# Extended Abstract: Hierarchical Data Analysis

Wendy Di, Stefan Wild

June 6, 2017

# 1 Notations

Goal: Given a halo with  $N_p$  particles, find its MBP.

- D: dimension of the space, D=2 for now
- $\mathbf{X} = [X_i] \in \mathbb{R}^{N_p \times 2}$ : collection of particles
- $\mathbf{m} = [m_i] \in \mathbb{R}^{N_p}$ : collection of each particle's mass, normally  $m_i = 1, \forall i$
- d(x,y): Euclidean distance between points x and y
- $\bar{m} \in \mathbb{R}$ : mass of super-particle as a specific collection of particles.

## Algorithm 1 Naive

1: 
$$MBP = \min_{i} \sum_{j \neq i} \frac{m_j}{d(X_i, X_j)}$$

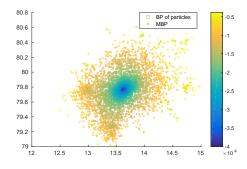


Figure 1: MBP Illustration

### Algorithm 2 Mixed Particle/Super-particle Hierarchy

```
1: procedure MBP = mixed_k means(X, m)

2: [IDX, c] = kmeans(X, N_c), where N_c is the number of clusters, IDX \in \mathbb{N}^{N_p} is the index function to indicate which cluster particle X_i belongs to, \mathbf{c}_i \in \mathbb{R}^2, i = 1, \ldots, N_c is the centroid of each cluster.

3: \bar{m}_i = |\{j|IDX(j) = i\}|, \ \forall i = 1 : N_c

4: SBP_i = \sum_{\substack{\{j|IDX(i) \neq j\}\\i}} \frac{\bar{m}_j}{d(X_i, c_j)} + \sum_{\substack{\{j|IDX(j) = IDX(i), i \neq j\}\\i}} \frac{m_j}{d(X_i, X_j)}, \ \forall i

5: MBP = \min_i SBP_i

6: end procedure
```

#### Algorithm 3 Super-particle Hierarchy

```
1: procedure MBP = sp_k means(X, m)
            [IDX, c] = kmeans(X, N_c)
 2:
            \bar{m}_{i} = |\{j|IDX(j) = i\}|, \ \forall i = 1: N_{c}
MBP = \min_{i} \sum_{\{j|IDX(i) \neq j\}} \frac{\bar{m}_{j}}{d(X_{i}, c_{j})}
 3:
 4:
            n_c = N_c
 5:
            k = 1
 6:
            while k \leq n_k do
 7:
                  MBP_{old} = MBP
 8:
                  v = \{j \,|\, IDX(j) = IDX(MBP)\}
 9:
                  [I\tilde{D}X,\tilde{c}] = kmeans(X(i),\tilde{N}_c)
10:
                  c = \{c_1, \dots, c_{MBP-1}\} \cup \tilde{c}_1 \cup \{c_{MBP+1}, \dots, c_{N_c}\} \cup \{\tilde{c}_2, \dots, \tilde{c}_{\tilde{N}_c}\}\}
11:
                  IDX(v) = I\tilde{D}X + kN_c - 1
12:
                 \begin{aligned} &IDX(v) = IDX + iX.c \\ &n_c = n_c - 1 + \tilde{N}_c \\ &\bar{m}_i = \frac{1}{|\{j|IDX(j) = i\}|}, \ \forall i = 1:n_c \\ &MBP = \min_{i} \sum_{\{j|IDX(i) \neq j\}} \frac{\bar{m}_j}{d(X_i, c_j)} \end{aligned}
13:
14:
15:
                  if MBP = MBP_{old} then
16:
                         Stop
17:
18:
                  end if
                  k = k + 1
19:
            end while
20:
21: end procedure
```

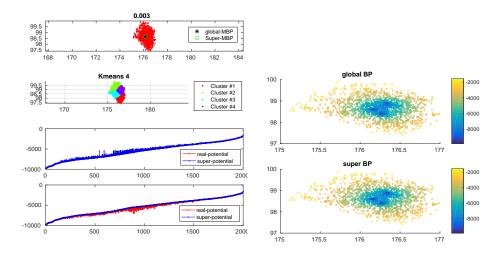


Figure 2: Result of Algorithm 2

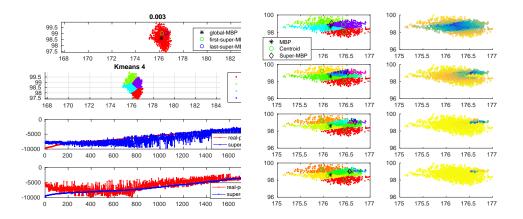


Figure 3: Result of Algorithm 3

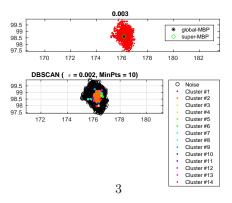


Figure 5: Result of Algorithm 4

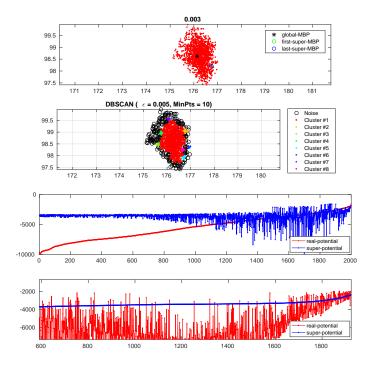


Figure 4: Result of replacing Kmeans by DBSCAN in Algorithm 3

Algorithm 4 Locate MBP from a subset of particles which forms the most dense super-particle via DBSCAN

- 1: **procedure**  $MBP = mbp_dbscan_max(X, m)$
- 2:  $[IDX,c] = dbscan(X,\varepsilon)$ , where  $\varepsilon$  is the linkage length provided for DB-
- $\bar{m}_i = |\{j|IDX(j) = i\}|, \forall i = 1: N_c, \text{ where } N_c \text{ is the resulted number of } i\}|$ 3: clusters given  $\varepsilon$
- $i_s = \max \bar{m_i}$
- 5:

4: 
$$\begin{aligned} &i_s = \max_i m_i \\ &5: &V = \{j \mid IDX(j) = i_s\} \\ &6: &SBP_i = \sum_{\{j \mid IDX(i) \neq j\}} \frac{\bar{m}_j}{d(X_i, c_j)} + \sum_{\{j \mid IDX(j) = IDX(i), i \neq j\}} \frac{m_j}{d(X_i, X_j)}, \ \forall i \in V \end{aligned}$$
7: 
$$MBP = \min_{i \in V} SBP_i$$

- 7:
- 8: end procedure