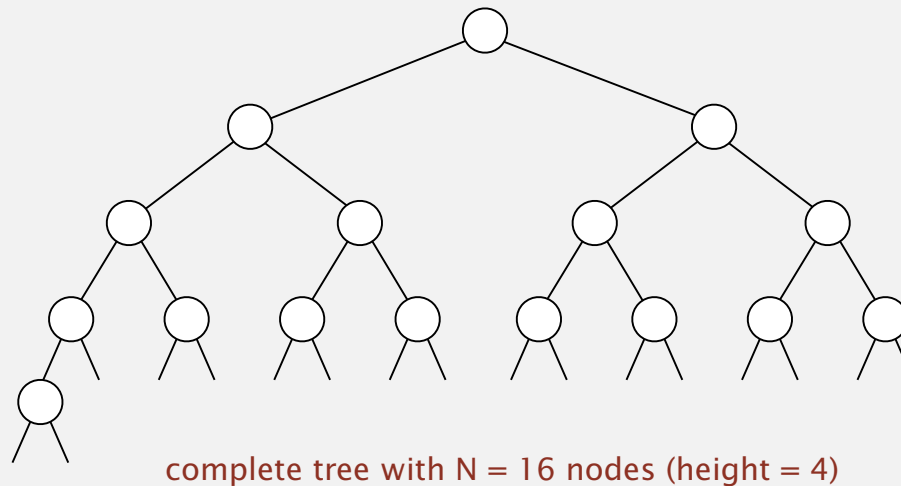


Complete binary tree

Binary tree. Empty **or** node with links to left and right binary trees.

Complete tree. Perfectly balanced, except for bottom level.



Property. Height of complete tree with N nodes is $\lfloor \lg N \rfloor$.

Pf. Height only increases when N is a power of 2.

A complete binary tree in nature



Hyphaene Compressa - Doum Palm

© Shlomit Pinter

Binary heap representations

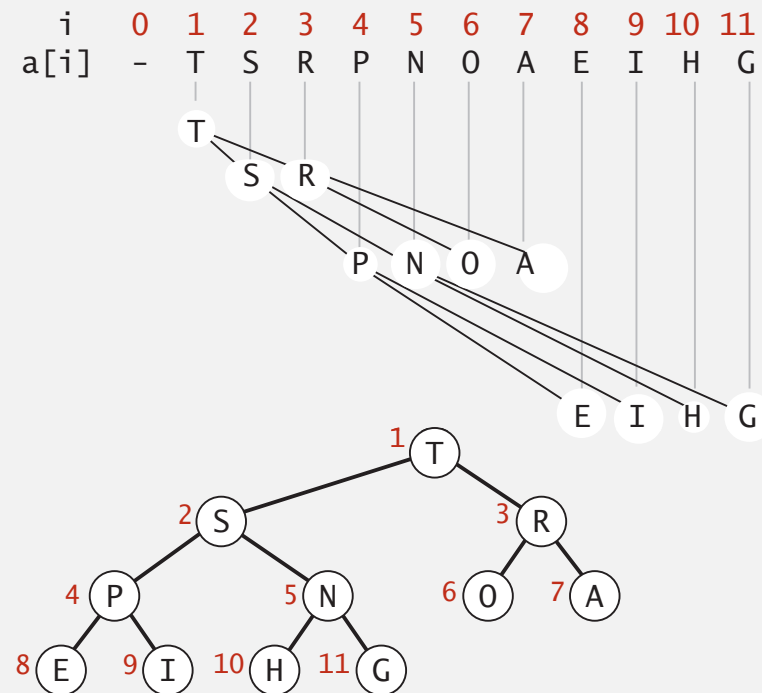
Binary heap. Array representation of a heap-ordered complete binary tree.

Heap-ordered binary tree.

- Keys in nodes.
- Parent's key no smaller than children's keys.

Array representation.

- Indices start at 1.
- Take nodes in **level** order.
- No explicit links needed!



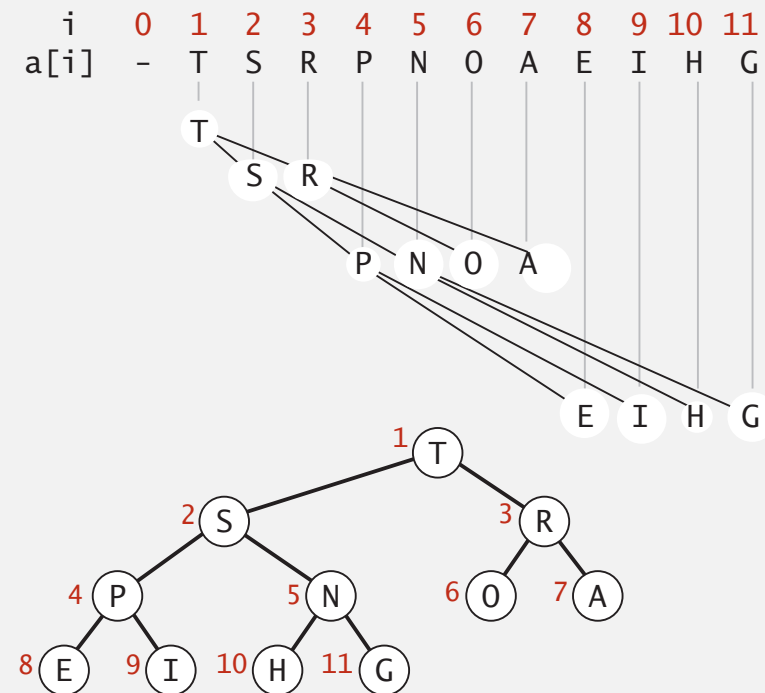
Heap representations

Binary heap properties

Proposition. Largest key is $a[1]$, which is root of binary tree.

Proposition. Can use array indices to move through tree.

- Parent of node at k is at $k/2$.
- Children of node at k are at $2k$ and $2k+1$.



Heap representations

Promotion in a heap

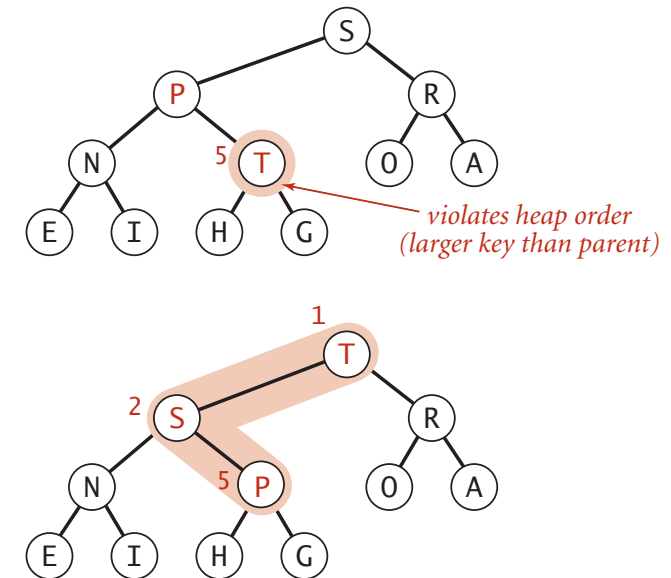
Scenario. Child's key becomes **larger** key than its parent's key.

To eliminate the violation:

- Exchange key in child with key in parent.
- Repeat until heap order restored.

```
private void swim(int k)
{
    while (k > 1 && less(k/2, k))
    {
        exch(k, k/2);
        k = k/2;
    }
}
```

parent of node at k is at k/2



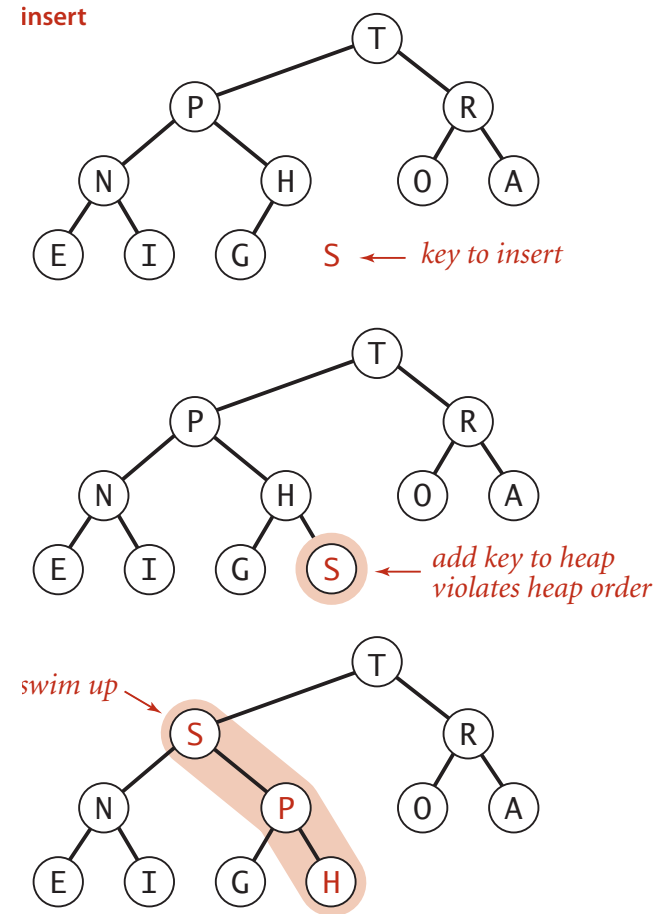
Peter principle. Node promoted to level of incompetence.

Insertion in a heap

Insert. Add node at end, then swim it up.

Cost. At most $1 + \lg N$ compares.

```
public void insert(Key x)
{
    pq[++N] = x;
    swim(N);
}
```



Demotion in a heap

Scenario. Parent's key becomes **smaller** than one (or both) of its children's.

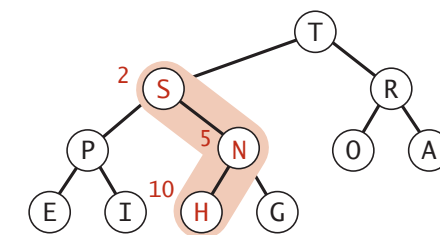
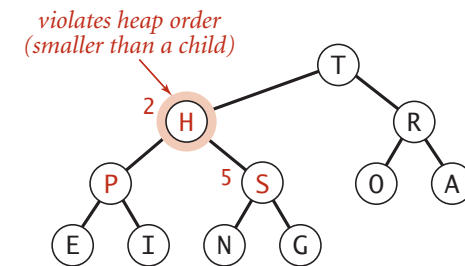
To eliminate the violation:

- Exchange key in parent with key in larger child.
- Repeat until heap order restored.

why not smaller child?

```
private void sink(int k)
{
    while (2*k <= N)
    {
        int j = 2*k;
        if (j < N && less(j, j+1)) j++;
        if (!less(k, j)) break;
        exch(k, j);
        k = j;
    }
}
```

children of node at k
are 2k and 2k+1



Top-down reheapify (sink)

Power struggle. Better subordinate promoted.

Delete the maximum in a heap

Delete max. Exchange root with node at end, then sink it down.

Cost. At most $2 \lg N$ compares.

```
public Key delMax()
{
    Key max = pq[1];
    exch(1, N--);
    sink(1);
    pq[N+1] = null; ← prevent loitering
    return max;
}
```

