





# Chapter 6 Neural Networks

软件学院 罗昕



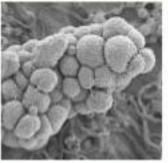




#### **DEEP LEARNING EVERYWHERE**













INTERNET &
CLOUD
Image Classification
Speech Recognition
Language
Translation
Sentiment Analysis
Recommendation

MEDICINE &
BIOLOGY
Cancer Cell
Detection
Diabetic Grading
Drug Discovery

MEDIA &
ENTERTAINMENT
Video Captioning
Video Search
Real Time
Translation

SECURITY &
DEFENSE
Face Detaction
Video Surveilance
Satellite Imagery

AUTONOMOUS &
MACHINES
Pedestrian Detection
Lane Tracking
Recognize Traffic
Sign

#### **Contents**



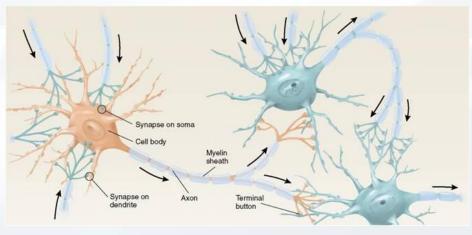
- Introduction
- Single-Layer Perceptron Networks
- Learning Rules for Single-Layer Perceptron Networks
- Multilayer Perceptron
- Back Propagation Learning Algorithm
- Radial-Basis Function Networks
- Self-Organizing Maps

# **Biological Neural Systems**

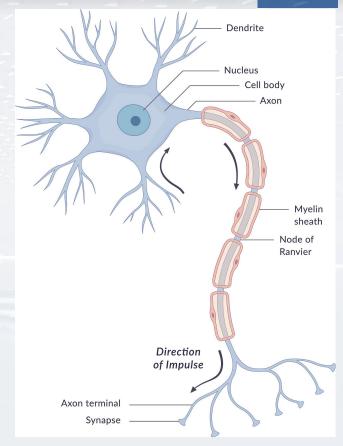








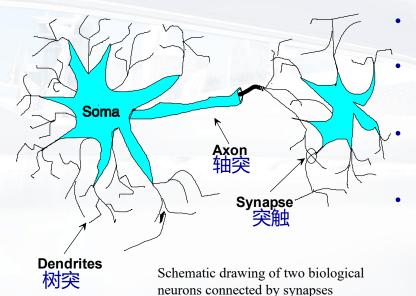




# **Biological Neural Systems**



■ The brain is composed of approximately 100 billion (10<sup>11</sup>) neurons



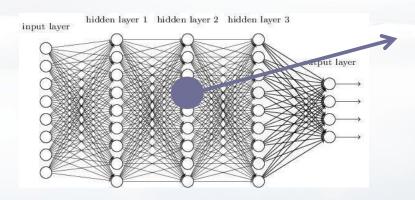
- A typical neuron collects signals from other neurons through a host of fine structures called **dendrites** (树突).
- The neuron sends out spikes of electrical activity through a long, thin strand known as an **axon** (轴突), which splits into thousands of branches.
- At the end of the branch, a structure called a **synapse** (突触) converts the activity from the axon into electrical effects that inhibit or excite activity in the connected neurons.
- When a neuron receives excitatory input that is sufficiently large compared with its inhibitory input, it sends a spike of electrical activity down its axon.

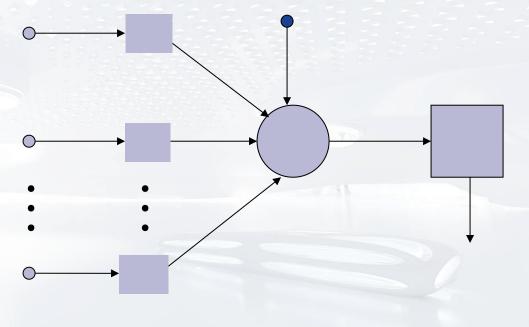
Learning occurs by changing the effectiveness of the synapses so that the influence of one neuron on the other changes

# 人工神经网络









#### Introduction



#### (Artificial) Neural Networks are

- Computational models which mimic the brain's learning processes.
- They have the essential features of neurons and their interconnections as found in the brain.
- Typically, a computer is programmed to simulate these features.

#### Other definitions ...

- A neural network is a massively parallel distributed processor made up of simple processing units, which has a natural propensity for storing experimental knowledge and making it available for use. It resembles the brain in two respects:
  - Knowledge is acquired by the network from its environment through a learning process.
  - □ Interneuron connection strengths, known as synaptic weights, are used to store the acquired knowledge.

#### Introduction



- A neural network is a machine learning approach inspired by the way in which the brain performs a particular learning task:
  - Knowledge about the learning task is given in the form of examples.
  - Inter neuron connection strengths (weights) are used to store the acquired information (the training examples).
  - During the learning process the weights are modified in order to model the particular learning task correctly on the training examples.

#### **Neural Networks**



- A NN is a machine learning approach inspired by the way in which the brain performs a particular learning task
- Various types of neurons
- Various network architectures
- Various learning algorithms
- Various applications

#### Characteristics of NN's



- Characteristics of Neural Networks
  - Large scale and parallel processing
  - Robust
  - Self-adaptive and organizing
  - Good enough to simulate non-linear relations
  - Hardware

# **Applications**



- Combinatorial Optimization
- Pattern Recognition
- Bioinformatics
- Text processing
- Natural language processing
- Data Mining
- ...

# **Types**



- Structure
  - Feed-forward
  - Feed-back
- Learning method
  - Supervised
  - Unsupervised
- Signal type
  - Continuous
  - Discrete



- 1943 McCulloch and Pitts proposed the first computational models of neuron.
- 1949 Hebb proposed the first learning rule.
- 1958 Rosenblatt's work in perceptrons (感知器).
- 1969 Minsky and Papert exposed limitation of the theory.
- 1970s Decade of dormancy for neural networks.
- 1980-90s Neural network return (self-organization, back-propagation algorithms, etc)



- 弗兰克·罗森布拉特 (Frank Rosenblatt,康奈尔大学的心理学家)
- 1958年,在《纽约时报 (New York Times)》上发表文章《Electronic 'Brain' Teaches Itself.》,正式把算法取名为"感知器"
- 它有400个光传感器,它们一起充当视网膜,将信息传递给大约1000个"神经元",这些神经元进行处理并输出单一信息。







MIMA

- 马文·明斯基 "人工智能之父" (Marvin Minsky) 1970图灵奖获得者
- 1969年, Minsky 和Papert所著的《Perceptron》一书出版, 从数学角度证明了关于单层感知器的计算具有根本的局限性, 指出感知器的处理能力有限, 甚至连XOR这样的问题也不能解决
- 神经网络进入了萧条期
- 第一个人工智能冬天

<u>计算机有限的内存和处理速度不足以</u> 解决任何实际的人工智能问题

——《人工智能发展简史》





中共中央网络安全和信息化委员会办公室 中华人民共和国国家互联网信息办公室 http://www.cac.gov.cn/2017-01/23/c 1120366748.htm

MIMA

- 杰弗里·辛顿 "神经网络之父" (Geoffrey Hinton) 2019图灵奖获得者
- 多伦多大学的辛顿实现了一种叫做**反向传播**的原理来让神经网络从他们的错误中学习 1986
- 为人工智能的发展奠定了基础
- "**数据、算法、算力**"人工智能三要素
- 2004年IEEE Frank Rosenblatt Award成立, Frank Rosenblatt被尊称为神经网络的创立者







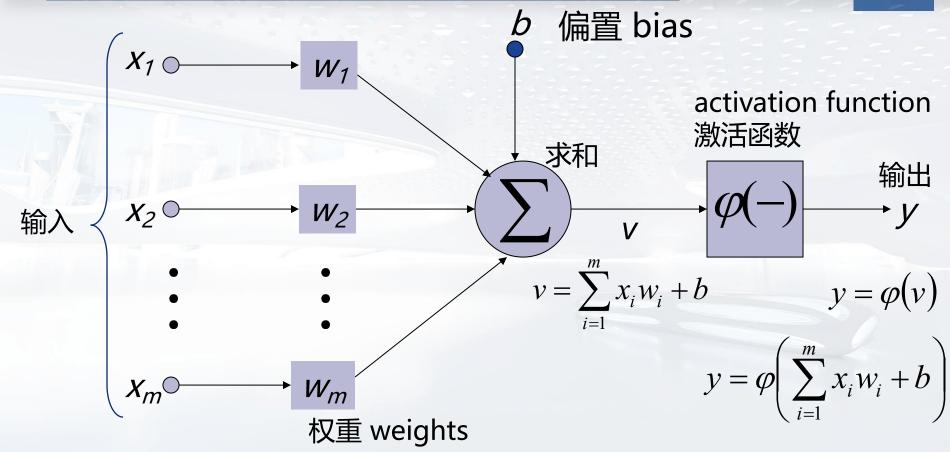
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#### 感知机





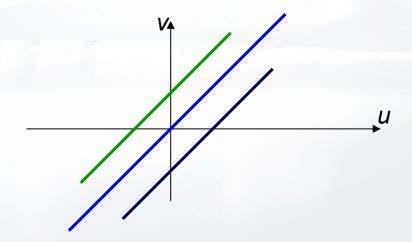
#### 感知机 - Bias of a Neuron



Bias b has the effect of applying an affine transformation to

$$V = U + b$$

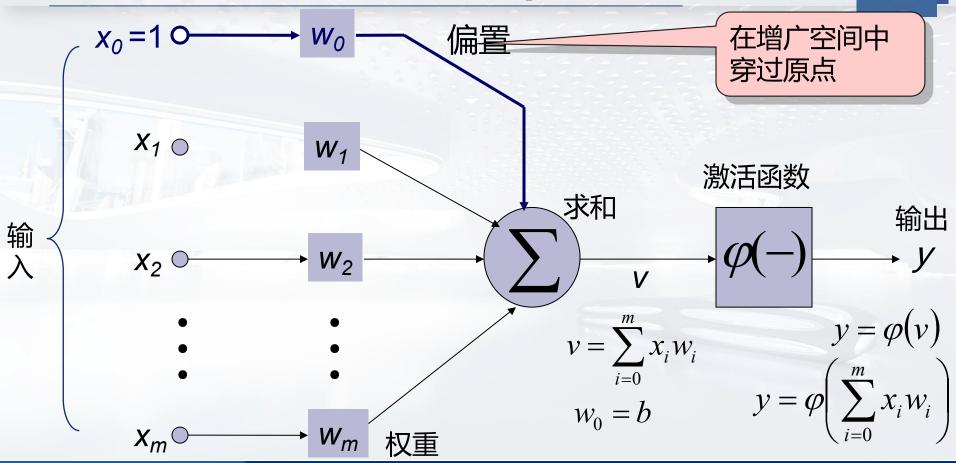
■ v is the induced field of the neuron



$$u = \sum_{j=1}^{m} w_{j} x_{j}$$

# 感知机 - Bias as Extra Input





#### The Neuron



- The neuron is the basic information processing unit of a NN. It consists of:
  - 1 A set of synapses or connecting links, each link characterized by a weight:  $W_1, W_2, ..., W_m$
  - 2 An adder function (linear combiner) which computes the weighted sum of the inputs:  $v = \sum_{i=1}^{m} x_i w_i + b \qquad v = \sum_{i=0}^{m} x_i w_i$
  - 3 Activation function (squashing function) for limiting the amplitude of the output of the neuron.

$$y = \varphi(v)$$
  $y = \varphi\left(\sum_{i=0}^{m} x_i w_i\right)$ 

### 感知机 - 激活函数



■ 1.线性函数 linear function

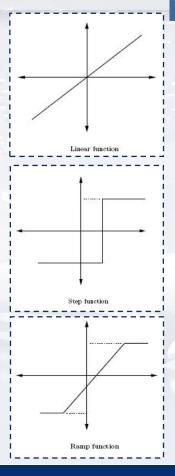
$$f(x) = ax$$

■ 2. 阶梯函数 step function

$$f(x) = \begin{cases} a_1 & \text{if } x \ge \theta \\ a_2 & \text{if } x < \theta \end{cases}$$

■ 3. 斜坡函数 ramp function

$$f(x) = \begin{cases} \alpha & \text{if } x \ge \theta \\ x & \text{if } -\theta < x < \theta \\ -\alpha & \text{if } x \le \theta \end{cases}$$



## 感知机 - 激活函数



■ 4. 逻辑函数 logistic function

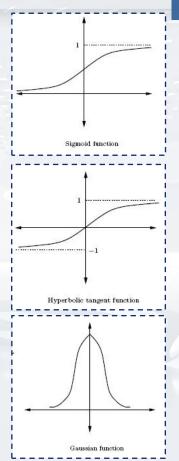
$$f(x) = \frac{1}{1 + e^{-\lambda x}}$$

■ 5. 双曲正切函数 hyperbolic tangent

$$f(x) = \frac{e^{\lambda x} - e^{-\lambda x}}{e^{\lambda x} + e^{-\lambda x}}$$

■ 6. 高斯函数 Gaussian function

$$f(x) = e^{-x^2/\sigma^2}$$



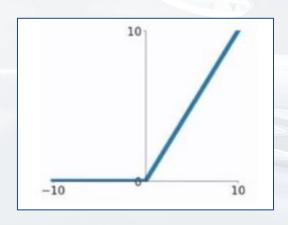
#### **Activation Function**



7. ReLU function

修正线性单元 (Rectified Linear Unit)

$$g(z) = \left\{egin{array}{ll} z, & ext{if } z > 0 \ 0, & ext{if } z < 0 \end{array}
ight.$$



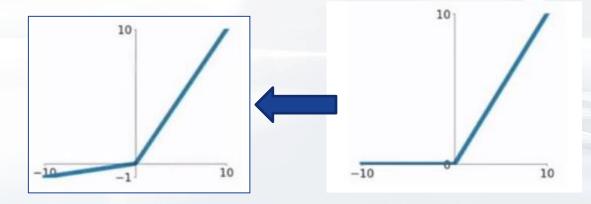
#### **Activation Function**



8. Leaky ReLU function又称为PReLU函数

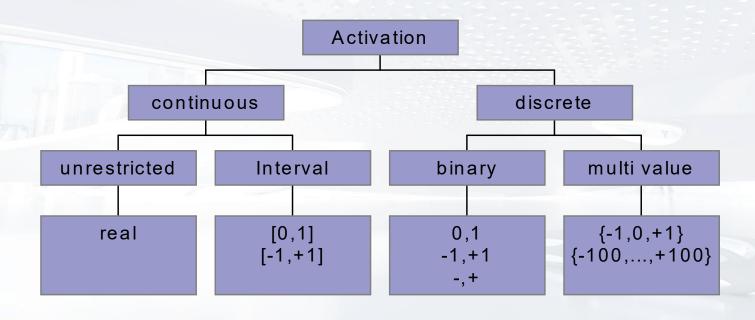
$$g(z) = \begin{cases} z, & \text{if } z > 0 \\ az, & \text{if } z < 0 \end{cases}$$

$$g(z) = egin{cases} z, & ext{if } z > 0 \ 0, & ext{if } z < 0 \end{cases}$$



#### **Activation function**





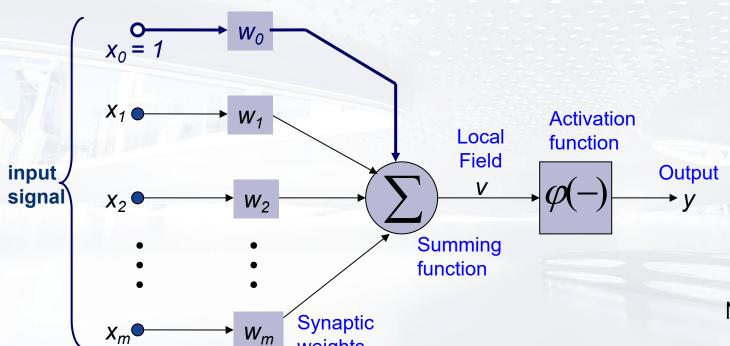
# Perceptron



- In 1943, McCulloch and Pitts proposed the first single neuron model.
- Hebb proposed the theory that the learning process is generated from the change of weights between synapses.
- In 1958, Rosenblatt combined them together, and proposed "Perceptron".
- Perceptron is just a single neural model, and is composed of synaptic weights and threshold.
- It is the simplest and earliest neural network model, used for classification.

### **Perceptron**





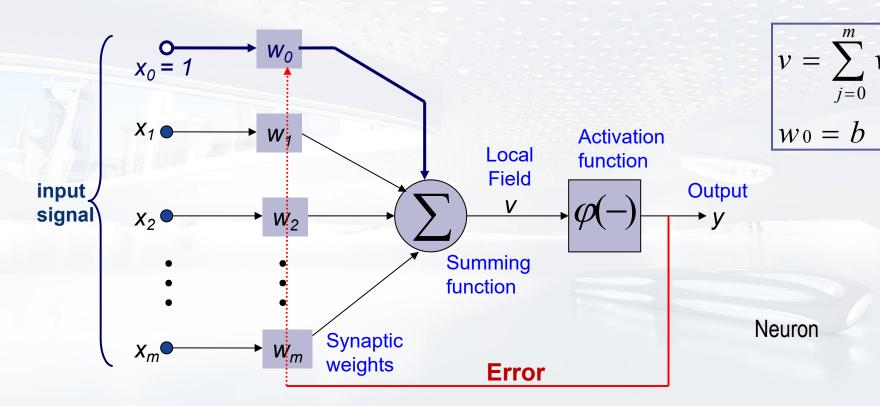
weights

$$v = \sum_{j=0}^{m} w_j x_j$$
$$w_0 = b$$

Neuron

#### **Perceptron**





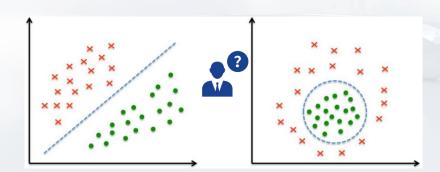
#### 感知机



- 我们有训练集  $T_1 \in C_1$  和  $T_2 \in C_2$  。其中,样本表示为  $\mathbf{x} = (x_0, x_1, x_2, ..., x_m)^T$ ,且  $x_1, x_2, ..., x_m \in R$  , $x_0 = 1$  。
- 假设  $T_1$ 和  $T_2$  是 **线性可分的** linearly separable.
- 能否给出一个感知机将数据正确划分?  $\mathbf{w} = (w_0, w_1, w_2, ..., w_m)^T$

$$d = +1$$

$$= d = -1$$

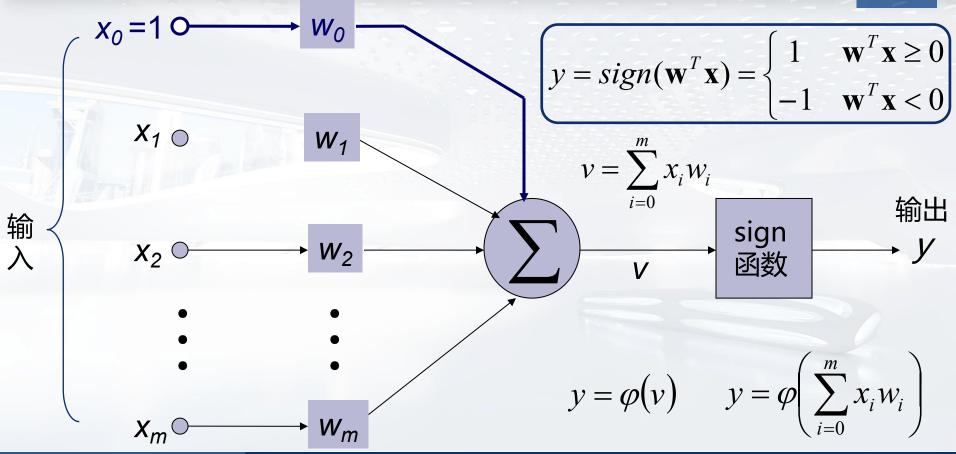


图片来源https://blog.csdn.net/pxhdky/article/details/85248575

#### 感知机

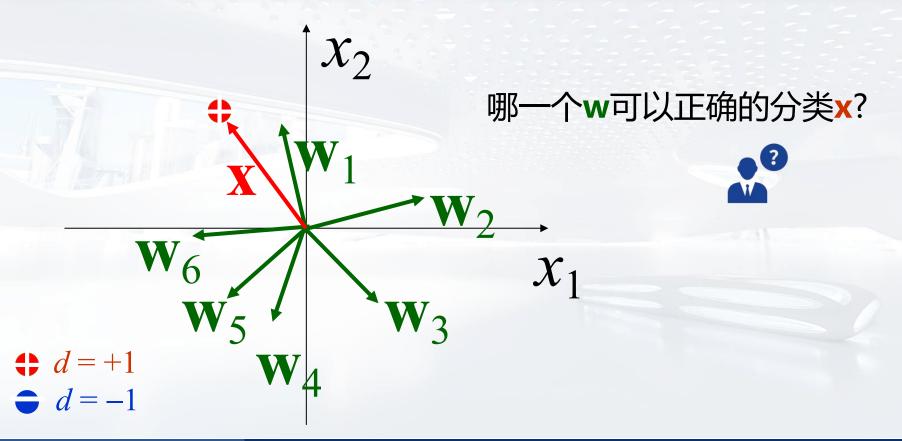




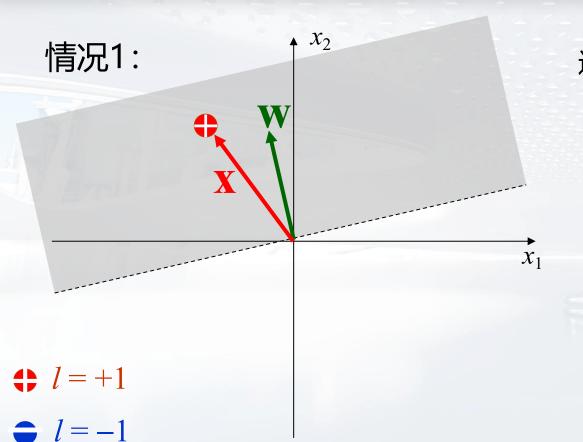


#### 感知机 - 求解









这个w代表的感知机可行吗?

可行

不行

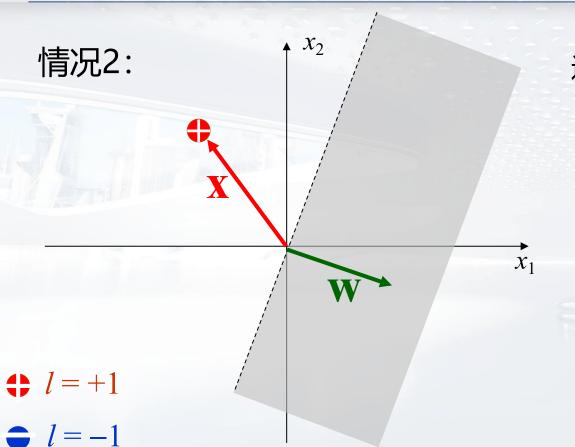
$$\mathbf{w}^T \mathbf{x} > \mathbf{0}$$



$$y = sign(\mathbf{w}^T \mathbf{x}) = 1$$

w 不需要更新 (学习)





这个w代表的感知机可行吗?

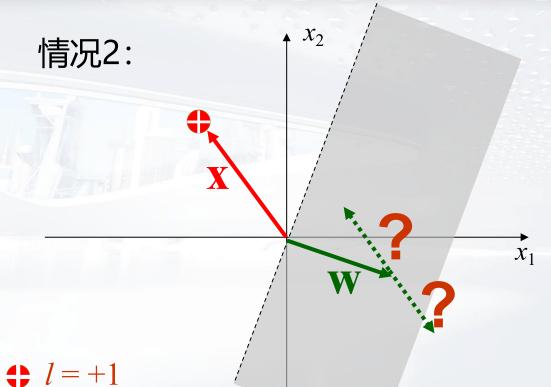


不行

$$\mathbf{w}^T \mathbf{x} < \mathbf{0}$$

$$y = sign(\mathbf{w}^T \mathbf{x}) = -1$$





如何更新w让感知机变得可行?

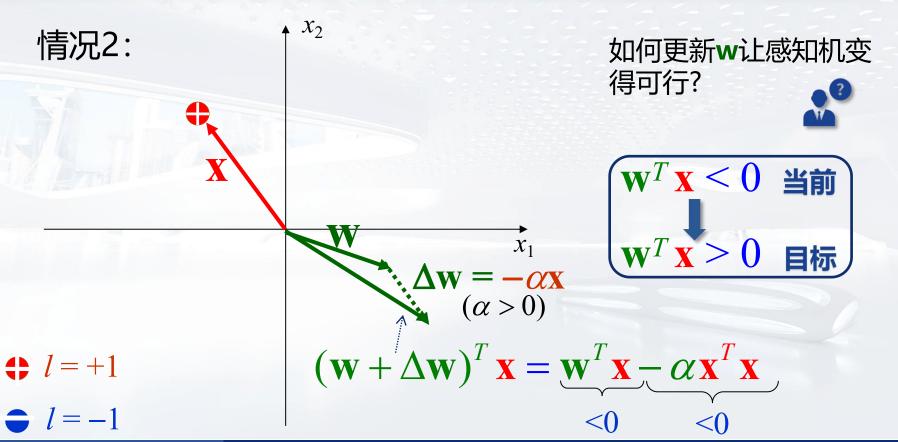
$$\mathbf{W}^T\mathbf{X} < 0$$
 当前

$$\mathbf{w}^T \mathbf{x} > \mathbf{0}$$
 目标

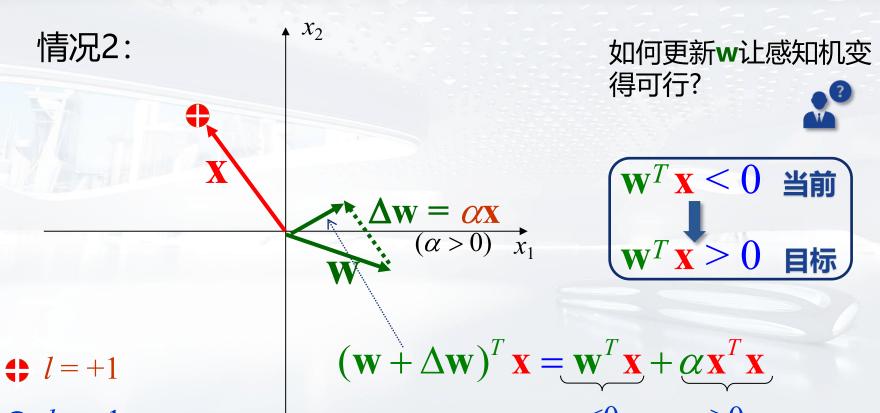
$$\Delta w = ?$$

$$1 = -1$$













■ 如果分类正确的话 (情况1) l = y = 1 W 不变

$$l=+1$$
  $y=-1$   $\Delta \mathbf{w} = \alpha \mathbf{x}$ 

■ 如果分类错误的话 (情况2)

$$l = -1$$
  $y = +1$ 

$$\Delta \mathbf{w} = -\alpha \mathbf{x}$$



#### 真实类别-预测类别

给出一种设计:  $r = l - y = \begin{cases} +2 \\ -2 \end{cases}$  真实类别 - 预测类别 0



1 - (-1)

把正的错分成负的, 假负例

把负的错分成正的, 假正例

No error  $\begin{pmatrix} 1 & -1 \\ (-1) & - & (-1) \end{pmatrix}$ 

预测正确





■ 如果分类正确的话 (情况1) l = y = 1 W 不变

$$l=+1$$
  $y=-1$   $\Delta \mathbf{w} = \alpha \mathbf{x}$ 

■ 如果分类错误的话 (情况2)

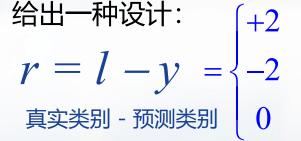
$$l = -1$$
  $y = +1$ 

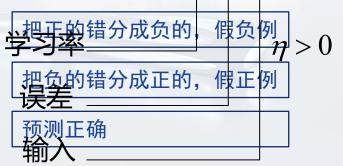
$$\Delta \mathbf{w} = -\alpha \mathbf{x}$$



#### 真实类别-预测类别

 $\Delta \mathbf{w} = \eta r \mathbf{x}$ 





### 感知机 - 求解







# ♪ 多点 情况如何更新w?

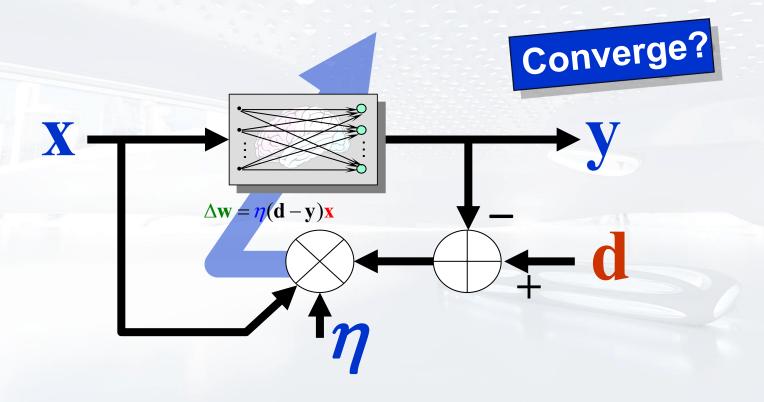
$$\Delta w_i(t) = \eta r_i x_i(t)$$

$$r_{i} = d_{i} - y_{i} = \begin{cases} 0 & d_{i} = y_{i} \\ +2 & d_{i} = 1, y_{i} = -1 \\ -2 & d_{i} = -1, y_{i} = 1 \end{cases}$$

$$\Delta w_i(t) = \eta(d_i - y_i) x_i(t)$$

## **Learning Rule**



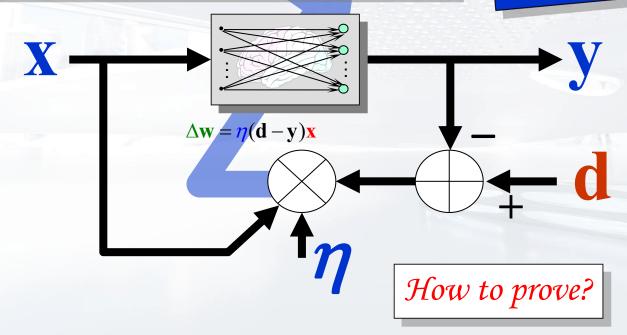


### **Learning Rule**

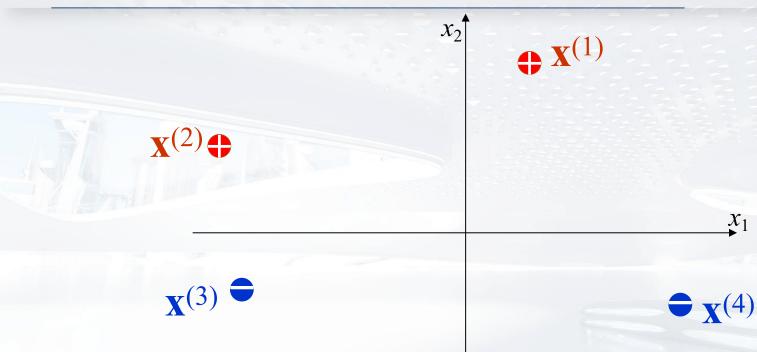


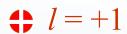
If the given training set is linearly separable, the learning process will converge in a finite number of steps.





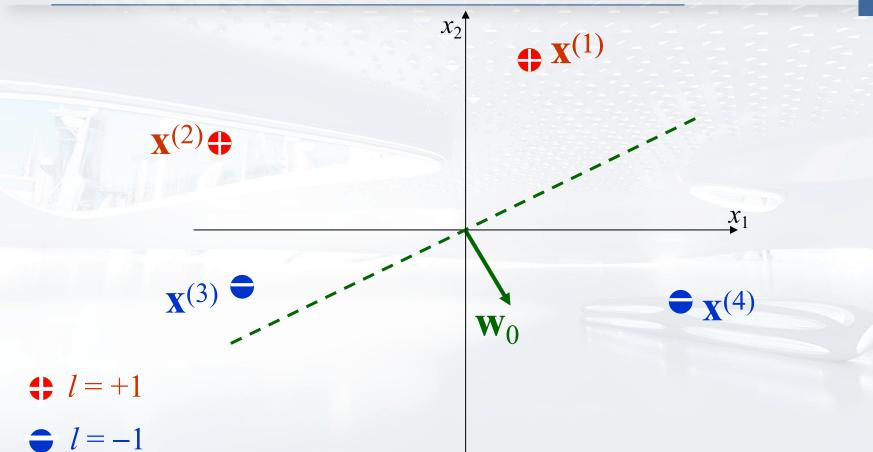




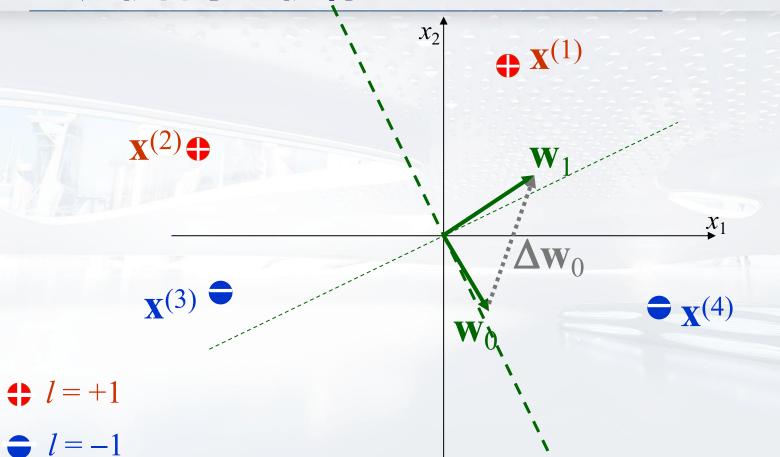


l = -1

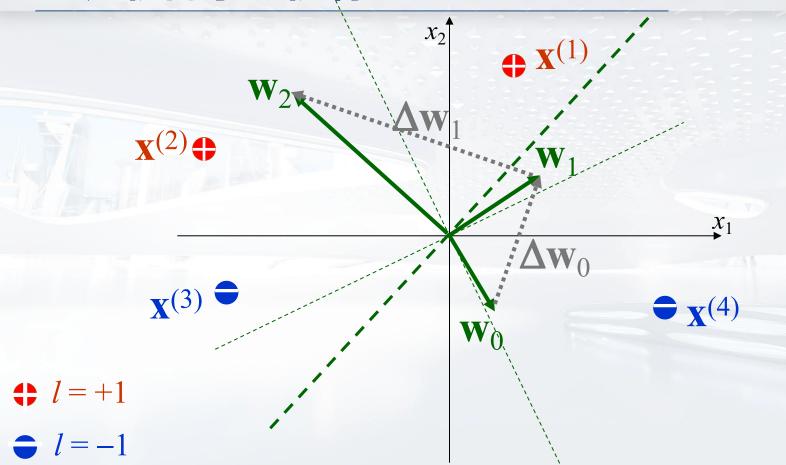




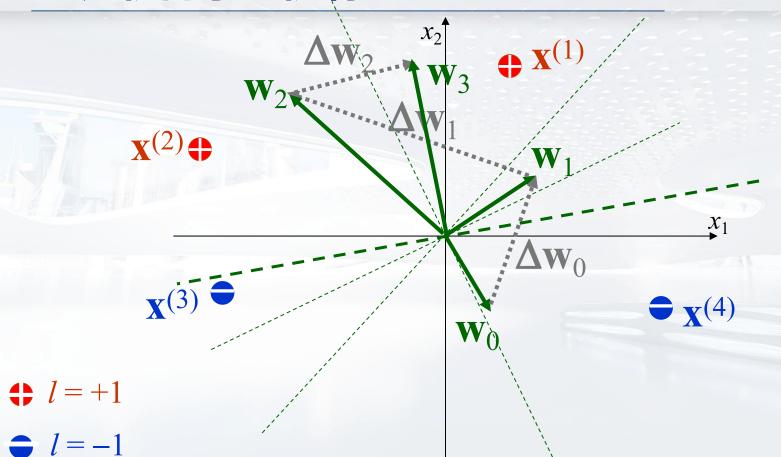




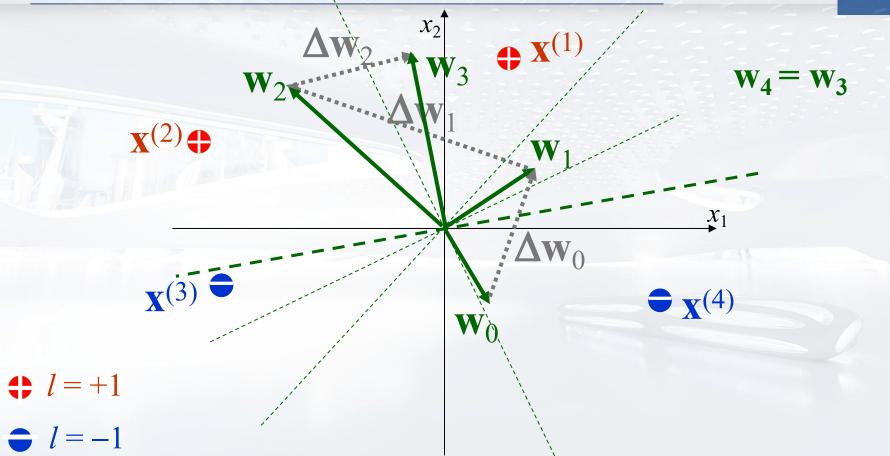






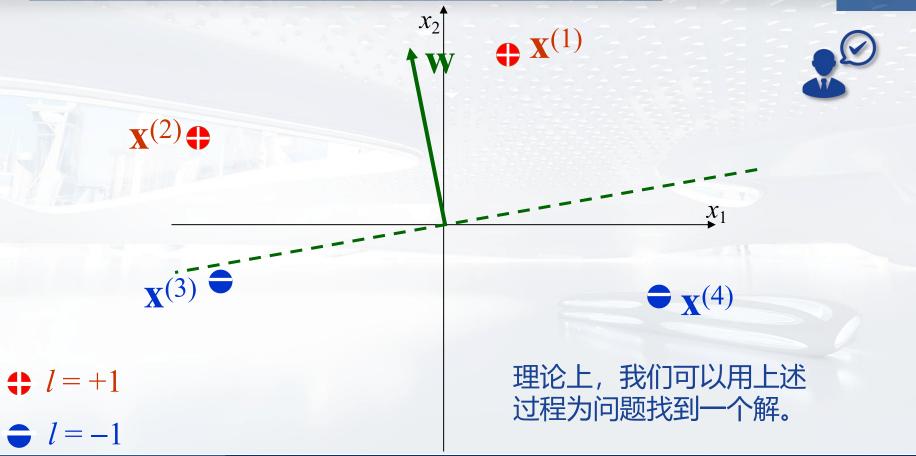






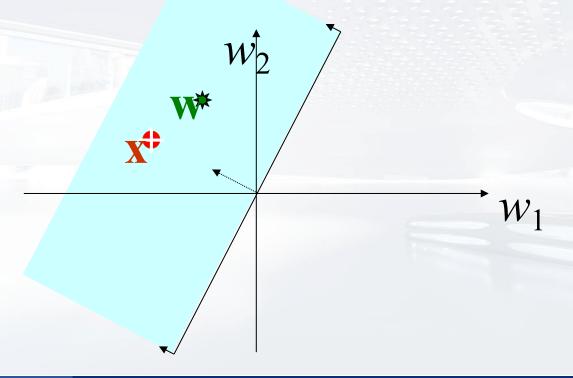






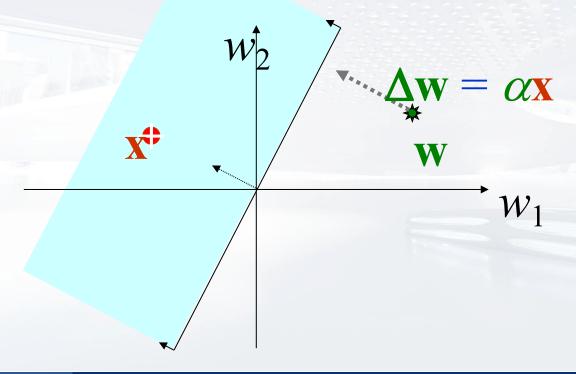


A weight in the shaded area will give correct classification for the positive example.



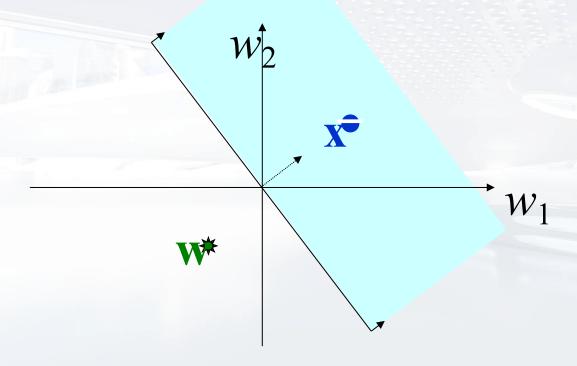


A weight in the shaded area will give correct classification for the positive example.



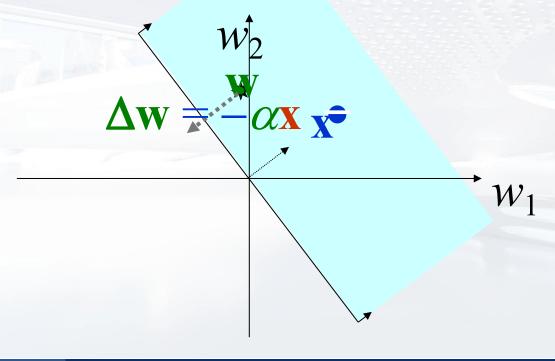


A weight not in the shaded area will give correct classification for the negative example.

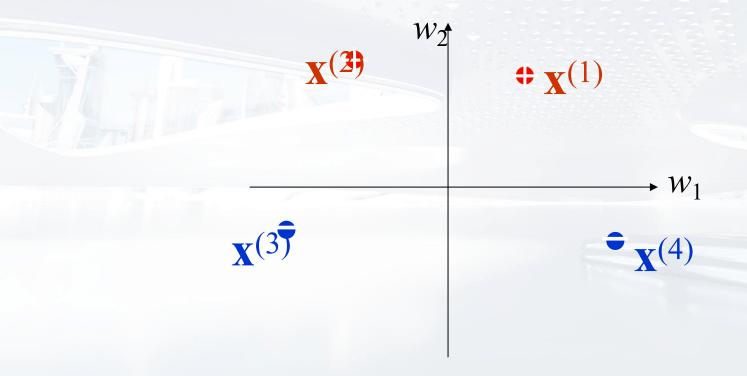




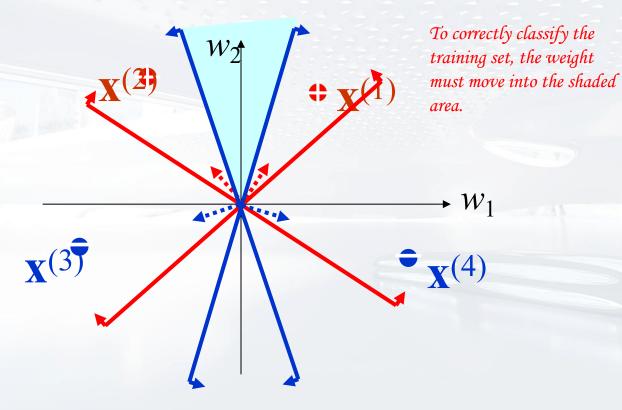
A weight not in the shaded area will give correct classification for the negative example.



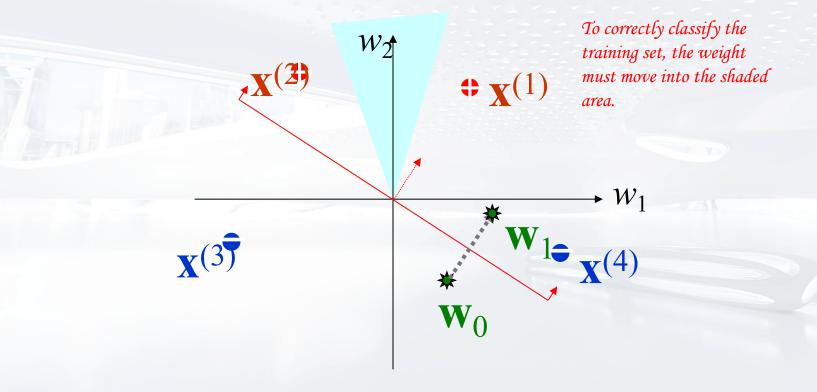




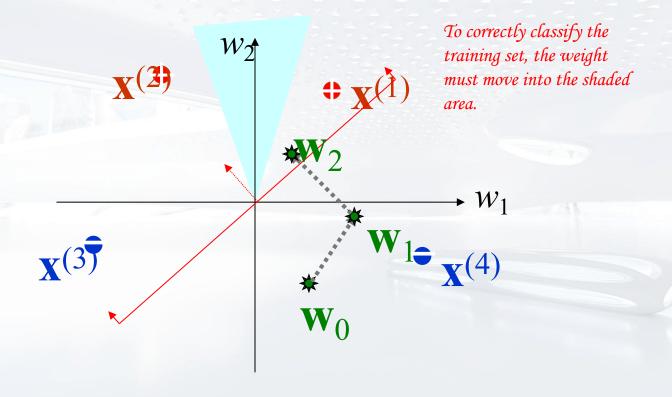




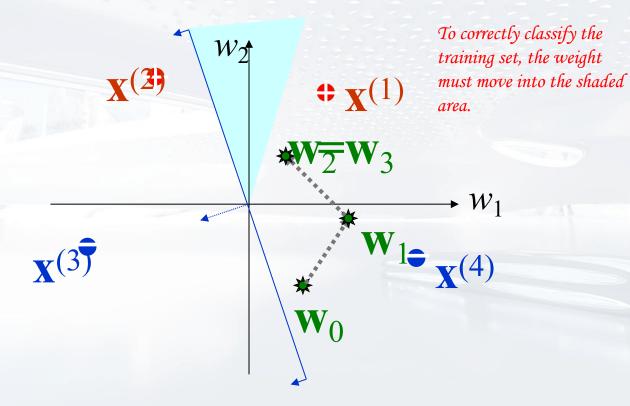




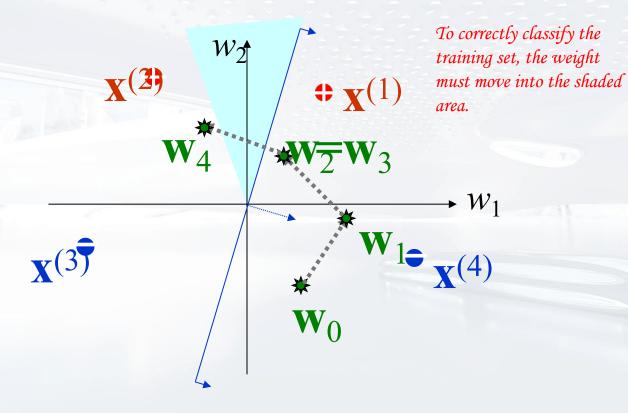




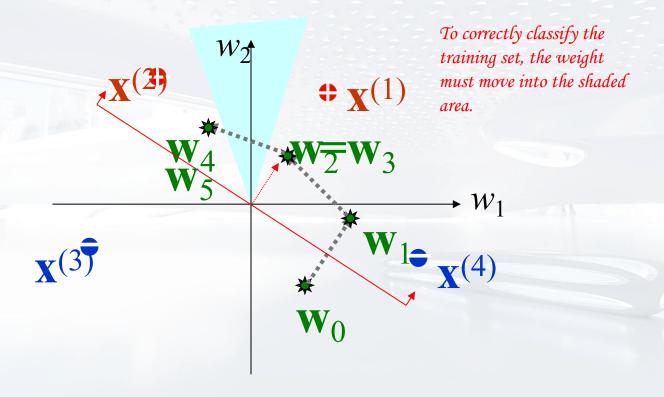




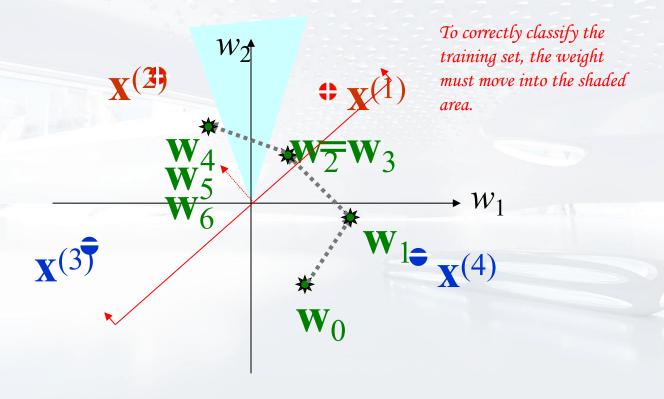




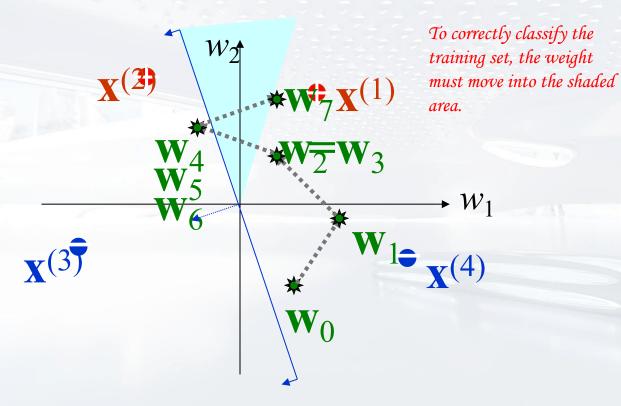




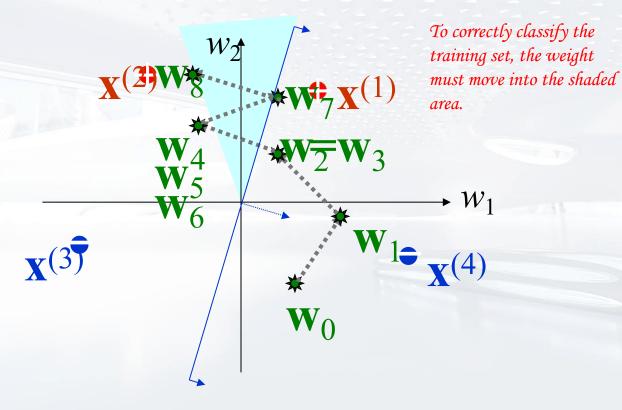




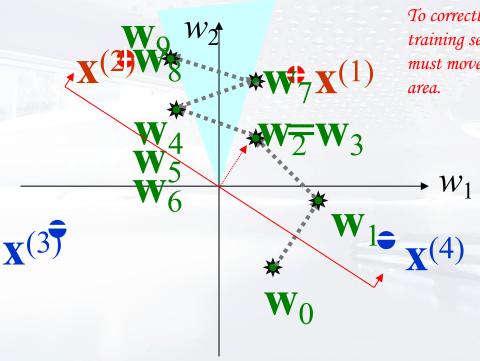






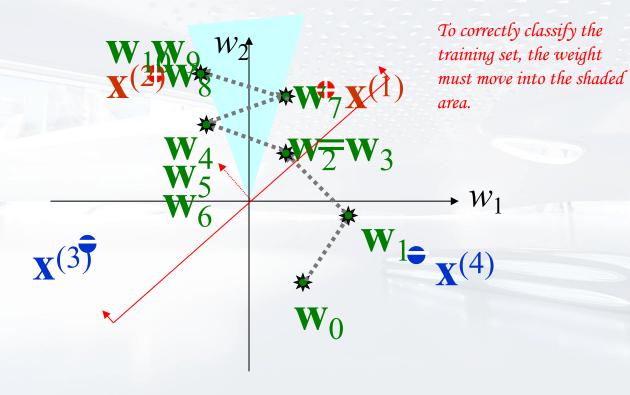




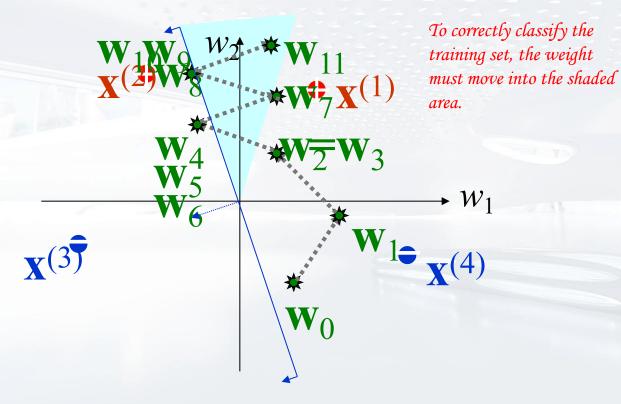


To correctly classify the training set, the weight must move into the shaded area

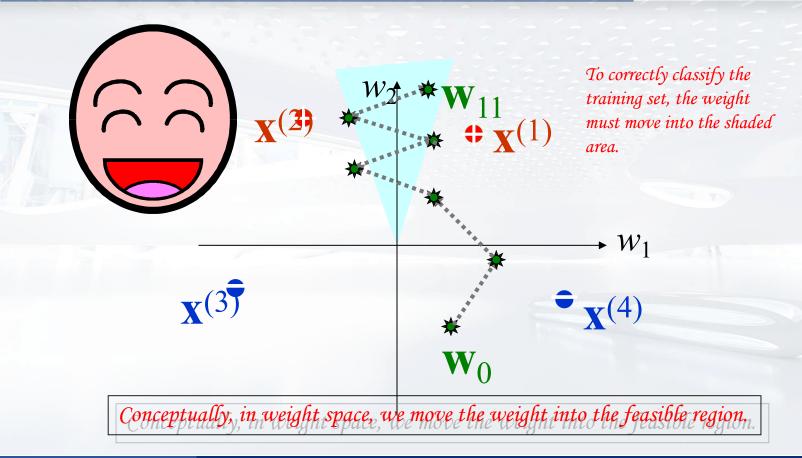














Minimize the cost function (error function):

$$E(\mathbf{w}) = \frac{1}{2} \sum_{k=1}^{p} (d^{(k)} - y^{(k)})^{2}$$

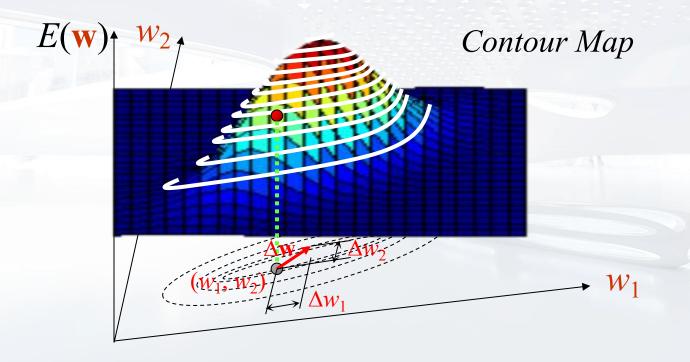
$$= \frac{1}{2} \sum_{k=1}^{p} (d^{(k)} - \mathbf{w}^{T} \mathbf{x}^{(k)})^{2}$$

$$= \frac{1}{2} \sum_{k=1}^{p} \left(d^{(k)} - \sum_{l=1}^{m} w_{l} \mathbf{x}_{l}^{(k)}\right)^{2}$$

d是真实的"正负" y是预测的结果

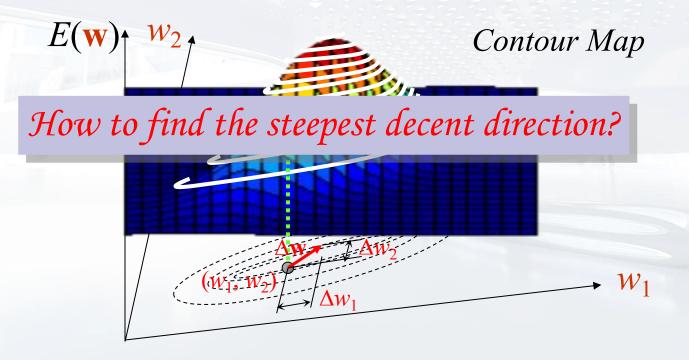


Our goal is to go downhill.





Our goal is to go downhill.





#### Gradient Operator

Let  $f(\mathbf{w}) = f(w_1, w_2, ..., w_m)$  be a function over  $\mathbb{R}^m$ .

$$df = \frac{\partial f}{\partial w_1} dw_1 + \frac{\partial f}{\partial w_2} dw_2 + \dots + \frac{\partial f}{\partial w_m} dw_m$$

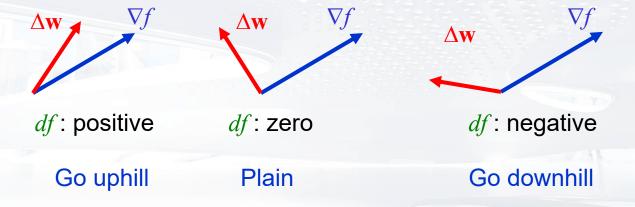
Define 
$$\nabla f = \left(\frac{\partial f}{\partial w_1}, \frac{\partial f}{\partial w_2}, \cdots, \frac{\partial f}{\partial w_m}\right)^T$$

$$\Delta \mathbf{w} = \left(dw_1, dw_2, \cdots, dw_m\right)^T$$



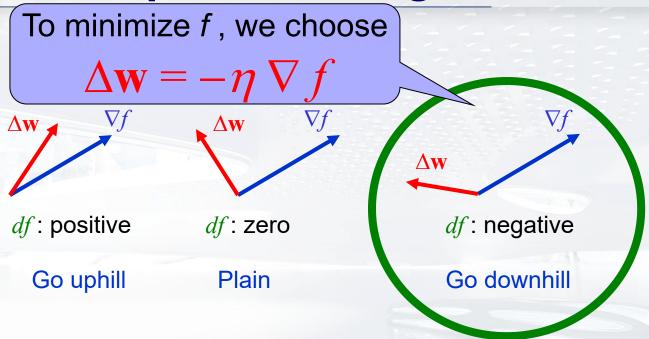
$$df = \langle \nabla f, \Delta \mathbf{w} \rangle = \nabla f \bullet \Delta \mathbf{w}$$





$$df = \langle \nabla f, \Delta \mathbf{w} \rangle = \nabla f \bullet \Delta \mathbf{w}$$





$$df = \langle \nabla f, \Delta \mathbf{w} \rangle = \nabla f \bullet \Delta \mathbf{w}$$



Minimize the cost function (error function):

$$E(\mathbf{w}) = \frac{1}{2} \sum_{k=1}^{p} \left( \frac{d^{(k)}}{d^{(k)}} - \sum_{l=1}^{m} w_{l} x_{l}^{(k)} \right)^{2}$$

$$\frac{\partial E(\mathbf{w})}{\partial w_j} = -\sum_{k=1}^p \left( \mathbf{d}^{(k)} - \sum_{l=1}^m w_l x_l^{(k)} \right) x_j^{(k)}$$

$$= -\sum_{k=1}^p \left( \mathbf{d}^{(k)} - \mathbf{w}^T \mathbf{x}^{(k)} \right) x_j^{(k)} = -\sum_{k=1}^p \left( \mathbf{d}^{(k)} - y^{(k)} \right) x_j^{(k)}$$

$$\frac{\partial E(\mathbf{w})}{\partial w_j} = -\sum_{k=1}^p \delta^{(k)} x_j^{(k)} \qquad \delta^{(k)} = d^{(k)} - y^{(k)}$$



74

Minimize the cost function (error function):

$$E(\mathbf{w}) = \frac{1}{2} \sum_{k=1}^{p} \left( \frac{d^{(k)}}{d^{(k)}} - \sum_{l=1}^{m} w_{l} x_{l}^{(k)} \right)^{2}$$

$$\nabla_{w} E(\mathbf{w}) = \left(\frac{\partial E(\mathbf{w})}{\partial w_{1}}, \frac{\partial E(\mathbf{w})}{\partial w_{2}}, \dots, \frac{\partial E(\mathbf{w})}{\partial w_{m}}\right)^{T}$$

$$\Delta \mathbf{w} = - \eta \nabla_{\mathbf{w}} E(\mathbf{w})$$
 — Weight Modification Rule

$$\frac{\partial E(\mathbf{w})}{\partial w_j} = -\sum_{k=1}^p \delta^{(k)} x_j^{(k)} \qquad \delta^{(k)} = d^{(k)} - y^{(k)}$$



- Learning Modes
  - Batch Learning Mode

$$\Delta w_j = \eta \sum_{k=1}^p \delta^{(k)} x_j^{(k)}$$

Incremental Learning Mode

$$\Delta w_j = \eta \delta^{(k)} x_j^{(k)}$$

$$\frac{\partial E(\mathbf{w})}{\partial w_j} = -\sum_{k=1}^p \delta^{(k)} x_j^{(k)} \qquad \delta^{(k)} = d^{(k)} - y^{(k)}$$

## Perceptron



- Summary
  - Separability: some parameters get the training set perfectly correct.
  - Convergence: if the training is separable, perceptron will eventually converge (binary case)?
- The Perceptron convergence theorem
- The relation between perceptron and Bayes classifier

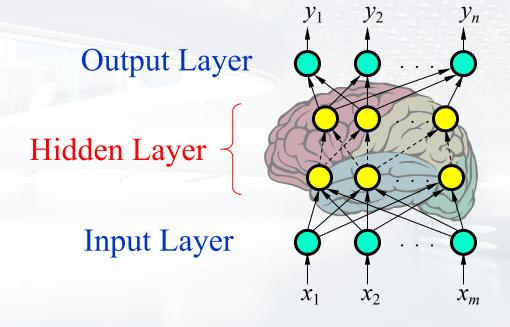
#### **Contents**



- Introduction
- Single-Layer Perceptron Networks
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- Radial-Basis Function Networks
- Self-Organizing Maps

## **Multilayer Perceptron**

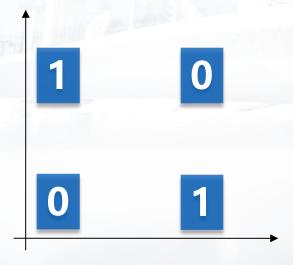






#### Example:

- Not linearly separable.
- Is a single layer perceptron workable?

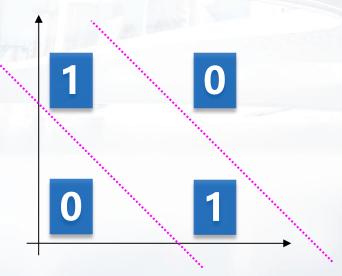


输	异或 xor	
0	0	0
0	1	1
1	0	1
1	1	0



#### Example:

- Not linearly separable.
- Is a single layer perceptron workable?



输。	异或 xor	
0	0	0
0	1	1
1	0	1
1	1	0



输	λ	或 or	与非 nand	与 and
0	0	0		
0	1	1		
1	0	1		
1	1	1		

输入		异或 xor
0	0	0
0	1	1
1	0	1
1	1	0



输	λ	或 or	与非 nand	与 and
0	0		1	
0	1		1	
1	0		1	
1	1		0	

输。	异或 xor	
0	0	0
0	1	1
1	0	1
1	1	0



输入	或 or	与非 nand	与 and
	0	1	0
	1	1	1
	1	1	1
	1	0	0

输。	异或 xor	
0	0	0
0	1	1
1	0	1
1	1	0

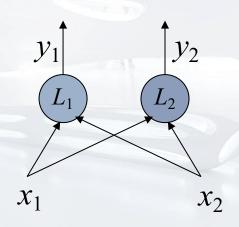


inj	out	hid	den	output
输	iλ	或 or	与非 nand	与 and
0	0	0	1	0
0	1	1	1	1
1	0	1	1	1
1	1	1	0	0

输	异或 xor	
0	0	0
0	1	1
1	0	1
1	1	0

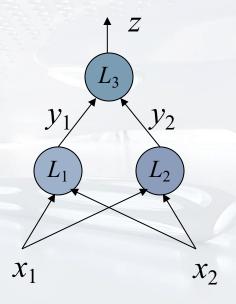


in	out	hid	den	output
输	iλ	或 or	与非 nand	与 and
0	0	0	1	0
0	1	1	1	1
1	0	1	1	1
1	1	1	0	0



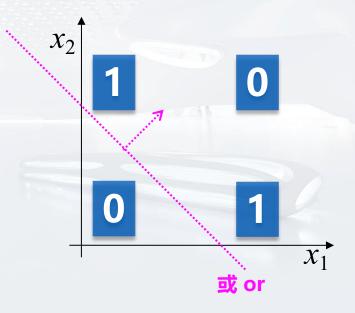


in	out	hid	den	output
输	iλ	或 or	与非 nand	与 and
0	0	0	1	0
0	1	1	1	1
1	0	1	1	1
1	1	1	0	0



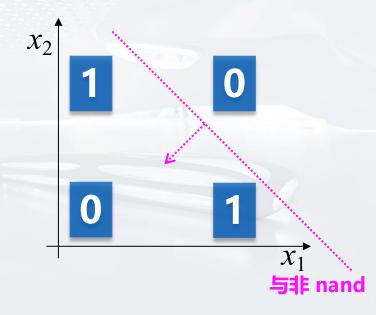


input		hidden		output
输	<b>λ</b>	或 or	与非 nand	与 and
0	0	0		
0	1	1		
1	0	1		
1	1	1		



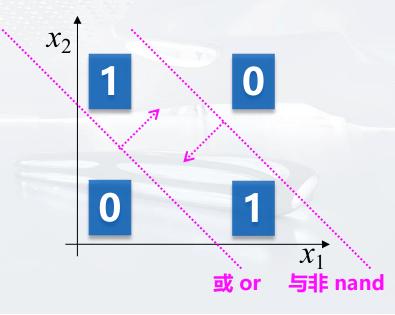


input		hidden		output
输	λ	或 or	与非 nand	与 and
0	0		1	
0	1		1	
1	0		1	
1	1		0	



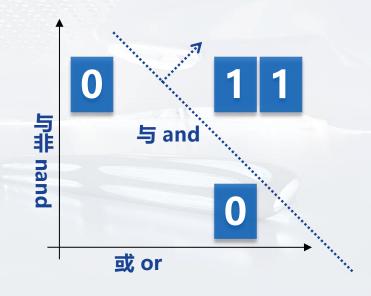


input		hidden		output
输	λ	或 or	与非 nand	与 and
0	0	0	1	
0	1	1	1	
1	0	1	1	
1	1	1	0	

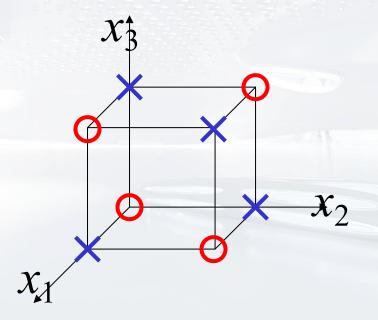




input	hid	den	output
输入	或 or	与非 nand	与 and
	0	1	0
	1	1	1
	1	1	1
	1	0	0

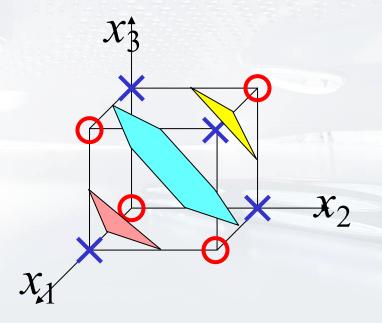




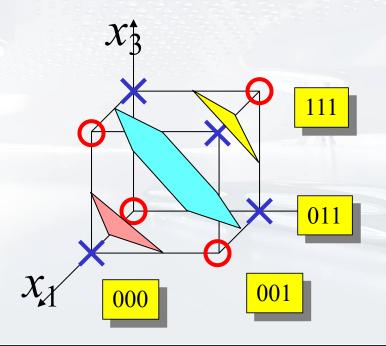




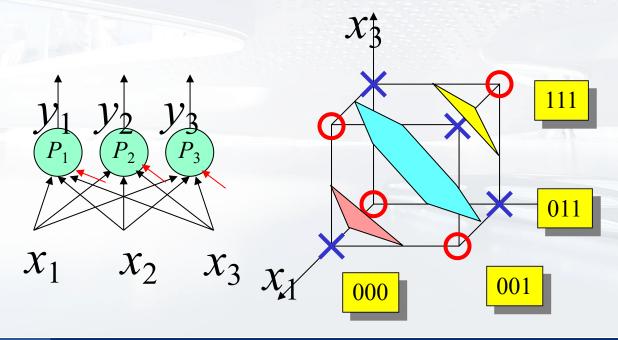
$x_1 x_2 x_3$	
000	0
001	1
010	1
	-
011	0
100	1
101	$\overline{0}$
	_
110	0
111	1



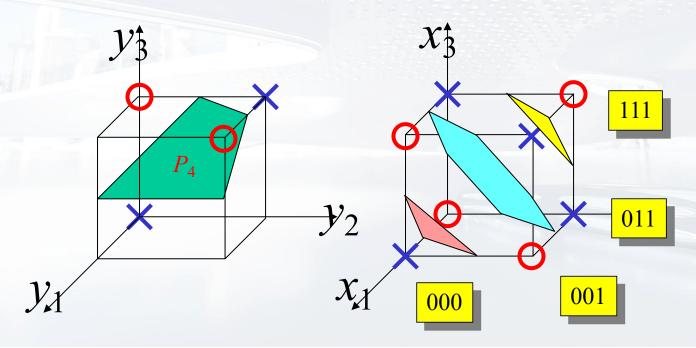






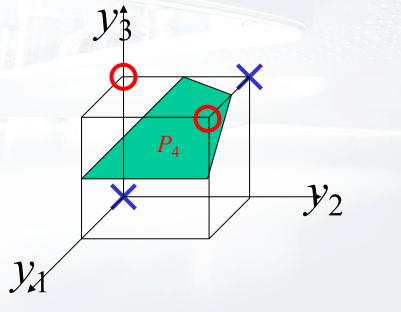


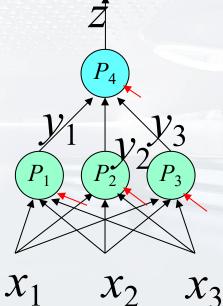




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