

SYMPLECTIC TORIC MANIFOLDS

SIMON GRÜNING

(DARBOUX THEOREM)

Throughout these seminar notes we follow closely the exposition of Yannis Bähni¹ [Bae19] gathered through material from Lee's [Lee13] [Lee18] and Salamon's books [McD17]. We take inspiration from Ana Cannas da Silva [Sil08] and try to follow her conventions, note thus that all manifolds are smooth unless explicitly stated otherwise.

1. PREREQUISITES

REMARK 1.1. A chart (U, φ) is called centered on x when $\varphi(x) = 0$.

PROPOSITION 1.1 (Cartan's Magic Formula). [Bae19] Fix a manifold M , a vector field $X \in \mathfrak{X}(M)$, and an $\omega \in \Omega^k(M)$ for some $k \in \mathbb{N}$. Then

$$\mathcal{L}_X \omega = i_X(d\omega) + d(i_X \omega).$$

THEOREM 1.1 (Canonical Form Theorem for Symplectic Vector Space). [Bae19] Fix (V, ω) a symplectic vector space. Then $\dim V = 2n$ and there exists a basis $(a_1, \dots, a_n, b_1, \dots, b_n)$ of V such that:

$$\omega = \sum_{i=1}^n a^i \wedge b^i$$

where $(a^1, \dots, a^n, b^1, \dots, b^n)$ denotes the dual basis of $(a_1, \dots, a_n, b_1, \dots, b_n)$.

DEFINITION 1.1. [Lee13] Fix a manifold M , $J \subseteq \mathbb{R}$ an interval. A time-dependent vector field on M is a smooth map $X : J \times M \rightarrow TM$ such that $\forall (t, x) \in J \times M : X(t, x) \in T_x M$.

DEFINITION 1.2. [Lee13] An integral curve of a time-dependent vector field X is a curve $\gamma \in C^\infty(J_0, M)$ such that $\gamma'(t) = X(t, \gamma(t))$ for all $t \in J_0$, where $J_0 \subseteq J$ is an interval by notation as in definition 1.1.

DEFINITION 1.3. [Lee13] Fix a manifold M , $J \subseteq \mathbb{R}$ an open interval, and $X : J \times M \rightarrow TM$ a time-dependent vector field. We call a time dependent flow of X an open subset $\mathcal{D} \subseteq J \times J \times M$ paired with a map $\psi \in C^\infty(\mathcal{D}, M)$ such that the following holds for $\mathcal{D}^{(t_0, x)} := \{t \in J : (t, t_0, x) \in \mathcal{D}\}$, $\psi^{(t_0, x)}(t) := \psi(t, t_0, x)$, $M_{t_1, t_0} := \{x \in M : (t_1, t_0, x) \in \mathcal{D}\}$, $\psi_{t_1, t_0}(x) := \psi(t_1, t_0, x)$:

- (1) For any $t_0 \in J, x \in M$, $\mathcal{D}^{(t_0, x)}$ is an open interval such that $t_0 \in \mathcal{D}^{(t_0, x)}$ and $\psi^{(t_0, x)}(t)$ is the unique maximal integral curve of X with $\psi^{(t_0, x)}(t_0) = x$.
- (2) $t_1 \in \mathcal{D}^{(t_0, x)} \wedge y = \psi^{(t_0, x)}(t_1) \implies \mathcal{D}^{(t_1, y)} = \mathcal{D}^{(t_0, x)} \wedge \psi^{(t_1, y)} = \psi^{(t_0, x)}$
- (3) For any $(t_1, t_0) \in J \times J$ we have that M_{t_1, t_0} is open in M and $\psi_{t_1, t_0} : M_{t_1, t_0} \rightarrow M$ is a diffeomorphism from M_{t_1, t_0} onto M_{t_0, t_1} with inverse ψ_{t_0, t_1}
- (4) $x \in M_{t_1, t_0} \wedge \psi_{t_1, t_0}(x) \in M_{t_2, t_1} \implies$

$$x \in M_{t_2, t_0} \wedge \psi_{t_2, t_1} \circ \psi_{t_1, t_0}(x) = \psi_{t_2, t_0}(x)$$

THEOREM 1.2 (Fundamental Theorem of Time-Dependent Flows). [Lee13] For any time-dependent vector field X , there exists a time-dependent flow of X .

¹ who was of great help in preparing these notes, thank you

PROPOSITION 1.2 (Fisherman's Formula). [Lee13] Fix a manifold M . If $X : J \times M \rightarrow TM$ is a time-dependent vector field with time-dependent flow $\psi : \mathcal{D} \rightarrow M$ then for any $\omega \in \Omega^k(M)$, $(t_1, t_0, x) \in \mathcal{D}$ we have that

$$\left. \frac{d}{dt} \right|_{t=t_1} \psi_{t,t_0}^* \omega = \psi_{t_1,t_0}^* \left(\mathcal{L}_{X_{t_1}} \omega \right)$$

with $X_{t_1} := X(t_1, \cdot) \in \mathfrak{X}(M)$.

PROPOSITION 1.3 (Fisherman's Formula Adapted). [Lee13] Fix a manifold M . If $X : J \times M \rightarrow TM$ is a time-dependent vector field with time-dependent flow $\psi : \mathcal{D} \rightarrow M$, and further, $\omega : J \times M \rightarrow \Lambda^k T^*M$ is a time-dependent differential k -form, then for any $\omega \in \Omega^k(M)$, $(t_1, t_0, x) \in \mathcal{D}$ we have that

$$\left. \frac{d}{dt} \right|_{t=t_1} \psi_{t,t_0}^* \omega_t = \psi_{t_1,t_0}^* \left(\mathcal{L}_{X_{t_1}} \omega_{t_1} + \left. \frac{d}{dt} \right|_{t=t_1} \omega_t \right)$$

with $X_{t_1} := X(t_1, \cdot) \in \mathfrak{X}(M)$.

Proof. For any sufficiently small $\varepsilon > 0$ (i.e. such that we remain within J) let

$$F : (t_1 - \varepsilon, t_1 + \varepsilon) \times (t_1 - \varepsilon, t_1 + \varepsilon) \rightarrow \Lambda^k T^*M$$

be defined by

$$F(u, v) := \psi_{u,t_0}^* \omega_v.$$

We compute

$$\begin{aligned} \left. \frac{d}{dt} \right|_{t=t_1} \psi_{t,t_0}^* \omega_t &= \left. \frac{d}{dt} \right|_{t=t_1} F(t, t) \\ &= \frac{\partial F}{\partial u}(t_1, t_1) + \frac{\partial F}{\partial v}(t_1, t_1) \\ &= \left. \frac{d}{du} \right|_{u=t_1} \psi_{u,t_0}^* \omega_{t_1} + \left. \frac{d}{dv} \right|_{v=t_1} \psi_{t_1,t_0}^* \omega_v \\ &= \psi_{t_1,t_0}^* (\mathcal{L}_{X_{t_1}} \omega_{t_1}) + \psi_{t_1,t_0}^* \left(\left. \frac{d}{dv} \right|_{v=t_1} \omega_v \right) \quad \text{fisherman} \\ &= \psi_{t_1,t_0}^* \left(\mathcal{L}_{X_{t_1}} \omega_{t_1} + \left. \frac{d}{dv} \right|_{v=t_1} \omega_v \right) \end{aligned}$$

to show the required statement. \square

LEMMA 1.1. [Bae19] Let M be a manifold, $x \in M$ with basis (e_i) for $T_x M$. Then there exists a chart (U, x^1, \dots, x^n) centered on x such that for any $i = 1, \dots, n$:

$$\left. \frac{\partial}{\partial x^i} \right|_x = e_i$$

PROPOSITION 1.4. [Lee13] Every smooth manifold admits a Riemannian metric.

DEFINITION 1.4 (Tubular Neighbourhood). [Lee18] Let (M, g) be a Riemannian manifold, $S \subseteq M$ an embedded submanifold. Denote by $\pi : NS \rightarrow S$ the normal bundle of S in M . Restrict the exponential map of M as $\exp_S : \mathcal{E} \cap NS \rightarrow M$ with $\mathcal{E} \subseteq TM$. A neighbourhood U of S in M is called a tubular neighbourhood of S if there exists a positive continuous function $\delta : S \rightarrow \mathbb{R}$ such that U is the diffeomorphic image under \exp_S of a subset $V \subseteq \mathcal{E} \cap NS$ of the form

$$V = \{(x, v) \in NS : |v|_g < \delta(x)\}.$$

We call U a uniform tubular neighbourhood of S if δ is constant.

THEOREM 1.3 (Existence of Tubular N). [Lee18] For every embedded submanifold of a Riemannian manifold (M, g) , there exists a tubular neighbourhood in M . If the submanifold is compact, there exists a uniform tubular neighbourhood.

PROPOSITION 1.5 (Homotopy Formula). [Sil08] Fix U , a tubular neighbourhood of a submanifold S embedded in M . If $\omega \in \Omega^k(U)$ is closed and $\iota^* \omega = 0$ for some $\iota : S \hookrightarrow U$, then there exists an $\eta \in \Omega^{k-1}(U)$ with $\omega = d\eta$. It is possible to ensure that $\forall x \in S : \eta_x = 0$.

Proof. By definition of tubular neighbourhood, we have a positive continuous function $\delta : S \rightarrow \mathbb{R}$ with

$$U = \exp_S(\{(x, v) \in NS : |v|_g < \delta(x)\})$$

Fix a $t \in I = [0, 1]$. Let $\psi_t : U \rightarrow U$ be defined by

$$\psi_t(\exp_S(x, v)) := \exp_S(x, tv).$$

Since \exp_S is injective and we have an smooth inverse $\exp_S(x, tv) \mapsto \exp_S(x, v)$, ψ_t is a diffeomorphism for $t > 0$. The proof is complete if we find a map $H : \Omega^k(U) \rightarrow \Omega^{k-1}(U)$ with

$$H \circ d + d \circ H = \psi_1^* - \psi_0^* = id - \iota^*$$

since it then follows by the assumptions on ω that

$$\begin{aligned} Hd(\omega) + dH(\omega) &= id(\omega) - \iota^*(\omega) \\ d(H\omega) &= \omega. \end{aligned}$$

Claim: We can define such a map as:

$$\begin{aligned} (H(\omega))_x(v) &:= \int_0^1 (\psi_t^*(i_{X_t}\omega))_x(v) dt \\ &= \int_0^1 \omega_{\psi_t(x)} \left(\frac{d}{dt} \psi_t(x), D(\psi_t)_x(v) \right) dt \end{aligned}$$

for $x \in U, v \in T_x U$, and $X_t \in \mathfrak{X}(U)$ given for $t > 0$ by

$$X_t := \left(\frac{d}{dt} \psi_t \right) \circ \psi_t^{-1}.$$

Proof of Claim: We compute

$$\begin{aligned}
 H(d\omega) + d(H\omega) &= \int_0^1 \psi_t^*(i_{X_t}(d\omega)) + d(\psi_t^*(i_{X_t}\omega)) dt \\
 &= \int_0^1 \psi_t^*(i_{X_t}(d\omega) + di_{X_t}\omega) dt \\
 &= \int_0^1 \psi_t^*(\mathcal{L}_{X_t}\omega) dt && \text{cartan} \\
 &= \int_0^1 \frac{d}{dt} \psi_t^*\omega dt && \text{fisherman} \\
 &= \psi_1^*\omega - \psi_0^*\omega
 \end{aligned}$$

Since we have $\psi_t|_S = id_S$ for $t \in I$, with S seen as a subset of NS via the zero section, it follows that X_t vanishes on S and so will η . \square

PROPOSITION 1.6 (Existence of Vector Field). *[Bae19] Fix (M, ω) a symplectic manifold and $\eta \in \Omega^1(M)$. Then there exists a unique vector field $X \in \mathfrak{X}(M)$ such that $i_X\omega = \eta$.*

2. MOSER TRICK

REMARK 2.1. See https://en.wikipedia.org/wiki/J%C3%BCrgen_Moser for history.

THEOREM 2.1 (Moser Trick). *[McD17] Fix M a compact manifold. Suppose for some open interval $0 \in J \subseteq \mathbb{R}$ we have a smooth family of symplectic forms $(\omega_t)_{t \in J} \in \Omega^2(M)$ such that there exists another smooth family $(\eta_t)_{t \in J} \in \Omega^1(M)$ with*

$$\frac{d}{dt}\omega_t = d\eta_t.$$

Then there exists a family of diffeomorphisms $(\psi_t)_{t \in J} \in \text{Diff}(M)$ with

$$\psi_t^*\omega_t = \omega_0.$$

Proof. The Moser trick is to see the ψ_t as time-dependent flows induced by some X_t time-dependent vector fields. Note that here we use the convention $\psi_t := \psi_{t,0}$ where t_0 is fixed as 0.

To begin with the end in mind, suppose that

$$\frac{d}{dt}\psi_t = X_t \circ \psi_t$$

If we would have the X_t and were to induce the flow ψ_t with the Fundamental Flow theorem we would also receive that

$$\psi_0 = \psi_0 \circ \psi_0 = id_M$$

To satisfy $\psi_t^*\omega_t$ being constant as desired we set:

$$0 = \frac{d}{dt}\psi_t^*\omega_t = \psi_t^* \left(\mathcal{L}_{X_t}\omega_t + \frac{d}{dt}\omega_t \right) \quad \text{fisherman}$$

$$\begin{aligned}
&= \psi_t^* \left(i_{X_t}(d\omega_t) + d(i_{X_t}\omega_t) + \frac{d}{dt}\omega_t \right) && \text{cartan} \\
&= \psi_t^* \left(d(i_{X_t}\omega_t) + \frac{d}{dt}\omega_t \right) && d\omega_t = 0 \text{ since closed} \\
&= \psi_t^* (d(i_{X_t}\omega_t) + d\eta_t) && \text{assumption}
\end{aligned}$$

Since ψ_t^* is an isomorphism and d is a sheaf morphism we can peel away the layers:

$$\begin{aligned}
0 &= \psi_t^* (d(i_{X_t}\omega_t) + d\eta_t) \\
&\Leftrightarrow 0 = d(i_{X_t}\omega_t) + d\eta_t = d(i_{X_t}\omega_t + \eta_t) \\
&\Leftrightarrow 0 = i_{X_t}\omega_t + \eta_t
\end{aligned}$$

We can solve $i_{X_t}\omega_t = -\eta_t$ for X_t explicitly with $X_t = -\Omega_t^{-1}(\eta_t)$ where Ω_t is the tangent-cotangent bundle isomorphism. With the Fundamental theorem of time-dependent Flow we can now integrate the X_t resulting in the flows ψ_t such that $\psi_t^*\omega_t$ is constant, and since $\psi_0^* = id$ we have $\psi_t^*\omega_t = \omega_0$. □

THEOREM 2.2 (Moser Isotopy). *[McD17] Fix as M a $2n$ -dimensional manifold and as $S \subseteq M$ a compact submanifold. If $\omega_0, \omega_1 \in \Omega^2(M)$ are close and*

- (1) $\forall x \in S : \omega_0|_x = \omega_1|_x$
- (2) $\forall x \in S : \omega_0|_x, \omega_1|_x$ are nondegenerate.

then there exist neighbourhoods U_0, U_1 of S in M and a diffeomorphism $F : U_0 \rightarrow U_1$ with

$$\begin{aligned}
F|_S &= id_S \\
F^*(\omega_1|_{U_1}) &= \omega_0|_{U_0}.
\end{aligned}$$

Proof. Let U be a uniform tubular neighbourhood of S in M by the Theorem on the Existence of Tubular Neighbourhoods. By construction \bar{U} is compact, hence the uniform nature. By the Homotopy Formula 1.5 there exists $\eta \in \Omega^1(U)$ such that

$$\omega_1 - \omega_0 = d\eta.$$

Further we can ensure in the application of the Homotopy Formula that η vanishes on S . Define for $t \in \mathbb{R}$

$$\omega_t := \omega_0 + t(\omega_1 - \omega_0)$$

By construction ω_t is closed. To assure that ω_t is non-degenerate, we shrink U to U_0 , a new neighbourhood of S in M . In doing this, note that $\omega_t = \omega_0$ on S per assumption and that we may take the union of open neighbourhoods of the non-degenerate points of S to exceed S as it is closed, and by smoothness retain the non-degenerate property.

We then have that:

$$\frac{d}{dt}\omega_t = \omega_1 - \omega_0 = d\eta.$$

Since $\overline{U_0} \subseteq \overline{U}$ is compact due to being a closed subset of a compact space, we can apply now Moser's Trick 2.1 to get a family of diffeomorphisms $(\psi_t)_{t \in J}$ with

$$\psi_t^* \omega_t = \omega_0.$$

Let now $F := \psi_1$, $U_1 := F(U_0)$. The final property follows from η vanishing on S . \square

3. DARBOUX THEOREM

THEOREM 3.1 (Darboux's Theorem). [Lee13] Fix (M, ω) a $2n$ -dimensional symplectic manifold, $x \in M$. Then there exists a chart $(U, x^1, \dots, x^n, y^1, \dots, y^n)$ centered on x such that:

$$\omega|_U = \sum_{i=1}^n dx^i \wedge dy^i$$

Proof. The canonical form theorem for symplectic tensors 1.1 provides us a basis $(a_1, \dots, a_n, b_1, \dots, b_n)$ for $T_x M$ such that for its dual basis $(a^1, \dots, a^n, b^1, \dots, b^n)$ we have

$$\omega_x = \sum_{i=1}^n da^i \wedge db^i.$$

By proposition 1.1 we further have a chart $(U, \tilde{\varphi})$ centered on x with associated coordinates $(\tilde{x}^1, \dots, \tilde{x}^n, \tilde{y}^1, \dots, \tilde{y}^n)$ such that for $i = 1, \dots, n$

$$\begin{aligned} \left. \frac{\partial}{\partial \tilde{x}^i} \right|_x &= a_i \\ \left. \frac{\partial}{\partial \tilde{y}^i} \right|_x &= b_i \end{aligned}$$

Combining the previous two results and traversing again into the dual basis we have

$$\omega_x = \sum_{i=1}^n d\tilde{x}^i|_x \wedge d\tilde{y}^i|_x.$$

Define:

$$\begin{aligned} \omega_0 &:= \omega|_U \\ \omega_1 &:= \sum_{i=1}^n d\tilde{x}^i \wedge d\tilde{y}^i. \end{aligned}$$

Then ω_0, ω_1 are symplectic forms on U .

Application of the Moser isotopy 2.2 to the compact submanifold $\{x\} \subseteq U$ given ω_0, ω_1 provides the existence of neighbourhoods U_0, U_1 of $\{x\}$ in U and a diffeomorphism $F : U_0 \rightarrow U_1$ with

$$\begin{aligned} F(x) &= x \\ F^* \omega_1 &= \omega_0. \end{aligned}$$

Define now another chart (U_0, φ) with $\varphi := \tilde{\varphi}|_{U_1} \circ F$. By construction the associated coordinates are

$$\begin{aligned} x^i &= \tilde{x}^i \circ F \\ y^i &= \tilde{y}^i \circ F. \end{aligned}$$

It then follows that $\varphi(x) = \tilde{\varphi}(x) = 0$, or in other words, the chart remains centered. The remaining property of our chart (U_0, φ) follows by:

$$\begin{aligned} \omega|_{U_0} &= \omega_0|_{U_0} \\ &= F^*(\omega_1|_{U_1}) \\ &= F^* \left(\sum_{i=1}^n d\tilde{x}^i \wedge d\tilde{y}^i \right) \\ &= \sum_{i=1}^n F^*(d\tilde{x}^i \wedge d\tilde{y}^i) \\ &= \sum_{i=1}^n F^*(d\tilde{x}^i) \wedge F^*(d\tilde{y}^i) \\ &= \sum_{i=1}^n dF^*(\tilde{x}^i) \wedge dF^*(\tilde{y}^i) \\ &= \sum_{i=1}^n d(\tilde{x}^i \circ F) \wedge d(\tilde{y}^i \circ F) \\ &= \sum_{i=1}^n dx^i \wedge dy^i. \end{aligned}$$

□

REMARK 3.1. *This theorem allows us to examine the local nature of symplectic manifolds and discover that they are always similar in nature in this regard.*

APPENDIX A. AUXILIARY

LEMMA A.1. [Bae19] For a smooth function F from manifolds M to N and $\omega, \eta \in \Omega(N)$ we have

$$F^*(\omega \wedge \eta) = F^*\omega \wedge F^*\eta$$

LEMMA A.2. [Bae19] For a smooth function F from manifolds M to N and $\omega \in \Omega(M)$ we have

$$F^*(d\omega) = d(F^*\omega).$$

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