

# A Practical Guide to Genome-wide Association Studies (GWAS)

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# Introduction and objectives

- Part of the AG2PI online workshop series (workshop #4)
- The workshop will be covering
  - Basic theories behind Genome-wide association studies (GWAS)
  - How to conduct a GWAS experiment and what are the resources to do so
  - Hands-on tutorial session using maize and Sorghum (chromosome 9) as examples to demonstrate the analysis of GWAS  
([https://github.com/zhzheng92/AG2PI\\_GWAS\\_workshop\\_June2021](https://github.com/zhzheng92/AG2PI_GWAS_workshop_June2021))



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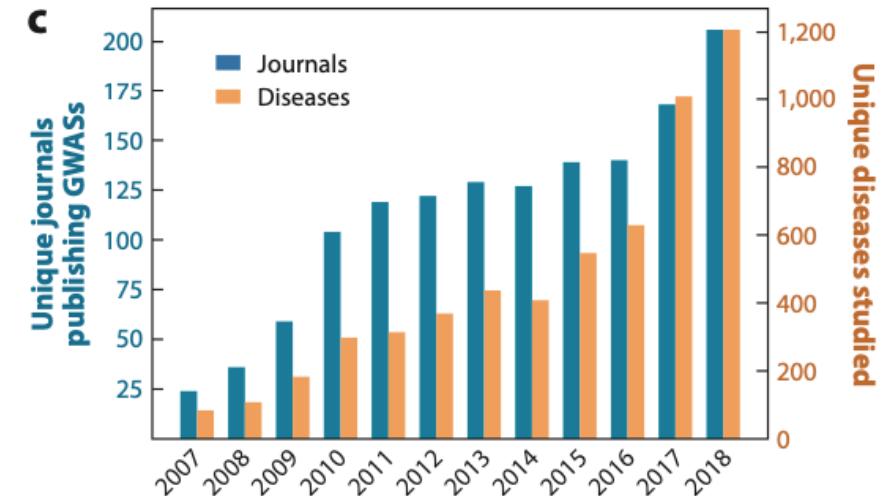
AG2PI Twitter

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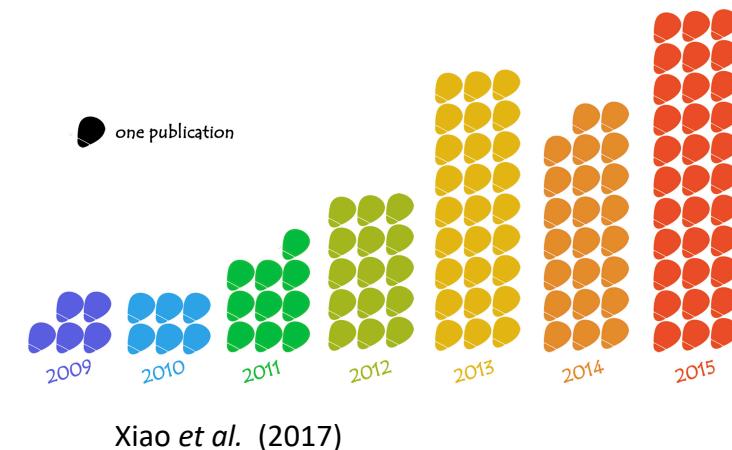
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# Genome-wide association studies (GWAS)

- GWAS: an observational study to dissect genetic architecture of complex traits by testing the association of a genome-wide set of genetic variants with variation in phenotype across an assembled population.
- Milestones :
  - Developed in context of human disease genetics in the mid 1990s
  - First GWAS publication in 2002 (Ozaki *et al.*, 2002 )
  - First GWAS publication in plants in 2005 (Aranzana *et al.*, 2005 )

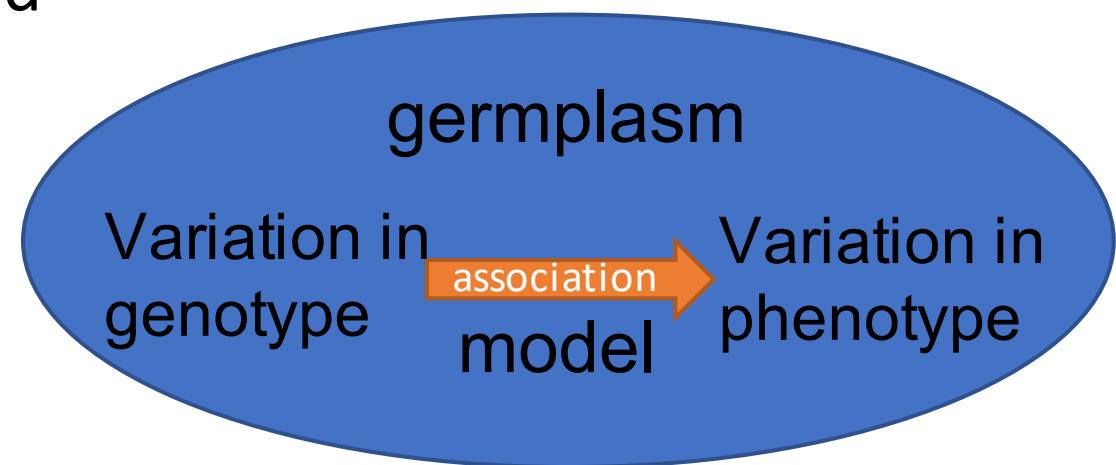


Mills and Tropf (2020)



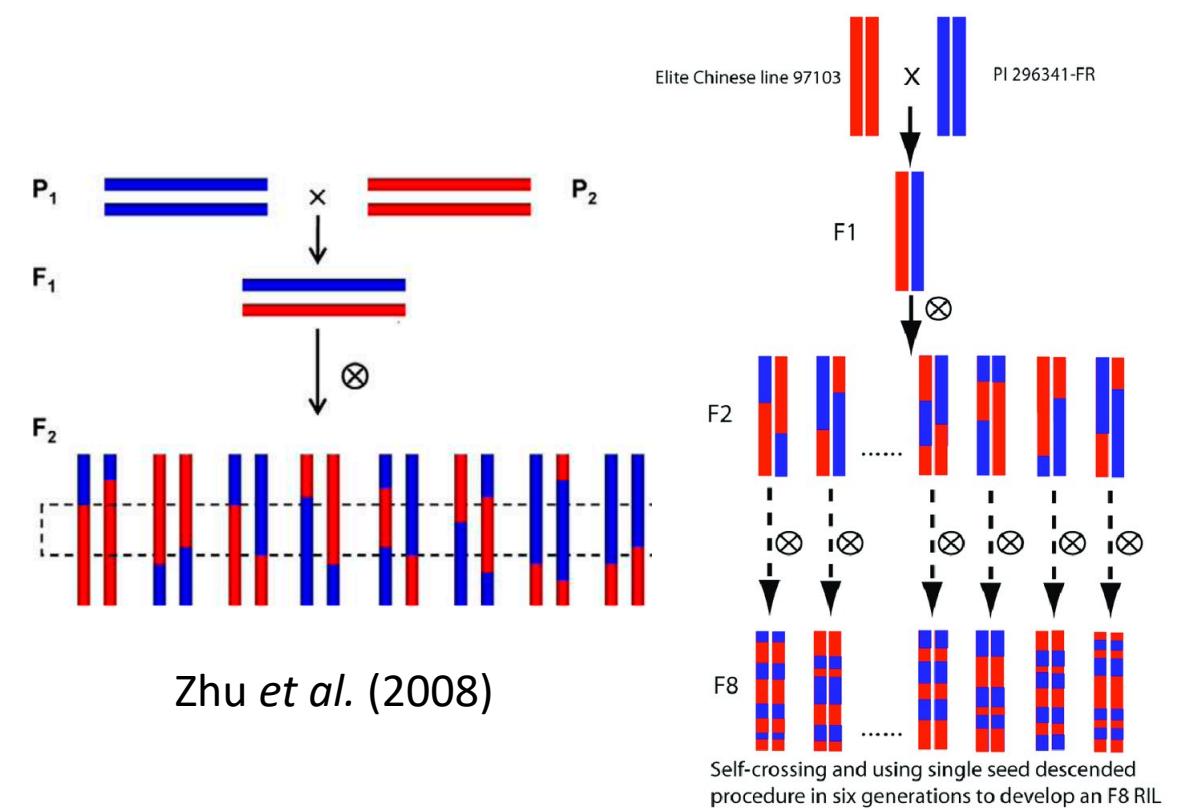
# Genome-wide association studies (GWAS)

- GWAS aims to find those genetic markers at which variation in genotype is significantly associated with variation in phenotype.
- Key factors that drive the development of GWAS
  - Germplasm (population)
  - Genetic markers
  - Statistical models
  - Phenotype



# Linkage analysis

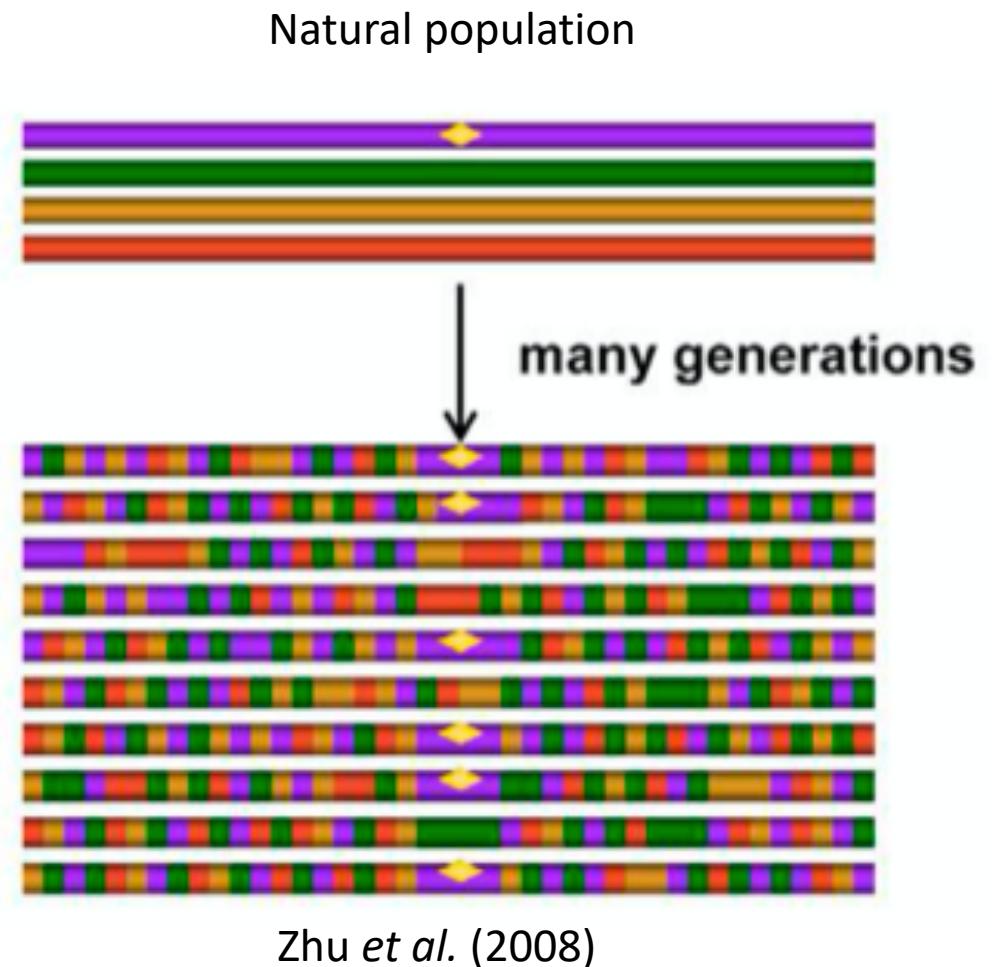
- Linkage analysis for quantitative trait locus (QTL) mapping
  - a statistical method for discovering the locations of loci underlying a trait by testing for **co-segregation** with genetic polymorphisms of known positions in the genome.
  - completely controlled genetic background ( $F_2$ , Recombinant inbred lines, RILs, multi-parental population etc.)
  - Statistical models: single-marker analysis, interval mapping , composite interval mapping (CIM), multiple-locus CIM



Ren *et al.* (2012)

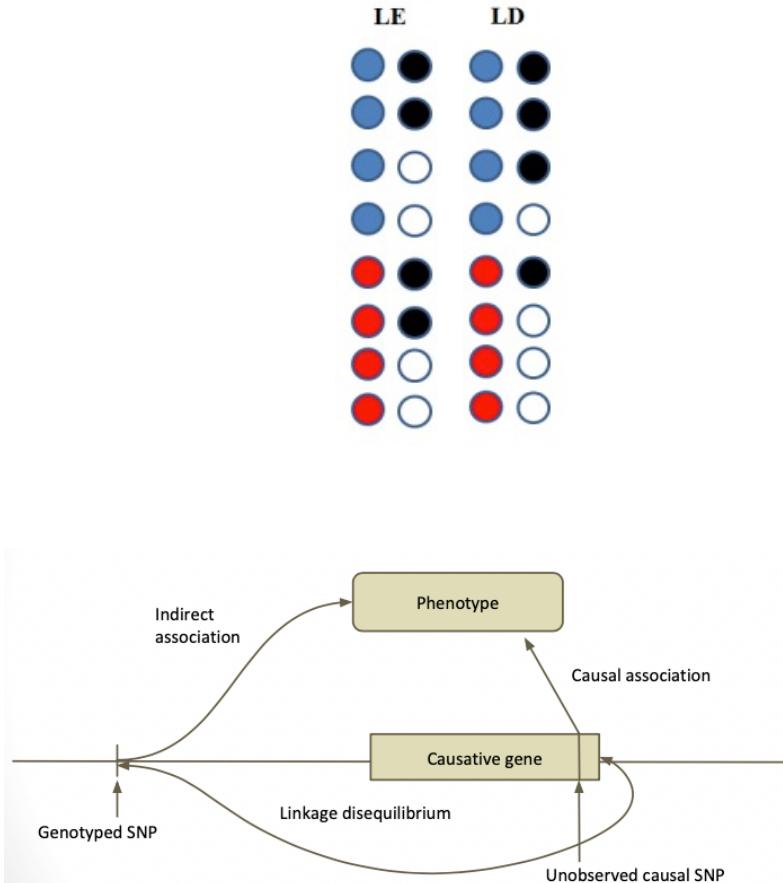
# Association mapping

- Association mapping
  - A statistical method identifies quantitative trait loci (QTL) by examining the marker-trait associations that can be attributed to the strength of **linkage disequilibrium (LD)** between markers and functional polymorphisms across a set of diverse germplasm.
- Depending on the marker coverage
  - Candidate gene association studies
  - Genome-wide association studies



# Association mapping

- Linkage disequilibrium (LD)
  - The nonrandom association between two or more loci in a population.
  - In general, the strength of the correlation between two markers is a function of the distance between them: the closer two markers are, the stronger the LD.
  - There's no single best statistic that quantifies the extent of LD



Genotype Data		Phenotype Data	
Genotyped	NOT Genotyped	Genotyped	Berry Number
Low LD SNP	Functional SNP	High LD SNP	
G	T	C	15
A	T	C	14
G	T	C	13
A	T	T	12
A	T	C	11
G	A	T	10
G	A	C	9
A	A	T	8
G	A	T	7
A	A	T	6

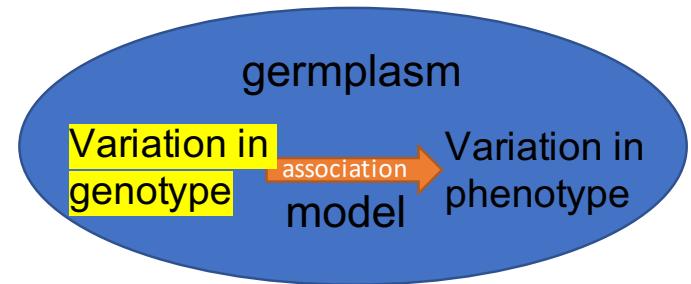
  

ASSOCIATION RESULTS					
Low LD SNP		Functional SNP		High LD SNP	
G	A	T	A	C	T
10.8	10.2	13.0	8.0	12.4	8.6
Mean Berry Number			P value of association test		
0.77			0.0011		
0.04			0.037		
			R <sup>2</sup> - LD with functional SNP		

Ümit Seren lecture slides on GWAS

Myles et al. 2009

# Association mapping



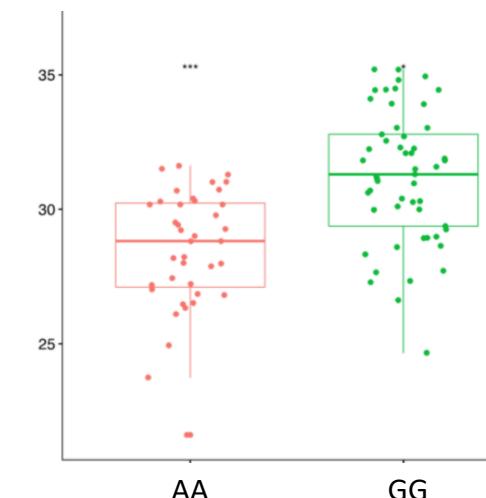
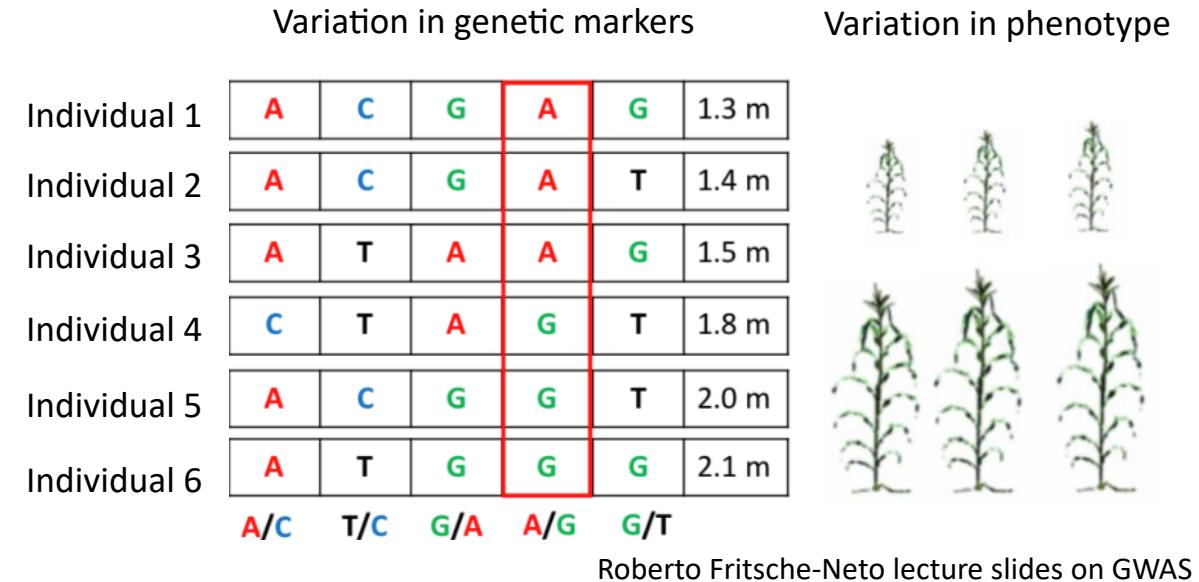
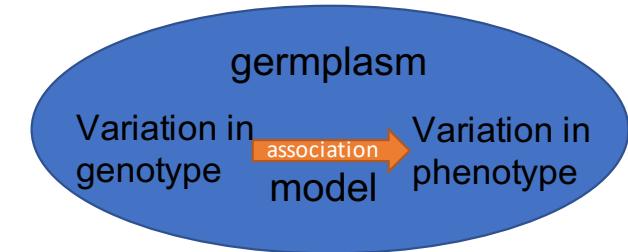
- Candidate gene association mapping
  - Hypothesis-driven (prior knowledge about the location and the biochemical/regulatory pathway); miss unknown loci associated with the trait
  - Trait-specific (candidate genes)
  - Lower cost (smaller population size and number of SNPs)
- Genome-wide association mapping
  - A comprehensive approach to systematically search the genome for causal genetic variation; no prior information about candidate genes required
  - Higher cost
    - Community efforts to assemble the diversity panel
    - Advance of NGS made genotyping for large number of SNPs possible

# Linkage mapping and association mapping are complementary

- Linkage mapping
- Pros
  - Relatively small population size
  - Genetic marker with lower density
  - Detected QTL can go through fine-mapping
- Cons
  - Low allelic diversity
  - Experimental crosses take a long time
  - Limited recombination events, lower mapping resolution
- Association mapping
- Pros
  - High allelic diversity
  - No crosses needed, save time
  - Take advantage of historical recombination, higher mapping resolution
- Cons
  - Observational experiment, substantial variation in many phenotypes
  - Large population size to detect QTL
  - High-density genetic marker
  - More validation needed for candidate genes

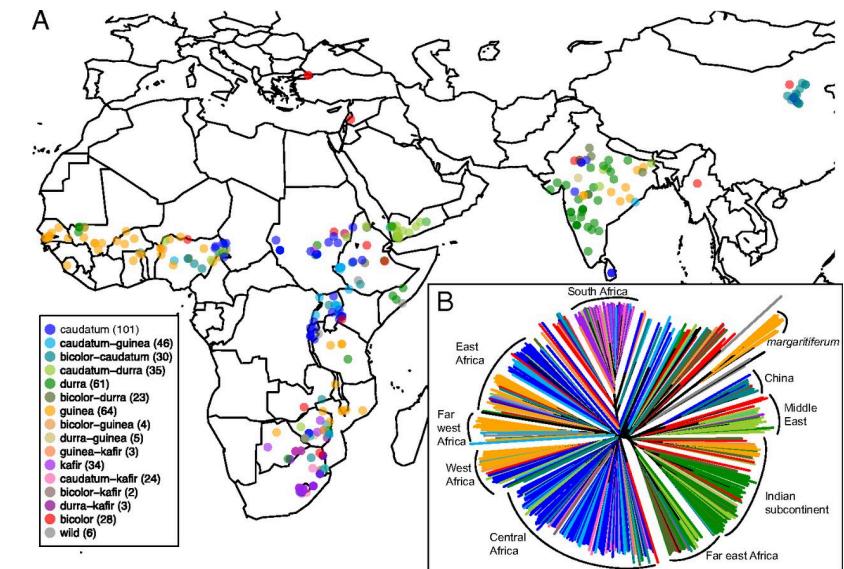
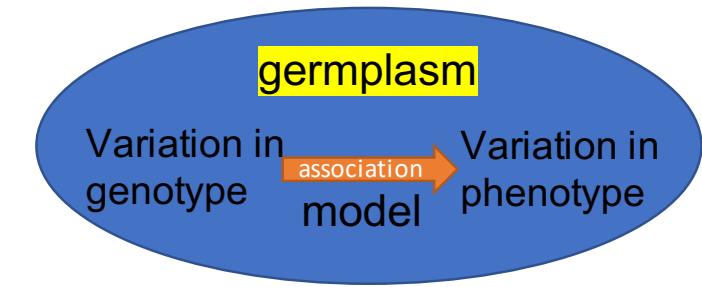
# The evolution of GWAS

- Naïve model: t-test
- False positives accumulate across large number of markers
- **Genetic background of population**
  - Doesn't address relatedness among individuals within the diversity panel



# Relatedness among individuals

- Population structure
- Systematic difference in allele frequencies between subpopulations (caused by geographical and climate distance, familial relationship etc.)
- Can lead to spurious association (false positives/Type I error)

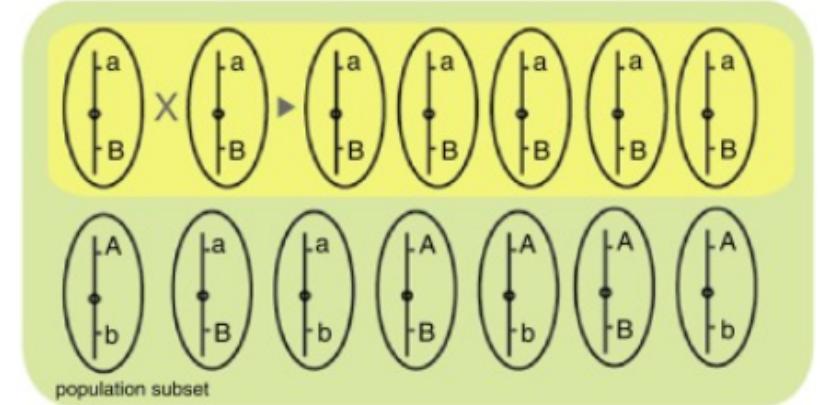
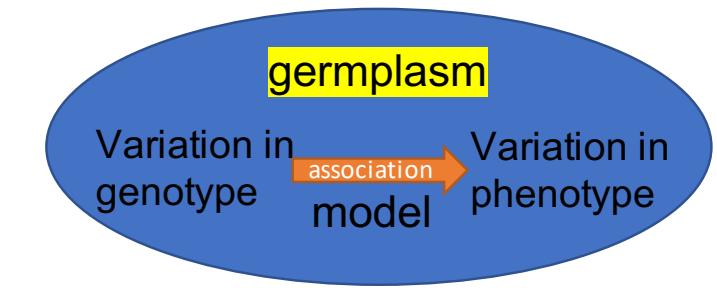


Morris et al. 2013

	West Africa												East Africa											
Plant height (PHT)	10	10	12	11	13	9	11	10	13	12	4	6	5	7	6	6	4	5	9	5				
Disease resistant (DS)	S	S	S	S	R	S	S	S	R	R	S	R	R	R	R	R	R	R	S	R				
SNP1 (A/G) PHT	A	A	A	G	A	A	A	A	G	A	G	G	G	G	G	G	G	A	G	A	G			
SNP2 (C/G) DS	C	C	C	C	C	G	C	C	G	C	G	G	G	G	G	C	G	C	G	G				

# Relatedness among individuals

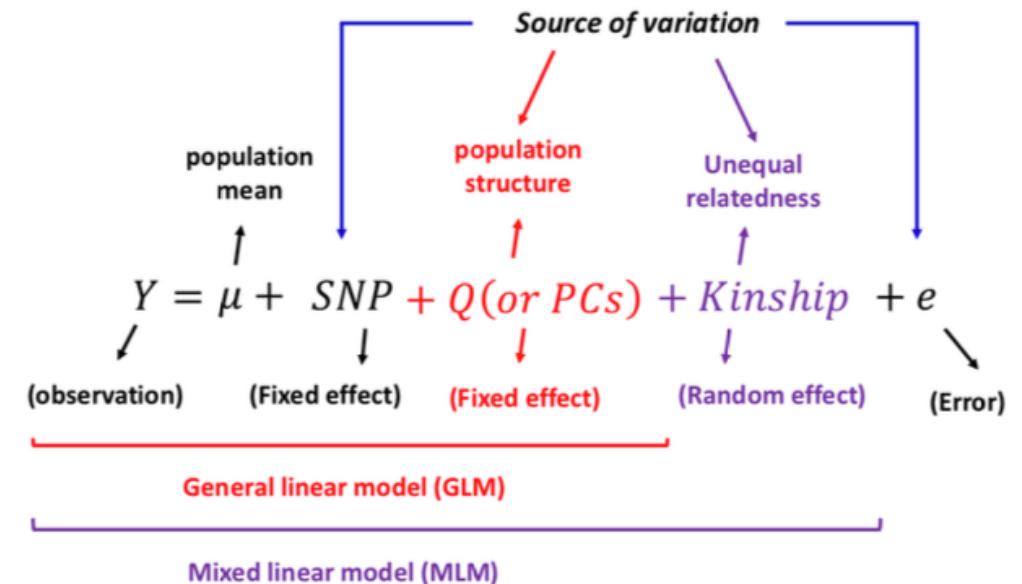
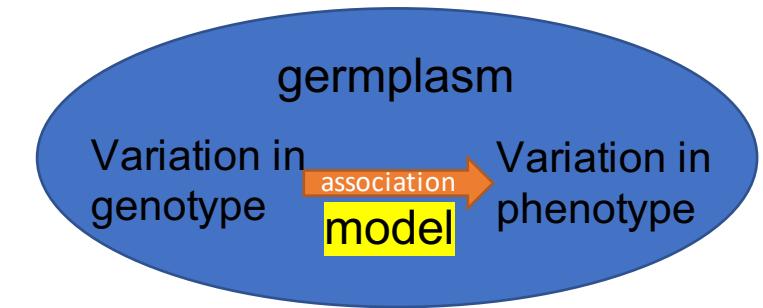
- Unequal familial relationship (kinship)
- Familial relatedness from recent coancestry among individuals usually exists in a collection of diversity panel, which can lead to spurious association.
  - Estimate relationships among individuals using molecular markers due to incomplete pedigree information (kinship matrix)
  - Two individuals sharing a lot of genotypes at SNPs are likely belong to the same family



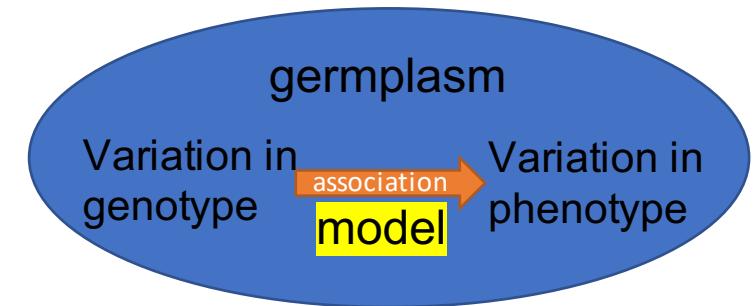
Lipka *et al.* (2018)

# Mixed linear model framework

- A unified mixed-model framework for association mapping that accounts for multiple levels of relatedness
  - Control for population structure estimated by the SNP data (STRUCTURE or principle component analysis, PCA)
  - Control for unequal familial relationship using kinship matrix
- A tutorial on mixed linear model



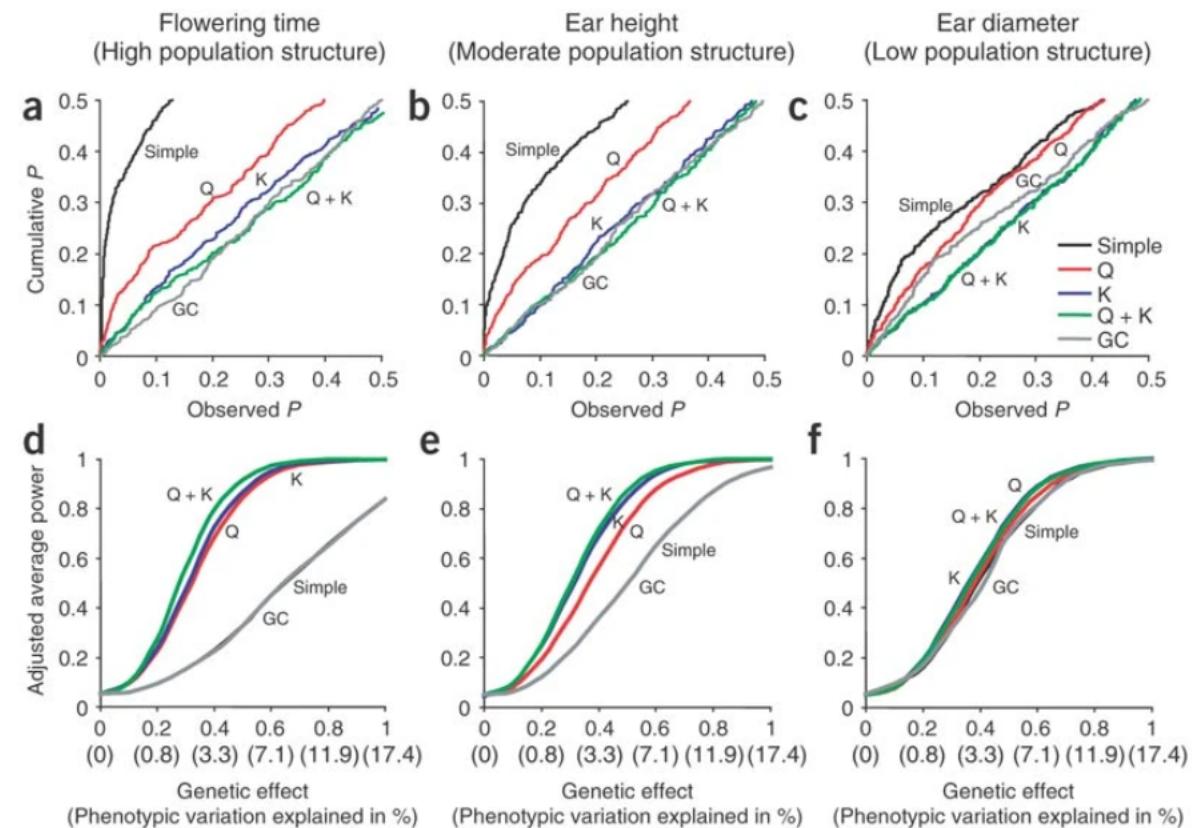
# Mixed linear model framework



- By controlling population structure and kinship at the same time, mixed linear model is able to reduce Type I and Type II errors in GWAS.

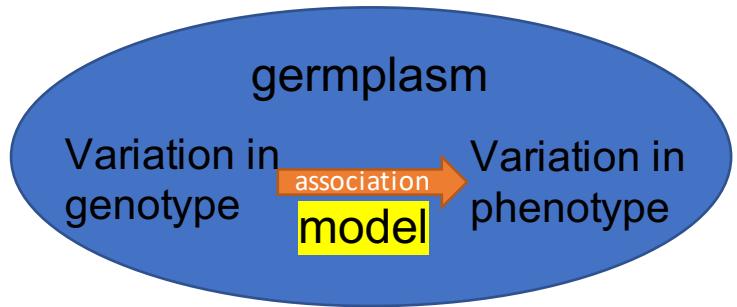
Type I and Type II Error

Null hypothesis is...	True	False
Rejected	Type I error False positive Probability = $\alpha$	Correct decision True positive Probability = $1-\beta$
Not rejected	Correct decision True negative Probability = $1-\alpha$	Type II error False negative Probability = $\beta$

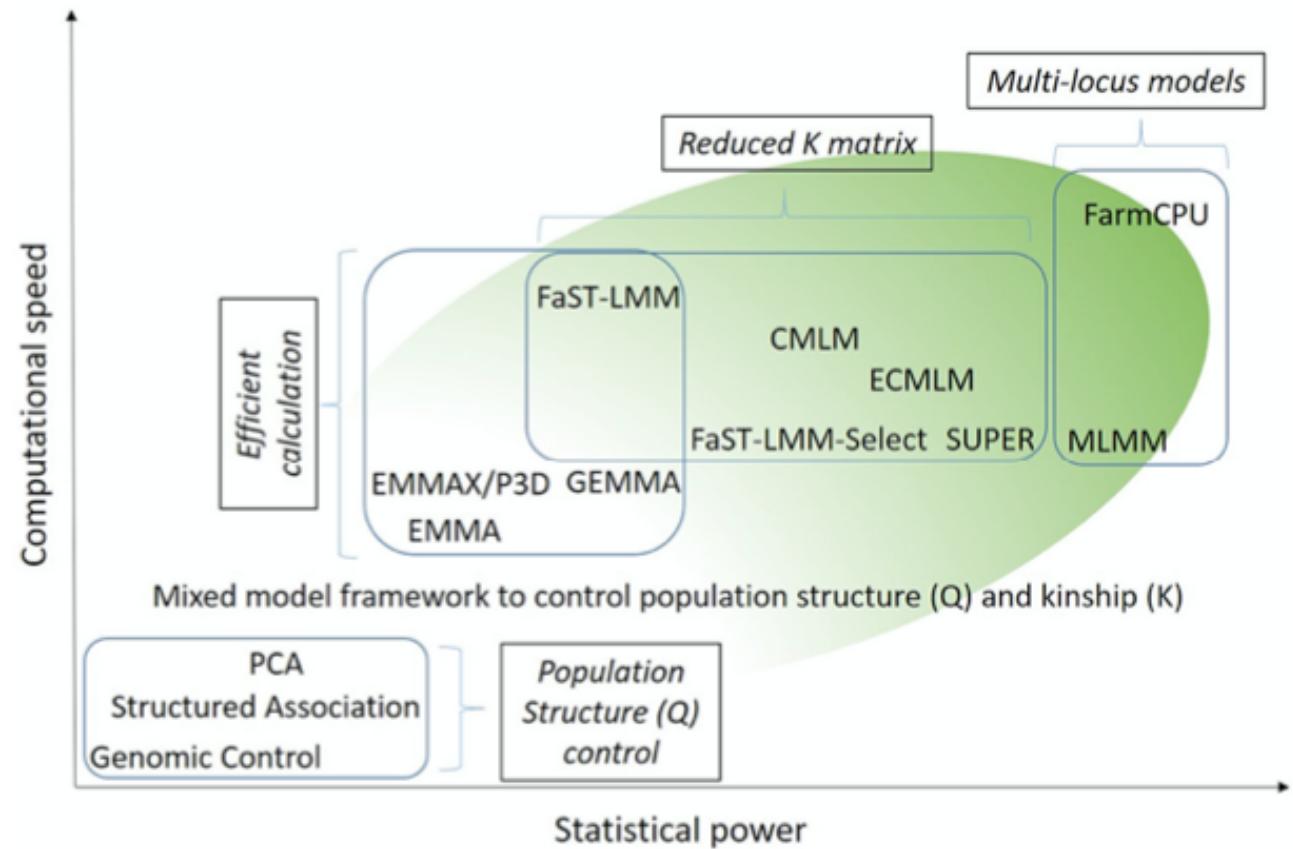


Yu et al. (2006)

# Improvement of GWAS models



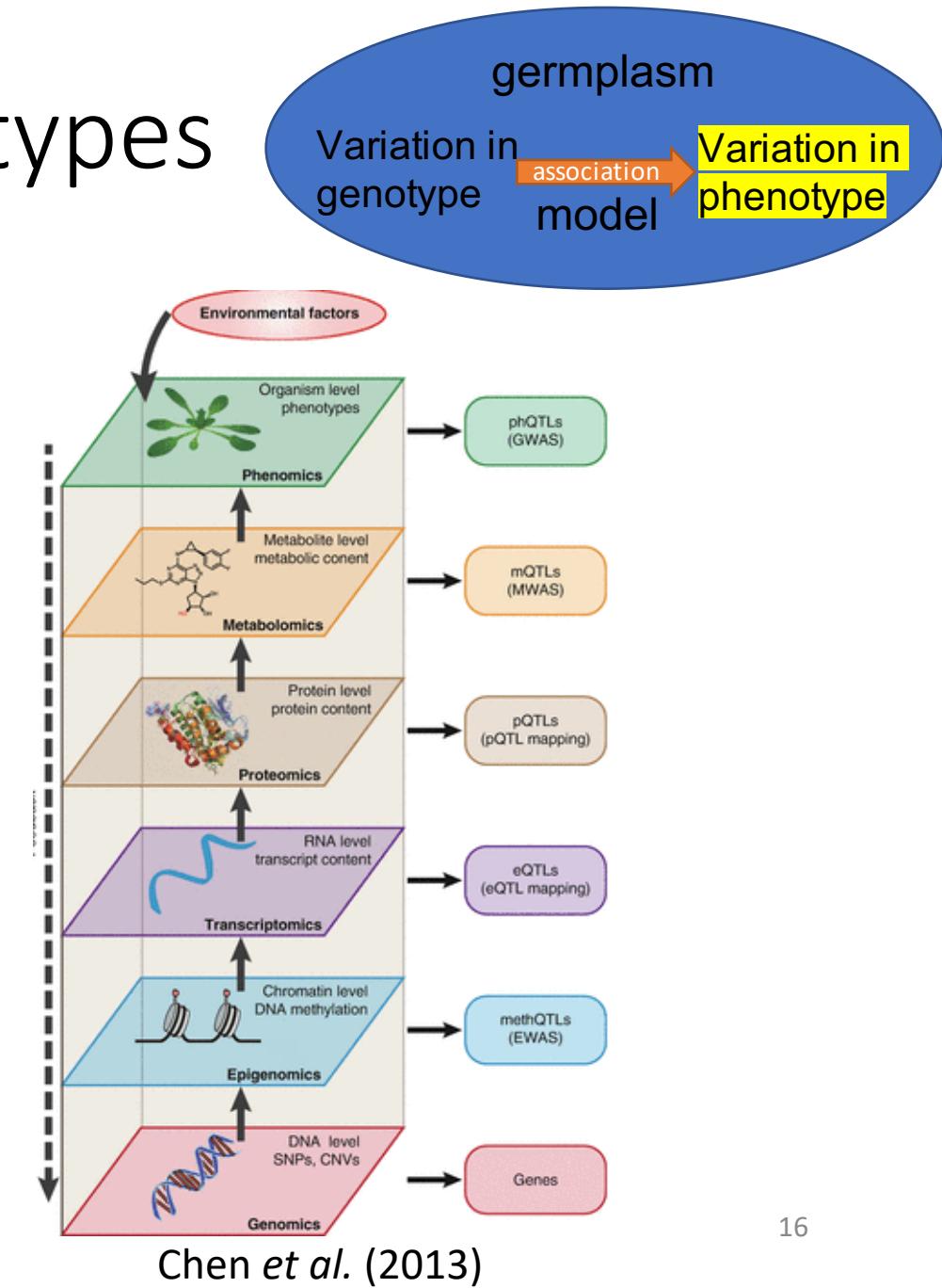
- Improvement have been made based on the mixed linear model framework
  - Increase computational efficiency
  - Increase statistical power
- Alternative framework for GWAS
  - Bayesian methods: Bayesian RR-BLUP, BayesA, BayesB, BayesC $\pi$ , etc.



Cortes *et al.* (2020)

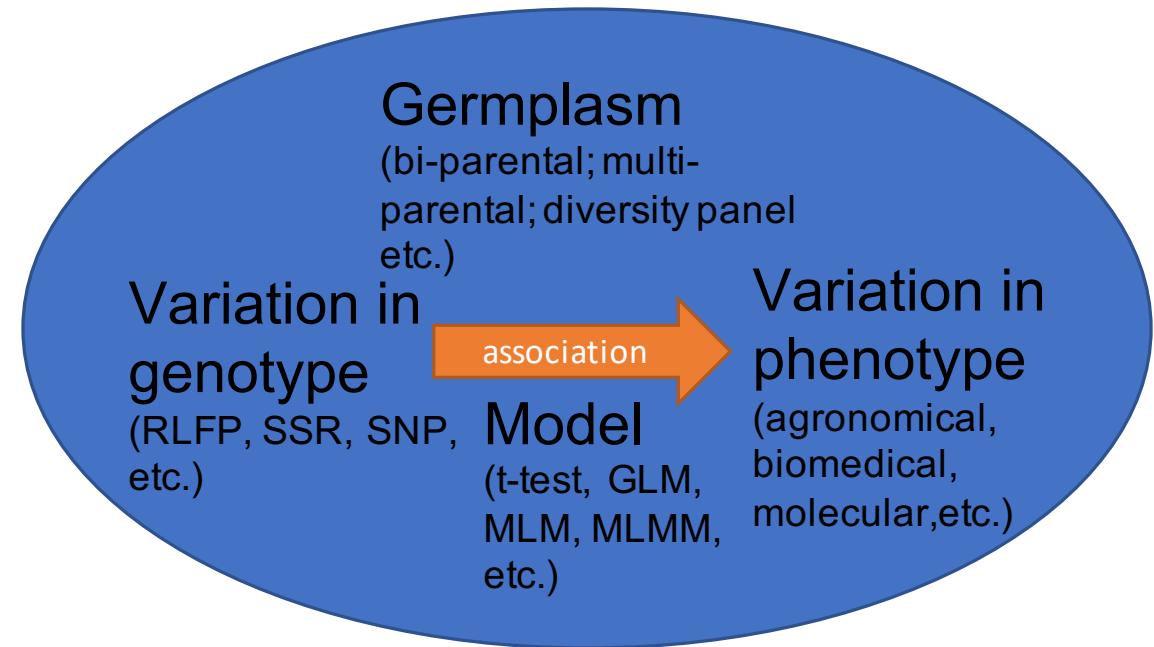
# Expanding the list of phenotypes

- Phenotype: the set of observable characteristics of an individual resulting from the interaction of its genotype with the environment
  - Morphological: physical form and structure
  - Biochemical: e.g. metabolome
  - Molecular: e.g. transcriptome, proteome
- The expression of the phenotype across different environments and developmental stages



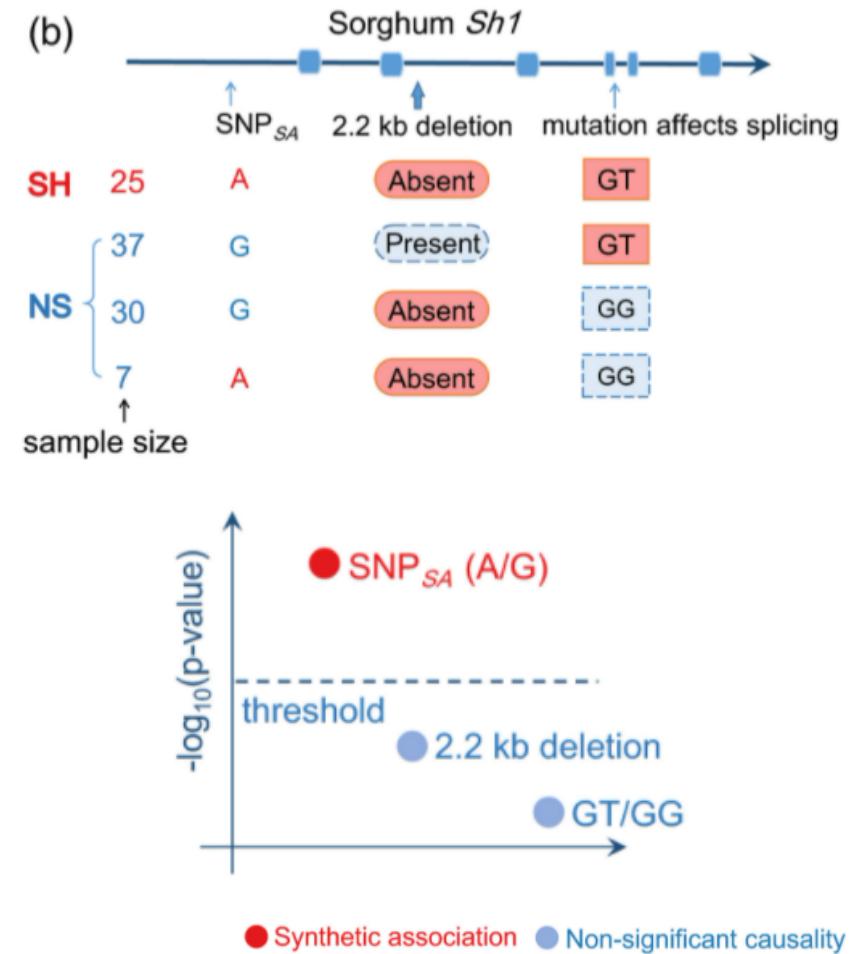
# Summary

- Key factors that drive the development of GWAS
  - Germplasm (population)
  - Genetic markers
  - Statistical models
  - Phenotype



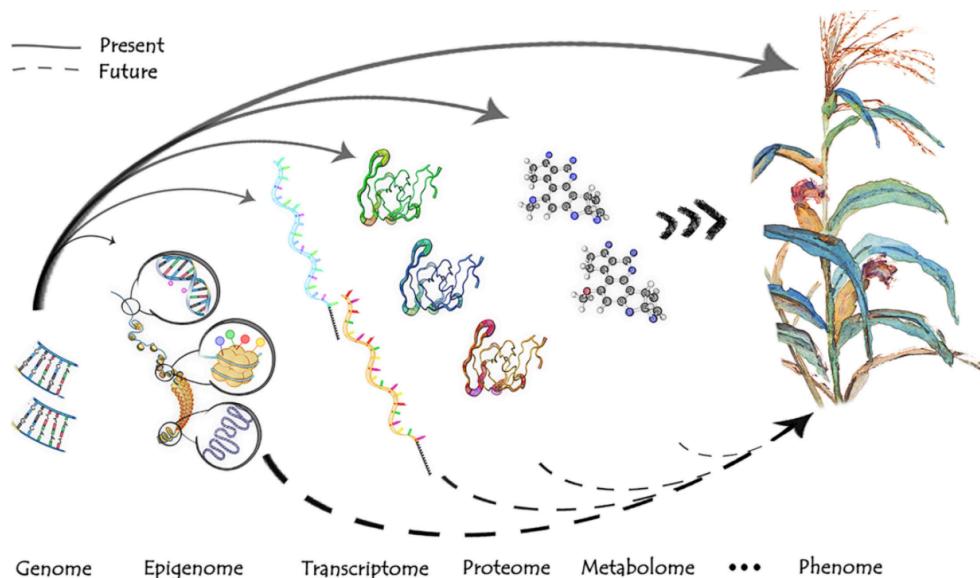
# Some challenges of GWAS

- How to deal with loci with low minor allele frequency (low MAF -> small p-val, more false positives, low confidence on signals with low MAF)
- How to establish an appropriate significance threshold
  - Bonferroni correction: overly stringent
  - False discovery rate (FDR): assumption of test statistics are independent
  - Permutation test
- Synthetic association
  - the causative genes are sometimes located away from the GWAS peaks.

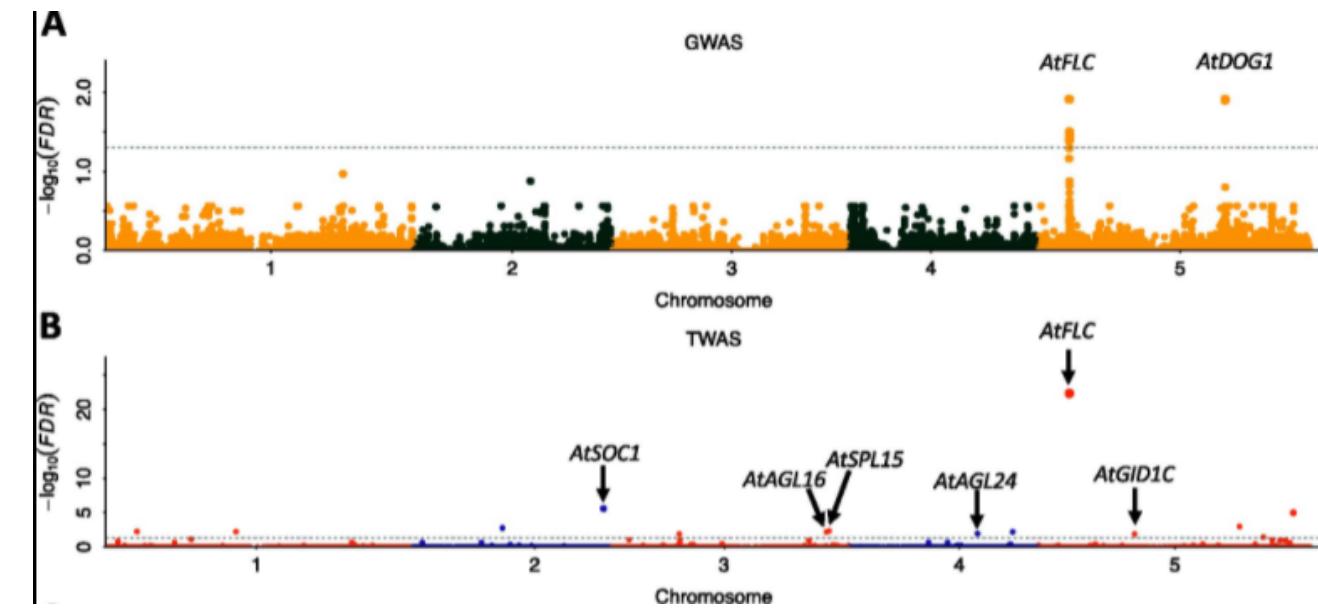
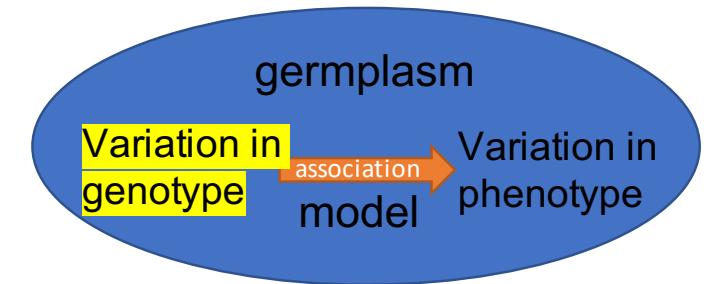


# Future of GWAS

- Using omics data to associate with variation in phenotypes



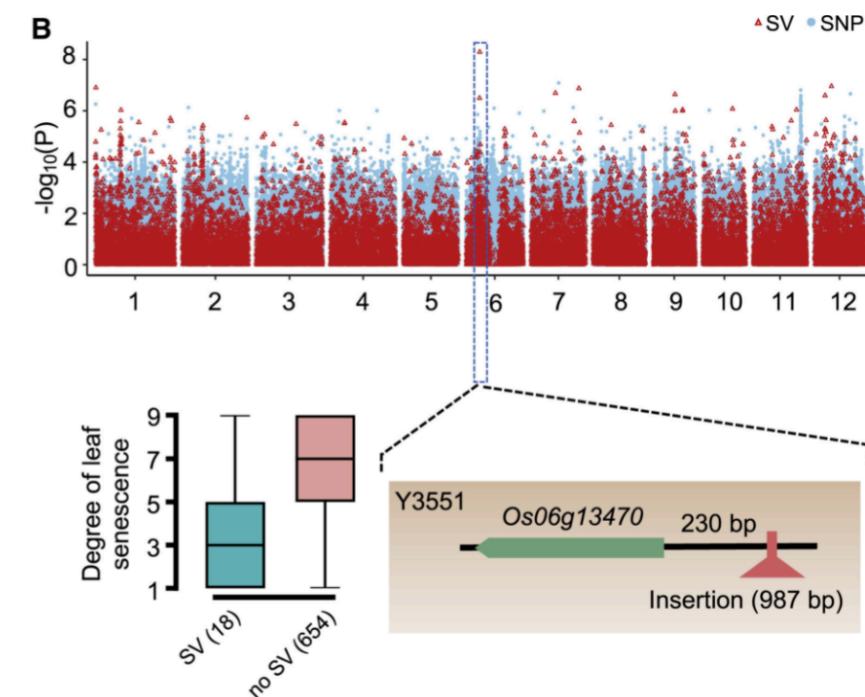
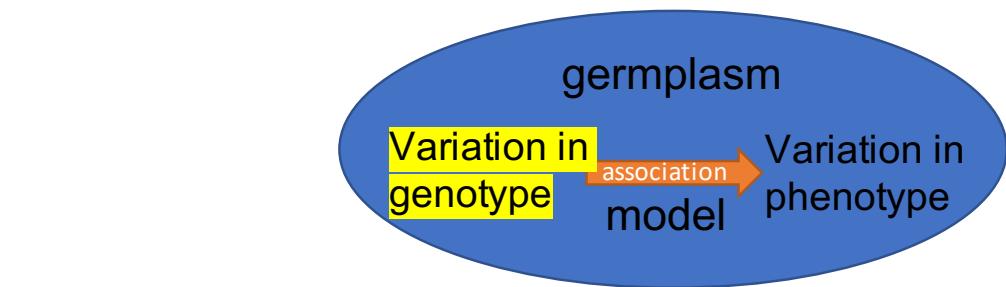
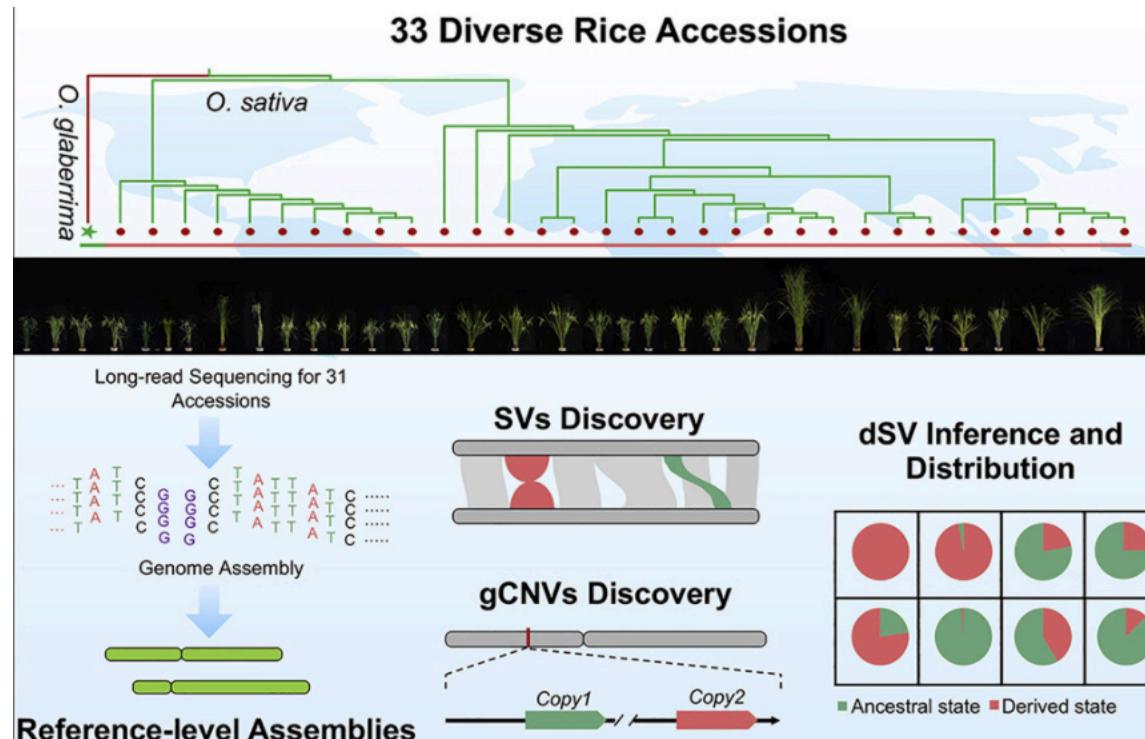
Xiao et al. (2017)



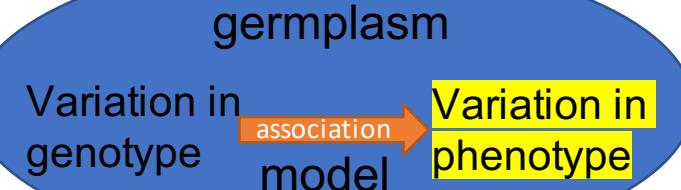
Li et al. (2021)

# Future of GWAS

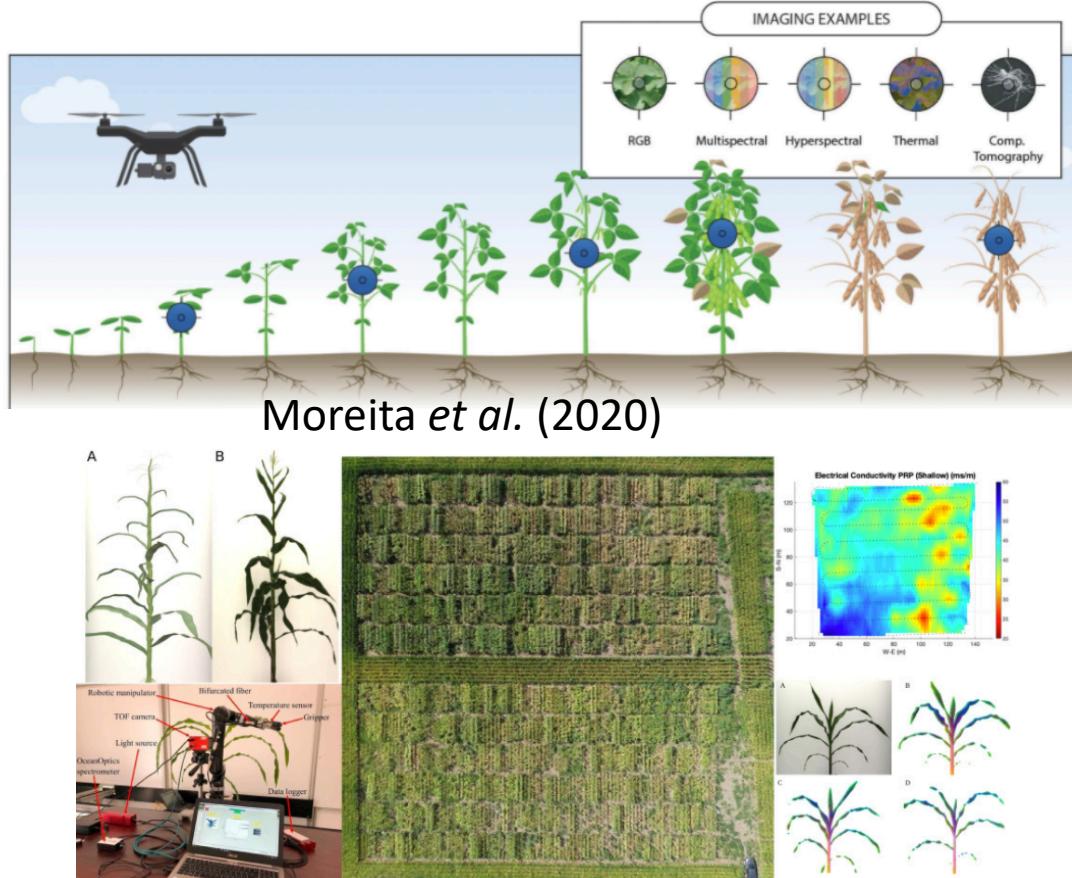
- From single reference genome to pan-genome



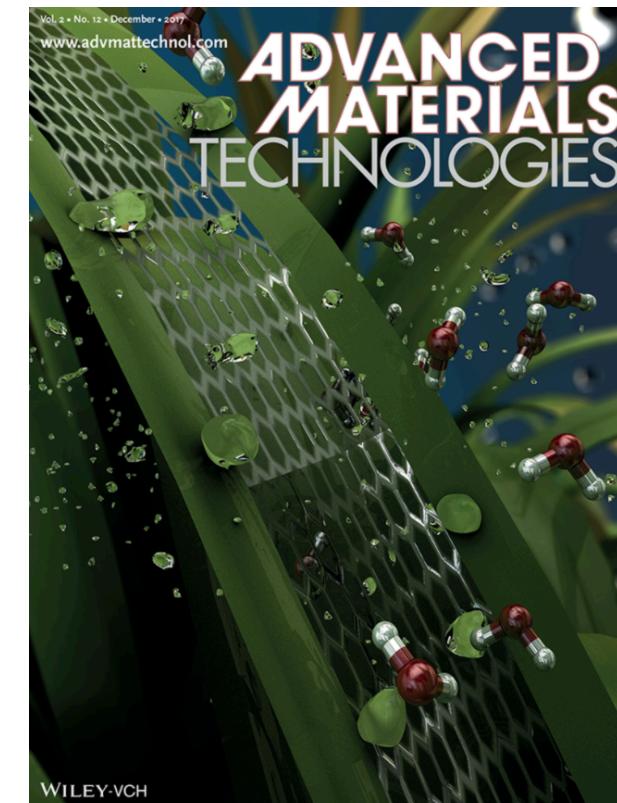
# Future of GWAS



- High-throughput phenotyping/phenomics



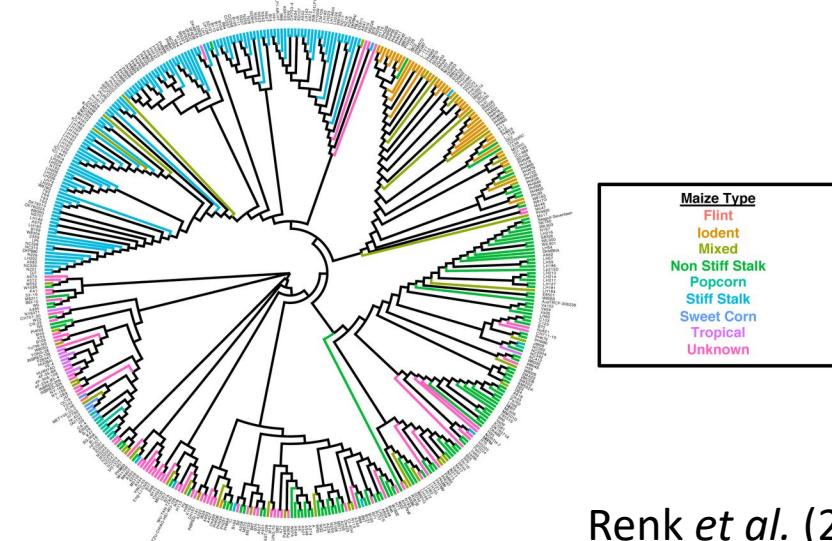
James Schnable Lab at UNL image



Liang Dong Lab at ISU image

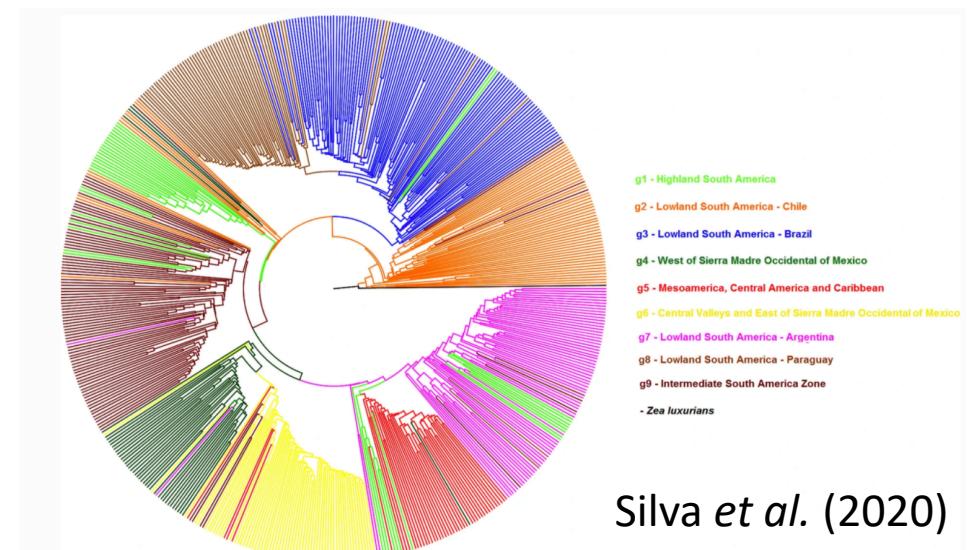
# Species and germplasm

- Carefully consider all genetic aspects and available community resources
  - How was the diversity panel assembled
  - Genetic diversity, genome-wide LD, population structure
  - Genetic marker availability
  - Determine the appropriate sample size and number of markers



Renk *et al.* (2021)

N = 501

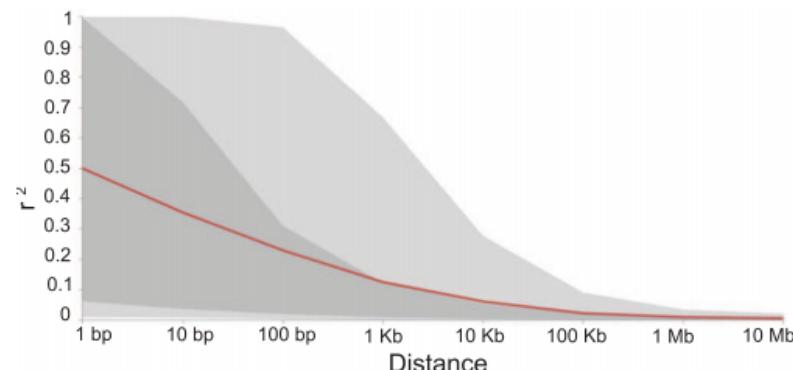
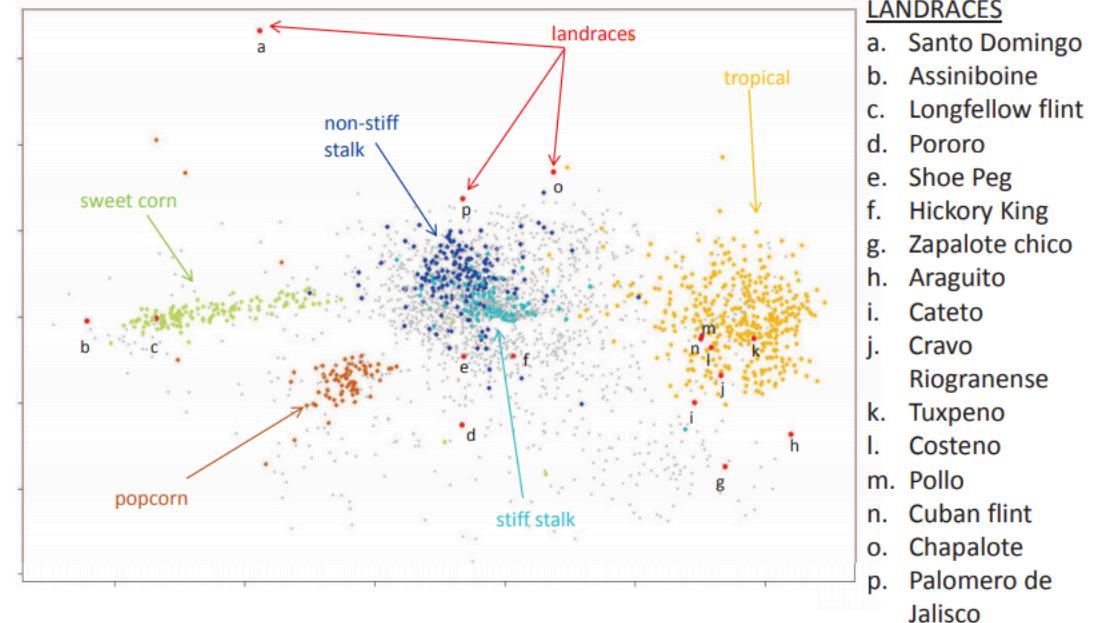


Silva *et al.* (2020)

N = 575

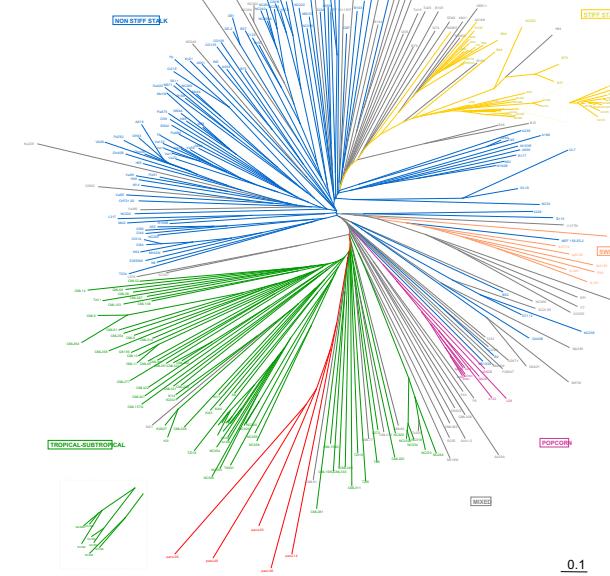
# Species and germplasm

- Ames diversity panel
  - A world-wide collection of maize inbred lines (>3,000) maintained by the USDA North Central Regional Plant Introduction Station, Ames, IA
  - 2,815 inbred lines were genotyped with GBS (681,257) SNPs
  - LD decayed fast
  - Kernel color, flowering time, plant height were phenotyped

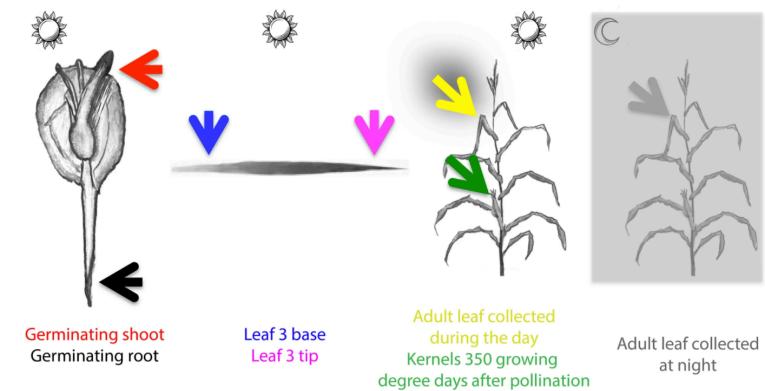


# Species and germplasm

- Maize 282 association panel
  - A collection of 282 inbred lines from around the world, biased towards temperate lines
  - Have been used in numerous community mapping studies (57 traits from multiple environments on Panzea.org)
  - Have been genotyped comprehensively
    - WGS (> 83M variant sites)
    - RNA-Seq data from seven tissues



Flint-Garcia *et al.* (2005)



Kremling *et al.* (2018)

# Genetic markers

- SNP discovery enabled by Next Generation Sequencing (NGS)
  - SNP array, GBS, RNA-Seq, WGS etc.
  - Bioinformatic software and pipelines for SNP processing
  - Budget, genome coverage etc.

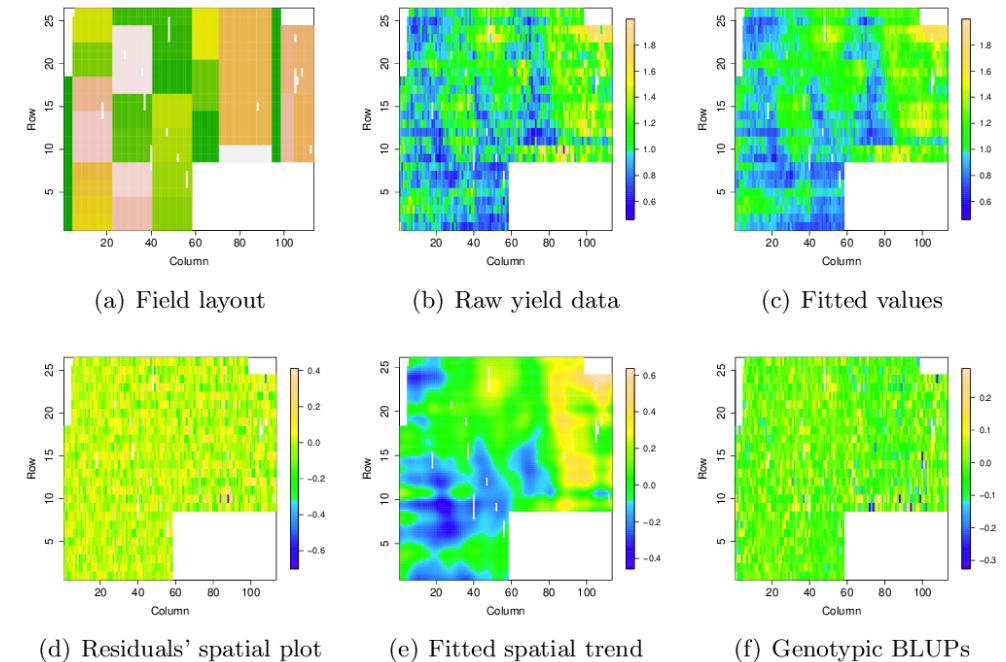
AG2PI workshop#3 by Dr. Jacob Landis

Phylogenomics approach	Genomic resources required	Initial bioinformatic investment	Ultimate bioinformatic investment	Initial laboratory cost	Ultimate cost per sample
<i>Genome skimming</i>	Yes	None	Medium	Low	Medium
<i>RAD-Seq</i>	No, but helpful	Medium	High	High	Low
<i>RNA-Seq</i>	No, but helpful	Low	High	Low	High
<i>Hyb-Seq</i>	Varies <sup>b</sup>	High <sup>b</sup>	Medium	Low <sup>b</sup>	Medium

Modified from Dodsworth et al., 2019

# Field design and phenotyping

- Randomization and block design (influence of flowering time and correlated traits)
- Biological and technical replications
- Include checks
- Collect environmental data for spatial correction



Rodriguez-Alvarez *et al* (2016)

# Field design and phenotyping

- Document how the phenotype was collected clearly
  - The developmental stage of the plant, date of data collection etc.
  - Take photo/video if needed
  - Useful for downstream analysis and reuse of data by other researchers

## Plant Height (PLTHT)

### Description/Procedure:

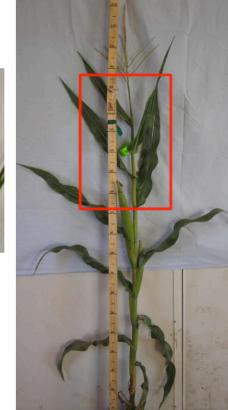
Placing measuring stick on ground next to the root crown, "plant height" is measured at the ligule of the flag leaf.

See Picture 1

**Timing:** At plant maturity  
**n** = 1 representative plant per plot  
**Unit:** centimeter [cm]



Picture 1



## Pollen Date

### Description/Procedure:

Taken as [MM/DD/YY] to 50 percent of a plot exhibiting anther exertion on greater than half of main tassel spike. Day of anthesis recording is shown in Picture 1, whereas the day after is shown Picture 2.

**Timing:** At Flowering  
**n** = 1 date per plot  
**Unit:** [MM/DD/YY]



Picture 1

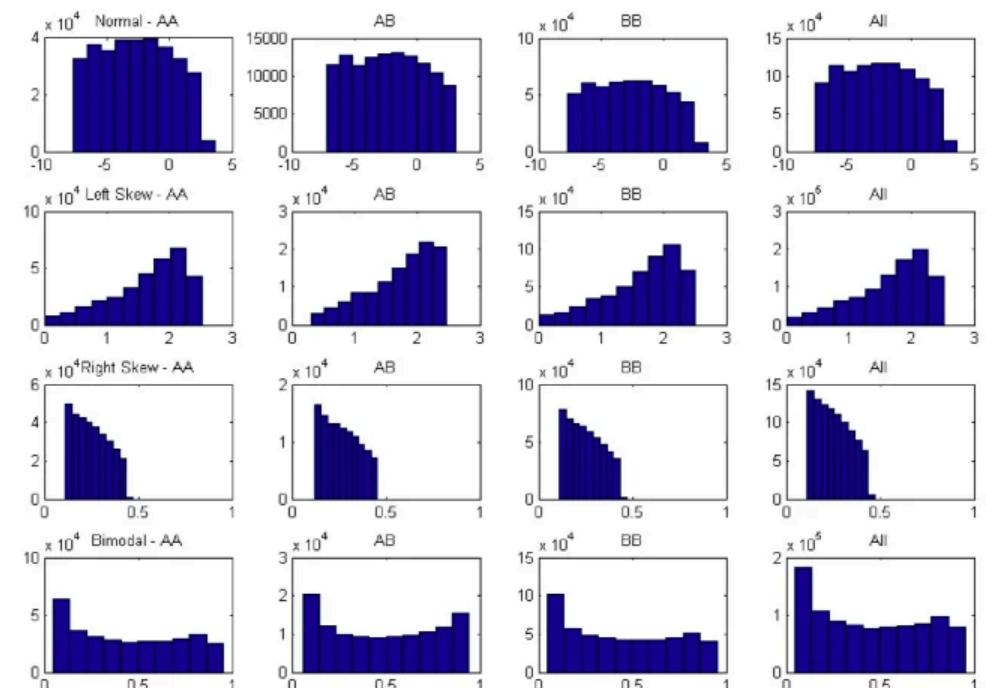


Picture 2

Image Credit: 2004, 2006; Purdue University, RL Nielsen

# Get the phenotype right

- Exploratory analysis
  - Quantitative traits distribution
  - Outlier
- Model the factors in experimental design
  - Replications, treatments, years, locations etc.
  - Best Linear Unbiased Prediction (BLUP)
- Estimate the heritability of traits of interests
- A tutorial on doing this



Goh *et al* (2009)

# Get the marker data right

- SNP data format
  - HapMap
  - VCF/BCF
  - ped/bed
  - Numeric
  - ...
- Software for format conversion
  - TASSEL
  - VCFtools
  - GTOOL
  - Customized scripts
  - ...

## VCF

```
##fileformat=VCFv4.2
##contig=<ID=AC,Number=A,Type=Integer,Description="Allele count in genotypes">
##INFO=<ID=AN,Number=1,Type=Integer,Description="Total number of alleles in called genotypes">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Read Depth">
##FORMAT=<ID=GQ,Number=1,Type=Integer,Description="Genotype Quality">
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE1 SAMPLE2 SAMPLE3 SAMPLE4 SAMPLE5 SAMPLE6 SAMPLE7
2 81170 . C T . . AC=9;AN=7424 GT:DP:GQ 0/0:4:12 0/0:3:9 0/1:1:3 0/1:9:24 1/0:4:12 0/0:5:15 0/0:4:12
2 81171 . G A . . AC=6;AN=7446 GT:DP:GQ 0/1:4:12 0/0:3:9 0/0:1:3 0/0:9:24 0/1:4:12 0/1:5:15 0/0:4:12
2 81182 . A G . . AC=5;AN=7506 GT:DP:GQ 0/0:5:15 0/0:4:12 0/0:5:15 0/0:9:24 0/0:4:12 0/0:4:12 0/0:4:12
2 81204 . T G . . AC=2;AN=7542 GT:DP:GQ 1/0:5:15 0/0:9:27 0/0:10:30 0/0:15:39 0/0:9:27 1/0:13:39 0/1:14:42
```

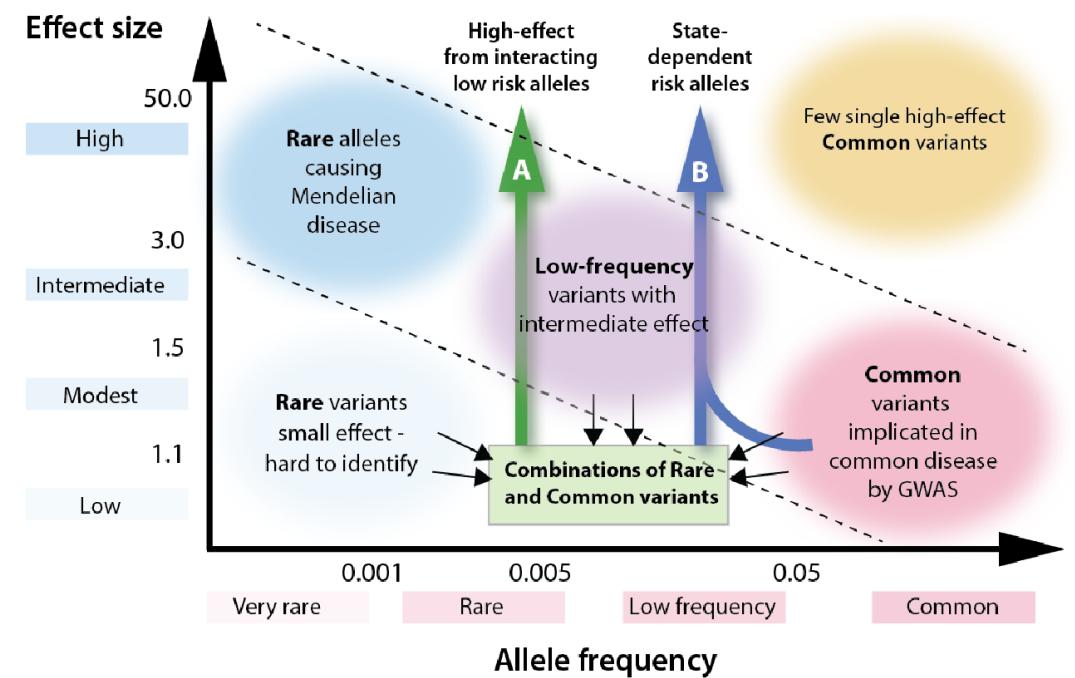
## BCF

```
2 81170 . C T . . AC=9;AN=7424 GT:0/0:0/0:1:0/1:1:0/0:0/0/0 DP:4:3:1:9:4:5:4 GQ:12: 9: 3:24:12:15:12
2 81171 . G A . . AC=6;AN=7446 GT:0/1:0/0:0/0:0/0:0/1:0/1:0/0 DP:4:3:1:9:4:5:4 GQ:12: 9: 3:24:12:15:12
2 81182 . A G . . AC=5;AN=7506 GT:0/0:0/0:0/0:0/0:0/0:0/0:0/0 DP:5:4:5:9:4:4:4 GQ:15:12:15:24:12:12:12
2 81204 . T G . . AC=2;AN=7542 GT:1/0:0/0:0/0:0/0:0/1:0/0/1 DP:5:9:10:15:9:13:14 GQ:15:27:30:39:27:39:42
```

[https://en.wikipedia.org/wiki/Variant\\_Call\\_Format](https://en.wikipedia.org/wiki/Variant_Call_Format)

# Get the marker data right

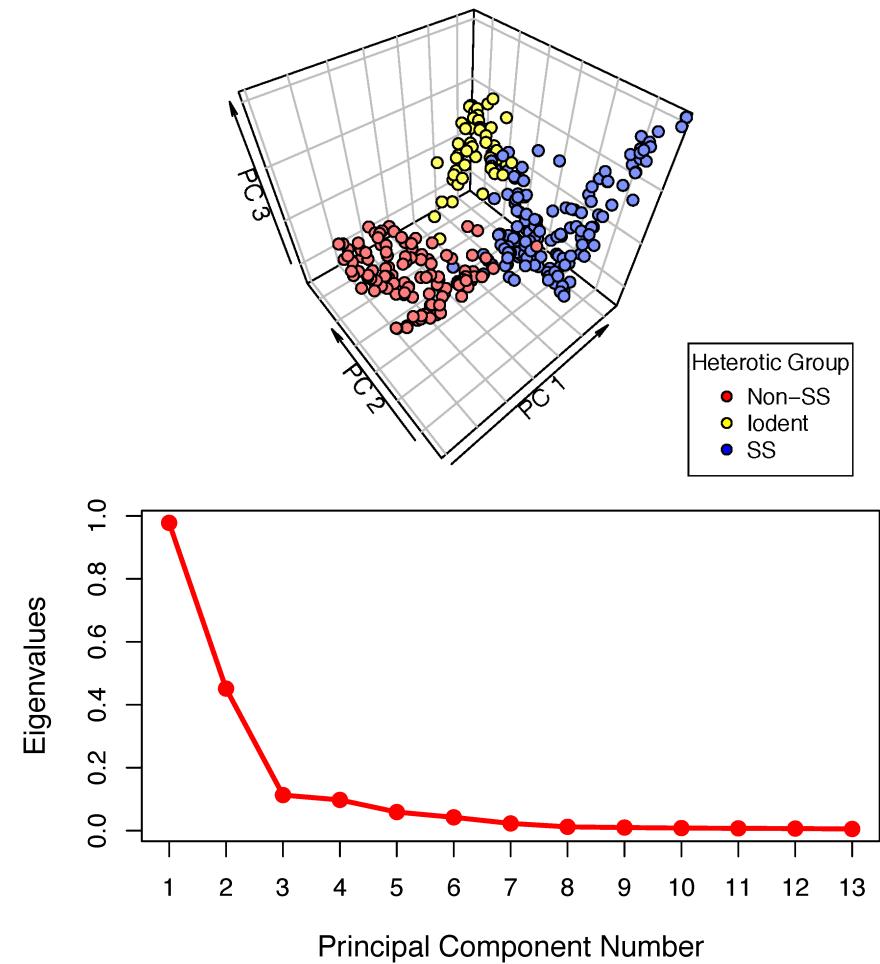
- Filter SNP marker before GWAS
- Minor allele frequency (MAF)
  - the frequency at which the *second most common* allele occurs in a given population
  - MAF < 0.05: rare variants
- Missing rate
- Heterozygosity rate



Whitcomb et al. (2015)

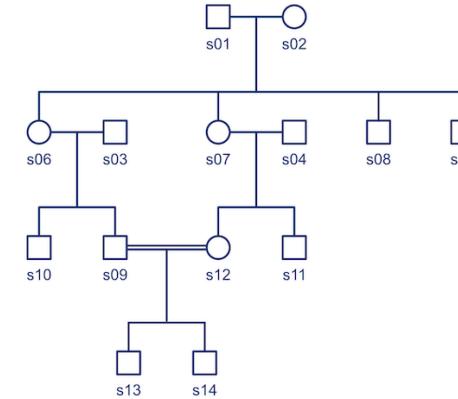
# Address relatedness among individuals

- Control for population structure
- Calculate population structure with the STRUCTURE software (Pritchard Lab, Stanford)
  - Can be applied to microsatellites, RFLPs, AFLPs and SNPs
    - fastSTRUCTURE for large SNP datasets
- Principal Component Analysis (PCA)
  - Include the first few PCs that explain a large portion of variation



# Address relatedness among individuals

- Control for kinship
- Identity by descent (IBD)
- Identity by state (IBS)
  - When two individuals possess the same alleles at a locus
- Algorithm for estimate kinship
  - Loiselle *et al* (1995)
  - VanRaden (2008)
- Explanation



	s01	s02	s03	s04	s05	s06	s07	s08	s09	s10	s11	s12	s13	s14
s01	1.00	0.00	0.00	0.00	0.50	0.50	0.50	0.50	0.25	0.25	0.25	0.25	0.25	0.25
s02	0.00	1.00	0.00	0.00	0.50	0.50	0.50	0.50	0.25	0.25	0.25	0.25	0.25	0.25
s03	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00	0.50	0.50	0.00	0.00	0.25	0.25
s04	0.00	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00	0.00	0.50	0.50	0.25	0.25
s05	0.50	0.50	0.00	0.00	1.00	0.50	0.50	0.50	0.25	0.25	0.25	0.25	0.25	0.25
s06	0.50	0.50	0.00	0.00	0.50	1.00	0.50	0.50	0.50	0.25	0.25	0.38	0.38	0.38
s07	0.50	0.50	0.00	0.00	0.50	0.50	1.00	0.50	0.25	0.25	0.50	0.50	0.38	0.38
s08	0.50	0.50	0.00	0.00	0.50	0.50	0.50	1.00	0.25	0.25	0.25	0.25	0.25	0.25
s09	0.25	0.25	0.50	0.00	0.25	0.50	0.25	0.25	1.00	0.50	0.12	0.12	0.56	0.56
s10	0.25	0.25	0.50	0.00	0.25	0.50	0.25	0.25	0.50	1.00	0.12	0.12	0.31	0.31
s11	0.25	0.25	0.00	0.50	0.25	0.50	0.25	0.12	0.12	1.00	0.50	0.31	0.31	0.31
s12	0.25	0.25	0.00	0.50	0.25	0.50	0.25	0.12	0.12	0.50	1.00	0.56	0.56	0.56
s13	0.25	0.25	0.25	0.25	0.38	0.38	0.25	0.56	0.31	0.31	0.56	1.06	0.56	0.56
s14	0.25	0.25	0.25	0.25	0.38	0.38	0.25	0.56	0.31	0.31	0.56	1.06	0.56	1.06

<https://brainder.org/2015/07/29/understanding-the-kinship-matrix/>

Individual 1	A/C	G/T	A/G	A/A	G/G
Individual 2	C/C	T/T	A/G	C/C	G/G
IBS	1	1	2	0	2
Individual 3	A/C	G/G	A/A	A/A	G/G
Individual 4	C/C	T/T	G/G	C/C	A/G
IBS	1	0	0	0	1

Benjamin Neale lecture notes on population stratafication

# GWAS models and software

129 Free Genome-wide Association Study (GWAS) Tools - Software and Resources

<https://bioinformaticshome.com/tools/gwas/gwas.html>

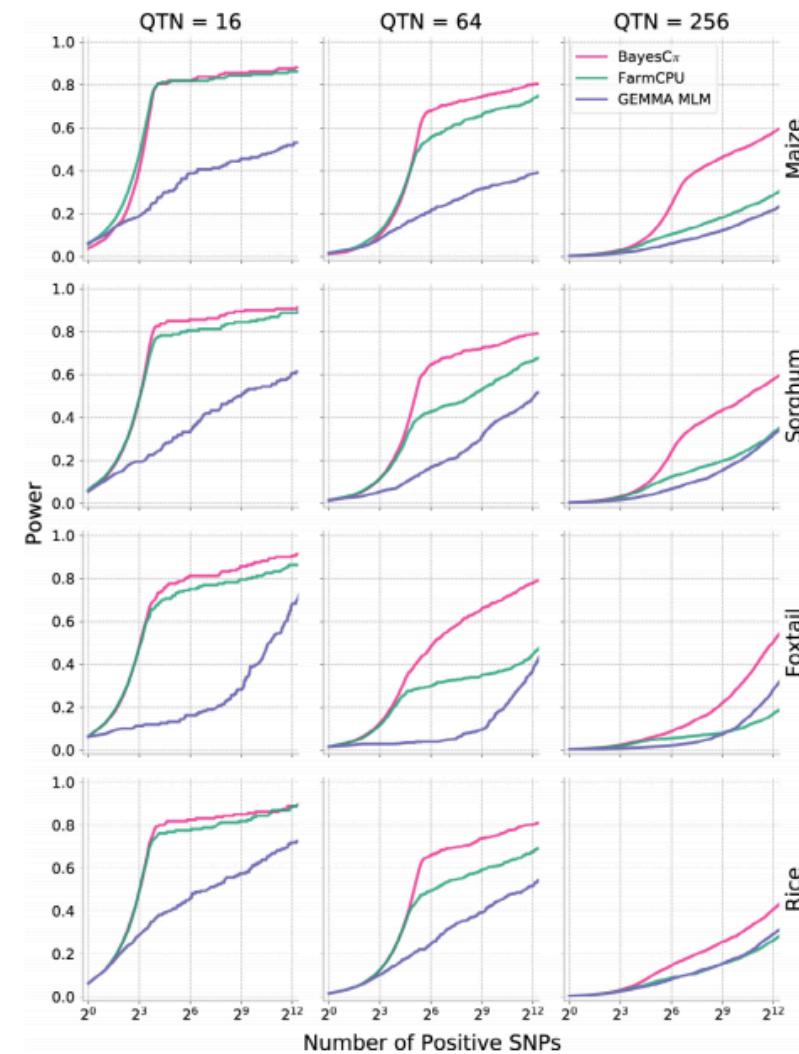
- Graphic User Interface (GUI)
  - Local
    - TASSEL (Trait Analysis by aSSociation, Evolution and Linkage)
  - Online
    - Cyverse DE, *easyGWAS*, GWAPP etc.
- Command line
  - TASSEL, PLINK, GEMMA etc.
  - GAPIT, MVP etc.

Year	Method	Positive semidefinite matrix requirement <sup>a</sup>	Strategy for increasing computational speed	Matrix optimization <sup>b</sup>	Low-rank matrix	Computational speed	Statistical power
2006	Standard MLM					Low	High
2007	GRAMMAR	+				Very fast	Intermediate
2008	EMMA	+		+		Intermediate	High
2010	EMMAX	+	+	+		Fast	High/Intermediate
2010	P3D and CMLM		+		+	Fast	High/Intermediate
2011	FaST-LMM	+		+		Fast	High
2012	GEMMA	+		+		Fast	High
2012	FaST-LMM-Select	+		+	+	Very fast	High
2014	ECMLM		+		+	Intermediate	High/Intermediate
2014	SUPER	+		+	+	Fast	High

Xiao *et al.* (2017)

# GWAS models and software

- Choice of GWAS model
  - Species and population
  - Statistical power
  - Computational resources
  - Prior knowledge of the traits of interests (genetic architecture, heritability etc.)



# Interpret GWAS results

Typical outputs from GWAS

Association table

SNP	Chromosome	Position	P.value	maf	nobs	Rsquare.of.Model.without.SNP	Rsquare.of.Model.with.SNP	FDR_Adjusted_P-values
Fea2.4	4	132736424	3.13E-07	0.290035587	281	0.079004463	0.170450593	0.000966617
PZB01223.3	3	192865132	3.88E-05	0.346975089	281	0.079004463	0.137165003	0.059980668
PZA03748.1	2	7481079	0.000196769	0.195729537	281	0.079004463	0.126357611	0.193907122
PZA00394.11	2	56930271	0.00025959	0.145907473	281	0.079004463	0.124537393	0.193907122
PZA00219.6	3	219309117	0.000313461	0.379003559	281	0.079004463	0.123302809	0.193907122

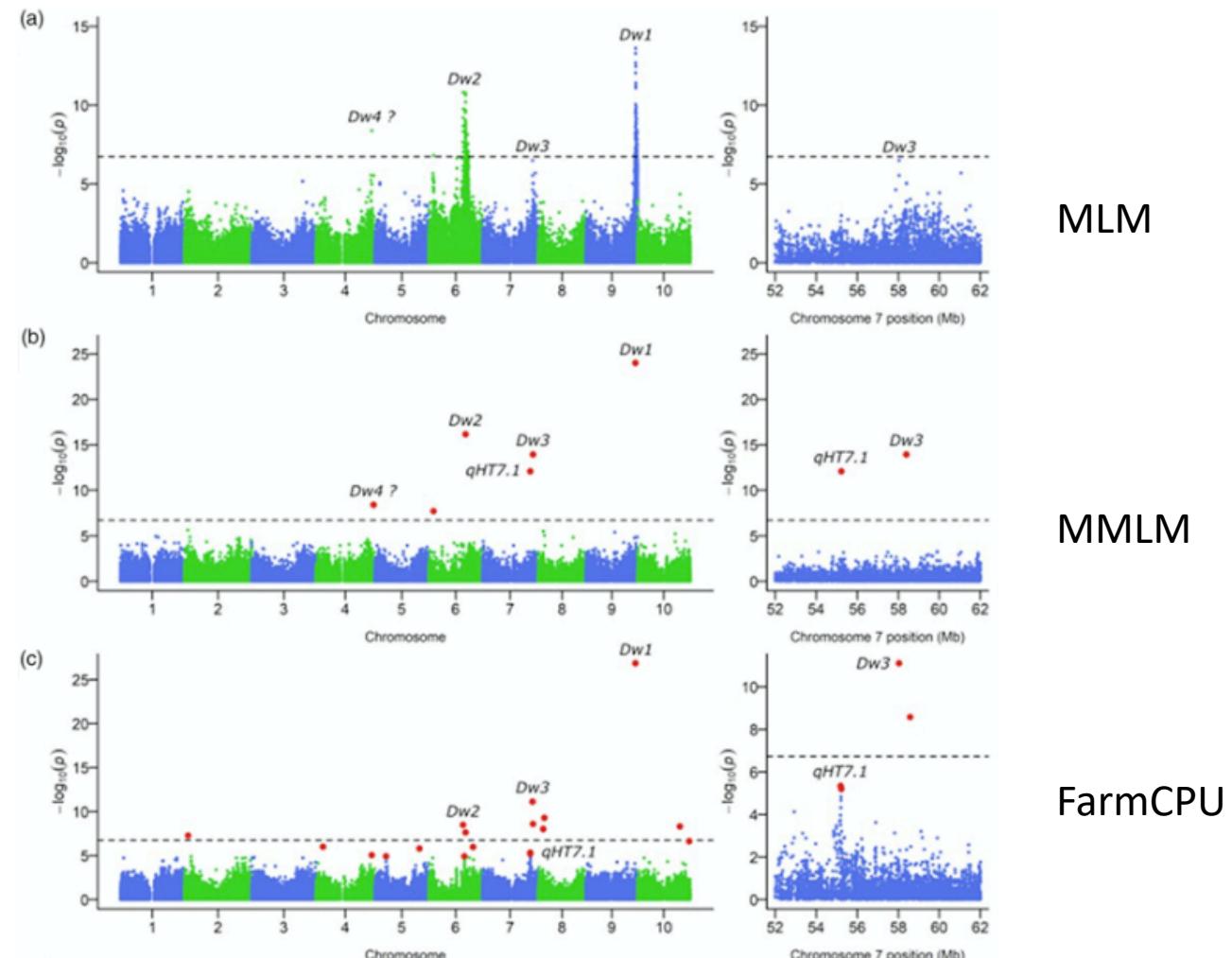
Allele effects table

SNP	Chromosome	Position	DF	t Value	std Error	effect
PZB00859.1	1	157104	276	0.255638527	0.501027645	0.128081969
PZA01271.1	1	1947984	276	-0.205390736	0.451124818	-0.092656858
PZA03613.2	1	2914066	276	-1.143780776	0.4887135	-0.558981106
PZA03613.1	1	2914171	276	1.194922452	0.540019499	0.645281424
PZA03614.2	1	2915078	276	0.277681398	0.489962989	0.136053608

# Interpret GWAS results

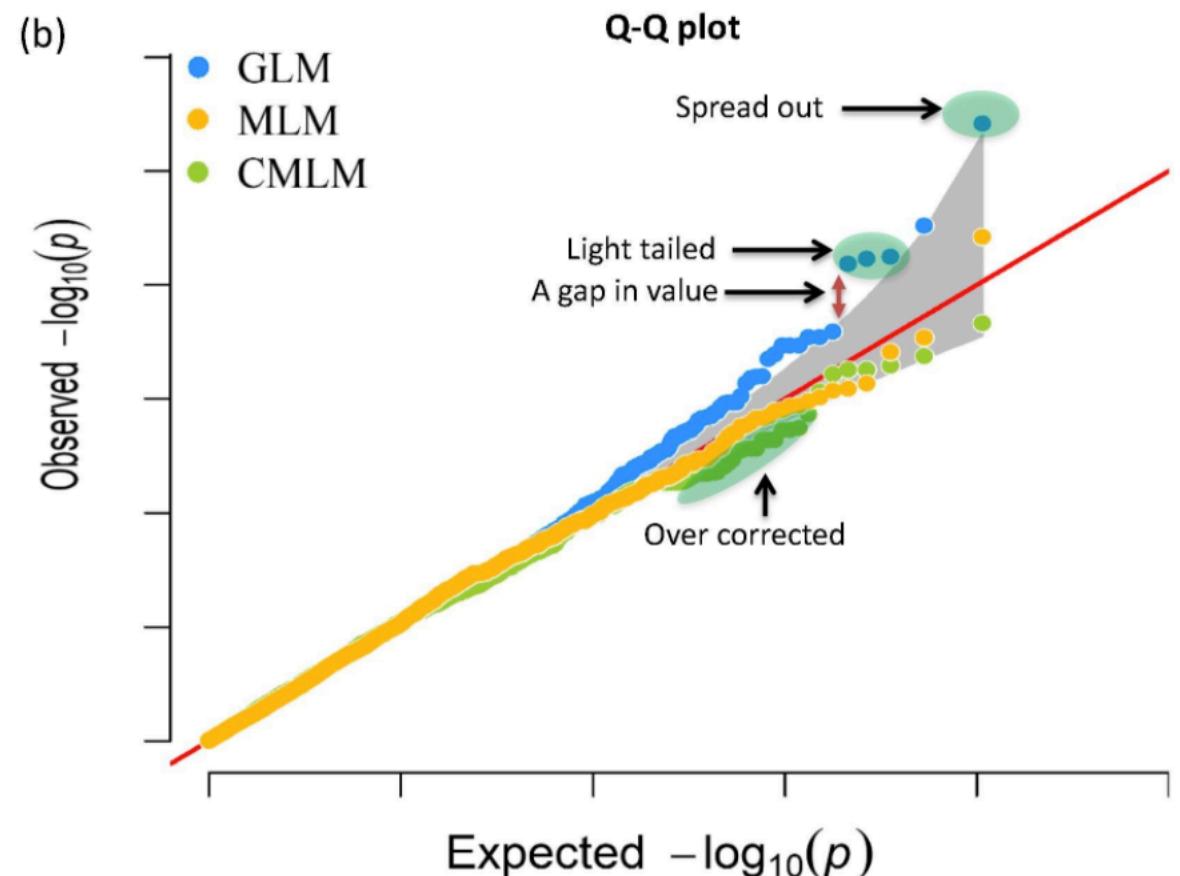
- Manhattan Plot

- A scatter plot used to display large number of data points (SNPs) and their significance in GWAS
- Each data point is a SNP (not gene)
- X-axis: genomic position
- Y-axis: negative logarithm of the association p-value



# Interpret GWAS results

- Q-Q plot (quantile-quantile plot)
  - A scatterplot created by plotting two sets of quantiles against each other
  - An essential tool for detecting problems in a GWAS



Alqudah *et al.* (2020)

# Interpret GWAS results

- How to decide on a cutoff for determining which p-values are significant?
- Multiple testing problem
  - E.g. N hypothesis tests were performed
  - If Type I error were set to a level (e.g. 0.05): the probability of incorrectly rejecting the null hypothesis
  - If the N tests were independent, and N is large (e.g. 1 million SNPs), we expect to incorrectly reject the null hypothesis  $\sim 50,000$  times
  - Multiple testing problem: the more tests performed, the greater the probability of making Type I errors

Type I and Type II Error

Null hypothesis is...	True	False
Rejected	Type I error False positive Probability = $\alpha$	Correct decision True positive Probability = $1 - \alpha$
Not rejected	Correct decision True negative Probability = $1 - \beta$	Type II error False negative Probability = $\beta$

# Interpret GWAS results

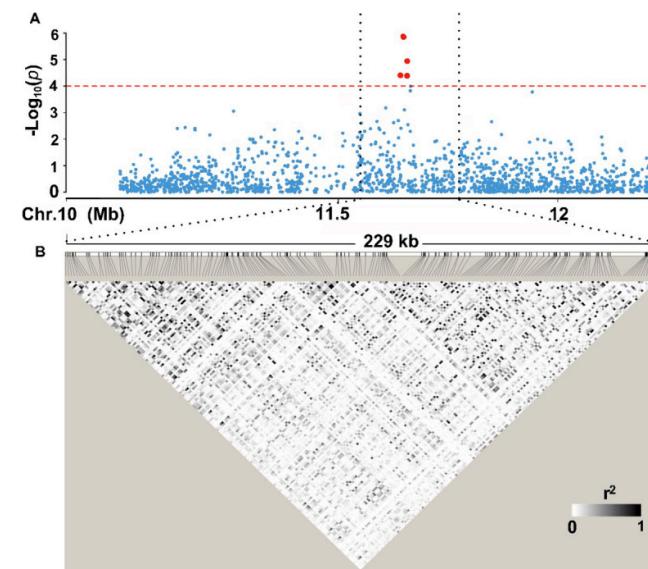
- Control for multiple testing
- Bonferroni correction
  - For a desired type I error  $\alpha$ , set the Bonferroni type I error  $\alpha_B$  to be

$$\alpha_B = \frac{\alpha}{N}$$

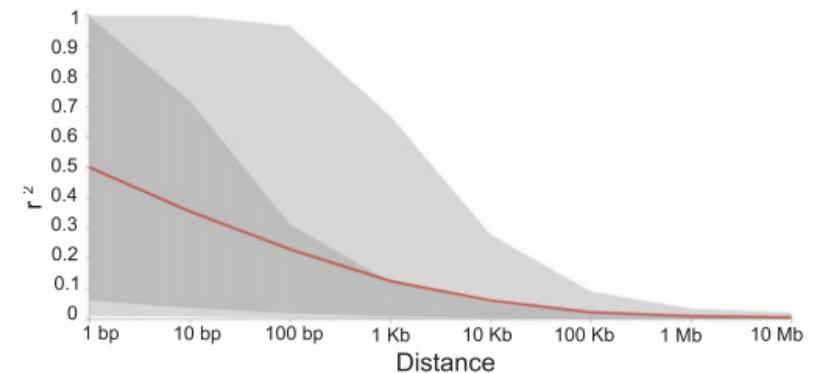
- E.g. if we have  $N = 100,000$  and we want an overall type I error to be 0.05, we require a test to have have p-value less than  $5 \times 10^{-7}$
- False discovery rate (FDR)-based approaches
  - Uses the expected number of false positives to control for type I error
  - FDR = 0.05, 0.1 etc.
  - Benjamini-Hochberg (BH) procedure, Benjamini–Yekutieli (BY) procedure etc.

# Interpret GWAS results

- Search for candidate genes using local LD vs genome-wide LD
- Multiple candidate genes in the genomics region found by GWAS
  - Fine-mapping
  - Expression patterns of genes in related tissues
    - Gene expression datasets
    - Co-expression network



Yang *et al* Rice (2020)



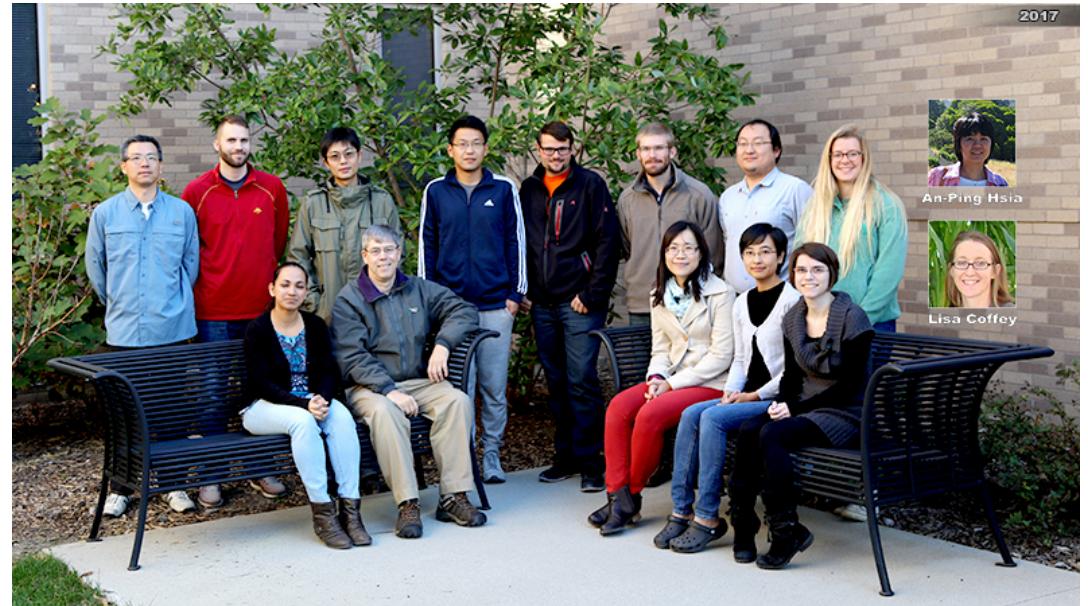
Romay *et al.* (2013)

# Summary

- Brief history and evolution of GWAS
  - Linkage mapping and association studies
  - Four key factors in GWAS: germplasm, genetic markers, statistical models, phenotype
  - Control for population structure and kinship (MLM)
- How to initiate a GWAS experiment
  - Choice of germplasm (genetic diversity, population size etc.), type and number of genetic markers, field experimental design and phenotyping, choice of GWAS model
  - How to interpret the GWAS results

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Schnable lab @ ISU



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