

Introduction to Automorphic Representations

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Lecture 1. Elliptic Curves, Modular Forms

• **Elliptic Curves**: A complex projective algebraic curve with genus 1.

Some basic facts:

$$((\text{Elliptic Curves})) \xleftarrow{\text{1-1}} ((\text{Complex Torus})) \xleftarrow{\text{1-1}} \frac{((\text{Lattices}))}{\sim} / H$$

$\mathbb{C}(P, P')$, P Weierstrass function $\longleftrightarrow \mathbb{C}/\Lambda$, $\Lambda = \mathbb{Z}z_1 + \mathbb{Z}z_2 \longleftrightarrow z_1/z_2$ if $\text{im}(z_1/z_2) > 0$.

Def (Congruence subgroups). Let $N \geq 1$.

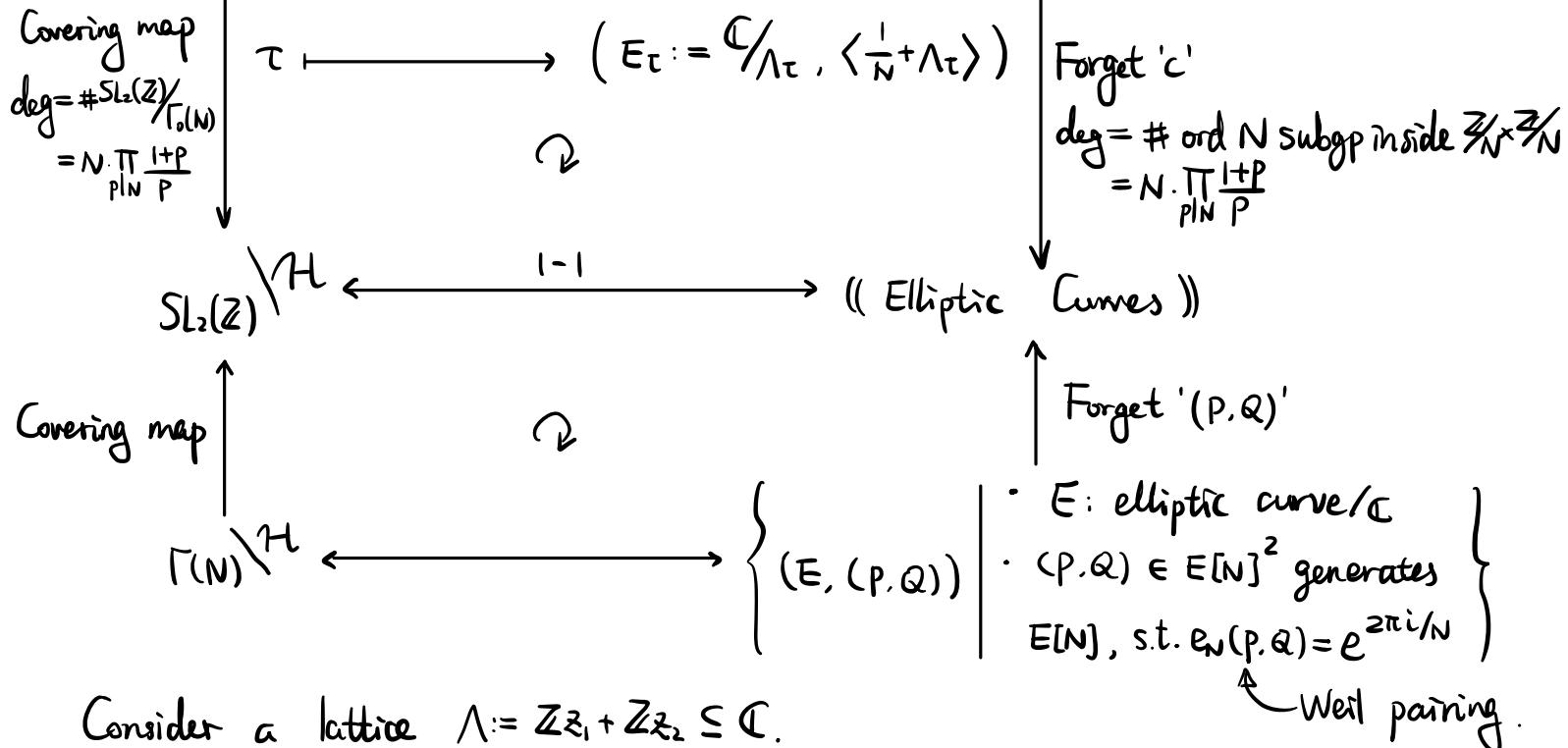
$$\Gamma(N) := \left\{ \gamma \in SL_2(\mathbb{Z}) : \gamma \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$

\cap

$$\Gamma_0(N) := \left\{ \gamma \in SL_2(\mathbb{Z}) : \gamma \equiv \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \pmod{N} \right\}$$

Moduli problems:

$$\cdot \Gamma_0(N) \backslash H \xleftarrow{\text{1-1}} \left\{ (E, C) \mid \begin{array}{l} \cdot E: \text{elliptic curve}/\mathbb{C} \\ \cdot C \subseteq E[N] := \text{ker}[N] \cong \mathbb{Z}/N \times \mathbb{Z}/N \\ \text{a cyclic subgroup of order } N \end{array} \right\}$$



Consider a lattice $\Lambda := \mathbb{Z}z_1 + \mathbb{Z}z_2 \subseteq \mathbb{C}$.

Prop: Suppose f is a meromorphic function on \mathbb{C} s.t. $\forall \lambda \in \Lambda, z \in \mathbb{C}, f(z+\lambda) = f(z)$, then f defines a meromorphic function on the Riemann surface \mathbb{C}/Λ .

- If $f \in \mathcal{O}(\mathbb{C})$, then f is a constant. (Liouville thm).
- Residues: $\sum_{z \in \mathbb{C}/\Lambda} \text{Res}_z(f) = 0$. $\#\text{Zero}(f) = \#\text{Pole}(f)$. (Since $\frac{f'}{f}$ is bireaduc).

Example: Define:

$$p(z) := \frac{1}{z^2} + \sum_{\lambda \in \Lambda \setminus 0} \left(\frac{1}{(z-\lambda)^2} - \frac{1}{\lambda^2} \right). \text{ even function.}$$

p has Laurent expansion in $0 < |z| < \min\{|\lambda| : 0 \neq \lambda \in \Lambda\}$:

$$p(z) = \frac{1}{z^2} + \sum_{n=1}^{\infty} (2n+1) G_{2n+2}(\Lambda) z^{2n}.$$

where $G_k(\Lambda) := \sum_{\lambda \in \Lambda \setminus 0} \frac{1}{\lambda^k}$. it converges absolutely when $k \geq 4$. Moreover,

$$(p')^2 = 4p^3 - 60G_4(\Lambda)p - 140G_6(\Lambda).$$

This induces a bijection:

$$\begin{aligned} (\mathbb{C}/\Lambda) \setminus \{\text{poles of } p\} &\xleftrightarrow{\text{1-1}} \{(x, y) \in \mathbb{C}^2 \mid y^2 = 4x^3 - 60G_4(x) - 140G_6\} \\ z &\longmapsto (P, P') \end{aligned}$$

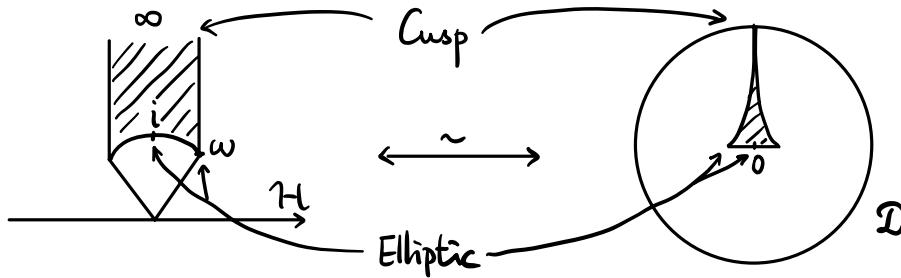
makes \mathbb{C}/Λ a compact Riemann surface, and $M_{\mathbb{C}/\Lambda} \cong \mathbb{C}(P, P')$.

Def: (Modular forms).

The action of $\text{SL}_2(\mathbb{R})$ on the upper plane \mathcal{H} .

$$\text{SL}_2(\mathbb{R}) \curvearrowright \mathcal{H}: g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}: z \mapsto \frac{az+b}{cz+d}.$$

Fact: Every lattice in $SL_2(\mathbb{R})$ (e.g. $SL_2(\mathbb{Z})$) determines a fundamental domain.



Remark: (Iwasawa decomposition of $SL_2(\mathbb{R})$ or $PSL_2(\mathbb{R})$).

- Dynamical version: $PSL_2(\mathbb{R}) \rightarrow T^1\mathcal{H}$, the unit sphere bundle of $(\mathcal{H}, \frac{|dz|^2}{\operatorname{Im}(z)^2})$.
 $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mapsto (gi, \frac{i}{(ci+d)^2})$.

The goal of "ergodic theory" is to study the dynamical behaviors of geodesic flows on \mathcal{H} .

- Algebraical version: $SL_2(\mathbb{R}) = \overline{ANK}$. where: $A = \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix}$; $N = \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}$; $K = SO_2(\mathbb{R})$.

$$P = \begin{pmatrix} * & * \\ * & * \end{pmatrix}, \quad \text{the parabolic subgroup } \begin{pmatrix} \bar{y} & \bar{y}^{-1} \\ 0 & 1 \end{pmatrix} = a \begin{pmatrix} \bar{y} & 0 \\ 0 & 1 \end{pmatrix} k$$

- The induced measures?

- Let $k \in \mathbb{Z}$, $f: \mathcal{H} \rightarrow \mathbb{C}$ a holomorphic function, Γ a congruence subgroup. If

$$f\left(\frac{az+b}{cz+d}\right) = (cz+d)^k f(z), \quad \forall g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma, \quad \forall z \in \mathcal{H},$$

and f is holomorphic at ∞ , then f is a level Γ , weight k modular form.

- Cusp form: If $f(z) = \sum_{n=0}^{\infty} a_n q^n$, and $a_0 = 0$, then f is a cusp form.

Examples: (Eisenstein series). Let $k \geq 4$ be an even number. Define:

$$G_k(z) := G_k(\mathbb{Z}z + \mathbb{Z}1).$$

as a Eisenstein series with level $\Gamma(1)$, weight k . More precisely,

$$\begin{aligned} G_k(z) &= \sum_{n \in \mathbb{Z} \setminus 0} \frac{1}{n^k} + \sum_{(c,d) \in \mathbb{Z}^2 \setminus 0, c \neq 0} \frac{1}{(cz+d)^k} \\ &= 2 \zeta_Q(k) + \sum_{c=1}^{\infty} \sum_{d=-\infty}^{\infty} \frac{2}{(cz+d)^k} \\ &= 2 \zeta_Q(k) + 2 \frac{(2\pi i)^k}{(k-1)!} \sum_{c=1}^{\infty} \sum_{n=1}^{\infty} n^{k-1} q^cn. \end{aligned}$$

where $q = e^{2\pi iz}$.

Fact: (Poisson summation)

$$\begin{aligned} \sum_{n \in \mathbb{Z}} \frac{1}{(n-z)^k} &\stackrel{\downarrow}{=} \sum_{n \in \mathbb{Z}} \int_{\mathbb{R}} \frac{e^{2\pi i n x}}{(x-z)^k} dx \\ &= 2\pi i \sum_{n=1}^{\infty} \operatorname{Res}_z \left(\frac{e^{2\pi i n x}}{(x-z)^k} \right) \\ &= (2\pi i)^k \sum_{n=1}^{\infty} n^{k-1} \frac{e^{2\pi i n z}}{(k-1)!} \end{aligned}$$

This means $G_k(z)$ is not a cusp form. Define:

$$\tilde{J} := 1728 \frac{\frac{G_4^3}{8} \tilde{G}_4^3}{\frac{G_4^3}{8} \tilde{G}_4^3 - \frac{G_6^2}{4} \tilde{G}_6^2} = q^{-1} + 744 + 196884q + \dots.$$

Facts: The geometry of H , and the modular curve.

- $\text{Hol}(H) \cong \text{Iso}^+(H) \cong \text{PSL}_2(\mathbb{R})$.
 - Theory of covering spaces.
 - Elliptic Points: $z \in H$, $\text{Stab}_{\text{PSL}_2(\mathbb{Z})}(z) \neq \{\text{id}\}$. $\Gamma \backslash H$ $\pi_1(\Gamma \backslash H) \cong \text{Galois}$
 - Cusp Points: limit (rational) points in $\mathbb{R} \cup \{\infty\}$ of some fundamental domain
- Example: $\Gamma(1) = \text{SL}_2(\mathbb{Z})$. Elliptic pts: w, i ; Cusp pts: ∞ . (up to orbits)

$$\begin{array}{ccc} H & & \{1\} \\ \downarrow & & \uparrow \end{array}$$

$$\text{Elliptic Points: } z \in H, \text{Stab}_{\text{PSL}_2(\mathbb{Z})}(z) \neq \{\text{id}\}.$$

Cusp Points: limit (rational) points in $\mathbb{R} \cup \{\infty\}$ of some fundamental domain

Example: $\Gamma(1) = \text{SL}_2(\mathbb{Z})$. Elliptic pts: w, i ; Cusp pts: ∞ . (up to orbits)

$$\text{the number of elliptic points of } \Gamma(N) = \begin{cases} 0, & N \neq 1. \\ 2, & N = 1. \end{cases}$$

$$\text{the number of cusp points of } \Gamma(N) = \begin{cases} \frac{N^2}{2} \prod_{p|N} (1-p^{-2}), & N \neq 2. \\ 3, & N = 2. \end{cases}$$

Theorem: (Modular curves and modular forms).

Let Γ be a congruence subgroup. Then:

- The fundamental domain $\Gamma \backslash H$ is a non-compact Riemann surface.
The complex structure near $z \in H$ is $\omega \mapsto \omega^{|\text{stab}_{\Gamma}(z)|}$. Its compactification is the so-called "modular curve", denoted by $X(\Gamma)$. $\text{Cusp forms } S_k(\Gamma)$
- e.g. $X(1) := X(\Gamma(1)) = \mathbb{P}^1(\mathbb{C})$.

- (The Katz sheaf). The space of holomorphic modular forms $M_k(\Gamma)$ is the global section of a sheaf $\mathcal{O}_{X(\Gamma)}(\pi_{\Gamma}^*(\infty)^{\otimes k/12})$ on $X(\Gamma)$, where $\pi_{\Gamma}: X(\Gamma) \rightarrow X(1)$ is the meromorphic covering map. (weight $12|k$).
More generally, define a line bundle ω_k on $X(\Gamma)$:

$$\forall U \subseteq X(\Gamma) \text{ open}, \omega_k(U) := \left\{ f \in \mathcal{O}((H \rightarrow \Gamma \backslash H)^{-1}(U)), \text{ s.t. } \begin{array}{l} f(yz) = (cz+d)^k f(z), \forall y \in \Gamma. \end{array} \right\},$$

then $H^0(X(\Gamma), \omega_k) \cong M_k(\Gamma)$. In particular, $\omega_2 \cong \Omega_{X(\Gamma)}(\text{cusp})$.

Consider $\Gamma = \text{SL}_2(\mathbb{Z})$, $M_k(1) := M_k(\Gamma)$, $S_k(1) := S_k(\Gamma)$.

- $\bigoplus_{k=0}^{\infty} M_k(1) \cong \mathbb{C}[G_4, G_6]$. In particular, $M_k(1) = \text{Span}_{\mathbb{C}} \{G_4^m G_6^n\}, 4m+6n=k$.

and $\dim_{\mathbb{C}} M_k(1) = \begin{cases} 0 & k < 0, \text{ or } k \text{ odd} \\ [\frac{k}{12}] & k \equiv 2 \pmod{12}, k \geq 0 \\ [\frac{k}{12}] + 1 & k \not\equiv 2 \pmod{12}, k \geq 0 \end{cases}$ (Riemann-Roch).

- $M_{k-12}(1) \xrightarrow{\sim} S_k(1)$, $f \mapsto f \cdot \Delta$, where $\Delta = (60G_4)^3 - 27(140G_6)^2 \in S_{12}(1)$.
 $\rightsquigarrow \text{Compute } \dim_{\mathbb{C}} S_k(1)$.

Lecture 2.

\mathbb{Q} -Tate Thesis and the GL_1 -Langlands

Recall: (Ring of adèles of \mathbb{Q}).

$$A := \prod_{p \leq \infty}^{\prime} \mathbb{Z}_p \mathbb{Q}_p \quad \text{with the "restrict product topology".}$$

Facts:

- A is a LCG (locally compact group).
- A is a topological ring with unit group A^\times , the idèle group of \mathbb{Q} .
- \mathbb{Q} is a discrete closed subgroup of A .
- A/\mathbb{Q} is compact. In particular, $A/\mathbb{Q} \cong \widehat{\mathbb{Z}} \times (\mathbb{R}/\mathbb{Z})$.
- (Strong approximation): let v_0 be a place of \mathbb{Q} , then \mathbb{Q} is dense in $A_{v_0} := \prod_{p \neq v_0}^{\prime} \mathbb{Z}_p \mathbb{Q}_p$

In particular, \mathbb{Q} is dense in $A_\infty(A_f)$.

Definition: (Idèle class group). If K is a number field, let

$$A_K := A \otimes_{\mathbb{Q}} K.$$

Define $\ell(K) := A_K^\times / K^\times$ to be the idèle class group of K .

There is a (continuous) homomorphism $|\cdot|: A_K^\times \rightarrow \mathbb{R}_{>0}$, $(x_v) \mapsto \prod_v |x_v|_v$, called the absolute value on A_K^\times .

Remark: • We have isomorphisms:

$$\ell(K) / \text{maximal compact subgroup}_{(f_m)} \times K_{\infty(\text{inf})}^\times \xrightarrow{\sim} CH^1(X) \cong \text{Pic}(\text{Spec } \mathcal{O}_K) \cong Cl(\mathcal{O}_K).$$

• Class Field theory:

The Artin reciprocity law: $\ell(K) / \text{Norm}_{K/\mathbb{Q}}(\ell(K)) \xrightarrow{\sim} \text{Gal}(K/\mathbb{Q})$.

where K/\mathbb{Q} is a finite abelian extension. In particular, for ideal class groups, we have: $Cl(\mathcal{O}_K) \xrightarrow{\sim} \text{Gal}(K/\mathbb{Q})$, $I = \prod_p p^{e_p} \mapsto \prod_p \left(\frac{K/\mathbb{Q}}{p}\right)^{e_p}$.

where $(\frac{\cdot}{p})$ is Hilbert symbol, the RHS "Π" means composite.

- Product formula: $\forall x \in \mathbb{Q}^\times \subseteq \mathbb{A}^\times$, $|x|=1$.
- $\mathcal{C}\ell(\mathbb{Q}) = \mathbb{A}^\times / \mathbb{Q}^\times$ is not compact. However, if write $\mathbb{A}^1 := \text{Ker}(\mathbb{A}^\times \xrightarrow{\text{res}} \mathbb{R}_{>0})$, then $\mathcal{C}\ell^1(\mathbb{Q}) := \mathbb{A}^1 / \mathbb{Q}^\times$ is compact. and:
 - $\mathbb{A}^\times \cong \mathbb{A}^1 \times \mathbb{R}_{>0}$. $\vec{x} = (x_p) \mapsto \left((x_p, \frac{x_\infty}{|\vec{x}|}), |\vec{x}| \right)$.
 - $\mathcal{C}\ell^1(\mathbb{Q}) \cong \widehat{\mathbb{Z}}^\times$. $((x_p), 1) \mathbb{Q}^\times \longleftrightarrow (x_p)$.
 - $\mathcal{C}\ell(\mathbb{Q}) \cong \mathcal{C}\ell^1(\mathbb{Q}) \times \mathbb{R}_{>0}$, $(x_p) \mathbb{Q}^\times \mapsto \left((x_p, \frac{x_\infty}{|(x_p)|}) \mathbb{Q}^\times, |(x_p)| \right)$.

Theorem: (Tate, Pontryagin duality of local fields). Let $K = \mathbb{Q}_p, \mathbb{R}, \mathbb{C}$.

then there is an isomorphism of topological abelian groups:

$$K \xrightarrow{\sim} \widehat{K}, s \mapsto \left(\chi_s : K \rightarrow S^1, t \mapsto \tilde{\chi}(st) \right).$$

where $\widehat{K} := \text{Hom}_{cts}(K, S^1)$ is the group of unitary characters, $\tilde{\chi}$ is a "priori" non-trivial character.

We take:

- $K = \mathbb{R}$, $\tilde{\chi} : \mathbb{R} \rightarrow S^1$, $x \mapsto e^{-2\pi i x}$
- $K = \mathbb{C}$, $\tilde{\chi} : \mathbb{C} \rightarrow S^1$, $x \mapsto e^{-2\pi i(x+\bar{x})}$
- $K = \mathbb{Q}_p$, $\tilde{\chi} : \mathbb{Q}_p \rightarrow S^1$, $\sum_{j=0}^{\infty} a_j p^j \mapsto \exp(2\pi i \sum_{j=0}^{\infty} a_j p^j)$.
 $\tilde{\chi}(x) = 1$ if and only if $x \in \mathbb{Z}_p$.
- (K = extension of above) $\tilde{\chi}' := \tilde{\chi} \circ \text{trace}$.

Haar measures:

Real & Complex analysis

- $K = \mathbb{R}$. dx the Lebesgue measure. $d^x x := \frac{dx}{|x|}$ on \mathbb{R}^\times .
- $K = \mathbb{C}$. $dz = d(x+iy) := 2dx dy$. $d^z z := \frac{dz}{z\bar{z}}$ on \mathbb{C}^\times .
- $K = \mathbb{Q}_p$, select dx to make $\int_{\mathbb{Z}_p} dx = 1$. $d^x x := \frac{1}{1-p^{-1}} \frac{dx}{|x|}$.

Proposition: On \mathbb{Q}_p we have:

1) (Change Variable) \forall measurable $X \subseteq \mathbb{Q}_p$, $\forall a \in \mathbb{Q}_p$, $\int_{aX} dx = |a|_p \int_X dx$. So:
 $\int_{aX} f(y) dy = |a|_p \int_X f(ay) dy$. In particular, $\int_{a+p^n \mathbb{Z}_p} dx = p^{-n}$.

2) (Multiplicative Group) $\int_{\mathbb{Z}_p^\times} d^x x = \int_{\mathbb{Z}_p} dx = 1$; $\int_{\mathbb{Z}_p \setminus 0} |x|^t d^x x = \frac{1}{1-p^{-t}}$ ($t \in \mathbb{C}$, $\text{Re}(t) > 0$).

3) (Characteristic Function). The Fourier transform of $\widehat{1}_{a+(p)^n}$ is

$$\widehat{1}_{a+(p)^n}(s) := \widehat{1}_{a+(p)^n}(\chi_s) = \overline{\chi_s(a)} p^{-n} \cdot 1_{(p)^{-n}}.$$

4) (Duality). Write $\mathbb{Z}_p^\perp := \{ \chi \in \widehat{\mathbb{Z}_p} : \chi(\mathbb{Z}_p) = 1 \}$. then with the dual measure
 dx on $\widehat{\mathbb{Z}_p}$, $\int_{\mathbb{Z}_p^\perp} d\chi = 1$.

Proof: Only prove 2) & 3):

$$2). \int_{\mathbb{Z}_p^\times} dx = \frac{1}{1-p^{-1}} \int_{\mathbb{Z}_p^\times} \frac{dx}{|x|}$$

$$(|x|=1) = \frac{1}{1-p^{-1}} \int_{\mathbb{Z}_{(p)}^\times} dx$$

$$= \frac{1}{1-p^{-1}} \left(\int_{\mathbb{Z}_p} dx - \int_{(p)\mathbb{Z}_p} dx \right) \stackrel{1)}{=} \frac{1}{1-p^{-1}} (1-p^{-1}) = 1.$$

Suppose $G \in LCG$ abelian with Haar measure μ . Then $\widehat{G} := \text{Hom}_{cts}(G, S^1)$ has a unique Haar measure (the dual measure) s.t. $\forall f \in \mathcal{C}_c(G), \|f\|_2 = \|\widehat{f}\|_2$.

Moreover, note that 1) implies $\int_{p^k \mathbb{Z}_p} dx = p^{-k}$, so:

$$\int_{\mathbb{Z}_p \setminus 0} |x|^t dx = \sum_{k=0}^{\infty} \int_{p^k \mathbb{Z}_p \setminus p^{k+1} \mathbb{Z}_p} \frac{|x|^{t-1}}{1-p^{-1}} dx = \frac{1}{1-p^{-1}} \sum_{k=0}^{\infty} p^{-k(t-1)} \left(\int_{p^k \mathbb{Z}_p} dx - \int_{p^{k+1} \mathbb{Z}_p} dx \right) = \frac{1}{1-p^{-t}}$$

$$3). \widehat{\mathbf{1}_{a+(p)^n}}(S) = \int_{a+(p)^n} \overline{\chi_s(y)} dy = \int_{(p)^n} \overline{\chi_s(a+y)} dy = \overline{\chi_s(a)} \int_{(p)^n} \overline{\chi_s(y)} dy.$$

Note that $y \in \mathbb{Z}_p$ implies $p^n sy \in S(p)^n$, and $S(p)^n \subseteq \mathbb{Z}_p$ then $\widehat{\chi}$ is trivial.

Hence, $\int_{(p)^n} \overline{\chi_s(y)} dy = \int_{(p)^n} \overline{\tilde{\chi}(sy)} dy \stackrel{1)}{=} p^{-n} \int_{\mathbb{Z}_p} \overline{\tilde{\chi}(p^n sy)} dy = p^{-n} \int_{\mathbb{Z}_p} dy = p^{-n}$. \square

Theorem: (Tate, Classification of quasi-characters).

$\forall \chi: K^\times \rightarrow \mathbb{C}^\times, y \mapsto \chi(y)$ continuous homomorphism, we have

$$\chi(y) = \chi_0(y_0) \cdot |y|^s, \text{ where } \chi_0 \in \widehat{U_K}, \quad U_K = \begin{cases} \{\pm 1\}, & K = \mathbb{R} \\ S^1, & K = \mathbb{C}, \quad s \in \mathbb{C} \text{ depend on } \chi \\ \mathbb{Z}_p^\times, & K = \mathbb{Q}_p \end{cases}$$

$\sigma(\chi) := \text{Re}(s)$.

i.e. quasi-character uniquely ramified (unitary character) \times unramified.

Definition: Let $f \in \mathcal{S}(K)$ be a Schwartz function. define the local ζ function as:

If K is p -adic, then f is locally const. & with compact support. $\zeta(f, \cdot) : \{ \chi \text{ quasi-character, } \sigma(\chi) > 0 \} \rightarrow \mathbb{C}$,

$$\zeta(f, \chi) := \int_{K^\times} f(x) \chi(x) dx.$$

Facts: • Well-defined!

• $\forall f, g \in \mathcal{S}(K), \forall \chi \text{ s.t. } 0 < \sigma(\chi) < 1$, it is easy to verify:

$$\zeta(f, \chi) \cdot \zeta(g, \widehat{l} \cdot l|x|^{-1}) = \zeta(\widehat{f}, \widehat{l} \cdot l|x|^{-1}) \cdot \zeta(g, \chi).$$

$\rightarrow \rho(\chi) := \frac{\zeta(f, \chi)}{\zeta(\widehat{f}, \widehat{\chi})}$ does not depend on f . ($\widehat{\chi} := l \cdot l|x|^{-1}$).

THEOREM: (TATE, LOCAL THEORY).

$\forall f \in \mathcal{S}(K), \zeta(f, \chi)$ defines a meromorphic function on the Riemann surface

$$X(K^\times) := \{ \text{quasi-characters of } K^\times \}, \quad \chi | \cdot |^s \mapsto s \in \mathbb{C}.$$

and $\rho(x)$ is also a meromorphic function. More precisely:

- $K = \mathbb{R}$, $X(K^\times) = \{1 \cdot 1^s : s \in \mathbb{C}\} \sqcup \{\operatorname{sgn}(\cdot) \cdot 1^s : s \in \mathbb{C}\}$,

$$\rho(1 \cdot 1^s) = 2^{1-s} \pi^{-s} \cos\left(\frac{\pi s}{2}\right) \Gamma(s), \quad \rho(\operatorname{sgn}(\cdot) \cdot 1^s) = -i 2^{1-s} \pi^{-s} \sin\left(\frac{\pi s}{2}\right) \Gamma(s).$$

- $K = \mathbb{C}$, $X(K^\times) = \bigsqcup_{n \in \mathbb{Z}} \{\chi_n \cdot 1^s : s \in \mathbb{C}\}$, where $\chi_n : \mathbb{C}^\times \rightarrow S^1$, $re^{it} \mapsto e^{int}$.

$$\rho(\chi_n \cdot 1^s) = (-i)^{|n|} (2\pi)^{1-2s} \frac{\Gamma(s + \frac{|n|}{2})}{\Gamma(1-s + \frac{|n|}{2})}.$$

- $K = \mathbb{Q}_p$, write $x = \chi_0 \cdot 1^s$.

- χ_0 trivial, $\rho(1 \cdot 1^s) = \frac{1-p^{s-1}}{1-p^{-s}}$.

- χ_0 non-trivial, exercise!

Proof: Only prove the case $K = \mathbb{C}$. Consider $\chi_{-n} \cdot 1^s$, $s \in \mathbb{C}$. Take $f_{-n}(z) := z^n e^{-\pi z\bar{z}}$.

$$\zeta(f_{-n}, \chi_{-n} \cdot 1^s) = \int_{\mathbb{C}^\times} z^n e^{-\pi z\bar{z}} \chi_{-n}(z) (z\bar{z})^s dz \quad (|z| := z\bar{z}).$$

$$= \int_{\theta=0}^{2\pi} \int_{r=0}^{+\infty} e^{-\pi r^2} r^{2s+n} \frac{2r dr d\theta}{r^2} \quad (z \rightarrow re^{i\theta}, dz = dz/r^2).$$

$$= 4\pi \int_0^{+\infty} e^{-\pi r^2} r^{2s+n-1} dr \quad (\pi r^2 \rightarrow t).$$

$$= 2\pi^{1-s-\frac{n}{2}} \Gamma(s + \frac{n}{2}).$$

One needs to compute $\zeta(\widehat{f}_{-n}, \widehat{\chi_{-n}} \cdot 1^s)$. Let $g(z) := e^{-\pi z\bar{z}} = z^{-n} f_{-n}(z)$, its Fourier transformation is:

$$\begin{aligned} \widehat{g}(z) &:= \widehat{g}(\chi_z) = \int_{\mathbb{C}} e^{-\pi w\bar{w}} e^{2\pi i(zw + \bar{z}\bar{w})} dw \quad \begin{pmatrix} z = x+iy \\ w = u+iv \\ dw = 2dudv \end{pmatrix} \\ &= 2 \int_{\mathbb{R}} \int_{\mathbb{R}} e^{-\pi(u^2+v^2)} e^{4\pi i(ux-vy)} du dv \\ &= 2e^{-4(x^2+y^2)} \int_{\mathbb{R}} e^{-\pi(u-2ix)^2} du \int_{\mathbb{R}} e^{-\pi(v+2iy)^2} dv \\ &= 2e^{-4\pi z\bar{z}}. \end{aligned}$$

Hence, $\frac{\partial^n}{\partial z^n} (2e^{-4\pi z\bar{z}}) = 2(-4\pi \bar{z})^n e^{-4\pi z\bar{z}} = (2\pi i)^n \int_{\mathbb{C}} w^n e^{-\pi w\bar{w}} e^{2\pi i(zw + \bar{z}\bar{w})} dw$

$$\Rightarrow \widehat{f}_{-n}(z) = 2f_{-n}(2i\bar{z}). \text{ So:} \quad = (2\pi i)^n \widehat{f}_{-n}(z).$$

$$\zeta(\widehat{f}_{-n}, \widehat{\chi_{-n}} \cdot 1^s) = \zeta(2f_{-n}(2i\bar{z}), \chi_{-n} \cdot 1^{1-s}) = \underline{i^n 2^{2s} \pi^{s-\frac{n}{2}} \Gamma(1+\frac{n}{2}-s)}. \quad (n \geq 0). \quad \square$$

THEOREM: (TATE, GLOBAL THEORY).

- $\int_{A/\mathbb{Q}} d\vec{x} = 1$ (with the product measure).

- One has: $\Theta : A \xrightarrow{\sim} \widehat{A} := \prod'_{p \leq \infty} \widehat{\mathbb{Q}_p}$, given by $(x_p) \mapsto \begin{pmatrix} A \longrightarrow S^1 \\ (y_p) \mapsto \prod_p \tilde{\chi}_p(x_p y_p) \end{pmatrix}$.

- One has: $\widehat{\mathbb{Q}} \cong A/\mathbb{Q}$. (Exercise!)

- Define $\mathcal{S}(A) := \left\{ \sum_i^{\infty} a_i f_i : a_i \in \mathbb{C}, f_i = \bigotimes_p f_{i,p}, f_{i,p} \in \mathcal{S}(\mathbb{Q}_p), \text{ and } f_{i,p} = 1_{\mathbb{Z}_p} \text{ a.e. } p \right\}$

as the space of Schwartz functions. then:

- The Fourier transform of $f \in \mathcal{S}(A)$ is

$$\hat{f}(\vec{y}) := \int_A f(\vec{x}) \overline{\Theta(\vec{y})(\vec{x})} d\vec{x} \in \mathcal{S}(\widehat{A}) \cong \mathcal{S}(A).$$

Hence, $\widehat{\widehat{f}} = f$.

- (Riemann-Roch) $\forall \vec{x} \in A^\times, f \in \mathcal{S}(A)$, we have:

$$\sum_{y \in \mathbb{Q}} f(y\vec{x}) = \frac{1}{|\vec{x}|} \sum_{y \in \mathbb{Q}} \hat{f}(y\vec{x}^{-1}).$$

This is a special case of the "Poisson summation formula".

- (Hecke characters). $\mathbb{X} := \left\{ \vec{\chi} : \mathcal{E}\ell(\mathbb{Q}) \rightarrow \mathbb{C}^\times \text{ continuous homomorphism} \right\}$.
use the isomorphism:

$$\begin{aligned} \mathcal{E}\ell(\mathbb{Q}) &\xrightarrow{\sim} \mathcal{E}\ell'(\mathbb{Q}) \times \mathbb{R}_{>0}, \quad (x_p)_{\mathbb{Q}^\times} \mapsto \left((x_p, \frac{x_\infty}{|(x_p)|})_{\mathbb{Q}^\times}, |(x_p)| \right). \\ \vec{x} &\longmapsto (\vec{x}_v, \vec{x}_v := |\vec{x}|), \end{aligned}$$

one can classify: $\forall \vec{\chi} \in \mathbb{X}$, $\vec{\chi}$ has form $\vec{\chi}(\vec{y}) = \vec{\chi}_0(\vec{y}_v) \cdot |\vec{y}|^s$. where:

$$\vec{\chi}_0 \in \widehat{\mathcal{E}\ell'(\mathbb{Q})}, s \in \mathbb{C}. \quad \sigma(\vec{\chi}) := \begin{cases} \text{ramified} & \uparrow \\ \text{unramified} & \uparrow \end{cases} \text{Re}(s).$$

Compare!

- (Global ζ functions). $\forall f \in \mathcal{S}(A)$, define:

$$\begin{aligned} \zeta(f, \cdot) : \left\{ \vec{\chi} \in \mathbb{X}, \sigma(\vec{\chi}) > 1 \right\} &\longrightarrow \mathbb{C}, \quad \text{the product measure of } d^x x_p. \\ \zeta(f, \vec{\chi}) &:= \int_{A^\times} f(\vec{x}) \vec{\chi}(\vec{x}) d^x \vec{x}. \end{aligned}$$

• Well-defined!

- (Functional Equation): $\zeta(f, \vec{\chi}) = \zeta(\hat{f}, 1 \cdot |\vec{\chi}|^{-1})$. $\forall f \in \mathcal{S}(A)$.

Corollary: (Functional Equation of Riemann-zeta function). $\zeta(s) := \sum_{n=1}^{\infty} n^{-s}$.

$$\pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) \zeta(s) = \pi^{-\frac{1-s}{2}} \Gamma\left(\frac{1-s}{2}\right) \zeta(1-s).$$

Proof: Take $f \in \mathcal{S}(A)$: $f = \prod_p f_p$, where $f_p = \begin{cases} e^{-\pi x^2}, & \mathbb{R} \\ 1_{\mathbb{Z}_p}, & \mathbb{Q}_p. \end{cases}$

Then $\zeta(f_p, 1 \cdot |\vec{\chi}|^s) = \begin{cases} \pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right), & \mathbb{R} \\ \frac{1}{1-p^{-s}}, & \mathbb{Q}_p \end{cases}$ (by the proposition 2) above).

and $\zeta(\hat{f}_p, 1 \cdot |\vec{\chi}|^{1-s}) = \begin{cases} \pi^{-\frac{1-s}{2}} \Gamma\left(\frac{1-s}{2}\right), & \mathbb{R} \\ \frac{1}{1-p^{s-1}}, & \mathbb{Q}_p \end{cases}$

\Rightarrow By Theorem of Tate,

$$\zeta(f, 1 \cdot 1^s) = \prod_p \zeta(f_p, 1 \cdot 1^s) = \pi^{-\frac{s}{2}} \Gamma(\frac{s}{2}) \zeta(s),$$

$$\zeta(\widehat{f}, \widehat{1} \cdot \widehat{1}^s) = \prod_p \zeta(\widehat{f}_p, 1 \cdot 1^{1-s}) = \pi^{-\frac{1-s}{2}} \Gamma(\frac{1-s}{2}) \zeta(1-s).$$

□

Remark: (GL₁-Langlands). Let K/Q be an abelian extension. Then:

$\{\text{(unitary) Galois repn of } \text{Gal}(K/Q)\} \xrightarrow{\text{CFT}} \{\text{(unitary) repn of } \text{Cl}(Q)/_{N_m(\text{Cl}(K))}\}$

$$\begin{array}{ccc} \text{Gal}(Q^{\text{ab}}/Q) & & \widehat{\text{Gal}}(Q^{\text{ab}}/Q) \xrightarrow{\text{Artin}} \widehat{\text{Cl}}(Q) \hookrightarrow \times & (\text{has non-abel}) \\ \text{So:} \quad \downarrow \text{proj} & \searrow & \text{induces:} & \text{information} \\ \text{Gal}(K/Q) \longrightarrow S^1 & & \widehat{\text{Gal}}(K/Q) \xrightarrow{\sim} (\text{Cl}(Q)/N)^{\wedge} & \text{Hecke characters} \\ & & & \uparrow & \downarrow \\ & & & \text{1-dim auto. forms.} & \end{array}$$

Recall: $\text{Cl}(Q)/N = Q^{\times}/A^{\times}/N \leadsto \text{shimura varieties!}$

Since $\text{GL}_1(A) = A^{\times}$, one needs to study Hecke characters!

Lecture 3.

GL₂ Automorphic Forms & Representations.

From modular forms to GL₂-modular forms.

Let $f: H \rightarrow \mathbb{C}$ be a modular form of weight k , level $\Gamma \subseteq \text{SL}_2(\mathbb{Z})$.
(i.e. f equivariant w.r.t. left Γ -action).

Our goal is to construct:

$$F_f: \text{GL}_2(\mathbb{R})^+ \longrightarrow \mathbb{C} \quad (\text{automorphic forms}).$$

$$g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \longmapsto (f|_{[g]_k})(i) = (\det g)^{\frac{k}{2}} (c_i + d)^{-k} f\left(\frac{ai+b}{ci+d}\right).$$

Claims: • $\forall \gamma \in \Gamma$, $F_f(\gamma g) = (f|_{[\gamma g]_k})(i) = (f|_{[g]_k})(i) = F_f(g)$.
(i.e. invariant w.r.t. left Γ -action).

$$\cdot \forall k = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \in K := \text{SO}_2(\mathbb{R})$$

$F_f(gk) = e^{-ik\theta} F_f(g)$.
(i.e. equivariant w.r.t. right K -action).

$$\cdot \forall \delta = \begin{pmatrix} \lambda & \\ & \lambda \end{pmatrix} \in Z := Z(\text{GL}_2(\mathbb{R})^+)$$

, $F_f(g\delta) = \omega(\delta) F_f(g)$, where $\omega(\delta) = \begin{cases} 1, & \lambda > 0 \\ (-1)^k, & \lambda < 0. \end{cases}$
(i.e. equivariant w.r.t. right Z -action).

Fact: $\Gamma \backslash \text{GL}_2(\mathbb{R})^+ / Z \cdot K \xrightarrow{\sim} H$.

Proof: $GL_2(\mathbb{R})^+ \rightarrow \mathcal{H}$, $g \mapsto g_i$, Kernel = $Z \cdot K$. □

Study Hermitian Geometry: Some Lie Theory:

Consider $C^\infty(GL_2(\mathbb{R})^+, \mathbb{C})$, $g_j := g|_{GL_2(\mathbb{R})}$.

• Regular Representations: $\forall g \in GL_2(\mathbb{R})^+$, $g: C^\infty(GL_2(\mathbb{R})^+, \mathbb{C}) \rightarrow C^\infty(GL_2(\mathbb{R})^+, \mathbb{C})$

$$\begin{array}{ccc} & \downarrow & \\ \forall X \in g, \quad X: C^\infty(GL_2(\mathbb{R})^+, \mathbb{C}) & \longrightarrow & C^\infty(GL_2(\mathbb{R})^+, \mathbb{C}) \end{array}$$

$$F(x) \longmapsto F(xg)$$

$$F(x) \longmapsto \frac{d}{dt} F(x \cdot \exp(tX))|_{t=0}$$

• Universal Enveloping Algebra: $g_C := g \otimes_{\mathbb{R}} \mathbb{C} = \text{Mat}_2(\mathbb{C})$.

Write: $\tilde{z} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$, $\tilde{r} = \frac{1}{2} \begin{pmatrix} 1 & i \\ i & -1 \end{pmatrix}$, $\tilde{l} = \frac{1}{2} \begin{pmatrix} 1 & -i \\ -i & -1 \end{pmatrix}$, $\tilde{h} = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \in g_C$. as basis.

$$\mathcal{U}(g_C) \cong \mathbb{C}[z, r, l, h] / \langle rz - zr, rl - lr - h, \dots \rangle$$

Then:

$Z(\mathcal{U}(g_C)) = \mathbb{C}[z, \Delta]$, where $\Delta = -\frac{1}{4}(h^2 + 2rl + 2l^2)$ is the Casimir element.

Definition: (Automorphic Forms, Geometric Version)

"Laplace operator"

- (Z -finite): $F \in C^\infty(GL_2(\mathbb{R})^+, \mathbb{C})$ is Z -finite if $\{\Delta^n F\}_{n \geq 0}$ spans a finite dim \mathbb{C} -linear space.
- (K -finite): $F \in C^\infty(GL_2(\mathbb{R})^+, \mathbb{C})$ is K -finite if $\{\kappa F\}_{\kappa \in K}$ spans a finite dim \mathbb{C} -linear space. where $(\kappa F)(g) := F(g\kappa)$.

Suppose $\Gamma \subseteq SL_2(\mathbb{R})$. Let ω be a character of Z (equivalent to $\omega: \mathbb{R}^\times \rightarrow S^1$).

In modular forms

$\mathcal{A}(\Gamma \backslash GL_2(\mathbb{R})^+, \omega)$:= space of smooth functions $F: GL_2(\mathbb{R})^+ \rightarrow \mathbb{C}$, s.t.

level structure

• (left Γ -invariant): $\forall \gamma \in \Gamma$, $F(\gamma g) = F(g)$.

Automorphic Forms on $GL_2(\mathbb{R})^+$

twist

• (Z -invariant): $\forall z \in Z$, $F(zg) = \omega(z)F(g)$.

w.r.t. the central character ω .

holomorphic condition

"weight"

• (Finiteness): F is Z -finite & K -finite.

arbitrary norm, e.g.: the length of $(g, \det g^{-1}) \in \mathbb{R}^5$.

growth condition

• (Moderate Growth): $\exists C, N > 0$, s.t. $|F(g)| < C \|g\|^N$.

length of $(g, \det g^{-1}) \in \mathbb{R}^5$.

• (Cusp Forms): Suppose Γ contains a $\begin{pmatrix} 1 & r \\ 0 & 1 \end{pmatrix}$, $r > 0$. $F \in \mathcal{A}(\Gamma \backslash GL_2(\mathbb{R})^+, \omega)$ is cuspidal if $\forall g \in GL_2(\mathbb{R})^+$, $\int_0^r F\left(\begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}g\right) dt = 0$.

- Not depend on the choice of r .

- If Cusp C , choose $P \in SL_2(\mathbb{R})$ s.t. $P(\infty) = C$. the "cuspidal at C " is defined by conjugations.

$\hookrightarrow \mathcal{A}_0(\Gamma \backslash \mathrm{GL}_2(\mathbb{R})^+, \omega)$, the space of cusp forms.

Theorem: $\Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$ congruence subgroup.

- $f \in M_k(\Gamma) \Rightarrow F_f \in \mathcal{A}(\Gamma \backslash \mathrm{GL}_2(\mathbb{R})^+, \omega : (\lambda) \mapsto \begin{cases} 1, & \lambda > 0 \\ (-1)^k, & \lambda < 0 \end{cases})$.
- $f \in S_k(\Gamma) \Rightarrow F_f \in \mathcal{A}_0$.

Proof: • (left Γ -inv.) & (\mathbb{Z} -inv.) are proved in the claim above.

• (Finiteness). Remark: One needs another coordinate on $\mathrm{GL}_2(\mathbb{R})^+$.

$$\forall g \in \mathrm{GL}_2(\mathbb{R})^+, g = \frac{(\lambda \ \ \lambda)}{\delta} \frac{\begin{pmatrix} y^{\frac{1}{2}} & y^{-\frac{1}{2}}x \\ y^{-\frac{1}{2}} & \end{pmatrix} \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix}}{\mathrm{AN} \cdot K}, \lambda > 0, x \in \mathbb{R}, y > 0, \theta \in [0, 2\pi].$$

\leadsto coordinate (λ, x, y, θ) . $\leadsto F_f(g) = \omega(\delta) e^{-ik\theta} y^{\frac{k}{2}} f(x+iy)$, where $g_i = x+iy$.

Claim: $\Delta = -y^2 \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) - y \frac{\partial^2}{\partial x \partial \theta}$ is the Laplace operator on T^*H .

Pf: Consider $(, -1) = -ih$. Assume $F : \mathrm{GL}_2(\mathbb{R})^+ \rightarrow \mathbb{C}$.

$$\begin{aligned} ((, -1) F)(g) &= \frac{d}{dt} (F(g \exp(t(, -1)))) \Big|_{t=0} \\ &= \frac{d}{dt} F\left((\lambda) \begin{pmatrix} y^{\frac{1}{2}} & y^{-\frac{1}{2}}x \\ y^{-\frac{1}{2}} & \end{pmatrix} \begin{pmatrix} \cos(\theta+t) & -\sin(\theta+t) \\ \sin(\theta+t) & \cos(\theta+t) \end{pmatrix}\right) \Big|_{t=0} \\ &= \frac{\partial}{\partial \theta} F(g) \Rightarrow h = i \frac{\partial}{\partial \theta}. \end{aligned}$$

Similarly, $l = e^{2i\theta} \left(-iy \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} + \frac{1}{2}i \frac{\partial}{\partial \theta} \right)$, $r = e^{-2i\theta} \left(iy \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} - \frac{1}{2}i \frac{\partial}{\partial \theta} \right)$.

$$\Rightarrow \Delta = -\frac{1}{4}(h^2 + 2rl + 2r^2).$$

To show \mathbb{Z} -finiteness, one has

$$\begin{aligned} \Delta F_f &= -e^{-ik\theta} \left(y^{\frac{k}{2}+2} f_{xx} + y^{\frac{k}{2}+2} f_{yy} + ky^{\frac{k}{2}+1} f_y + \frac{k}{2} \left(\frac{k}{2}-1 \right) y^{\frac{k}{2}} f - ik y^{\frac{k}{2}+1} f_x \right) \\ &\quad = 0, \text{ since } f \text{ holomorphic} \\ &= \frac{k}{2} \left(\frac{k}{2}-1 \right) F_f. \end{aligned}$$

$$if_x = f_y$$

To show K -finiteness, one has

$$F_f(gK) = e^{-ik\theta} F_f(g), \text{ where } K = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix}.$$

• (Moderate growth). By def of "holomorphic at ∞ ", one has

$$|f(x+iy)| \ll O(1), \quad y \rightarrow \infty. \quad \text{exercise!}$$

$$\text{So } |F_f(g)| = (ad-bc)^{\frac{k}{2}} |c_i+d|^{-k} |f\left(\frac{a+ib}{c_i+d}\right)| \ll C_1 \|g\|^{C_2 k}.$$

• (Cusp form). If $f \in S_k(\Gamma)$, then f vanishes at $\infty \Rightarrow f(q) = a_0 + a_1 q + \dots$

By Fourier transform, $a_0 = \int_0^1 f(z+t) dt, \quad \forall z \in H$.

However, $F_f((1 \ t)g) = \underline{w(s)} e^{-ik\theta} y^{\frac{1}{2}} f(z+t)$. □

Adelic Version & Shimura Varieties.

Recall: **Strong Approximation.** Let X be an affine scheme over \mathbb{Z} . Define:

$$X(\mathbb{A}) := X(\mathbb{R}) \times \prod_p' X(\mathbb{Q}_p) . \text{ with "restrict product topology".}$$

\uparrow
 $\{(x_p) \in \prod_p X(\mathbb{Q}_p) : \text{a.e. } x_p \in X(\mathbb{Z}_p)\}$.

Example: For $X = SL_n$, the special linear group.

- $\mathbb{A}^\times = \mathbb{Q}^\times \cdot (\mathbb{R}_{>0} \times \widehat{\mathbb{Z}}^\times)$. and $\mathbb{Q}^\times \hookrightarrow \mathbb{A}^\times$ is discrete.
- $SL_2(\mathbb{A}) = SL_2(\mathbb{Q}) \cdot (SL_2(\mathbb{R}) \times SL_2(\widehat{\mathbb{Z}}))$. and $SL_2(\mathbb{Q}) \hookrightarrow SL_2(\mathbb{A})$ is discrete.

Let K_f be a compact subgroup of $SL_2(\mathbb{A}_{fin})$. (Congruence subgroups)

- let Γ_{K_f} be the preimage of $SL_2(\mathbb{R}) \times K_f$ under $SL_2(\mathbb{Q}) \hookrightarrow SL_2(\mathbb{A})$. (e.g. $\Gamma_{SL_2(\widehat{\mathbb{Z}})} = SL_2(\mathbb{Z})$).

There is a natural identification:

$$\Gamma_{K_f} \backslash SL_2(\mathbb{R}) \xrightarrow{\sim} SL_2(\mathbb{Q}) \backslash SL_2(\mathbb{A}) / K_f .$$

- let Γ_{K_f} be the preimage of $GL_2(\mathbb{R})^+ \times K_f$ under $GL_2(\mathbb{Q}) \hookrightarrow GL_2(\mathbb{A})$. There are natural identifications:

$$\begin{aligned} &\circ \quad \Gamma_{K_f} \backslash GL_2(\mathbb{R})^+ \xrightarrow{I-I} GL_2(\mathbb{Q}) \backslash GL_2(\mathbb{A}) / K_f . \\ &\circ \quad \Gamma_{K_f} \backslash H \xrightarrow{I-I} GL_2(\mathbb{Q}) \backslash GL_2(\mathbb{A}) / K_f \times (SO_2(\mathbb{R}) \cdot Z(\mathbb{R})) . \end{aligned}$$

Proof: Strong approximation & $H = GL_2(\mathbb{R})^+ / K \cdot Z$. □

- Example:
- $SL_2(\mathbb{Z}) \backslash SL_2(\mathbb{R}) \xrightarrow{\sim} SL_2(\mathbb{Q}) \backslash SL_2(\mathbb{A}) / SL_2(\widehat{\mathbb{Z}})$.
 - Let Γ be a congruence subgroup. Consider $K_f :=$ the profinite group of Γ . i.e. $K_f = \widehat{\Gamma} \subseteq GL_2(\widehat{\mathbb{Z}})$. Now $\Gamma_{K_f} = GL_2(\mathbb{Q}) \cap (GL_2(\mathbb{R})^+ \times \widehat{\Gamma}) = \Gamma$. Hence,

The modular curve $\Gamma \backslash H = GL_2(\mathbb{Q}) \backslash GL_2(\mathbb{A}) / \widehat{\Gamma} \times (K \cdot Z)$

$$\begin{aligned} &= GL_2(\mathbb{Q}) \backslash GL_2(\mathbb{A}_f) \times \underline{GL_2(\mathbb{R})^+ \times \{\pm 1\}} / \widehat{\Gamma} \times (K \cdot Z) \\ &= GL_2(\mathbb{Q}) \backslash GL_2(\mathbb{A}_f) \times H^\pm / \widehat{\Gamma} . \end{aligned}$$

is a Shimura variety.

↑
Hermitian symmetric space.

Definition: (Automorphic Forms, Adelic Version)

Goal: $f \in M_k(\Gamma) \rightsquigarrow F_f: GL_2(\mathbb{R})^+ \rightarrow \mathbb{C} \rightsquigarrow \Phi_f: GL_2(\mathbb{A}) \rightarrow \mathbb{C}$.

Let $K_f := \hat{\Gamma}$, by strong approximation we have

$$GL_2(\mathbb{A}) = GL_2(\mathbb{Q}) \cdot (GL_2(\mathbb{R})^+ \times K_f).$$

$$g \longmapsto \gamma \cdot g_\infty \cdot k_f.$$

Define $\Phi_f: GL_2(\mathbb{A}) \rightarrow \mathbb{C}$, $g \mapsto F_f(g_\infty) = (f|_{[g_\infty]_k})(i)$.

Facts: . Φ_f is well-defined.

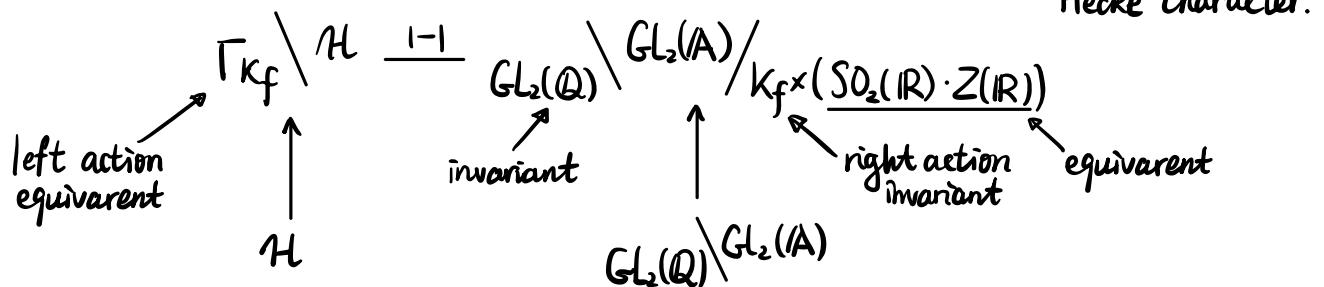
• Properties of Φ_f follows from properties of F_f :

- $\forall \gamma \in GL_2(\mathbb{Q}), \forall g \in GL_2(\mathbb{A}), \Phi_f(\gamma g) = \Phi_f(g)$.

- $\forall K_\infty = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \in SO_2(\mathbb{R}), \forall g \in GL_2(\mathbb{A}), \Phi_f(g K_\infty) = e^{-ik\theta} \Phi_f(g)$.

- $\forall \delta = \begin{pmatrix} \lambda & \\ & \lambda \end{pmatrix} \in Z(\mathbb{Q}) \setminus Z(\mathbb{A}) \cong \mathbb{Q}^\times \backslash \mathbb{A}^\times, \forall g \in GL_2(\mathbb{A}), \Phi_f(g\delta) = \omega(\lambda) \Phi_f(g)$.

• Under the identification



→ Definition: (Automorphic Forms, with out "twists").

An automorphic form on $GL_2(\mathbb{A})$ is a function $\phi: GL_2(\mathbb{A}) \rightarrow \mathbb{C}$. s.t.

modular forms	$GL_2(\mathbb{R})$ auto. forms	
Level structure	Smoothness & Level structure	<ul style="list-style-type: none"> (Smoothness) (Smooth at inf place): $\forall g \in GL_2(\mathbb{A})$, the induced map $GL_2(\mathbb{R})^+ \rightarrow \mathbb{C}, g_\infty \mapsto \phi(g g_\infty)$ is smooth. (Locally constant at fin places): \exists compact open subgp $K_f \subseteq GL_2(\mathbb{A}_f)$, s.t. $\forall g \in GL_2(\mathbb{A}), \forall k_f \in K_f, \phi(g k_f) = \phi(g)$.
		<ul style="list-style-type: none"> (Invariant under $GL_2(\mathbb{Q})$): $\forall \gamma \in GL_2(\mathbb{Q}), \phi(\gamma g) = \phi(g)$.
twist	twist	<ul style="list-style-type: none"> (Central character): $\forall \delta = \begin{pmatrix} \lambda & \\ & \lambda \end{pmatrix} \in Z(\mathbb{Q}) \setminus Z(\mathbb{A}), \phi(g\delta) = \omega(\lambda) \phi(g)$.

Weights & Holomorphy	Weights & Holomorphy	<ul style="list-style-type: none"> (Finiteness) (K-finite): Let $K = SO_2(\mathbb{R}) \times \prod_p GL_2(\mathbb{Z}_p)$. ϕ is K-finite if $\{\kappa\phi \mid \kappa \in K\}$ spans a finite dim \mathbb{C}-linear space. (Z-finite): Recall $\mathcal{U}(gl_2(\mathbb{R}))_{\mathbb{C}}$ acts on $C^\infty(GL_2(A))$ by $(X \cdot \phi)(g) := \frac{d}{dt} \phi(g \exp(tX)) _{t=0}$. ϕ is Z-finite if ϕ is contained in a finite dim Z-invariant subspace of $C^\infty(GL_2(A))$.
Growth Condition	Growth Condition	<ul style="list-style-type: none"> (Moderate growth): $\exists C, N > 0$, s.t. $\phi(g) \leq C \cdot \ g\ _A^N$, $\forall g \in GL_2(A)$.
Cuspidal	Cuspidal	<ul style="list-style-type: none"> (Cusp forms): $\forall g \in GL_2(A)$, $\int_{QV\Lambda} \phi((\begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} g) dt = 0$.

Theorem: Let $f \in M_k(\Gamma)$, $\omega \in \mathbb{X}$, then $\Phi_f \in \mathcal{A}(GL_2(A), \omega)$.

If $f \in S_k(\Gamma)$, then $\tilde{\Phi}_f \in \mathcal{A}_0(GL_2(A), \omega)$.

What Is Automorphic Representations?

There is an action

$$GL_2(A_F) = \prod_p GL_2(\mathbb{Q}_p) \curvearrowright \mathcal{A}(GL_2(A), \omega).$$

via right translation. But $GL_2(\mathbb{R})$ doesn't quite act on $\mathcal{A}(GL_2(A), \omega)$.

Instead, it is a so called $(\mathfrak{g}_J = \text{Lie}(GL_2(\mathbb{R})^+))$ or $\text{Lie}(GL_2(\mathbb{R}))$, $K_\infty = SO_2(\mathbb{R})$ or $O_2(\mathbb{R})$ - module.

$\Rightarrow \mathcal{A}(GL_2(A), \omega)$ is a " $(\mathfrak{g}_J, K_\infty) \times GL_2(A_F)$ - module".

\uparrow \uparrow
Lecture 5 Lecture 4, decompose into $GL_2(\mathbb{Q}_p)$.

Definition: An automorphic representation is an irreducible subquotient of $\mathcal{A}(GL_2(A), \omega)$ as $(\mathfrak{g}_J, K_\infty) \times GL_2(A_F)$ - module.

Lecture 4. Representation of $GL_2(\mathbb{Q}_p)$.

Recall: Repn of $G := GL_2(\mathbb{F}_p)$. ($p \neq 2$).

All the irr. repn. of G can be listed as following:

(Parabolic Inductions).

- 1-dim repn $\mu \circ \det$, where μ is a character on \mathbb{F}_p^\times .
- the (twisted) Steinberg repn St_μ , where μ is a character on \mathbb{F}_p^\times .
- the principal series $Ind_{(\ast, \ast)}^G(\chi)$, where χ is a regular character on (\ast, \ast) , if the maximal subtori in G is isomorphic to $(\mathbb{F}_p^2)^\times$.

(Weil Repns \leadsto Cuspidal Repns).

- the theta series π_χ , where χ is a regular character on $\left\{ \begin{pmatrix} a & b \\ b & a \end{pmatrix} \in G \right\}$, $\delta \in \mathbb{F}_p^\times \setminus (\mathbb{F}_p^\times)^2$, if the maximal subtori in G is isomorphic to $(\mathbb{F}_p[\sqrt{\delta}])^\times$.
- Recall: A character χ is called regular if $\chi \neq \chi^\tau$, where τ is the Galois involution.

Our Goal:

Irr. (admissible) repn of $GL_2(\mathbb{Q}_p)$

$1\text{-dim repns: } \mu \circ \det, \text{ where } \mu: \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ $\text{principal series: } B(X_1, X_2) := Ind_p^G(\chi_0), \text{ where } \chi_1 \chi_2^{-1} \neq 1 \cdot 1^\pm$ $\text{Steinberg repns: } 0 \rightarrow \mu \circ \det \rightarrow Ind_p^G(\chi_0) \rightarrow St_\mu \rightarrow 0, \text{ where } \chi_1 \chi_2^{-1} = 1 \cdot 1^\pm$ $\text{supercuspidal repns: others (not subquotients of } B(X_1, X_2))$
--

Definition: (Smooth & Admissible)

Let $\pi: GL_2(\mathbb{Q}_p) \rightarrow V$ (or (π, V) simply) be a repn of $GL_2(\mathbb{Q}_p)$ over \mathbb{C} -linear space.

- π is smooth if: $\forall v \in V$, $\{g \in GL_2(\mathbb{Q}_p) : \pi(g)v = v\}$ is compact open.
- π is admissible if: π is smooth and \forall compact open subgp $K \subseteq GL_2(\mathbb{Q}_p)$, the space V^K is finite dim. (It is enough to check this if K run out all $K_n := \begin{pmatrix} 1+p^n\mathbb{Z}_p & p^n\mathbb{Z}_p \\ p^n\mathbb{Z}_p & 1+p^n\mathbb{Z}_p \end{pmatrix}$, this is a system of open (compact) neighborhood of $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \in GL_2(\mathbb{Z}_p)$).

Fact: Irr. + smooth \Rightarrow admissible, (Non-trivial!) and has a "central character" $w: \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$, s.t. $\pi\left(\begin{pmatrix} a & \\ & a \end{pmatrix}\right)v = w(a)v$. (By Schur lemma below, since $\begin{pmatrix} a & \\ & a \end{pmatrix} \in Z$).

Proposition: (Some important properties).

- (Schur Lemma). Let (π, V) smooth irr. of $GL_2(\mathbb{Q}_p)$, then $\dim_{\mathbb{C}}(\mathrm{End}_{GL_2(\mathbb{Q}_p)}(V)) = 1$.
- (π, V) smooth. Then $V = \bigoplus_P V(P)$, where
the P -isotypic component

$P \in \{\text{finite dim irr repn of } K \text{ whose kernel is open}\}$.

So (π, V) is admissible iff $\forall P$, $\dim_{\mathbb{C}}(V(P)) < \infty$. (so admissible \Rightarrow irr.)

Proof: Step 1. If (π, V) smooth irr, then $\dim_{\mathbb{C}}(V)$ is countable.

Pf: Use the Iwasawa decomposition

$$GL_2(\mathbb{Q}_p) = \left\{ \begin{pmatrix} p^{e_1} & \\ & p^{e_2} \end{pmatrix} \begin{pmatrix} 1 & u \\ & 1 \end{pmatrix} k : e_1 > e_2 \in \mathbb{Z}, k \in GL_2(\mathbb{Z}_p), u = \sum_{i=1}^{e_1-e_2-1} u_i p^i \right\}.$$

$$P = \begin{pmatrix} * & * \\ * & * \end{pmatrix} \quad K = GL_2(\mathbb{Z}_p).$$

Now, π irr $\Rightarrow \forall v \neq 0 \in V, \text{span}\{\pi(g)v : g = pk \in GL_2(\mathbb{Q}_p)\} = V$.

By smoothness, $K' := \{g \in GL_2(\mathbb{Q}_p) : \pi(g)v = v\} \cap GL_2(\mathbb{Z}_p) = \{g \in GL_2(\mathbb{Z}_p) : \pi(g)v = v\}$
is compact. $\Rightarrow [K : K'] < \infty$.

So $\pi(K)v$ is a finite set. Hence

$$V = \text{span}\{\pi(p)v\} \times \{\text{Finite Set}\}$$

is spanned by a countable set.

Step 2. Prove Schur lemma.

Pf: If there exists $L: V \rightarrow V$ s.t. $\forall z \in \mathbb{C}, L - z \text{Id} \neq 0$. Then:

π irr $\Rightarrow L - z \text{Id}$ must be isomorphisms. Consider the uncountable set

$$\{(L - z \text{Id})^{-1}v : z \in \mathbb{C}\} \subseteq V = \text{span}_{i \in \mathbb{Z}}\{e_i\}$$

$$\Rightarrow \exists \text{ linear relation } 0 = \sum_{i=1}^N a_i (L - z_i \text{Id})^{-1}v \Rightarrow \prod_{i=1}^N (L - w_i \text{Id})v = 0.$$

$\rightarrow L - w_j \text{Id}$ is not injective for some w_j . A contradiction! \square

CLASSIFICATIONS:

• Principal Series. (Parabolic Induction)

Normalize, to make the induced
repn of unitary repns also unitary.

Definition: Let $\chi_1, \chi_2: \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ be quasi characters. Let

$$B(\chi_1, \chi_2) := \left\{ f: GL_2(\mathbb{Q}_p) \rightarrow \mathbb{C} \text{ locally constant} \mid f\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix}g\right) = \chi_1(a)\chi_2(c) \left|\frac{a}{c}\right|^{\frac{1}{2}} f(g) \right\}$$

Theorem: There is a left action

$$(= \text{Ind}_P^{GL_2(\mathbb{Q}_p)} \left(\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix} \mapsto \chi_1(a) \cdot \chi_2(c) \left| \frac{a}{c} \right|^{\frac{1}{2}} \right) \right))$$

$$\forall g \in GL_2(\mathbb{Q}_p), (g \cdot f)(x) := f(xg).$$

It makes $B(\chi_1, \chi_2)$ an admissible repn.

Proof: $GL_2(\mathbb{Q}_p) = PK \Rightarrow$ we only need to study $f(k), k \in K = GL_2(\mathbb{Z}_p)$.

Step 1. Smoothness: ($\forall f, U_f := \{g \mid f(xg) = f(x)\}$ compact open).

Pf: $f \in B(\chi_1, \chi_2) \Rightarrow \forall p \in P, \forall g \in GL_2(\mathbb{Q}_p), f(pg) = \chi_0(p)f(g)$ for some χ_0 .

f locally constant $\Rightarrow \forall k, f(kK_{n_k}) = f(k)$ for some n_k .

$$\Rightarrow U_f = \bigcap_k K_{n_k} \subseteq K_{n_k} \subseteq K \subseteq \bigcup_k kK_{n_k}$$

$$\Rightarrow \bigcup_f = \bigcap_{k \in A} K_{n_k} \neq \emptyset$$

finite union, since K is compact. So k just needs to run over a finite set A .

Since: $\cdot K_n$ is a compact neighborhood system $\Rightarrow \bigcup_f$ compact.

- Can be a finite intersection $\Rightarrow \bigcup_f$ open.

Step 2. Admissible. ($\forall K' \subseteq K$ compact, $B(X_1, X_2)^{K'}$ has finite dim).

Pf: $\forall f \in B(X_1, X_2)^{K'}$, $f(kk') = f(k)$, where $k \in K$, $k' \in K'$.

$[K : K'] < \infty \Rightarrow$ values of $f(k)$ depends only on what coset of K' it is in.

$\Rightarrow f(k)$ is determined by finite things. \square

Case I: $X_1 X_2^{-1} = 1 \cdot | \pm 1 |$. \rightarrow Steinberg Repns, which are ∞ -dim.

Proposition: If $X_1 X_2^{-1} = 1 \cdot | \pm 1 |$, then $B(X_1, X_2)$ has a 1-dim $GL_2(\mathbb{Q}_p)$ -subspace and the quotient is irr. If $X_1 X_2^{-1} = 1 \cdot | 1 |$, then $B(X_1, X_2)$ has an irr. subrepn with codim 1.

The remaining spaces above are called Steinberg repns.

Proof: Only prove the first one. Let $x = X_1 | \cdot |^{\frac{1}{2}} = X_2 | \cdot |^{-\frac{1}{2}}$.

Now $\mathbb{C}[X \circ \det]$ is a dim 1 inv. subspace of $B(X_1, X_2)$, since $\forall g \in GL_2(\mathbb{Q}_p)$,

$$\chi(\det((\begin{pmatrix} a & x \\ b & c \end{pmatrix} g))) = \chi(ab) \chi(\det(g)) = \chi_1(a) \chi_2(b) \left| \frac{a}{b} \right|^{\frac{1}{2}} (\chi \circ \det)(g).$$

and $\forall g$, $g(\chi \circ \det) = [\chi \circ \det(g)] \cdot \underset{\in \mathbb{C}^\times}{\chi \circ \det}$.

To prove the quotient is irr, one needs Whittaker functionals. \square

There are no any other principal series repns which are reducible, besides for the ones mentioned above. For a proof, See Bump p. 475.

Case II: $X_1 X_2^{-1} \neq 1 \cdot | \pm 1 |$.

Fact: $B(X_1, X_2)$ is irr.

Theorem: (Classification of principal series) $\forall \chi_1, \chi_2: \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$,

- If $B(\chi_1, \chi_2)$ is irr, then $B(\chi_1, \chi_2) \cong B(\chi_2, \chi_1)$. \rightsquigarrow the induced Steinberg repns are still isomorphic (if exist).
- If $\chi = \chi_1 = \chi_2$, then $B(\chi, \chi) = \chi \otimes B(1, 1)$ is irr.
- If $\chi_1 \neq \chi_2$, $\text{Hom}_{\text{rep}}(B(\chi_1, \chi_2), B(\eta_1, \eta_2)) = \begin{cases} \mathbb{C}, & (\chi_1, \chi_2) = (\eta_1, \eta_2) \text{ or } (\eta_2, \eta_1). \\ 0, & \text{otherwise.} \end{cases}$

Supercuspidal Repns. (Difficult to classify)

Definition: (Jacquet modules). Define a Functor

$$J: \text{Repns} \rightarrow (\ast, \ast)\text{-Mod}, \quad (\pi, V) \mapsto J(V) := \bigvee \text{Span}\{\pi(\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix})v - v \mid v \in V, x \in \mathbb{Q}_p\}.$$

- Remark:
- Well-definedness: $\forall \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix}, \pi(\begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix})(\pi(\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix})v - v)$, $a, b \in \mathbb{Q}_p^\times$
 $= \pi(\begin{pmatrix} 1 & ax \\ 0 & 1 \end{pmatrix})\pi(\begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix})v - \pi(\begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix})v$
 - $w \in \text{Span}\{\pi(\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix})v - v\} \Leftrightarrow \int_U \pi(u)w du = 0$ for some compact open subgp $U \subseteq \mathbb{Q}_p$.
 - J is exact.

Theorem: Let (π, V) be a irr. admissible repn of $GL_2(\mathbb{Q}_p)$. Then (π, V) is supercuspidal iff $J(v) = 0$.

Ramification Theory.

Definition: (π, V) is unramified if $V^k \neq 0$, a non-zero element in V^k is called a spherical vector. Otherwise is ramified.

Example: $1 \cdot 1 \circ \det = \text{id}$ as scalar multiplication is unramified.

- Remark:
- (π, V) irr. admissible, then $\dim_{\mathbb{C}}(V^k) \leq 1$. (Not easy!)
 - The only possible unramified repns are in the principal series $B(\chi_1, \chi_2)$ where χ_1, χ_2 are unramified. In that case, we have a spherical vector $f(\begin{pmatrix} a & x \\ 0 & b \end{pmatrix} k) = \chi_1(a)\chi_2(b)|\frac{a}{b}|^{\frac{1}{2}}$.

So there is a classification:

- All spherical repns of $GL_2(\mathbb{Q}_p)$ are following:
 - 1). The inf-dim ones are $B(\chi_1, \chi_2)$, s.t. $\chi_1\chi_2^{-1} \neq 1 \cdot 1^{\pm 1}$.
 - 2). The fin-dim ones are 1-dim, They are $\chi \circ \det$ for unramified χ .

Lecture 5

Representation of (\mathfrak{g}, K) -module.

Set Up: Throughout this part, $(G, K) := (GL_2(\mathbb{R})^+, SO_2(\mathbb{R}))$ or $(GL_2(\mathbb{R}), O_2(\mathbb{R}))$.

Let $\mathfrak{g} := \text{Lie}(G)$, $\mathfrak{k} := \text{Lie}(K)$. In these cases, $\mathfrak{g}_{\mathbb{C}} = \text{Mat}_2(\mathbb{C})$.

Definition: A (\mathfrak{g}, K) -module is a \mathbb{C} -linear space V together with Lie group repn π_K of K and Lie algebra repn $\pi_{\mathfrak{g}}$ of \mathfrak{g} s.t. :

1). V decomposes into a countable direct sum $V = \bigoplus_i V_i$ of finite dim irr. K -subreps.

2). For $X \in \mathfrak{k}$, $v \in V$, we have $\pi_g(X)v = Xv := \frac{d}{dt}(\pi_K(\exp(tX))v)|_{t=0}$.

3). For $k \in K$, $X \in \mathfrak{g}$, $v \in V$, we have $\pi_K(k)\pi_g(X)\pi_K(k^{-1})v = \pi_g(\text{Ad}(k)X)v$.

Remark: 1) + 2) \Rightarrow 3) when $(G, K) = (GL_2(\mathbb{R})^+, SO_2(\mathbb{R}))$. But not right in the other.

Definition: A (\mathfrak{g}, K) -module is admissible if in the decomposition $V = \bigoplus_i V_i$, no isomorphism type of irr. K -repn occurs with infinite multiplicity.

Example: $(G, K) = (GL_2(\mathbb{R})^+, SO_2(\mathbb{R}))$, f a cusp form. $\rightarrow F_f \in \mathcal{A}_0(\Gamma \backslash G, \omega)$.

In this example, $\mathcal{U}(\mathfrak{g}_C)F_f$ is an irr. admissible (\mathfrak{g}, K) -module.

Remark: \mathcal{A}_0 VS L^2 .

$$(\mathfrak{g}, K) G \mathcal{A}_0(\Gamma \backslash G, \omega) \hookrightarrow L^2(\Gamma \backslash G, \omega) \curvearrowright G$$

|| spectral theory

Hi, Let

$H_i^{\text{fin}} := K$ -finite vectors in H_i .

Then: • $H_i^{\text{fin}} \subseteq H_i$ dense.

$$\hat{\bigoplus}_i H_i$$

Hilbert direct sum of
irr. "complete" G -subreps.

• $H_i^{\text{fin}} \subseteq \mathcal{A}_0(\Gamma \backslash G, \omega)$. Hence H_i^{fin} is an irr. admissible (\mathfrak{g}, K) -module.
(that is, H_i is an "irr. admissible G -repn").

So: $\mathcal{A}_0(\Gamma \backslash G, \omega) = \bigoplus_i H_i^{\text{fin}}$, an algebraic direct sum.

To sum up, $\begin{cases} \text{irr. admissible} \\ (\mathfrak{g}, K)\text{-mod in } \mathcal{A}_0 \end{cases} \xleftrightarrow{1-1} \begin{cases} \text{irr. admissible} \\ G\text{-repn in } L^2 \end{cases}$, $H_i^{\text{fin}} \longleftrightarrow H_i$.

\uparrow \uparrow
algebraically, easy! analytically, unfamiliar.

CLASSIFICATIONS:

Let V be an irr. admissible (\mathfrak{g}, K) -module. Recall that irr. $K(\cong \mathbb{R}/\mathbb{Z})$ -reps are 1-dim given by $\tau_k : \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \mapsto e^{-ik\theta}$, $k \in \mathbb{Z}$.

Use Peter-Weyl we get: $V = \bigoplus_{k \in \mathbb{Z} = \widehat{K}} V(k)$

τ_k -isotypic part. since admissible, $V(k)$ are finite dim. in fact, $\dim_{\mathbb{C}}(V(k)) \leq 1$.

Recall: $\tilde{g} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$, $\tilde{z} = \frac{1}{2} \begin{pmatrix} 1 & i \\ i & -1 \end{pmatrix}$, $\tilde{l} = \frac{1}{2} \begin{pmatrix} 1 & -i \\ -i & -1 \end{pmatrix}$, $\tilde{h} = \begin{pmatrix} 1 & -i \\ i & -1 \end{pmatrix} \in \mathfrak{g}_C$.

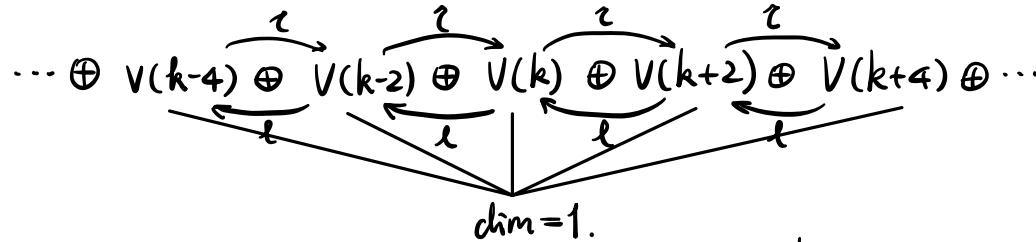
$$\mathcal{U}(\mathfrak{g}_C) \cong \mathbb{C}[\tilde{g}, \tilde{z}, \tilde{l}, \tilde{h}] / \langle g_2 - z_2, zl - lz - h, \dots \rangle$$

Then: $Z(\mathcal{U}(\mathfrak{g}_C)) = \mathbb{C}[z, \Delta]$, where $\Delta = -\frac{1}{4}(h^2 + 2zl + 2l^2)$.

Proposition: V irr. admissible (\mathfrak{g}, K) -module. Then:

- $\forall x \in V(k)$, $hx = kx$. Hence $V(k) = \{x \in V \mid hx = kx\}$.
- If $x \in V(k)$, then $\gamma x \in V(k+2)$, $\ell x \in V(k-2)$.
- If $0 \neq x \in V(k)$, then $V(k) = \mathbb{C}x$; $V(k+2n) = \mathbb{C}\gamma^n x$; $V(k-2n) = \mathbb{C}\ell^n x$; $V = \bigoplus_{n \in \mathbb{Z}} V(k+2n)$.

Sum up, V looks like:



- The Casimir element Δ acts on V by the scalar w . For $x \in V(k)$,

$$l\gamma x = (-w - \frac{k}{2}(1 + \frac{k}{2}))x; \quad r\ell x = (-w + \frac{k}{2}(1 - \frac{k}{2}))x.$$

Moreover,

- If $0 \neq x \in V(k)$ but $\gamma x = 0$, then $w = -\frac{k}{2}(1 + \frac{k}{2})$;
- If $0 \neq x \in V(k)$ but $\ell x = 0$, then $w = \frac{k}{2}(1 - \frac{k}{2})$.

Proof:

- $\forall x \in V(k) \subseteq V$,

$$(ih)x = \frac{d}{dt}(\pi_K(\exp(t \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix})))x \Big|_{t=0} = \lim_{\Delta t \rightarrow 0} \frac{\pi_K\left(1 + \begin{pmatrix} 0 & t \\ -t & 0 \end{pmatrix} + \frac{1}{2!} \begin{pmatrix} -t^2 & 0 \\ 0 & -t^2 \end{pmatrix} + \dots + \frac{1}{n!} \begin{pmatrix} 0 & t^n \\ -t^n & 0 \end{pmatrix} + \dots\right)x - x}{\Delta t}$$

$$= \lim_{\Delta t \rightarrow 0} \frac{\pi_K\left(\begin{pmatrix} \cos t & \sin t \\ -\sin t & \cos t \end{pmatrix}x - x\right)}{t} = \lim_{\Delta t \rightarrow 0} \frac{(e^{ikt} - 1)x}{t} = ikx. \Rightarrow hx = kx.$$

- Recall that $[h, \gamma] = 2\gamma$, we have $h\gamma x = \gamma hx + [h, \gamma]x = (k+2)\gamma x$.
 $\Rightarrow \gamma x \in V(k+2)$. Similarly, $\ell x \in V(k-2)$.
- Use facts above, we have $\mathbb{C}x \subseteq V(k)$, $\mathbb{C}\gamma^n x \subseteq V(k+2n)$, $\mathbb{C}\ell^n x \subseteq V(k-2n)$. This implies the claim since V is irr.
- Recall that $[\gamma, \ell] = 2h$, we have $-4\Delta = h^2 + 2h + 4\ell\gamma$. So:

$$\ell\gamma x = -wx - \frac{1}{4}k^2x - \frac{1}{2}kx = \left(-w - \frac{k}{2}(1 + \frac{k}{2})\right)x. \quad \square$$

Corollary: The isomorphism class of irr. admissible (\mathfrak{g}, K) -modules is determined by: 1) the eigenvalue of γ ; 2) the eigenvalue w of Δ ; 3) the K -type $\Sigma(V) := \{n \in \mathbb{Z} \mid V(n) \neq 0\}$. (it contains even (odd)).

If write $w = \frac{k}{2}(1 - \frac{k}{2})$ with $k \in \mathbb{C}$, write $\varepsilon := \begin{cases} 0, & \text{even} \\ 1, & \text{odd} \end{cases}$. We have:

- If $k \notin \mathbb{Z}$, or $k \equiv \varepsilon \pmod{2}$, then $\Sigma(V) = \{n \in \mathbb{Z} \mid n \equiv \varepsilon \pmod{2}\}$.
- If $k \in \mathbb{Z}$ and $k \not\equiv \varepsilon \pmod{2}$, then the K -type can be either

- $\Sigma_+(k) = \{ n \geq |k| : n \equiv k \pmod{2} \}$.
- $\Sigma_0(k) = \{ -|k| < n < |k| : n \equiv k \pmod{2} \}$.
- $\Sigma_-(k) = \{ n \leq -|k| : n \equiv k \pmod{2} \}$.

The case $S=0$ is exceptional because in that case $\Sigma_0 = \emptyset$.

Questions: Do the (g, K) -modules described above actually exist?

- Can each such (g, K) -module be realized in the K -finite vectors in some irr. admissible repn (π, V) of G ?

Let ε be above. Let $s_1, s_2 \in \mathbb{C}$. Let $\omega := s(1-s)$, $\eta := \overset{\uparrow}{s_1 + s_2}$, where $s = \frac{s_1 - s_2 + 1}{2}$.

eigenvalue of Δ eigenvalue of z . (on $\pi(s_1, s_2, \varepsilon)$).

Now construct an admissible repn $\pi(s_1, s_2, \varepsilon)$ of G on a Hilbert space:

Given a character $\chi: P \rightarrow \mathbb{C}$, $\begin{pmatrix} y_1 & x \\ & y_2 \end{pmatrix} \mapsto (\text{sgn}(y_1))^\varepsilon \cdot |y_1|^{s_1} \cdot |y_2|^{s_2}$.
 $\begin{pmatrix} * & * \\ * & * \end{pmatrix} \subseteq \text{GL}_2(\mathbb{R})^+$

Let $H(s_1, s_2, \varepsilon) := \left\{ \begin{array}{l} \text{smooth function } f: G \rightarrow \mathbb{C} \\ \text{such that } f((\begin{pmatrix} y_1 & x \\ & y_2 \end{pmatrix} g)) = y_1^{s_1 + \frac{1}{2}} y_2^{s_2 - \frac{1}{2}} f(g), \forall y_1, y_2 > 0, \forall g \in G \\ \quad \cdot f((\begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} g)) = (-1)^\varepsilon f(g), \forall g \in G \end{array} \right\}.$

$\text{Ind}_P^G(\chi)$

Facts: Let $H(s_1, s_2, \varepsilon)$ as above.

- The G action $gf(x) = f(xg)$ makes $H(s_1, s_2, \varepsilon)$ a G -repn.
- Since $\forall f \in H(s_1, s_2, \varepsilon)$ is determined by its values on K (by Iwasawa), we can give $H(s_1, s_2, \varepsilon)$ a Hermitian inner product

$$\langle f_1, f_2 \rangle := \frac{1}{2\pi} \int_0^{2\pi} f_1(K_\theta) \overline{f_2(K_\theta)} d\theta.$$

The completion of $H(s_1, s_2, \varepsilon)$ is a Hilbert space and denoted by $\tilde{H}(s_1, s_2, \varepsilon)$.

Elements in $H(s_1, s_2, \varepsilon) \subseteq \tilde{H}(s_1, s_2, \varepsilon)$ play the role of "smooth vectors" for the G -repn.

Define: $\pi(s_1, s_2, \varepsilon) := \tilde{H}(s_1, s_2, \varepsilon)^{fin}$ (space of K -finite vectors). This is a (g, K) -module.

Theorem: For $s = \frac{s_1 - s_2 + 1}{2}$, $\omega = s(1-s)$, $\eta = s_1 + s_2$,

- 1) Δ, z acts via scalars on $\pi(s_1, s_2, \varepsilon)$ with eigenvalues ω, η .
 - 2) Suppose s is not of the form $\frac{k}{2}$, $k \equiv \varepsilon \pmod{2}$, then $\pi(s_1, s_2, \varepsilon)$ is irr.
- The set of K -types is $\{n \in \mathbb{Z} \mid n \equiv \varepsilon \pmod{2}\}$.

3) Suppose $S = \frac{k}{2}$, $k \equiv \varepsilon \pmod{2}$, $k \neq 1$. then $\pi(S_1, S_2, \varepsilon)$ has two irr. invariant space π_+ & π_- . The set of K -types of π_{\pm} is $\Sigma_{\pm}(k)$. Moreover, the quotient $\pi / (\pi_+ \oplus \pi_-)$ is irr, unless $k=1$, in which case it is 0, the set of K -types is $\Sigma_0(k)$.

4) Suppose $S = 1 - \frac{k}{2}$, $k \equiv \varepsilon \pmod{2}$, $k \neq 1$, then $\pi(S_1, S_2, \varepsilon)$ has an invariant subspace π' whose set of K -types is $\Sigma_0(k)$. Here π' is irr, unless $k=1$, in which case it is 0.

Moreover, the quotient π / π' decomposes into two irr. invariant subspaces $\pi'_+ & \pi'_-$, the set of K -types of π'_{\pm} is $\Sigma_{\pm}(k)$.

Remark: • $\pi(S_1, S_2, \varepsilon)$ in (2) are called principal series.

- $\pi / (\pi_+ \oplus \pi_-)$ in (3). π' in (4) are finite dim.
- π_+, π_- in (3), π'_+, π'_- in (4) are called discrete series.
- These (1, 2, 3, 4) are all of the irr. admissible (\mathfrak{o}_J, K) -modules.

Classification of (\mathfrak{o}_J, K) -modules for $GL_2(\mathbb{R})^+$ imply the classification of unitary repns of $GL_2(\mathbb{R})^+$.

"topological" repn of $GL_2(\mathbb{R}) \leadsto$ "algebraic" (\mathfrak{o}_J, K) -module.

Proof: By K -finiteness, $\exists f_t \in \tilde{H}(S_1, S_2, \varepsilon)$ s.t. $f_t(K_\theta) = e^{it\theta}$. Since

$$f_t(K_{\theta+\pi}) = (-1)^{\varepsilon} f_t(K_\theta),$$

We must have $t \equiv \varepsilon \pmod{2}$. Now by definition

$$f_t\left(\begin{pmatrix} u & \\ & u \end{pmatrix} \begin{pmatrix} y^{\frac{1}{2}} & xy^{-\frac{1}{2}} \\ & y^{-\frac{1}{2}} \end{pmatrix} K_\theta\right) = u^{S_1+S_2} y^S e^{it\theta}.$$

Step 1: $hf_t = tf_t$; $bf_t = (s + \frac{t}{2})f_{t+2}$; $2f_t = (s - \frac{t}{2})f_{t-2}$. and

$$\Delta f_t = \omega f_t, \bar{\gamma} f_t = \eta f_t.$$

Pf: For example, $bf_t = e^{2it\theta} \left(-iy \frac{\partial f_t}{\partial x} + y \frac{\partial f_t}{\partial y} + \frac{1}{2i} \frac{\partial f_t}{\partial \theta} \right)$

$$= e^{2it\theta} \left(s \cdot u^{S_1+S_2} y^S e^{it\theta} + \frac{1}{2} t u^{S_1+S_2} y^S e^{it\theta} \right)$$

$$= e^{2it\theta} \left(s + \frac{t}{2} \right) f_t = \left(s + \frac{t}{2} \right) f_{t+2}.$$

Moreover, $\bar{\gamma} = u \frac{\partial}{\partial u}$; $-4\Delta f_t = (t^2 + 2(s + \frac{t}{2} - 1)(s - \frac{t}{2}) + 2(s - \frac{t}{2} - 1)(s + \frac{t}{2}))f_t$.

Step 2: proof of (3). (omit (2) & (4)). By (1) we have $2f_k = bf_{-k} = 0$.

Consequently, $\bigoplus_{n=0}^{\infty} l^n f_k$ & $\bigoplus_{n=0}^{\infty} i^n f_{-k}$ are inv. submodules whose sets

of K -types are $\Sigma_+(k)$ & $\Sigma_-(k)$. By corollary above, π_+ , π_- and the quotient are all irr. \square

Lecture 6

Global Automorphic Repn Theory

Recall: $\mathcal{A}(GL_2(\mathbb{A}), \omega) \hookrightarrow (g, K_\infty) \times GL_2(\mathbb{A}_f)$ -module.

Definition: (Admissible repns) Let $K := K_\infty \times K_f = SO_2(\mathbb{R}) \times \prod_p GL_2(\mathbb{Z}_p) \subseteq GL_2(\mathbb{A})$ be the maximal compact subgroup. A $(g, K_\infty) \times GL_2(\mathbb{A}_f)$ -module (π, V) is admissible if:

- every vector is K -finite.
- $V = \bigoplus_i V_i$ decomposes into a countable direct sum of finite dim irr. K -subrepns and no irr. repn of K occurs with infinite multiplicity.

Fact: Automorphic repns are irr. admissible repns.

Definition: The global Hecke algebra

$$\mathcal{H} := \mathcal{H}_\infty \otimes (\bigotimes'_p \mathcal{H}_p).$$

where:

- $\mathcal{H}_p = \mathcal{H}_{GL_2(\mathbb{Q}_p)} := C_c^\infty(GL_2(\mathbb{Q}_p))$.
- \mathcal{H}_∞ = the algebra of compactly supported distributions on $GL_2(\mathbb{R})$.
- \otimes' : the restrict tensor. for every finite set S of finite places,

let $\mathcal{H}_S := (\bigotimes_{p \in S} \mathcal{H}_p) \otimes (\bigotimes_{p \notin S} \{1_{GL_2(\mathbb{Z}_p)}\})$. then $\bigotimes'_p \mathcal{H}_p := \varprojlim_S \mathcal{H}_S$.

Indeed, $\bigotimes'_p \mathcal{H}_p = C_c^\infty(GL_2(\mathbb{A}_f))$.

So, the concept of admissible $(g, K_\infty) \times GL_2(\mathbb{A}_f)$ -module induces the concept of "admissible" \mathcal{H} -module.

Theorem: (Tensor Product Theorem, Flath).

Let (π, V) be an irr. admissible $(g, K_\infty) \times GL_2(\mathbb{A}_f)$ -module. Then \exists

- an irr. admissible (g, K_∞) -module (π_∞, V_∞) ,
- \mathcal{H}_p , an irr. admissible repn (π_p, V_p) of $GL_2(\mathbb{Q}_p)$, s.t. V_p contains a non-zero $GL_2(\mathbb{Z}_p)$ -fixed vector ξ_p (i.e. unramify and has a spherical vector), for almost all p .

$$\cdot \pi \simeq \pi_\infty \otimes (\bigotimes_p \pi_p)$$

Remark: Let S be finite set of finite places containing all p where π_p is non-spherical (ramify), and let $\pi_S := (\bigotimes_{p \in S} \pi_p) \otimes (\bigotimes_{p \notin S} \{\xi_p\})$. Then we define

$$(\bigotimes_p \pi_p) := \varprojlim_S \pi_S.$$

Corollary: (Multiplicity One).

Let (π, V) , (π', V') be irr. admissible $(g, K_\infty) \times GL_2(A_f)$ -modules appearing in $A_0(GL_2(A), \omega)$. Assume $\pi_p \simeq \pi'_p$ for almost all p , then $\pi \simeq \pi'$.

From Modular Forms: Let $f \in S_k(N) \rightsquigarrow \Phi_f \in A_0(GL_2(A), \omega)$.

Theorem: Recall Hecke operators $T_p := \mathbb{1}_{GL_2(\mathbb{Z}_p)(\begin{smallmatrix} p & \\ & 1 \end{smallmatrix}) GL_2(\mathbb{Z}_p)}$; $R_p := \mathbb{1}_{GL_2(\mathbb{Z}_p)(\begin{smallmatrix} p & \\ & p \end{smallmatrix}) GL_2(\mathbb{Z}_p)}$.

Then $T_p' := T_p \otimes (\bigotimes_{\ell \neq p} \mathbb{1}_{GL_2(\mathbb{Z}_\ell)})$, $R_p' := R_p \otimes (\bigotimes_{\ell \neq p} \mathbb{1}_{GL_2(\mathbb{Z}_\ell)})$ are elements in H .

$$\text{Now: } T_p'(\Phi_f) = p^{1-\frac{k}{2}} \Phi_{T_p f}; \quad R_p'(\Phi_f) = \Phi_f.$$

Hence, if f is an eigenform for all T_p ($p \nmid N$), Then Φ_f lies in a unique automorphic repn $\pi_f \subseteq A_0(GL_2(A), \omega)$. (By Flath Thm).

• Let $\pi_f = \pi_{f,\infty} \otimes (\bigotimes_p \pi_{f,p})$ above. Then:

- $\pi_{f,\infty}$ is a discrete series.

- For $p \nmid N$, $\pi_{f,p}$ is a spherical principal series.

- If $p \mid N$, complicated! But there is an algorithm to compute $\pi_{f,p}$.

GL₂-LANGLANDS:

Local Langlands: (Harris-Taylor, Henniart, Scholze) Roughly,

$$\{ \text{irr. admissible repn of } GL_2(\mathbb{Q}_p) \} \xleftrightarrow{LL_2} \{ \text{"2-dim repn of } \text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p) \}.$$

Consider the exact sequence:

$$0 \rightarrow I_{\mathbb{Q}_p} \rightarrow \text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p) \xrightarrow{\alpha} \text{Gal}(\mathbb{Q}_p^{\text{ur}}/\mathbb{Q}_p) \rightarrow 0$$

$$\parallel \qquad \qquad \qquad \parallel$$

$$\text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p^{\text{ur}}) \qquad \qquad \qquad \text{Gal}(\bar{\mathbb{F}}_p/\mathbb{F}_p)$$

$$\parallel \qquad \qquad \qquad \parallel$$

$$\hat{\mathbb{Z}} \cdot \text{frob}_p.$$

Indeed, "Weil-Deligne repn".
No topology of base field is involved in these repns!

The Weil group $W_{\mathbb{Q}_p} \subseteq \text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p)$ is $\alpha^{-1}(\mathbb{Z})$. A Weil-Deligne repn is a triple (V, r, N) , where:

- V is finite dim \mathbb{C} -linear space.

- $r: W_{\mathbb{Q}_p} \rightarrow \mathrm{GL}(V)$ is a repn s.t.
 - $r|_{I_{\mathbb{Q}_p}}$ has open kernel.
 - $N \in \mathrm{End}(V)$ s.t. $\forall w \in W_{\mathbb{Q}_p}$, $r(w) \circ N \circ r(w)^{-1} = |w| \cdot N$.
 - here, $| \cdot |: W_{\mathbb{Q}_p} \xrightarrow{\sim} W_{\mathbb{Q}_p}^{\mathrm{ab}} \xrightarrow{\mathrm{CFT}} (\mathbb{Q}_p^\times)^{\times} \xrightarrow{| \cdot |_p} \mathbb{Q}_{>0}$.

Local Langlands is proved for GL_n using ℓ -adic étale cohomology of Lubin-Tate space.

Global Langlands: [OPEN]. Fix prime ℓ and isomorphism $\tau: \overline{\mathbb{Q}_\ell} \xrightarrow{\sim} \mathbb{C}$. There is a bijection:

$$\left\{ \text{"special" cuspidal automorphic repn } \pi \text{ of } \mathrm{GL}_2(\mathbb{A}) \right\}$$

↑

$$\left\{ \begin{array}{l} \text{irr. continuous repn } \rho: \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \mathrm{GL}_2(\overline{\mathbb{Q}_\ell}) \text{ which is unramified almost} \\ \text{everywhere, and } \rho_p: \mathrm{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p) \hookrightarrow \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \xrightarrow{\rho} \mathrm{GL}_2(\overline{\mathbb{Q}_\ell}) \text{ is de Rham for all } p \neq \ell \end{array} \right\}.$$

and it is compatible with Local Langlands.