Online Submission ID: 0

**Database Cracking: Concept Evaluation** 

Category: Research

Abstract

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Introduction

Database Cracking is a concept of partitioning and sorting the data on the fly based on the incoming query

workloads. While traditional index creation and maintenance requires upfront knowledge of query pat-

terns and workloads, as well as costly human supervision, database cracking removes the need for human

administration and efficiently manages database workload environments by treating index maintenance as

a part of query processing. It continuously partitions the database into manageable pieces every time a new

query is processed. Dynamic reorganization of data as the system is queried causes subsequent queries to

run faster, irrespective of whether these queries had already been run.

In this paper, we analyze the relative performance of cracking in a simple single-column database. We

conducted multiple experiments, with varying implementation details, workloads and query patterns.

Section 2 presents the background on the database cracking and related works. Section 3 includes our

system overview and implementation details regarding our simplified database. We details our experiment

setup in Section 4 and discuss the results of our findings and comparisons to already existing strategies in

Section 5. Finally, Section 6 includes our conclusion.

**BACKGROUND** 

2.1 **Previous work** 

Database cracking is an active research topic, which is led by a group of researchers from CWI Amster-

dam. The concept of dynamic and query-considerate data partitioning was first introduced in [2]. It was

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then further developed into a cracking system with mature architecture and describe in [1]. This system was built on top of MonetDB, a column oriented database system. The authors also presented cracking algorithms that are used to partition the data, as well as conducted experiments and obtained evidence that cracking improves query execution performance in a long term. The performance was measured as cumulative time of query execution. In [3], the authors raised questions regarding the effects on the performance of cracking algorithm selection and cracker index implementation. The database cracking has a lot of open questions and requires more experiments and research.

The previous work done in the field defined the experimental setup of our project. Particularly, we have focused on column store databases in order to qualitatively compare our results with ones obtained in [1], which was also used as a source of the cracker algorithm. Moreover, we conducted experiments to analyze the performance of different cracker index implementations to prove the hypothesis stated in [3].

# 2.2 Database Cracking Mechanism

The core idea of database cracking is to partition the column according to each incoming query, therefore, effectively reducing the size of partitions as more queries arrive. Such self-organizational behavior is desired when there is no upfront knowledge of query patterns, which would be required for preliminary creation of traditional indexes for the columns of interests. The database creates a copy of a column that was queried for the first time, we will call the copy column a cracker column. Tuple relocation and swapping only happen in the cracker column, leaving the original column intact. Each subsequent query results in further data partitioning and spends less time on cracking the values, as the partition ranges become smaller and smaller.

#### 3 System Overview

In order to analyze how the query execution time changes due to introducing database cracking techniques, we have implemented our own simple database system MiniDB. In this section we will describe components and implementation details of MiniDB.

MiniDB is a single column database implemented in Java, where each table consists of a single uniquely named column. The database manager uses a hash map that keeps track of the mappings between columns and their names. The tables store lists of tuples, where each tuple is a 32-bit integer. MiniDB

maintains the following data structures: Simple Column, Sorted Column, Cracker Column, Cracker Index, Range Scan.

#### 3.1 MiniDB columns and indexes

**Simple Column** instances represent the database tables and store tuples. They do not maintain a specific tuple order and insert a tuple to the end of the tuple list. In Simple Columns tuple lookup requires linear scan of the entire tuples list.

**Sorted Column** is another data structure that represents database tables which preserve initial order of the tuples. The instance of Sorted Column sorts tuples when it is queried for the first time, using quick sort algorithm. Tuple lookup takes logarithmic time and is implemented as a binary search.

Cracker Column is a data structure that cannot exist independently in the database, since it can only be coupled with a Simple Column instance that supports cracking. A Cracker Column instance is initialized and attached to a Simple Column instance when the latter is queried for the first time. Cracker Columns contain same values as their corresponding Simple Columns, but in a different and continuously changing order. Cracker Columns store tuples in a partially sorted list, which means that its tuples are reorganized and partitioned into two sublists, one with all tuples whose values are less than or equal to the partition value and one with all tuples whose values are greater than the partition value. Each Cracker Column instance is supplemented with a Cracker Index instance.

**Cracker Index** is a data structures that is necessary to keep most-up-to date information about all partitions of the Cracker Column tuples. Particularly, a Cracker Index instance stores pairs in the form (v, p), where v indicates that all tuples located at the positions less than and including p have values less that and including p.

### 3.2 Query processing

MiniDB queries are represented by the Range Scan objects that operate on a single column each. A Range Scan instance stores the pointer to its column of interest, endpoints of the value ranges, and the range sign, either one of  $\leq$ , <,  $\geq$ , >, <, <,  $\leq$ ,  $\leq$ . Range Scan objects are essentially iterators on the values of their columns of interests. If the column does not support cracking, the iterator goes over all tuples and returns only those whose values belong to the specified range. Otherwise, they use cracking and simply

iterate over all values that lie in a specified partition.

## 3.3 Cracker Index implementation

Cracker Index functionality requires methods such as insert value-position pair, find predecessor of value v, find successor of value v and lookup position p of value v. Predecessor/successor search is used to define partitions with the closest boundaries, which is the case when a new unseen query arrives. We have implemented Cracker Index using three different underlying representations, AVL Tree, Sorted List and HashMap. Each of them has advantages and disadvantages in different scenarios.

**AVL Tree** stores value v as a node key and a position p as a node data. All of the operations on AVL Tree have logarithmic cost, which makes it a good candidates for large workloads. However, at the same time, the size of the tree grows with a number of queries, and maintaining the balance of the large tree might be costly.

**Hash Map** directly stores mapping between the value v and the position p. The HashMap implementation is beneficial when all incoming queries are repetitive, and additional cracking is unnecessary, since the correct partition already exists. However, adding a new partition info into the index is costly, as predecessor/successor search takes linear time.

**Sorted List** stores value-position pairs a list sorted on values. Preserving the order of the list is costly and takes linear time, however, cheap successor and predecessor search balances the query cost.

In Table 1 we summarized pros and cons for each Cracker Index implementation.

#### 4 EXPERIMENTS

This section includes the detailed description of our experiments conducted to analyze the impact of database cracking on query execution performance. We use a similar setup for all performance evaluations. Our main focus is to study the impact of cracking the SELECT operator in memory. In order to identify relative performances, we ran same queries on the same column for all cracker index implementations and compared the results to two baselines: (1) simple scanning which scans the entire column and filters tuples satisfying the query and (2) sorting upfront

	AVL Tree	Hash Map	Sorted List
Pros	Logarithmic		Logarithmic
	insert,		lookup and
	lookup,	Constant	constant
	predeces-	time	predeces-
	sor and	lookup	sor and
	successor		successor
	search		search
Cons	Cost for balance mainte-	Linear predeces- sor and successor	Linear
	nance	search	

Table 1: Summary of cost-related advantages and disadvantages for each Cracker Index implementation.

# 4.1 Experiment Setup

Hardware: Amazon AWS server (8 GB memory) and MIT Athena computers (40 GB memory).

**Dataset:** An array with one million distinct tuples of range 1 to  $10^6$ .

**Workload:** Randomly generated 20000 queries with varying selectivity.

**Query ranges:** Open (single predicate), closed (lower and upper predicates) and mixed (randomly chosen to be either open or closed). The predicates are chosen randomly from the range 1 to  $10^6$ .

**Selectivity:** 0.01 and 0.001.

**Minimum Partition Size:** 100 and 1000. The cracker index could potentially become very large for non-repetitive queries and dividing columns further into small pieces would become highly costly. Therefore, we experimented with mentioned minimum partition sizes and not cracking the column any further.

# 4.2 Performance of cracking index implementations

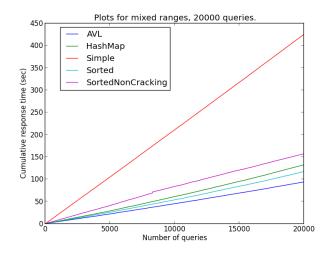


Figure 1: ADD CAPTION.

We have started our experiments by running 20000 queries with single, mixed, and double ranges, without particular choice of the selectivity or minimum partition size. Figure 1 shows the cumulative response time for each of our cracker index implementations, as well as baseline performances of simple scanning and sorting upfront strategies, all with mixed ranges. We observe that any cracking index implementation outperforms the simple scanning by at least twice. Initially high cracking cost is balanced out

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as the number of queries grow, suggesting that cracking allows faster query execution in the long term.

## 4.2.1 Varying minimum partition size

This approach simulates a sort based strategy. Upon a first query, the data column we sort the column upon the first query and operates upon the sorted column copy for the queries. This would potentially take O(logN) time for finding the correct start and/or end ranges for the query.

### 4.2.2 Varying selectivity

## **Simple Scanning**

### 5 DISCUSSION

Talk about what needs to be done to improve the quality of our experiments, some assumptions we made and what we have neglected

#### 6 CONCLUSION

In consistent with findings already existing in the original paper, we found the cracking approach to outperform both sort bases strategy and traditional index under changing workload. As more and more queries arrive, the cost of physically reorganizing the data is spread over all the queries. In our workload environment, we found each of the different cracker index implementations to have certain pros and cons over each other, meanwhile all equally performing much faster to the baseline.

Overall, by using the cracker index the database automatically adapts to any workload and creates a re-organization that serve subsequent queries faster and faster. We believe that the database cracking idea has a great potential to revolutionize the traditional approach.

#### REFERENCES

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