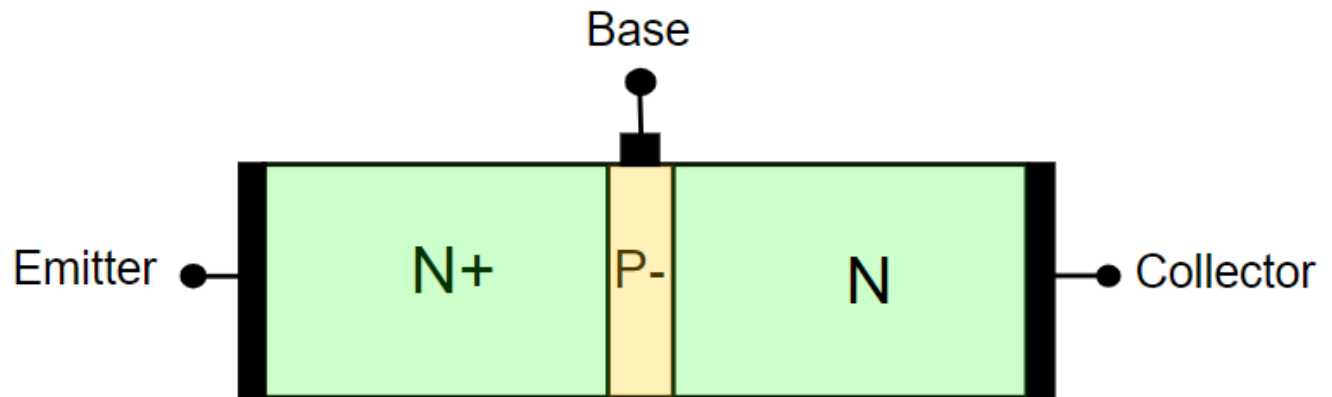


# III: Bipolar Junction Transistors

## 1: Basic operation

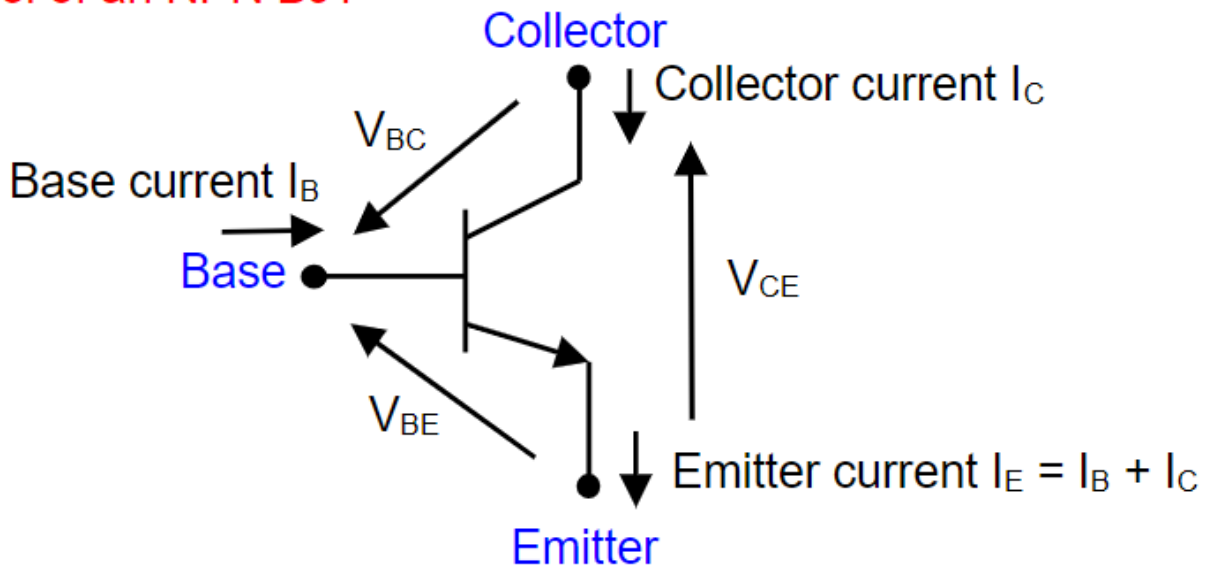
- An NPN BJT is a semiconductor device consisting a narrow P-type region which called the **base**, while the two N-type regions called the emitter and the collector.



- The N-type emitter is **heavily** doped (N+), where the P-type is lightly doped (P-). The N-type collector has a **moderate** doping.
- This is not a symmetrical structure.
- The transistor is an active device and it is mainly used as amplifier or electronic switch.
- We can also build the *PNP bipolar junction transistor*.

## 2: Symbol of NPN BJT

## Symbol of an NPN BJT

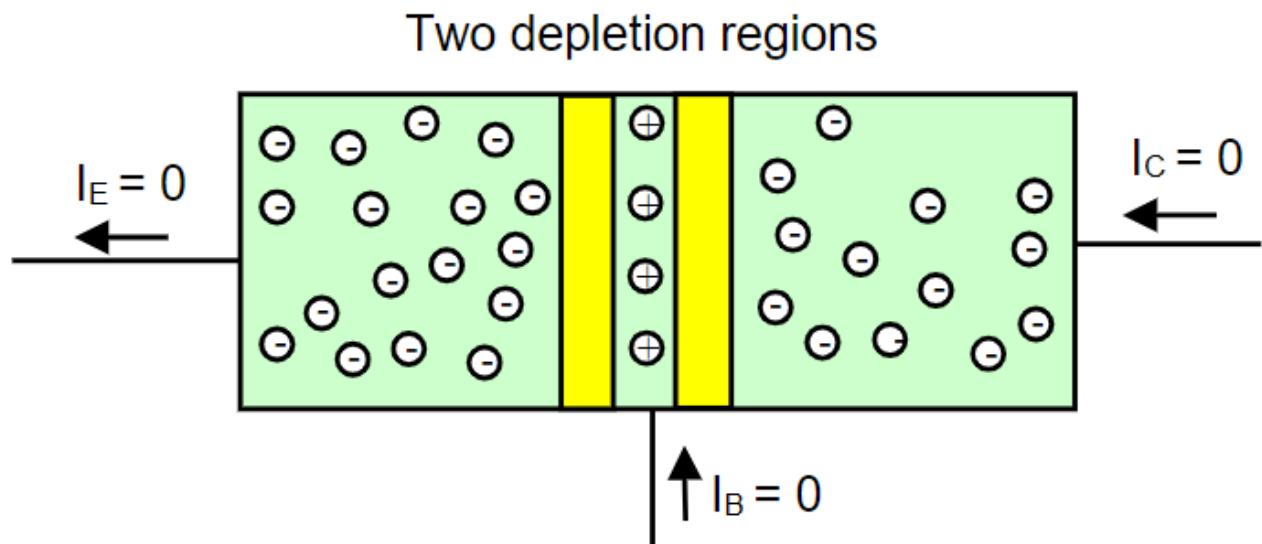


- In order to simplify the analysis, we assumed  $V_{CE}$  is greater or equal to zero as we always connects the collector to  $V_{CC}$  and connects the emitter to the ground.
- So the collector side have the high voltage and the emitter side have the low voltage side.
- As we always choose the as reference,  $V_{CE}$  can be written as  $V_{CE} = V_{CB} + V_{BE} = V_{BE} - V_{BC}$

## 3: Four mode of operation

### 3.1: Cut-off mode, when both BE and BC diodes are off

- As the base-collector junction and base-emitter junction have same threshold voltage, so we mark it as  $V_{BE,on}$ .
- For a silicon BJT, we have  $V_{BE,on} \sim 0.7$  volts.

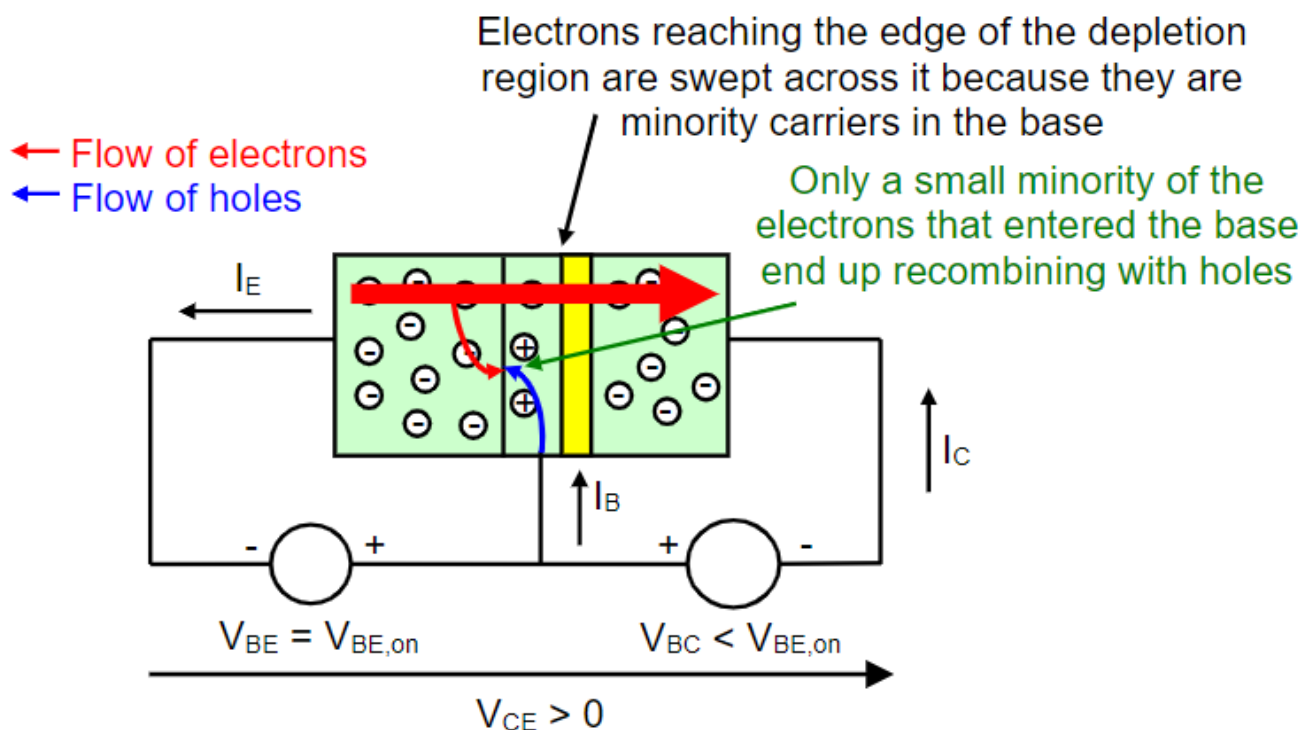


- As the existence of the two depletion region, carriers from both sides cannot enter the base,

$$I_B = 0, I_C = 0, I_E = 0$$

### 3.2: Forward-active mode, when the BE is on but BC is off

- The forward-active mode means  $V_{BE} = V_{BE,on}$  and  $V_{BC} < V_{BE,on}$ .
- We can find that  $V_{CE} > 0$  in this condition.



- As the electrons are minor carriers in base (lightly doped), only few electrons will combine with the holes in base.
- Most of the electrons from the emitters will across the base entering collector side.
- With the reverse-bias voltage, these electrons into the collector formed a collector current.
- Recalling the knowledge of the diode: \*\*The depletion region cannot be crossed by majority carriers but the minority carriers.
- The plenty of electrons in BJT form the collector current.
- If we mark  $\alpha_F$  as a constant parameter indicating the proportion of electrons coming form the emitters and reach the collector:

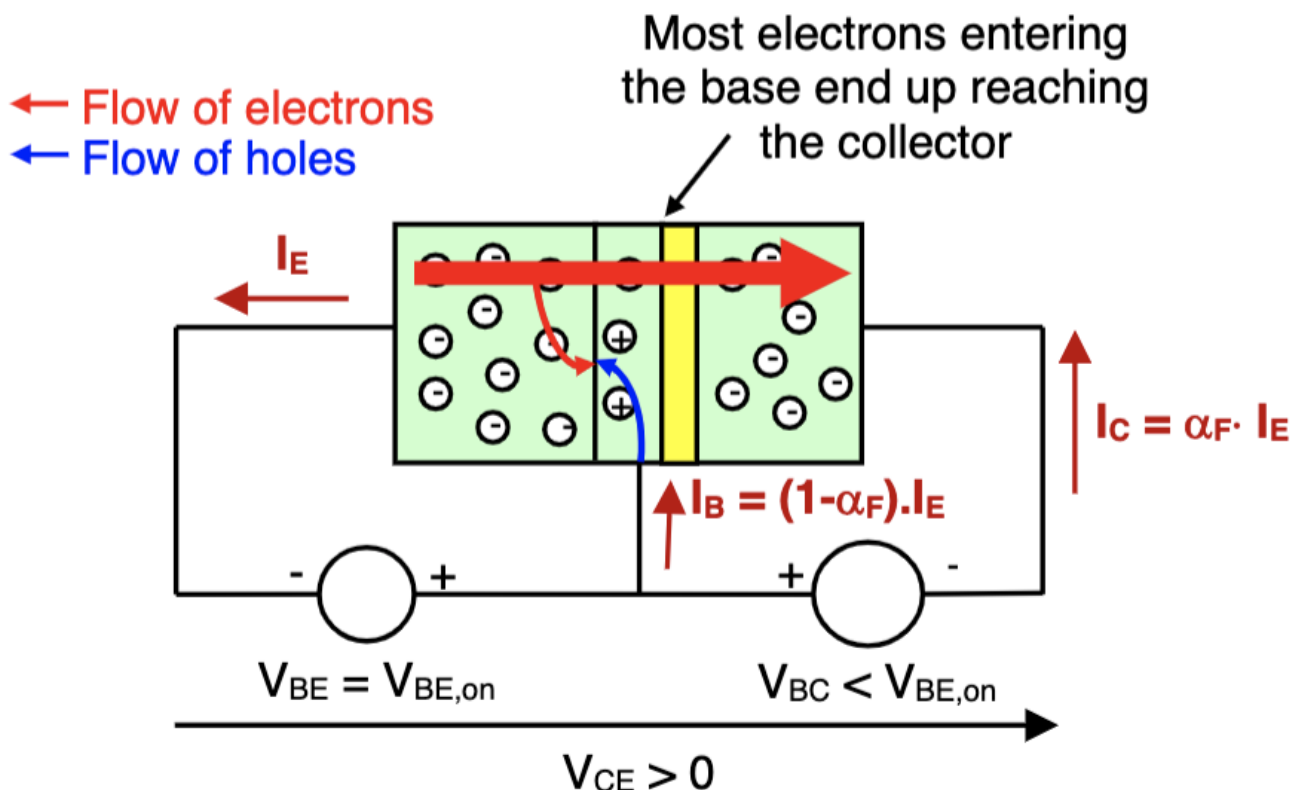
$$I_C = \alpha_F I_E$$

$$I_B = (1 - \alpha_F) I_E$$

- We typically have  $\alpha \approx 0.99$ .
- From the previous equations, we can find that:

$$I_C = \frac{\alpha_F}{1 - \alpha_F} I_B$$

- We notes  $\beta_F = \frac{\alpha_F}{1 - \alpha_F}$ , which is called *forward current gain*.
- It shows the BJT can used as a linear current amplifier.

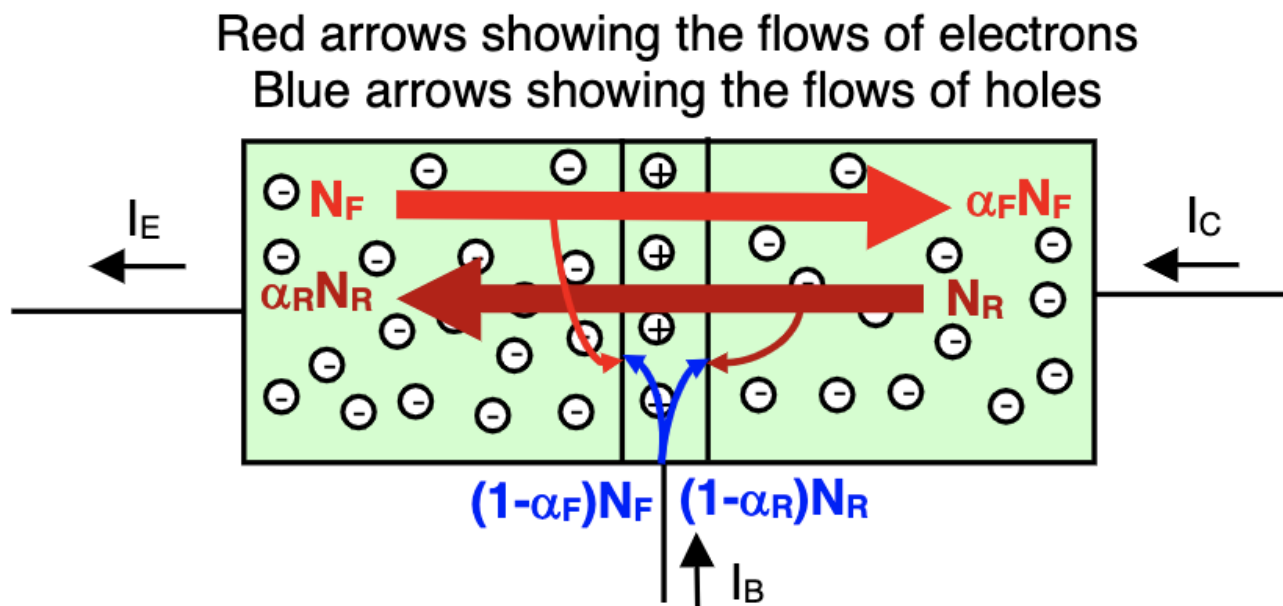


### 3.3: Reverse-active mode, when BE diode is off and BC is on

- If  $V_{BE} < v_{BE,on}$  and  $V_{BC} = V_{BE,on}$ .
- If we take the emitter as a reference, since  $V_{CE} = V_{BE} - V_{BC}$ , we can get that  $V_{CE} < 0$ .
- $V_{CE} < 0$  is contradicted with the original assumption that  $V_{CE} \geq 0$ . So that BJT can never in this mode under this assumption.

### 3.4: Saturation mode, when both BE and BC diodes are on

- A BJT is said to be in saturation mode if  $V_{BE} = V_{BE,on}$ .
- $V_{BE} = V_{BE,on}$  and  $V_{CE} = 0$ .



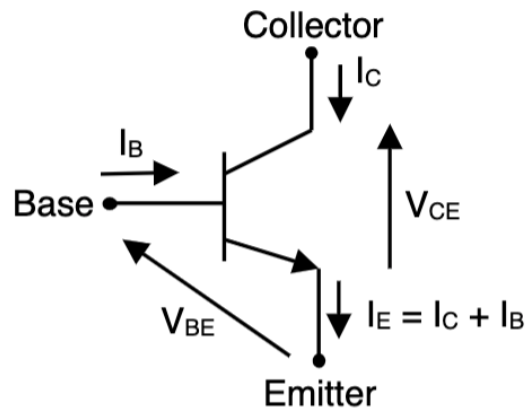
- There are two transistor effects to consider in this mode: a first one in forward direction and a second in the reverse mode.
- Note the forward effect is more powerful cause  $\alpha_F 0.99$  and  $\alpha_R 0.5$ .
- Since the concentration of free electrons is higher in the emitter than in collector, it is much easier to diffuse in forward direction.

### 3.5: Summary

- Strictly speaking, the forward-active mode is defined as the mode for which the current in reverse direction are negligible compared to the forward current.
- In practice,  $V_{CE}$  is slightly greater than zero.
- So for the forward mode, the accurate statement should be "the BJT is forward active when  $V_{BE} = V_{BE,on}$  and  $V_{CE} > V_{CE,sat}$ ".
- Hereafter, we use  $V_{CE,sat} = 0.2$  volts.

- For the saturated mode, the statement should be "the BJT is saturated when  $V_{BE} = V_{BE,on}$  and  $V_{CE} = V_{CE,sat}$ ".
- For simplicity sake, we take  $V_{CE} = V_{CE,sat}$  in saturation mode instead of  $V_{CE} < V_{CE,sat}$ .

## 4: Summary about BJT



### Three modes of operation

**Cut-off**  
If  $V_{BE} < V_{BE,on}$   
 $\Rightarrow I_C = I_B = I_E = 0$

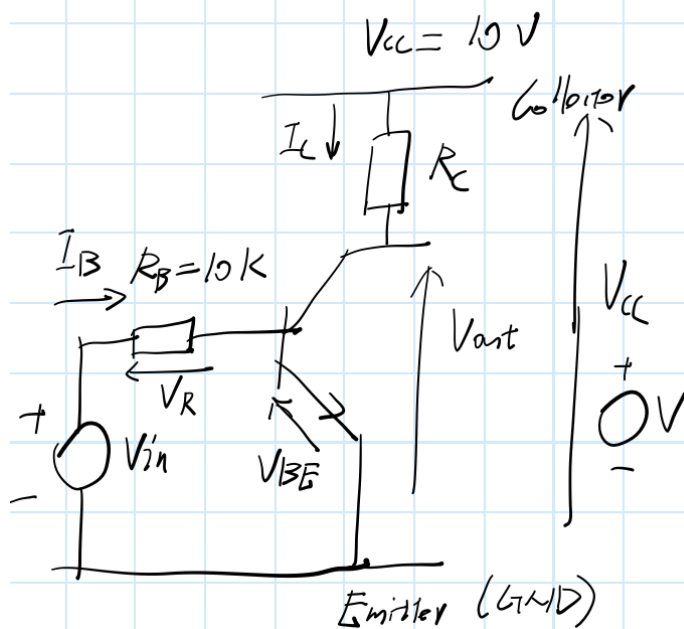
**Forward active**  
If  $V_{BE} = V_{BE,on}$  and  $V_{CE} > V_{CE,sat}$   
 $\Rightarrow I_B > 0, I_C > 0, I_E > 0$   
 $\Rightarrow I_C = \beta_F I_B$   
 $\Rightarrow I_E \sim I_C$

**Saturation**  
If  $V_{BE} = V_{BE,on}$  and  $V_{CE} = V_{CE,sat}$   
 $\Rightarrow I_B > 0, I_C > 0, I_E > 0$

## 5: Application of BJT

### 5.1: Example\_1

- According to the KVL and Ohm's Law, we can get the expression below:



$$V_{in} - V_{BE} - R_B I_B = 0$$

$$V_{CE} = V_{out}$$

$$V_{out} + R_C I_C - V_{CC} = 0$$

- If the BJT is in Cut-off mode:

① If it is cut-off mode:

$$V_{BE} < V_{BE, on} \quad I_B = I_C = I_E = 0$$

$$\Rightarrow V_{in} = V_{BE} \quad V_{out} = V_{CC}$$

$$\therefore V_{in} < V_{BE, on} \quad , \quad V_{out} = V_{CC}$$

- Forward-active mode:

## ② Forward - Active mode

$$V_{BE} = V_{BE, on}, V_{CE} > V_{CE, sat}$$

$$I_C = \beta_F \cdot I_B, I_B > 0, I_E > 0$$

$$I_E = I_C + I_B$$

$$\Rightarrow V_{in} = V_{BE, on} + R_B I_B$$

$$\because I_B > 0 \therefore \frac{V_{in} - V_{BE, on}}{R_B} > 0$$

$$\Rightarrow V_{in} > V_{BE, on}$$

$$V_{CE} = V_{out} > V_{CE, sat}$$

$$\therefore V_{out} + R_C (\beta_F \cdot I_B) = V_{CC}$$

$$I_B > 0$$

$$\Rightarrow V_{out} < V_{CC}$$

In summary,

$$V_{CE, sat} < V_{out} < V_{CC}$$

$$V_{in} > V_{BE, on}$$

$$I_B = \frac{V_{in} - V_{BE, on}}{R_B}$$

$$V_{out} = V_{CC} - R_C \beta_F I_B$$

$$= V_{CC} - R_C \beta_F \left( \frac{V_{in} - V_{BE, on}}{R_B} \right)$$

$$= \left( -\frac{R_C \beta_F}{R_B} \right) V_{in} + \left( \frac{R_C \beta_F}{R_B} V_{BE, on} + V_{CC} \right)$$

which is linear relation between  $V_{in}$  and  $V_{out}$

## • Saturation mode:



### ③ Saturation Mode

$$V_{BE} = V_{BE, ON} \quad \bar{I}_B > 0 \quad \bar{I}_E > 0$$

$$V_{CE} = V_{CE, sat} \quad \bar{I}_C > 0$$

$$\Rightarrow V_{out} = V_{CE} = V_{CE, sat}$$

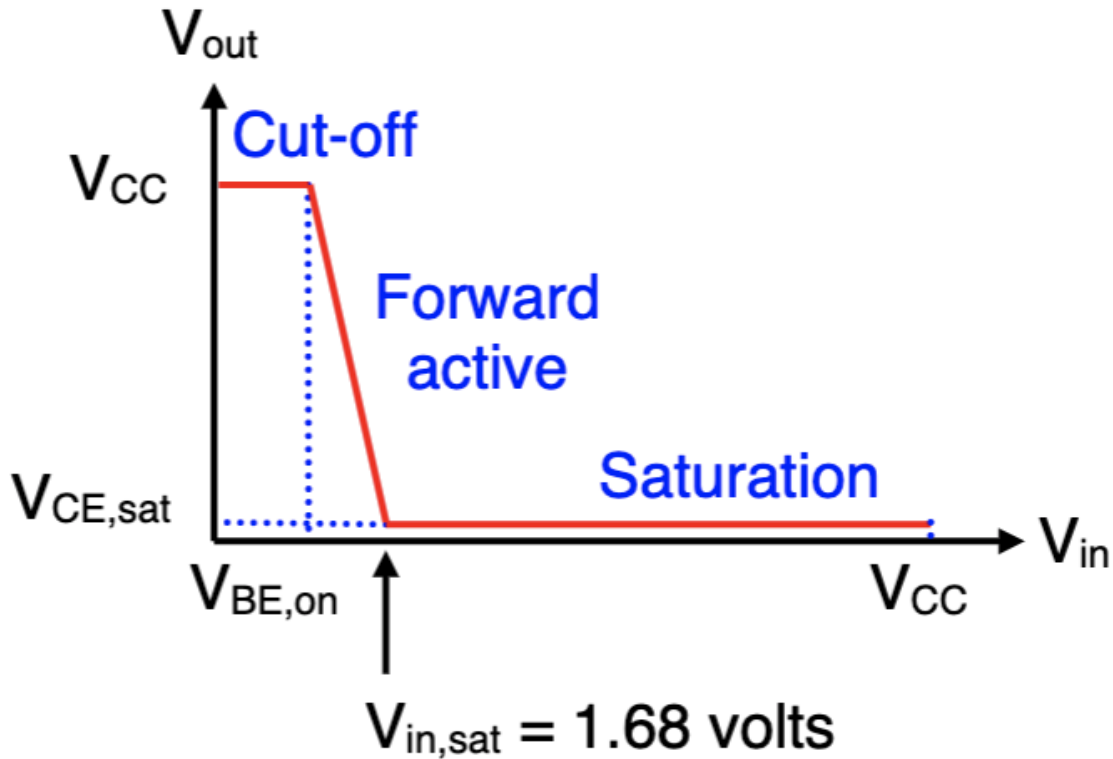
$$V_{BE} + R_B \bar{I}_B = V_{in} \quad / \quad \bar{I}_B > 0$$

$$\Rightarrow V_{BE, ON} < V_{in}$$

$$V_{out} + R_C \bar{I}_C = V_{CC} \quad / \quad \bar{I}_C > 0$$

$$\Rightarrow V_{out} < V_{CC}$$

- Using the results above, we can get the curve below, which shows the function of  $V_{in}$  and  $V_{out}$ :



- This circuit can be used as an inverter (NOT gate) as it can invert the high voltages to low and vice versa.
- The linear part of the graph can also be used as an amplifier.
- As  $V_{out} = V_{CC} - \beta_F \cdot \frac{R_C}{R_B} \cdot (V_{in} - V_{BE,on})$ , we can define the new input voltage as the increasing of the amount of  $\Delta V_{in}$ :  $V'_{in} = V_{in} + \Delta V_{in}$ .
- The new output voltage  $V'_{out}$  due to  $V'_{in}$  is given by:

$$V'_{out} = V_{CC} - \beta_F \cdot \frac{R_C}{R_B} \cdot (V'_{in} - V_{BE,on}) - \beta_F \cdot \frac{R_C}{R_B} \cdot \Delta V_{in}$$

- So that we can find the increment:

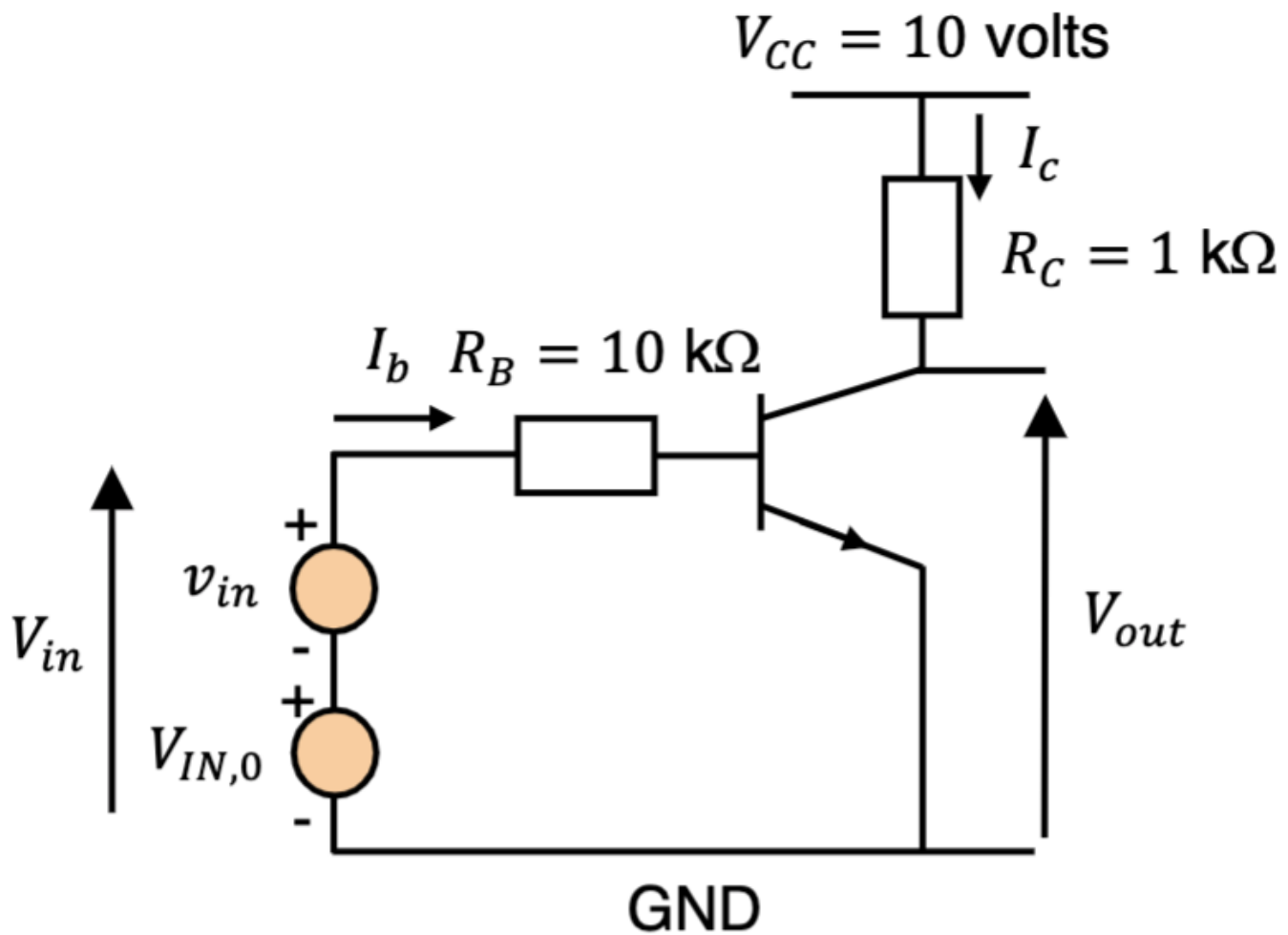
$$\Delta V_{out} = -\beta_F \cdot \frac{R_C}{R_B} \cdot \Delta V_{in}$$

- This is the behaviour of a linear voltage amplifier with a voltage gain:

$$\frac{\Delta V_{out}}{\Delta V_{in}} = -\beta_F \cdot \frac{R_C}{R_B}$$

- To make our circuit work as a practical linear amplifier, we have to make sure the BJT is always in forward-active mode.

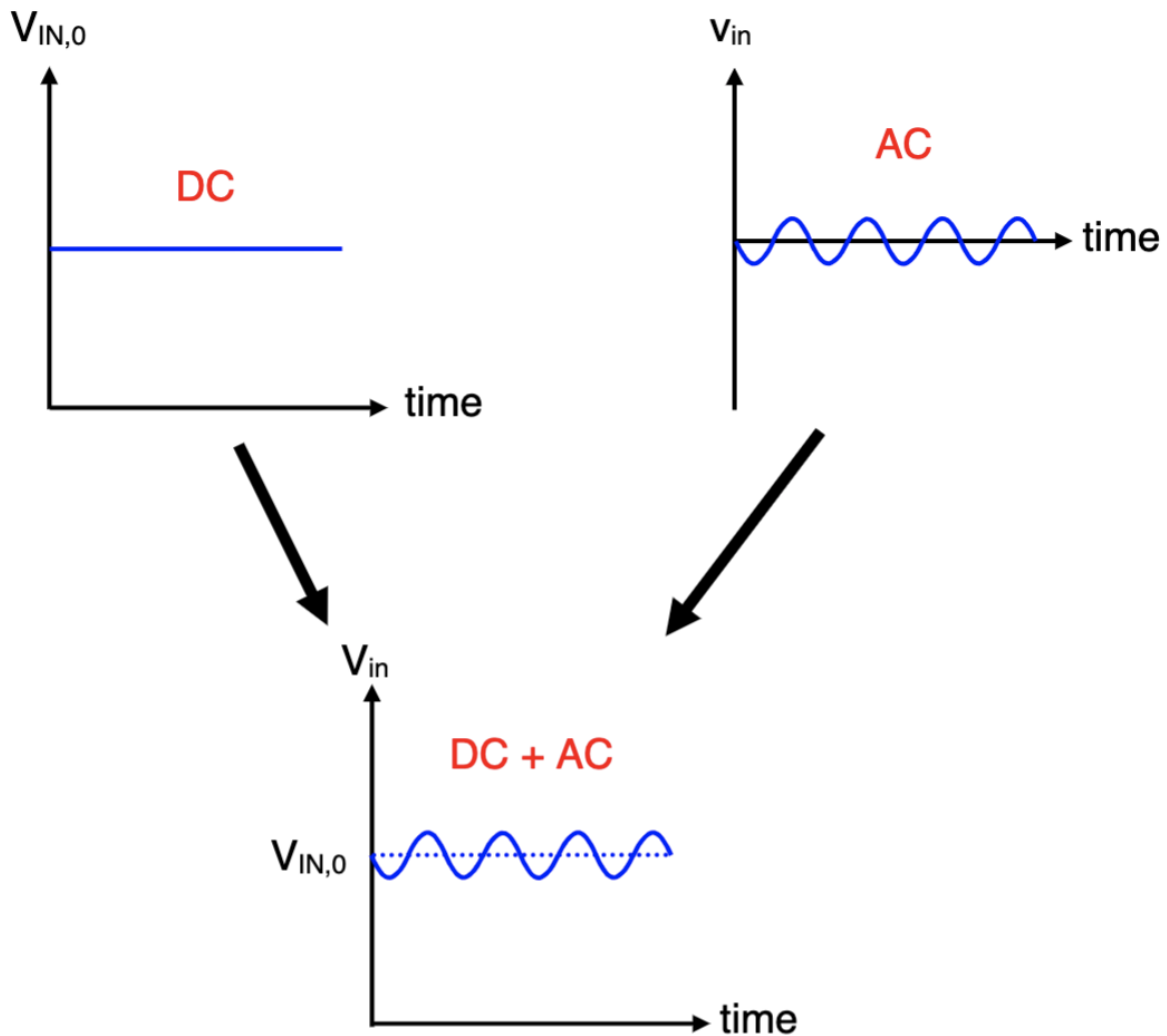
## 5.2: Example\_2



- What we are going to do is add another DC voltage source  $V_{IN,0}$  and AC source  $v_{in}$  to compose the  $V_{in}$ :

$$V_{in} = V_{IN,0} + v_{in}$$

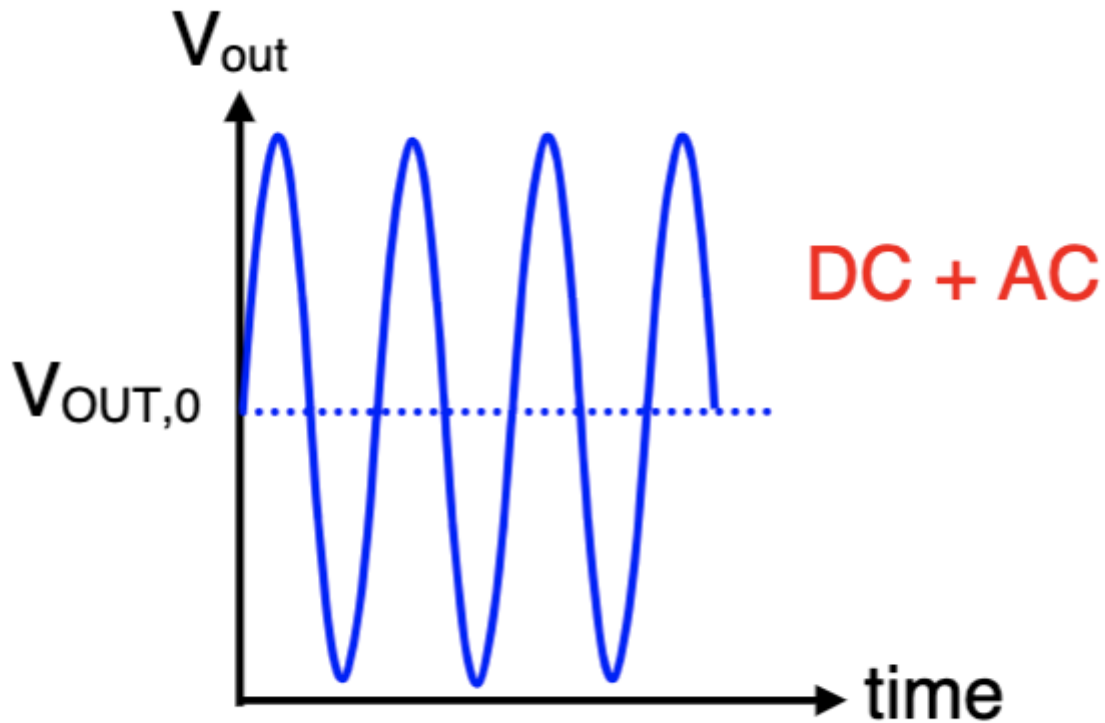
- The DC voltage source  $V_{IN,0}$  is used to bias the circuit so that forward-active mode can remain, so the  $V_{IN,0}$  is often referred as the **bias voltage**.



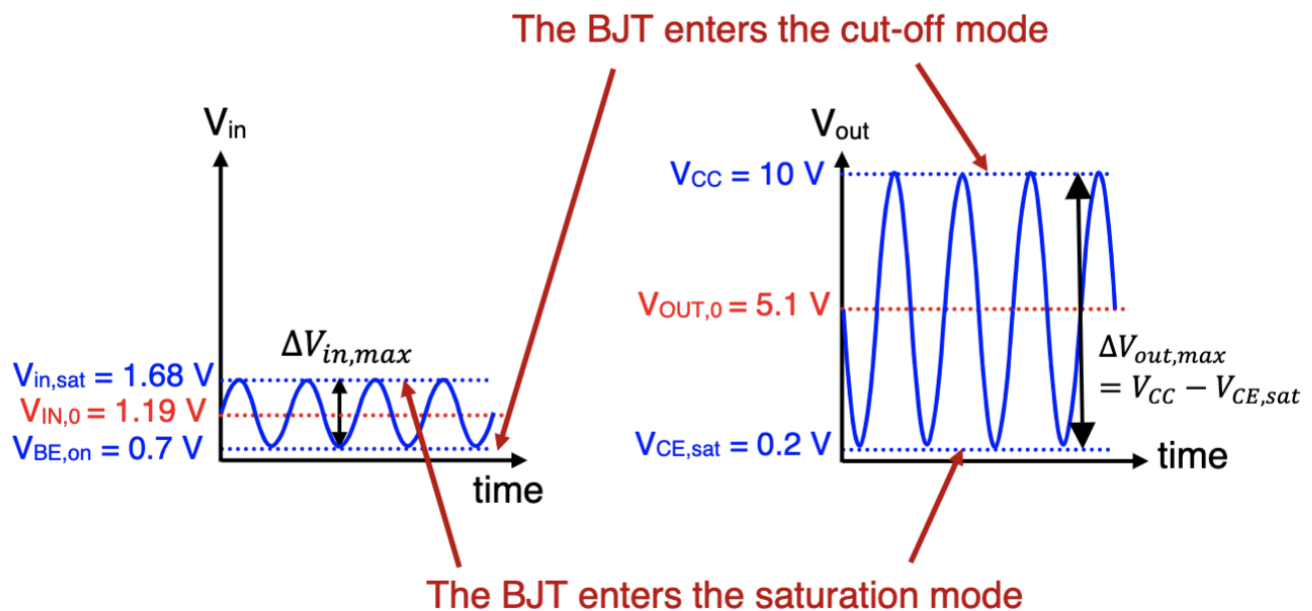
- If we replace  $V_{in}$  by  $V_{in} = V_{ON,0} + v_{in}$ :

$$\begin{aligned}
 V_{out} &= V_{CC} - \beta_F \cdot \frac{R_C}{R_B} \cdot (V_{IN,0} - V_{BE,on}) - \beta_F \cdot \frac{R_C}{R_B} \cdot v_{in} \\
 &= V_{OUT,0} + v_{out}
 \end{aligned}$$

- $V_{out,0}$  is the DC components of the output.
- $v_{out}$  is the AC signal.
- The '0' means the AC signal swings around zero as mean value:



- The DC voltage  $V_{IN,0}$  and  $V_{OUT,0}$  are the *bias voltages*.
- To design the value of bias voltages, we need know the **maximum output voltage swing**,  $\Delta V_{out,max}$ , which is the maximum peak-to-peak amplitude of the AC output voltages  $v_{out}$  that make sure no distortion. The same for  $v_{in}$ .
- We can see the effective voltage swing from the graph below:



- In this circuit, in order to maximum the maximum output voltage swing, we have to ensure that  $V_{OUT,0}$  is located at the midway of the  $V_{CC}$  and  $V_{CE,sat}$ :

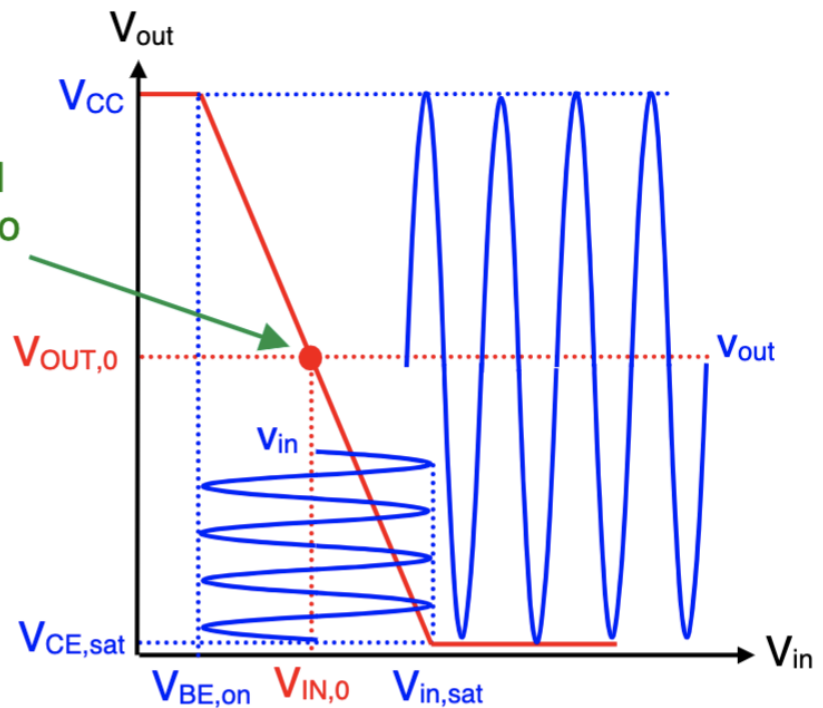
$$V_{OUT,0} = \frac{V_{CC} + V_{CE,sat}}{2}$$

- Same for the  $V_{IN,0}$ .
- The final result will be:

$V_{IN,0}$  and  $V_{OUT,0}$  located midway between cut-off and saturation regions, leading to maximum input and output voltage swings:

$$\Delta V_{out,max} = V_{CC} - V_{CE,sat}$$

$$\Delta V_{in,max} = V_{in,sat} - V_{BE,on}$$



- For the bad choose of bias voltages, distortion (clipping) will occur:

