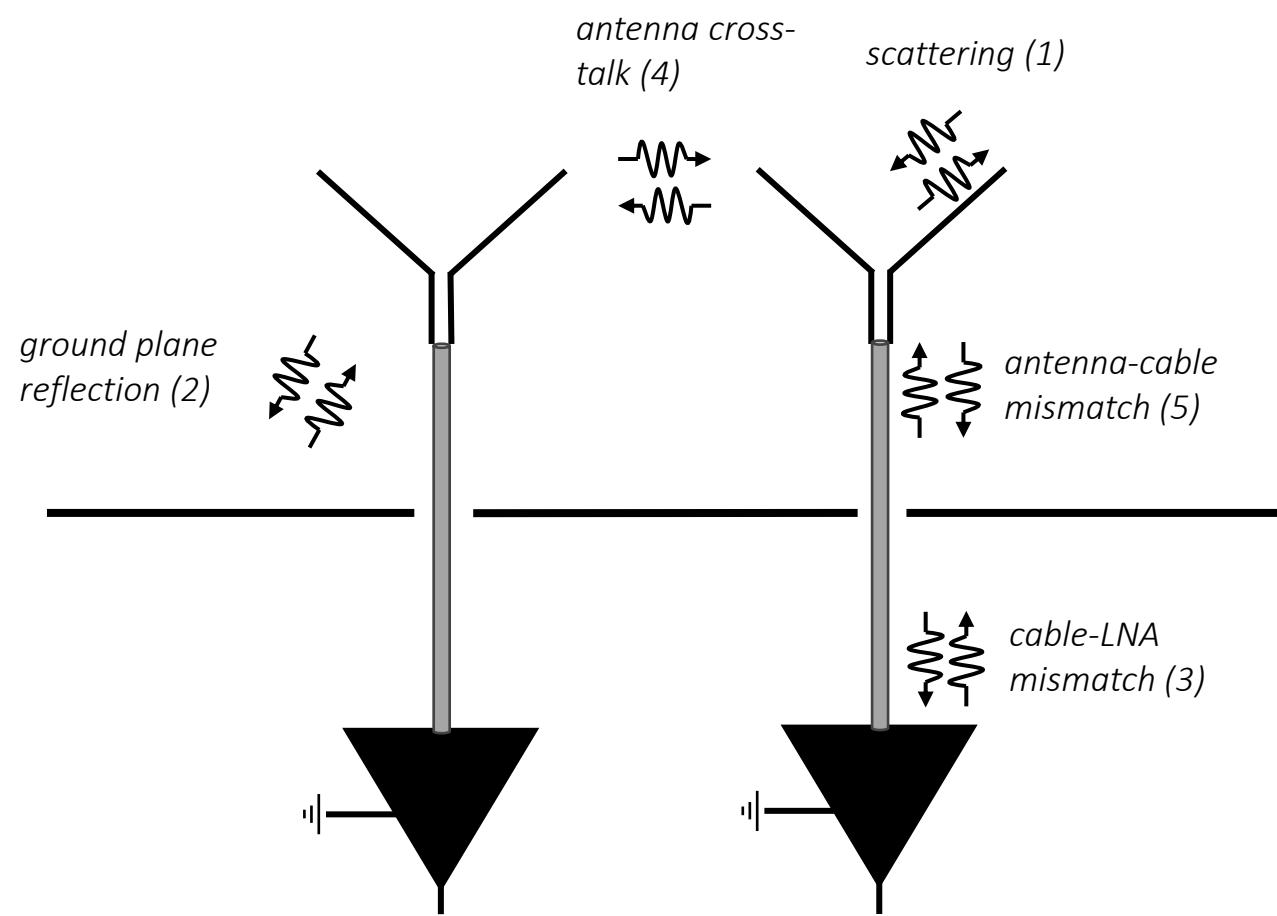


# Why is it important to radio-astronomy ?

## 1. System design



# 1. System design





# 1. System design, LOFAR

Low-Band Antenna (LBA) 30-80MHz  
Dual-polarized inverted V-dipole w.  
individual ground mesh



<https://lofar.ie/technology/>

54 stations  
96 elements per station  
5912 signal paths

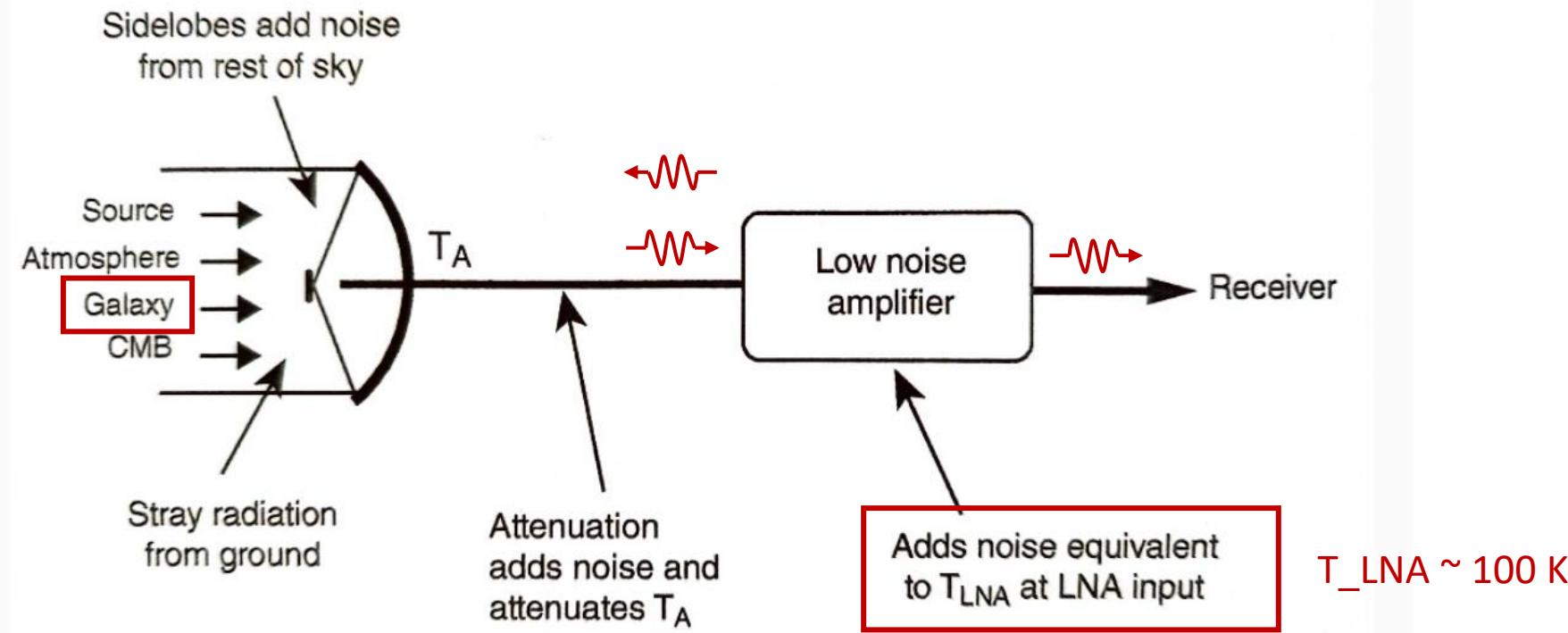


M.P. Van Haarlem, LOFAR: The LOW-Frequency ARray, A&A, 556, A2, 2013

# 1. System design, LOFAR

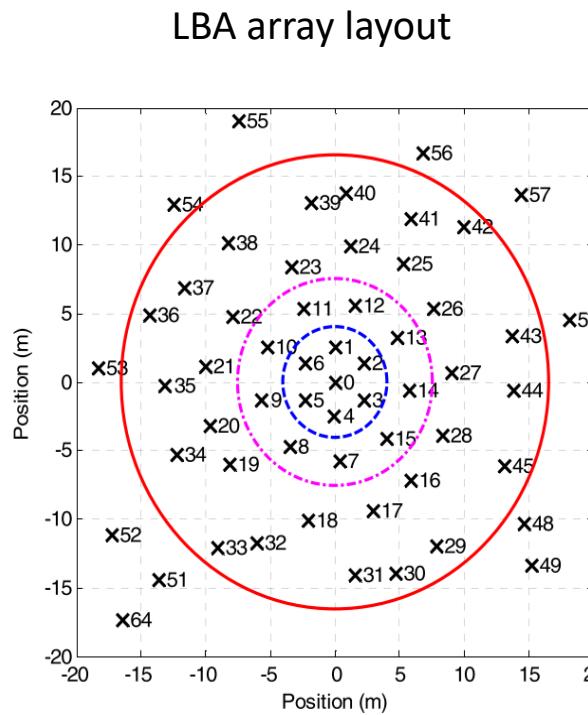
$T_{\text{sky}} \sim 5000 \text{ K}$   
@ 50 MHz

$T_{\text{sky}}$  prop to  
 $f^{-2.7}$

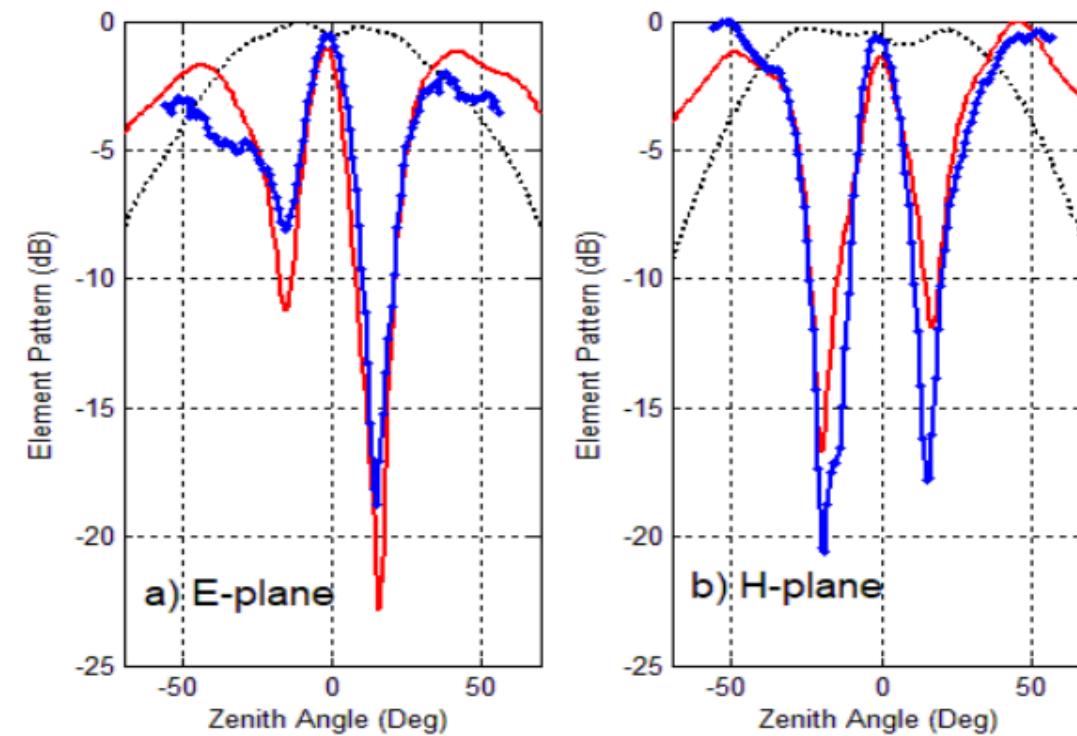




# 1. System design, LOFAR



Cuts of embedded element pattern of central element 0 at 57MHz



G. Virone, et al., *Strong Mutual Coupling Effects on LOFAR: Modeling and In Situ Validation*, TAP 2018



# Why is it important to radio-astronomy ?

## 2. Forward Modelling

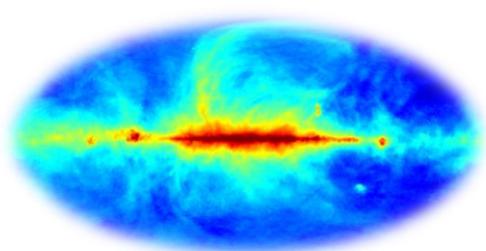


## 2. Forward Modelling

### Cosmology and Astrophysics

#### Sky models

21-cm EoR models, diffuse emission, point source survey, (GLEAM, HASLAM, ...)



### Computational Electromagnetics

**Antennas and propagation**  
antennas, aperture arrays, dishes, ground plane, soil, ionosphere, ...



### Circuit and transmission line theory

#### Front-end modules

Low-noise amplifiers, coaxial cables, filters, Optical converter, beamforming networks, calibrators, ...



### Digital Signal Processing Theory

#### Back-end modules

FFTs, correlators, power and clock distribution, DACs, network switches, ...





## 2. Forward Modelling, Hydrogen Epoch of Reionization Array (HERA)



50-250MHz

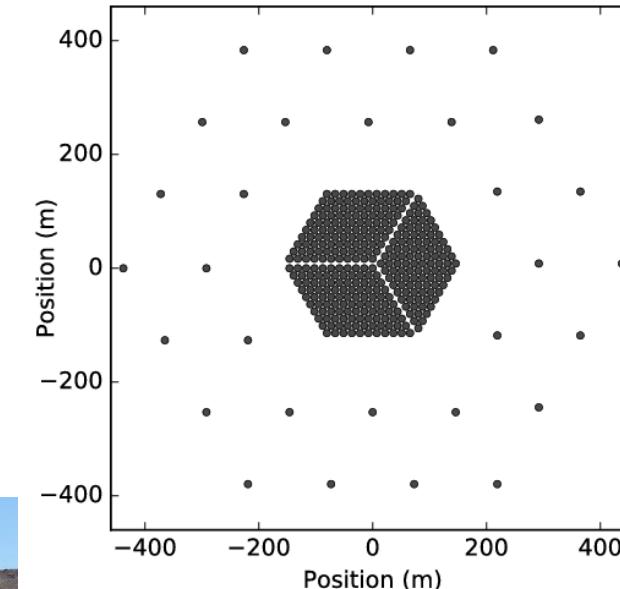
14m dish with Vivaldi antenna feed

hexagonal lattice with 320 elements

30 outriggers

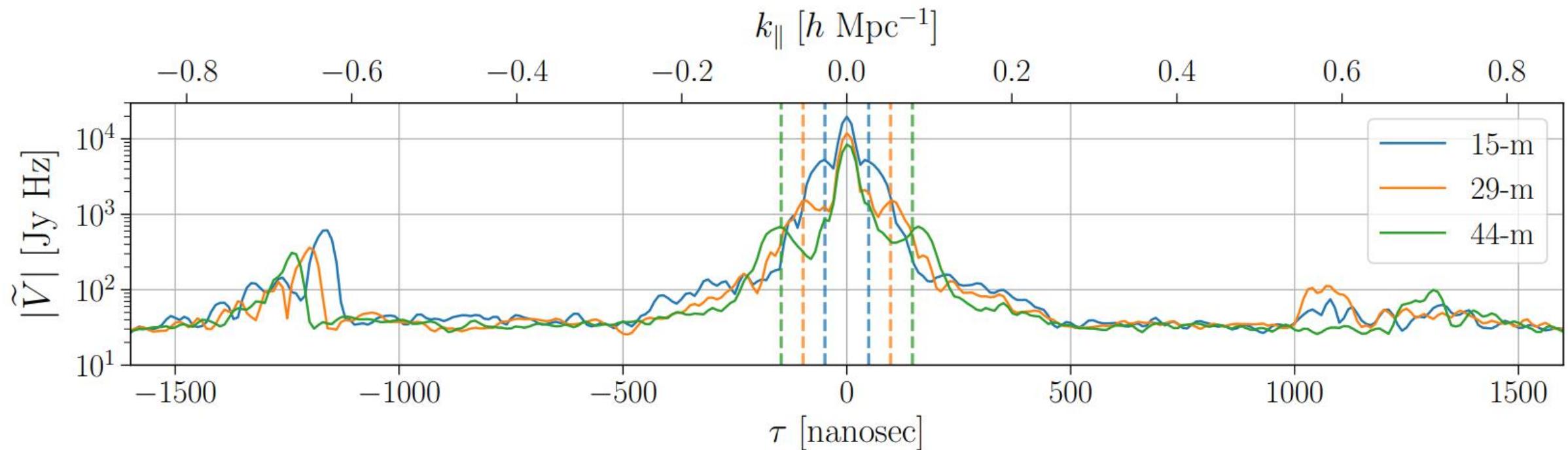
250 $\lambda$  instantaneous uv-coverage at 150 MHz

9 deg field of view



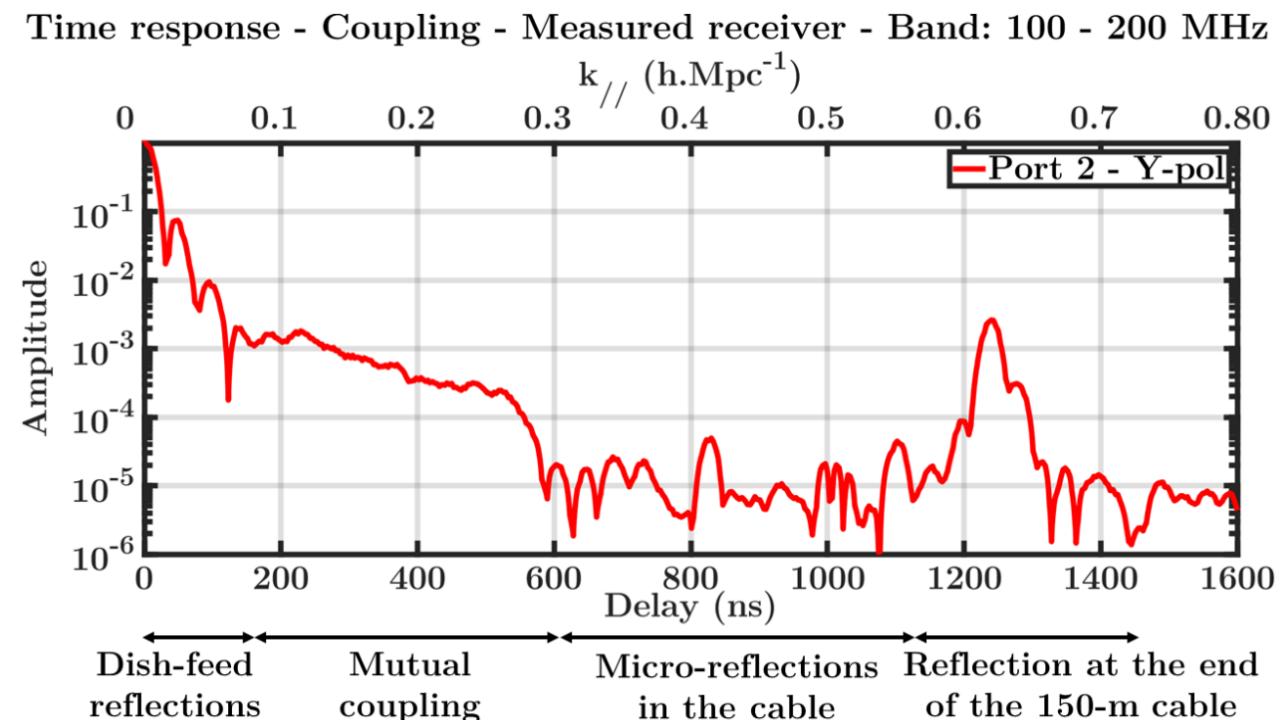
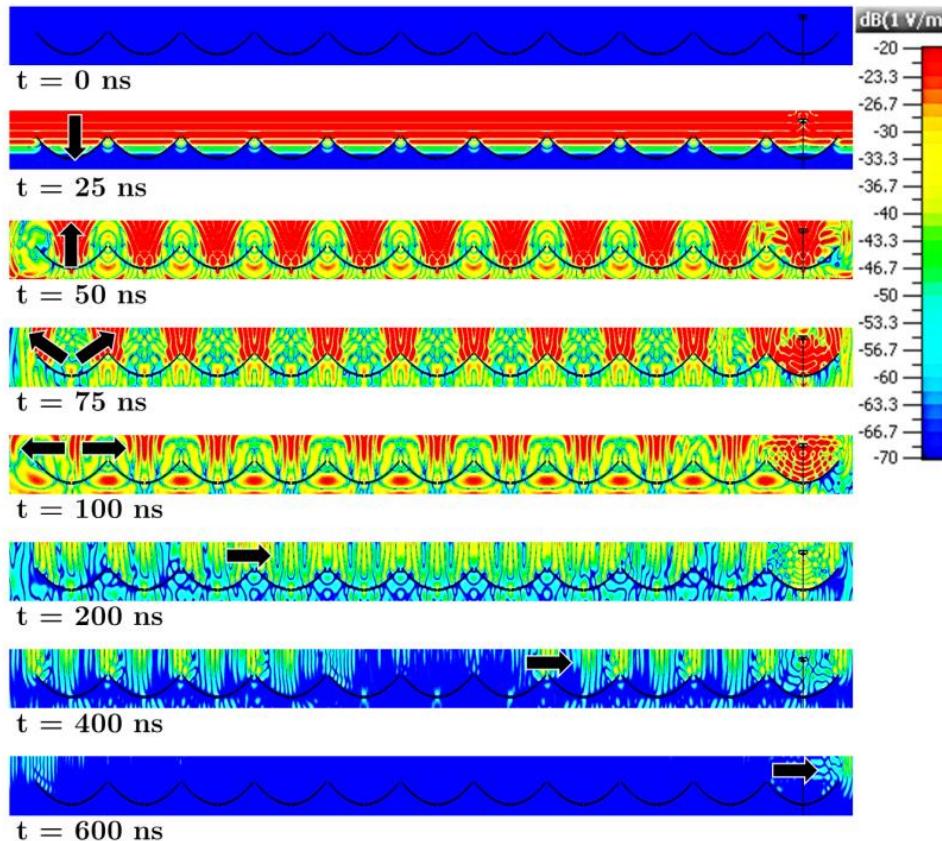


## 2. Forward Modelling, HERA





## 2. Forward Modelling, HERA



N. Fagnoni et al., "Understanding the HERA Phase I receiver system with simulations and its impact on the detectability of the EoR delay power spectrum", *MNRAS*, 2021

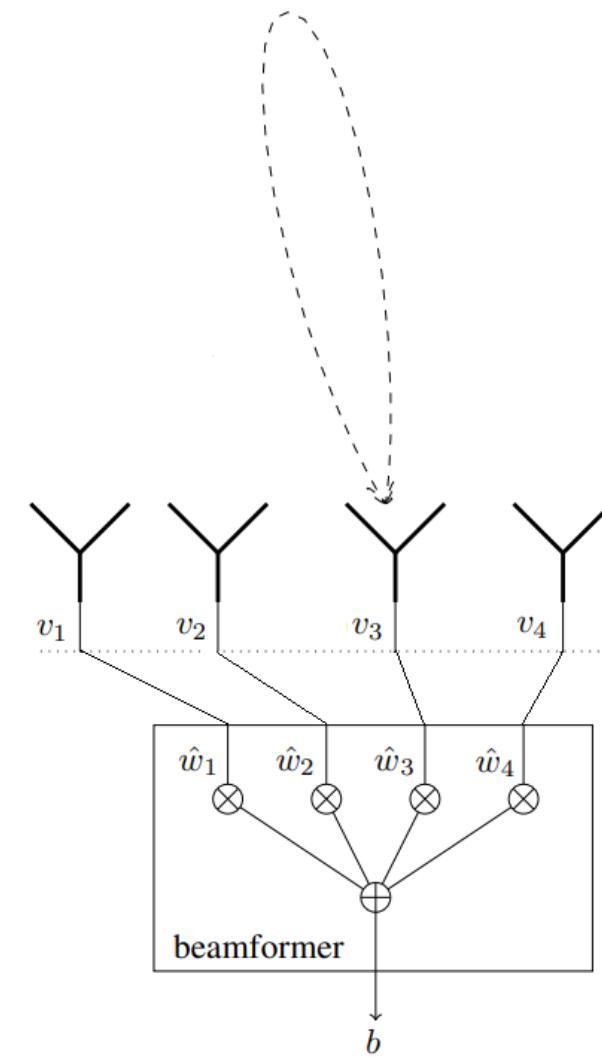
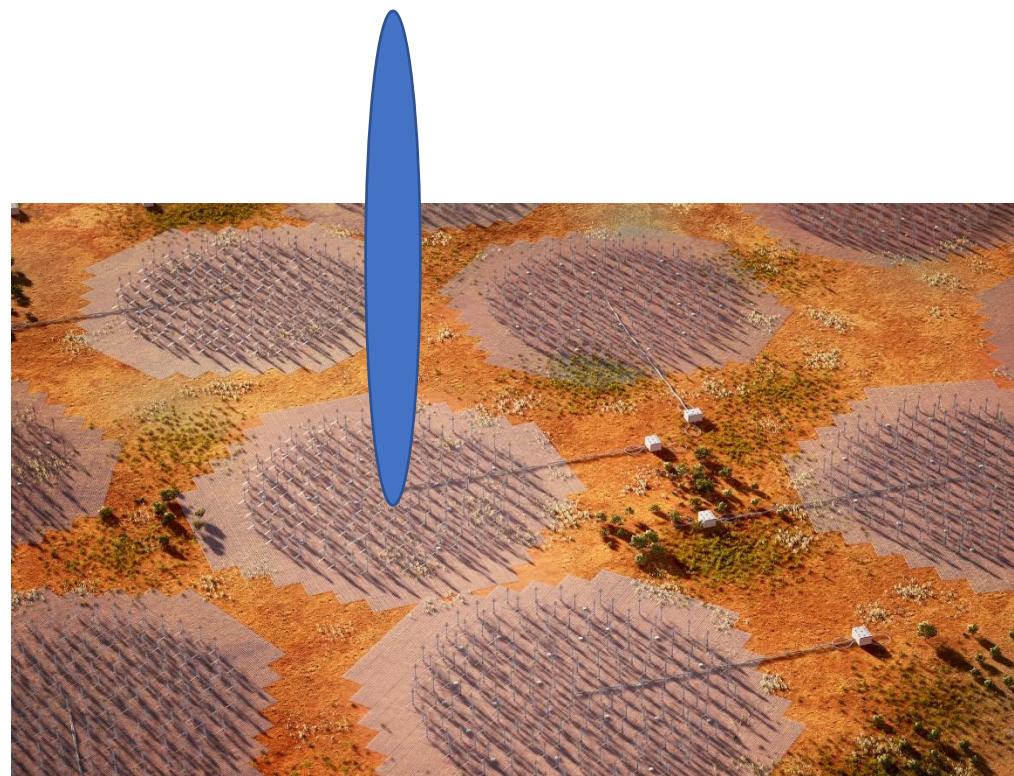


# Why is it becoming important to radio-astronomy ?

## 3. Station calibration



# Station beam





# Station beam

The voltage at the output of the beamformer is given by

$$b = \sum_{i=1}^{N_{ant}} \hat{w}_i v_i$$

$\hat{w}_i$  is the beamforming weight applied to antenna « i »

The voltage received by antenna “i” is

$$v_i = c_i \vec{F}_i(\theta, \phi) \cdot \vec{E}(\theta, \phi)$$

So, the station beam voltage is simply

$$b = \vec{P}(\theta, \phi) \cdot \vec{E}(\theta, \phi)$$

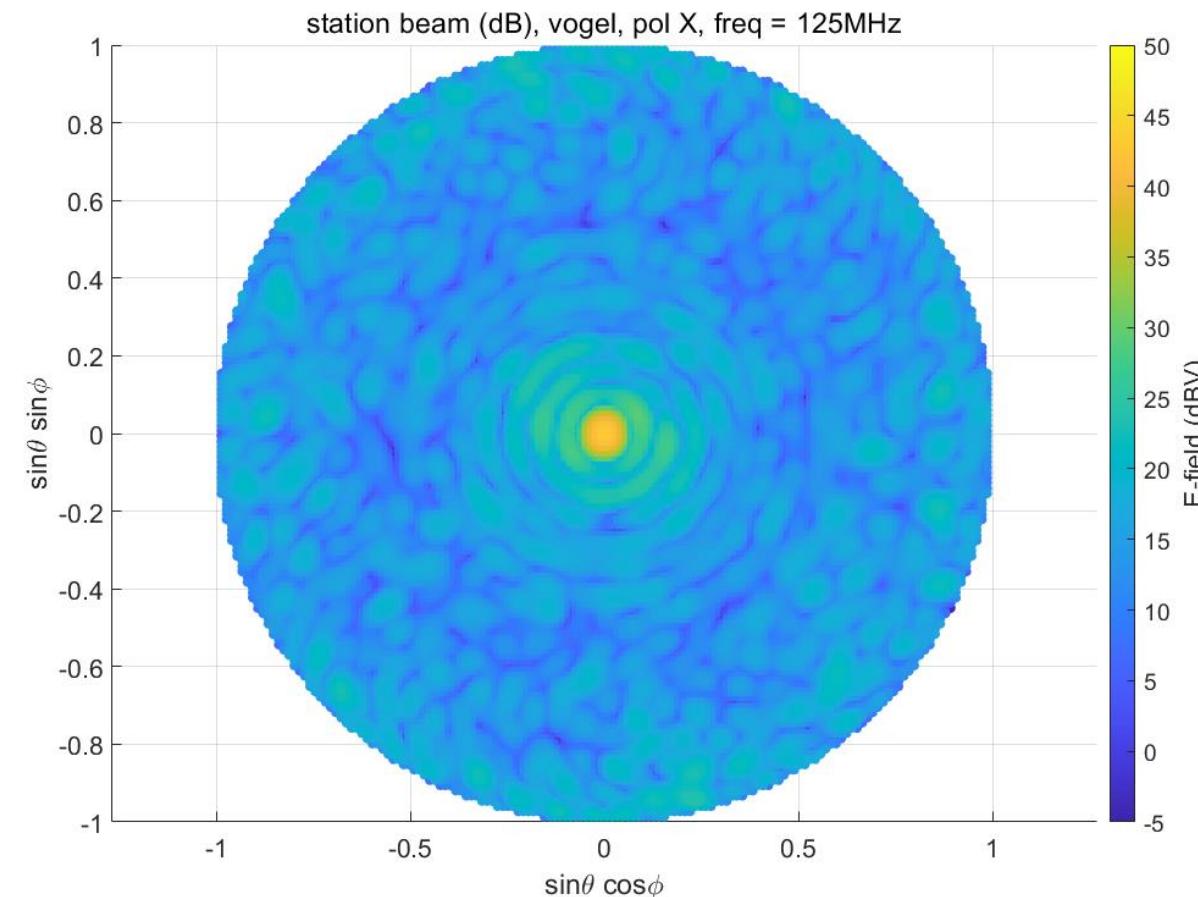
The Array Pattern (AP) is defined as

$$\vec{P}(\theta, \phi) = \sum_{i=1}^{N_{ant}} \hat{w}_i c_i \vec{F}_i(\theta, \phi) e^{-jk(\sin\theta\cos\phi x_i + \sin\theta\cos\phi y_i)}$$

$x_i, y_i$  are the position of antenna « i »

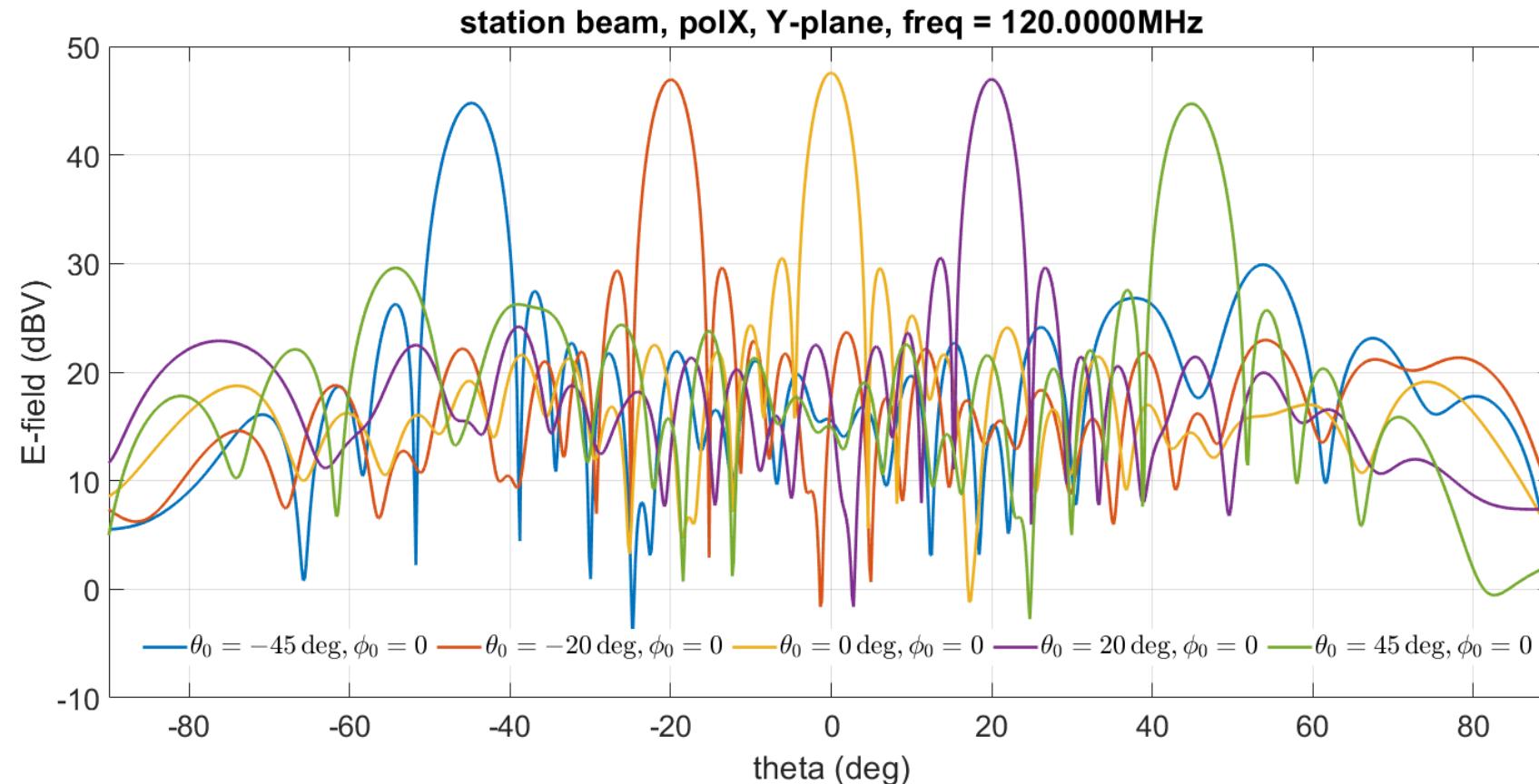


# Array Pattern example



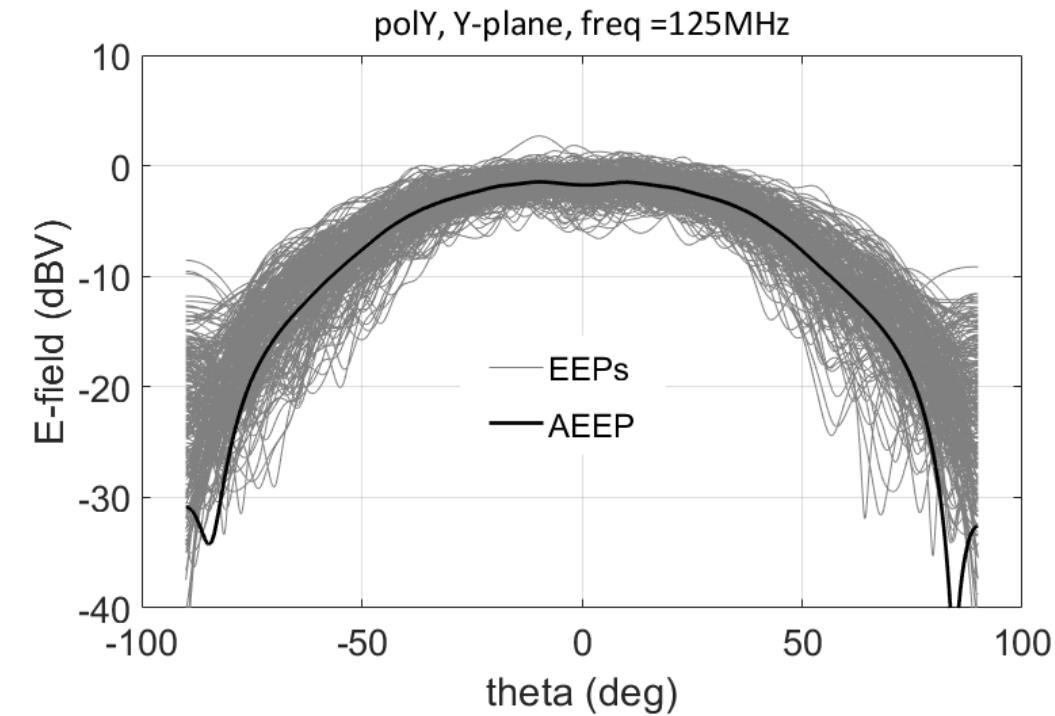
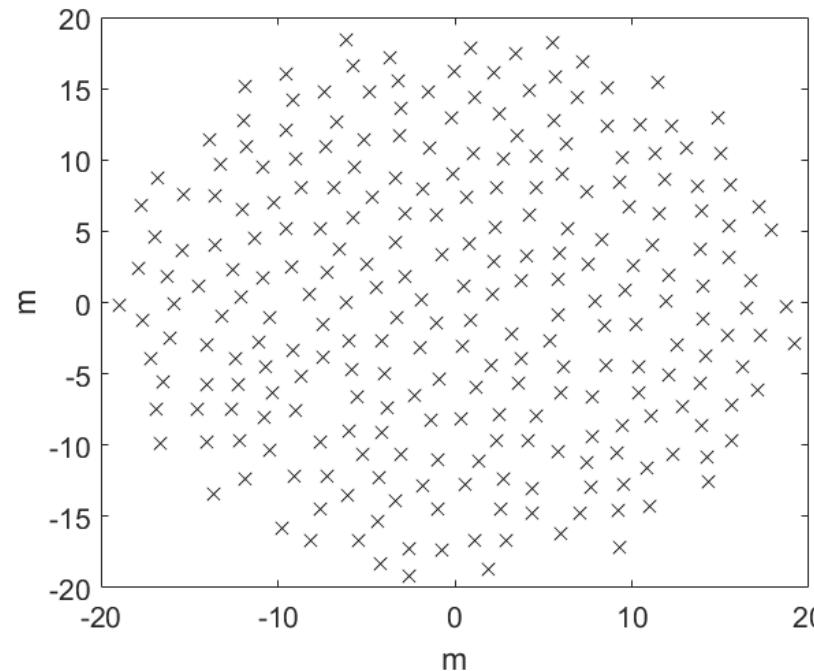
# Beam scanning

$$\hat{w}_i = e^{jk(\sin\theta_0 \cos\phi_0 x_i + \sin\theta_0 \sin\phi_0 y_i)}$$



# Embedded Element Patterns

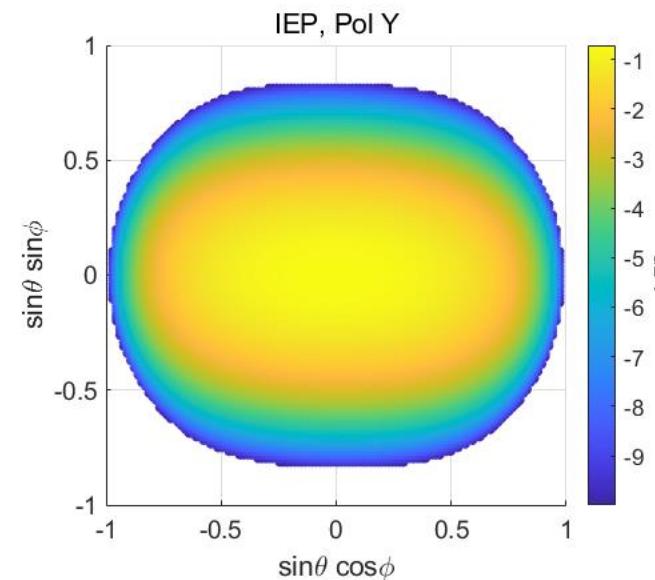
$\vec{F}_i(\theta, \phi)$  is called the *Embedded Element Patterns* (EEP) of antenna “i” and is computed by considering the antenna “i” as active and all the other antennas as passively terminated.



# SKA-low, IEP vs AEP vs EEP

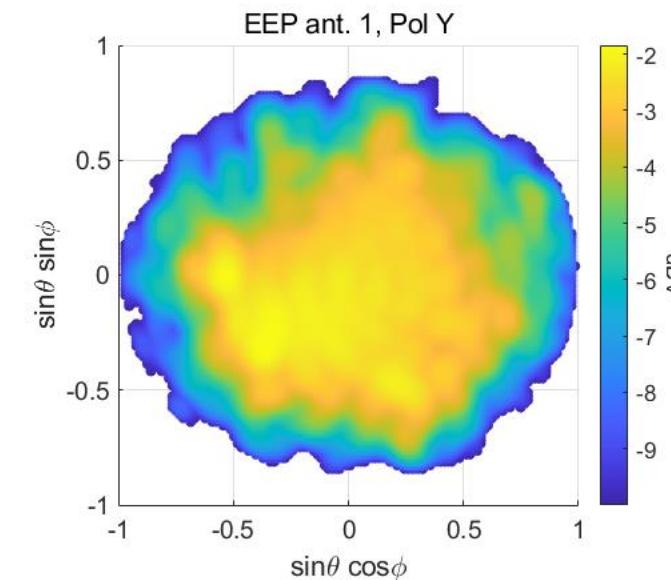
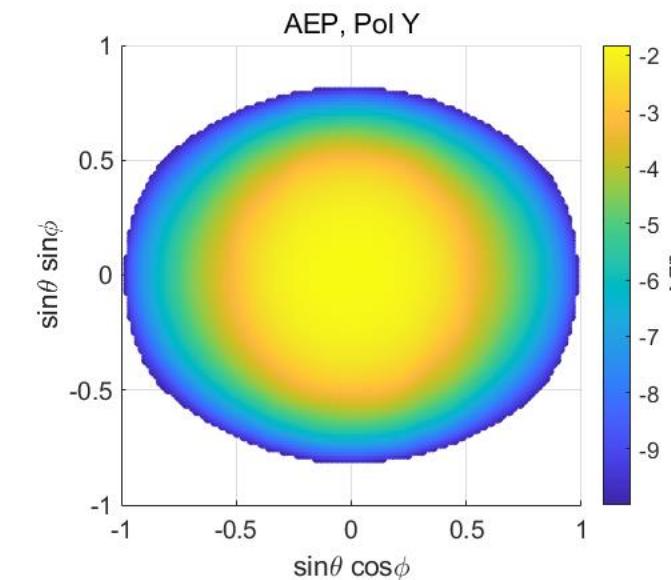
Approx 1: Isolated Element Pattern (IEP)

MC is *negligible*,  $\vec{\mathbf{F}}_i(\theta, \phi) \approx \vec{\mathbf{F}}_{iso}(\theta, \phi)$



Approx 2: Average Element Pattern (AEP)

MC *averages out*,  $\vec{\mathbf{F}}_{av}(\theta, \phi) \approx \frac{1}{N_{ant}} \sum_{i=1}^{N_{ant}} c_i \vec{\mathbf{F}}_i(\theta, \phi)$

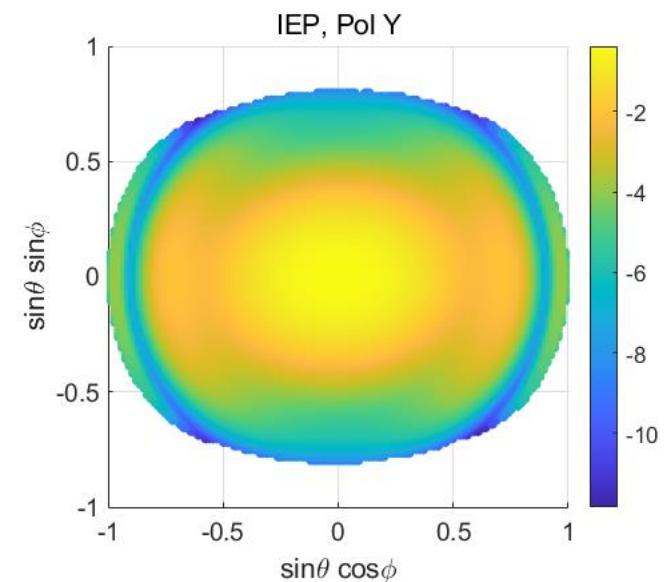


Sunflower layout, 100 MHz

# SKA-low, IEP vs AEP vs EEP

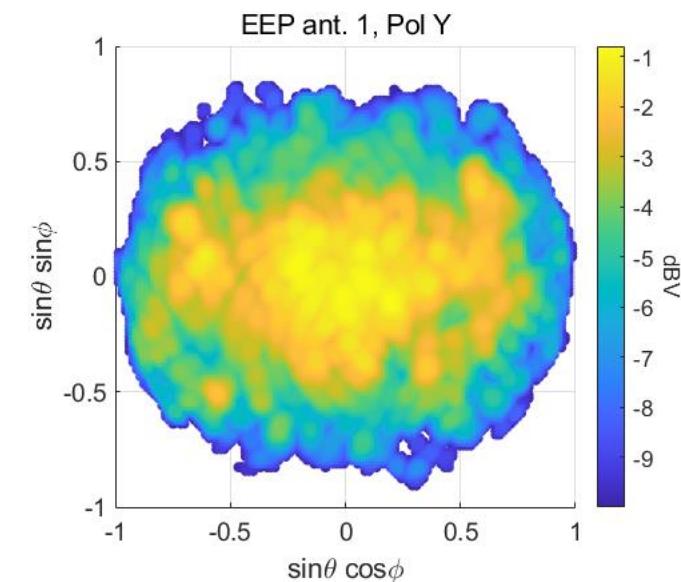
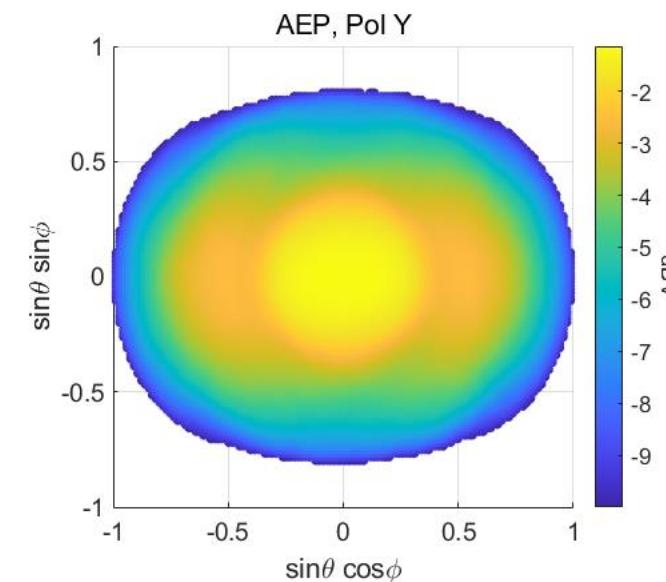
Approx 1: Isolated Element Pattern (IEP)

MC is *negligible*,  $\vec{\mathbf{F}}_i(\theta, \phi) \approx \vec{\mathbf{F}}_{iso}(\theta, \phi)$



Approx 2: Average Element Pattern (AEP)

MC *averages out*,  $\vec{\mathbf{F}}_{av}(\theta, \phi) \approx \frac{1}{N_{ant}} \sum_{i=1}^{N_{ant}} c_i \vec{\mathbf{F}}_i(\theta, \phi)$



sunflower layout, 200 MHz



# SKA-low, EEP ripples

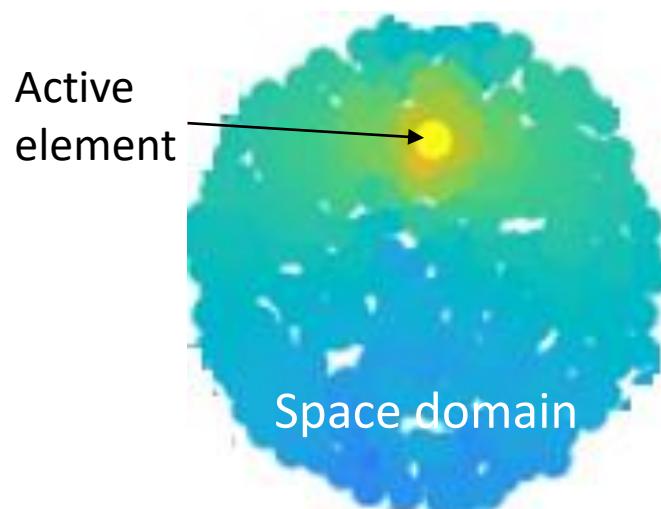
Radiation patterns  $\vec{F}$  are obtained from current distribution  $\vec{J}$

$$\vec{F}(\hat{\mathbf{k}}) = -\frac{jn}{2\lambda} \iint (\vec{J}(\vec{r}) - (\vec{J}(\vec{r}) \cdot \hat{\mathbf{k}})\hat{\mathbf{k}}) e^{j\hat{\mathbf{k}} \cdot \vec{r}} dS$$

$\hat{\mathbf{k}} = \sin\theta\cos\phi \hat{x} + \sin\theta\sin\phi \hat{y} + \cos\theta \hat{z}$  is a unit direction over the sky

$S$  is the surface of every antennas.  $\vec{r}$  is a position of a point on the surface  $S$

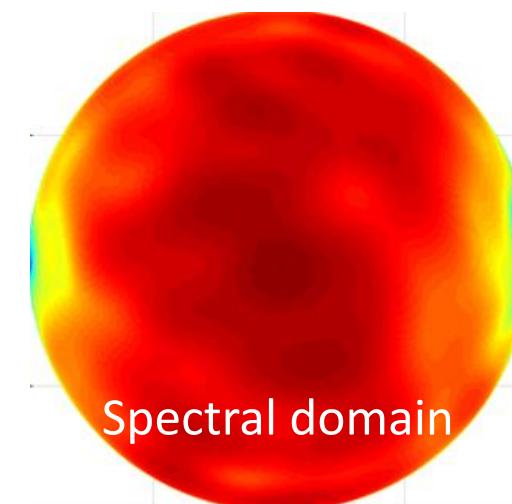
Currents  $\vec{J}$  induced on every antenna in the array when one element is active !



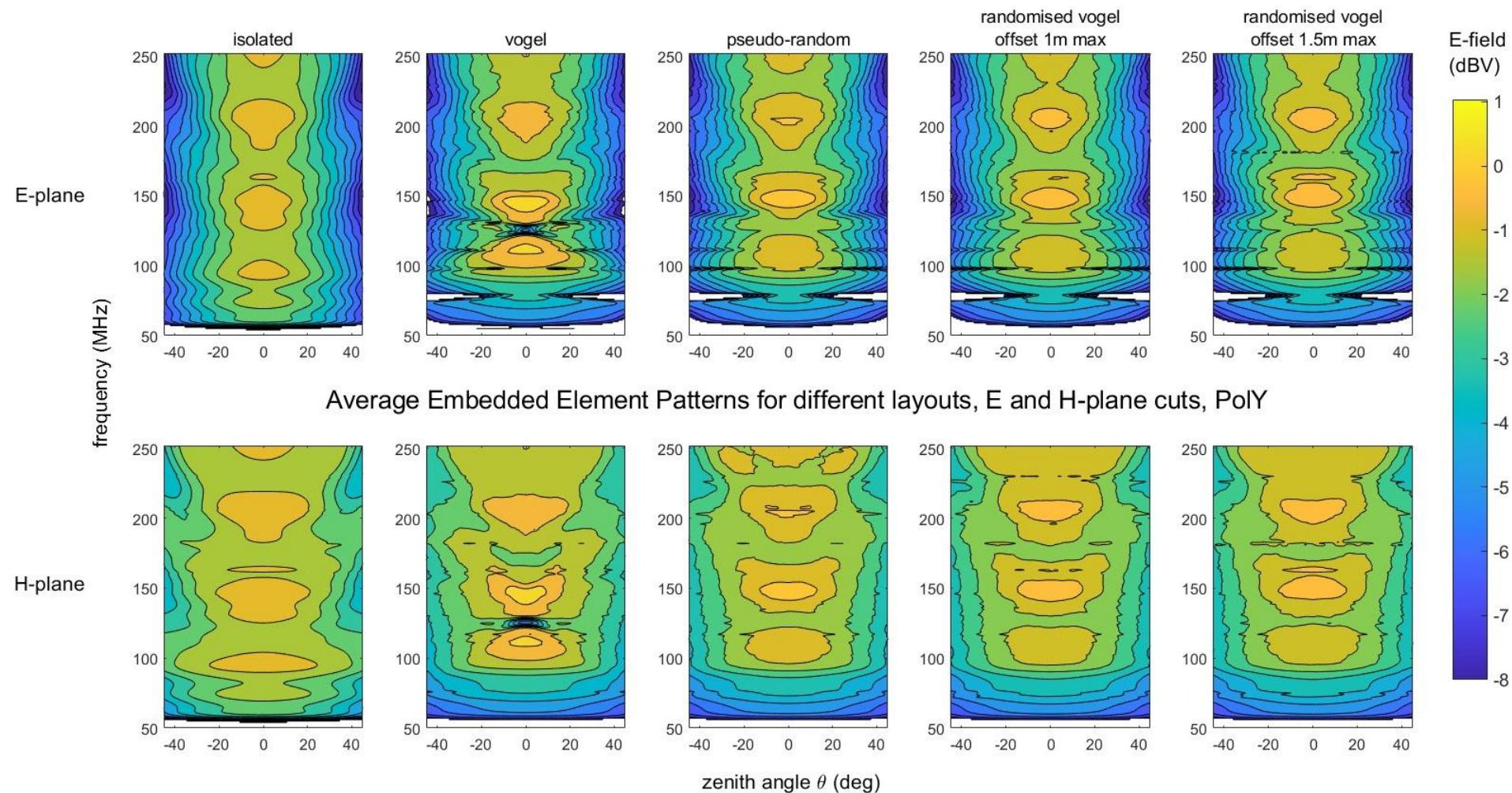
Fourier transform

→

EEP  $\vec{F}$  is a bandlimited function!  
(in theory ... )

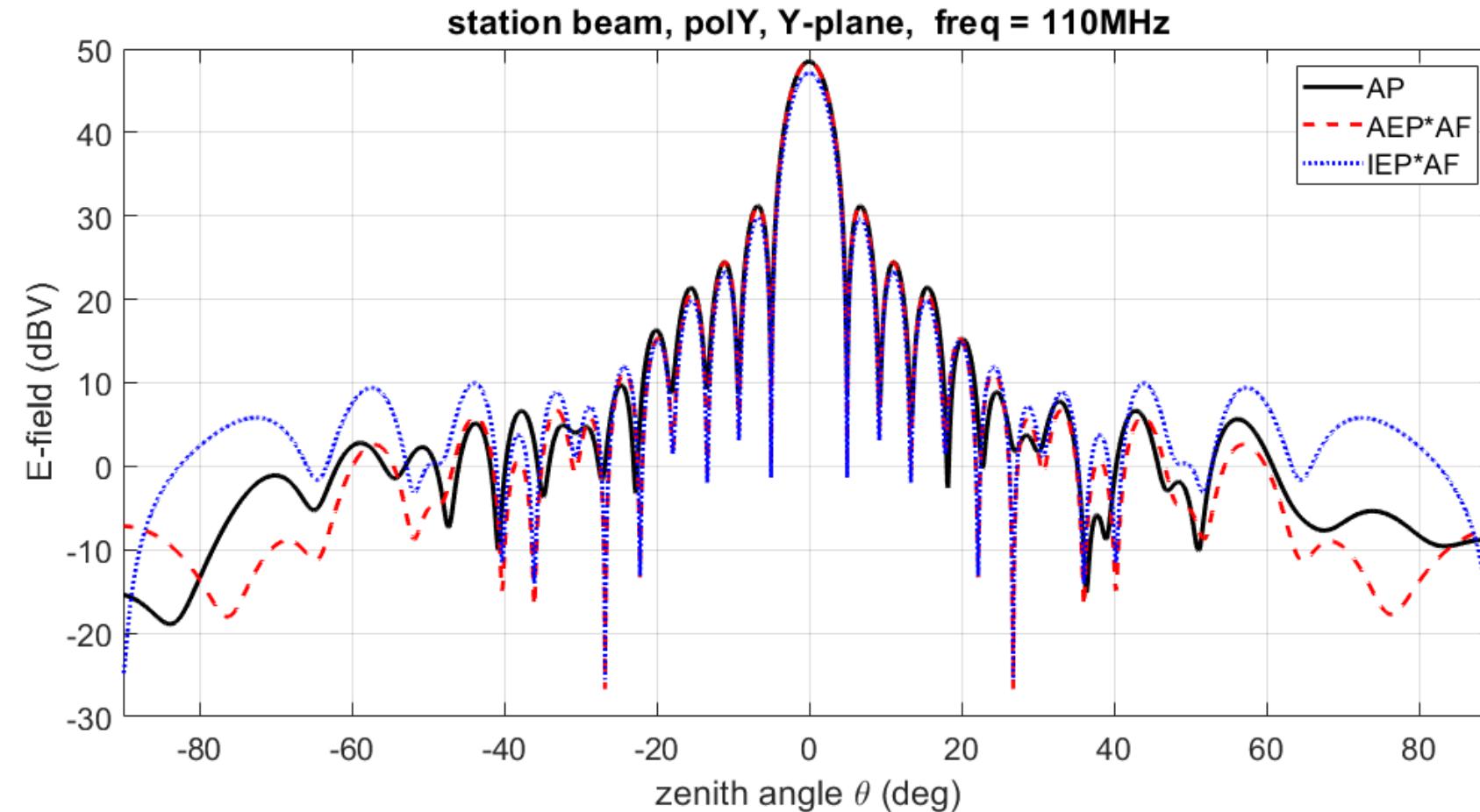


# Average Element Pattern (AEP) vs freq



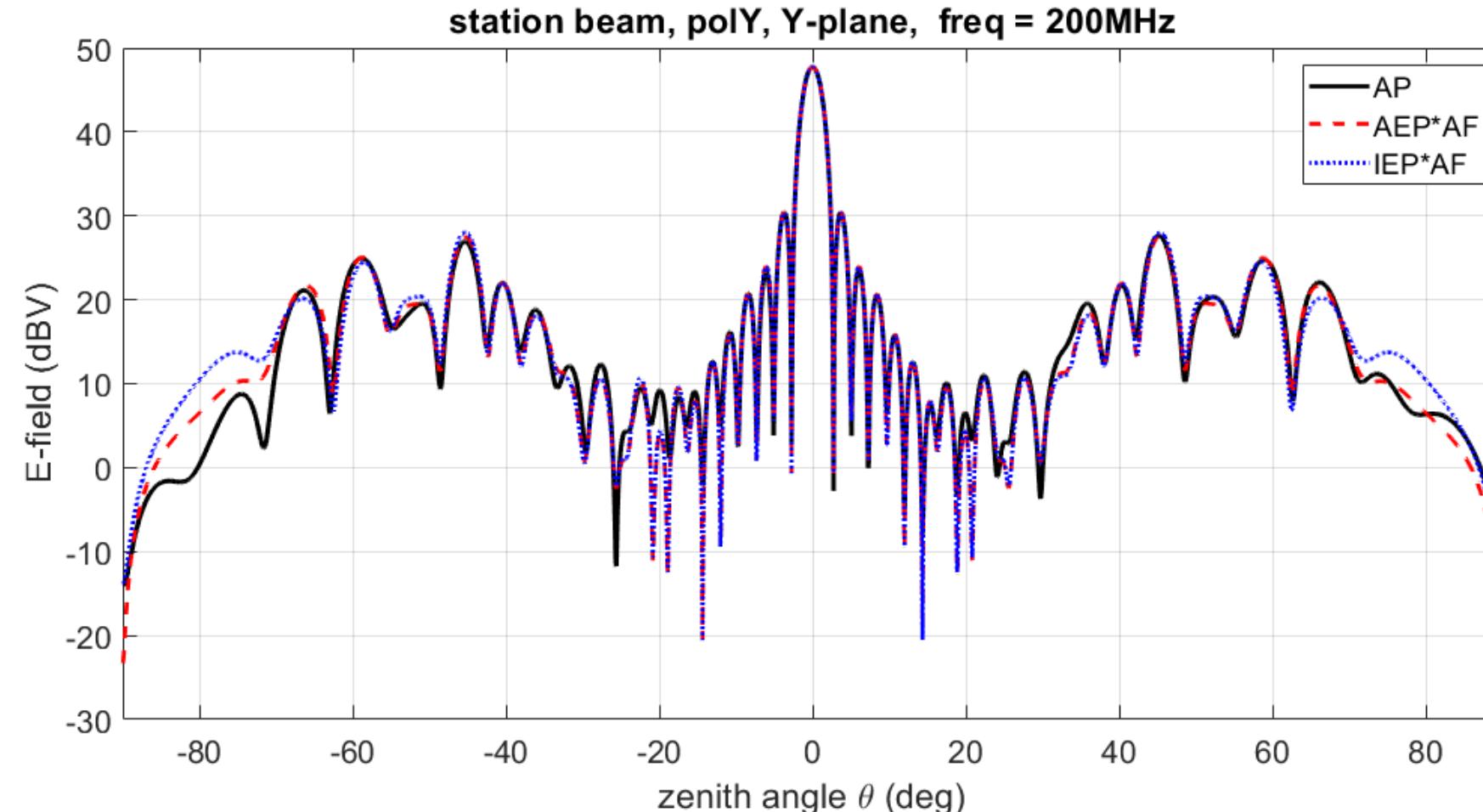


# SKA-low, IEP vs AEP vs EEP

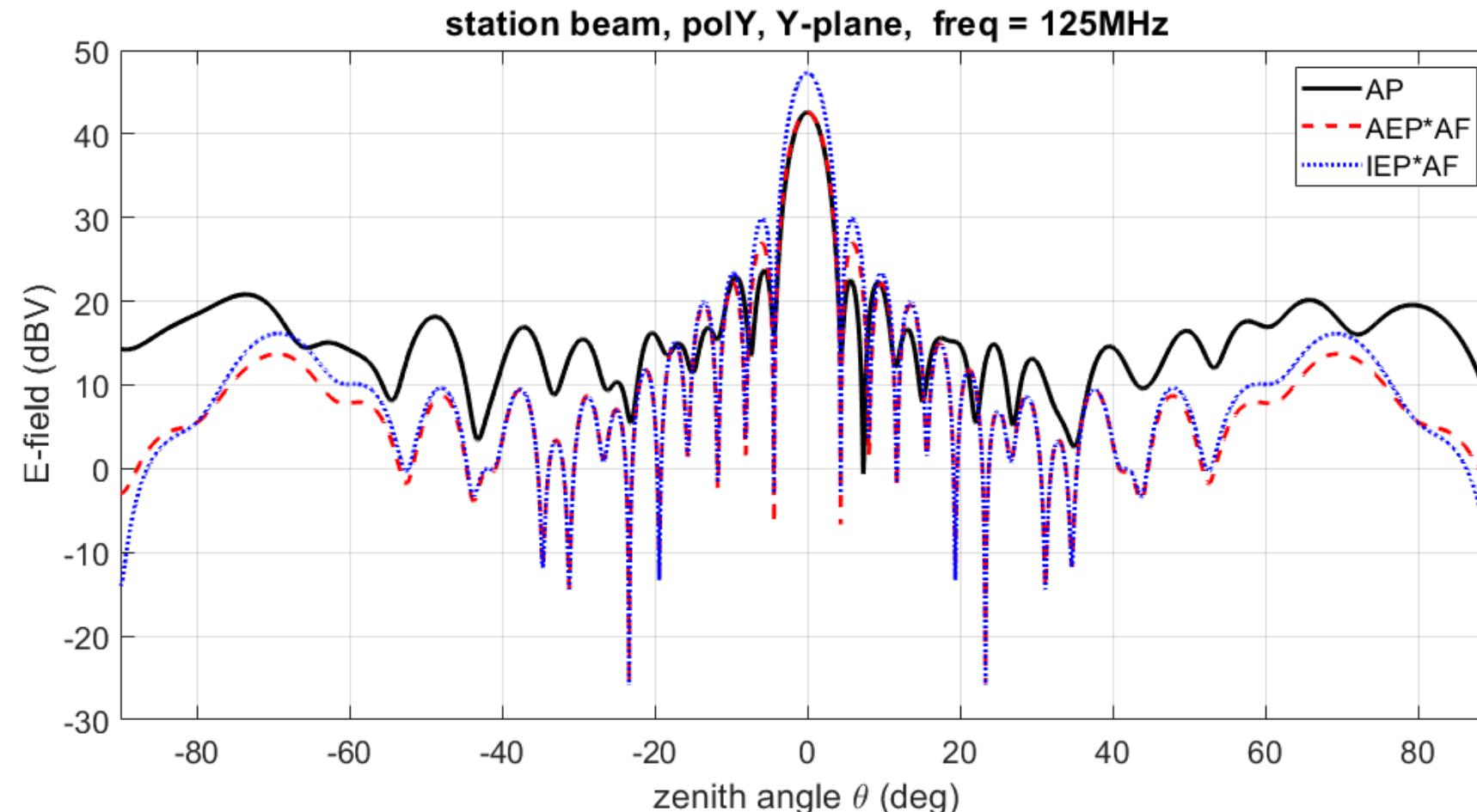




# SKA-low, IEP vs AEP vs EEP

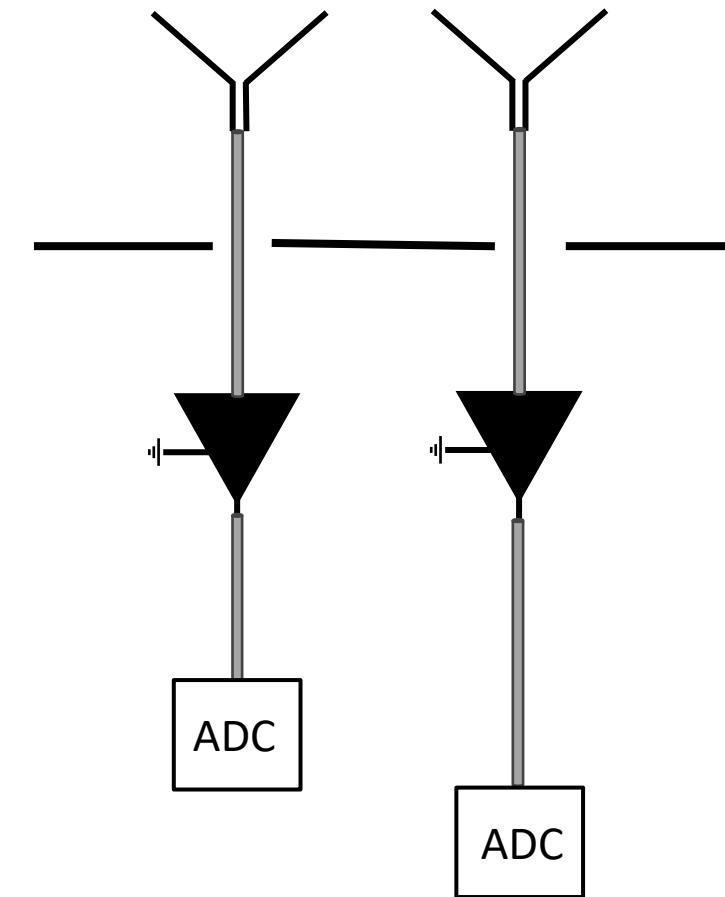
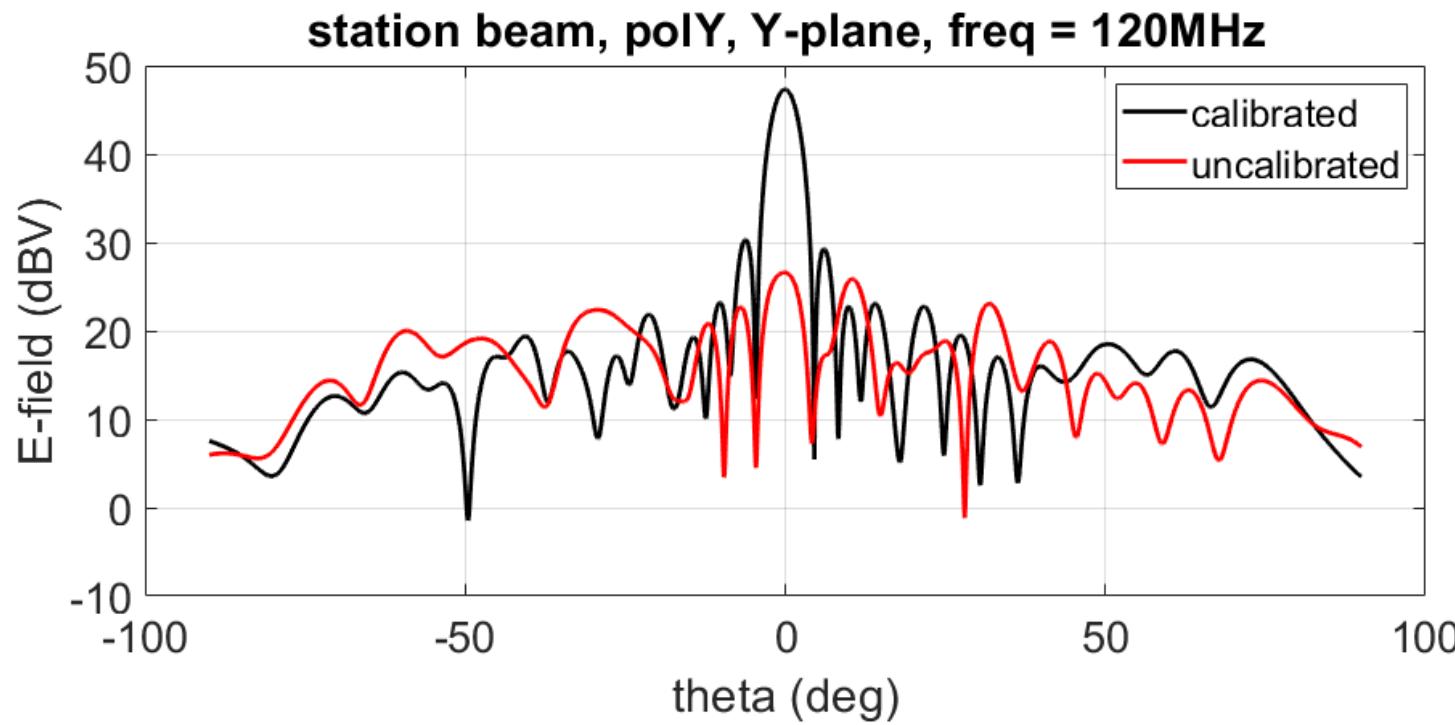


# SKA-low, IEP vs AEP vs EEP





# Without calibration





# Calibration Problem

The voltage just at the input of the digital converter is given by

$$v_i = \mathbf{G}_i \vec{\mathbf{F}}_i(\theta, \phi) \cdot \vec{\mathbf{E}}(\theta, \phi)$$

$\mathbf{G}_i$  is an unknown complex-valued direction-independent gain accounting for the propagation in the analog chain of each antenna

The intra-station visibilities are modelled by

$$V_{ij} = \langle v_i(t)v_j^*(t) \rangle = G_i G_j^* \iint (\vec{\mathbf{F}}_i(\theta, \phi) \cdot \vec{\mathbf{F}}_j^*(\theta, \phi)) T_{sky}(\theta, \phi) e^{-jk(\sin\theta\cos\phi u_{ij} + \sin\theta\cos\phi v_{ij})} \sin\theta d\theta d\phi$$

$V_{ij}$  is measured

$G_i G_j^*$  are the unknowns gains

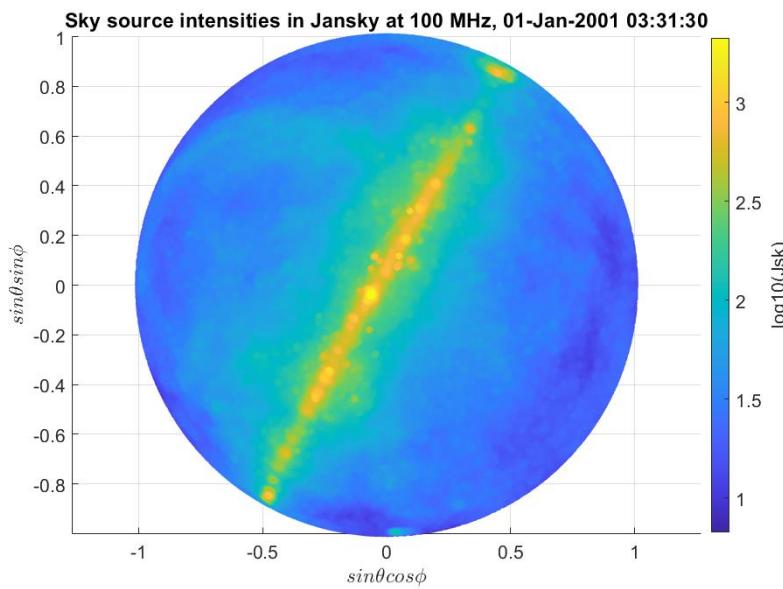
$\vec{\mathbf{F}}_i(\theta, \phi)$  are known beam models

$T_{sky}$  is known from low resolution sky map

$u_{ij} = x_i - x_j$ ,  
 $v_{ij} = y_i - y_j$   
 are baseline coordinates

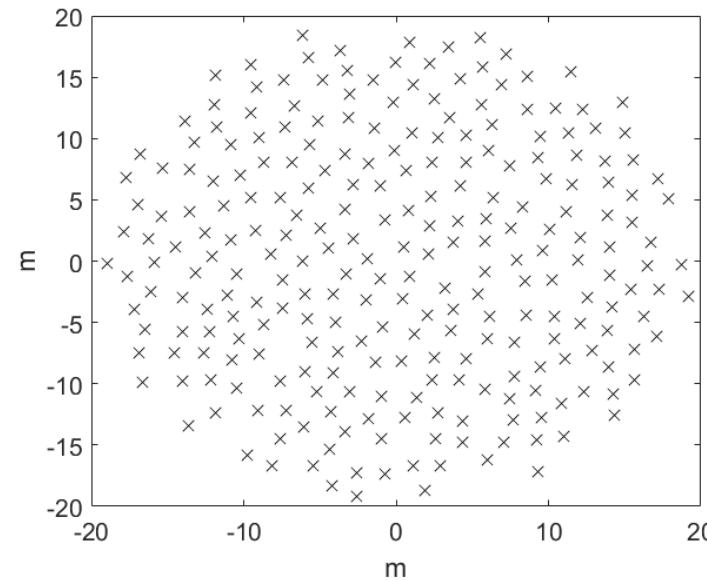
# Calibration Problem

$N_{\text{ant}} \times N_{\text{ant}}$  matrix with measured visibilities

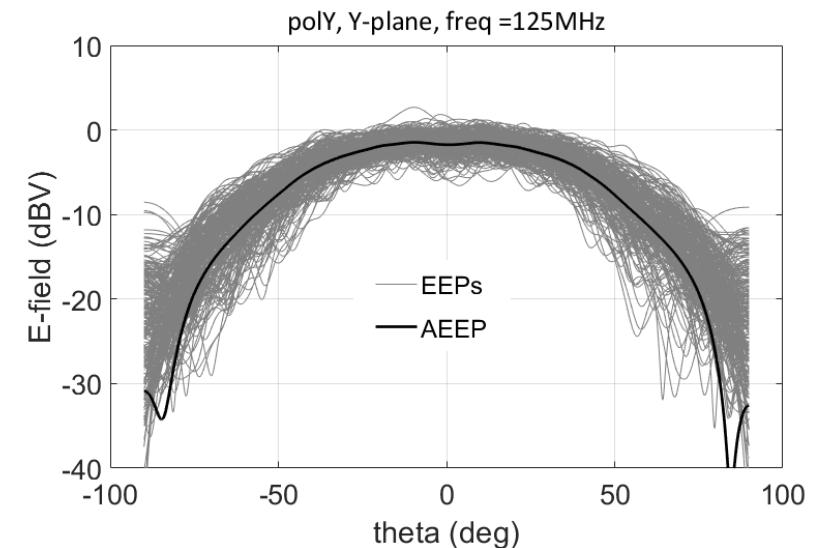


$$\mathbf{V} = \mathbf{G} \mathbf{I} \mathbf{G}^*$$

$N_{\text{ant}} \times N_{\text{ant}}$  matrix with modelled cross-correlations

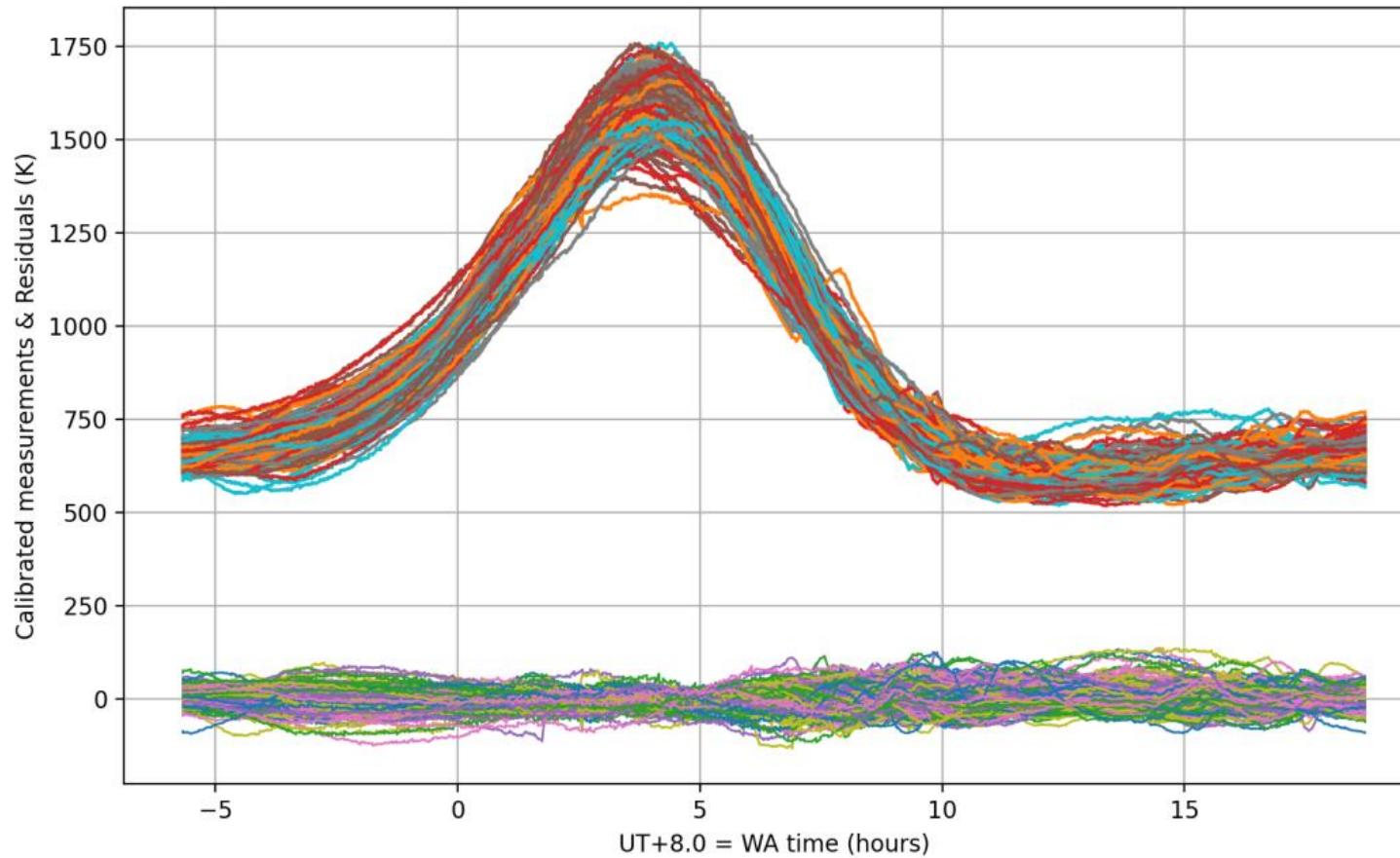


$N_{\text{ant}} \times N_{\text{ant}}$  diagonal matrix with gain unknowns



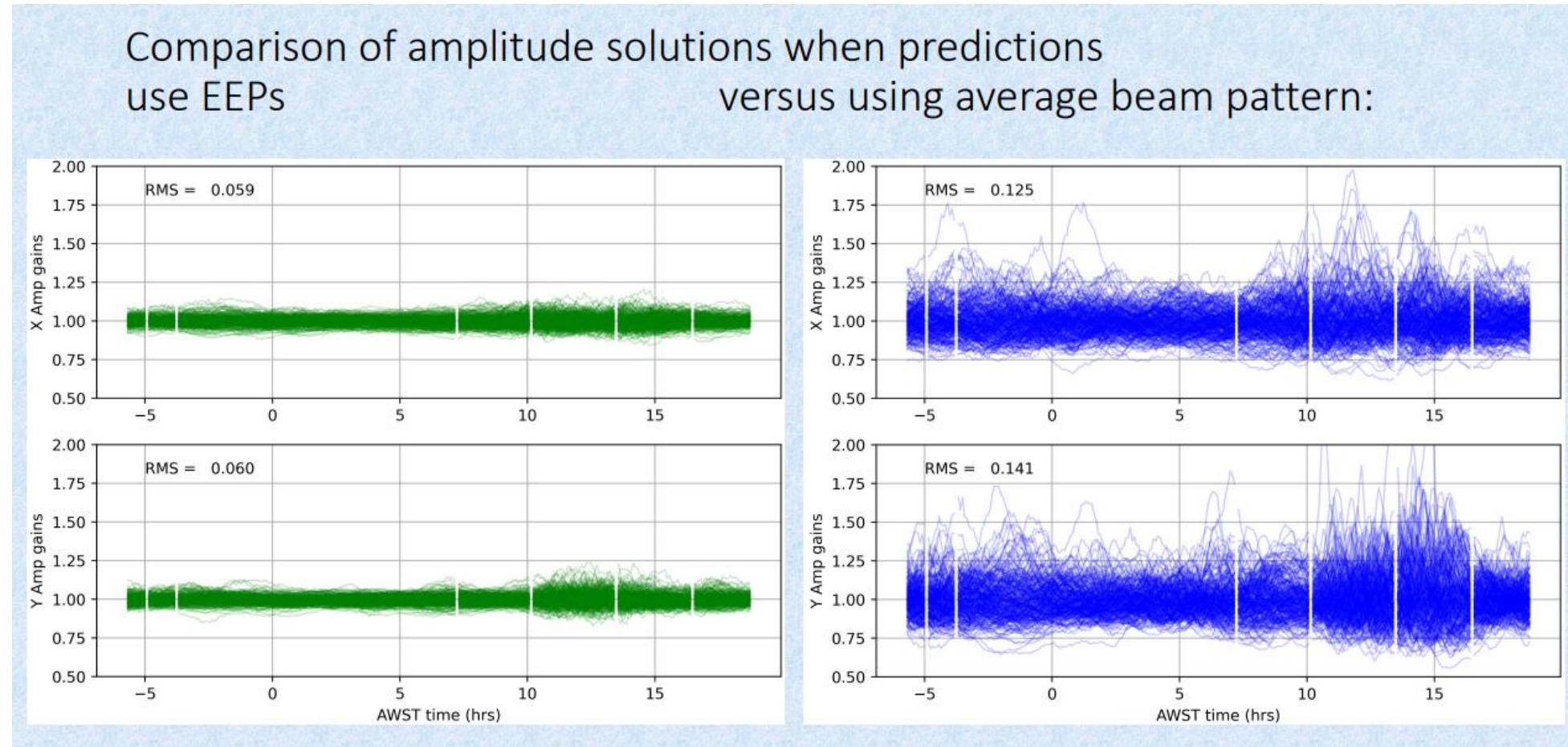
S. Salvini and S.J. Wijnholds, "Fast gain calibration in radio astronomy using alternating direction implicit methods: Analysis and applications," AA, 2014.

### 3. Calibration, SKA-low, AAVS2

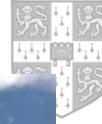




### 3. Calibration, SKA-low, AAVS2



R. Subrahmanyan CSIRO-CASS, Curtin-CIRA March 2021, AAVS2 antenna total powers versus time at 110 MHz





# 1. System design, SKA-low

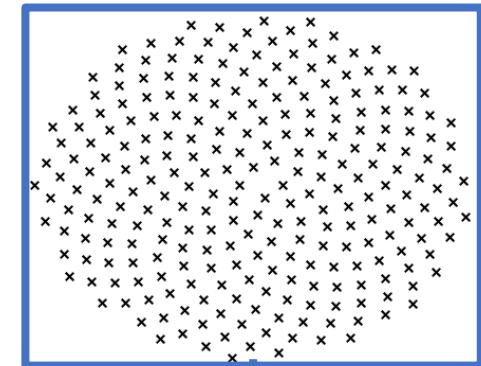


16 SKALA2, Cambridge

256 SKALA4.1,  
Australia



ICRAR-Curtin University



SKALA4.1, new layout ?

AAVSO  
(2012)

AAVS1  
(2016)

AAVS2  
(2019)

SKA64  
(2021)

AAVS3  
(2024?)

256 SKALA2,  
Australia



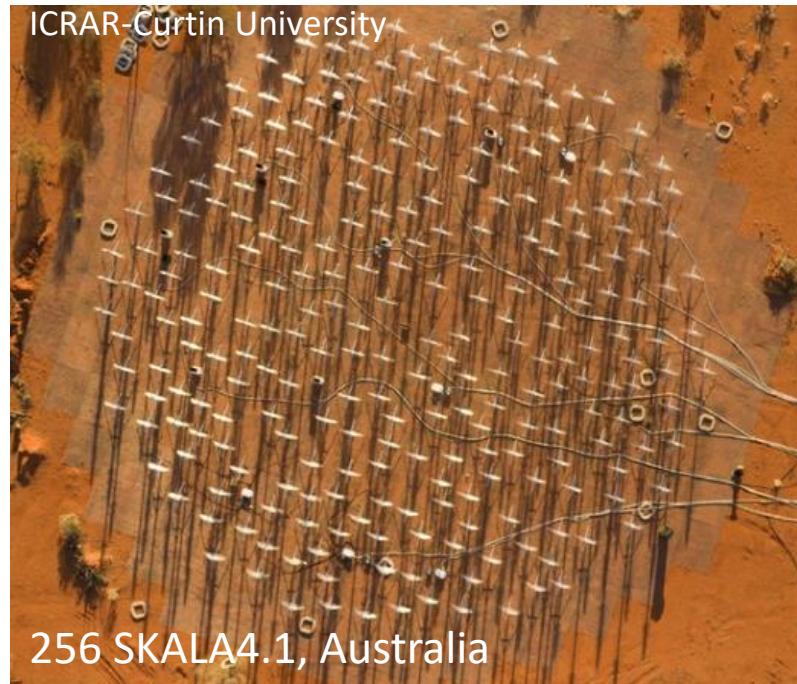
64 SKALA4,  
Cambridge



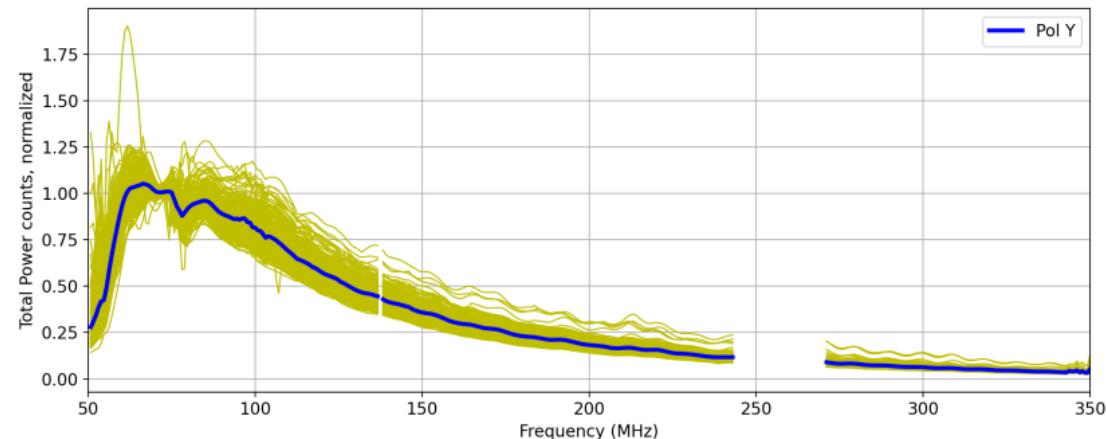
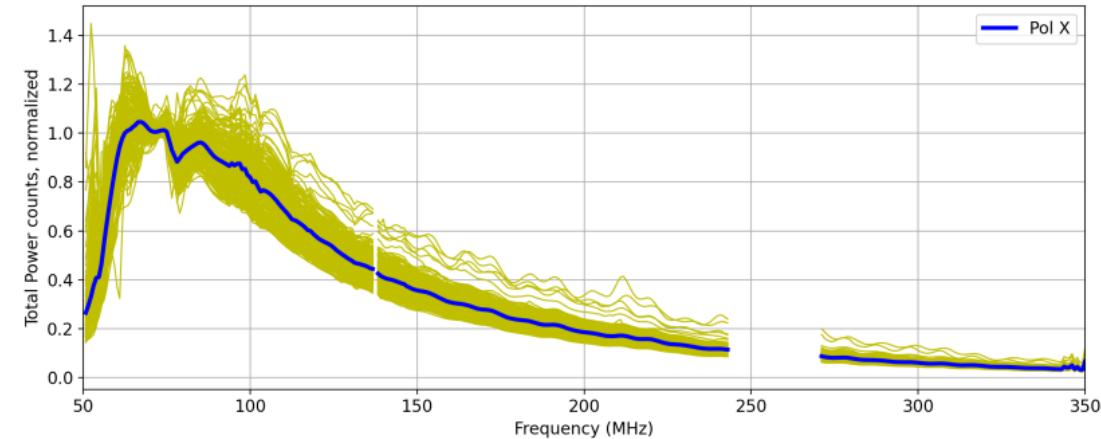


# 1. System design , SKA-low

$$P_r = \frac{1}{2} k_B B \iint T_{\text{sky}}(\Omega) A_{\text{eff}}(\Omega) d\Omega$$

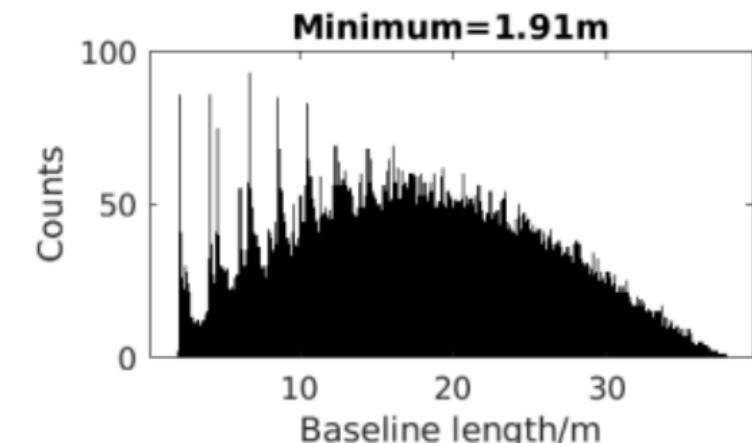
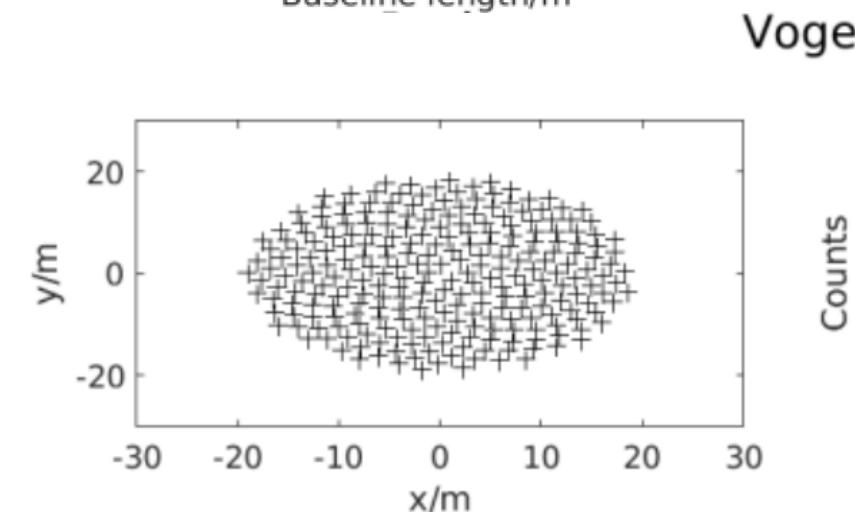
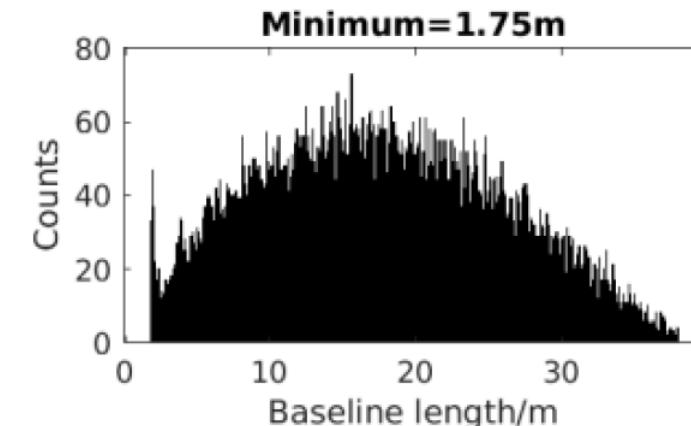
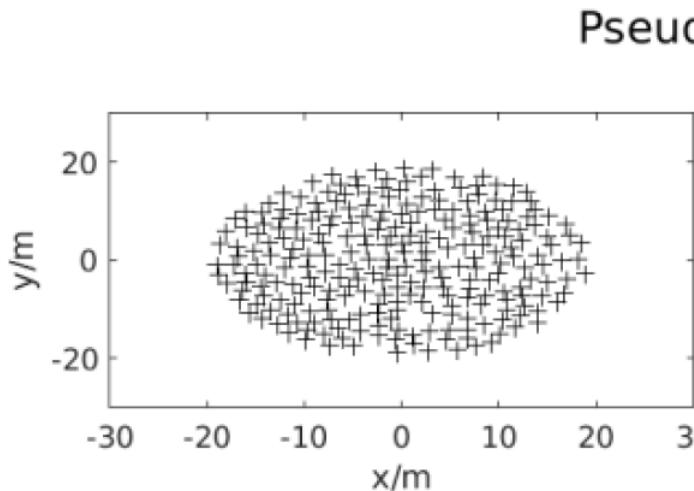


Band-pass measurements, AAVS2, April 2021



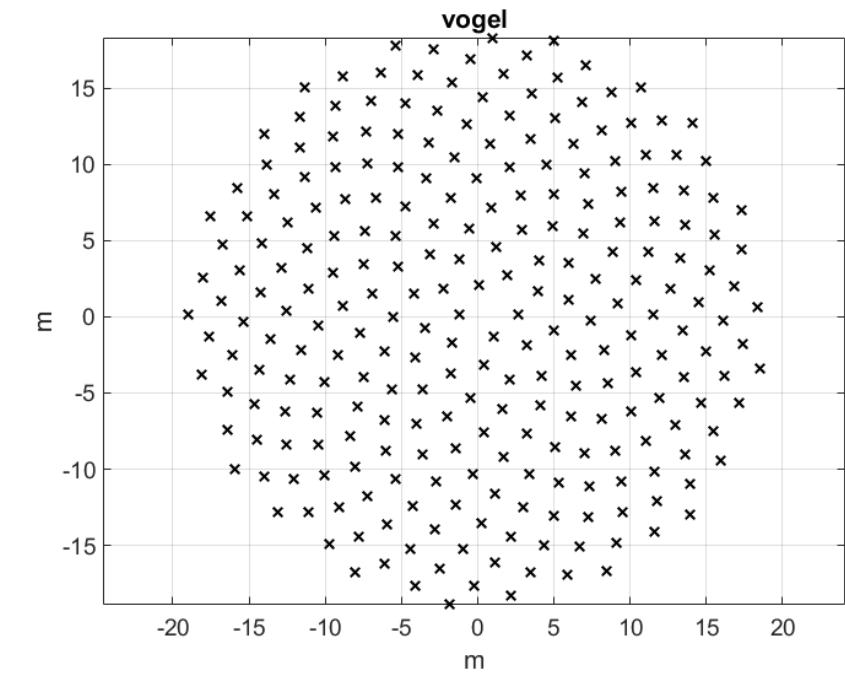
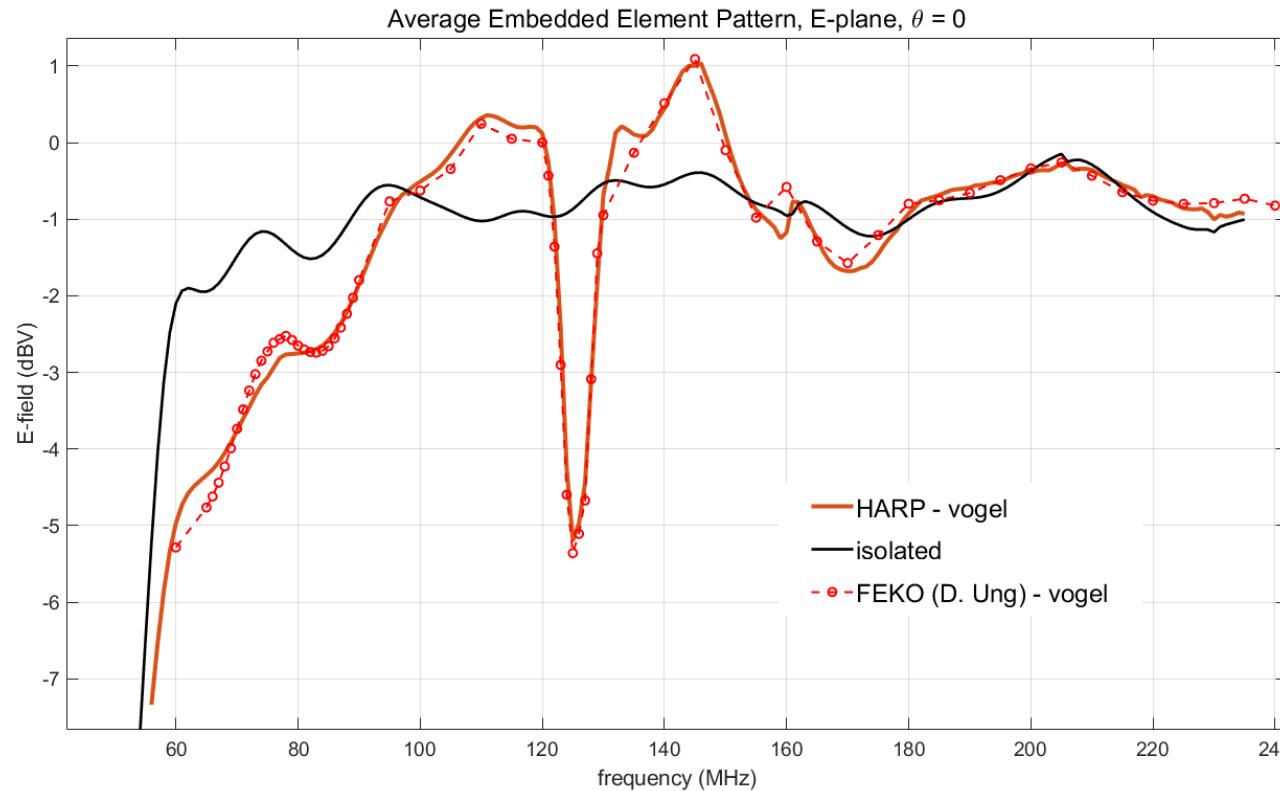


# 1. System design, SKA-low

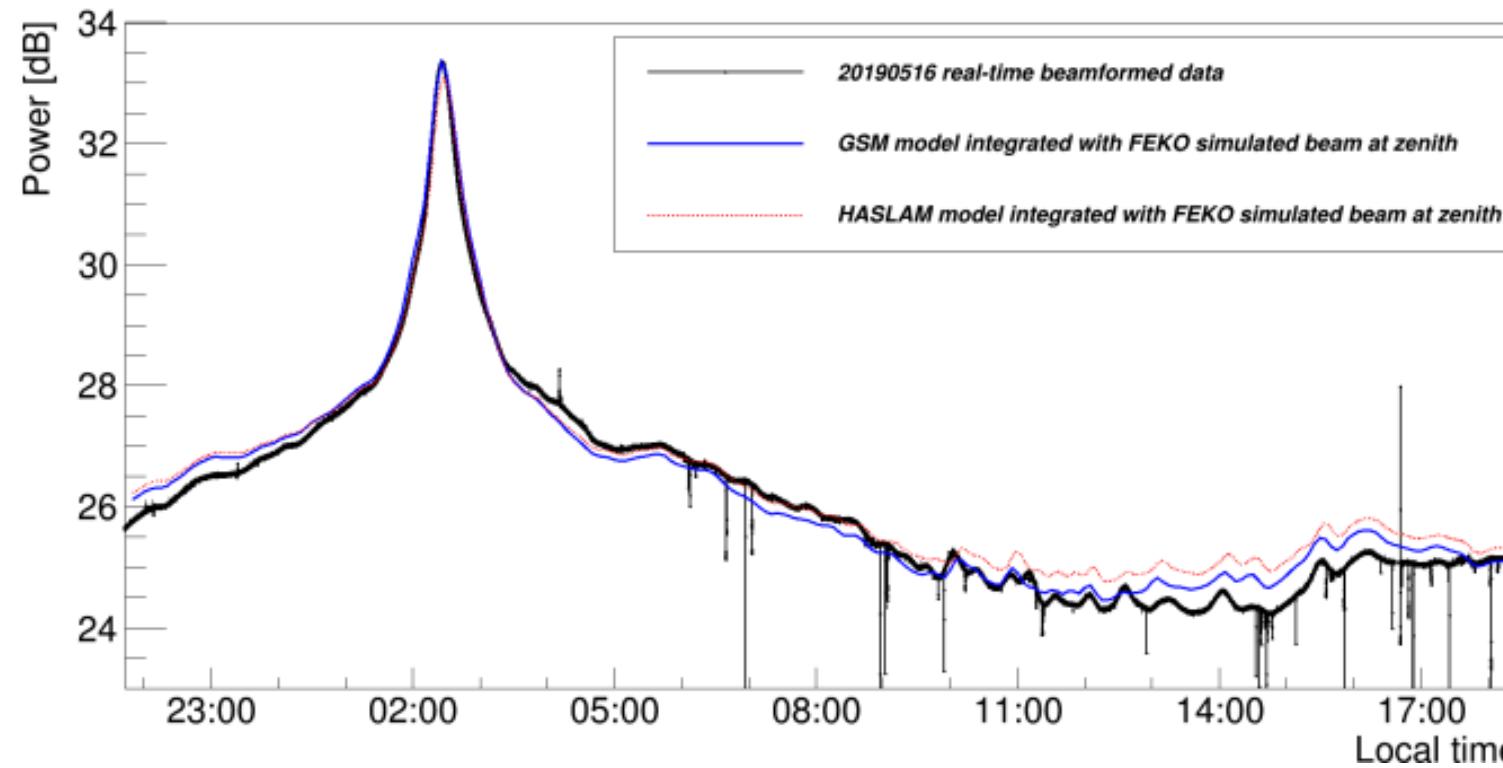




# 1. System design, SKA-low



### 3. Calibration, SKA-low, AAVS1

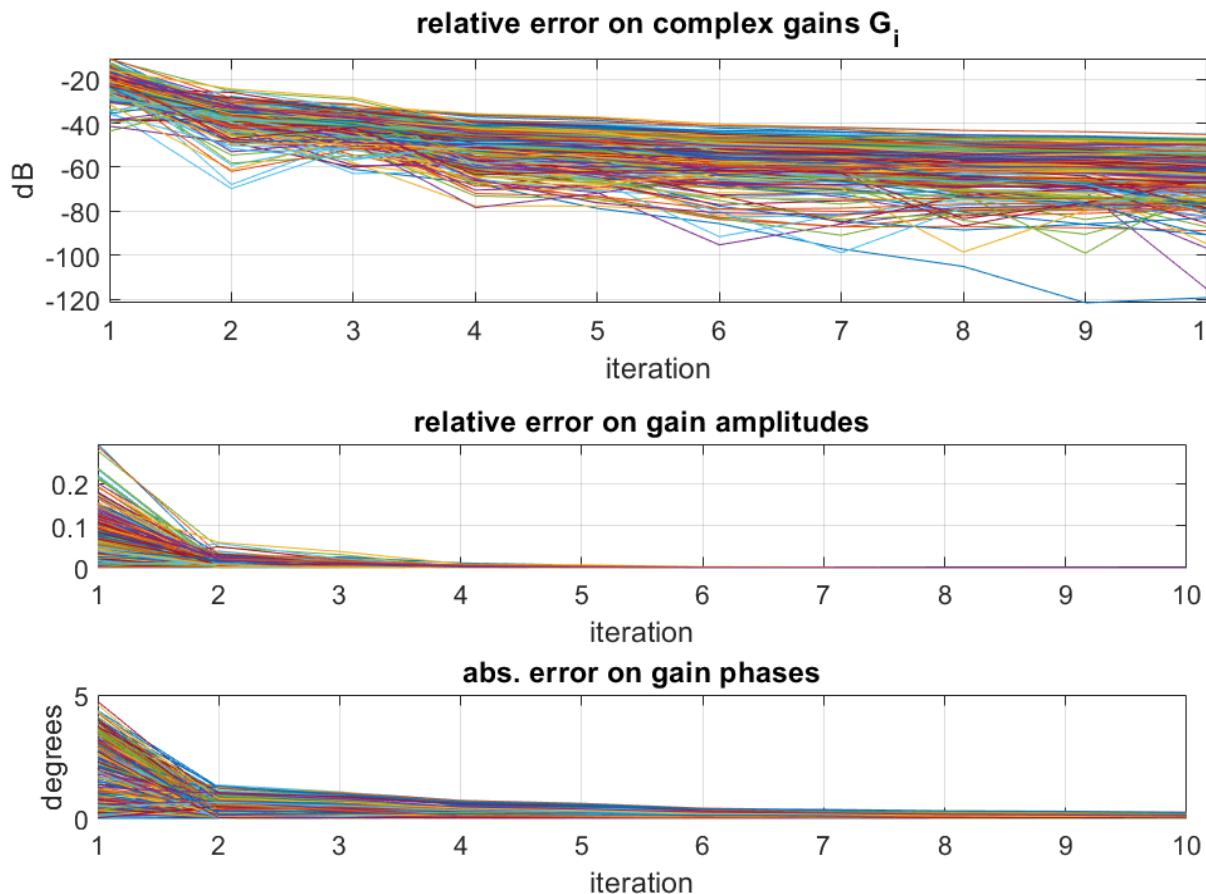


P. Benthem, et al., *The Aperture Array Verification System 1: System overview and early commissioning results*, A&A, 2021.

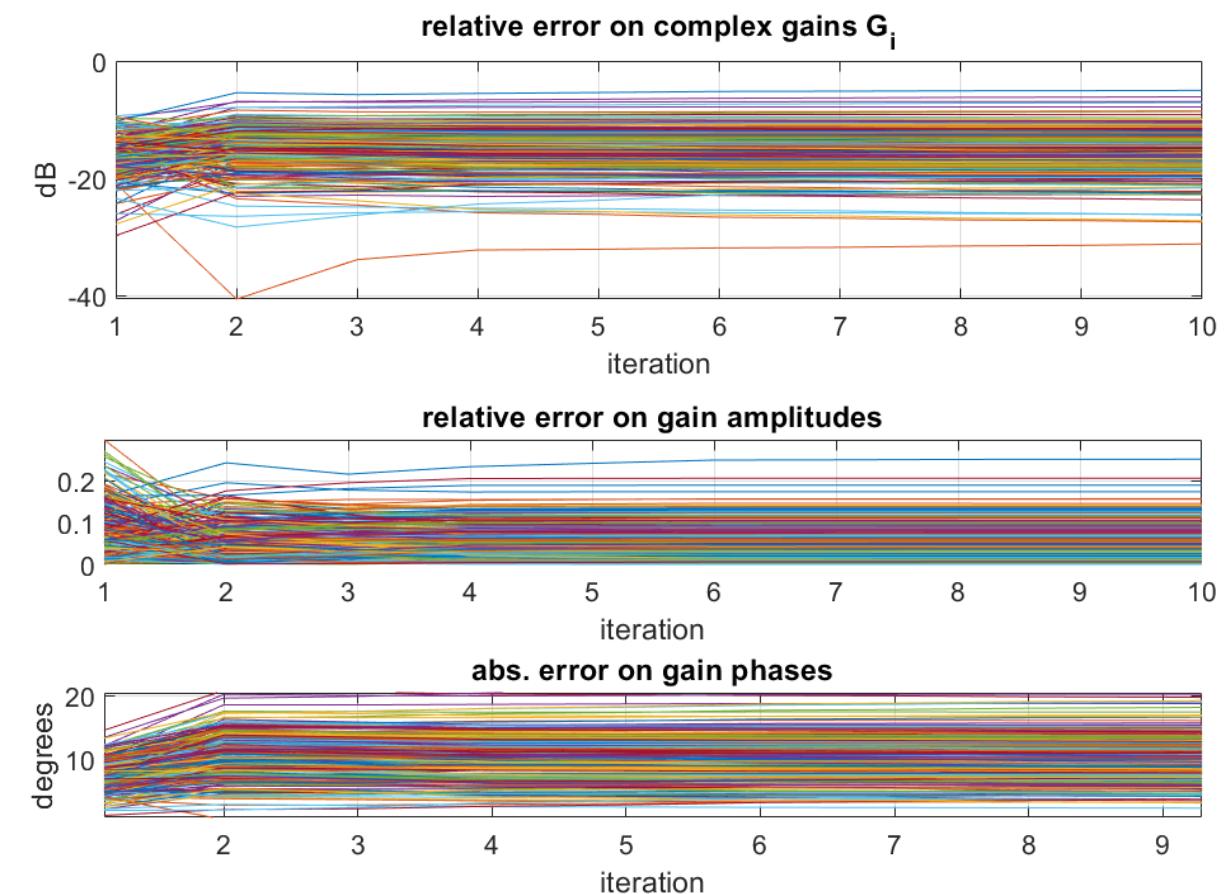


# Calibration iterative solution

With all the EEPs



With the AEP





# Calibration solution, example

