ON 3N POINTS IN A PLANE

By B. J. BIRCH

Received 26 January 1959

In this note I prove the following theorem:

Theorem 1. Given 3N points in a plane, we can divide them into N triads such that, when we form a triangle with the points of each triad, the N triangles will all have a common point.

The proof depends on three lemmas; the first two are well known, and are quoted without proof; the third I believe to be new, and leads directly to the proof of the theorem.

 E^n denotes the solid unit ball in *n*-dimensional space R^n ; Σ^{n-1} is the surface of E^n , and S^{n-1} too denotes the surface of a sphere.

LEMMA 1. (Fixed point theorem.) If a continuous vector field be defined for all points of E^n , with the vectors pointing inwards at all points of Σ^{n-1} , then there is a point inside E^n at which the field vanishes.

LEMMA 2. (Caratheodory.) Given a set A in Euclidean m-space and any point P in the convex cover of A, we can find (m+1) points a_0, \ldots, a_m belonging to A which span a (closed) simplex containing $P(a_0, \ldots, a_m \text{ need not be distinct})$.

LEMMA 3. Let a mass-distribution in E^n be defined by an integrable density function $\rho(x)$. (Thus $\rho(x) = 0$ for $x \notin E^n$.) Then we can find a point r inside E^n so that every closed half-space with r on its boundary will contain at least 1/(n+1) of the total mass.

Proof. Suppose the lemma untrue, so that for every point q of E^n there is at least one half-space which contains less than 1/(n+1) of the mass. We will obtain a contradiction. We first note that, given a point q, there is a one-one correspondence between the unit vectors from q and the half-spaces with q on their boundary; in fact, to the vector j will correspond the half-space given by $j \cdot (x-q) \ge 0$. Fixing our point q, we give a vector j the weight

 $w(j,q) = \left(\lambda - \frac{1}{n+1}\right)$

if the corresponding half-space contains a proportion λ of the mass, where

$$\lambda<\frac{1}{n+1};$$

otherwise, we give it weight zero. Then w(j,q) is continuous as a function of j and q, being an integral. We can regard the unit vectors j as points of a unit sphere S^{n-1} ; take the integral

 $\int w(j,q)\,j\,dS^{n-1}$

290 B. J. Birch

over the whole of S^{n-1} . This integral gives a vector k(q); and k(q) is a continuous function of q.

We have thus defined a continuous vector field k(q), whose vectors evidently point inwards at all points of Σ^{n-1} . By Lemma 1, there is a point, r say, where the field vanishes. Consider the vectors from r as points of a unit sphere S^{n-1} . Denote by A the set of points j of S^{n-1} which were given a non-zero weight w(j,r). By the hypothesis we desire to contradict, A is non-empty, and by the definition of the weighting function, A is open in S^{n-1} .

We assert that the centre of S^{n-1} lies in H(A), the convex cover of A. For if not, there is a closed half-space (and hence an open half-space, since A is open) which contains A and has the centre of S^{n-1} on its boundary; that is, the set A is contained in a single hemisphere of S. But in this case, the vector integral

$$\int_{j \in A} w(j,r) j \, dS = k(r)$$

cannot possibly vanish, and we have a contradiction.

Applying Lemma 2, it follows that we can choose (n+1) points $j_0, ..., j_n$ of A which span a simplex containing the centre of S^{n-1} . Every vector accordingly makes an angle less than or equal to $\frac{1}{2}\pi$ with at least one of $j_0, j_1, ..., j_n$; it follows that the (n+1) closed half-spaces $j_i \cdot (x-r) \geqslant 0 \quad (i=0,...,n)$

together account for the whole of space. But by our construction, they each contain less than 1/(n+1) of the total mass. We have thus obtained the contradiction we need.

COROLLARY 3.1. The lemma remains true even if the mass distribution is no longer specified by a density function, e.g. we may allow concentrated point masses.

In fact, such a distribution D will be the limit of a sequence of distributions corresponding to density functions; call such a sequence of density functions $\{\rho_n\}$. Then for each ρ_n there is a point r_n with the property described in the lemma; $\{r_n\}$ is a bounded infinite sequence of points, and so has at least one limit point, r say. We now see easily that any closed half-space with its boundary passing through r contains at least 1/(n+1) of D.

COROLLARY 3.2. Let Y be a finite set consisting of M points in n-space, and suppose that M > (n+1)R. Then there is a point common to all the closed half-spaces which contain at least (M-R) points of Y.

Indeed, considering the set Y as a distribution of M equal point-masses, we see that all closed half-spaces containing (M-R) points of Y must contain the point r constructed in Lemma 3 and its first corollary; for, if one did not, there would be another half-space opposite to it which had r on its boundary but contained no more than R points.

Proof of Theorem 1. We are given 3N points in a plane. By the corollary above, there is a point P common to all half-planes which contain (2N+1) of the given points. We can set up a coordinate system with origin at P, so that the 3N given points have polar coordinates $(r_1, \theta_1), (r_2, \theta_2), \ldots, (r_{3N}, \theta_{3N})$. Number off the 3N points in order of increasing θ , and call them b_1, \ldots, b_{3N} . Now we assign the points to N triads, putting b_i

and b_j into the same triad if and only if $i \equiv j \pmod{N}$. In order to prove the theorem, it will be enough to show that the triangle $b_i b_{i+N} b_{i+2N}$ contains P for all $i=1,\ldots,N$. This is easy to prove; in fact, if it were false, we would have $\theta_{j+N} - \theta_j > \pi$ for some j (suffices taken modulo 3N); but there are at most (N-1) points with θ between θ_{j+N} and θ_j , and so there is a half-plane containing (2N+1) of the given points, but not P. This is a contradiction.

We conclude with a few remarks and references.

(1) By a slight modification in the above argument, we can prove the apparently stronger result:

THEOREM 1*. Given a plane set Y consisting of (3N-2) points, we can split it into N subsets $Y_1, ..., Y_N$ so that there is a point common to all the convex covers $H(Y_i)$.

(2) Theorem 1* is very similar to the results of Rado (7). Given a dimension n and an integer N we may define f(n, N) as the smallest integer with the following property: Let Y be any finite n-dimensional set consisting of M points, where $M \ge f(n, N)$. Then we can split Y into N subsets Y_1, \ldots, Y_N so that there is a point common to all the convex covers $H(Y_i)$. It is easy to see that

$$f(n,N) \geqslant (n+1)N-n,$$

and in fact

$$f(1,N) = 2N - 1. (1)$$

Rado's principal result is
$$f(n, N) \leq 2f(n-1, N) - n$$
, (2)

and he combines this with (1) to obtain his Theorem 1, which asserts

$$f(n,N) \le 2^n(N-2) + n + 2;$$
 (3)

in particular, when N=2 this is f(n,2)=n+2, which is substantially Helly's theorem. The two-dimensional case of Rado's theorem is $f(2,N) \leq 4N-4$; our Theorem 1* improves this to the best possible result

$$f(2,N)=3N-2,$$

and of course we can do rather better than (3) by combining this with (2). This improvement is hardly a valuable one—but it would be nice to know whether

$$f(n,N) = (n+1)N - n$$

is true in general.

Lemma 3 leads by a very simple argument to an estimate for f(n, N) which is substantially stronger than Rado's when n and N are large. Let Y be an n-dimensional set consisting of [n(n+1)(N-1)+1] points; then by Corollary $3\cdot 1$ there is a point p such that every closed half-space containing p contains at least [(N-1)n+1] points of Y. p is in the convex cover of Y, so by Lemma 2 there is a subset Y_1 of Y consisting of at most (n+1) points such that $H(Y_1)$ is a simplex containing p, and every half-space containing p contains at least [(N-1)n+1-n] points of $Y-Y_1$. Repeating the argument (N-1) times, there are (N-1) disjoint subsets Y_1, \ldots, Y_{N-1} of Y such that p is common to $H(Y_1), \ldots, H(Y_{N-1})$, and every half-space containing p contains a point of

$$Y - \bigcup_{r=1}^{N-1} Y_r = Y_N$$
, say; thus p is in $H(Y_N)$. It follows that

$$f(n, N) \leq Nn(n+1) - n^2 - n + 1.$$

292 B. J. Birch

- (3) Lemma 3 may be found more interesting than Theorem 1; so far as I know, it is new. The plane case of the lemma was proved in 1945 by Neumann (5); his proof, though elementary, is long, and does not extend to higher dimensions. Lemma 3 is clearly best possible, as we see when we consider the distribution consisting of (n+1) point-masses.
- (4) The method of Lemma 3 may be applied to several other problems which involve estimating $\min_{x} \max_{j} [g(x,j)],$

where x runs through the points of E^n, j runs through the unit vectors corresponding to the points of an S^{n-1} , and g(x,j) is a function of some class \mathscr{G} . For instance, Neumann (6) considered the plane case of another problem of this type. Let K be a closed convex body with interior points. Let p be a point inside K; then to any vector p there corresponds a directed chord of p through p. Suppose that p divides this chord so that the ratio of the segment in the positive direction from p to the whole chord is p: 1. Then p is a continuous function of p and p for p in the interior of p. Let p be the maximum of p for all vectors p; obviously p is a continuous function, p is a continuous function, p is a continuous function of the ideas of Lemma 3, we can prove

LEMMA 4. Suppose that $r(q) = r^*$. Then there are (n+1) vectors $j_0, ..., j_n$, possibly not all distinct, for all of which $\lambda(q,j) = r(q) = r^*,$

and which (viewed as points of S^{n-1}) span a simplex containing the centre of S.

From this lemma, we deduce

COROLLARY 4·1.
$$r^* \leqslant \frac{n}{n+1}, \tag{4}$$

with equality if and only if K is a simplex.

In fact, suppose that the chord through q parallel to j_i meets the boundary of K in s_i and t_i , so that qs_i is in the direction of j_i . Then q is in the simplex $s_0s_1...s_n$. Drop duplicates, so that q lies in a simplex $s_0s_1...s_m$, where $s_0,...,s_m$ are all distinct, and $m \leq n$. Let the barycentric coordinates of q with respect to this simplex be $(\alpha_0, \alpha_1, ..., \alpha_m)$, and let the line $s_i q$ meet the opposite face of the simplex in the point u_i . Then

$$s_i q / s_i u_i = 1 - \alpha_i.$$

Also, since K is convex,

$$s_i u_i \leqslant s_i t_i \quad \text{for} \quad i = 0, ..., m; \tag{5}$$

and so
$$r^* = \frac{s_i q}{s_i t_i} \leqslant \frac{s_i q}{s_i u_i} = 1 - \alpha_i. \tag{6}$$

Summing (6) over i = 0, ..., m, we see that

$$(m+1) r^* \leqslant m+1-\sum \alpha_i = m,$$

$$r^* \leqslant \frac{m}{m+1} \leqslant \frac{n}{n+1}.$$

This proves (4). Further, equality can be necessary in (4) only if m = n and there is equality in (5) and (6) for every i. This implies that $\alpha_i = 1/(n+1)$ and $t_i = u_i$ for each i. Thus K is just the simplex $s_0 s_1 \dots s_n$, and q is its barycentre.

Neumann (6) proved this corollary in the plane case. The n-dimensional inequality (4) was proved very simply by Süss (8), and independently by Hammer (3); both of them showed that, if g is the centroid of K,

$$r(g) \leqslant \frac{n}{n+1},$$

and from this (4) follows a fortiori; neither author gave the conditions for equality in (4). Another simple proof of (4) is suggested by Eggleston (2) as an exercise.

We may also apply Lemma 4 to sets of constant width; in this way we obtain yet another proof of Jung's theorem, that a set of diameter D is contained in a sphere of radius R, with $R \leq \lfloor n/\{2n+2\}\rfloor^{\frac{1}{2}}D$; see Jung (4). One very simple proof of this theorem has been given by Blumenthal and Wahlin (1), and an even more elegant one is contained in (2).

In conclusion, I would like to thank Professor Eggleston for his entertaining lectures, which led me to perpetrate this note.

REFERENCES

- (1) Blumenthal, L. M. and Wahlin, G. E. On the smallest sphere enclosing a bounded n-dimensional set. Bull. Amer. Math. Soc. (2) 47 (1941), 771-7.
- (2) EGGLESTON, H. G. Convexity (Cambridge, 1958).
- (3) Hammer, P. C. The centroid of a convex body. Proc. Amer. Math. Soc. 2 (1951), 522-5.
- (4) Jung, H. W. E. Uber die kleinst Kugel, die eine raumliche Figur einschliesst. J. reine angew. Math. 123 (1901), 241-57.
- (5) NEUMANN, B. H. On some affine invariants of closed convex regions. J. Lond. Math. Soc. 14 (1939), 262-72.
- (6) NEUMANN, B. H. On an invariant of plane regions and mass distributions. J. Lond. Math. Soc. 20 (1945), 226-37.
- (7) Rado, R. Theorems on the intersection of convex sets of points. J. Lond. Math. Soc. 27 (1952), 320-8.
- (8) Süss, W. Uber eine Affininvariante von Eibereichen. Archiv. Math. 1 (1948), 127-8.

TRINITY COLLEGE
CAMBRIDGE

10