ON THE MOTIVE OF O'GRADY'S TEN-DIMENSIONAL HYPER-KÄHLER VARIETIES

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ABSTRACT. We investigate how the motive of hyper-Kähler varieties is controlled by weight-2 (or surface-like) motives via tensor operations. In the first part, we study the Voevodsky motive of singular moduli spaces of semistable sheaves on K3 and abelian surfaces as well as the Chow motive of their crepant resolutions, when they exist. We show that these motives are in the tensor subcategory generated by the motive of the surface, provided that a crepant resolution exists. This extends a recent result of Bülles to the O'Grady-10 situation. In the non-commutative setting, similar results are proved for the Chow motive of moduli spaces of (semi-)stable objects of the K3 category of a cubic fourfold. As a consequence, we provide abundant examples of hyper-Kähler varieties of O'Grady-10 deformation type satisfying the standard conjectures. In the second part, we study the André motive of projective hyper-Kähler varieties. We attach to any such variety its defect group, an algebraic group which acts on the cohomology and measures the difference between the full motive and its weight-2 part. When the second Betti number is not 3, we show that the defect group is a natural complement of the Mumford–Tate group inside the motivic Galois group, and that it is deformation invariant. We prove the triviality of this group for all known examples of projective hyper-Kähler varieties, so that in each case the full motive is controlled by its weight-2 part. As applications, we show that for any variety motivated by a product of known hyper-Kähler varieties, all Hodge and Tate classes are motivated, the motivated Mumford–Tate conjecture holds, and the André motive is abelian. This last point completes a recent work of Soldatenkov and provides a different proof for some of his results.

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1. INTRODUCTION

An important source of constructions of higher-dimensional algebraic varieties is given by taking moduli spaces of (complexes of) coherent sheaves, subject to various stability conditions, on some lower-dimensional algebraic varieties. The topological, geometric, algebraic and arithmetic properties of the variety are certainly expected to be reflected in and sometimes even control

the corresponding properties of the moduli space. Such relations can be made precise in terms of cohomology groups (enriched with Hodge structures and Galois actions for instance) or more fundamentally, at the level of motives[1]. The prototype of such interplay we have in mind is del Baño's result [26], which says that the Chow motive of the moduli space $\mathcal{M}_{r,d}(C)$ of stable vector bundles of coprime rank and degree on a smooth projective curve C is a direct summand of the Chow motive of some power of the curve; in other words, the Chow motive of $\mathcal{M}_{r,d}(C)$ is in the pseudo-abelian tensor subcategory generated by the Chow motive of C. In [26], a precise formula for the virtual motive of $\mathcal{M}_{r,d}(C)$ in terms of the virtual motive of C was obtained, a result which has been recently lifted to the level of motives in a greater generality by Hoskins and Pepin-Lehalleur [37].

In the realm of compact hyper-Kähler varieties [12] [40], this philosophy plays an even more important role: it turns out that taking the moduli spaces of (complexes of) sheaves on Calabi–Yau surfaces or their non-commutative analogues provides the most general and almost exhaustive way for constructing examples, see [62] [66] [67] [70] [88] [10] [11] [9] and [8] etc. As the first important relationship between the K3 or abelian surface S and a moduli space $\mathcal{M} := \mathcal{M}_S(\mathbf{v})$ of stable (complexes of) sheaves on S with (non-isotropic) Mukai vector $\mathbf{v}$, the second cohomology of $\mathcal{M}$ is identified, as a Hodge lattice, with the orthogonal complement of $\mathbf{v}$ in $\widetilde{H}(S, \mathbf{Z})$, the Mukai lattice of S [68] [70]. Regarding the aforementioned result of del Baño in the curve case, a relation between the motive of S and the motive of $\mathcal{M}$ is desired. The first breakthrough in this direction is the following result of Bülles [18] based on the work of Markman [58].

Theorem 1.1 (Bülles). *Let S be a projective K3 or abelian surface together with a Brauer class α. Let $\mathcal{M}$ be a smooth and projective moduli space of stable objects in $D^b(S, \alpha)$ with respect to some Bridgeland stability condition. Then the Chow motive of $\mathcal{M}$ is contained in the pseudo-abelian tensor subcategory generated by the Chow motive of S.*

The analogous result on the level of Grothendieck motives or André motives was obtained before by Arapura [6]. It is also worth pointing out that Bülles' method gives a short and new proof of del Baño's result using the classical analogue of Markman's result in the curve case proved by Beauville [13].

1.1. **Singular or open moduli spaces and resolutions.**
The first objective of the paper is to investigate the situations beyond Theorem 1.1.

More precisely, let us fix a projective K3 or abelian surface S together with a Brauer class α, a not necessarily primitive Mukai vector $\mathbf{v}$ and a not necessarily generic stability condition σ on $D^b(S, \alpha)$. We want to understand, in terms of the motive of S, the (mixed) motives [84] of the following varieties (or algebraic spaces[2]).

- The (smooth but in general non-proper) moduli space of σ-stable objects
$$\mathcal{M}^{\mathrm{st}} := \mathcal{M}^{\mathrm{st}}_{S,\sigma}(S, \alpha).$$

- The (proper but in general singular) moduli space of σ-semistable objects
$$\mathcal{M} := \mathcal{M}_{S,\sigma}(S, \alpha).$$

- A crepant resolution $\widetilde{\mathcal{M}}$ of $\mathcal{M}$, if exists.

Here is our expectation for their motives:

Conjecture 1.2. *Notation is as above.*

(i) *The motives and the motives with compact support (in the sense of Voevodsky) of $\mathcal{M}^{\mathrm{st}}$ and $\mathcal{M}$ are in the triangulated tensor subcategory generated by the motive of S within the category of Voevodsky's geometric motives.*

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[1]We work with rational coefficients for cohomology groups and motives. All varieties are defined over the field of complex numbers if not otherwise specified.

[2]See the recent work [2] for the existence of good moduli spaces.

(ii) *The Chow motive of $\widetilde{\mathcal{M}}$ (if exists) is in the pseudo-abelian tensor subcategory generated by the motive of S within the category of Chow motives.*

Our first main result below confirms Conjecture 1.2 in the presence of a crepant resolution. Recall that by [43], this happens only in the case of O'Grady's ten-dimensional example [66] (extended by [70]).

Theorem 1.3 (=Corollaries 4.5 and 4.6)**.** *Let S be a projective K3 or abelian surface, let α be a Brauer class, let $\mathbf{v}_0 \in \widetilde{H}(S)$ be a primitive Mukai vector with $\mathbf{v}_0^2 = 2$, and let σ be a $\mathbf{v}_0$-generic stability condition on $D^b(S, \alpha)$. Denote by $\mathcal{M}^{\mathrm{st}}$ (resp. $\mathcal{M}$) the 10-dimensional moduli space of σ-stable (resp. semistable) objects in $D^b(S, \alpha)$ with Mukai vector $\mathbf{v} = 2\mathbf{v}_0$. Let $\widetilde{\mathcal{M}}$ be any crepant resolution of $\mathcal{M}$. Then the conclusions of Conjecture 1.2 hold.*

Note that by the result of Rieß [73], birational hyper-Kähler varieties have isomorphic Chow motives, hence we only need to treat one preferred crepant resolution, namely the one constructed by O'Grady [66].

1.2. **Non-commutative Calabi–Yau "surfaces".**
We see in the above setting that the Calabi–Yau surface plays its role almost entirely through its derived category and the second goal of the paper is to extend Theorem 1.1 and the results of §1.1 to the non-commutative setting.

Indeed, it has been realized since [9] that one can develop an equally satisfactory theory of moduli spaces starting with a 2-Calabi–Yau category $\mathcal{A}$, *i.e.* an Ext-finite saturated triangulated category in which the double shift [2] is a Serre functor, equipped with Bridgeland stability conditions. Such a category often comes as an admissible subcategory of the derived category of a Fano variety, as the "key" component (so-called *Kuznetsov component*) in some semi-orthogonal decomposition. We expect the similar relations as in §1.1 between the motive of the moduli space of stable objects in this category $\mathcal{A}$ and the (non-commutative) motive of $\mathcal{A}$, hence also the motive of the Fano variety.

To be more precise, let us leave the general technical results to §5 and stick in the introduction to the most studied example of such 2-Calabi–Yau categories, namely the Kuznetsov component of the derived category of a cubic fourfold. Let Y be a smooth cubic fourfold and let $\mathrm{Ku}(Y) := \langle \mathcal{O}_Y, \mathcal{O}_Y(1), \mathcal{O}_Y(2) \rangle^\perp = \{E \in D^b(Y) \mid \mathrm{Ext}^*(\mathcal{O}_Y(i), E) = 0 \text{ for } i = 0, 1, 2\}$ be its Kuznetsov component, which is a K3 category.[3] One can associate with it a natural Hodge lattice $\widetilde{H}(\mathrm{Ku}(Y))$ using topological K-theory [1]. In [9], a natural stability condition on $\mathrm{Ku}(Y)$ is constructed and by the general theory of Bridgeland [16], we have at our disposal a connected component of the manifold of stability conditions, denoted by $\mathrm{Stab}^\dagger(\mathrm{Ku}(Y))$.

Our second main result generalizes Bülles' Theorem 1.1 to this non-commutative setting:

Theorem 1.4 (Special case of Theorem 5.3)**.** *Let Y be a smooth cubic fourfold, let $\mathrm{Ku}(Y)$ be its Kuznetsov component, let $\mathbf{v} \in \widetilde{H}(\mathrm{Ku}(Y))$ be a primitive Mukai vector, and let $\sigma \in \mathrm{Stab}^\dagger(\mathrm{Ku}(Y))$ be a $\mathbf{v}$-generic stability condition. Then the Chow motive of the projective hyper-Kähler manifold $\mathcal{M} := \mathcal{M}_{\mathrm{Ku}(Y), \sigma}(\mathbf{v})$ is in the pseudo-abelian tensor subcategory generated by the Chow motive of Y.*

Remark 1.5. Note that by the recent work of Li–Pertusi–Zhao [51], the moduli spaces considered in Theorem 1.4 already include the hyper-Kähler fourfold $F(Y)$ constructed as Fano variety of lines in Y [14] and the hyper-Kähler eightfold $Z(Y)$ constructed from twisted cubics in Y (when Y does not contain a plane) [49]. In the first case, the conclusion of Theorem 1.4 can be deduced from the earlier work of Laterveer [48]; in the second case, our approach was speculated in [19, Remark 2.7]. Nevertheless, Theorem 1.4 applies to the infinitely many complete families of projective hyper-Kähler varieties recently constructed by Bayer *et al.* [8].

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[3]A K3 category is a 2-Calabi–Yau category whose Hochschild homology coincide with that of a K3 surface.

Just as in §1.1, for non-primitive Mukai vectors or non-generic stability conditions, the moduli space of stable (*resp.* semistable) objects $\mathcal{M}^{\mathrm{st}} := \mathcal{M}^{\mathrm{st}}_{\mathrm{Ku}(Y),\sigma}(\mathbf{v})$ (*resp.* $\mathcal{M} := \mathcal{M}_{\mathrm{Ku}(Y),\sigma}(\mathbf{v})$) is in general not proper (*resp.* smooth). We expect the following analogy of Conjecture 1.2 in this non-commutative setting.

Conjecture 1.6 (Special case of Conjecture 5.4). *Notation is as above.*

(i) *The motives and the motives with compact support (in the sense of Voevodsky) of $\mathcal{M}^{\mathrm{st}}$ and $\mathcal{M}$ are in the triangulated tensor subcategory generated by the motive of Y within the category of Voevodsky's geometric motives.*

(ii) *If there exists a crepant resolution $\widetilde{\mathcal{M}} \to \mathcal{M}$, then the Chow motive of $\widetilde{\mathcal{M}}$ is in the pseudo-abelian tensor subcategory generated by the motive of Y within the category of Chow motives.*

Analogously to Theorem 1.3, our third result establishes Conjecture 1.6 in the ten-dimensional situation studied in [52], where a crepant resolution of $\mathcal{M}$ exists (it is again of O'Grady-10 deformation type). Recall that $\widetilde{H}(\mathrm{Ku}(Y))$ contains (and it is equal to, if Y is very general) a canonical A_2-lattice generated by λ_1 and λ_2 (see [9] for the notation).

Theorem 1.7. *Notation is as above. Assume that Y is very general. Let the Mukai vector $\mathbf{v} = 2\mathbf{v}_0$ with $\mathbf{v}_0 = \lambda_1 + \lambda_2$ and let σ be $\mathbf{v}_0$-generic. Then the conclusions of Conjecture 1.6 hold true for $\mathcal{M}^{\mathrm{st}}$, $\mathcal{M}$ and any crepant resolution $\widetilde{\mathcal{M}}$ of $\mathcal{M}$.*

As a by-product, we deduce Grothendieck's standard conjectures [34] [44] for many hyper-Kähler varieties of O'Grady-10 deformation type, *cf.* [20].

Corollary 1.8. *The standard conjectures hold for all the crepant resolutions $\widetilde{\mathcal{M}}$ appeared in Theorem 1.3 and Theorem 1.7.*

Theorem 1.7 and Corollary 1.8 are proved in the end of §5.

1.3. **Defect groups of hyper-Kähler varieties.** It is easy to see that a general projective deformation of (a crepant resolution of) a moduli space of semistable sheaves (or objects) on a Calabi–Yau surface is no longer of this form (even by deforming the surface). If we still want to understand the motive of such a hyper-Kähler variety X in terms of some tensor constructions of a "surface-like" (or rather "weight-2") motive, the right substitution of the surface motive would be the degree-2 motive of X itself. We are therefore interested in the following meta-conjecture.

Meta-conjecture 1.9. *Let X be a projective hyper-Kähler variety and fix some rigid tensor category of motives. If the odd Betti numbers of X vanish, then its motive is in the tensor subcategory generated by its degree-2 motive. If X has some non-zero odd Betti number, then its motive lies in the tensor subcategory generated by the Kuga–Satake construction of its degree-2 motive. In any case, the motive of X is abelian.*

We will see in Proposition 6.4 that the analogous statement holds at the level of Hodge structures. This is essentially a consequence of Verbitsky's results [81], related works are [45] and [77]. Unfortunately, staying within the category of Chow motives (or Voevodsky motives), we are confronted with several essential difficulties:

- As an immediate obstruction, to speak of the degree-2 motive, we have to admit the algebraicity of the Künneth projector, which is part of the standard conjecture.
- Even in the case where the standard conjecture is known (for example [20]), the construction of the degree-2 part of the Chow motive $\mathfrak{h}(X)$, denoted by $\mathfrak{h}^2(X)$, is still conjectural in general (see [65] [75]) and even when a candidate construction is available, it seems too difficult to relate $\mathfrak{h}(X)$ and $\mathfrak{h}^2(X)$ for a general X in the moduli space of hyper-Kähler varieties. Nevertheless, let us point out that Bülles' Theorem 1.1 and our extensions Theorems 1.3, 1.4 and 1.7 indeed give some evidence in this direction (see also Corollary 4.7).

- The algebraicity of the Kuga–Satake construction is wide open.

The third purpose of the paper is to make precise sense of the meta-conjecture 1.9. To circumvent the aforementioned difficulties we leave the category of Chow motive and work within the category of *André motives* [4]. Essentially, this amounts to replace rational equivalence by homological equivalence and formally add the cycles predicted by the standard conjectures; the result is a semisimple abelian **Q**-linear tannakian category, see §2.3 for a quick introduction. Through the tannakian formalism, most properties of an André motive M are encoded in its motivic Galois group $\mathrm{G}_{\mathrm{mot}}(M)$. Note that since the Hodge theoretic version of meta-conjecture 1.9 holds, its validity at the level of André motives is implied by Conjecture 2.3 which says that all Hodge classes are motivated.

Our main contribution in this direction is about a **Q**-algebraic group, which we call the *defect group*, associated with a projective hyper-Kähler variety. Let X be a projective hyper-Kähler variety and let $\mathcal{H}(X)$ be its André motive. We have the Künneth decomposition $\mathcal{H}(X) = \bigoplus_i \mathcal{H}^i(X)$. The *even motive* of X is by definition $\mathcal{H}^+(X) = \bigoplus_i \mathcal{H}^{2i}(X)$. The *even defect group* of X, denoted by $P^+(X)$, is defined as the kernel of the surjective morphism of motivic Galois groups induced by the natural inclusion $\mathcal{H}^2(X) \subset \mathcal{H}^+(X)$, namely,

$$P^+(X) := \mathrm{Ker}\left(\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X)) \twoheadrightarrow \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^2(X))\right).$$

By definition, $P^+(X)$ is trivial if and only if $\mathcal{H}^+(X)$ belongs to the tannakian subcategory of André motives generated by $\mathcal{H}^2(X)$.

If all the odd Betti numbers of X vanish, then by convention the *defect group* of X, denoted by $P(X)$, is simply $P^+(X)$. Otherwise, the role of $\mathcal{H}^2(X)$ is naturally taken by a Kuga–Satake abelian variety A attached to this weight-2 motive, see Definition A.2; the reader may safely take for A the abelian variety given by the classical Kuga–Satake construction [27]. The *Kuga–Satake category* $\mathsf{KS}(X) := \langle \mathcal{H}^1(A) \rangle$ is independent of the choice of A, see Theorem A.4; furthermore, provided that $b_2(X) \neq 3$, we prove in Lemma 6.9 that the motive $\mathcal{H}^1(A)$ belongs to the tannakian subcategory of André motives generated by $\mathcal{H}(X)$. We define the *defect group* of X as the kernel of the corresponding surjective morphism

$$P(X) := \mathrm{Ker}\left(\mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X)) \twoheadrightarrow \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^1(A))\right)$$

of motivic Galois groups. The uniqueness of the Kuga–Satake category ensures that $P(X)$ does not depend on the choice of A; by definition, the defect group $P(X)$ is trivial if and only if $\mathcal{H}(X)$ belongs to the taannakian category $\mathsf{KS}(X)$. Note that $P^+(X)$ is a quotient group of $P(X)$.

Recall that the motivic Galois group of $\mathcal{H}(X)$ contains naturally the *Mumford–Tate group* $\mathrm{MT}(H^*(X))$. We show that the defect group is a canonical complement.

Theorem 1.10 (Splitting)**.** *Notation is as before. Assume that $b_2(X) \neq 3$. Then, inside $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X))$, the subgroups $P(X)$ and $\mathrm{MT}(H^*(X))$ commute, intersect trivially with each other and generate the whole group. In short, we have an equality:*

$$\mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X)) = \mathrm{MT}(H^*(X)) \times P(X).$$

Similarly, the even defect group is a direct complement of the even Mumford–Tate group in the motivic Galois group of the even André motive of X.

$$\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X)) = \mathrm{MT}(H^+(X)) \times P^+(X).$$

It follows that $\mathrm{MT}(H^+(X))$ is canonically isomorphic to $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^2(X))$, and hence to $\mathrm{MT}(H^2(X))$ by André's results [3] [4]. But this is the first step towards the proof of Theorem 1.10 (see Proposition 6.4).

Theorem 1.10 can be seen as a structure result for the motivic Galois group. The proof is given in §6.2. It admits the following consequence (proved in §6.3), which justifies the name of the group $P(X)$.

Corollary 1.11. *For any projective hyper-Kähler variety X with $b_2(X) \neq 3$, the following conditions are equivalent:*

(i^+) *The even defect group $P^+(X)$ is trivial.*

(ii^+) *The even André motive $\mathcal{H}^+(X)$ is in the tannakian subcategory generated by $\mathcal{H}^2(X)$.*

(iii^+) *$\mathcal{H}^+(X)$ is abelian.*

(iv^+) *Conjecture 2.3 holds for $\mathcal{H}^+(X)$: $\mathrm{MT}(H^+(X)) = \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X))$.*

Similarly, if some odd Betti number of X is not zero, we have the following equivalent conditions:

(i) *The defect group $P(X)$ is trivial.*

(ii) *The André motive $\mathcal{H}(X)$ is in the tannakian subcategory generated by $\mathcal{H}^1(\mathrm{KS}(X))$, where $\mathrm{KS}(X)$ is any Kuga–Satake abelian variety associated to $H^2(X)$.*

(iii) *$\mathcal{H}(X)$ is abelian.*

(iv) *Conjecture 2.3 holds for $\mathcal{H}(X)$: $\mathrm{MT}(H^*(X)) = \mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X))$.*

Thanks to Corollary 1.11, Conjecture 2.3 for hyper-Kähler varieties and the meta-conjecture 1.9 for their André motives are all equivalent to the following conjecture.

Conjecture 1.12. *The defect group of any projective hyper-Kähler variety is trivial.*

Remark 1.13 (Potential approaches). We are not able to prove Conjecture 1.12 in general so far, but only for all the known examples of hyper-Kähler varieties (Corollary 1.15 below). However,

(i) we will show in Corollary 7.2 that Conjecture 1.12 is implied by the following conjecture: an André motive is of Tate type if and only if its Hodge realization is of Tate type;

(ii) The defect group satisfies many constraints. For example, its action on the rational cohomology ring is compatible with the ring structure as well as the Looijenga–Lunts–Verbitsky Lie algebra action [53] [81], and most importantly, it is a deformation invariant.

Theorem 1.14 (Deformation invariance of defect groups). *Let S be a smooth quasi-projective variety and $\mathcal{X} \to S$ be a smooth proper morphism with fibers being projective hyper-Kähler manifolds with $b_2 \neq 3$. Then for any $s, s' \in S$, the defect groups $P(X_s)$ and $P(X_{s'})$ are canonically isomorphic, and similarly for the even defect groups.*

1.4. **Applications to "known" hyper-Kähler varieties.** In the sequel, a hyper-Kähler variety is called *known*, if it is deformation equivalent to Hilbert schemes of K3 surfaces (K3$^{[n]}$-type) [12], generalized Kummer varieties associated to abelian surfaces (Kum^n-type) [12], O'Grady's 6-dimensional examples (OG6-type) [67], or O'Grady's 10-dimensional examples (OG10-type) [66].

First, we can prove Conjecture 1.12 for all known hyper-Kähler varieties.

Corollary 1.15. *The defect group is trivial for all known hyper-Kähler varieties.*

Combining this with Corollary 1.11, we have the following consequences, providing evidences to the meta-conjecture 1.9 in the world of André motives.

Corollary 1.16. *Let X be a known projective hyper-Kähler variety. Then*

(i) *its André motive is abelian;*

(ii) *for any $m \in \mathbf{N}$, all Hodge classes of $H^*(X^m, \mathbf{Q})$ are motivated (hence absolutely Hodge);*

(iii) *if X is of K3$^{[n]}$, OG6, or OG10-type, then $\mathcal{H}(X) \in \langle \mathcal{H}^2(X) \rangle$;*

(iv) *if X is of Kum^n-type, then $\mathcal{H}(X) \in \langle \mathcal{H}^1(\mathrm{KS}(X)) \rangle$ and $\mathcal{H}^+(X) \in \langle \mathcal{H}^2(X) \rangle$.*

The item (i) on the abelianity of André motive is proved for K3$^{[n]}$-type by Schlickewei [74], for Kum^n-type and OG6-type in the recent work of Soldatenkov [76].

Second, we can prove the Mumford–Tate conjecture for all known hyper-Kähler varieties defined over a finitely generated field extension of $\mathbf{Q}$; see §7.2 for the precise statement of the conjecture. For varieties of K3$^{[m]}$-type, it has been proven in [31]. In fact, what we obtain in the following Theorem 1.17 is a stronger result in two aspects:

- we identify the Mumford–Tate group and the Zariski closure of the image of the Galois representation *via* a third group, namely the motivic Galois group. This is the so-called *motivated Mumford–Tate conjecture* 7.3;
- we can treat products. In general, it is far from obvious to deduce the Mumford–Tate conjecture for a product of varieties from the conjecture for the factors. Thanks to the work of Commelin [21] this can be done when the André motives of the varieties involved are abelian.

Theorem 1.17 (Special case of Theorem 7.9)**.** *Let k be a finitely generated subfield of* **C**. *For any smooth projective k-variety that is motivated* [4] *by a product of known hyper-Kähler varieties, the motivated Mumford–Tate conjecture 7.3 holds. In particular, the Tate conjecture and the Hodge conjecture are equivalent for such varieties.*

Remark 1.18 (Relation to [76])*.* The combination of Corollary 1.11 and Theorem 1.14 (plus the fact that two deformation equivalent hyper-Kähler varieties can be connected by algebraic families) implies that the abelianity of the André motive of hyper-Kähler varieties is a deformation invariant property (Corollary 7.2(i)). When finalizing the paper, we discovered the recent update of Soldatenkov's preprint [76], where he also obtained this result, as well as Corollary 1.16(i), except for the O'Grady-10 case. We attribute the overlap to him. The proofs and points of view are somewhat different: [76] makes a detailed study of the Kuga–Satake construction in families, while our argument does not involve the Kuga–Satake construction when the odd cohomology is trivial, but relies on André's theorem [3] on the abelianity of $\mathcal{H}^2$. As a bonus of emphasizing the usage of defect groups in our study, on the one hand, there seems to be some promising approaches mentioned in Remark 1.13 to show the abelianity of the André motive of hyper-Kähler varieties in general; on the other hand, even if Conjecture 1.12 (hence the abelianity) turned out to fail for some deformation family of hyper-Kähler varieties, our method can still control their André motives by its degree-2 part together with informations on the André motive of one given member in that family, see Corollary 7.2 $(ii), (iii)$.

Convention: From §3 to §7.1, all varieties are defined over the field of complex numbers **C** if not otherwise stated. We work exclusively with rational coefficients for cohomology groups and Chow groups, as well as for the corresponding categories of motives. For simplicity, the notation CHM (*resp.* DM, AM) stands for the category of rational Chow motives (*resp.* rational geometric motives in the sense of Voevodsky, rational André motives) over a base field k, which are usually denoted by $\mathrm{CHM}(k)_{\mathbf{Q}}$ (*resp.* $\mathrm{DM}_{gm}(k)_{\mathbf{Q}}$, $\mathrm{AM}(k)$) in the literature.

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2. Generalities on motives

In this section, we recall various categories of motives that we will be using, gather some of their basic properties, and explain some relations between them. Most of the content is standard and well-documented, except Proposition 2.2 and results of §2.4 in the non-projective setting.

2.1. **Chow motives.** Let SmProj_k be the category of smooth projective varieties over an arbitrary base field k. Let CHM be the category of Chow motives with rational coefficients, equipped with the functor

$$\mathfrak{h} : \mathrm{SmProj}_k^{op} \to \mathrm{CHM}.$$

We follow the notation and conventions of [5]. CHM is a pseudo-abelian rigid symmetric tensor category, whose objects consist of triples (X, p, n), where X is a smooth projective variety of dimension d_X over the base field k, $p \in \mathrm{CH}^{d_X}(X \times_k X)$ with $p \circ p = p$, and $n \in \mathbf{Z}$. Morphisms $f : M = (X, p, n) \to N = (Y, q, m)$ are elements $\gamma \in \mathrm{CH}^{d_X+m-n}(X \times_k Y)$ such that $\gamma \circ p =$

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[4]A variety X is said to be *motivated* by another variety Y if the André motive of X is a direct summand of that of a power of Y.

$q \circ \gamma = \gamma$. The tensor product of two motives is defined in the obvious way by the fiber product over the base field, while the dual of $M = (X, p, n)$ is $M^\vee = (X, {}^t p, -n + d_X)$, where ${}^t p$ denotes the transpose of p. The Chow motive of a smooth projective variety X is defined as $\mathfrak{h}(X) := (X, \Delta_X, 0)$, where Δ_X denotes the class of the diagonal inside $X \times_k X$, and the *unit motive* is denoted by $\mathbb{1} := \mathfrak{h}(\mathrm{Spec}(k))$. In particular, we have $\mathrm{CH}^l(X) = \mathrm{Hom}(\mathbb{1}(-l), \mathfrak{h}(X))$. The *Tate motive* of weight $-2i$ is the motive $\mathbb{1}(i) := (\mathrm{Spec}(k), \Delta_{\mathrm{Spec}(k)}, i)$. A motive is said to be of *Tate type* if it is isomorphic to a direct sum of Tate motives (of various weights).

Given a Chow motive $M \in \mathrm{CHM}$, the pseudo-abelian tensor subcategory of CHM generated by M is by definition the smallest full subcategory of CHM containing M that is stable under isomorphisms, direct sums, direct summands, tensor products and duality. We denote this subcategory by $\langle M \rangle_{\mathrm{CHM}}$; it is again a pseudo-abelian rigid tensor category. Note that if $M = \mathfrak{h}(X)$ is the motive of a smooth projective variety X, then any divisor on X gives rise to a splitting injection $\mathbb{1}(-1) \to \mathfrak{h}(X)$; therefore when X has a non-zero divisor, $\langle \mathfrak{h}(X) \rangle_{\mathrm{CHM}}$ contains the Tate motives and hence it is also stable under Tate twists.

2.2. **Mixed motives.** Let Sch_k be the category of separated schemes of finite type over a perfect base field k. Let DM be Voevodsky's triangulated category of geometric motives over k with rational coefficients [84]. There are two canonical functors

$$M : \mathrm{Sch}_k \to \mathrm{DM} \ \text{and} \ M_c : (\mathrm{Sch}_k, \text{proper morphisms}) \to \mathrm{DM} \,.$$

For any $X \in \mathrm{Sch}_k$, $M(X)$ is called its *(mixed) motive* and $M_c(X)$ is called its *motive with compact support* (or rather its *Borel–Moore motive*). There is a canonical comparison morphism $M(X) \to M_c(X)$, which is an isomorphism if X is proper over k. The category DM is a rigid tensor triangulated category, where the duality functor is determined by the so-called *motivic Poincaré duality*, which says that for any connected smooth k-variety X of dimension d,

$$M(X)^\vee \simeq M_c(X)(-d)[-2d]. \tag{1}$$

The Chow groups are interpreted as the corresponding Borel–Moore theory. More precisely, if X is an equi-dimensional quasi-projective k-variety, then for any $i \in \mathbf{N}$,

$$\mathrm{CH}_i(X) = \mathrm{Hom}(\mathbb{1}(i)[2i], M_c(X)). \tag{2}$$

An important property we will use is the localization distinguished triangle [84]: let Z be a closed subscheme of $X \in \mathrm{Sch}_k$, then there is a distinguished triangle in DM:

$$M_c(Z) \to M_c(X) \to M_c(X \backslash Z) \to M_c(Z)[1]. \tag{3}$$

Given a mixed motive $M \in \mathrm{DM}$, the tensor triangulated subcategory of DM generated by M, denoted by $\langle M \rangle_{\mathrm{DM}}$ is the smallest full subcategory of DM containing M that is stable under isomorphisms, direct sums, tensor products, duality and cones (hence also shifts and direct summands). By definition, $\langle M \rangle_{\mathrm{DM}}$ is a pseudo-abelian rigid tensor triangulated category. Again for a smooth projective variety X admitting a non-zero effective divisor, $\langle M(X) \rangle_{\mathrm{DM}}$ contains all Tate motives, and hence it is also stable by Tate twists.

By [84], there is a fully faithful tensor functor

$$\mathrm{CHM}^{op} \longrightarrow \mathrm{DM},$$

which sends the Chow motive $\mathfrak{h}(X)$ of a smooth projective variety X to its mixed motive $M(X) \simeq M_c(X)$; for any $i \in \mathbb{Z}$, the Tate object $\mathbb{1}(-i)$ in CHM is sent to $\mathbb{1}(i)[2i]$. In this paper we identify CHM^{op} with its essential image in DM.

Question 2.1 (Elimination of cones). If a Chow motive can be obtained from another Chow motive by performing tensor operations and cones in DM, can it be obtained already within CHM by performing tensor operations therein?

The following observation gives a positive answer to this question.

Proposition 2.2. *Notation is as before. Let M be a Chow motive. Then we have an equality of subcategories of* DM*:*

$$\langle M \rangle_{\mathrm{CHM}} = \langle M \rangle_{\mathrm{DM}} \cap \mathrm{CHM} \,.$$

Proof. The argument is due to Wildeshaus [85, Proposition 1.2], which we reproduce here for the convenience of the readers. This statement is also independently discovered by Hoskins–Pepin-Lehalleur recently in [38]. In [15] Bondarko introduced the notion of weight structures on triangulated categories and constructs a bounded non-degenerate weight structure w on DM whose heart $\mathrm{DM}^{w=0}$ consists of the Chow motives CHM^{op}. As $\langle M \rangle_{\mathrm{DM}}$ is generated by the subcategory $\langle M \rangle_{\mathrm{CHM}}$ and the latter, being a subcategory of CHM, is *negative* in the sense of [15, Definition 4.3.1], we can apply [15, Theorem 4.3.2 II] to conclude that there exists a unique bounded weight structure v on $\langle M \rangle_{\mathrm{DM}}$ whose heart $\langle M \rangle_{\mathrm{DM}}^{v=0}$ is $\langle M \rangle_{\mathrm{CHM}}$. By shifting, we see that for any $n \in \mathbf{Z}$,

$$\langle M \rangle_{\mathrm{DM}}^{v=n} \subset \mathrm{DM}^{w=n} \,. \tag{4}$$

We claim that for any n, we have

$$\langle M \rangle_{\mathrm{DM}}^{v \geqslant n} \subset \mathrm{DM}^{w \geqslant n} \cap \langle M \rangle_{\mathrm{DM}} \text{ and } \langle M \rangle_{\mathrm{DM}}^{v \leqslant n} \subset \mathrm{DM}^{w \leqslant n} \cap \langle M \rangle_{\mathrm{DM}}. \tag{5}$$

Indeed, it suffices to show the first inclusion in the case $n = 0$. Given any object N of $\langle M \rangle_{\mathrm{DM}}^{v \geqslant 0}$, by the boundedness of v, N can be obtained by a finite sequence of successive extensions of objects of $\langle M \rangle_{\mathrm{DM}}$ with non-negative v-weight, which have non-negative w-weight by (4). Therefore $N \in \mathrm{DM}^{w \geqslant 0}$, and the claim is proved.

Now we show that the inclusions in (5) are actually equalities. Given $N \in \mathrm{DM}^{w \geqslant 0} \cap \langle M \rangle_{\mathrm{DM}}$, we have $\mathrm{Hom}(N, N') = 0$ for all $N' \in \langle M \rangle_{\mathrm{DM}}^{v \leqslant -1}$ since, by the second inclusion in (5), $N' \in \mathrm{DM}^{w \leqslant -1}$. Therefore $N \in \langle M \rangle_{\mathrm{DM}}^{v \geqslant 0}$. The first equality is proved; the argument for the second one is similar. As a consequence, $\langle M \rangle_{\mathrm{CHM}} = \langle M \rangle_{\mathrm{DM}}^{v=0} = \mathrm{DM}^{w=0} \cap \langle M \rangle_{\mathrm{DM}} = \mathrm{CHM} \cap \langle M \rangle_{\mathrm{DM}}$.   □

Obviously, the proof shows that the same result holds if we start with a subcategory of CHM instead of just an object. The case of the subcategory of abelian motives is exactly [85, Proposition 1.2], from which we borrowed the argument above.

Note that due to the abstract machinery of weight structures, the above proof does not give a constructive way to eliminate the usage of cones if a Chow motive is explicitly expressed in terms of a second one by tensor operations and cones.

2.3. **André motives.** Let the base field k be a subfield of the field of complex numbers $\mathbf{C}$. Replacing the Chow group by the $\mathbf{Q}$-vector space of algebraic cycles modulo homological equivalence (here we use the rational singular homology group of the associated complex analytic space) in the construction of Chow motives (§2.1) one obtains the category of *Grothendieck motives*, denoted GRM, which comes with a canonical full functor $\mathrm{CHM} \to \mathrm{GRM}$. The category of Grothendieck motives is conjectured to be semisimple and abelian; Jannsen [42] showed that it is the case if and only if numerical equivalence agrees with homological equivalence, which is one of Grothendieck's standard conjectures.

The standard conjectures being difficult, in [4] an unconditional theory was proposed by André, refining Deligne's category of absolute Hodge motives [29]. He replaced in the construction of Grothendieck motives the group of algebraic cycles up to homological equivalence by the group of *motivated cycles*, which are roughly speaking cohomology classes that can be obtained by using algebraic cycles and the Hodge $*$-operator. The resulting category of *André motives* is denoted by AM, and it is a semisimple abelian category. The canonical faithful functor $\mathrm{GRM} \to \mathrm{AM}$ is an isomorphism if the standard conjecture holds true for all smooth projective varieties.

The virtue of AM is that it works well with the tannakian formalism. There are natural functors:

$$\mathrm{SmProj}^{op} \xrightarrow{\mathcal{H}} \mathrm{AM} \xrightarrow{r} \mathrm{HS}_{\mathbf{Q}}^{\mathrm{pol}} \xrightarrow{F} \mathrm{Vect}_{\mathbf{Q}},$$

where $\mathcal{H}$ is the functor that associates to a variety its André motive, $\mathrm{HS}_{\mathbf{Q}}^{\mathrm{pol}}$ is the category of polarizable rational Hodge structures, r is the Hodge realization functor, and F is the forgetful

functor. The composition of $r \circ \mathcal{H}$ is equal to the functor H attaching to a smooth projective variety its rational cohomology group. It is easy to see that the functors r and F are conservative.

2.3.1. *Mumford–Tate group and motivic Galois group.* It is well-known that $\mathrm{HS}_{\mathbf{Q}}^{\mathrm{pol}}$ is a neutral tannakian semisimple abelian category with fiber functor F. Given a polarizable rational Hodge structure V, let $\langle V \rangle_{\mathrm{HS}}$ be the full tannakian subcategory of $\mathrm{HS}_{\mathbf{Q}}^{\mathrm{pol}}$ generated by V. The restriction of F to this subcategory is again a fiber functor. The *Mumford–Tate group* of V, denoted by $\mathrm{MT}(V)$, is by definition the automorphism group of the tensor functor $F|_{\langle V \rangle_{\mathrm{HS}}}$ and $\langle V \rangle_{\mathrm{HS}}$ is equivalent to the category of representations of $\mathrm{MT}(V)$. Note that as V is assumed to be polarizable, $\mathrm{MT}(V)$ is reductive. Mumford–Tate groups are known to be always connected. The $\mathrm{MT}(V)$-invariants in a tensor construction on V are precisely the Hodge classes of type $(0,0)$.

In a similar fashion, AM is also neutral tannakian with fiber functor $F \circ r$. Given an André motive $M \in \mathrm{AM}$, the tannakian subcategory $\langle M \rangle_{\mathrm{AM}}$ is again neutral tannakian, with fiber functor $F \circ r|_{\langle M \rangle_{\mathrm{AM}}}$; the tensor automorphisms group of this functor is denoted by $\mathrm{G}_{\mathrm{mot}}(M)$ and called the *motivic Galois group* of M. The tannakian category $\langle M \rangle_{\mathrm{AM}}$ is then equivalent to the category of representations of this reductive group, and the $\mathrm{G}_{\mathrm{mot}}(M)$-invariants in any tensor construction of $r(M)$ are precisely the motivated classes.

2.3.2. *Motivated vs. Hodge.* Let $k \subset \mathbf{C}$ be in addition algebraically closed. For any $M \in \mathrm{AM}$, as all motivated cycles are Hodge classes, the tensor invariants of the motivic Galois group are all tensor invariants of the Mumford–Tate group. Both groups being reductive, we have a canonical inclusion $\mathrm{MT}(r(M)) \subset \mathrm{G}_{\mathrm{mot}}(M)$, by [29, Proposition 3.1]. The Hodge conjecture implies that the converse should hold as well.

Conjecture 2.3 (Hodge classes are motivated)**.** *Let k be an algebraically closed subfield of $\mathbf{C}$. For any $M \in \mathrm{AM}$, we have an equality of subgroups of $\mathrm{GL}(r(M))$:*

$$\mathrm{MT}(r(M)) = \mathrm{G}_{\mathrm{mot}}(M).$$

The most significant evidence to this conjecture is André's result in [4] saying that on abelian varieties, all Hodge classes are motivated, strengthening the previous result of Deligne [29] on absolute Hodge classes. Let us state the result in the following form:

Theorem 2.4 ([4, Theorem 0.6.2])**.** *Conjecture 2.3 holds for any abelian André motives. More precisely, over an algebraically closed field $k \subset \mathbf{C}$, for any $M \in \mathrm{AM}^{ab}$, the rigid tensor subcategory of AM generated by the motives of abelian varieties, we have $\mathrm{MT}(r(M)) = \mathrm{G}_{\mathrm{mot}}(M)$.*

2.4. **Relative André motives and monodromy: proper setting.** Another remarkable aspect of André motives is their behaviour under deformations. The results presented below are essentially due to André (based on Deligne [28]) in the projective setting and formalized by Moonen [60, §4]. We generalize these results to the proper setting. Let k be an uncountable and algebraically closed subfield of $\mathbf{C}$. The starting point is the following observation.

Lemma 2.5. *The contra-variant functor $\mathcal{H} : \mathrm{SmProj}_k \to \mathrm{AM}$ extends naturally to the category SmProp_k of smooth proper varieties.*

Proof. Let X be a smooth and proper (non-necessarily projective) algebraic variety defined over k. Consider its Nori motive $\mathcal{H}_{\mathrm{Nori}}(X) = \bigoplus_i \mathcal{H}_{\mathrm{Nori}}^i(X)$. For each $i \in \mathbb{N}$, $\mathcal{H}_{\mathrm{Nori}}^i(X)$ carries a weight filtration $W_\bullet$, inducing the weight filtration on its Hodge realization [39, Theorem 10.2.5]. In particular,

$$r\left(\mathrm{Gr}_l^W \mathcal{H}_{\mathrm{Nori}}^i(X)\right) = \mathrm{Gr}_l^W H^i(X).$$

However, the Hodge structure $H^i(X)$ is pure[5], $\mathrm{Gr}_l^W H^i(X)$ is zero for all $l \neq 0$. By the conservativity of r, the Nori motive $\mathrm{Gr}_l^W \mathcal{H}_{\mathrm{Nori}}^i(X)$ is also trivial for $l \neq 0$. In other words, $\mathcal{H}_{\mathrm{Nori}}^i(X)$

---

[5]This can be easily seen in the following way: by Chow's lemma, one can find a blow-up $\widetilde{X} \to X$ with $\widetilde{X}$ smooth and projective. Then by the projection formula, $H^i(X)$ is a direct summand of the pure Hodge structure $H^i(X)$, hence is also pure.

is pure. We conclude by invoking Arapura's theorem [7] which says that the category of pure Nori motives is equivalent to the category of André motives. □

The following result generalizes André's *deformation principle* for motivated cycles [4, Théorème 0.5] to the proper setting (but always with projective fibers). It has been obtained recently by Soldatenkov [76, Proposition 5.1]. We include here an alternative proof with the point being that André's original proof actually works, when combined with Lemma 2.5.

Theorem 2.6 (André–Soldatenkov)**.** *Let S be a connected and reduced variety and let $f\colon \mathcal{X} \to S$ be a proper smooth morphism with projective fibers. Let $\xi \in H^0(S, R^{2i}f_*\mathbf{Q}(i))$, and assume that there exists $s_0 \in S$ such that the restriction $\xi_{s_0} \in H^{2i}(X_{s_0}, \mathbf{Q}(i))$ of ξ to the fibre over s_0 is motivated. Then, for all $s \in S$, the class $\xi_s \in H^{2i}(X_s, \mathbf{Q}(i))$ is motivated.*

Proof. As in [4], we can assume that S is a smooth affine curve. Choose a smooth compactification $\overline{\mathcal{X}}$ of the total space $\mathcal{X}$ and let $j_s\colon X_s \to \overline{\mathcal{X}}$ be the inclusion morphism for all $s \in S$. The theorem of the fixed part [28, 4.1.1] ensures that the image of the morphism of Hodge structures $j_s^*\colon H^{2i}(\overline{\mathcal{X}}, \mathbf{Q}(i)) \to H^{2i}(X_s, \mathbf{Q}(i))$ coincides with the subspace of monodromy invariants. André's proof uses the morphism j_s^* induced on André motives, and conclude that the subspace of monodromy invariants at $s \in S$ is a submotive which does not depend on the chosen point. Now, in our case $\overline{\mathcal{X}}$ is not necessarily projective, but still has a well-defined André motive $\mathcal{H}(\overline{\mathcal{X}}) = \bigoplus_i \mathcal{H}^i(\overline{\mathcal{X}})$ by Lemma 2.5, and j_s^* is a morphism of André motives. Then we can conclude via the same argument as in André [4]. □

The following definition extends slightly the usual notion of families of André motives.

Definition 2.7 (*cf.* [60, Definition 4.3.3])**.** Let S be a smooth connected quasi-projective variety. An *André motive* (*resp. generalized André motive*) over S is a triple $(\mathcal{X}/S, e, n)$ with

- $f\colon \mathcal{X} \to S$ a smooth projective (*resp.* proper) morphism with connected projective fibers,
- e a global section of $R^{2d}(f \times f)_*\mathbf{Q}_{\mathcal{X}\times_S\mathcal{X}}(d)$, where d is the relative dimension of f,
- n an integer,

such that for some $s \in S$ (or equivalently by Theorem 2.6, for any $s \in S$), the value $e(s) \in H^{2d}(X_s \times X_s, \mathbf{Q}(d))$ is a motivated projector.

These objects, with morphisms defined in the usual way, form a tannakian semisimple abelian category denoted by $\mathrm{AM}(S)$ (*resp.* $\widetilde{\mathrm{AM}}(S)$). Obviously, a generalized André motive over a point is nothing else but an André motive introduced before. There is a natural realization functor from the category of generalized André motives over S to the tannakian category of *algebraic variations*[6] of $\mathbf{Q}$-Hodge structures in the sense of Deligne [28, Definition 4.2.4]:

$$\mathrm{AM}(S) \subset \widetilde{\mathrm{AM}}(S) \xrightarrow{r} \mathrm{VHS}^{\mathrm{a}}_{\mathbf{Q}}(S) \subset \mathrm{VHS}^{\mathrm{pol}}_{\mathbf{Q}}(S).$$

By construction, for any smooth proper morphism $f\colon \mathcal{X} \to S$ with projective fibers and any integer i, we have a generalized André motive $\mathcal{H}^i(\mathcal{X}/S)$ whose realization is $R^if_*\mathbf{Q} \in \mathrm{VHS}^{\mathrm{a}}_{\mathbf{Q}}(S)$.

Given a (generalized) André motive $M/S \in \widetilde{\mathrm{AM}}(S)$, we aim to study the variation of motivic Galois groups $\mathrm{G}_{\mathrm{mot}}(M_s)$ and Mumford–Tate groups $\mathrm{MT}(r(M)_s)$ when s varies in S. Consider the monodromy representation $\pi_1(S, s) \to \mathrm{GL}(r(M)_s)$ associated to the local system underlying the realization of M/S. The *algebraic monodromy group* at a point $s \in S$, denoted by $\mathrm{G}_{\mathrm{mono}}(M/S)_s$, is defined as the Zariski closure in $\mathrm{GL}(r(M)_s)$ of the image of the monodromy representation. It is not necessarily connected, but it becomes so after some finite étale cover of S; Deligne [28, Theorem 4.2.6] proved that $\mathrm{G}_{\mathrm{mono}}(M/S)^0_s$ is a semisimple $\mathbf{Q}$-algebraic group. The variation of these groups with s determines a local system of algebraic groups $\mathrm{G}_{\mathrm{mono}}(M/S)$.

---

[6] A variation of $\mathbf{Q}$-Hodge structures over S is called *algebraic* if the restriction to some non-empty Zariski open subset U of S is a direct summand of a variation of the form $R^if_*\mathbf{Q}$ for some smooth projective morphism $f\colon \mathcal{X} \to U$.

Theorem 2.8 (*cf.* [60, §4.3])**.** *Let S be as above and let M/S be a generalized André motive over S. There exists two local systems of reductive algebraic groups $\mathrm{MT}(r(M)/S)$ and $\mathrm{G}_{\mathrm{mot}}(M/S)$ over S with the following properties:*

(i) *we have inclusions of local systems of algebraic groups:*

$$\mathrm{G}_{\mathrm{mono}}(M/S)^0 \subset \mathrm{MT}(r(M)/S) \subset \mathrm{G}_{\mathrm{mot}}(M/S) \subset \mathrm{GL}(r(M)/S);$$

(ii) *for a very general (i.e., outside of a countable union of closed subvarieties of S) point $s \in S$, we have $\mathrm{MT}(r(M)_s) = \mathrm{MT}(r(M)/S)_s$ and $\mathrm{G}_{\mathrm{mot}}(M_s) = \mathrm{G}_{\mathrm{mot}}(M/S)_s$;*

(iii) *for all $s \in S$, we have $\mathrm{MT}(r(M)_s) \subset \mathrm{MT}(r(M)/S)_s$ and $\mathrm{G}_{\mathrm{mot}}(M_s) \subset \mathrm{G}_{\mathrm{mot}}(M/S)_s$;*

(iv) *for all $s \in S$, we have*

$$\mathrm{G}_{\mathrm{mono}}(M/S)^0_s \cdot \mathrm{MT}(r(M)_s) = \mathrm{MT}(r(M)/S)_s \text{ and } \mathrm{G}_{\mathrm{mono}}(M/S)^0_s \cdot \mathrm{G}_{\mathrm{mot}}(M_s) = \mathrm{G}_{\mathrm{mot}}(M/S)_s.$$

In particular, each of the inclusion in (iii) is an equality if and only if $\mathrm{G}_{\mathrm{mono}}(M/S)^0_s$ is contained respectively in $\mathrm{MT}(r(M)_s)$ and $\mathrm{G}_{\mathrm{mot}}(M_s)$.

The local system $\mathrm{MT}(r(M)/S)$ is called the generic Mumford–Tate group of $r(M)/S$, and $\mathrm{G}_{\mathrm{mot}}(M/S)$ is called the generic motivic Galois group of M/S.

Proof. There exists a non-empty Zariski open subset $U \subset S$ such that the restriction of M/S to U is an André motive over U. The desired conclusions hold for the restricted family over U by Theorems 4.1.2, 4.1.3, 4.3.6, and 4.3.9 in Moonen's survey [60]; hence, we get two local systems of algebraic groups over U with the properties above. The fundamental group of S is a quotient of that of U. Since (i) holds over U, we can extend the generic Mumford–Tate and motivic Galois groups which we have over U to local systems $\mathrm{MT}(r(M)/S)$ and $\mathrm{G}_{\mathrm{mot}}(M/S)$ over S. We prove that these local systems satisfy the desired properties. Note that (i) and (ii) are immediate since both conditions can be checked over U, where we already know they hold.

(iii). We only give the proof for the generic motivic Galois group; the argument for the generic Mumford–Tate group is similar. Up to a base change of the family M/S by a finite étale cover of S, we may assume that the algebraic monodromy group is connected. Let $s_0 \in S$ be any point such that $\mathrm{G}_{\mathrm{mono}}(M/S)_{s_0}$ is contained in $\mathrm{G}_{\mathrm{mot}}(M_{s_0})$; this is the case for a very general point, by (i) and (ii). The monodromy group acts on $\mathrm{G}_{\mathrm{mot}}(M_{s_0})$ by conjugation, and this defines a local system of algebraic groups $\mathrm{G}_{\mathrm{mot}}(M_{s_0}/S)$ with fiber isomorphic to the motivic Galois group at the point s_0. Consider any tensor construction $T/S = (M/S)^{\otimes m} \otimes (M/S)^{\vee,\otimes n}$, and let ξ_{s_0} be the cohomology class of a motivated cycle in $r(T)_{s_0}$. The class ξ_{s_0} is monodromy invariant, and therefore it extends to a global section ξ of the local system underlying $r(T)/S$. By Theorem 2.6 the restriction ξ_s is motivated for any $s \in S$. By the reductivity of the groups involved, we deduce that for any $s \in S$ we have $\mathrm{G}_{\mathrm{mot}}(M_s) \subset \mathrm{G}_{\mathrm{mot}}(M_{s_0}/S)_s$, and we conclude by (ii) that the latter must be equal to $\mathrm{G}_{\mathrm{mot}}(M/S)_s$. This proves (iii) and that if $\mathrm{G}_{\mathrm{mono}}(M/S)_s \subset \mathrm{G}_{\mathrm{mot}}(M_s)$ then $\mathrm{G}_{\mathrm{mot}}(M_s) = \mathrm{G}_{\mathrm{mot}}(M/S)_s$.

(iv). By (i) and (iii), we clearly have $\mathrm{G}_{\mathrm{mono}}(M/S)^0_s \cdot \mathrm{G}_{\mathrm{mot}}(M_s) \subset \mathrm{G}_{\mathrm{mot}}(M/S)_s$. Since both sides are reductive, we only need to compare their invariants on the tensor constructions T/S on M/S as above. If $\xi_s \in r(T)_s$ is invariant for the action of $\mathrm{G}_{\mathrm{mono}}(M/S)^0_s \cdot \mathrm{G}_{\mathrm{mot}}(M_s)$, then it is the class of a motivated cycle which is monodromy invariant. By Theorem 2.6, it extends to a global section ξ of $r(T)/S$ such that $\xi_{s'}$ is motivated at any $s' \in S$. It follows that ξ_s is invariant for $\mathrm{G}_{\mathrm{mot}}(M/S)_s$. The proof of the assertion regarding the Mumford–Tate group is similar. $\square$

2.5. **Relations.** We summarize in the diagram below the natural functors relating the various categories of motives we discussed above. For the sake of completeness, we inserted in the diagram also Nori's category of mixed motives $\mathrm{MM}_{\mathrm{Nori}}$, whose pure part is the abelian category of André motives by Arapura's result in [7], see also [39] for a recent account.

$$
\begin{array}{ccc}
\mathrm{SmProj}_k^{op} \hookrightarrow \mathrm{SmProp}_k^{op} & & (6)
\end{array}
$$

Here the comparison functor C is due to Harrer [36, Theorem 7.4.17].

3. Motives of the stable loci of moduli spaces

In this section, we generalize an argument of Bülles [18] to give a relationship between the motive of the (in general quasi-projective) moduli space of stable sheaves on a K3 or abelian surface and the motive of the surface.

Let S be a projective K3 surface or abelian surface. Denote by $\widetilde{\mathrm{NS}}(S) = H^0(S, \mathbb{Z}) \oplus \mathrm{NS}(S) \oplus H^4(S, \mathbb{Z})$ the algebraic Mukai lattice, equipped with the following Mukai pairing: for any $\mathbf{v} = (r, l, s)$ and $\mathbf{v}' = (r, l, s')$ in $\widetilde{\mathrm{NS}}(S)$,

$$
\langle \mathbf{v}, \mathbf{v}' \rangle := (l \cdot l') - rs' - r's \in \mathbf{Z}.
$$

Given a Brauer class α, a Mukai vector $\mathbf{v} \in \widetilde{\mathrm{NS}}(S)$ with $\mathbf{v}^2 \geqslant 0$ and a Bridgeland stability condition σ of the α-twisted derived category $D^b(S, \alpha)$, let $\mathcal{M}^{\mathrm{st}}$ be the moduli space of σ-stable objects in $D^b(S, \alpha)$ with Mukai vector $\mathbf{v}$. By [62], $\mathcal{M}^{\mathrm{st}}$ is a smooth quasi-projective holomorphic symplectic variety of dimension $2m := \mathbf{v}^2 + 2$. To understand the (mixed) motive of $\mathcal{M}^{\mathrm{st}}$, let us first recall the following result of Markman, extended by Marian–Zhao.

Theorem 3.1 ([58] [57] [56]). *Let $\mathcal{E}$ and $\mathcal{F}$ be two (twisted) universal families over $\mathcal{M}^{\mathrm{st}} \times S$. Then*

$$
\Delta_{\mathcal{M}^{\mathrm{st}}} = c_{2m}(-\mathcal{E}xt^!_{\pi_{13}}(\pi_{12}^*(\mathcal{E}), \pi_{23}^*(\mathcal{F}))) \in \mathrm{CH}^{2m}(\mathcal{M}^{\mathrm{st}} \times \mathcal{M}^{\mathrm{st}}),
$$

where $2m$ is the dimension of $\mathcal{M}^{\mathrm{st}}$ and $\mathcal{E}xt^!_{\pi_{13}}(\pi_{12}^*(\mathcal{E}), \pi_{23}^*(\mathcal{F}))$ denotes the class of the complex $R\pi_{13,*}(\pi_{12}^*(\mathcal{E})^\vee \otimes^{\mathbb{L}} \pi_{23}^*(\mathcal{F}))$ in the Grothendieck group of $\mathcal{M}^{\mathrm{st}} \times \mathcal{M}^{\mathrm{st}}$, where π_{ij}'s are the natural projections from $\mathcal{M}^{\mathrm{st}} \times S \times \mathcal{M}^{\mathrm{st}}$.

Pointer to references. For the case of Gieseker-stable sheaves, [58, Theorem 1] states the result for the cohomology class, but the proof gives the equality in Chow groups. Indeed, [57, Theorem 8], the statement is for Chow groups. Moreover, the assumption on the existence of universal family can be dropped ([57, Proposition 24]): it suffices to replace in the formula the sheaves $\mathcal{E}$ and $\mathcal{F}$ by certain universal classes in the Grothendieck group $K_0(S \times \mathcal{M}^{\mathrm{st}})$ constructed in [57, Definition 26]. More recently, it is shown in [56] that the technique of Markman can be adapted to obtain the result in the full generality as stated. $\qquad \square$

As a consequence, we can obtain the following analogue of [18, (3), p.6]

Proposition 3.2 (Decomposition of the diagonal). *There exist finitely many integers k_i and cycles $\gamma_i \in \mathrm{CH}^{e_i}(\mathcal{M}^{\mathrm{st}} \times S^{k_i})$, $\delta_i \in \mathrm{CH}^{d_i}(S^{k_i} \times \mathcal{M}^{\mathrm{st}})$, such that*

$$
\Delta_{\mathcal{M}^{\mathrm{st}}} = \sum \delta_i \circ \gamma_i \in \mathrm{CH}^{2m}(\mathcal{M}^{\mathrm{st}} \times \mathcal{M}^{\mathrm{st}}),
$$

here $\dim \mathcal{M}^{\mathrm{st}} = 2m = e_i + d_i - 2k_i$ *for all* i.

Proof. We follow the proof of [18, Theorem 1]. First of all, we observe that by Lieberman's formula (see [5, §3.1.4] and [83, Lemma 3.3] for a proof), the following two-sided ideal of $\mathrm{CH}^*(\mathcal{M}^{\mathrm{st}} \times \mathcal{M}^{\mathrm{st}})$ (with respect to the ring structure given by the composition of correspondences)

$$I = \langle \beta \circ \alpha \mid \alpha \in \mathrm{CH}^*(\mathcal{M}^{\mathrm{st}} \times S^k), \beta \in \mathrm{CH}^*(S^k \times \mathcal{M}^{\mathrm{st}}), k \in \mathbb{N} \rangle \subseteq \mathrm{CH}^*(\mathcal{M}^{\mathrm{st}} \times \mathcal{M}^{\mathrm{st}})$$

is closed under the intersection product, hence is a **Q**-subalgebra of $\mathrm{CH}^*(\mathcal{M}^{\mathrm{st}} \times \mathcal{M}^{\mathrm{st}})$. A computation similar to [18, (2), p.6] using the Grothendieck–Riemann–Roch theorem shows that

$$\mathrm{ch}(-[\mathcal{E}xt^!_{\pi_{13}}(\pi_{12}^*(\mathcal{E}), \pi_{23}^*(\mathcal{F}))]) = -(\pi_{13})_*(\pi_{12}^*\alpha \cdot \pi_{23}^*\beta)$$

where

$$\alpha = \mathrm{ch}(\mathcal{E}^\vee) \cdot \pi_2^* \sqrt{\mathrm{td}(S)} \quad \text{and} \quad \beta = \mathrm{ch}(\mathcal{F}) \cdot \pi_2^* \sqrt{\mathrm{td}(S)}.$$

It follows that $\mathrm{ch}_n(-[\mathcal{E}xt^!_{\pi_{13}}(\pi_{12}^*(\mathcal{E}), \pi_{23}^*(\mathcal{F}))]) \in I$ for any $n \in \mathbb{N}$. An induction argument then shows that $c_n(-[\mathcal{E}xt^!_{\pi_{13}}(\pi_{12}^*(\mathcal{E}), \pi_{23}^*(\mathcal{F}))]) \in I$ for each $n \in \mathbb{N}$. In particular, combined with Theorem 3.1, $\Delta_{\mathcal{M}^{\mathrm{st}}}$ is in I, which is equivalent to the conclusion. $\square$

In terms of mixed motives, one can reformulate Proposition 3.2 as follows.

Corollary 3.3 (Factorization of the comparison map)**.** *In the category* DM *of mixed motives, the canonical comparison morphism* $M(\mathcal{M}^{\mathrm{st}}) \to M_c(\mathcal{M}^{\mathrm{st}})$ *can be factorized as the following composition:*

$$M(\mathcal{M}^{\mathrm{st}}) \to \bigoplus_i M(S^{k_i})(e_i - 2k_i)[2e_i - 4k_i] \to M_c(\mathcal{M}^{\mathrm{st}}),$$

for finitely many integers k_i*'s and* e_i*'s.*

Proof. It is enough to remark that by (1) and (2), for any $j \in \mathbf{Z}$, the space $\mathrm{CH}^j(\mathcal{M}^{\mathrm{st}} \times S^{k_i})$ is equal to the space

$$\mathrm{Hom}_{\mathrm{DM}}(M(\mathcal{M}^{\mathrm{st}}), M(S^{k_i})(j - 2k_i)[2j - 4k_i])$$

as well as to the space

$$\mathrm{Hom}_{\mathrm{DM}}(M(S^{k_i})(2m - j)[4m - 2j], M_c(\mathcal{M}^{\mathrm{st}})).$$

$\square$

Remark 3.4 (Hodge realization)*.* In Proposition 3.2, if one denotes $\gamma = \oplus \gamma_i$ and $\delta = \oplus \delta_i$, then we get the following morphisms of mixed Hodge structures.

$$H_c^*(\mathcal{M}^{\mathrm{st}}) \xrightarrow{\gamma} \bigoplus_i H^*(S^{k_i})(2k_i - e_i) \xrightarrow{\delta} H^*(\mathcal{M}^{\mathrm{st}}),$$

where the composition is precisely the comparison morphism from the compact support cohomology to the usual cohomology.

Remark 3.5 (Challenge for Kummer moduli spaces)*.* In the case that S is an abelian surface, the moduli space $\mathcal{M}^{\mathrm{st}}$ is isotrivially fibered over $S \times \widehat{S}$ (which is the Albanese fibration when $\mathcal{M}^{\mathrm{st}}$ is projective). We usually denote by $\mathcal{K}^{\mathrm{st}} := \mathcal{K}^{\mathrm{st}}_{S,H}(\mathbf{v})$ its fiber. The analogue of Theorem 3.1 seems to be unknown for $\mathcal{K}^{\mathrm{st}}$.

4. MOTIVE OF O'GRADY'S MODULI SPACES AND THEIR RESOLUTIONS

In this section, we study the motive of O'Grady's 10-dimensional hyper-Kähler varieties [66]. Those are symplectic resolutions of certain singular moduli spaces of sheaves on K3 or abelian surfaces. We first recall the construction.

4.1. **Symplectic resolution of the singular moduli space.** Let S be a projective K3 surface or abelian surface, let α be a Brauer class, and let $\mathbf{v} = 2\mathbf{v}_0$ be a Mukai vector, such that $\mathbf{v}_0 \in \widetilde{\mathrm{NS}}(S)$ is primitive with $\mathbf{v}_0^2 = 2$. Let σ be a $\mathbf{v}_0$-generic stability condition on the α-twisted derived category $D^b(S, \alpha)$ (for example, a $\mathbf{v}_0$-generic polarization). We write

$$\mathcal{M}^{\mathrm{st}} = \mathcal{M}_{S,\sigma}(\mathbf{v}, \alpha)^{\mathrm{st}}$$

for the smooth and quasi-projective moduli space of σ-stable objects in $D^b(S, \alpha)$ with Mukai vector $\mathbf{v}$, and

$$\mathcal{M} = \mathcal{M}_{S,\sigma}(\mathbf{v}, \alpha)^{\mathrm{ss}}$$

for the (singular) moduli space of σ-semistable objects with the same Mukai vector. In [66], O'Grady constructed a symplectic resolution $\widetilde{\mathcal{M}}$ of $\mathcal{M}$ (see also [43]), which is a projective (irreducible if S is a K3 surface) holomorphic symplectic manifold of dimension 10, not deformation equivalent to the fifth Hilbert schemes of the surface S. We know that these hyper-Kähler varieties are all deformation equivalent [70].

Let us briefly recall the geometry of $\mathcal{M}$. We follow the notations in [66], see also [50] and [59, §2]. The moduli space $\mathcal{M}$ admits a filtration

$$\mathcal{M} \supset \Sigma \supset \Omega$$

where

$$\Sigma = \mathrm{Sing}(\mathcal{M}) = \mathcal{M} \setminus \mathcal{M}^{\mathrm{st}} \cong \mathrm{Sym}^2(\mathcal{M}_{S,\sigma}(\mathbf{v}_0, \alpha))$$

is the singular locus of $\mathcal{M}$, which consists of strictly σ-semistable objects; and

$$\Omega = \mathrm{Sing}(\Sigma) \cong \mathcal{M}_{S,\sigma}(\mathbf{v}_0, \alpha)$$

is the singular locus of Σ, hence the diagonal in $\mathrm{Sym}^2(\mathcal{M}_{S,\sigma}(\mathbf{v}_0, \alpha))$. Notice that $\mathcal{M}_{S,\sigma}(\mathbf{v}_0, \alpha)$ is a smooth projective holomorphic symplectic fourfold deformation equivalent to the Hilbert squares of S.

In [66], O'Grady produced a symplectic resolution $\widetilde{\mathcal{M}}$ of $\mathcal{M}$ in following three steps. As the explicit geometry is used in the proof of our main result, we briefly recall his construction.

STEP 1. We blow up $\mathcal{M}$ along Ω, resulting a space $\overline{\mathcal{M}}$ with an exceptional divisor $\overline{\Omega}$. The only singularity of $\overline{\mathcal{M}}$ is an A_1-singularity along the strict transform $\overline{\Sigma}$ of Σ. In fact, $\overline{\Sigma}$ is smooth, satisfying

$$\overline{\Sigma} \cong \mathrm{Hilb}^2(\mathcal{M}_{S,\sigma}(\mathbf{v}_0, \alpha)),$$

with the morphism $\overline{\Sigma} \to \Sigma$ being the corresponding Hilbert-Chow morphism, whose exceptional divisor is precisely the intersection of $\overline{\Omega}$ and $\overline{\Sigma}$ in $\overline{\mathcal{M}}$.
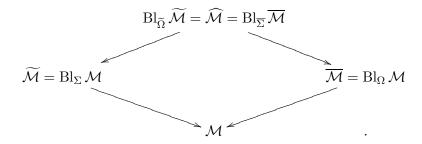
STEP 2. We blow up $\overline{\mathcal{M}}$ along $\overline{\Sigma}$ to obtain a (non-crepant) resolution $\widehat{\mathcal{M}}$ of $\mathcal{M}$. The exceptional divisor $\widehat{\Sigma}$ is thus a $\mathbb{P}^1$-bundle over $\overline{\Sigma}$. We denote by $\widehat{\Omega}$ the strict transform of $\overline{\Omega}$. Then $\widehat{\mathcal{M}}$ is a smooth projective compactification of $\mathcal{M}^{\mathrm{st}}$, with boundary

$$\partial\widehat{\mathcal{M}} = \widehat{\mathcal{M}} \setminus \mathcal{M}^{\mathrm{st}} = \widehat{\Omega} \cup \widehat{\Sigma}$$

being the union of two smooth hypersurfaces which intersect transversally.

STEP 3. Lastly, an extremal contraction of $\widehat{\mathcal{M}}$ contracts $\widehat{\Omega}$ as a $\mathbb{P}^2$-bundle to $\widetilde{\Omega}$, which is a 3-dimensional quadric bundle (more precisely, the relative Lagrangian Grassmannian fibration associated to the tangent bundle) over Ω. The space obtained is denoted by $\widetilde{\mathcal{M}}$, which is shown to be a symplectic resolution of $\mathcal{M}$.

Remark 4.1. By the main result of Lehn–Sorger [50], O'Grady's symplectic resolution can also be obtained by a single blow-up of $\mathcal{M}$ along its (reduced) singular locus Σ. The exceptional divisor $\widetilde{\Sigma}$ is nothing else but the image of $\widehat{\Sigma}$ under the contraction in the third step described above, which is singular along $\widetilde{\Omega}$, the preimage of Ω. If we blow up $\widetilde{\mathcal{M}}$ along $\widetilde{\Omega}$, we will obtain again $\widehat{\mathcal{M}}$, with the exceptional divisor being $\widehat{\Omega}$ and the strict transform of $\widetilde{\Sigma}$ being $\widehat{\Sigma}$. In short, the order of blow-ups can be "reversed"; see the following commutative diagram from [59, §2]:

$$\mathrm{Bl}_{\widetilde{\Omega}}\,\widetilde{\mathcal{M}} = \widehat{\mathcal{M}} = \mathrm{Bl}_{\overline{\Sigma}}\,\overline{\mathcal{M}}$$

$$\widetilde{\mathcal{M}} = \mathrm{Bl}_{\Sigma}\,\mathcal{M} \qquad\qquad \overline{\mathcal{M}} = \mathrm{Bl}_{\Omega}\,\mathcal{M}$$

$$\mathcal{M} \qquad\qquad .$$

4.2. **The motive of O'Grady's resolution.** We will compute the Chow motives of the boundary components of $\widehat{\mathcal{M}}$, then describe the Chow motives of the resolutions $\widehat{\mathcal{M}}$ and $\widetilde{\mathcal{M}}$. We start with the following observation.

Lemma 4.2. *Let X be a smooth projective variety. The Chow motive $\mathfrak{h}(\mathrm{Hilb}^2(X))$ belongs to $\langle\mathfrak{h}(X)\rangle_{\mathrm{CHM}}$, the pseudo-abelian tensor subcategory of* CHM *generated by $\mathfrak{h}(X)$.*

Proof. We assume $\dim X = n$. Let $\Delta_X \subseteq X \times X$ be the diagonal, then by [55, §9], we have

$$\mathfrak{h}\left(\mathrm{Bl}_{\Delta_X}(X \times X)\right) = \mathfrak{h}(X^2) \oplus \left(\oplus_{i=1}^{n-1} \mathfrak{h}(X)(-i)\right).$$

Since $\mathrm{Hilb}^2(X) = \mathrm{Bl}_{\Delta_X}(X \times X)/\mathbb{Z}_2$, its motive is the $\mathbb{Z}_2$-invariant part

$$\mathfrak{h}(\mathrm{Hilb}^2(X)) = \mathfrak{h}\left(\mathrm{Bl}_{\Delta_X}(X \times X)\right)^{\mathbb{Z}_2}$$

which is a direct summand of $\mathfrak{h}\left(\mathrm{Bl}_{\Delta_X}(X \times X)\right)$, hence is contained in the desired subcategory. $\square$

Lemma 4.3. *The Chow motives $\mathfrak{h}(\widehat{\Sigma})$, $\mathfrak{h}(\widehat{\Omega})$ and $\mathfrak{h}(\widehat{\Sigma} \cap \widehat{\Omega})$ are all contained in the subcategory $\langle\mathfrak{h}(S)\rangle_{\mathrm{CHM}}$.*

Proof. By O'Grady's construction, $\widehat{\Sigma}$ is a $\mathbb{P}^1$-bundle over $\overline{\Sigma} \cong \mathrm{Hilb}^2(\mathcal{M}_{S,\sigma}(\mathbf{v}_0, \alpha))$. It follows from [55, §7] that

$$\mathfrak{h}(\widehat{\Sigma}) = \mathfrak{h}(\overline{\Sigma}) \oplus \mathfrak{h}(\overline{\Sigma})(-1).$$

By [18, Theorem 0.1], $\mathfrak{h}(\mathcal{M}_{X,\sigma}(\mathbf{v}_0))$ is in the tensor subcategory of Chow motives generated by $\mathfrak{h}(S)$. It follows by Lemma 4.2 that $\mathfrak{h}(\overline{\Sigma})$ is also in this subcategory, therefore so is $\mathfrak{h}(\widehat{\Sigma})$.

Again by O'Grady's construction, $\widehat{\Omega}$ is a $\mathbb{P}^2$-bundle over $\widetilde{\Omega}$. It follows that

$$\mathfrak{h}(\widehat{\Omega}) = \mathfrak{h}(\widetilde{\Omega}) \oplus \mathfrak{h}(\widetilde{\Omega})(-1) \oplus \mathfrak{h}(\widetilde{\Omega})(-2).$$

Moreover, since $\widetilde{\Omega}$ is a 3-dimensional quadric bundle over Ω, by [82, Remark 4.6], we have that

$$\mathfrak{h}(\widetilde{\Omega}) = \mathfrak{h}(\Omega) \oplus \mathfrak{h}(\Omega)(-1) \oplus \mathfrak{h}(\Omega)(-2) \oplus \mathfrak{h}(\Omega)(-3).$$

Since $\Omega \cong \mathcal{M}_{S,\sigma}(\mathbf{v}_0, \alpha)$, it follows by [18, Theorem 0.1] that $\mathfrak{h}(\Omega)$ is in the thick tensor subcategory of Chow motives generated by $\mathfrak{h}(S)$, hence the same is true for $\mathfrak{h}(\widetilde{\Omega})$ and $\mathfrak{h}(\widehat{\Omega})$.

Similarly, the intersection $\widehat{\Sigma} \cap \widehat{\Omega}$ is a smooth conic bundle over $\widetilde{\Omega}$, again by [82, Remark 4.6], its motive is in the tensor subcategory generated by that of $\widetilde{\Omega}$. One concludes as for $\widehat{\Omega}$. $\square$

Here comes the key step of the proof.

Proposition 4.4. *The Chow motive $\mathfrak{h}(\widehat{\mathcal{M}})$ belongs to $\langle\mathfrak{h}(S)\rangle_{\mathrm{CHM}}$.*

We give two proofs with the same starting point, namely Proposition 3.2. The difference is that the first one is elementary by staying in the category of Chow motives and is geometric so that in principle it gives rise to an explicit expression of the Chow motive $\mathfrak{h}(\widehat{\mathcal{M}})$ in terms of $\mathfrak{h}(S)$; however the second one is quicker by using mixed motives and Proposition 2.2, but is hopeless to deduce any concrete relation between these two motives.

First proof of Proposition 4.4. By Proposition 3.2, we have

$$[\Delta_{\mathcal{M}^{\mathrm{st}}}] = \sum \delta_i \circ \gamma_i \in \mathrm{CH}^{10}(\mathcal{M}^{\mathrm{st}} \times \mathcal{M}^{\mathrm{st}}),$$

where $\gamma_i \in \mathrm{CH}^{e_i}(\mathcal{M}^{\mathrm{st}} \times S^{k_i})$ and $\delta_i \in \mathrm{CH}^{d_i}(S^{k_i} \times \mathcal{M}^{\mathrm{st}})$. Let $\widehat{\gamma}_i \in \mathrm{CH}^{e_i}(\widehat{\mathcal{M}} \times S^{k_i})$ and $\widehat{\delta}_i \in \mathrm{CH}^{d_i}(S^{k_i} \times \widehat{\mathcal{M}})$ be any closure of cycles representing γ_i and δ_i respectively. Then the support of the class

$$\Delta_{\widehat{\mathcal{M}}} - \sum \widehat{\delta}_i \circ \widehat{\gamma}_i \in \mathrm{CH}^{10}(\widehat{\mathcal{M}} \times \widehat{\mathcal{M}})$$

lies in the boundary $(\widehat{\mathcal{M}} \times \partial\widehat{\mathcal{M}}) \cup (\partial\widehat{\mathcal{M}} \times \widehat{\mathcal{M}})$, hence we can write in $\mathrm{CH}^{10}(\widehat{\mathcal{M}} \times \widehat{\mathcal{M}})$

$$\Delta_{\widehat{\mathcal{M}}} = \sum \widehat{\delta}_i \circ \widehat{\gamma}_i + Y_{\widehat{\Sigma}} + Y_{\widehat{\Omega}} + Z_{\widehat{\Sigma}} + Z_{\widehat{\Omega}} \tag{7}$$

for some algebraic cycles $Y_{\widehat{\Sigma}} \in \mathrm{CH}^9(\widehat{\mathcal{M}} \times \widehat{\Sigma})$, $Y_{\widehat{\Omega}} \in \mathrm{CH}^9(\widehat{\mathcal{M}} \times \widehat{\Omega})$, $Z_{\widehat{\Sigma}} \in \mathrm{CH}^9(\widehat{\Sigma} \times \widehat{\mathcal{M}})$ and $Z_{\widehat{\Omega}} \in \mathrm{CH}^9(\widehat{\Omega} \times \widehat{\mathcal{M}})$.

For each i, the cycles $\widehat{\gamma}_i$ and $\widehat{\delta}_i$ can be viewed as morphisms of motives

$$\mathfrak{h}(\widehat{\mathcal{M}}) \xrightarrow{\widehat{\gamma}_i} \mathfrak{h}(S^{k_i})(n_i) \xrightarrow{\widehat{\delta}_i} \mathfrak{h}(\widehat{\mathcal{M}})$$

for $n_i = e_i - 2m = 2k_i - d_i$. On the other hand, we denote by $j_{\widehat{\Sigma}}$ and $j_{\widehat{\Omega}}$ the closed embedding of $\widehat{\Sigma}$ and $\widehat{\Omega}$ in $\widehat{\mathcal{M}}$ respectively. Then we have morphisms of motives

$$\mathfrak{h}(\widehat{\mathcal{M}}) \xrightarrow{Y_{\widehat{\Sigma}}} \mathfrak{h}(\widehat{\Sigma}) \xrightarrow{(j_{\widehat{\Sigma}})_*} \mathfrak{h}(\widehat{\mathcal{M}}),$$

$$\mathfrak{h}(\widehat{\mathcal{M}}) \xrightarrow{Y_{\widehat{\Omega}}} \mathfrak{h}(\widehat{\Omega}) \xrightarrow{(j_{\widehat{\Omega}})_*} \mathfrak{h}(\widehat{\mathcal{M}}),$$

$$\mathfrak{h}(\widehat{\mathcal{M}}) \xrightarrow{j_{\widehat{\Sigma}}^*} \mathfrak{h}(\widehat{\Sigma})(-1) \xrightarrow{Z_{\widehat{\Sigma}}} \mathfrak{h}(\widehat{\mathcal{M}}),$$

$$\mathfrak{h}(\widehat{\mathcal{M}}) \xrightarrow{j_{\widehat{\Omega}}^*} \mathfrak{h}(\widehat{\Omega})(-1) \xrightarrow{Z_{\widehat{\Omega}}} \mathfrak{h}(\widehat{\mathcal{M}}).$$

It follows by (7) that the sum of all the above compositions add up to the identity on $\mathfrak{h}(\widehat{\mathcal{M}})$. Hence $\mathfrak{h}(\widehat{\mathcal{M}})$ is a direct summand of

$$\left(\oplus_i \mathfrak{h}(S^{k_i})(n_i)\right) \oplus \mathfrak{h}(\widehat{\Sigma}) \oplus \mathfrak{h}(\widehat{\Omega}) \oplus \mathfrak{h}(\widehat{\Sigma})(-1) \oplus \mathfrak{h}(\widehat{\Omega})(-1).$$

Combining it with Lemma 4.3, we finish the proof. $\qquad\square$

Second proof of Proposition 4.4. By a repeated use of the localization distinguished triangle (3), we see that for a variety together with a locally closed stratification, if the motive of compact support of each stratum is in some trianglauted tensor subcategory of DM, the so is the motive with compact support of the ambiant space; conversely, if the motive with compact support of the ambiant scheme as well as those of all but one strata are in some triangulated tensor subcategory of DM, then so is the motive with compact support of the remaining stratum.

Now from the geometry recalled in §4.1, we see that $\widehat{\mathcal{M}}$ has a stratification with four strata $\mathcal{M}^{\mathrm{st}}$, $\widehat{\Omega}\backslash\widehat{\Sigma}$, $\widehat{\Sigma}\backslash\widehat{\Omega}$, $\widehat{\Omega} \cap \widehat{\Sigma}$. By Lemma 4.3, Proposition 3.2 and the previous paragraph, the motives with compact support of $\widehat{\mathcal{M}}$ as well as those of its strata and their closures are in $\langle M(S)\rangle_{\mathrm{DM}}$. Since $\widehat{\mathcal{M}}$ is smooth and projective, its motive lies in the subcategory of Chow motives, hence in $\langle\mathfrak{h}(S)\rangle_{\mathrm{CHM}}$ by Proposition 2.2. $\qquad\square$

Corollary 4.5. *The Chow motive $\mathfrak{h}(\widetilde{\mathcal{M}})$ is contained in $\langle\mathfrak{h}(S)\rangle_{\mathrm{CHM}}$.*

Proof. Since $\widehat{\mathcal{M}}$ is a blow-up of $\widetilde{\mathcal{M}}$ along a smooth center, it follows by [55, §9] that $\mathfrak{h}(\widetilde{\mathcal{M}})$ is a direct summand of $\mathfrak{h}(\widehat{\mathcal{M}})$. Then the conclusion follows from Proposition 4.4 together with the fact that $\langle\mathfrak{h}(S)\rangle_{\mathrm{CHM}}$ is closed under direct summands. $\qquad\square$

Corollary 4.6. *The mixed motives $M(\mathcal{M}^{\mathrm{st}})$, $M_c(\mathcal{M}^{\mathrm{st}})$ and $M(\mathcal{M}) \simeq M_c(\mathcal{M})$ all belong to $\langle M(S) \rangle_{\mathrm{DM}}$, the triangulated tensor subcategory of DM generated by $M(S)$.*

Proof. Recall first that $\widehat{\mathcal{M}}$ has a stratification with strata being $\mathcal{M}^{\mathrm{st}}$, $\widehat{\Omega} \cap \widehat{\Sigma}$, $\widehat{\Sigma} \backslash \widehat{\Omega}$ and $\widehat{\Omega} \backslash \widehat{\Sigma}$. By Lemma 4.3 and Proposition 4.4, together with a repeated use of the distinguished triangle for motives with compact support ([84, P.195]) yields that the motives with compact support of all strata as well as their closures are in $\langle M(S) \rangle_{\mathrm{DM}}$. This proves the claim for $M_c(\mathcal{M}^{\mathrm{st}})$ and $M_c(\mathcal{M})$. The remaining $M(\mathcal{M}^{\mathrm{st}})$ follows from the motivic Poincaré duality (1). $\qquad\square$

Corollary 4.7. *There are infinitely many projective hyper-Kähler varieties of O'Grady-10 deformation type whose Chow motive is abelian.*

Proof. By Corollary 4.5, it suffices to see that there are infinitely many projective K3 surfaces with abelian Chow motives. To this end, we can take for example the Kummer K3 surfaces or K3 surfaces with Picard number at least 19 by [69]. $\qquad\square$

Remark 4.8 (Motives of Kummer moduli spaces). When S is an abelian surface, the previously considered moduli spaces $\mathcal{M}^{\mathrm{st}}$, $\mathcal{M}$, $\widehat{\mathcal{M}}$ and $\widetilde{\mathcal{M}}$ are all isotrivially fibered over $S \times \widehat{S}$, via the map $E \mapsto (c_1(E), \mathrm{alb}(c_2(E)))$. Let us denote the corresponding fibers by $\mathcal{K}^{\mathrm{st}}$, $\mathcal{K}$, $\widehat{\mathcal{K}}$, $\widetilde{\mathcal{K}}$, called Kummer moduli spaces of sheaves. Except for some special cases like generalized Kummer varieties (see [33]), the analogy of Proposition 4.4, Corollary 4.5 and Corollary 4.6 are unknown for those fibers in general. The missing ingredient is the analogy of Markman's Theorem 3.1, see Remark 3.5.

5. MODULI SPACES OF OBJECTS IN 2-CALABI–YAU CATEGORIES

As is alluded to in the introduction, many projective hyper-Kähler varieties are constructed as moduli spaces of objects in some 2-Calabi–Yau categories, and it is natural to wonder how the motive of the moduli space is related to the "motive" of this category, whatever it means[7].

The most prominent case of 2-Calabi–Yau category is the K3 category constructed as the Kuznetsov component of the derived category of a smooth cubic fourfold. However, given the rapid development of the study of stability conditions for many other 2-Calabi–Yau categories, we decide to treat here in a broader generality. The prudent reader can stick to the cubic fourfold case without missing the point.

Let Y be a smooth projective variety and $\mathcal{A}$ be an admissible triangulated subcategory of $D^b(Y)$, the bounded derived category of coherent sheaves on Y. Assume that $\mathcal{A}$ is *2-Calabi–Yau*, that is, the Serre functor of $\mathcal{A}$ is the double shift [2].

Example 5.1. Here are some interesting examples we have in mind:

- (*i*) Y is a K3 surface or abelian surface, and $\mathcal{A} = D^b(Y)$.
- (*ii*) Y is a smooth cubic fourfold and $\mathcal{A}$ is the Kuznetsov component defined as the semi-orthogonal complement of the exceptional collection $\langle \mathcal{O}_Y, \mathcal{O}_Y(1), \mathcal{O}_Y(2) \rangle$, see [46].
- (*iii*) Y is a Gushel–Mukai variety [35] [63] [24] of even dimension $n = 4$ or 6, and $\mathcal{A}$ is the Kuznetsov component defined as the semi-orthogonal complement of the exceptional collection

$$\langle \mathcal{O}_Y, U^\vee, \mathcal{O}_Y(1), U^\vee(1), \cdots, \mathcal{O}_Y(n-3), U^\vee(n-3) \rangle,$$

  where U is the rank-2 vector bundle associated to the Gushel map $Y \to \mathrm{Gr}(2,5)$ and $\mathcal{O}_Y(1)$ is the pull-back of the Plücker polarization, see [47].

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[7]The motive of a differential graded category can certainly be made precise: it is the theory of non-commutative motives, see Tabuada [78] for a recent account. However, we will take a more naive approach here, which gives more precise information by keeping the Tate twists.

(*iv*) Y is a smooth hyperplane section of $\mathrm{Gr}(3,10)$, called the Debarre–Voisin (Fano) variety [25], and $\mathcal{A}$ is the semi-orthogonal complement of the exceptional collection

$$\langle \mathcal{B}_Y, \mathcal{B}_Y(1), \cdots, \mathcal{B}_Y(8) \rangle,$$

where $\mathcal{B}_Y$ is the restriction of the exceptional collection $\mathcal{B}$ of length 12 in the rectangular Lefschetz decomposition of $\mathrm{Gr}(3,10)$ constructed by Fonarev [32], see [54, §3.3].

Assume that the manifold of stability conditions on $\mathcal{A}$ is non-empty, which is expected for all the cases in Example 5.1 and is established and studied for K3 and abelian surfaces in [17] (see also [87]), for the Kuznetsov component of cubic fourfolds by [9], and for the Kuznetsov component of Gushel–Mukai fourfolds by [71]. We denote the distinguished connected component of the stability manifold by $\mathrm{Stab}^\dagger(\mathcal{A})$.

As in [1], we can define a lattice structure on the topological K-theory of $\mathcal{A}$, denoted by $\widetilde{H}(\mathcal{A})$, see [54, §3.4]. Now for any $\mathbf{v} \in \widetilde{H}(\mathcal{A})$, and $\sigma \in \mathrm{Stab}^\dagger(\mathcal{A})$, one can form $\mathcal{M}^{\mathrm{st}} := \mathcal{M}_{\mathcal{A},\sigma}(\mathbf{v})^{\mathrm{st}}$ (*resp.* $\mathcal{M} := \mathcal{M}_{\mathcal{A},\sigma}(\mathbf{v})$) the moduli space of σ-stable (*resp.* σ-semistable) objects in $\mathcal{A}$ with Mukai vector $\mathbf{v}$, which is a smooth quasi-projective holomorphic symplectic variety (*resp.* proper and possibly singular symplectic variety).

One can now extend Theorem 3.1 and Proposition 3.2 to the non-commutative setting as follows.

Proposition 5.2. *The notation and assumption are as above.*

(*i*) *Let $\mathcal{E}$ and $\mathcal{F} \in D^b(\mathcal{M}^{\mathrm{st}} \times Y)$ be two universal families. Then*

$$\Delta_{\mathcal{M}^{\mathrm{st}}} = c_{2m}(-\mathcal{E}xt^!_{\pi_{13}}(\pi^*_{12}(\mathcal{E}), \pi^*_{23}(\mathcal{F}))) \in \mathrm{CH}^{2m}(\mathcal{M}^{\mathrm{st}} \times \mathcal{M}^{\mathrm{st}}),$$

where $2m$ is the dimension of $\mathcal{M}^{\mathrm{st}}$, $\mathcal{E}xt^!_{\pi_{13}}(\pi^*_{12}(\mathcal{E}), \pi^*_{23}(\mathcal{F}))$ denotes the class of the complex $R\pi_{13,*}(\pi^*_{12}(\mathcal{E})^\vee \otimes^{\mathbb{L}} \pi^*_{23}(\mathcal{F}))$ in the Grothendieck group of $\mathcal{M}^{\mathrm{st}} \times \mathcal{M}^{\mathrm{st}}$, where π_{ij}'s are the natural projections from $\mathcal{M}^{\mathrm{st}} \times Y \times \mathcal{M}^{\mathrm{st}}$.

(*ii*) *There exist finitely many integers k_i and cycles $\gamma_i \in \mathrm{CH}(\mathcal{M}^{\mathrm{st}} \times Y^{k_i})$, $\delta_i \in \mathrm{CH}(Y^{k_i} \times \mathcal{M}^{\mathrm{st}})$, such that*

$$\Delta_{\mathcal{M}^{\mathrm{st}}} = \sum_i \delta_i \circ \gamma_i \in \mathrm{CH}^{2m}(\mathcal{M}^{\mathrm{st}} \times \mathcal{M}^{\mathrm{st}}).$$

Proof. The proof of (*i*) is the similar to the proof of Markman's theorem [58] or rather its extension in [56]. Their proof only uses standard properties for stable objects and the Serre duality for K3 surfaces, which both hold for $\mathcal{A}$.

The proof of (*ii*) is exactly the same as in Proposition 3.2 (Bülles' argument), by replacing S by Y everywhere. $\qquad\square$

We consider first the situation where the stability agrees the semi-stability. Then $\mathbf{v}$ must be primitive and σ is $\mathbf{v}$-generic. In this case, $\mathcal{M}$ is a smooth and projective hyper-Kähler variety, if it is not empty. Once we have the decomposition of the diagonal in Proposition 5.2 (*ii*), the same proof as in [18] yields the following generalization of Theorem 1.1.

Theorem 5.3. *Let Y be a smooth projective variety and let $\mathcal{A}$ be an admissible triangulated subcategory of $D^b(Y)$ such that $\mathcal{A}$ is 2-Calabi–Yau. Let $\mathbf{v}$ be a primitive element in the topological K-theory of $\mathcal{A}$ and let $\sigma \in \mathrm{Stab}^\dagger(\mathcal{A})$ be a $\mathbf{v}$-generic stability condition. If $\mathcal{M} := \mathcal{M}_{\mathcal{A},\sigma}(\mathbf{v})$ is non-empty, then its Chow motive is in the pseudo-abelian tensor subcategory generated by the Chow motive of Y.*

As a non-commutative analogue of Conjecture 1.2, we formulate the following conjecture.

Conjecture 5.4. *In the same situation as in Theorem 5.3, except that $\mathbf{v}$ is not necessarily primitive and σ is not necessarily generic. If $\mathcal{M}^{\mathrm{st}} := \mathcal{M}_{\mathcal{A},\sigma}(\mathbf{v})^{\mathrm{st}}$ and $\mathcal{M} := \mathcal{M}_{\mathcal{A},\sigma}(\mathbf{v})$ are non-empty, then their motives and motives with compact support are in the tensor triangulated subcategory generated by the motive of Y. If moreover $\mathcal{M}$ admits a crepant resolution $\widetilde{\mathcal{M}}$, then*

the Chow motive of $\widetilde{\mathcal{M}}$ is in the pseudo-abelian tensor subcategory generated by the Chow motive of Y.

For an evidence to Conjecture 5.4, we restrict to the case where Y is a very general cubic fourfold and $\mathcal{A}$ is its Kuznetsov component. Let λ_1 and λ_2 be the cohomological Mukai vectors of the projections into $\mathcal{A}$ of $\mathcal{O}_Y(1)$ and $\mathcal{O}_Y(2)$ respectively. Then the topological K-theory of $\mathcal{A}$ is an A_2-lattice with basis $\{\lambda_1, \lambda_2\}$, equipped with a K3-type Hodge structure [1]. Then for a generic stability condition σ (see [9]), there is an O'Grady-type crepant resolution of the singular moduli space $\mathcal{M}_{\mathcal{A},\sigma}(2\lambda_1 + 2\lambda_2)$, which is of O'Grady-10 deformation type, see [52]. Our result is that Conjecture 5.4 holds true in this case. See Theorem 1.7 in the introduction for the precise statement.

Proof of Theorem 1.7. The argument is more or less the same as in §4: the singular locus of the moduli space of semistable objects $\mathcal{M}(2\mathbf{v}_0)$ is $\mathrm{Sym}^2(\mathcal{M}(\mathbf{v}_0))$, whose singular locus is the diagonal $\mathcal{M}(\mathbf{v}_0)$. By the same procedure of blow-ups as in §4.1, we get a smooth projective variety $\widetilde{\mathcal{M}}$ together with a stratification such that the motive with compact support of all strata belong to the tensor triangulated subcategory generated by the motive of $\mathcal{M}(\mathbf{v}_0)$, hence also to the subcategory generated by $\mathfrak{h}(Y)$, by Theorem 5.3. The rest of the proof is the same as §4. $\square$

Proof of Corollary 1.8. In the situation of Theorem 1.3 (*resp.* Theorem 1.7), $\widetilde{\mathcal{M}}$ is *motivated* by the surface S (*resp.* the cubic fourfold Y) in the sense of Arapura [6, Lemma 1.1]: indeed, by applying the full functor $\mathrm{CHM} \to \mathrm{GRM}$ from the category of Chow motives to that of Grothendieck motives, our main result implies that the Grothendieck motive of $\widetilde{\mathcal{M}}$ is in the pseudo-abelian tensor subcategory generated by the Grothendieck motive of S (*resp.* Y). Since the Lefschetz standard conjecture is known for S and Y, we can invoke Arapura's result [6, Lemma 4.2] to obtain the standard conjectures for $\widetilde{\mathcal{M}}$. $\square$

6. Defect groups of hyper-Kähler varieties

In this section we study the André motives of projective hyper-Kähler varieties with $b_2 \neq 3$. For any such X, we construct the defect group $P(X)$, and prove Theorem 1.10 and Corollary 1.11. In the next section we will apply these results to the known examples of hyper-Kähler varieties.

The starting point and a main tool of our study is the following general theorem due to André.

Theorem 6.1 ([3]). *Let X be a projective hyper-Kähler variety such that $b_2(X) \neq 3$. Then the André motive $\mathcal{H}^2(X)$ is abelian. In particular, Conjecture 2.3 holds for $\mathcal{H}^2(X)$.*

We review the Lie algebra action constructed by Looijenga–Lunts [53] and Verbitsky [81] on cohomology groups of varieties, as well as its remarkable properties when applied to compact hyper-Kähler manifolds. This action is crucial for the proof of Theorem 1.10. To ease the notation, the coefficient $\mathbf{Q}$ in all cohomology groups are suppressed.

6.1. **The Looijenga–Lunts–Verbitsky (LLV) Lie algebra.** Let X be a $2m$-dimensional compact hyper-Kähler variety. A cohomology class $x \in H^2(X)$ is said to satisfy the *Lefschetz property* if the maps given by cup-product $L_x^j \colon H^{2m-j}(X) \to H^{2m+j}(X)$ sending α to $x^j \cup \alpha$, are isomorphisms for all $j \geqslant 0$. The Lefschetz property for a class x in $H^2(X)$ is equivalent to the existence of a $\mathfrak{sl}_2$-triple (L_x, θ, Λ_x), where $\theta \in \mathrm{End}(H^*(X))$ is the degree-0 endomorphism which acts as multiplication by $k - 2m$ on $H^k(X)$ for all $k \in \mathbb{N}$. Moreover, in this case Λ_x is uniquely determined by L_x and θ. Note that the first Chern class of an ample divisor on X has the Lefschetz property by the hard Lefschetz theorem.

The LLV-Lie algebra of X, denoted by $\mathfrak{g}_{\mathrm{LLV}}(X)$, is defined as the Lie subalgebra of $\mathfrak{gl}(H^*(X))$ generated by the $\mathfrak{sl}_2$-triples (L_x, θ, Λ_x) as above for all cohomology classes $x \in H^2(X)$ satisfying the Lefschetz property. It is shown in [53, §(1.9)] that $\mathfrak{g}_{\mathrm{LLV}}(X)$ is a semisimple $\mathbf{Q}$-Lie algebra,

evenly graded by the adjoint action of θ. The construction does not depend on the complex structure; therefore, $\mathfrak{g}_{\mathrm{LLV}}(X)$ is deformation invariant.

Let us denote by H the space $H^2(X)$ equipped with the Beauville–Bogomolov quadratic form [12]. Let $\widetilde{H}$ denote the orthogonal direct sum of H and a hyperbolic plane $U = \langle v, w \rangle$ equipped with the form $v^2 = w^2 = 0$ and $vw = 1$. We summarize the main properties of the Lie algebra $\mathfrak{g}_{\mathrm{LLV}}(X)$.

Theorem 6.2 (Looijenga–Lunts–Verbitsky). *(i) There is an isomorphism of $\mathbf{Q}$-Lie algebras*

$$\mathfrak{g}_{\mathrm{LLV}}(X) \cong \mathfrak{so}(\widetilde{H}),$$

which maps $\theta \in \mathfrak{g}_{\mathrm{LLV}}(X)$ to the element of $\mathfrak{so}(\widetilde{H})$ which acts as multiplication by -2 on v, by 2 on w, and by 0 on H. Hence, we have

$$\mathfrak{g}_{\mathrm{LLV}}(X) = \mathfrak{g}_{-2}(X) \oplus \mathfrak{g}_0(X) \oplus \mathfrak{g}_2(X).$$

Moreover, $\mathfrak{g}_0(X) \cong \mathfrak{so}(H) \oplus \mathbf{Q} \cdot \theta$, is the centralizer of θ in $\mathfrak{g}_{\mathrm{LLV}}(X)$. The abelian subalgebra $\mathfrak{g}_2(X)$ is the linear span of the endomorphisms L_x, for $x \in H^2(X)$, and $\mathfrak{g}_{-2}(X)$ is the span of the Λ_x, for all $x \in H^2(X)$ with the Lefschetz property.

   (ii) The Lie subalgebra $\mathfrak{g}_0(X)$ acts by derivations on the graded algebra $H^*(X)$. The induced action of $\mathfrak{so}(H) \subset \mathfrak{g}_0(X)$ on $H^2(X)$ is the standard representation.

 (iii) Let $\rho \colon \mathfrak{so}(H) \to \mathfrak{gl}(H^*(X))$ be the induced representation of $\mathfrak{so}(H) \subset \mathfrak{g}_0(X)$. Then the Weil operator[8] W is an element of $\rho\big(\mathfrak{so}(H)\big) \otimes \mathbf{R}$.

The above theorem is proved in [81], and in [53, Proposition 4.5], see also the appendix of [45]. These proofs are carried out with real coefficients, but immediately imply the result with rational coefficients: since $\mathfrak{g}_{\mathrm{LLV}}(X)$ is defined over $\mathbf{Q}$, the equality $\mathfrak{g}_{\mathrm{LLV}}(X) \otimes \mathbf{R} = \mathfrak{so}(\widetilde{H}) \otimes \mathbf{R}$ of Lie subalgebras of $\mathfrak{gl}(\widetilde{H}) \otimes \mathbf{R}$ implies that the same equality already holds with rational coefficients.

Remark 6.3 (Integration). Let $\rho^+ \colon \mathfrak{so}(H) \to \mathfrak{gl}(H^+(X))$ be the induced representation on the even cohomology. It follows from [80, Corollary 8.2] that ρ^+ integrates to a faithful representation

$$\widetilde{\rho}^+ \colon \mathrm{SO}(H) \to \prod_i \mathrm{GL}\big(H^{2i}(X)\big),$$

such that the induced representation on $H^2(X)$ is the standard representation. If the odd cohomology of X is non-trivial, ρ integrates to a faithful representation

$$\widetilde{\rho} \colon \mathrm{Spin}(H) \to \prod_i \mathrm{GL}\big(H^i(X)\big),$$

and the kernel of the action of $\mathrm{Spin}(H)$ on the even cohomology is an order-2 subgroup $\langle \iota \rangle$, where ι is the non-trivial element in the kernel of the double cover $\mathrm{Spin}(H) \to \mathrm{SO}(H)$ and $\widetilde{\rho}(\iota)$ acts on $H^i(X)$ via multiplication by $(-1)^i$. Note also that the action induced by $\widetilde{\rho}$ and $\widetilde{\rho}^+$ is via algebra automorphisms, thanks to Theorem 6.2(ii).

6.2. **Splitting of the motivic Galois group.** Let $H(X)$ (*resp.* $H^+(X)$) be the full (*resp.* even) rational cohomology group of X equipped with Hodge structure. The natural inclusions of $H^2(X)$ into $H^+(X)$ and $H^*(X)$ induce surjective morphisms of Mumford–Tate groups

$$\pi_2^+ \colon \mathrm{MT}(H^+(X)) \to \mathrm{MT}(H^2(X));$$

$$\pi_2 \colon \mathrm{MT}(H^*(X)) \to \mathrm{MT}(H^2(X)).$$

Let $\iota \in \mathrm{GL}(H^*(X))$ act on each $H^i(X)$ via the multiplication by $(-1)^i$ for all i.

Proposition 6.4. *The notation is as above.*

   (i) The morphism π_2^+ is an isomorphism. In particular, the Hodge structure $H^+(X)$ belongs to the tensor subcategory of $\mathrm{HS}_{\mathbf{Q}}^{\mathrm{pol}}$ generated by $H^2(X)$.

---

[8]Here the Weil operator refers to the derivation of the usual Weil operator, which acts on $H^{p,q}(X)$ as multiplication by i^{p-q}. Hence, W acts on each $H^{p,q}(X)$ as multiplication by $i(p-q)$.

(ii) *If X has non-vanishing odd cohomology, the morphism π_2 is an isogeny with kernel $\langle \iota \rangle \simeq$*
     $\mathbf{Z}/2\mathbf{Z}$*. Moreover, if A is any Kuga–Satake variety for $H^2(X)$ in the sense of Definition*
     A.2, we have $\langle H^*(X) \rangle_{\mathrm{HS}} = \langle H^1(A) \rangle_{\mathrm{HS}}$.

The natural choice for A is the abelian variety obtained through the Kuga–Satake construction on $H^2(X)$ equipped with the Beauville-Bogomolov form, see §A.1; let us remark that also the construction of [45] yields a Kuga–Satake variety for $H^2(X)$ in our sense.

The proof of the proposition will be given after some preliminary results. Recall ([27]) that the algebraic group $\mathrm{CSpin}(H)$ is the quotient of $\mathbb{G}_m \times \mathrm{Spin}(H)$ in which we identify the element $-1 \in \mathbb{G}_m$ with the non-trivial central element ι of $\mathrm{Spin}(H)$. We introduce a representation

$$\sigma \colon \mathrm{CSpin}(H) \to \prod_i \mathrm{GL}(H^i(X))$$

by $\sigma = w \cdot \widetilde{\rho}$, where $\widetilde{\rho} \colon \mathrm{Spin}(H) \to \prod_i \mathrm{GL}(H^i(X))$ is the representation from Remark 6.3 and $w \colon \mathbb{G}_m \to \mathrm{GL}(H^i(X))$ is the weight cocharacter, *i.e.* $w(\lambda)$ acts on $H^i(X)$ as multiplication by λ^i, for all i and all λ. This is a priori a representation of $\mathbb{G}_m \times \mathrm{Spin}(H)$, but it indeed factors through $\mathrm{CSpin}(H)$ since by Remark 6.3 we have $w(-1) = \widetilde{\rho}(\iota)$. We also set

$$\sigma^+ \colon \mathrm{CSpin}(H) \to \prod_i \mathrm{GL}(H^{2i}(X)) \text{ and}$$

$$\sigma^2 \colon \mathrm{CSpin}(H) \to \mathrm{GL}(H^2(X))$$

to be the induced representations on the even cohomology and on $H^2(X)$ respectively.

Lemma 6.5.   (i) *The homomorphism $\sigma^+ \colon \mathrm{CSpin}(H) \to \prod_i \mathrm{GL}(H^{2i}(X))$ is an isogeny of degree-2 onto its image. The natural projection $\mathrm{pr}_2^+ \colon \prod_i \mathrm{GL}(H^{2i}(X)) \to \mathrm{GL}(H^2(X))$ maps the image of σ^+ isomorphically onto the image of σ^2.*

(ii) *If X has non-vanishing odd cohomology, the representation $\sigma \colon \mathrm{CSpin}(H) \to \prod_i \mathrm{GL}(H^i(X))$ is faithful, and the projection $\mathrm{pr}_2 \colon \prod_i \mathrm{GL}(H^i(X)) \to \mathrm{GL}(H^2(X))$ induces a degree-2 isogeny between the image of σ and the image of σ^2.*

Proof. By Remark 6.3 and the explicit description of w, the kernels of σ^+ and σ^2 both coincide with the central subgroup of order 2 generated by $(-1,1) = (1,\iota)$. This proves part (i). If X has non-vanishing odd cohomology, σ is faithful by Remark 6.3, and the second assertion follows. □

Remark 6.6. Note that the twisted representation $\sigma' = w' \cdot \widetilde{\rho}$ where $w'(\lambda)$ acts on $H^i(X)$ via multiplication by λ^{i-2m} is the representation obtained via integration of $\mathfrak{g}_0 \to \prod_i \mathfrak{gl}(H^i(X))$.

The point of introducing the above representation is that it controls the Mumford–Tate group.

Lemma 6.7. *The Mumford–Tate group $\mathrm{MT}(H^*(X))$ is contained in the image of σ.*

Proof. Let $G = \mathrm{Im}(\sigma)$. Since both $\mathrm{MT}(H^*(X))$ and G are reductive, by [29, Proposition 3.1] it suffices to check that for any tensor construction

$$T = \bigoplus_i H^*(X)^{\otimes m_i} \otimes \left(H^*(X)^\vee\right)^{\otimes n_i},$$

any element α of T that is invariant for G is also fixed by $\mathrm{MT}(H^*(X))$. Let $\alpha \in T$ be such an invariant for G. Then the image of α in $T \otimes \mathbf{C}$ is annihilated by all elements of $\rho(\mathfrak{so}(H)) \otimes \mathbf{C}$. By Theorem 6.2(iii), α is annihilated by the Weil operator W. Therefore α is of type (p,p) for some integer p. However, since $w(\mathbb{G}_m)$ also acts trivially on α, we must have $p = 0$; hence α is a Hodge class of type $(0,0)$ and is thus fixed by the Mumford–Tate group. □

Proof of Proposition 6.4. (i) Lemma 6.7 implies that $\mathrm{MT}(H^+(X)) \subset \mathrm{Im}(\sigma^+)$. The morphism π_2^+ is the restriction of the natural projection $\mathrm{pr}_2^+ \colon \prod_i \mathrm{GL}(H^{2i}(X)) \to \mathrm{GL}(H^2(X))$. Lemma 6.5

implies in particular that the restriction of pr_2^+ to $\mathrm{Im}(\sigma^+)$ is injective; hence the restriction to the small group $\mathrm{MT}(H^+(X))$ is also injective, *i.e.* π_2^+ is injective and hence it is an isomorphism.

(*ii*) Assume now that the odd cohomology of X is non-trivial. Since $\mathrm{MT}(H^*(X)) \subset \mathrm{Im}(\sigma)$ by Lemma 6.7, we deduce as above that the kernel of the morphism $\pi_2 \colon \mathrm{MT}(H^*(X)) \to \mathrm{MT}(H^2(X))$ is contained in the kernel of $\mathrm{pr}_2 \colon \mathrm{Im}(\sigma) \to \mathrm{Im}(\sigma^2)$. By Lemma 6.5, this is an order 2 central subgroup of $\mathrm{Im}(\sigma)$, generated by $w(-1) = \iota$. Clearly $w(-1)$ is contained in $\mathrm{MT}(X)$, and it follows that π_2 is an isogeny of degree 2 whose kernel is generated by ι.

Finally, let A be any Kuga–Satake abelian variety for $H^2(X)$, in the sense of Definition A.2. Then $\langle H^1(A)\rangle_{\mathrm{HS}}$ is the unique tannakian subcategory such that $\langle H^2(X)\rangle_{\mathrm{HS}} = \langle H^1(A)\rangle_{\mathrm{HS}}^{\mathrm{ev}} \subsetneq \langle H^1(A)\rangle_{\mathrm{HS}}$, by Theorem A.4. Therefore it is enough to show that $\langle H^*(X)\rangle_{\mathrm{HS}}$ also satisfies this property. Consider the commutative diagram

$$\mathrm{MT}(H^*(X)) \xrightarrow{\quad\pi_2\quad} \mathrm{MT}(H^2(X))$$
$$\pi^{\mathrm{ev}} \searrow \qquad \qquad \nearrow \pi_2^{\mathrm{ev}}$$
$$\mathrm{MT}(\langle H^*(X)\rangle_{\mathrm{HS}}^{\mathrm{ev}}).$$

We have just proven that π_2 is an isogeny of degree 2, and we know that the morphism π^{ev} is also an isogeny of degree 2, see §A.2; we conclude that π_2^{ev} is an isomorphism and hence $\langle H^*(X)\rangle_{\mathrm{HS}}^{\mathrm{ev}} = \langle H^2(X)\rangle_{\mathrm{HS}}$. $\qquad\square$

The following observation will be used in the proof of Theorem 1.10.

Lemma 6.8. *Let G be a group acting on $H^*(X)$ via graded algebra automorphisms. If G acts trivially on $H^2(X)$, then the G-action commutes with the action of the LLV Lie algebra (§6.1).*

Proof. Let $g \in G$. By assumption, g commutes with θ and L_x, for any $x \in H^2(X)$. Moreover, if x has the Lefschetz property, then g commutes with Λ_x as well: indeed, $L_x = gL_xg^{-1}$, $\theta = g\theta g^{-1}$ and $g\Lambda_x g^{-1}$ form an $\mathfrak{sl}_2$-triple, and since Λ_x is uniquely determined by the elements L_x and θ, we must have $g\Lambda_x g^{-1} = \Lambda_x$. One can conclude since the various operators L_x and Λ_x, for $x \in H^2(X)$, generate the Lie algebra $\mathfrak{g}_{\mathrm{LLV}}(X)$. $\qquad\square$

We now turn to the proof of the main result of this section.

Proof of Theorem 1.10. We first treat the even motive. We have a commutative diagram

$$\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X)) \xrightarrow{\quad\pi_{2,\mathrm{mot}}^+\quad} \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^2(X))$$
$$\Big\uparrow{\scriptstyle i_+} \qquad\qquad\qquad \Big\uparrow{\scriptstyle i_2}$$
$$\mathrm{MT}(H^+(X)) \xrightarrow{\quad\pi_2^+\quad} \mathrm{MT}(H^2(X))$$

Here, i_+ and i_2 denote the natural inclusions; π_2^+ and i_2 are isomorphisms due to Proposition 6.4 and Theorem 6.1 respectively. It follows that we have a section $s = i_+ \circ (i_2 \circ \pi_2^+)^{-1}$ of $\pi_{2,\mathrm{mot}}^+$, whose image is $\mathrm{MT}(H^+(X))$.

Recall that $P^+(X)$ is defined as the kernel of the map $\pi_{2,\mathrm{mot}}^+$. We deduce that $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X))$ is the semidirect product of its subgroups $P^+(X)$ and $\mathrm{MT}(H^+(X))$, which intersect trivially. In order to show that $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X)) = \mathrm{MT}(H^+(X)) \times P^+(X)$, it thus suffices to show that $P^+(X)$ and $\mathrm{MT}(H^+(X))$ commute. By Lemma 6.7, it suffices to show that $P^+(X)$ commutes with the image of the representation σ^+. Since $P^+(X)$ preserves the grading on $H^+(X)$, its action clearly commutes with the weight cocharacter w. Note that every element of $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X))$ acts via algebra automorphisms, since the cup-product is given by an algebraic correspondence (namely, the small diagonal in $X \times X \times X$). Moreover, if $p \in P^+(X)$, then by definition p acts trivially on $H^2(X)$; hence, its action commutes with that of the LLV-Lie algebra thanks to Lemma 6.8. It follows that $P^+(X)$ commutes with the image of the representation $\widetilde{\rho}^+$, and therefore $P^+(X)$ commutes with σ^+ as desired.

Assume now that the odd cohomology of X does not vanish, and choose a Kuga-Satake variety A for $H^2(X)$, see Appendix A. By Lemma 6.9 below, the motive $\mathcal{H}^1(A)$ belongs to $\langle \mathcal{H}(X) \rangle_{\mathrm{AM}}$. We consider the commutative diagram

$$
\begin{array}{ccc}
\mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X)) & \xrightarrow{\pi_{A,\mathrm{mot}}} & \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^1(A)) \\
\uparrow{\scriptstyle i} & & \uparrow{\scriptstyle i_A} \\
\mathrm{MT}(H^*(X)) & \xrightarrow{\pi_A} & \mathrm{MT}(H^1(A))
\end{array}
$$

The morphisms π_A and i_A are isomorphisms by Proposition 6.4(ii) and Theorem 2.4 respectively. Note that by Theorem A.4, the kernel $P(X)$ of $\pi_{A,\mathrm{mot}}$ does not depend on the choice of the Kuga–Satake abelian variety A; this group is by definition the defect group of X. As above, we deduce the existence of a section of $\pi_{A,\mathrm{mot}}$ with image $\mathrm{MT}(H^*(X))$, and to conclude we need to show that $P(X)$ and $\mathrm{MT}(H^*(X))$ commute. To this end, we consider the commutative diagram with exact rows

$$
\begin{array}{ccccccccc}
1 & \longrightarrow & P(X) & \longrightarrow & \mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X)) & \xrightarrow{\pi_{A,\mathrm{mot}}} & \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^1(A)) & \longrightarrow & 1 \\
& & \downarrow & & \downarrow{\scriptstyle =} & & \downarrow & & \\
1 & \longrightarrow & Q(X) & \longrightarrow & \mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X)) & \xrightarrow{\pi_{2,\mathrm{mot}}} & \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^2(X)) & \longrightarrow & 1
\end{array}
$$

The group $Q(X)$ commutes with the action of $\mathfrak{g}_{\mathrm{LLV}}$, by Lemma 6.8, and it therefore commutes with the Mumford–Tate group, thanks to Lemma 6.7. Since $P(X)$ is a subgroup of $Q(X)$, it also commutes with $\mathrm{MT}(H^*(X))$, and we have $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X)) = P(X) \times \mathrm{MT}(H^*(X))$. Also note that we have $Q(X) \cap \mathrm{MT}(H^*(X)) = \langle \iota \rangle$, and that $Q(X) = P(X) \times \langle \iota \rangle$. $\qquad \square$

In the previous proof, we have used the following result. See Appendix A for the notation.

Lemma 6.9. *Assume that the odd cohomology of X does not vanish and $b_2(X) \neq 3$. Let A be any Kuga–Satake variety (Definition A.2) for the Hodge structure $H^2(X)$. Then $\mathcal{H}^1(A) \in \langle \mathcal{H}(X) \rangle_{\mathrm{AM}}$.*

Proof. Note that since $\mathcal{H}^2(X)$ is an abelian motive by André's Theorem 6.1, any Kuga-Satake variety A for $H^2(X)$ satisfies $\langle \mathcal{H}^1(A) \rangle^{\mathrm{ev}} = \langle \mathcal{H}^2(X) \rangle$, see Corollary A.6. Choose any such A, and consider the André motive $\mathcal{H}(X) \oplus \mathcal{H}^1(A)$. The inclusions of the summands $\mathcal{H}(X)$ and $\mathcal{H}^1(A)$ determine surjective homomorphisms $q \colon \mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X) \oplus \mathcal{H}^1(A)) \to \mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X))$, and $q_A \colon \mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X) \oplus \mathcal{H}^1(A)) \to \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^1(A))$. The desired conclusion is equivalent to the inclusion $\ker(q) \subset \ker(q_A)$. In fact, this precisely means that the tannakian category generated by $\mathcal{H}^1(A)$ is contained in $\langle \mathcal{H}(X) \rangle$, which then implies that q is an isomorphism. We consider the analogous morphisms for the even parts

$$q^{\mathrm{ev}} \colon \mathrm{G}_{\mathrm{mot}}\left(\langle \mathcal{H}(X) \oplus \mathcal{H}^1(A) \rangle^{\mathrm{ev}} \right) \to \mathrm{G}_{\mathrm{mot}}\left(\langle \mathcal{H}(X) \rangle^{\mathrm{ev}} \right),$$

$$q_A^{\mathrm{ev}} \colon \mathrm{G}_{\mathrm{mot}}\left(\langle \mathcal{H}(X) \oplus \mathcal{H}^1(A) \rangle^{\mathrm{ev}} \right) \to \mathrm{G}_{\mathrm{mot}}\left(\langle \mathcal{H}^1(A) \rangle^{\mathrm{ev}} \right).$$

Note that the analogous of Remark A.5 holds for André motives, and therefore $\ker(q)$ and $\ker(q_A)$ equal the connected component of the identity of the preimage in $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X) \oplus \mathcal{H}^1(A))$ of $\ker(q^{\mathrm{ev}})$ and $\ker(q_A^{\mathrm{ev}})$ respectively. Hence, it suffices to show that $\ker(q^{\mathrm{ev}}) \subset \ker(q_A^{\mathrm{ev}})$. To this end, consider the commutative diagram with short exact rows

$$
\begin{array}{ccccccccc}
1 & \longrightarrow & \ker(q_A^{\mathrm{ev}}) & \longrightarrow & \mathrm{G}_{\mathrm{mot}}(\langle \mathcal{H}(X) \oplus \mathcal{H}^1(A) \rangle^{\mathrm{ev}}) & \xrightarrow{q_A^{\mathrm{ev}}} & \mathrm{G}_{\mathrm{mot}}(\langle \mathcal{H}^1(A) \rangle^{\mathrm{ev}}) & \longrightarrow & 1 \\
& & \downarrow{\scriptstyle j} & & \downarrow{\scriptstyle q^{\mathrm{ev}}} & & \downarrow{\scriptstyle \cong} & & \\
1 & \longrightarrow & K & \longrightarrow & \mathrm{G}_{\mathrm{mot}}(\langle \mathcal{H}(X) \rangle^{\mathrm{ev}}) & \xrightarrow{\pi_{2,\mathrm{mot}}^{\mathrm{ev}}} & \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^2(X)) & \longrightarrow & 1
\end{array}
$$

The rightmost vertical map is an isomorphism by assumption. The snake lemma now yields that $\ker(j) = \ker(q^{\mathrm{ev}})$, which shows that $\ker(q^{\mathrm{ev}}) \subset \ker(q_A^{\mathrm{ev}})$. $\qquad \square$

6.3. **What does the defect group measure?** With the structure result of the motivic Galois group being proved in Theorem 1.10, we can deduce that the defect group indeed grasps the essential difficulty of meta-conjecture 1.9 for André motives. See Corollary 1.11 for the precise statement.

Proof of Corollary 1.11. We first treat the even motive. It follows immediately from Theorem 1.10 that (i^+) and (iv^+) are equivalent.
(i^+) implies (ii^+): By the definition of $P^+(X)$, if it is trivial, then the natural surjection $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X)) \to \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^2(X))$ is an isomorphism. Then (ii^+) follows from the Tannaka duality. The implication from (ii^+) to (iii^+) follows from the fact that $\mathcal{H}^2(X)$ is abelian, which is André's Theorem 6.1.
Finally, (iii^+) implies (iv^+) thanks to André's Theorem 2.4.

In the presence of non-vanishing odd Betti numbers, the proof is similar to the even case: the equivalence of (i) and (iv) is immediate from Theorem 1.10. (ii) obviously implies (iii); (iii) implies (iv) by André's Theorem 2.4. Finally, let us show that (i) implies (ii): if $P(X)$ is trivial then $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X)) \to \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^1(A))$ is an isomorphism, where A is any Kuga–Satake variety for $H^2(X)$ in the sense of Definition A.2. Therefore, $\mathcal{H}(X)$ is in $\langle \mathcal{H}^1(A) \rangle_{\mathrm{AM}}$ by Tannaka duality. $\square$

6.4. **Deformation invariance.** We have seen in the above proof that the action of the defect group commutes with the LLV-Lie algebra. We prove now that defect groups are deformation invariant in algebraic families, see Theorem 1.14 for the statement. The relevant notation and results are recalled in §2.4. Let $f \colon \mathcal{X} \to S$ be a smooth and proper family over a non-singular quasi-projective variety S such that all fibres X_s are projective hyper-Kähler varieties with $b_2 \neq 3$. We have naturally the following generalized André motives over S (Definition 2.7): $\mathcal{H}(\mathcal{X}/S)$, $\mathcal{H}^i(\mathcal{X}/S)$ and $\mathcal{H}^+(\mathcal{X}/S)$. Up to replacing S by an étale cover, we can assume that the algebraic monodromy group $\mathrm{G}_{\mathrm{mono}}(\mathcal{H}(\mathcal{X}/S))$ is connected.

Proof of Theorem 1.14. We prove the invariance of the even defect group; the same argument proves the invariance of the full defect group if the odd cohomology does not vanish. For any $s \in S$, we have $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X_s)) = \mathrm{MT}(H^+(X_s)) \times P^+(X_s)$ by Theorem 1.10. Let $s_0 \in S$ be a very general point. By Theorem 2.8 (i) and (ii), we have $\mathrm{G}_{\mathrm{mono}}(\mathcal{H}^+(\mathcal{X}/S))_{s_0} \subset \mathrm{MT}(H^+(X_{s_0}))$. Hence, the monodromy acts trivially on $P^+(X_{s_0})$, which therefore extends to a constant local system $P^+(\mathcal{X}/S)$ such that we have a splitting

$$\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(\mathcal{X}/S)) = \mathrm{MT}(H^+(\mathcal{X}/S)) \times P^+(\mathcal{X}/S)$$

of local systems of algebraic groups over S. Moreover the inclusion of $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X_s))$ into $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(\mathcal{X}/S))_s$ is the direct product of inclusions $\mathrm{MT}(H^+(X_s)) \hookrightarrow \mathrm{MT}(H^+(\mathcal{X}/S))_s$ and $P^+(X_s) \hookrightarrow P^+(\mathcal{X}/S)_s$, for any $s \in S$.

It is enough to show that for all s, the equality $P^+(X_s) = P^+(\mathcal{X}/S)_s$ holds.
By Theorem 2.8(iv), for all $s \in S$, we have

$$\mathrm{G}_{\mathrm{mono}}(\mathcal{H}^+(\mathcal{X}/S))_s \cdot \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X_s)) = \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(\mathcal{X}/S))_s.$$

But we know that $\mathrm{G}_{\mathrm{mono}}(\mathcal{H}^+(\mathcal{X}/S))_s$ is contained in

$$\{1\} \times \mathrm{MT}(H^+(\mathcal{X}/S))_s \subset P^+(\mathcal{X}/S)_s \times \mathrm{MT}(H^+(\mathcal{X}/S))_s = \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(\mathcal{X}/S))_s,$$

and therefore we have

$$\mathrm{G}_{\mathrm{mono}}(\mathcal{H}^+(\mathcal{X}/S))_s \cdot \mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X_s)) =$$
$$= \mathrm{G}_{\mathrm{mono}}(\mathcal{H}^+(\mathcal{X}/S))_s \cdot \left(P^+(X_s) \times \mathrm{MT}^+(X_s)\right)$$
$$= P^+(X_s) \times \left(\mathrm{G}_{\mathrm{mono}}(\mathcal{H}^+(\mathcal{X}/S))_s \cdot \mathrm{MT}^+(X_s)\right),$$

which forces $P^+(X_s) = P^+(\mathcal{X}/S)_s$. $\square$

7. Applications

7.1. **André motives of hyper-Kähler varieties.** As we have seen in Theorem 1.14, the defect group does not change along smooth proper algebraic families. In fact, the defect group is invariant in the whole deformation class.

Corollary 7.1. *Let X and X' be two deformation equivalent projective hyper-Kähler varieties with $b_2 \neq 3$. Then their defect groups are isomorphic: $P^+(X) \cong P^+(X')$ and $P(X) \cong P(X')$.*

Proof. Pick two deformation equivalent projective hyper-Kähler varieties X and X' with $b_2 \neq 3$. It has been shown by Soldatenkov ([76, §6.2]) that there exists finitely many smooth proper algebraic families $f_i \colon Y^i \to S_i$, $i = 0, 1, \ldots, k$ over smooth quasi-projective curves S_i and points $a_i, b_i \in S_i$ together with isomorphisms

$$X \cong Y_{a_0}^0, \quad Y_{b_i}^i \cong Y_{a_{i+1}}^{i+1}, \text{ for } i = 1, 2, \ldots, k-1, \text{ and } Y_{b_k}^k \cong X'.$$

We therefore find a chain of smooth proper families with projective fibers connecting X and X'. The conclusion now follows via an iterated application of Theorem 1.14. □

Corollary 7.2. *Fix a deformation class of compact hyper-Kähler manifolds with $b_2 \neq 3$.*

(i) *(Soldatenkov [76]) If one projective hyper-Kähler variety in the deformation class has abelian André motive, then so does any other projective member in this class.*

(ii) *There exists an André motive $\mathcal{D}^+$ depending only on the deformation class, with Hodge realization being of Tate type, and such that for any projective hyper-Kähler variety X in this deformation class we have*

$$\langle \mathcal{H}^+(X) \rangle_{\mathrm{AM}} = \langle \mathcal{H}^2(X), \mathcal{D}^+ \rangle_{\mathrm{AM}}.$$

(iii) *Similarly, if some odd Betti number is non-zero in the chosen deformation class, there exists an André motive $\mathcal{D}$ depending only on the deformation class, with Hodge realization being of Tate type, and such that for any projective X in the chosen deformation class we have*

$$\langle \mathcal{H}(X) \rangle_{\mathrm{AM}} = \langle \mathcal{H}^1(\mathrm{KS}(X)), \mathcal{D} \rangle_{\mathrm{AM}},$$

where $\mathrm{KS}(X)$ is any Kuga–Satake variety for $H^2(X)$ (Definition A.2).

Proof. (i) follows from the combination of Corollary 1.11 and Corollary 7.1.

(ii). This follows via a reinterpretation of Theorem 1.10 in terms of a defect motive. Recall that we have $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}^+(X)) = \mathrm{MT}(H^+(X)) \times P^+(X)$. The category $\mathrm{Rep}(P^+(X))$ can be seen as the subcategory of $\langle \mathcal{H}^+(X) \rangle_{\mathrm{AM}}$ on which $\mathrm{MT}(H^+(X))$ acts trivially, *i.e.*, it consists of the motives in $\langle \mathcal{H}^+(X) \rangle$ whose realization is of Tate type. By [29, Proposition 3.1], the category $\mathrm{Rep}(P^+(X))$ is generated as a tannakian category by any faithful representation of $P^+(X)$; choosing one such representation determines a motive $\mathcal{D}^+(X) \in \langle \mathcal{H}^+(X) \rangle$, such that inside AM,

$$\langle \mathcal{H}^+(X) \rangle = \langle \mathcal{D}^+(X), \mathcal{H}^2(X) \rangle.$$

By Corollary 7.1, if X' is deformation equivalent to X, we have $P^+(X') \cong P^+(X)$, and therefore we can choose $\mathcal{D}^+(X') = \mathcal{D}^+(X)$. Hence, the motive $\mathcal{D}^+ = \mathcal{D}^+(X)$ has the desired property.

(iii). Same argument as above. □

We can now prove that the defect group of any known projective hyper-Kähler variety is trivial.

Proof of Corollary 1.15. As the triviality of the defect group is a deformation invariant property by Corollary 7.1, it is enough to find in each of the known deformation classes a representative whose defect group is trivial, or equivalently, whose André motive is abelian.

(i) For K3 surfaces, this is André [4, Théorème 0.6.3].

(ii) For the K3$^{[n]}$-type, the motivic decomposition of de Cataldo–Migliorini [22], together with the case of K3 surfaces (i), implies that the André motive of a Hilbert scheme of a K3 surface is abelian.

(iii) For the generalized Kummer type, using the work of Cataldo–Migliorini [23] on semi-small

resolutions, a motivic decomposition for a generalized Kummer variety associated to an abelian surface in terms of abelian motives was obtained in [86] and [33, Corollary 6.3].

(*iv*) For the O'Grady-6 deformation type, it follows from [59], as observed by Soldatenkov [76]: in [59], some hyper-Kähler variety of this deformation type was constructed as the quotient of some hyper-Kähler variety of K3$^{[3]}$-type by a birational involution (with well-understood indeterminacy loci). One can then conclude by (*ii*).

(*v*) For O'Grady-10 deformation type, we use Corollary 4.7. □

7.2. **Motivated Mumford–Tate conjecture.** We first recall a strengthening of the Mumford–Tate conjecture involving motivic Galois groups, see Moonen's survey [60, §3.2] for details. In the sequel, k is a finitely generated subfield of $\mathbf{C}$, and ℓ is a prime number. Attached to a smooth projective variety X defined over k, we have on the one hand the rational singular (Betti) cohomology $H_{\mathrm{B}}^*(X) = \bigoplus_i H_{\mathrm{B}}^i(X) := \bigoplus_i H^i(X_{\mathbf{C}}^{\mathrm{an}}, \mathbf{Q})$, naturally equipped with Hodge structures, and on the other hand the ℓ-adic étale cohomology $H_\ell^*(X) = \bigoplus_i H_\ell^i(X) := \bigoplus_i H_{\text{ét}}^i(X_{\overline{k}}, \mathbf{Q}_\ell)$, which is a continuous $\mathbf{Q}_\ell$-representation of $\mathrm{Gal}(\overline{k}/k)$. These two cohomology theories provide realization functors from $\mathrm{AM}(k)$, the category of André motives over $\mathrm{Spec}(k)$:

$$r_{\mathrm{B}} \colon \mathrm{AM}(k) \to \mathrm{HS}_{\mathbf{Q}}^{\mathrm{pol}};$$

$$r_\ell \colon \mathrm{AM}(k) \to \mathrm{Rep}_{\mathbf{Q}_\ell}\left(\mathrm{Gal}(\overline{k}/k)\right).$$

Given a Galois representation $\sigma \colon \mathrm{Gal}(\overline{k}/k) \to \mathrm{GL}(V)$ on a $\mathbf{Q}_\ell$-vector space V, we let $\mathcal{G}(V)$ denote the $\mathbf{Q}_\ell$-algebraic subgroup of $\mathrm{GL}(V)$ which is the Zariski closure of the image of σ. This algebraic group is not necessarily connected, but becomes so after a finite field extension of k. It is not known to be reductive in general. The category of $\mathbf{Q}_\ell$-Galois representations is a neutral tannakian abelian category, and the tannakian subcategory $\langle V \rangle$ is equivalent to the category of finite dimensional $\mathbf{Q}_\ell$-representations of $\mathcal{G}(V)$.

These different realizations are related via Artin's comparison theorem: for any $M \in \mathrm{AM}(k)$ there is a canonical isomorphism of $\mathbf{Q}_\ell$-vector spaces $\gamma \colon r_{\mathrm{B}}(M) \otimes \mathbf{Q}_\ell \cong r_\ell(M)$. This gives rise to an isomorphism of $\mathbf{Q}_\ell$-algebraic groups $\gamma \colon \mathrm{GL}(r_{\mathrm{B}}(M)) \otimes \mathbf{Q}_\ell \cong \mathrm{GL}(r_\ell(M))$, under which $\mathrm{G}_{\mathrm{mot}}(M_{\mathbf{C}}) \otimes \mathbf{Q}_\ell$ is identified with $\mathrm{G}_{\mathrm{mot},\ell}(M_{\overline{k}})$, where the latter is the motivic Galois group of the tannakian category $\langle M_{\overline{k}} \rangle_{\mathrm{AM}(\overline{k})}$ with fiber functor r_l composed with the forgetful functor. The following conjecture is a motivic extension of the Mumford–Tate conjecture [64].

Conjecture 7.3 (Motivated Mumford–Tate conjecture)**.** *The canonical isomorphism γ induces identifications of $\mathbf{Q}_\ell$-algebraic groups*

$$\mathrm{MT}(r_{\mathrm{B}}(M)) \otimes \mathbf{Q}_\ell = \mathrm{G}_{\mathrm{mot}}(M_{\mathbf{C}}) \otimes \mathbf{Q}_\ell \cong \mathrm{G}_{\mathrm{mot},\ell}(M_{\overline{k}}) = \mathcal{G}(r_\ell(M))^0.$$

Remark 7.4. The first equality is the content of Conjecture 2.3 and the last equality is the analogous statement saying that all Tate classes are motivated. The original statement of the Mumford–Tate conjecture only predicts that under γ we have

$$\mathrm{MT}(H_{\mathrm{B}}^*(X)) \otimes \mathbf{Q}_\ell = \mathcal{G}(H_\ell^*(X))^0,$$

for any smooth and projective variety X over k.

Let us define a hyper-Kähler variety over k to be a smooth projective variety X over k such that $X_{\mathbf{C}}$ is a hyper-Kähler variety. The following result confirms Conjecture 7.3 for the degree-2 part of the motive of X, see Moonen [61] for some generalizations.

Theorem 7.5 (André [3])**.** *Let X be a hyper-Kähler variety defined over k with $b_2 \neq 3$. Then the motivated Mumford–Tate conjecture holds for the André motive $\mathcal{H}^2(X)$.*

Let X be as above. Then $\mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X_{\overline{k}})) \cong \mathrm{G}_{\mathrm{mot}}(\mathcal{H}(X_{\mathbf{C}})) = \mathrm{MT}(H_{\mathrm{B}}^*(X)) \times P(X)$ by Theorem 1.10.

Proposition 7.6. *If $P^+(X)$ is finite (resp. trivial), then the Mumford–Tate conjecture (resp. the motivated Mumford–Tate conjecture) holds for the motive $\mathcal{H}^+(X)$. If $P(X)$ is finite (resp. trivial), then the Mumford–Tate conjecture (resp. the motivated Mumford–Tate conjecture) holds for the motive $\mathcal{H}(X)$*

Proof. Let us identify $\mathrm{G_{mot}}(M_{\overline{k}}) \otimes \mathbf{Q}_\ell$ and $\mathrm{G_{mot},\ell}(M_{\overline{k}})$ using Artin's comparison isomorphism. Consider the following commutative diagram

$$
\begin{array}{ccccc}
\mathrm{MT}(H_{\mathrm{B}}^+(X)) \otimes \mathbf{Q}_\ell & \xhookrightarrow{\cong} & \mathrm{G_{mot}}(\mathcal{H}^+(X_{\overline{k}}))^0 \otimes \mathbf{Q}_\ell & \longleftarrow & \mathcal{G}(H_\ell^+(X))^0 \\
\downarrow{\scriptstyle \shortmid\mathbb{R}} & & \downarrow & & \downarrow \\
\mathrm{MT}(H_{\mathrm{B}}^2(X)) \otimes \mathbf{Q}_\ell & \xrightarrow{\cong} & \mathrm{G_{mot}}(\mathcal{H}^2(X_{\overline{k}})) \otimes \mathbf{Q}_\ell & \xleftarrow{\cong} & \mathcal{G}(H_\ell^2(X))^0
\end{array}
$$

The two horizontal morphisms on the bottom are isomorphisms due to Theorem 7.5, the vertical map on the left is an isomorphism thanks to Proposition 6.4 and the top left arrow is an isomorphism by Theorem 1.10 since $P^+(X)$ is finite by assumption. It follows that all arrows in the diagram are isomorphisms, and so

$$\mathcal{G}(H_\ell^+(X))^0 \cong \mathrm{G_{mot}}(\mathcal{H}^+(X_{\overline{k}}))^0 \otimes \mathbf{Q}_\ell \cong \mathrm{MT}(H_{\mathrm{B}}^+(X)) \otimes \mathbf{Q}_\ell.$$

If $P^+(X)$ is actually trivial, then $\mathrm{G_{mot}}(\mathcal{H}^+(X_{\overline{k}}))$ is connected, and we conclude that the motivated Mumford–Tate conjecture holds for $\mathcal{H}^+(X)$ in this case.

If the odd cohomology of X is trivial, we are done. Otherwise, assume that $P(X)$ is finite, which implies that also $P^+(X)$ is finite. We consider another commutative diagram

$$
\begin{array}{ccccc}
\mathrm{MT}(H_{\mathrm{B}}^*(X)) \otimes \mathbf{Q}_\ell & \xhookrightarrow{\cong} & \mathrm{G_{mot}}(\mathcal{H}(X_{\overline{k}}))^0 \otimes \mathbf{Q}_\ell & \longleftarrow & \mathcal{G}(H_\ell^*(X))^0 \\
\downarrow{\scriptstyle ?} & & \downarrow & & \downarrow \\
\mathrm{MT}(H_{\mathrm{B}}^+(X)) \otimes \mathbf{Q}_\ell & \xrightarrow{\cong} & \mathrm{G_{mot}}(\mathcal{H}^+(X_{\overline{k}}))^0 \otimes \mathbf{Q}_\ell & \xleftarrow{\cong} & \mathcal{G}(H_\ell^+(X))^0
\end{array}
$$

The horizontal arrows on the bottom are isomorphism due to the above; the top left horizontal map is an isomorphism by Theorem 1.10, since $P(X)$ is finite by assumption, while the leftmost vertical arrow is an isogeny due to Proposition 6.4. It follows that also the middle vertical arrow is an isogeny. We deduce that $\mathrm{G_{mot}}(\mathcal{H}(X_{\overline{k}}))^0 \otimes \mathbf{Q}_\ell$ and $\mathcal{G}(H_\ell^*(X))^0$ are connected algebraic groups of the same dimension over $\mathbf{Q}_\ell$. Hence, the inclusion

$$\mathcal{G}(H_\ell^*(X))^0 \hookrightarrow \mathrm{G_{mot}}(\mathcal{H}(X_{\overline{k}}))^0 \otimes \mathbf{Q}_\ell$$

is an isomorphism. If $P(X)$ is actually trivial, then $\mathrm{G_{mot}}(\mathcal{H}(X_{\overline{k}}))$ is connected, and we conclude that the motivated Mumford–Tate conjecture holds for the full André motive $\mathcal{H}(X)$. $\qquad\square$

Definition 7.7. Let $k \subset \mathbf{C}$ be a finitely generated field. Define $\mathcal{C}_k$ to be the tannakian subcategory of $\mathrm{AM}(k)$ generated by the motives of all hyper-Kähler varieties whose associated complex manifold is one of the four known deformation types.

Remark 7.8. Note that this category contains already the motive of cubic fourfolds, as they are motivated by their Fano varieties of lines (see for example [48]). Very likely, $\mathcal{C}_k$ also contains the motive of some interesting Fano varieties whose cohomology is of K3-type, for instance, Gushel–Mukai varieties [35] [63], Debarre–Voisin Fano varieties [25] and many more [30].

Theorem 7.9. *The motivated Mumford–Tate conjecture holds for any motive $M \in \mathcal{C}_k$. In particular, for any smooth projective variety motivated by a product of projective hyper-Kähler varieties of known deformation type, the Hodge conjecture and the Tate conjecture are equivalent.*

Proof. By Commelin [21, Theorem 10.3], the subcategory of abelian André motives satisfying the Mumford–Tate conjecture[9] is a tannakian subcategory. Therefore, it suffices to check the abelianity and the Mumford–Tate conjecture for the generators of $\mathcal{C}_k$.

---

[9]For abelian André motives, the Mumford–Tate conjecture is equivalent to its motivated version 7.3, thanks to André's result Theorem 2.4.

By Corollary 1.15 the defect group of any hyper-Kähler variety X of known deformation type is trivial. Hence, the motive $\mathcal{H}(X) \in \mathcal{C}_k$ is abelian by Corollary 1.11, and the motivated Mumford–Tate conjecture holds for its André motive by Proposition 7.6.   □

Remark 7.10. Thanks to [21], we can put even more generators in the category $\mathcal{C}_k$ to obtain new evidence for the Mumford–Tate conjecture. Since the conjecture is known to hold for

(i) geometrically simple abelian varieties of prime dimension, by Tankeev [79],

(ii) abelian varieties of dimension g with trivial endomorphism ring over $\overline{k}$ such that $2g$ is neither a k-th power for some odd $k > 1$ nor of the form $\binom{2k}{k}$ for some odd $k > 1$, thanks to Pink [72],

we deduce that the Mumford–Tate conjecture holds for any variety motivated by a product of varieties in (i) and (ii) above and hyper-Kähler varieties of the known deformation types. See Moonen [61] for more potential examples.

APPENDIX A. THE KUGA–SATAKE CATEGORY

Let V be a polarizable rational Hodge structure of K3-type, *i.e.* V is pure of weight 2 with $h^{2,0} = h^{0,2} = 1$ and $h^{p,q} = 0$ whenever p or q is negative. The Kuga–Satake construction [27] produces an abelian variety $\mathrm{KS}(V)$ closely related to V, which is defined up to isogeny. This isogeny class is not unique, but the main point of this Appendix is to characterize the tannakian subcategory of Hodge structures generated by this abelian variety, which we call the *Kuga–Satake category* attached to V,

$$\mathsf{KS}(V) := \langle H^1(\mathrm{KS}(V)) \rangle \subset \mathrm{HS}_{\mathbf{Q}}^{\mathrm{pol}}.$$

In the appendix, all the cohomology groups are with rational coefficients and the notation $\langle - \rangle$ means the generated tannakian subcategory inside $\mathrm{HS}_{\mathbf{Q}}^{\mathrm{pol}}$, if not otherwise specified. We first briefly review the classical construction.

A.1. **The Kuga–Satake construction.** Choose a polarization q of V, and consider the Clifford algebra $\mathrm{Cl}(V, q)$. Deligne showed in [27] that there is a unique way to induce a weight-1 effective Hodge structure on $\mathrm{Cl}(V, q)$, which is polarizable and therefore equals $H^1(\mathrm{KS}(V))$ for some abelian variety $\mathrm{KS}(V)$, well-defined up to isogeny. The key relation between V and $\mathrm{KS}(V)$ is the fact that the natural action of V on $\mathrm{Cl}(V, q)$ via left multiplication yields an embedding of Hodge structures

$$V(1) \hookrightarrow H^1(\mathrm{KS}(V)) \otimes H^1(\mathrm{KS}(V))^{\vee}.$$

Consider the weight cocharacters $w_V \colon \mathbb{G}_m \to \mathrm{GL}(V)$ and $w_{\mathrm{KS}(V)} \colon \mathbb{G}_m \to \mathrm{GL}(H^1(\mathrm{KS}(V)))$, defined by $w_V(\lambda) = \lambda^2 \cdot \mathrm{id}$ and $w_{\mathrm{KS}}(\lambda) = \lambda \cdot \mathrm{id}$ respectively, for all λ; we have $\mathrm{MT}(V) \subset w_V(\mathbb{G}_m) \cdot \mathrm{SO}(V, q)$ and $\mathrm{MT}(H^1(\mathrm{KS}(V))) \subset w_{\mathrm{KS}}(\mathbb{G}_m) \cdot \mathrm{Spin}(V, q)$, The inclusion $\langle V \rangle \subset \langle H^1(\mathrm{KS}(V)) \rangle$ induces a surjective morphism $\phi \colon \mathrm{MT}(V) \to \mathrm{MT}(H^1(\mathrm{KS}(V)))$, which we claim is a double cover. Indeed, there is a commutative diagram with exact rows

$$
\begin{array}{ccccccccc}
1 & \longrightarrow & \mathrm{Spin}(V, q) \cap \mathrm{MT}(H^1(\mathrm{KS}(V))) & \longrightarrow & \mathrm{MT}(H^1(\mathrm{KS}(V))) & \longrightarrow & \mathbb{G}_m & \longrightarrow & 1 \\
& & \downarrow{\phi'} & & \downarrow{\phi} & & \downarrow{\cong} & & \\
1 & \longrightarrow & \mathrm{SO}(V, q) \cap \mathrm{MT}(V) & \longrightarrow & \mathrm{MT}(V) & \longrightarrow & \mathbb{G}_m & \longrightarrow & 1
\end{array}
$$

in which ϕ' is the restriction of the double cover $\mathrm{Spin}(V, q) \to \mathrm{SO}(V, q)$, and the vertical map on the right is an isomorphism due to the fact that $w_{\mathrm{KS}}(-1) \in \mathrm{Spin}(V, q)$.

Remark A.1. The above construction can be performed given any non-degenerate quadratic form q on V such that the restriction of $q \otimes \mathbf{R}$ to $\left(H^{2,0}(V) \oplus H^{0,2}(V)\right) \cap (V \otimes \mathbf{R})$ is positive definite and $q(\sigma) = 0$ for any $\sigma \in H^{2,0}(V)$, see [41, §4, Remark 2.3].

A.2. **The Kuga–Satake category.** Given a tannakian subcategory $C \subset HS_{\mathbf{Q}}^{pol}$ we denote by C^{ev} the full subcategory of C consisting of objects of *even* weight. The grading via weights on C is given by a central cocharacter $w \colon \mathbb{G}_{m,\mathbf{Q}} \to MT(C)$. We let $\iota := w(-1)$; it acts as -1 on any Hodge structure of odd weight in C and as the identity on C^{ev}. This means that, whenever C contains a Hodge structure of odd weight, the natural morphism of algebraic groups $MT(C) \to MT(C^{ev})$ is an isogeny with kernel the order-2 cyclic group generated by ι.

Definition A.2. Let V be a polarizable Hodge structure of K3-type. A *Kuga–Satake variety* for V is an abelian variety A such that $\langle H^1(A) \rangle^{ev} = \langle V \rangle$.

Lemma A.3 (Equivalent definition)**.** *An abelian variety A is a Kuga–Satake variety for V if and only if $V \in \langle H^1(A) \rangle$ and the induced surjective morphism $MT(H^1(A)) \to MT(V)$ is an isogeny of degree 2.*

Proof. The only-if part is explained before. Conversely, assume that $V \in \langle H^1(A) \rangle$ and that the induced surjection $MT(H^1(A)) \to MT(V)$ is an isogeny of degree 2. Since V has even weight, this morphism factors over $MT(\langle H^1(A) \rangle^{ev}) \to MT(V)$, and it follows that the the latter is an isomorphism. Hence, $\langle H^1(A) \rangle^{ev} = \langle V \rangle$. $\square$

By Lemma A.3 and the discussion in §A.1, the abelian variety $KS(V)$ is a Kuga–Satake variety for V in the sense of our Definition A.2. It is clear that Kuga–Satake varieties are not unique, but the main observation of the appendix is that the corresponding Kuga–Satake category is so.

Theorem A.4. *Let V be a polarizable Hodge structure of K3-type. Then there exists a unique tannakian subcategory $KS(V)$ of $HS_{\mathbf{Q}}^{pol}$ such that*

$$\langle V \rangle = KS(V)^{ev} \subsetneq KS(V).$$

If A is any Kuga–Satake variety for V, we have $\langle H^1(A) \rangle = KS(V)$.

Let us first prove the following straightforward lemma. Consider tannakian subcategories $C \subset D$ of $HS_{\mathbf{Q}}^{pol}$. Assume that both contains some Hodge structure of odd weight. The inclusion of C in D induces surjective homomorphisms of pro-algebraic groups $q \colon MT(D) \to MT(C)$ and $q^{ev} \colon MT(D^{ev}) \to MT(C^{ev})$. Let π denote the double cover $MT(D) \to MT(D^{ev})$.

Lemma A.5. *In the above situation, $\pi^{-1}(\ker(q^{ev}))$ has two connected components, and the component containing the identity equals $\ker(q)$.*

Proof. Consider the commutative diagram with exact rows

$$
\begin{array}{ccccccccc}
1 & \longrightarrow & \langle \iota \rangle & \longrightarrow & MT(D) & \overset{\pi}{\longrightarrow} & MT(D^{ev}) & \longrightarrow & 1 \\
 & & \downarrow{\scriptstyle \cong} & & \downarrow{\scriptstyle q} & & \downarrow{\scriptstyle q^{ev}} & & \\
1 & \longrightarrow & \langle \iota \rangle & \longrightarrow & MT(C) & \longrightarrow & MT(C^{ev}) & \longrightarrow & 1.
\end{array}
$$

The snake lemma implies the isomorphism $\ker(q) \cong \ker(q^{ev})$. Moreover, since $\iota \notin \ker(q)$ by assumption, this completely determines $\ker(q)$ as the neutral component of the preimage of $\ker(q^{ev})$. $\square$

Proof of Theorem A.4. Assume given two tannakian subcategories $D_1, D_2 \subset HS_{\mathbf{Q}}^{pol}$, both containing some Hodge structure of odd weight and such that $\langle V \rangle = D_i^{ev} \subsetneq D_i$ for $i = 1, 2$. Let E be the tannakian subcategory generated by D_1 and D_2. We have surjective morphisms of pro-algebraic groups $q_i \colon MT(E) \to MT(D_i)$, $i = 1, 2$. We claim that these are both isomorphisms. From the commutative diagram

$$
\begin{array}{ccc}
MT(E^{ev}) & \overset{q_1^{ev}}{\longrightarrow\!\!\!\!\rightarrow} & MT(D_1^{ev}) \\
\ \downarrow{\scriptstyle q_2^{ev}} & & \ \downarrow{\scriptstyle \cong} \\
MT(D_2^{ev}) & \overset{\cong}{\longrightarrow\!\!\!\!\rightarrow} & MT(V)
\end{array}
$$

it is apparent that $\ker(q_1^{\mathrm{ev}}) = \ker(q_2^{\mathrm{ev}})$. Lemma A.5 now implies that $\ker(q_1) = \ker(q_2)$ in $\mathrm{MT}(\mathsf{E})$. But this precisely means that the subcategories D_1 and D_2 of E coincide, and we conclude that we have $\mathsf{D}_1 = \mathsf{E} = \mathsf{D}_2$. $\qquad\square$

Thanks to André's Theorem 2.4, we can lift Proposition A.4 to the category of André motives.

Corollary A.6 (Motivic Kuga–Satake category)**.** *If $M \in \mathrm{AM}$ is an abelian André motive whose Hodge realization is of* K3*-type, then there exists a unique tannakian subcategory* $\mathsf{KS}(M)$ *of* AM *such that*

$$\langle M \rangle_{\mathrm{AM}} = \mathsf{KS}(M)^{\mathrm{ev}} \subsetneq \mathsf{KS}(M).$$

Moreover, $\mathsf{KS}(M) = \langle \mathcal{H}^1(A) \rangle_{\mathrm{AM}}$ *for any Kuga–Satake variety A (Definition A.2) for the Hodge structure $r(M)$.*

The above discussion leads us naturally to the following question about relations among different Kuga–Satake abelian varieties.

Question: Let A and B be abelian varieties such that $\langle H^1(A) \rangle = \langle H^1(B) \rangle$ in $\mathrm{HS}_{\mathbf{Q}}^{\mathrm{pol}}$. Does this imply the existence of integers k, l, such that A is dominated by B^k and B is dominated by A^l?

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