

An Introduction to SMT Solving

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Many examples inspired/borrowed from Andrew Reynolds's and Nikolaj Bjørner's slides

Outline

- SMT Basis
- Lazy Approach --- DPLL(T)
- Eager Approach --- Bit Blasting

The Logic Languages

SAT: Propositional Satisfiability

$$(\text{Tie} \vee \text{Shirt}) \wedge (\neg \text{Tie} \vee \neg \text{Shirt}) \wedge (\neg \text{Tie} \vee \text{Shirt})$$

FOL: First-order Logic

$$\forall X, Y, Z [X * Y * Z] = (X * Y) * Z]$$

$$\forall X [X * \text{inv}(X) = e] \quad \forall X [X * e = e]$$

$$\forall n \in \{z \mid z > 2, z \in \mathbb{Z}\} \neg \exists x, y, z \in \mathbb{Z} (x^n + y^n = z^n)$$

SMT: Satisfiability Modulo background Theories

$$b+2 = c \wedge A[3] \neq A[c-b+1]$$

First Order Logic (FOL)

- First-order logic (FOL), also called predicate logic and the first-order predicate calculus.
- FOL extends propositional logic with predicates, functions, and quantifiers.
 - **variables** x, y, z, x_1, x_2, \dots
 - **constants** a, b, c, a_1, a_2, \dots
 - **Terms** evaluate to values other than truth values, integers, people, or cards of a deck. *//objects*
 - More complicated terms are constructed using **functions**.

Example: these are terms

a , a constant (or 0-ary function);

x , a variable;

$f(a)$, a unary function f applied to a constant;

$g(x, b)$, a binary function g applied to a variable x and a constant b ;

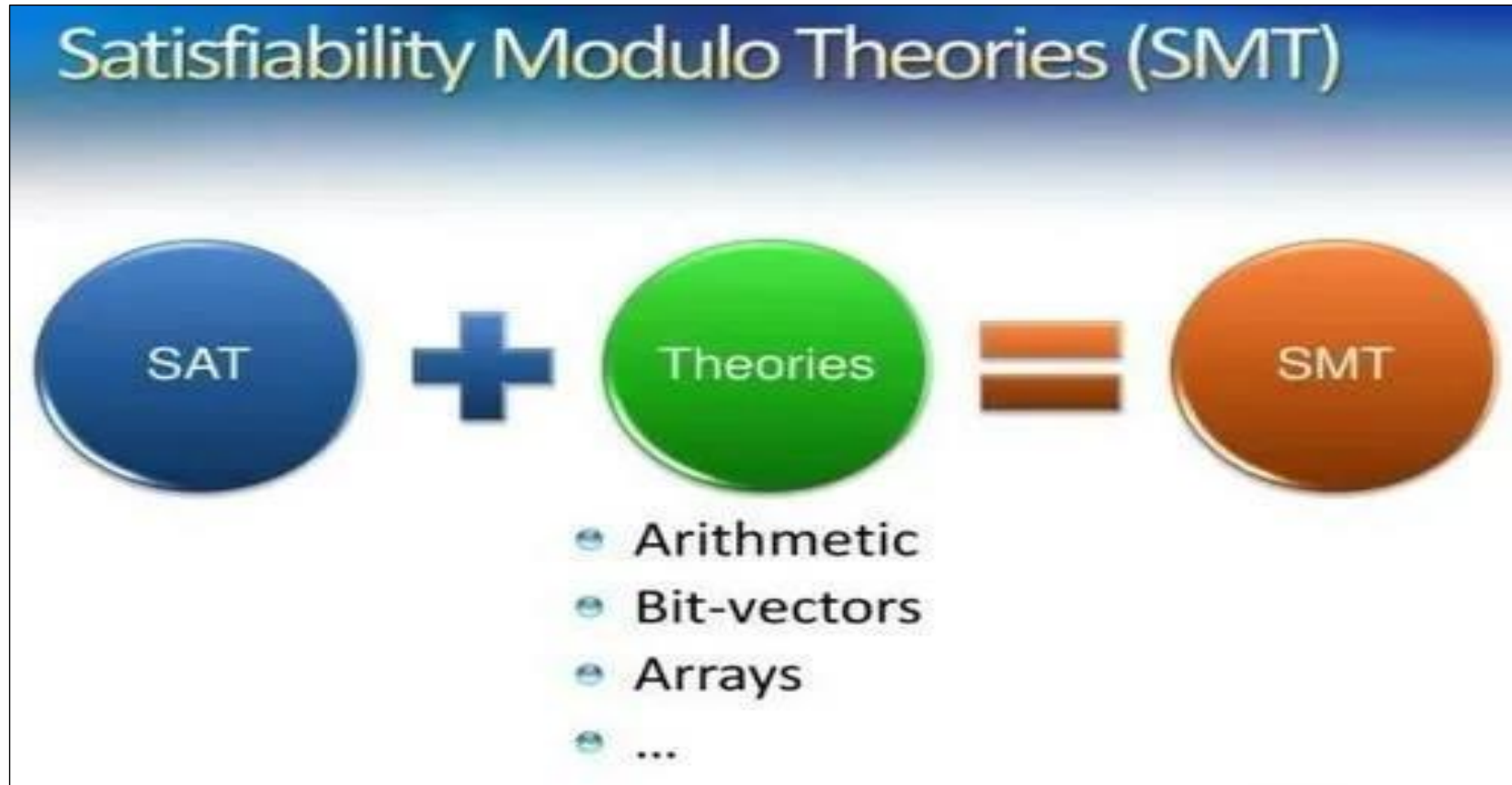
$f(g(x, f(b)))$.

First Order Logic (FOL)

- First-order logic (FOL), also called predicate logic and the first-order predicate calculus.
- FOL extends propositional logic with predicates, functions, and quantifiers.
 - **Predicates** P, Q, \dots // properties, relations of objects
 - An n -ary predicate takes n terms as arguments.
 - Example: x is a student $S(x)$
 - Andy is a student $S(\text{Andy})$
 - Bob is not a student $\neg S(\text{Bob})$
 - Example: y is a teacher of x $T(y, x)$
 - John is a teacher of Andy $T(\text{John}, \text{Andy})$
 - An **atom** is \top , \perp , or an n -ary predicate applied to n terms.
 - A **literal** is an atom or its negation.

- First-order logic (FOL), also called predicate logic and the first-order predicate calculus.
- FOL extends propositional logic with predicates, functions, and quantifiers.
 - **Quantifiers**
 - the **existential quantifier** $\exists x. F[x]$, read “there exists an x such that $F[x]$ ”;
 - the **universal quantifier** $\forall x. F[x]$, read “for all x , $F[x]$ ”.
 - A **FOL formula** is
 - a literal,
 - the application of a logical connective \neg , \wedge , \vee , \rightarrow , or \leftrightarrow to a formula or formulae,
 - or the application of a quantifier to a formula.

Satisfiability Modulo Theories



From Propositional to Quantifier-Free Theories

Example:

$$\phi := (x_1 - x_2 \leq 13 \vee x_2 \neq x_3) \wedge (x_2 = x_3 \rightarrow x_4 > x_5) \wedge A \wedge \neg B$$

Propositional Skeleton $PS_\phi = (b_1 \vee \neg b_2) \wedge (b_2 \rightarrow b_3) \wedge A \wedge \neg B$

$$b_1: x_1 - x_2 \leq 13$$

$$b_2: x_2 = x_3$$

$$b_3: x_4 > x_5$$

From Propositional to Quantifier-Free Theories

Example:

- $a = b + 2 \wedge A = \text{write}(B, a + 1, 4) \wedge (\text{read}(A, b + 3) = 2 \vee f(a - 1) \neq f(b + 1))$
- **Propositional Skeleton** $\text{PS}_\Phi = y_1 \wedge y_2 \wedge (y_3 \vee y_4)$
 - $y_1: a = b + 2$
 - $y_2: A = \text{write}(B, a + 1, 4)$
 - $y_3: \text{read}(A, b + 3) = 2$
 - $y_4: f(a - 1) \neq f(b + 1)$

Language: Signatures

- A first-order theory T is defined by the following components.
 1. Its **signature** Σ is a set of constant, function, and predicate symbols.
 - A constant can also be viewed as a 0-ary function
 - A FOL propositional variable is a 0-ary predicate, which we write A, B, C, \dots
 2. Its set of axioms \mathcal{A} is a set of closed FOL formulae in which only constant, function, and predicate symbols of Σ appear.
- A Σ -formula is constructed from constant, function, and predicate symbols of Σ , as well as variables, logical connectives, and quantifiers.
- As usual, the symbols of Σ are just symbols without prior meaning.
- The axioms \mathcal{A} provide their meaning.

Interpretation

Recall

- An **interpretation** I assigns to every propositional variable exactly one truth value.
For example, $I : \{P \mapsto \text{true}, Q \mapsto \text{false}, \dots\}$
- A formula F is satisfiable iff there exists an interpretation I such that $I \models F$.
- A formula F is valid iff for all interpretations I , $I \models F$

Interpretation

- FOL interpretation $I: (D_I, \alpha_I)$
- The domain D_I of an interpretation I is a nonempty set of values or objects, such as integers, real numbers, dogs, people, or merely abstract objects...

The **assignment** α_I of interpretation I maps constant, function, and predicate symbols to elements, functions, and predicates over D_I . It also maps variables to elements of D_I :

- each variable symbol x is assigned a value x_I from D_I ;
- each n -ary function symbol f is assigned an n -ary function

$$f_I : D_I^n \rightarrow D_I$$

that maps n elements of D_I to an element of D_I ;

- each n -ary predicate symbol p is assigned an n -ary predicate

$$p_I : D_I^n \rightarrow \{\text{true}, \text{false}\}$$

that maps n elements of D_I to a truth value.

Interpretation

Example

- $F : x + y > z \rightarrow y > z - x$
- We construct a “standard” interpretation I
- The domain is the integers, $\mathbb{Z}: D_I = \mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$
- $\alpha_I: \{+ \mapsto +_{\mathbb{Z}}, - \mapsto -_{\mathbb{Z}}, > \mapsto >_{\mathbb{Z}}, x \mapsto 13, y \mapsto 42, z \mapsto 1\}$

T-satisfiability

- Given a FOL formula F and interpretation $I: (D_I, \alpha_I)$, we want to compute if F evaluates to true under interpretation I , $I \models F$, or if F evaluates to false under interpretation I , $I \not\models F$.
 - I satisfies F : $I \models F$
- T – interpretation: an interpretation satisfying $I \models A$ for every $A \in \mathcal{A}$.
- A Σ -formula F is **satisfiable** in T , or **T-satisfiable**, if there is a T-interpretation I that satisfies F .

Input Format : SMT-LIB2

- First, directives. E.g., asking models to be reported:

(set - option : produce - models true)

- Second, set background theory:

(set - logic QF_LIA)

- Standard theories of interest :
 - QF_BV: quantifier-free bit vector theory
 - QF_LRA : quantifier-free linear real arithmetic
 - QF_LIA: quantifier-free linear integer arithmetic
 - QF_NRA : quantifier-free nonlinear real arithmetic
 - QF_NIA : quantifier-free nonlinear integer arithmetic
 - ...

Input Format : SMT-LIB2

- Third, declare variables

(declare-fun x () s), or (declare-const x s) //introducing new symbols x of sort s

common sorts: Int Bool Real (_BitVec 3) ((_FixedSizeList 4) Real) (Set (_BitVec 3))

E.g., integer variable **x**:

(declare - fun x () Int)

E.g., real variable **z_1_3**:

(declare - fun z_1_3 () Real)

Input Format : SMT-LIB2

- Fourth, assert formula.
- Expressions should be written in prefix form:
(< operator > < arg 1 > ... < arg n >)

```
( assert
  ( and
    ( or
      ( <= (+ x 3) (* 2 u) )
      ( >= (+ v 4) y )
      ( >= (+ x y z ) 2)
    )
    (= 7
      (+
        ( ite ( and ( <= x 2 ) ( <= 2 (+ x 3 (- 1))) ) 3 o)
        ( ite ( and ( <= u 2 ) ( <= 2 (+ u 3 (- 1))) ) 4 o)
      )
    )
  )
)
```

- and, or, + have arbitrary arity
- - is unary or binary
- * is binary
- ite is the **if-then-else** operator (like ? in C, C++, Java).

Let a be Boolean and b, c have the same sort **S**, then (ite a b c) is the expression of sort **S** equal to:

- b if a holds
- c if a does not hold

Input Format : SMT-LIB2

- Finally ask the SMT solver to check satisfiability ...

(check - sat)

- ... and report the model

(get - model)

- Anything following a ; up to an end-of-line is a comment
 - You can also use (set-info : comments) to write comments in your files

Input Format : SMT-LIB2

```
( set - option : produce - models true )  
( set - logic QF_LIA )  
( declare - fun x () Int )  
( declare - fun y () Int )  
( declare - fun z () Int )      ;      This is an example  
( declare - fun u () Int )  
( declare - fun v () Int )  
( assert  
  ( and  
    ( or  
      ( <= (+ x 3) (* 2 y) )  
      ( >= (+ x 4) z )  
    )  
    ( <= x y ) )  
)  
( check - sat )  
( get - model )
```

Input Format : SMT-LIB2

```
(set-logic QF_LIA)
(declare-fun x () Int)
(declare-fun y () Int)
(declare-fun z () Int)
(assert (or (> x y) (> x z)))
(assert (or (< (+ x 1) y) (not (> x y))))
(assert (or (> x y) (> z y)))
(check-sat)
```

Example

Input Format : SMT-LIB2

; There is a fast way to check that fixed size numbers are powers of two.
; It turns out that a bit-vector x is a power of two or zero if and only if $x \& (x - 1)$ is zero, where $\&$ represents the bitwise and.
; When using Z3, if you do not set logic, it means all logics supported in Z3.

```
(define-fun is-power-of-two ((x (_ BitVec 4))) Bool
  (= #x0 (bvand x (bvsub x #x1))))
(declare-const a (_ BitVec 4))
(assert
  (not (= (is-power-of-two a)
    (or (= a #x0)
      (= a #x1)
      (= a #x2)
      (= a #x4)
      (= a #x8)))))
(check-sat)
```

Output Format : SMT-LIB2

- 1st line is sat or unsat
- If satisfiable, then comes a description of the solution in a model expression, where the value of each variable is given by:

(define – fun < variable > () < sort > < value >)

- Example:

```
sat
(model
  (define - fun y () Int 0 )
  (define - fun x () Int (- 3) )
  (define - fun z () Int 2 )
)
```

SMT Encoding (Programming) – solving equations

It's that easy to solve it in Z3:

```
#!/usr/bin/python
from z3 import *

circle, square, triangle = Ints('circle square triangle')
s = Solver()
s.add(circle+circle==10)
s.add(circle*square+square==12)
s.add(circle*square-triangle*circle==circle)
print s.check()
print s.model()
```

```
sat
[triangle = 1, square = 2, circle = 5]
```

$$\begin{aligned} \text{○} + \text{○} &= 10 \\ \text{○} \times \text{□} + \text{□} &= 12 \\ \text{○} \times \text{□} - \text{△} \times \text{○} &= \text{○} \end{aligned}$$

$$\text{△} = ?$$

SMT Encoding (Programming) - Sudoku

		5	3					
8							2	
	7			1		5		
4					5	3		
	1			7				6
		3	2				8	
	6		5					9
		4					3	
					9	7		

SMT-solvers are so helpful, in that our Sudoku solver has nothing else, we have just defined relationships between variables (cells).

```
% time python sudoku2_Z3.py
1 4 5 3 2 7 6 9 8
8 3 9 6 5 4 1 2 7
6 7 2 9 1 8 5 4 3
4 9 6 1 8 5 3 7 2
2 1 8 4 7 3 9 5 6
7 5 3 2 9 6 4 8 1
3 6 7 5 4 2 8 1 9
9 8 4 7 6 1 2 3 5
5 2 1 8 3 9 7 6 4

real      0m0.382s
user      0m0.346s
sys       0m0.036s
```



```

26 # process text line:
27 current_column=0
28 current_row=0
29 for i in puzzle:
30     if i!='.':
31         s.add(cells[current_row][current_column]==int(i))
32         current_column=current_column+1
33     if current_column==9:
34         current_column=0
35         current_row=current_row+1
36
37 for r in range(9):
38     for c in range(9):
39         s.add(cells[r][c]>=1)
40         s.add(cells[r][c]<=9)
41
42 # for all 9 rows
43 for r in range(9):
44     s.add(Distinct(cells[r][0],
45                   cells[r][1],
46                   cells[r][2],
47                   cells[r][3],
48                   cells[r][4],
49                   cells[r][5],
50                   cells[r][6],
51                   cells[r][7],
52                   cells[r][8]))
53 # for all 9 columns
54 for c in range(9):
55     s.add(Distinct(cells[0][c],
56                   cells[1][c],
57                   cells[2][c],
58                   cells[3][c],
59                   cells[4][c],
60                   cells[5][c],
61                   cells[6][c],
62                   cells[7][c],
63                   cells[8][c]))

```

```

1  #!/usr/bin/env python3
2  import sys
3  from z3 import *
4  """
5  -----
6  00 01 02 | 03 04 05 | 06 07 08
7  10 11 12 | 13 14 15 | 16 17 18
8  20 21 22 | 23 24 25 | 26 27 28
9  -----
10 30 31 32 | 33 34 35 | 36 37 38
11 40 41 42 | 43 44 45 | 46 47 48
12 50 51 52 | 53 54 55 | 56 57 58
13 -----
14 60 61 62 | 63 64 65 | 66 67 68
15 70 71 72 | 73 74 75 | 76 77 78
16 80 81 82 | 83 84 85 | 86 87 88
17 -----
18 """
19 #https://sat-smt.codes/current_tree/puzzles/sudoku/1/sudoku2_z3.py
20
21 s=Solver()
22 # using Python list comprehension , construct array of arrays of BitVec instances:
23 cells=[[Int('cell%d%d' % (r, c)) for c in range(9)] for r in range(9)]
24 puzzle="
25 ..53.....8.....2...7..1.5..4....53...1..7...6..32...8..6.5....9..4....3.....97.."
26 # process text line:

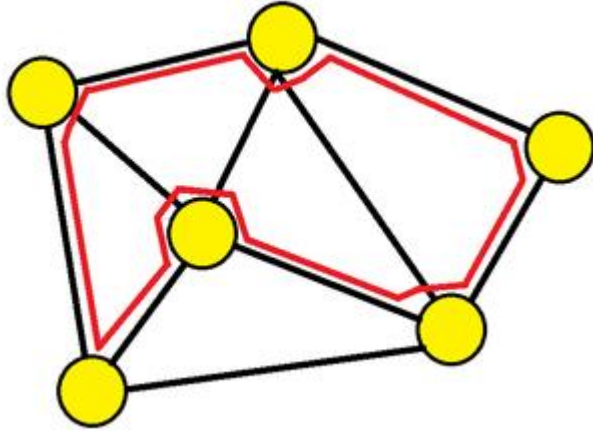
```

```

64 # enumerate all 9 squares
65 for r in range(0, 9, 3): # with each step of 3
66     for c in range(0, 9, 3):
67         # add constraints for each 3*3 square:
68         s.add(Distinct(cells[r+0][c+0],
69                       cells[r+0][c+1],
70                       cells[r+0][c+2],
71                       cells[r+1][c+0],
72                       cells[r+1][c+1],
73                       cells[r+1][c+2],
74                       cells[r+2][c+0],
75                       cells[r+2][c+1],
76                       cells[r+2][c+2]))
77
78 print (s.check())
79 #print (s.model())
80 m=s.model()
81 for r in range(9):
82     for c in range(9):
83         sys.stdout.write (str(m[cells[r][c]])+" ")
84 print ("")
85

```

SMT Encoding (Programming) – Hamiltonian cycle



A **Hamiltonian path** (or traceable path) is a path in an undirected or directed graph that visits each vertex exactly once

A **Hamiltonian cycle** (or Hamiltonian circuit) is a Hamiltonian path that is a cycle.
NP complete problem.

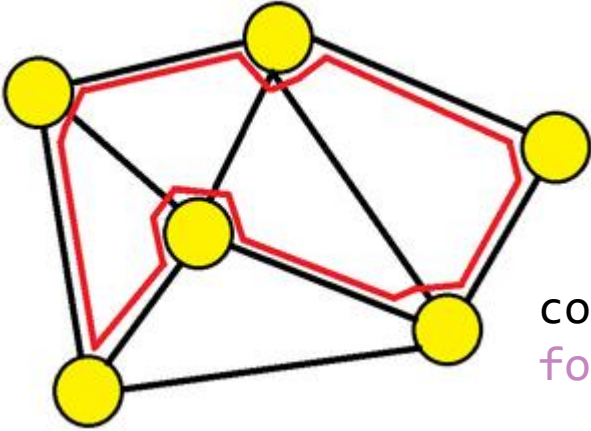
The position of every node in hamiltonian cycle order array should be a integer in $[0, N)$.

$$\forall i \in [0, 1, \dots, N - 1] (pos[i] \in [0, 1, \dots, N - 1] \wedge pos[i] \in \mathbb{Z})$$

For every node, there should be one node which is just next to it in hamiltonian cycle order.

$$\forall i \in [0, 1, \dots, N - 1] \exists j \{j \in [0, 1, \dots, N - 1] \wedge edge(i, j) \in G \wedge pos[j] \equiv (pos[i] + 1) \% N\}$$

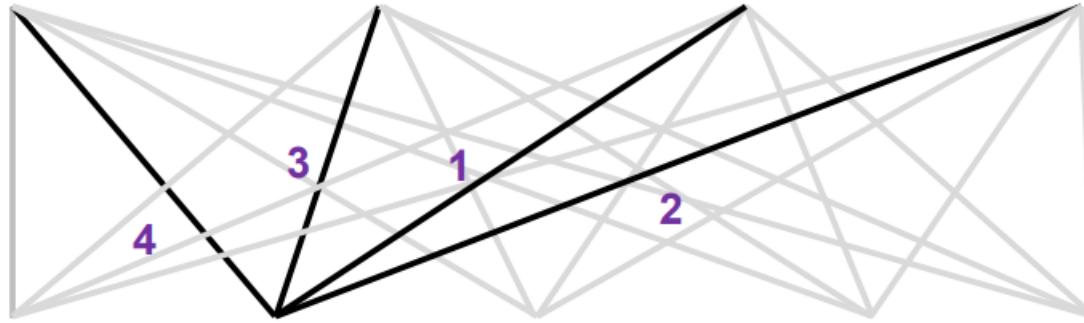
SMT Encoding (Programming) – Hamiltonian cycle



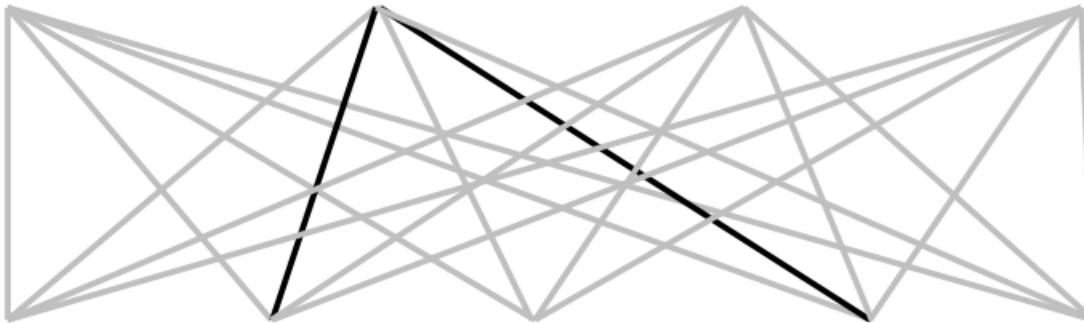
```
constraint <- {}  
for i : {i | i in [0, N)} do  
  constraint.add_clause(0 <= pos[i] < N and is_integer(pos[i]))  
end for  
constraint.add_clause(pos[0] == 0)  
for i : {i | i in [0, N)} do  
  or_clause <- {}  
  for j : {j | node j can be reached by node i in graph} do  
    or_clause.add_literal(pos[j] == (pos[i] + 1) % N)  
  end for  
  constraint.add_clause(or_clause)  
end for
```

SMT Encoding (Programming) – Job Scheduling

Precedence: between two tasks of the same job



Resource: Machines execute at most one job at a time

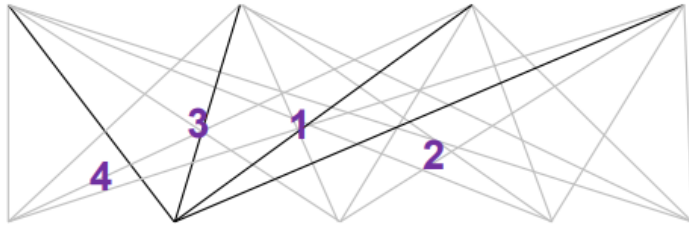


$$[start_{2,2}..end_{2,2}] \cap [start_{4,2}..end_{4,2}] = \emptyset$$

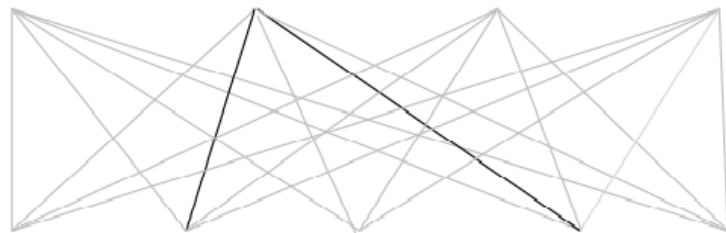
SMT Encoding (Programming) – Job Scheduling

Constraints:

Precedence:



Resource:



$$[start_{2,2}..end_{2,2}] \cap [start_{4,2}..end_{4,2}] = \emptyset$$

Encoding:

$t_{2,3}$ - start time of
job 2 on mach 3

$d_{2,3}$ - duration of
job 2 on mach 3

$$t_{2,3} + d_{2,3} \leq t_{2,4}$$

Not convex

$$t_{2,2} + d_{2,2} \leq t_{4,2}$$

\vee

$$t_{4,2} + d_{4,2} \leq t_{2,2}$$

SMT Encoding (Programming) – Job Scheduling

$d_{i,j}$	Machine 1	Machine 2
Job 1	2	1
Job 2	3	1
Job 3	2	3

$max = 8$

Solution

$t_{1,1} = 5, t_{1,2} = 7, t_{2,1} = 2,$
 $t_{2,2} = 6, t_{3,1} = 0, t_{3,2} = 3$

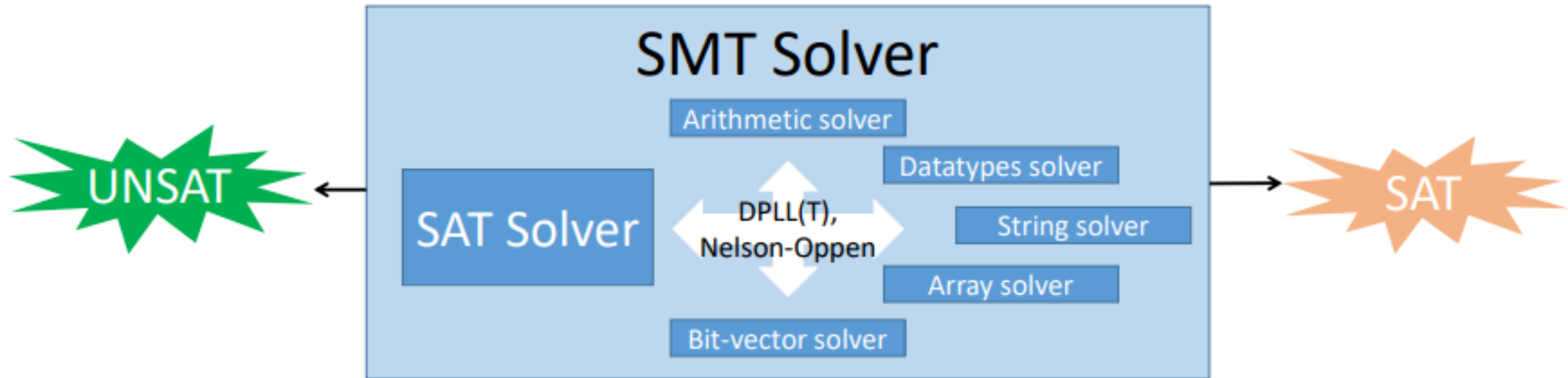
Encoding

$(t_{1,1} \geq 0) \wedge (t_{1,2} \geq t_{1,1} + 2) \wedge (t_{1,2} + 1 \leq 8) \wedge$
 $(t_{2,1} \geq 0) \wedge (t_{2,2} \geq t_{2,1} + 3) \wedge (t_{2,2} + 1 \leq 8) \wedge$
 $(t_{3,1} \geq 0) \wedge (t_{3,2} \geq t_{3,1} + 2) \wedge (t_{3,2} + 3 \leq 8) \wedge$
 $((t_{1,1} \geq t_{2,1} + 3) \vee (t_{2,1} \geq t_{1,1} + 2)) \wedge$
 $((t_{1,1} \geq t_{3,1} + 2) \vee (t_{3,1} \geq t_{1,1} + 2)) \wedge$
 $((t_{2,1} \geq t_{3,1} + 2) \vee (t_{3,1} \geq t_{2,1} + 3)) \wedge$
 $((t_{1,2} \geq t_{2,2} + 1) \vee (t_{2,2} \geq t_{1,2} + 1)) \wedge$
 $((t_{1,2} \geq t_{3,2} + 3) \vee (t_{3,2} \geq t_{1,2} + 1)) \wedge$
 $((t_{2,2} \geq t_{3,2} + 3) \vee (t_{3,2} \geq t_{2,2} + 1))$

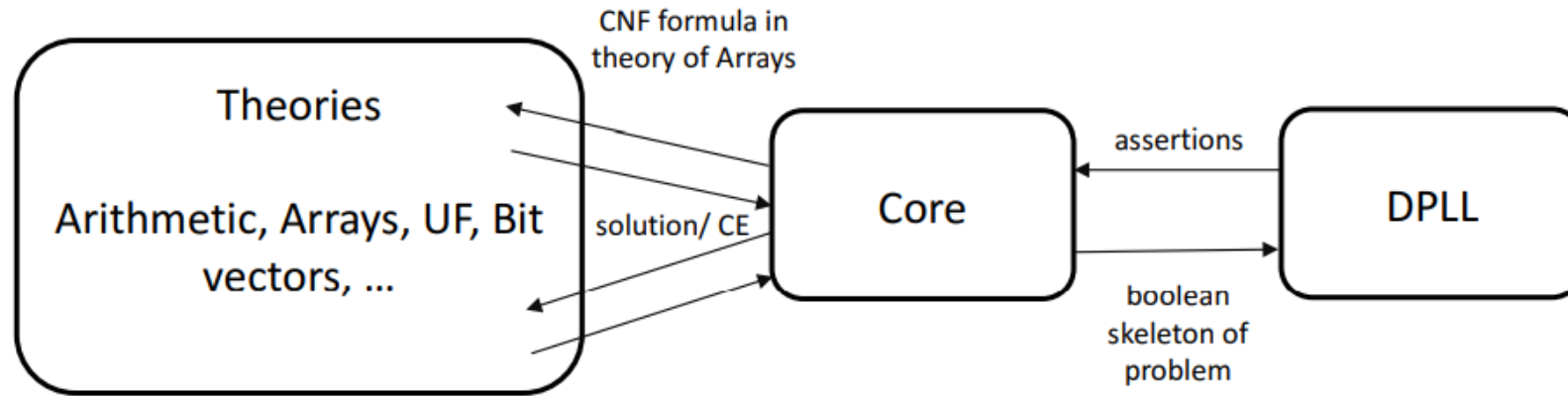
Outline

- SMT Basis
- Lazy Approach --- DPLL(T)
- Eager Approach --- Bit Blasting

DPLL(T)



DPLL(T)



DPLL(T):

- “a general method—a framework, really—that generalizes CDCL to a decision procedure for decidable quantifier-free first order theories.
- The method is commonly referred to as DPLL(T), emphasizing that it is parameterized by a theory T.
- The fact that it is called DPLL(T) and not CDCL(T) is attributed to historical reasons only: it is based on modern CDCL solvers”

---”Decision Procedures” Daniel Kroening, Ofer Strichman

Before the search

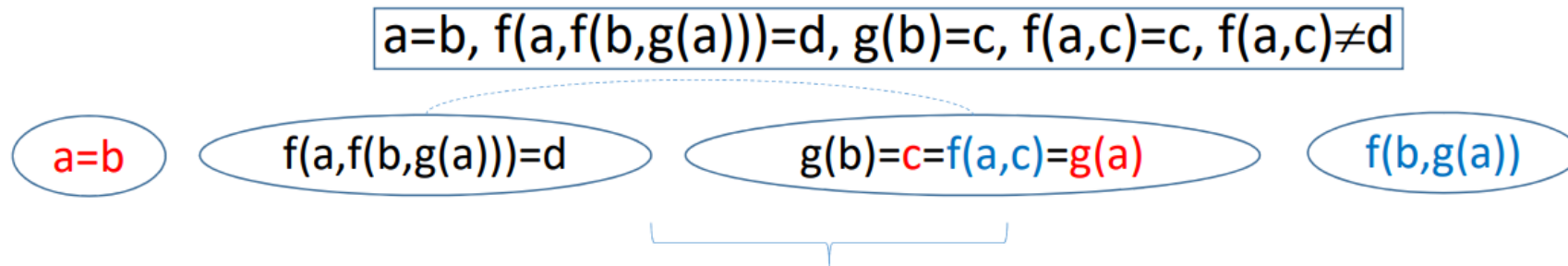
Abstract the skeleton:

Given atom a , we associate with it a unique Boolean variable $e(a)$, which we call the Boolean **encoder** of this atom.

$$\varphi := x = y \vee x = z$$

$$e(\varphi) := e(x = y) \vee e(x = z)$$

Congruence Closure



...merge congruent terms

since $a=b$ and $c=g(a)$, we know $f(a, c)=f(b, g(a))$

Propositional Skeleton

$$\varphi := x = y \wedge ((y = z \wedge \neg(x = z)) \vee x = z) .$$

The propositional skeleton of φ is

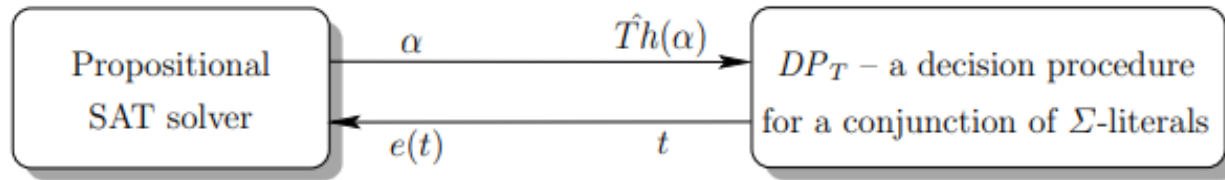
$$e(\varphi) := e(x = y) \wedge ((e(y = z) \wedge \neg e(x = z)) \vee e(x = z)) .$$

Let \mathcal{B} be a Boolean formula, initially set to $e(\varphi)$, i.e.,

$$\mathcal{B} := e(\varphi) .$$

$$\alpha := \{e(x = y) \mapsto \text{TRUE}, e(y = z) \mapsto \text{TRUE}, e(x = z) \mapsto \text{FALSE}\} .$$

A basic lazy approach



$$\varphi := x = y \wedge ((y = z \wedge \neg(x = z)) \vee x = z) .$$

The propositional skeleton of φ is

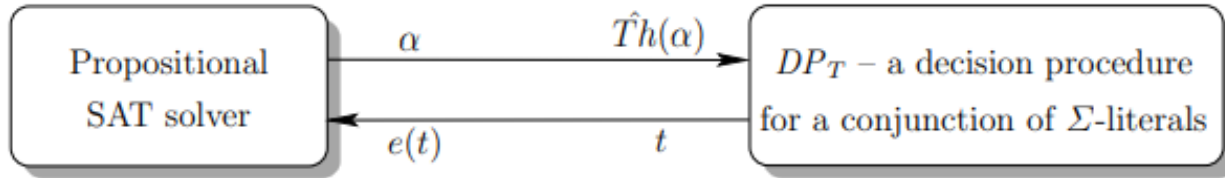
$$e(\varphi) := e(x = y) \wedge ((e(y = z) \wedge \neg e(x = z)) \vee e(x = z)) .$$

Let \mathcal{B} be a Boolean formula, initially set to $e(\varphi)$, i.e.,

$$\mathcal{B} := e(\varphi) .$$

- Call SAT solver to solve $e(\varphi)$, find $\alpha := \{e(x = y) \mapsto \text{TRUE}, e(y = z) \mapsto \text{TRUE}, e(x = z) \mapsto \text{FALSE}\}$.
- \rightarrow Call decision procedure DP_T to check the conjunction corresponding to α , denoted by $\widehat{Th}(\alpha)$, $\widehat{Th}(\alpha) := x=y \wedge y = z \wedge \neg(x=z) \rightarrow$ the result: $\widehat{Th}(\alpha)$ is **unsat**.
- \rightarrow \mathcal{B} is conjoined with $e(\neg\widehat{Th}(\alpha))$, the Boolean encoding of this tautology.
 - $e(\neg\widehat{Th}(\alpha)) := \neg e(x=y) \vee \neg e(y = z) \vee e(x=z)$ --- **blocking clause(s)**
 - This clause contradicts the current assignment, and hence blocks it from being repeated
 - In general, we denote by t the **lemma** returned by DP_T .
- \rightarrow After the blocking clause has been added, the SAT solver is invoked again and suggests another assignment
- \rightarrow Then invoke DP_T again to check the conjunction of the literals corresponding to the new assignment.
- ...

A Basic Lazy Approach



Let B^i be the formula B in the i -th iteration of the loop in basic lazy algorithm.

B^{i+1} is strictly stronger than B^i for all $i \geq 1$, because blocking clauses are added but not removed between iterations.

It is not hard to see that this implies that any conflict clause that is learned while solving B^i can be reused when solving B^j for $i < j$.

This, in fact, is a special case of **incremental satisfiability**, which is supported by most modern SAT solvers. Hence, invoking an incremental SAT solver can increase the efficiency of the algorithm.

A Basic Lazy Approach: Example

$$\Phi := \underbrace{g(a) = c}_1 \wedge \underbrace{(f(g(a)) \neq f(c))}_2 \vee \underbrace{g(a) = d}_3 \wedge \underbrace{c \neq d}_4$$

- $PS_{\Phi} = y_1 \wedge (\neg y_2 \vee y_3) \wedge y_4$
- $y_1: g(a) = c$
- $y_2: f(g(a)) = f(c)$
- $y_3: g(a) = d$
- $y_4: c = d$

Send $\{1, \bar{2} \vee 3, \bar{4}\}$ to SAT

SAT solver returns model $\{1, \bar{2}, \bar{4}\}$

UF-solver find concretization of $\{1, \bar{2}, \bar{4}\}$ UNSAT

Send $\{1, \bar{2} \vee 3, \bar{4}, \neg(1 \wedge \bar{2} \wedge \bar{4})\}$ to SAT

Send $\{1, \bar{2} \vee 3, \bar{4}, \bar{1} \vee 2 \vee 4\}$ to SAT

SAT solver returns model $\{1, 3, \bar{4}\}$

UF-solver find concretization of $\{1, 3, \bar{4}\}$ UNSAT

Send $\{1, \bar{2} \vee 3, \bar{4}, \bar{1} \vee 2 \vee 4, \bar{1} \vee \bar{3} \vee 4\}$ to SAT

SAT solver returns UNSAT; Original formula is UNSAT in UF

Integration into CDCL

Algorithm 3.3.2: LAZY-CDCL

Input: A formula φ

Output: “Satisfiable” if the formula is satisfiable, and “Unsatisfiable” otherwise

```
1. function LAZY-CDCL
2.   ADDCLAUSES(cnf(e( $\varphi$ )));
3.   while (TRUE) do
4.     while (BCP() = “conflict”) do
5.       backtrack-level := ANALYZE-CONFLICT();
6.       if backtrack-level < 0 then return “Unsatisfiable”;
7.       else BackTrack(backtrack-level);
8.     if  $\neg$ DECIDE() then                                      $\triangleright$  Full assignment
9.        $\langle t, res \rangle$  := DEDUCTION( $\hat{T}h(\alpha)$ );                  $\triangleright \alpha$  is the assignment
10.      if res = “Satisfiable” then return “Satisfiable”;
11.      ADDCLAUSES(e(t));
```

Integration into CDCL

Still not clever enough...

- Consider, for example, a formula ϕ that contains literals

$$x_1 \geq 10, \quad x_1 < 0,$$

where x_1 is an integer variable.

- Assume that the Decide procedure assigns $e(x_1 \geq 10) \mapsto \text{true}$ and $e(x_1 < 0) \mapsto \text{true}$. Inevitably, any call to Deduction results in a contradiction between these two facts.
- However, Algorithm Lazy-CDCL does not call Deduction until a full satisfying assignment is found. // waste time to complete the assignment.

Theory Propagation and the DPLL(T) Framework

- Deduction is invoked after BCP stops.
- It finds T-implied literals and communicates them to the CDCL part of the solver in the form of a constraint t .

Such implications are due to the theory T . Accordingly, this technique is known by the name **theory propagation**. (*When Deduction cannot find an asserting clause t as defined above, t and $e(t)$ are equivalent to true.)

Example.

- Consider the two encoders $e(x_1 \geq 10)$ and $e(x_1 < 0)$. After the first of these has been set to true, Deduction detects that $\neg(x_1 < 0)$ is implied.
- In other words, $t := \neg(x_1 \geq 10) \vee \neg(x_1 < 0)$ is T-valid.
- The corresponding encoded (asserting) clause $e(t) := \neg e(x_1 \geq 10) \vee \neg e(x_1 < 0)$
- $e(t)$ is added to B , which leads to an immediate implication of $\neg e(x_1 < 0)$, and possibly further implications.

Algorithm 3.4.1: DPLL(T)

Input: A formula φ

Output: “Satisfiable” if the formula is satisfiable, and “Unsatisfiable” otherwise

```
1. function DPLL( $T$ )
2.   ADDCLAUSES( $cnf(e(\varphi))$ );
3.   while (TRUE) do
4.     repeat
5.       while (BCP() = “conflict”) do
6.          $backtrack-level :=$  ANALYZE-CONFLICT();
7.         if  $backtrack-level < 0$  then return “Unsatisfiable”;
8.         else BackTrack( $backtrack-level$ );
9.          $\langle t, res \rangle :=$  DEDUCTION( $\hat{T}h(\alpha)$ );
10.        ADDCLAUSES( $e(t)$ );
11.    until  $t \equiv \text{TRUE}$ ;
12.    if  $\alpha$  is a full assignment then return “Satisfiable”;
13.    DECIDE();
```

Performance, Performance...

- **Theory lemmas** have to be implied by ϕ and are restricted to a finite set of atoms—typically to ϕ 's atoms.
- It is desirable that, when $\neg \widehat{Th}(\alpha)$ is unsatisfiable, $e(t)$ blocks α ;
- it is not mandatory, because whether it blocks α or not does not affect correctness—**Deduction only needs to be complete when α is a full assignment.**
- SMT solvers exploit this fact to perform cheap checks on partial assignments, e.g., bound the time dedicated to them.

Performance, Performance...

- When α is partial, Deduction checks
 - if there is a contradiction on the theory side,
 - and if not, it performs theory propagation.
- For performance, it is frequently better to run an approximation in this step for finding contradictions.
 - This does not change the completeness of the algorithm, since a complete check is performed when α is full.
 - E.g. integer linear arithmetic: Deciding the conjunctive fragment of this theory is NP-complete, and therefore they only consider the real relaxation of the problem, which can be solved in polynomial time.
 - This means that Deduction will sometimes miss a contradiction and hence not return a blocking clause

Performance, Performance...

- Another performance consideration is related to theory propagation, which is required not for correctness, but only for efficiency.
- **Exhaustive theory propagation** refers to a procedure that finds and propagates all literals that are implied in T by $\widehat{Th}(\alpha)$.
- A simple generic way (called “plunging”) to perform theory propagation
Given an unassigned theory atom at_i , check whether $\widehat{Th}(\alpha)$ implies either at_i or $\neg at_i$.
The set of unassigned atoms that are checked in this way depends on how exhaustive we want the theory propagation to be.
- In many cases a better strategy is to perform only simple, inexpensive propagations
 - E.g. LIA: to search for simple-to-find implications, such as “if $x > c$ holds, where x is a variable and c a constant, then any literal of the form $x > d$ is implied if $d < c$ ”

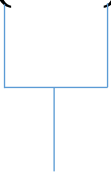
DPLL(T)

- DPLL(T) algorithm for satisfiability modulo T
 - Extends DPLL (indeed CDCL) algorithm to incorporate reasoning about a theory T
 - Basic Idea:
 - Use CDCL algorithm to find assignments for propositional abstraction of formula
Use off-the-shelf **SAT solver**
 - Check the T-satisfiability of assignments found by SAT solver
Use **Theory Solver for T**
 - Perform contradiction detection and theory propagation at partial assignments in CDCL
Use **Theory Solver for T**

DPLL(T) Example

$$(x+1 > 0 \vee x+y > 0) \wedge (x < 0 \vee x+y > 4) \wedge \neg x+y > 0$$

- DPLL(LIA) algorithm



Invoke DPLL(T) for theory $T = \text{LIA}$ (linear integer arithmetic)

DPLL(T) Example

$$(x+1>0 \vee x+y>0) \wedge (x<0 \vee x+y>4) \wedge \neg x+y>0$$

- DPLL(LIA) algorithm
 - Propagate : $x+y>0 \rightarrow \text{false}$
 - Propagate : $x+1>0 \rightarrow \text{true}$
 - Decide : $x<0 \rightarrow \text{true}$

➡ Unlike propositional SAT case, we must check **T-satisfiability of context**

Context

$\neg x+y>0$


$x+1>0$

$x<0^d$

DPLL(T) Example

$$(x+1>0 \vee x+y>0) \wedge (x<0 \vee x+y>4) \wedge \neg x+y>0$$

- DPLL(LIA) algorithm
 - Propagate : $x+y>0 \rightarrow \text{false}$
 - Propagate : $x+1>0 \rightarrow \text{true}$
 - Decide : $x<0 \rightarrow \text{true}$
 - Invoke theory solver for LIA on: $\{x+1>0, \neg x+y>0, x<0\}$



Context is LIA-unsatisfiable! \rightarrow one of $x+1>0$, $x<0$ must be false

Context

$\neg x+y>0$
 $x+1>0$
 $x<0^d$

DPLL(T) Example

$$(x+1>0 \vee x+y>0) \wedge (x<0 \vee x+y>4) \wedge \neg x+y>0 \wedge (\neg x+1>0 \vee \neg x<0)$$



Conflicting clause!
...backtrack on a decision



- DPLL(LIA) algorithm

- Propagate : $x+y>0 \rightarrow \text{false}$
- Propagate : $x+1>0 \rightarrow \text{true}$
- Decide : $x<0 \rightarrow \text{true}$
- Invoke theory solver for LIA on: $\{x+1>0, \neg x+y>0, x<0\}$
 - Add **theory lemma** $(\neg x+1>0 \vee \neg x<0)$

Context

$\neg x+y>0$
 $x+1>0$
 $x<0^d$

DPLL(T) Example

$$(\textcolor{green}{x+1>0} \vee \textcolor{orange}{x+y>0}) \wedge (\textcolor{orange}{x<0} \vee x+y>4) \wedge \textcolor{green}{\neg x+y>0} \wedge \\ (\textcolor{orange}{\neg x+1>0} \vee \neg x<0)$$

- DPLL(LIA) algorithm
 - Propagate : $x+y>0 \rightarrow \text{false}$
 - Propagate : $x+1>0 \rightarrow \text{true}$
 - **Propagate** : $x<0 \rightarrow \text{false}$

Context

$\neg x+y>0$
 $x+1>0$
 $\neg x<0$

DPLL(T) Example

$$(\textcolor{green}{x+1>0} \vee \textcolor{orange}{x+y>0}) \wedge (\textcolor{orange}{x<0} \vee x+y>4) \wedge \textcolor{green}{\neg x+y>0} \wedge \\ (\textcolor{orange}{\neg x+1>0} \vee \textcolor{green}{\neg x<0})$$

- DPLL(LIA) algorithm
 - Propagate : $x+y>0 \rightarrow \text{false}$
 - Propagate : $x+1>0 \rightarrow \text{true}$
 - Propagate : $x<0 \rightarrow \text{false}$
 - Propagate : $x+y>4 \rightarrow \text{true}$
 - Invoke theory solver for LIA on: $\{ x+1>0, \neg x+y>0, \neg x<0, x+y>4 \}$

Context

$\neg x+y>0$
 $x+1>0$
 $\neg x<0$
 $x+y>4$

DPLL(T) Example

$$(x+1>0 \vee x+y>0) \wedge (x<0 \vee x+y>4) \wedge \neg x+y>0 \wedge (\neg x+1>0 \vee \neg x<0)$$

- DPLL(LIA) algorithm

- Propagate : $x+y>0 \rightarrow \text{false}$
- Propagate : $x+1>0 \rightarrow \text{true}$
- Propagate : $x<0 \rightarrow \text{false}$
- Propagate : $x+y>4 \rightarrow \text{true}$
- Invoke theory solver for LIA on: $\{x+1>0, \neg x+y>0, \neg x<0, x+y>4\}$

Context is LIA-unsatisfiable! \rightarrow one of $\neg x+y>0, x+y>4$ must be false

Context

$\neg x+y>0$
 $x+1>0$
 $\neg x<0$
 $x+y>4$

DPLL(T) Example

$$(\textcolor{green}{x+1>0} \vee \textcolor{orange}{x+y>0}) \wedge (\textcolor{orange}{x<0} \vee \textcolor{green}{x+y>4}) \wedge \neg \textcolor{green}{x+y>0} \wedge \\ (\neg \textcolor{orange}{x+1>0} \vee \neg \textcolor{green}{x<0}) \wedge (\textcolor{orange}{x+y>0} \vee \neg \textcolor{orange}{x+y>4})$$

- DPLL(LIA) algorithm

- Propagate : $x+y>0 \rightarrow \text{false}$
- Propagate : $x+1>0 \rightarrow \text{true}$
- Propagate : $x<0 \rightarrow \text{false}$
- Propagate : $x+y>4 \rightarrow \text{true}$
- Invoke theory solver for LIA on: $\{x+1>0, \neg x+y>0, \neg x<0, x+y>4\}$
 - Add theory lemma $(x+y>0 \vee \neg x+y>4)$



Conflicting clause!
...no decision to backtrack



Input is



Context

$\neg x+y>0$
 $x+1>0$
 $\neg x<0$
 $x+y>4$

DPLL(T) Theory Solver

- **Input** : A set of T-literals M

- **Output** : either

1. M is T-satisfiable

- Return model, e.g. $\{x \rightarrow 2, y \rightarrow 3, z \rightarrow -3, \dots\}$

→ Should be *solution-sound*

- Answers “ M is T-satisfiable” only if M is T-satisfiable

2. $\{l_1, \dots, l_n\} \subseteq M$ is T-unsatisfiable // $l_1 \wedge \dots \wedge l_n$

- Return conflict clause $(\neg l_1 \vee \dots \vee \neg l_n)$

→ Should be *refutation-sound*

- Answers “ $\{l_1, \dots, l_n\}$ is T-unsatisfiable” only if $\{l_1, \dots, l_n\}$ is T-unsatisfiable

3. Return lemma

→ If solver is solution-sound, refutation-sound, and *terminating*,

- Then it is a *decision procedure* for T

Design of DPLL(T) Theory Solvers

- A DPLL(T) theory solver:
 - Should be **solution-sound**, **refutation-sound**, **terminating**
 - Should produce **models** when M is T-satisfiable
 - Should produce **T-conflicts of minimal size** when M is T-unsatisfiable
 - Should be designed to work **incrementally**
 - M is constantly being appended to/backtracked upon
 - Can be designed to check T-satisfiability either:
 - **Eagerly**: Check if M is T-satisfiable immediately when any literal is added to M
 - **Lazily**: Check if M is T-satisfiable only when M is complete
 - Should **cooperate** with other theory solvers when combining theories
 - (see later)

Outline

- SMT Basis
- Lazy Approach --- DPLL(T)
- Eager Approach --- Bit Blasting

Eager Approach



Perform a full reduction of a *T*-formula to an equisatisfiable propositional formula in ***one-step***. A ***single run*** of the SAT solver on the propositional formula is then sufficient to decide the original formula.

QFBV State-of-the-art solvers are based on eager approach (a.k.a. *Bit-blasting*)

Many compilers have this sort of bug

overflow?

$$(x - y > 0) \Leftrightarrow (x > y)$$

What is the output? (44)

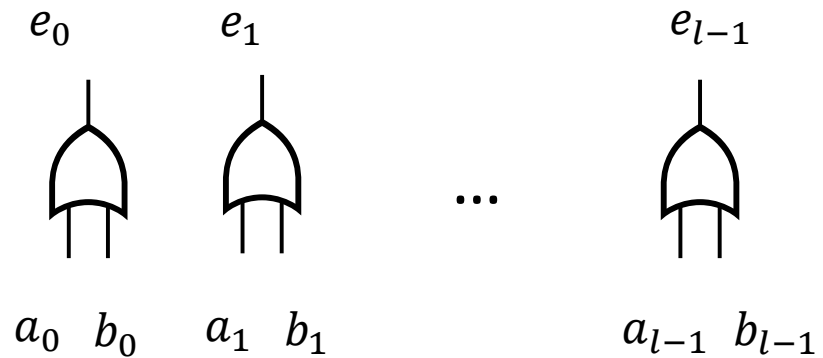
```
unsigned char number = 200;  
number = number + 100;  
printf("Sum: %d\n", number);
```

- Bitwise operator frequently occur in system-level software
 - left-shift, right-shift
 - and, or, xor
- QFBV Satisfiability is undecidable for an unbounded width, even without arithmetic.
- It is NP-complete otherwise.

Operator to Circuit

Bitwise operators (l -bits): $a|b$

Introduce new bitvector variable e for $a|b$, such that foreach i

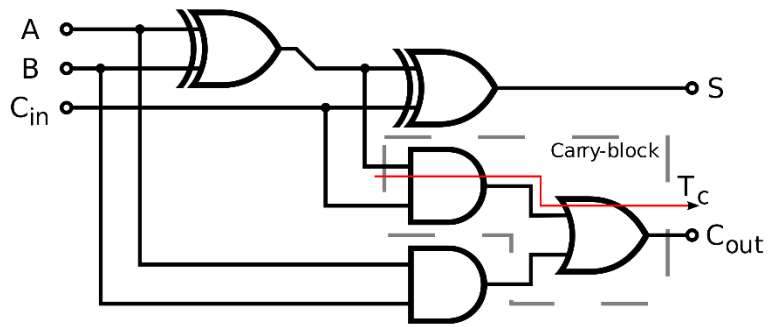
$$(a_i \vee b_i) \Leftrightarrow e_i$$


Other bitwise operators
is similar

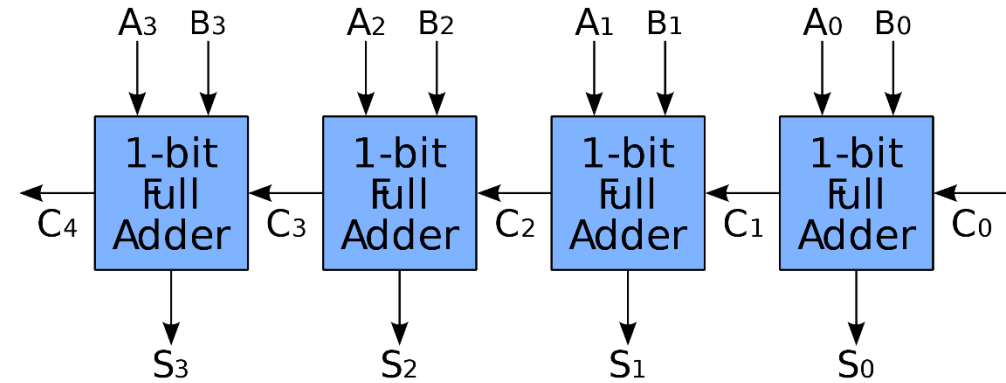
Operator to Circuit

$$a + b$$

one-bit Full adder



four-bits Full adder



How about 32-bits or 64-bits

Operator to Circuit

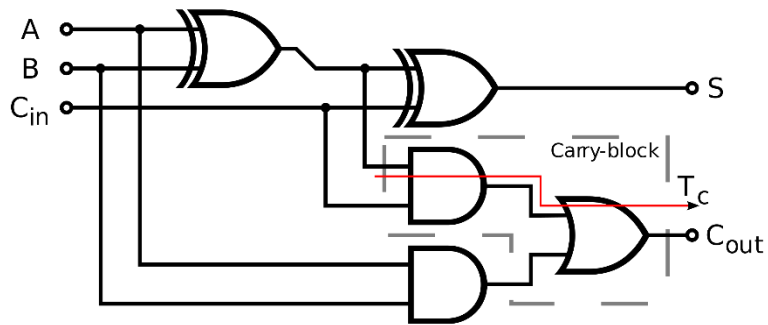
$$a - b = (a + \sim b + 1)$$

Complement(补码)
for negative numbers:

$$-b \rightarrow \sim b + 1$$

~b: invert each bits of *b*

one-bit Full adder

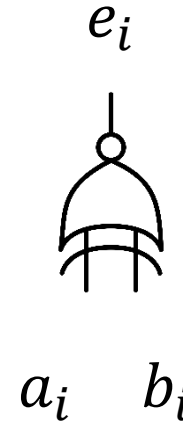


```
6 - 3 ==> 6 + (-3)
0000 0110 // 6(补码)
+ 1111 1101 // -3(补码)
-----
0000 0011 // 3(补码)
```

Operator to Circuit

$$a = b$$

$$a_i = b_i \Leftrightarrow e_i$$



$$(a - b = (2^l - b) + a)_{\text{mod } 2^l}$$

If $\text{cout} = 1$, then in RHS, the subtract part b is less than the addition part a , i.e. $b < a$

unsigned $a < b$

$$\langle a \rangle_U < \langle b \rangle_U \Leftrightarrow \neg \text{add}(a, \sim b, 1). \text{cout}$$

$$2 - 3 \Rightarrow 010 - 011 = 010 + 101, \text{cout} = 0$$

$$3 - 2 \Rightarrow 011 - 010 = 011 + 110, \text{cout} = 1$$

signed $a < b$

$$\langle a \rangle_S < \langle b \rangle_S \Leftrightarrow (a_{l-1} \Leftrightarrow b_{l-1}) \oplus \text{add}(a, \sim b, 1). \text{cout}$$

Operator to Circuit

$$a \ll b$$

n -stage (n is the width of b)

stage 1: for each bit i

$$e_i \Leftrightarrow \begin{cases} a_i & : \neg b_0 \\ a_{i-1} & : i \geq 1 \wedge b_0 \\ 0 & : otherwise \end{cases}$$

stage 2: for each bit i

$$e'_i \Leftrightarrow \begin{cases} e_{i-2^1} & : i \geq 2^1 \wedge b_1 \\ e_i & : \neg b_1 \\ 0 & : otherwise \end{cases}$$

...

if ($i < 1$)

$ite(b_0, (e_i \Leftrightarrow 0), (e_i \Leftrightarrow a_i))$

if ($i \geq 1$)

$ite(b_0, (e_i \Leftrightarrow a_{i-1}), (e_i \Leftrightarrow a_i))$

1011011 \ll 101

Stage 1:

0110110 \Leftarrow 1011011 \ll 001

Stage 2:

0110110 \Leftarrow 0110110 \ll 000

Stage 3:

1100000 \Leftarrow 0110110 \ll 100

Operator to Circuit

$$a \times b$$

n -stage (shift-and-add):

$$mul(a, b, -1) \doteq 0$$

$(l - 1)$ adder

$$mul(a, b, i) \doteq mul(a, b, i - 1) + (b_i ? (a \ll i) : 0)$$

1001	
× 0101	

1001	$b_0 = 1 \rightarrow a \ll 0$
0000#	$b_1 = 0 \rightarrow 0$
1001##	$b_2 = 1 \rightarrow a \ll 2$
0000###	$b_3 = 0 \rightarrow 0$

Operator to Circuit

$$a \div b$$







Implemented by adding two constraints:

$$\begin{aligned} b \neq 0 &\implies e \times b + r = a, \\ b \neq 0 &\implies r < b \end{aligned}$$

If $b = 0$, $a \div b$ is set to a special value.

Circuit to CNF

Tseitin Transformation

Type	Operation	CNF Sub-expression
 <u>AND</u>	$C = A \cdot B$	$(\bar{A} \vee \bar{B} \vee C) \wedge (A \vee \bar{C}) \wedge (B \vee \bar{C})$
 <u>NAND</u>	$C = \overline{A \cdot B}$	$(\bar{A} \vee \bar{B} \vee \bar{C}) \wedge (A \vee C) \wedge (B \vee C)$
 <u>OR</u>	$C = A + B$	$(A \vee B \vee \bar{C}) \wedge (\bar{A} \vee C) \wedge (\bar{B} \vee C)$
 <u>NOR</u>	$C = \overline{A + B}$	$(A \vee B \vee C) \wedge (\bar{A} \vee \bar{C}) \wedge (\bar{B} \vee \bar{C})$
 <u>NOT</u>	$C = \bar{A}$	$(\bar{A} \vee \bar{C}) \wedge (A \vee C)$
 <u>XOR</u>	$C = A \oplus B$	$(\bar{A} \vee \bar{B} \vee \bar{C}) \wedge (A \vee B \vee \bar{C}) \wedge (A \vee \bar{B} \vee C) \wedge (\bar{A} \vee B \vee C)$

Rewrite before Bit-Blasting

n	Number of variables	Number of clauses
8	313	1001
16	1265	4177
24	2857	9529
32	5089	17057
64	20417	68929

Fig. The size of the constraint for an n -bit multiplier expression after Tseitin's transformation

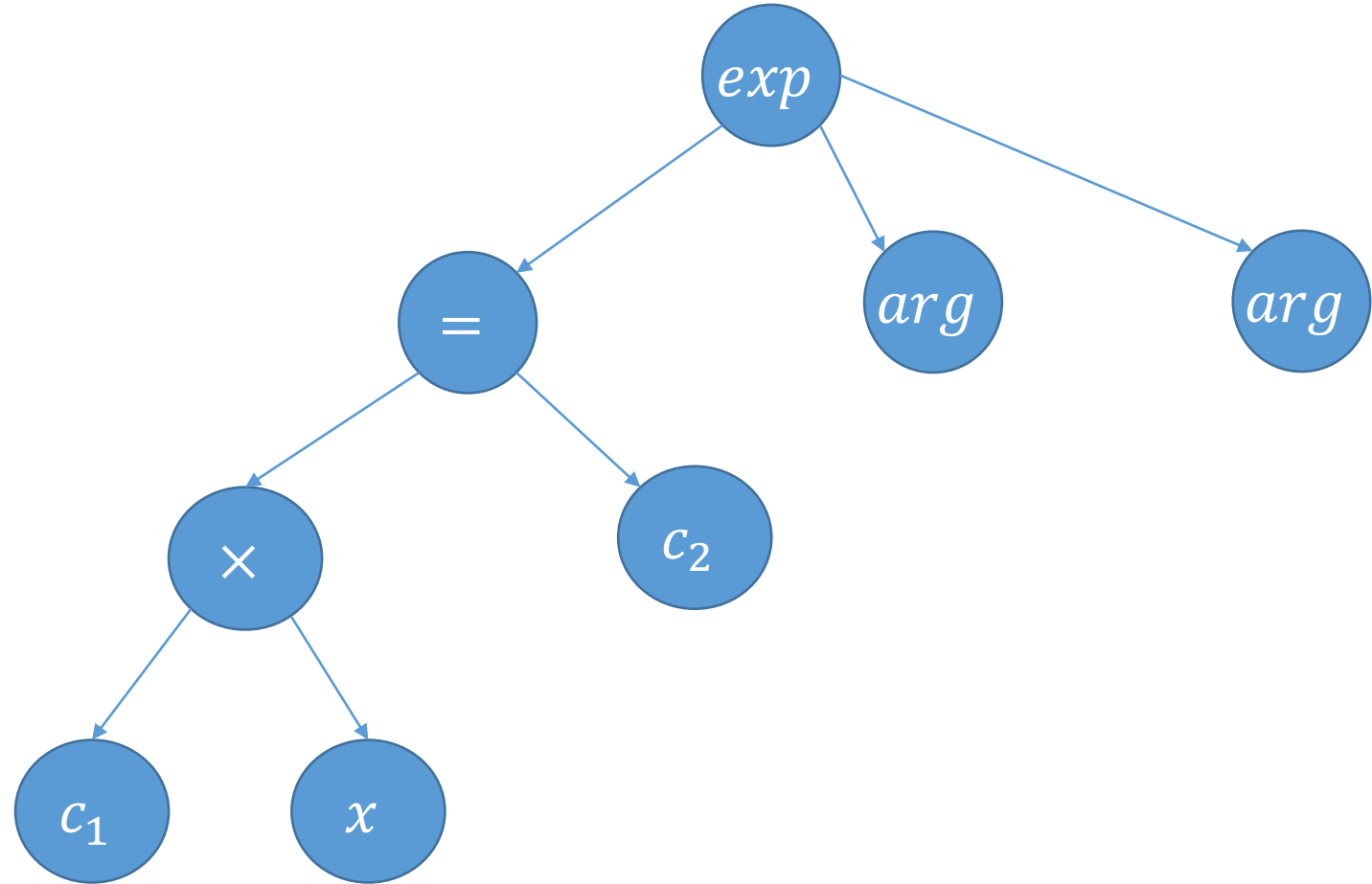
formulas with expensive operators (e.g. multipliers) are often very hard to solve

$$t \times (s \ll (s + t)) \Leftrightarrow s \times (t \ll (s + t))$$

32bits. 10^5 variables.
Can't be solved by CaDiCal within 2 hour

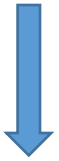
Rewrite before Bit-Blasting

$$c_1 \times x = c_2$$



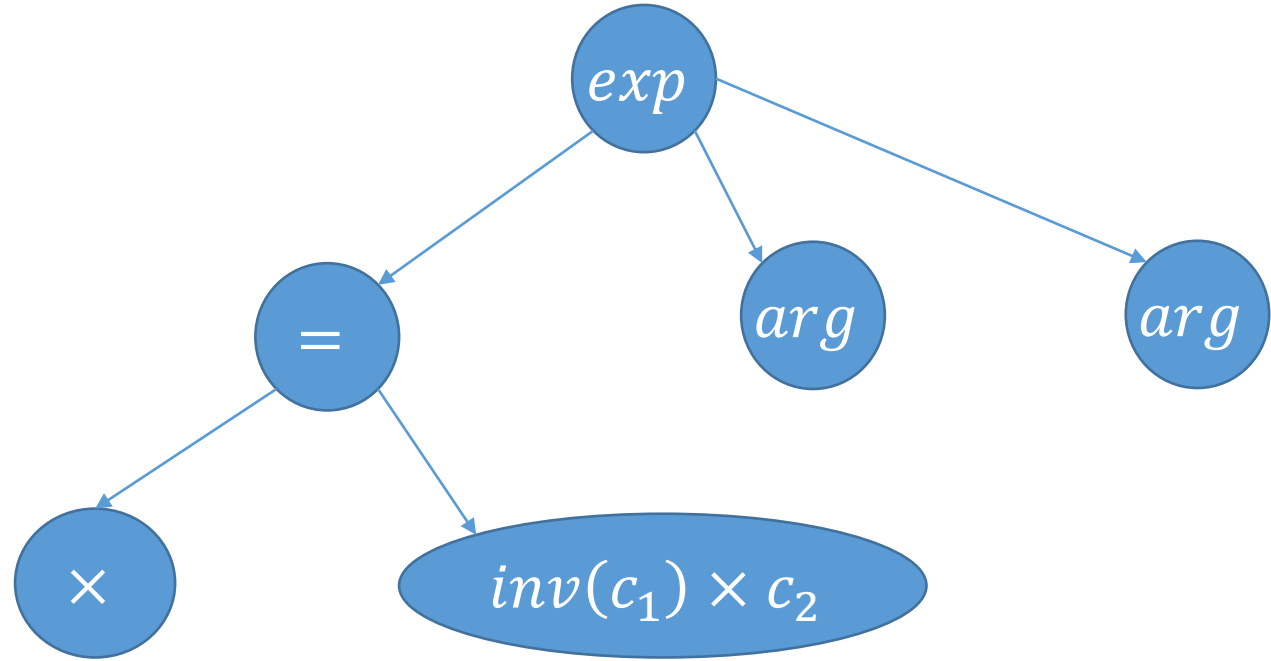
Rewrite before Bit-Blasting

$$c_1 \times x = c_2$$



$$x = inv(c_1) \times c_2$$

reduce one multiplier



Deep first order travelling

Theory rewrite rules

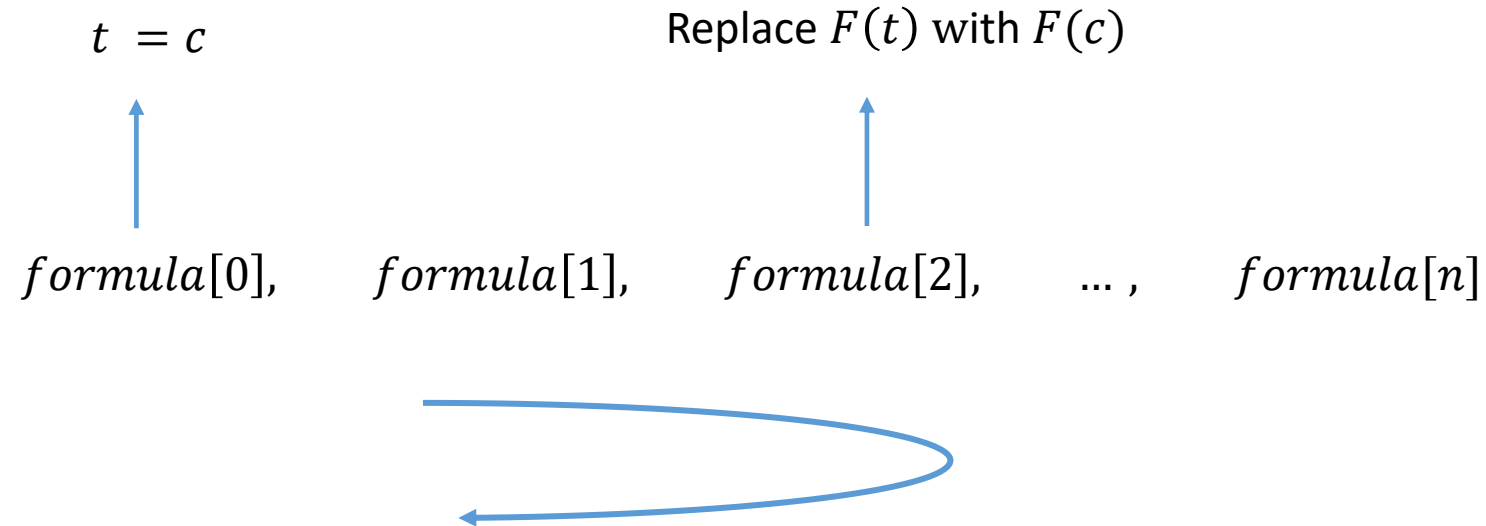
- `bit2bool` (c is 0 or 1)
 - $(ite\ x\ y\ z) = c \rightarrow (ite\ x\ (y = c)\ (z = c))$
 - $(not\ x) = c \rightarrow x = (1 - c)$
- `mul_eq`
 - $cx = c' \rightarrow x = c_{inv} \times c'$
 - $cx = c'x_2 \rightarrow x = (c_{inv} \times c') x_2$
 - ...
- `mul`
 - $cx + c'x \rightarrow (c + c')x$
 - ...
- `add`
 - $(x + (y \ll x)) \rightarrow (x | (y \ll x))$
 - $(x + y \times x) \rightarrow x \times (y + 1)$
- ...

Reduce the number of operator

Expensive operator \rightarrow cheap operator

Propagate const values

- Given an equality $t = c$, when c is constant, then replaces t everywhere with c



cyclical scan till fixed

Variable elimination does not always help

$$\begin{array}{l} x = y + z + w \\ \dots (x + z) \dots \\ \dots (x + 2z) \dots \\ \dots (x + 3z) \dots \\ \dots (x + 4z) \dots \end{array} \quad \longrightarrow \quad \begin{array}{l} \dots (y + 2z + w) \dots \\ \dots (y + 3z + w) \dots \\ \dots (y + 4z + w) \dots \\ \dots (y + 5z + w) \dots \end{array}$$

6 adder

8 adder

How to avoid increasing the number of adder and multipliers?

only eliminate variables that occur at most twice

Eliminate unconstrained variables

- a bit-vector function f can be replaced by a fresh bit-vector variable if
 - at least one of its operands is an unconstrained variable v (free variable)
 - f can be “inverted” with respect to v

$$v3 + t = v1 \& v2$$



$$v3 + t = v4$$



$$v5 = v4$$



$$v6$$

If $v1$ and $v2$ are unconstrained variables then no matter what's the value of LHS, it is satisfiable.

If $v3$ is unconstrained variables then no matter what's the value of $v4$ and t , it is satisfiable.

bv_size_reduction

- Reduce bv size using upper bound and lower bound

$1 \leq x \leq 4$ (x has 8 bits)



Replace x with (*concat* 00000 x')

x' is new variable of 3-bits

Local contextual simplification

- bool rewrite

$(or\ args[0] \dots args[num_{args} - 1])$
replace $args[i]$ by *false* in the other arguments

$$(x \neq 0 \text{ or } y = x + 1) \rightarrow (x \neq 0 \text{ or } y = 1)$$

Hoist, max sharing

- Reduce the number of adder and multiplier using distribution and association

2 multiplier + 1 adder \rightarrow 1 multiplier + 1 adder

Hoist: $a * b + a * c \rightarrow (b + c) * a$

Max Sharing: $a + (b + c), a + (b + d) \rightarrow (a + b) + c, (a + b) + d$

$(a + b)$ only need to calculate once

AIGs can be used to represent arbitrary boolean formulas and circuits

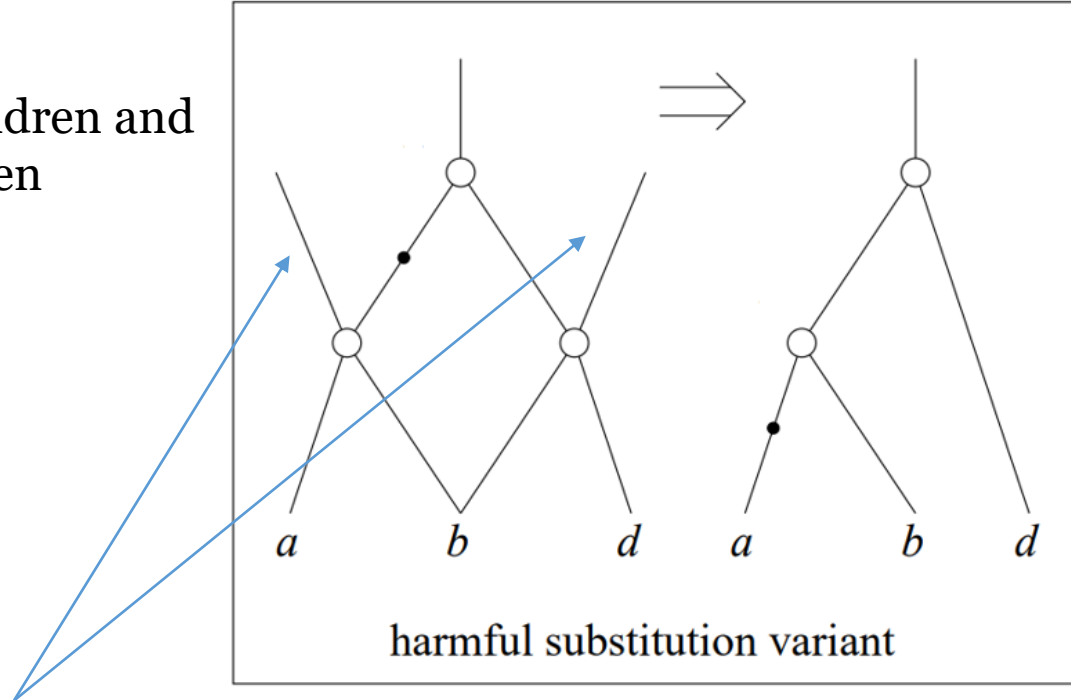
Automatic structure sharing and the simplicity of AIGs make them a compact, simple, easy to use, and scalable representation.

Name	Function	Representation by two-input AND and inversion
Inversion	$\neg x$	$\neg x$
Conjunction	$x \wedge y$	$x \wedge y$
Disjunction	$x \vee y$	$\neg(\neg x \wedge \neg y)$
Implication	$x \rightarrow y$	$\neg(x \wedge \neg y)$
Equivalence	$x \leftrightarrow y$	$\neg(x \wedge \neg y) \wedge \neg(\neg x \wedge y)$
Xor	$x \oplus y$	$\neg(\neg(x \wedge \neg y) \wedge \neg(\neg x \wedge y))$

Table 1. Basic logic operations with two-input AND gates and negation.

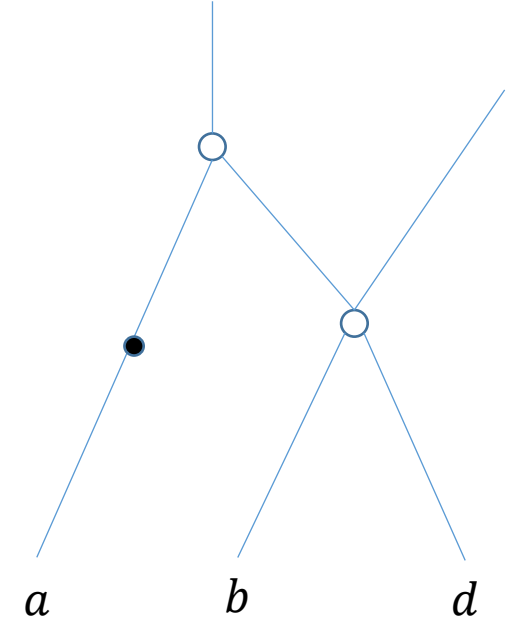
Local 2-level AIG rewrite

2-level:
Consider children and
grand-children



Referenced by other nodes

$$\neg(a \wedge b) \wedge (b \wedge d) \Rightarrow (\neg a \wedge b) \wedge d$$



Locally size decreasing, global non increasing







Local 2-level AIG rewrite

Name	LHS	RHS	O	S	Condition
Neutrality	$a \wedge \top$	a	1	S	
Boundedness	$a \wedge \perp$	\perp	1	S	
Idempotence	$a \wedge b$	a	1	S	$a = b$
Contradiction	$a \wedge b$	\perp	1	S	$a \neq b$
Contradiction	$(a \wedge b) \wedge c$	\perp	2	A	$(a \neq c) \vee (b \neq c)$
Contradiction	$(a \wedge b) \wedge (c \wedge d)$	\perp	2	S	$(a \neq c) \vee (a \neq d) \vee (b \neq c) \vee (b \neq d)$
Subsumption	$\neg(a \wedge b) \wedge c$	c	2	A	$(a \neq c) \vee (b \neq c)$
Subsumption	$\neg(a \wedge b) \wedge (c \wedge d)$	$c \wedge d$	2	S	$(a \neq c) \vee (a \neq d) \vee (b \neq c) \vee (b \neq d)$
Idempotence	$(a \wedge b) \wedge c$	$a \wedge b$	2	A	$(a = c) \vee (b = c)$
Resolution	$\neg(a \wedge b) \wedge \neg(c \wedge d)$	$\neg a$	2	S	$(a = d) \wedge (b \neq c)$
Substitution	$\neg(a \wedge b) \wedge c$	$\neg a \wedge b$	3	A	$b = c$
Substitution	$\neg(a \wedge b) \wedge (c \wedge d)$	$\neg a \wedge (c \wedge d)$	3	S	$b = c$
Idempotence	$(a \wedge b) \wedge (c \wedge d)$	$(a \wedge b) \wedge d$	4	S	$(a = c) \vee (b = c)$
Idempotence	$(a \wedge b) \wedge (c \wedge d)$	$a \wedge (c \wedge d)$	4	S	$(b = c) \vee (b = d)$
Idempotence	$(a \wedge b) \wedge (c \wedge d)$	$(a \wedge b) \wedge c$	4	S	$(a = d) \vee (b = d)$
Idempotence	$(a \wedge b) \wedge (c \wedge d)$	$b \wedge (c \wedge d)$	4	S	$(a = c) \vee (a = d)$

Table 2. All locally size decreasing, globally non increasing, two-level optimization rules. "O" is the optimization level, "S" the type of symmetry. Subsumption is also known as "Absorption". The condition $a \neq b$ is a short hand for $a = \neg b$ or $b = \neg a$.

Circuit to CNF

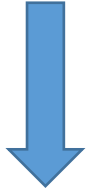
Tseitin Transformation

Type	Operation	CNF Sub-expression
 <u>AND</u>	$C = A \cdot B$	$(\bar{A} \vee \bar{B} \vee C) \wedge (A \vee \bar{C}) \wedge (B \vee \bar{C})$
 <u>NAND</u>	$C = \overline{A \cdot B}$	$(\bar{A} \vee \bar{B} \vee \bar{C}) \wedge (A \vee C) \wedge (B \vee C)$
 <u>OR</u>	$C = A + B$	$(A \vee B \vee \bar{C}) \wedge (\bar{A} \vee C) \wedge (\bar{B} \vee C)$
 <u>NOR</u>	$C = \overline{A + B}$	$(A \vee B \vee C) \wedge (\bar{A} \vee \bar{C}) \wedge (\bar{B} \vee \bar{C})$
 <u>NOT</u>	$C = \bar{A}$	$(\bar{A} \vee \bar{C}) \wedge (A \vee C)$
 <u>XOR</u>	$C = A \oplus B$	$(\bar{A} \vee \bar{B} \vee \bar{C}) \wedge (A \vee B \vee \bar{C}) \wedge (A \vee \bar{B} \vee C) \wedge (\bar{A} \vee B \vee C)$

→ SAT solver

Pseudo-Boolean to BV

$$a_1x_1 + a_2x_2 + \dots + a_nx_n \geq c$$



$$lhs \geq rhs$$

$$\begin{aligned} a_ix_i &\Leftrightarrow ite(x_i, bv(a_i), bv(0)) \\ lhs &= bvadd(ite_1, ite_2, \dots, ite_n) \\ rhs &= bv(c) \end{aligned}$$

other relation operators (e.g. *LT*, *GT*, *EQ*) can be represent by *GE*

LIA/NIA to BV

foreach variable x :

1. collect low bound low and upper bound up

2. BV size

If ($low \leq x \leq up$)

$$bits = \log_2(1 + |up - low|)$$

Otherwise

$$bits = num_{bits}$$

$$num_{bits} = \text{bit_size of abs(Largest constant)} + 1$$

Under approximate
unbound \rightarrow bound
satisfiability is not preserving

3. BitVector

If (has low)

$$x \Leftrightarrow x_{bv} + low$$

else if (has up)

$$x \Leftrightarrow up - x_{bv}$$

else

$$x \Leftrightarrow x - 2^{bits-1}$$

(-2^{bits-1}) is the *lower bound* of signed int of size $bits$

LIA/NIA to BV

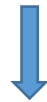
$x \text{ op } y$

1. Align BV size of x and y
2. Extend BV size of x and y according to op

$$x_{3bits} + y_{4bits}$$



$$x_{4bits} + y_{4bits}$$



$$x_{5bits} + y_{5bits}$$

$$x_{4bits} \times y_{4bits}$$



$$x_{8bits} \times y_{8bits}$$

Feel free to contact me at caisw@ios.ac.cn

Thank you!