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## 1 Introduction

### 1.1 The Euclidean Plane

In most people's experience, geometry is concerned with properties such as distances, lengths, angles, areas, volumes, and curvature.

**Definition 1.1.** Two plane figures are *congruent* if one can be transformed into the other by a *rigid motion of the plane*, which is a bijective transformation from the plane to itself that preserves distances.

In what follows, we will give some theorems we may met before in the fashion “classification theorem” and “local-to-global theorem” to illustrate what we will study in this chapter of the note.

## Triangles

**Theorem 1.2.** *The Euclidean triangles are congruent if and only if the lengths of their corresponding sides are equal.*

**Theorem 1.3.** *The sum of the interior angles of a Euclidean triangle is  $\pi$ .*

## Circles

**Theorem 1.4.** *Two circles in the Euclidean plane are congruent if and only if they have the same radius.*

**Theorem 1.5.** *The circumference of a Euclidean circle of radius  $R$  is  $2\pi R$ .*

## Plane Curve

**Definition 1.6.** The *curvature* of a plane curve  $\gamma$  is defined to be  $\kappa(t) = |\gamma''(t)|$ , the length of the acceleration vector, when  $\gamma$  is given a unit-speed parametrization.

It is often convenient for some purposes to extend the definition of the curvature of a plane curve, allowing it to take on both positive and negative values, and

**Definition 1.7.** We call the generalizaion in the following manner *signed curvature*: by choosing a continuous unit normal vector field  $N$  along the curve, and assigning the curvature a positive sign if the curve is turning toward the chosen normal or a negative sign if it is turning away from it.

**Theorem 1.8.** *Suppose  $\gamma$  and  $\tilde{\gamma} : [a, b] \rightarrow \mathbb{R}^2$  are smooth, unit-speed plane curves with unit normal vector fields  $N$  and  $\tilde{N}$ , and  $\kappa_N(t), \kappa_{\tilde{N}}(t)$  represent the signed curvatures at  $\gamma(t)$  and  $\tilde{\gamma}(t)$ , respectively. Then  $\gamma$  and  $\tilde{\gamma}$  are congruent by a direction-preserving congruence if and only if  $\kappa_N(t) = \kappa_{\tilde{N}}(t)$  for all  $t \in [a, b]$ .*

**Theorem 1.9.** *If  $\gamma : [a, b] \rightarrow \mathbb{R}^2$  is a unit-speed simple closed curve such that  $\gamma'(a) = \gamma'(b)$ , and  $N$  is the inward-pointing normal, then*

$$\int_a^b \kappa_N(t) dt = 2\pi.$$

## 1.2 Surfaces in Space

Which properties of a surface are intrinsic? Roughly speaking, intrinsic properties are those that could in principle be measured or computed by a 2-dimensional being living entirely within the surface. More precisely, a property of surfaces in  $\mathbb{R}^3$  is called *intrinsic* if it is preserved by *isometries*.

**Definition 1.10.** The curvature of surface in space is described by two numbers at each point, called the *principal curvature*. Here is an informal recipe for computing them. Suppose  $S$  is a surface in  $\mathbb{R}^3$ ,  $p$  is a point in  $S$ , and  $N$  is a unit normal vector to  $S$  at  $p$ .

1. Choose a plane  $\Pi$  passing through  $p$  and parallel to  $N$ . The intersection of  $\Pi$  with a neighborhood of  $p$  in  $S$  is a plane curve  $\gamma \subseteq \Pi$  containing  $p$ .
2. Compute the signed curvature  $\kappa_N$  of  $\gamma$  at  $p$  w.r.t. the chosen unit normal  $N$ .
3. Repeat this for all normal planes  $\Pi$ . The *principal curvatures* of  $S$  at  $p$ , denoted by  $\kappa_1$  and  $\kappa_2$ , are the minimum and maximum signed curvatures so obtained.

Principal curvatures are NOT intrinsic:

**Example 1.11.** Consider

$$S_1 = \{(x, y, 0) : 0 < x < \pi, 0 < y < \pi\} \text{ and } S_2 = \{(x, y, z) : 0 < x < \pi, |y| < 1, z = \sqrt{1 - y^2}\}$$

One can check  $\kappa_1 = \kappa_2 = 0$  for  $S_1$  and  $\kappa_1 = 0, \kappa_2 = 1$  for  $S_2$ . But  $(x, y, 0) \mapsto (x, \cos y, \sin y)$  is an isometry from  $S_1$  to  $S_2$ .

However, the great German mathematician Carl Friedrich Gauss made the surprising discovery that  $K = \kappa_1 \kappa_2$  is intrinsic, which is now called the *Gaussian curvature*. He thought this result was so amazing that he named it *Theorema Egregium*.

The model spaces of surface theory are the surfaces with constant Gaussian curvature.

1.  $K = 0$ . The *Euclidean plane*  $\mathbb{R}^2$ .
2.  $K > 0$ . The *sphere* of radius  $R$  (in this case  $K = 1/R^2$ ).
3.  $K < 0$ . The *hyperbolic plane*.

We can give a classification theorem and a local-to-global theorem as before:

**Theorem 1.12.** *Every connected 2-manifold is diffeomorphic to a quotient of one of the constant curvature model surfaces by a discrete group of isometries without fixed points. Thus every connected 2-manifold has a complete Riemannian metric with constant Gaussian curvature.*

**Theorem 1.13.** *Suppose  $S$  is a compact Riemannian 2-manifold. Then*

$$\int_S K \, dA = 2\pi\chi(S),$$

where  $\chi(S)$  is the Euler characteristic of  $S$ .

## 1.3 Curvature in Higher Dimensions

TODO

## 2 Riemannian Metrics

### 2.1 Riemannian Manifolds

**Definition 2.1.** Let  $M$  be a smooth manifold. A *Riemannian metric* on  $M$  is a smooth covariant 2-tensor field  $g \in \mathcal{T}^2 M$  whose value  $g_p$  at each  $p \in M$  is an inner product on  $T_p M$ ,  $g_p(v, v) \geq 0$  for each  $p \in M$  and each  $v \in T_p M$ , with equality if and only if  $v = 0$ . A *Riemannian manifold* is a pair  $(M, g)$ , where  $M$  is a smooth manifold and  $g$  is a specific choice of Riemannian metric on  $M$ .

**Proposition 2.2.** *Every smooth manifold admits a Riemannian metric.*

**Definition 2.3.** A *Riemannian manifold with boundary* is a pair  $(M, g)$ , where  $M$  is a smooth manifold with boundary and  $g$  is a Riemannian metric on  $M$ .

Let  $g$  be a Riemannian metric on a smooth manifold  $M$  with or without boundary. We often use the following angle-bracket notation for  $v, w \in T_p M$ :

$$\langle v, w \rangle_g = g_p(v, w).$$

### 2.2 Isometries

**Definition 2.4.** Suppose  $(M, g)$  and  $(\tilde{M}, \tilde{g})$  are Riemannian manifolds with or without boundary. An *isometry from  $(M, g)$  to  $(\tilde{M}, \tilde{g})$*  is a diffeomorphism  $\phi : M \rightarrow \tilde{M}$  such that  $\phi^* \tilde{g} = g$ . We say  $(M, g)$  and  $(\tilde{M}, \tilde{g})$  are *isomorphic* if there exists an isometry between them.

*Remark 2.5.* To say  $\phi$  is an isometry from  $(M, g)$  to  $(\tilde{M}, \tilde{g})$  is equivalent to say  $\phi$  is a smooth bijection and each differential  $d\phi_p : T_p M \rightarrow T_{\phi(p)} \tilde{M}$  is a linear isometry.

**Definition 2.6.** If  $(M, g)$  and  $(\tilde{M}, \tilde{g})$  are Riemannian manifolds, a map  $\phi : M \rightarrow \tilde{M}$  is a *local isometry* if each point  $p \in M$  has a neighborhood  $U$  such that  $\phi|_U$  is an isometry onto an open subset on  $\tilde{M}$ .

**Definition 2.7.** A Riemannian  $n$ -manifold is said to be *flat* if it is locally isometric to a Euclidean space, that is, if every point has a neighborhood that is isometric to an open set in  $\mathbb{R}^n$  with its Euclidean metric.

**Definition 2.8.** An isometry from  $(M, g)$  to itself is called an *isometry of  $(M, g)$* . The set of all isometries of  $(M, g)$  is a group under composition, called the *isometry group of  $(M, g)$* .

### 2.3 Local Representations for Metrics

Suppose  $(M, g)$  is a Riemannian manifold with or without boundary. If  $(x^1, \dots, x^n)$  are any smooth local coordinates on an open subset  $U \subseteq M$ , then  $g$  can be written locally on  $U$  as

$$g = g_{ij} dx^i \otimes dx^j$$

for some collection of  $n^2$  smooth functions  $g_{ij}$  for  $i, j = 1, \dots, n$ .

Using the symmetry of  $g_{ij}$ , we compute

$$g = g_{ij} dx^i \otimes dx^j = g_{ij} dx^i dx^j$$

**Definition 2.9.** A local frame  $(E_i)$  for  $M$  on open set  $U$  is said to be an orthonormal frame if the vectors  $E_1|_p, \dots, E_n|_p$  are an orthonormal basis for  $T_pM$  at each  $p \in U$ .

*Remark 2.10.* Equivalently,  $(E_i)$  is an orthonormal frame if and only if

$$\langle E_i, E_j \rangle = \delta_{ij},$$

in which case  $g$  has the local expression

$$g = (\varepsilon^1)^2 + \dots (\varepsilon^n)^2,$$

where  $(\varepsilon^i)^2$  denotes the symmetric product  $\varepsilon^i \varepsilon^i = \varepsilon^i \otimes \varepsilon^i$ .

**Proposition 2.11.** *Let  $(M, g)$  be a Riemannian  $n$ -manifold with or without boundary. If  $(X_j)$  is any smooth local frame for  $TM$  over an open subset  $U \subseteq M$ , then there is a smooth orthonormal frame  $(E_j)$  over  $U$  such that  $\text{span}(E_1|_p, \dots, E_k|_p) = \text{span}(X_1|_p, \dots, X_k|_p)$  for each  $k = 1, \dots, n$  and each  $p \in U$ . In particular, for every  $p \in M$ , there is a smooth orthonormal frame  $(E_j)$  defined on some neighborhood of  $p$ .*

**Definition 2.12.** For a Riemannian manifold  $(M, g)$  with or without boundary, we define the *unit tangent bundle* to be the subset  $UTM \subseteq TM$  consisting of unit vectors:

$$UTM := \left\{ (p, v) \in TM : |v|_g = 1 \right\}.$$

**Proposition 2.13.** *If  $(M, g)$  is a Riemannian manifold with or without boundary, its unit tangent bundle  $UTM$  is a smooth, properly embedded codimension-1 submanifold with boundary in  $TM$ , with  $\partial(UTM) = \pi^{-1}(\partial M)$ , where  $\pi : UTM \rightarrow M$  is the canonical projection. The unit tangent bundle is connected if and only if  $M$  is connected, and compact if and only if  $M$  is compact.*

## 3 The Levi-Civita Connection

### 3.1 Euclidean Case

Suppose  $\gamma : I \rightarrow M$  is a smooth curve. Then  $\gamma$  can be regarded as either a smooth curve in  $M$  or a smooth curve in  $\mathbb{R}^n$ , and a smooth vector field  $V$  along  $\gamma$  that takes its values in  $TM$  can be regarded as either a vector field along  $\gamma$  in  $M$  or a vector field along  $\gamma$  in  $\mathbb{R}^n$ . Let  $\overline{D}_t V$  denote the covariant derivative of  $V$  along  $\gamma$  w.r.t. the Euclidean connection  $\overline{\nabla}$ , and let  $D_t^\top V$  denote its covariant derivative along  $\gamma$  w.r.t. the tangential connection  $\nabla^\top$ .

**Proposition 3.1.** *Let  $M \subseteq \mathbb{R}^n$  be an embedded submanifold,  $\gamma : I \rightarrow M$  a smooth curve in  $M$ , and  $V$  a smooth vector field along  $\gamma$  that takes its values in  $TM$ . Then for each  $t \in I$ ,*

$$D_t^\top V(t) = \pi^\top(\overline{D}_t V(t)).$$

**Corollary 3.2.** *Suppose  $M \subseteq \mathbb{R}^n$  is an embedded submanifold. A smooth curve  $\gamma : I \rightarrow M$  is a geodesic w.r.t. the tangential connection on  $M$  if and only if its ordinary acceleration  $\gamma''(t)$  is orthogonal to  $T_{\gamma(t)}M$  for all  $t \in I$ .*

### 3.2 Connections on Abstract Riemannian Manifolds

As we can verify that the Euclidean connection on  $\mathbb{R}^n$  has one nice property w.r.t. Euclidean metric: it satisfies the product rule

$$\bar{\nabla}_X \langle Y, Z \rangle = \langle \bar{\nabla}_X Y, Z \rangle + \langle Y, \bar{\nabla}_X Z \rangle.$$

We define the following:

**Definition 3.3.** A connection  $\nabla$  on  $TM$  is said to be *compatible with  $g$* , or to be a *metric connection*, if for all  $X, Y, Z \in \mathfrak{X}(M)$ :

$$\nabla_X \langle Y, Z \rangle = \langle \nabla_X Y, Z \rangle + \langle Y, \nabla_X Z \rangle.$$

**Proposition 3.4.** Let  $(M, g)$  be a Riemannian manifold, and let  $\nabla$  be a connection on  $TM$ . The following conditions are equivalent:

1.  $\nabla$  is compatible with  $g$ :

$$\nabla_X \langle Y, Z \rangle = \langle \nabla_X Y, Z \rangle + \langle Y, \nabla_X Z \rangle.$$

2.  $g$  is parallel w.r.t.  $\nabla$ :  $\nabla g \equiv 0$ .

3. In terms of any smooth local frame  $(E_i)$ , the connection coefficients of  $\nabla$  satisfy

$$\Gamma_{ki}^l g_{lj} + \Gamma_{kj}^l g_{il} = E_k(g_{ij}).$$

4. If  $V, W$  are smooth vector fields along any smooth curve  $\gamma$ , then

$$\frac{d}{dt} \langle V, W \rangle = \langle D_t V, W \rangle + \langle V, D_t W \rangle.$$

5. If  $V, W$  are parallel vector fields along a smooth curve  $\gamma$  in  $M$ , then  $\langle V, W \rangle$  is constant along  $\gamma$ .
6. Given any smooth curve  $\gamma$  in  $M$ , every parallel transport map along  $\gamma$  is a linear isometry.
7. Given any smooth curve  $\gamma$  in  $M$ , every orthonormal basis at a point  $\gamma$  can be extended to a parallel orthonormal frame along  $\gamma$ .

**Corollary 3.5.** Suppose  $(M, g)$  is a Riemannian or pseudo-Riemannian manifold with or without boundary,  $\nabla$  is a metric connection on  $M$ , and  $\gamma : I \rightarrow M$  is a smooth curve.

1.  $|\gamma'(t)|$  is constant if and only if  $D_t \gamma'(t)$  is orthogonal to  $\gamma'(t)$  for all  $t \in I$ .
2. If  $\gamma$  is a geodesic, then  $|\gamma'(t)|$  is constant.

**Proposition 3.6.** If  $M$  is an embedded Riemannian or pseudo-Riemannian submanifold of  $\mathbb{R}^n$  or  $\mathbb{R}^{r,s}$ , the tangential connection on  $M$  is compatible with the induced Riemannian or pseudo-Riemannian metric.

### 3.3 Symmetric Connections

As we can verify that the Euclidean connection on  $\mathbb{R}^n$  has another nice property w.r.t. Euclidean metric: it satisfies the product rule

$$\bar{\nabla}_X Y - \bar{\nabla}_Y X = [X, Y].$$

Thus, we naturally define the following:

**Definition 3.7.** We say that a connection  $\nabla$  on the tangent bundle of a smooth manifold  $M$  is *symmetric* if

$$\nabla_X Y - \nabla_Y X \equiv [X, Y], \quad \text{for all } X, Y \in \mathfrak{X}(M).$$

To study this, we define

**Definition 3.8.** The *torsion tensor* is the smooth  $(1, 2)$ -tensor field  $\tau : \mathfrak{X}(M) \times \mathfrak{X}(M) \rightarrow \mathfrak{X}(M)$  defined by

$$\tau(X, Y) := \nabla_X Y - \nabla_Y X - [X, Y].$$

**Proposition 3.9.** *If  $M$  is an embeded (pseudo-)Riemannian submanifold of a (pseudo-)Euclidean space, then the tangential connection on  $M$  is symmetric.*

**Theorem 3.10.** *Let  $(M, g)$  be a Riemannian or pseudo-Riemannian manifold (with or without boundary). There exists a unique connection  $\nabla$  on  $TM$  that is compatible with  $g$  and symmetric. It is called the Levi-Civita connection of  $g$ .*

## 4 Curvature

### 4.1 The Curvature Tensor

**Definition 4.1.** Let  $(M, g)$  be a Riemannian or pseudo-Riemannian manifold, and define a map  $R : \mathfrak{X}(M) \times \mathfrak{X}(M) \times \mathfrak{X}(M) \rightarrow \mathfrak{X}(M)$ , by

$$R(X, Y)Z := \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X, Y]} Z.$$

Then  $R$  is a  $(1, 3)$ -tensor field on  $M$ . For each pair of vector fields  $X, Y \in \mathfrak{X}(M)$ , then map  $R(X, Y) : \mathfrak{X}(M) \rightarrow \mathfrak{X}(M)$  given by  $Z \mapsto R(X, Y)Z$  is a smooth bundle endomorphism of  $TM$ , called the *curvature endomorphism determined by  $X$  and  $Y$* . The tensor field  $R$  itself is called the *(Riemannian) curvature endomorphism* or the  *$(1, 3)$ -curvature tensor*.

*Remark 4.2.* As a  $(1, 3)$ -tensor field, the curvature endomorphism can be written in terms of any local frame with one upper and three lower indices. For example,

$$R = R_{ijk}{}^l dx^i \otimes dx^j \otimes dx^k \otimes \partial_l,$$

where the coefficients  $R_{ijk}{}^l$  are defined by

$$R(\partial_i, \partial_j)\partial_k = R_{ijk}{}^l \partial_l.$$

**Proposition 4.3.** *Let  $(M, g)$  be a Riemannian or pseudo-Riemannian manifold. In terms of any smooth local coordinates, the components of the  $(1, 3)$ -curvature tensor are given by*

$$R_{ijk}{}^l = \partial_i \Gamma_{jk}^l + \partial_j \Gamma_{ik}^l + \Gamma_{jk}^m \Gamma_{im}^l - \Gamma_{ik}^m \Gamma_{jm}^l.$$

**Proposition 4.4.** *Suppose  $(M, g)$  is a smooth Riemannian or pseudo-Riemannian manifold and  $\Gamma : J \times I \rightarrow M$  is a smooth one-parameter family of curves in  $M$ . Then for every smooth vector field  $V$  along  $\Gamma$ ,*

$$D_s D_t V - D_t D_s V = R(\partial_s \Gamma, \partial_t \Gamma) V.$$

**Definition 4.5.** We define the (Riemannian) curvature tensor to be the  $(0, 4)$ -tensor field  $Rm = R^\flat$  obtained from the  $(1, 3)$ -curvature tensor  $R$  by lowering its last index.

*Remark 4.6.* Its action on vector fields is given by

$$Rm(X, Y, Z, W) = \langle R(X, Y)Z, W \rangle_g.$$

In terms of any smooth local coordinates it is written

$$Rm = R_{ijkl} dx^i \otimes dx^j \otimes dx^k \otimes dx^l,$$

where  $R_{ijkl} = R_{lm} R_{ijk}{}^m$ . Thus 4.3 yields

$$R_{ijkl} = g_{lm} (\partial_i \Gamma_{jk}^m - \partial_j \Gamma_{ik}^m + \Gamma_{jk}^p \Gamma_{ip}^m - \Gamma_{ik}^p \Gamma_{jp}^m).$$

**Proposition 4.7.** *The curvature tensor is a local isometry invariant: if  $(M, g)$  and  $(\widetilde{M}, \widetilde{g})$  are Riemannian or pseudo-Riemannian manifolds and  $\phi : M \rightarrow \widetilde{M}$  is a local isometry, then  $\phi^* \widetilde{Rm} = Rm$ .*

## 4.2 Flat Manifolds

**Definition 4.8.** We say that a connection  $\nabla$  on a smooth manifold  $M$  satisfies the *flatness criterion* if whenever  $X, Y, Z$  are smooth vector fields defined on an open set of  $M$ , the following identity holds:

$$\nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z = \nabla_{[X, Y]} Z.$$

**Lemma 4.9.** *Suppose  $M$  is a smooth manifold, and  $\nabla$  is any connection on  $M$  satisfying the flatness criterion. Given  $p \in M$  and any vector  $v \in T_p M$ , there exists a parallel vector field  $V$  on a neighborhood of  $p$  such that  $V_p = v$ .*

**Theorem 4.10.** *A Riemannian or pseudo-Riemannian manifold is flat if and only if its curvature tensor vanishes identically.*



## 5 The Gauss-Bonnet Theorem

### 5.1 Some Plane Geometry

Troughout this subsection  $\gamma : [a, b] \rightarrow \mathbb{R}^2$  is an admissible curve in the plane.

**Definition 5.1.** We say that  $\gamma$  is a *simple closed curve* if  $\gamma(a) = \gamma(b)$  but  $\gamma$  is injective on  $[a, b)$ . We define the *unit tangent vector field* of  $\gamma$  as the vector field  $T$  along each smooth segment of  $\gamma$  given by

$$T(t) = \frac{\gamma'(t)}{|\gamma'(t)|}.$$

**Definition 5.2.** If  $\gamma$  is smooth, we define a *tangent angle function* for  $\gamma$  to be a continuous function  $\theta : [a, b] \rightarrow \mathbb{R}$  such that  $T(t) = (\cos \theta(t), \sin \theta(t))$  for all  $t \in [a, b]$ . (Not necessarily unique, but determined once its value at any single point is determined) If  $\gamma$  is a continuously differentiable simple closed curve such that  $\gamma'(a) = \gamma'(b)$ , we define the *rotation index* of  $\gamma$  to be the following integer

$$\rho(\gamma) = \frac{1}{2\pi}(\theta(b) - \theta(a)),$$

where  $\theta$  is any tangent angle function for  $\gamma$ .

We would like to extend the definition of the rotation index to certain piecewise regular closed curves.

**Definition 5.3.** Suppose  $\gamma : [a, b] \rightarrow \mathbb{R}^2$  is an admissible simple closed curve, and let  $(a_0, \dots, a_k)$  be an admissible partition of  $[a, b]$ . The points  $\gamma(a_i)$  are called the *vertices* of  $\gamma$ , and the curve segments  $\gamma|_{[a_{i-1}, a_i]}$  are called its *edges*.

At each vertex  $\gamma(a_i)$  we use  $\gamma'(a_i^-)$  and  $\gamma'(a_i^+)$  to denote left-hand and right-hand velocity vectors, respectively; let  $T(a_i)^-$  and  $T(a_i)^+$  denote the corresponding unit vectors. We classify each vertex into the following manners:

- If  $T(a_i^-) \neq \pm T(a_i^+)$ , then  $\gamma(a_i)$  is an *ordinary vertex*.
- If  $T(a_i^-) = T(a_i^+)$ , then  $\gamma(a_i)$  is an *flat vertex*.
- If  $T(a_i^-) = -T(a_i^+)$ , then  $\gamma(a_i)$  is an *cusp vertex*.

At each ordinary vertex, define the *exterior angle* at  $\gamma(a_i)$  to be the oriented measure  $\varepsilon$  of the angle from  $T(a_i^-)$  to  $T(a_i^+)$ , chosen to be in the interval  $(-\pi, \pi)$ , with a positive sign if  $(T(a_i^-), T(a_i^+))$  is an oriented basis for  $\mathbb{R}^2$ , and a negative sign otherwise. At cusp vertex, we leave the exterior angle undefined, since there is no simple way to choose unambiguously.

If  $\gamma(a_i)$  is an ordinary or a flat vertex, the *interior angle* at  $\gamma(a_i)$  is defined to be  $\theta_i = \pi - \varepsilon_i$ .

**Definition 5.4.** A *curved polygon* in the plane is an admissible simple closed curve without cusp vertices, whose image is the boundary of a precompact open set  $\Omega \subseteq \mathbb{R}^2$ . The set  $\Omega$  is called the *interior* of  $\gamma$ .

**Definition 5.5.** Suppose  $\gamma : [a, b] \rightarrow \mathbb{R}^2$  is a curved polygon. If  $\gamma$  is parametrized so that at smooth points,  $\gamma'$  is positively oriented w.r.t. the induced orientation on  $\partial\Omega$  in the sense of Stokes's theorem, we say that  $\gamma$  is *positively oriented*.

**Definition 5.6.** We define a *tangent angle function* for a curved polygon  $\gamma$  to be a piecewise continuous function  $\theta : [a, b] \rightarrow \mathbb{R}$  that satisfies  $T(t) = (\cos \theta(t), \sin \theta(t))$  at each point  $t$  where  $\gamma$  is smooth, that is continuous from the right at each  $a_i$  with

$$\theta(a_i) = \lim_{t \nearrow a_i} \theta(t) + \varepsilon_i,$$

and that satisfies

$$\theta(b) = \lim_{t \nearrow b} \theta(t) + \varepsilon_k,$$

where  $\varepsilon_k$  is the exterior angle at  $\gamma(b)$ .

We define the *rotation-index* of  $\gamma$  to be  $\rho(\gamma) = \frac{1}{2\pi}(\theta(b) - \theta(a))$ .

**Theorem 5.7.** *The rotation index of a positively oriented curved polygon in the plane is +1.*

## 5.2 The Gauss-Bonnet Formula

Troughout this subsection,  $(M, g)$  is an oriented 2-manifold. Like what we do in the previous subsection, we define the followings:

**Definition 5.8.** An admissible simple closed curve  $\gamma : [a, b] \rightarrow M$  is called a *curved polygon* in  $M$  if the image of  $\gamma$  is the boundary of a precompact open set  $\Omega \subseteq M$ , and there is an oriented smooth coordinate disk containing  $\overline{\Omega}$  under whose image  $\gamma$  is a curved polygon in the plane.

A curved polygon whose edges are all geodesic segments is called a *geodesic polygon*.

For a curved polygon  $\gamma$  in  $M$ , our previous definitions go through almost unchanged. We say that  $\gamma$  is *positively oriented* if it is parametrized in the direction of its Stokes orientation as the boundary of  $\Omega$ .

On each smooth segment of  $\gamma$ , we define the *unit tangent vector field*  $T(t) = \gamma'(t) / |\gamma'(t)|_g$ . If  $\gamma(a_i)$  is an ordinary or flat vertex, we define the *exterior angle* of  $\gamma$  at  $\gamma(a_i)$  to be

$$\varepsilon := \frac{dV_g(T(a_i^-), T(a_i^+))}{|dV_g(T(a_i^-), T(a_i^+))|} \arccos \langle T(a_i^-), T(a_i^+) \rangle_g.$$

The corresponding *interior angle* of  $\gamma$  at  $\gamma(a_i)$  is  $\theta_i = \pi - \varepsilon_i$ .

**Definition 5.9.** Suppose  $\gamma : [a, b] \rightarrow M$  is a curved polygon and  $\Omega$  is its interior, and let  $(U, \phi)$  be an oriented smooth chart such that  $U$  contains  $\overline{\Omega}$ . Applying the Gram-Schmidt algorithm to  $(\partial_x, \partial_y)$ , we can find an oriented orthonormal frame  $(E_1, E_2)$ .

We define a *tangent angle function* for  $\gamma$  to be a piecewise continuous function  $\theta : [a, b] \rightarrow \mathbb{R}$  that satisfies

$$T(t) = \cos \theta(t) E_1|_{\gamma(t)} + \sin \theta(t) E_2|_{\gamma(t)}$$

at each  $t$  where  $\gamma'$  is continuous, and that is continuous from the right like 5.6.

The *rotation index* of  $\gamma$  is  $\rho(\gamma) = \frac{1}{2\pi}(\theta(b) - \theta(a))$ .

## 6 Jacobi Fields

### 6.1 Conjugate Points

**Definition 6.1.** Given a geodesic segment  $\gamma : [a, c] \rightarrow M$ , we say that  $\gamma$  has a *conjugate point* if there is some  $b \in (a, c]$  such that  $\gamma(b)$  is conjugate to  $\gamma(a)$  along  $\gamma$ , and  $\gamma$  has an *interior conjugate point* if there is such a  $b \in (a, c)$ .

**Theorem 6.2.** Let  $(M, g)$  be a Riemannian manifold and  $p, q \in M$ . If  $\gamma$  is a unit-speed geodesic segment from  $p$  to  $q$  that has an interior conjugate point, then there exists a proper normal vector field  $X$  along  $\gamma$  such that  $I(X, X) < 0$ . Therefore,  $\gamma$  is not minimizing.

**Lemma 6.3.** Let  $\gamma : [a, b] \rightarrow M$  be a geodesic segment, and suppose  $J_1$  and  $J_2$  are Jacobi fields along  $\gamma$ . Then  $\langle D_t J_1(t), J_2(t) \rangle - \langle J_1(t), D_t J_2(t) \rangle$  is constant along  $\gamma$ .

**Theorem 6.4.** Let  $(M, g)$  be a Riemannian manifold. Suppose  $\gamma : [a, b] \rightarrow M$  is a unit-speed geodesic segment without interior conjugate points. If  $V$  is any proper normal piecewise smooth vector field along  $\gamma$ , then  $I(V, V) \geq 0$ , with equality if and only if  $V$  is a Jacobi field. In particular, if  $\gamma(b)$  is not conjugate to  $\gamma(a)$  along  $\gamma$ , then  $I(V, V) > 0$ .

*Proof.* After replacing  $t$  by  $t - a$ , assume that  $a = 0$ . Let  $p = \gamma(0)$ , and let  $(w_1, \dots, w_n)$  be an orthonormal basis for  $T_p M$  such that  $w_1 = \gamma'(0)$ . For each  $\alpha = 2, \dots, n$ , let  $J_\alpha$  be the unique normal Jacobi field along  $\gamma$  satisfying  $J_\alpha(0) = 0$  and  $D_t J_\alpha(0) = w_\alpha$ . By assumption, no nonzero linear combination of the  $J_\alpha(t)$ 's can vanish for any  $t \in (0, b)$ , and thus  $(J_\alpha(t))$  forms a basis for the orthogonal complement of  $\gamma'(t)$  in  $T_{\gamma(t)} M$  for each such  $t$ . Hence, we can write

$$V(t) = v^\alpha(t) J_\alpha(t)$$

for some piecewise smooth functions  $v^\alpha : (0, b) \rightarrow \mathbb{R}$ .

In fact, each function  $v^\alpha$  has a piecewise smooth extension to  $[0, b]$ . Let  $(x^i)$  be the normal coordinates centered at  $p$  determined by the basis  $(w_i)$ . For sufficiently small  $t > 0$ , we can express  $J_\alpha(t)$  and  $V(t)$  in normal coordinates as

$$\begin{aligned} J_\alpha(t) &= t \frac{\partial}{\partial x_\alpha} \Big|_{\gamma(t)}, \quad \alpha = 2, \dots, n \\ V(t) &= v^\alpha(t) J_\alpha(t) = t v^\alpha(t) \frac{\partial}{\partial x_\alpha} \Big|_{\gamma(t)}. \end{aligned}$$

Because  $V$  is smooth on  $[0, \delta)$  for some  $\delta > 0$  and  $V(0) = 0$ , it follows from Taylor's theorem that the component of  $V$  extend smoothly to  $[0, \delta)$ , which shows that  $v^\alpha$  is smooth here. For  $b$ , it follows similarly.

Let  $(a_0, \dots, a_k)$  be an admissible partition for  $V$ . On each subinterval  $(a_{i-1}, a_i)$  where  $V$  is smooth, define vector fields  $X$  and  $Y$  along  $\gamma$  by

$$X = v^\alpha D_t J_\alpha, \quad Y = \dot{v}^\alpha J_\alpha.$$

Then  $D_t V = X + Y$  for each intervals. And the fact that  $V$  is piecewise smooth implies that  $D_t V, X$  and  $Y$  extend smoothly to  $[a_{i-1}, a_i]$  for each  $i$ , with one-sided derivatives at the endpoints.

To compute  $I(V, V)$ , we need one more equation on each subintervals  $[a_{i-1}, a_i]$ :

$$|D_t V|^2 - Rm(V, \gamma', \gamma', V) = \frac{d}{dt} \langle V, X \rangle + |Y|^2.$$

Note that

$$\frac{d}{dt} \langle V, X \rangle = \langle D_t V, X \rangle + \langle V, D_t X \rangle = \langle X + Y, X \rangle + \langle V, D_t X \rangle.$$

The Jacobi equation gives

$$\begin{aligned} D_t X &= \dot{v}^\alpha D_t J_\alpha + v^\alpha D_t^2 J_\alpha = \dot{v}^\alpha D_t J_\alpha - v^\alpha R(J_\alpha, \gamma') \gamma' \\ &= \dot{v}^\alpha D_t J_\alpha - R(V, \gamma') \gamma'. \end{aligned}$$

Therefore,  $\langle D_t X, V \rangle = \langle \dot{v}^\alpha D_t J_\alpha, v^\beta J_\beta \rangle - Rm(V, \gamma', \gamma', V)$ . By 6.3 and  $\langle D_t J_\alpha, J_\beta \rangle = \langle J_\alpha, D_t J_\beta \rangle = 0$  at  $t = 0$ , we know  $\langle D_t J_\alpha, J_\beta \rangle = \langle J_\alpha, D_t J_\beta \rangle = 0$  all along  $\gamma$ . Hence

$$\begin{aligned} \langle \dot{v}^\alpha D_t J_\alpha, v^\beta J_\beta \rangle &= \dot{v}^\alpha v^\beta \langle D_t J_\alpha, J_\beta \rangle = \dot{v}^\alpha v^\beta \langle J_\alpha, D_t J_\beta \rangle \\ &= \langle \dot{v}^\alpha J_\alpha, v^\beta D_t J_\beta \rangle = \langle Y, X \rangle. \end{aligned}$$

So  $\langle D_t X, V \rangle = \langle Y, X \rangle - Rm(V, \gamma', \gamma', V)$ , and then

$$\begin{aligned} \frac{d}{dt} \langle V, X \rangle &= \langle X + Y, X \rangle + \langle Y, X \rangle - Rm(V, \gamma', \gamma', V) \\ &= |X + Y|^2 - |Y|^2 - Rm(V, \gamma', \gamma', V), \end{aligned}$$

which is the equation we want.

Now, by the fundamental theorem of calculus to compute

$$\begin{aligned} I(V, V) &= \sum_{i=1}^k \int_{a_{i-1}}^{a_i} (|D_t V|^2 - Rm(V, \gamma', \gamma', V)) dt \\ &= \sum_{i=1}^k \langle V, X \rangle \Big|_{t=a_{i-1}}^{t=a_i} + \int_0^b |Y|^2 dt, \end{aligned}$$

where the first term on the RHS cancels by the continuity of  $V$  and  $X$ . If  $I(V, V) = 0$  then  $Y \equiv 0$  on  $(0, b)$ . Then  $\dot{v}^\alpha \equiv 0$ , so each  $v^\alpha$  is constant.  $V$  is a linear combination of Jacobi fields, and hence it is so.  $\square$

**Corollary 6.5.** *Let  $(M, g)$  be a Riemannian manifold, and let  $\gamma : [a, b] \rightarrow M$  be a unit speed geodesic segment.*

1. *If  $\gamma$  has an interior conjugate point, then it is not minimizing.*
2. *If  $\gamma(a)$  and  $\gamma(b)$  are conjugate but  $\gamma$  has no interior conjugate points, then for every proper normal variation  $\Gamma$  of  $\gamma$ , the curve  $\Gamma_s$  is strictly longer than  $\gamma$  for all sufficiently small nonzero  $s$  unless the variation field of  $\Gamma$  is a Jacobi field.*
3. *If  $\gamma$  has no conjugate points, then for every proper normal variation  $\Gamma$  of  $\gamma$ , the curve  $\Gamma_s$  is strictly longer than  $\gamma$  for all sufficiently small nonzero  $s$ .*

## 6.2 Cut Points

**Definition 6.6.** Suppose  $(M, g)$  is a complete, connected Riemannian manifold,  $p$  is a point of  $M$ , and  $v \in T_p M$ . Define the *cut time* of  $(p, v)$  by

$$t_{\text{cut}}(p, v) = \sup \{b > 0 : \text{the restriction of } \gamma_v \text{ to } [0, b] \text{ is minimizing}\},$$

where  $\gamma_v$  is the maximal geodesic starting at  $p$  with initial velocity  $v$ .

If  $t_{\text{cut}}(p, v) < \infty$ , the *cut point* of  $p$  along  $\gamma_v$  is the point  $\gamma_v(t_{\text{cut}}(p, v)) \in M$ . The *cut locus* of  $p$ , denoted by  $\text{Cut}(p)$ , is the set of all  $q \in M$  such that  $q$  is the cut point of  $p$  along some geodesic.

**Proposition 6.7.** Suppose  $(M, g)$  is a complete, connected Riemannian manifold,  $p \in M$ , and  $v$  is a unit vector in  $T_p M$ . Let  $c = t_{\text{cut}}(p, v) \in (0, \infty]$ .

1. If  $0 < b < c$ , then  $\gamma_v|_{[0, b]}$  has no conjugate points and is the unique unit-speed minimizing curve between its endpoints.
2. If  $c < \infty$ , then  $\gamma_v|_{[0, c]}$  is minimizing, and one or both of the following conditions are true.
  - $\gamma_v(c)$  is conjugate to  $p$  along  $\gamma_v$ .
  - There are two or more unit-speed minimizing geodesics from  $p$  to  $\gamma_v(c)$ .

*Proof.* Suppose first that  $0 < b < c$ . Then the minimizing statement follows from 6.2. To see that  $\gamma_v|_{[0, b]}$  is the unique unit-speed minimizing curve, suppose for the sake of contradiction that  $\sigma : [0, b] \rightarrow M$  is another. Then  $\sigma'(b) \neq \gamma_v'(b)$  by the uniqueness of the geodesics. Define a new unit-speed admissible curve  $\tilde{\gamma} : [0, b'] \rightarrow M$  for some  $b < b' < c$  that is equal to  $\gamma(t)$  for  $t \in [0, b]$  and equal to  $\gamma_v(t)$  for  $t \in [b, b']$ . Then  $\tilde{\gamma}$  is minimizing but not smooth, and hence not geodesic, a contradiction.

Suppose  $c < \infty$ . □

**Theorem 6.8.** Suppose  $(M, g)$  is a complete, connected Riemannian manifold. The function  $t_{\text{cut}} : UTM \rightarrow (0, \infty]$  is continuous.

**Definition 6.9.** Given  $p \in M$ , we define two subsets of  $T_p M$  as follows: the *tangent cut locus* of  $p$  is the set

$$\text{TCL}(p) = \{v \in T_p M : |v| = t_{\text{cut}}(p, v/|v|)\},$$

and the *injective domain* of  $p$  is

$$\text{ID}(p) = \{v \in T_p M : |v| < t_{\text{cut}}(p, v/|v|)\}.$$

It is immediate that  $\text{TCL}(p) = \partial \text{ID}(p)$  is  $\text{Cut}(p) = \exp_p(\text{TCL}(p))$ .

**Theorem 6.10.** Let  $(M, g)$  be a complete, connected Riemannian manifold and  $p \in M$ .

1. The cut locus of  $p$  is a closed subset of  $M$  of measure zero.
2. The restriction of  $\exp_p$  to  $\overline{\text{ID}(p)}$  is surjective.

3. The restriction of  $\exp_p$  to  $ID(p)$  is a diffeomorphism onto  $M \setminus \text{Cut}(p)$ .

*Proof.* The closeness of  $\text{Cut}(p)$  follows from 6.8 and the preimages of the points of the sequence in  $\text{Cut}(p)$  is bounded. The fact that  $\text{Cut}(p)$  is measure zero follows from  $\text{TCL}(p)$  can be expressed locally as the graph of the continuous function  $r = t_{\text{cut}}(p, (\theta^1, \dots, \theta^{n-1}))$  in polar coordinates and the Sard's theorem.

The second statement follows from the lemma of Hopf-Rinow's theorem.

For the third statement, the local diffeomorphism follows from the equivalent definition of conjugate points and the injectivity follows from 6.7.  $\square$

**Corollary 6.11.** *Every compact, connected, smooth  $n$ -manifold is homeomorphic to a quotient space of  $\mathbb{B}^n$  by an equivalence relation that identifies only points on the boundary.*

## 7 Curvature and Topology

### 7.1 Cartan-Hadamard Theorem

**Theorem 7.1** (Cartan-Hadamard). *If  $(M, g)$  is a complete, connected, Riemannian manifold with nonpositive sectional curvature, then for every point  $p \in M$ , the map  $\exp_p : T_p M \rightarrow M$  is a smooth covering map. Thus the universal covering space of  $M$  is diffeomorphic to  $\mathbb{R}^n$ , and if  $M$  is simply connected, then  $M$  itself is diffeomorphic to  $\mathbb{R}^n$ .*

Hence, we can define

**Definition 7.2.** A complete, simply connected Riemannian manifold with nonpositive sectional curvature is called a *Cartan-Hadamard manifold*.

**Definition 7.3.** A *line* in a Riemannian manifold is the image of a nonconstant geodesic that is defined on all of  $\mathbb{R}$  and restricts to a minimizing segment between any two of its points.

**Proposition 7.4.** *Suppose  $(M, g)$  is a Cartan-Hadamard manifold.*

1. *The injectivity radius of  $M$  is infinite.*
2. *The image of every nonconstant maximal geodesic in  $M$  is a line.*
3. *Any two distinct points in  $M$  are contained in a unique line.*
4. *Every open or closed metric ball in  $M$  is a geodesic ball.*
5. *For every point  $q \in M$ , the function  $r(x) = d_g(q, x)$  is smooth on  $M \setminus \{q\}$  and  $r(x)^2$  is smooth on all of  $M$ .*

**Definition 7.5.** Suppose  $A, B, C$  are three points in a Cartan-Hadamard manifold that are *noncollinear*, meaning that they are not all contained in a single line. The *geodesic triangle*  $\triangle ABC$  determined by the points is the union of the images of the geodesic segments connecting the three points.

If  $\triangle ABC$  is a geodesic triangle, we denote the angle in  $T_A M$  formed by the initial velocities of the geodesic segments from  $A$  to  $B$  and  $A$  to  $C$  by  $\angle A$  or  $\angle CAB$  if necessary to avoid ambiguity, and similarly for the other angles.

**Proposition 7.6.** *Suppose  $\triangle ABC$  is a geodesic triangle in a Cartan-Hadamard manifold  $(M, g)$ , and let  $a, b, c$  denote the lengths of the segments opposite the vertices  $A, B$  and  $C$ , respectively. The following inequalities hold:*

1.  $c^2 \geq a^2 + b^2 - 2ab \cos \angle C$ .

2.  $\angle A + \angle B + \angle C \leq \pi$ .

**Corollary 7.7.** *No simply connected compact manifold admits a metric of nonpositive sectional curvature.*

**Corollary 7.8.** *Suppose  $M$  and  $N$  are positive-dimensional compact, connected smooth manifolds, at least one of which is simply connected. Then  $M \times N$  does not admit any Riemannian metric of nonpositive sectional curvature.*

## 7.2 Cartan's Torsion Theorem

**Lemma 7.9.** *Suppose  $(M, g)$  is a Cartan-Hadamard manifold. Given  $q \in M$ , let  $f : M \rightarrow [0, \infty)$  be the function  $f(x) = \frac{1}{2}d_g(x, q)^2$ . Then  $f$  is strictly geodesically convex, in the sense that for every geodesic segment  $\gamma : [0, 1] \rightarrow M$ , the following inequality holds for all  $t \in (0, 1)$ :*

$$f(\gamma(t)) < (1 - t)f(\gamma(0)) + tf(\gamma(1)).$$

**Lemma 7.10.** *Suppose  $(M, g)$  is a Cartan-Hadamard manifold and  $S$  is a compact subset of  $M$  containing more than one point. Then there is a unique closed ball of minimum radius containing  $S$ .*

Let us call the center of the smallest enclosing ball the 1-center of the set  $S$  in 7.10.

**Theorem 7.11** (Cartan's Fixed-Point Theorem). *Suppose  $(M, g)$  is a Cartan-Hadamard manifold and  $G$  is a compact Lie group acting smoothly and isometrically on  $M$ . Then  $G$  has a fixed point in  $M$ , that is, a point  $p_0 \in M$  such that  $\phi.p_0 = p_0$  for all  $\phi \in G$ .*

*Proof.* Let  $q_0 \in M$  be arbitrary, and let  $S = G.q_0$ . If  $\#S = 1$ , then  $q_0$  is a fixed point. So we may assume  $\#S > 1$ .  $S$  is a continuous image of a compact set, hence  $S$  is compact. By 7.10, there exists a unique smallest closed geodesic ball containing  $S$ , denoted its center by  $p_0$  and its radius by  $c_0$ .

Now let  $\phi_0 \in G$  be arbitrary, then  $\phi_0.S = S$ .  $G$  acts by isometries, so  $\phi_0.\overline{B}_{c_0}(p_0) = \overline{B}_{c_0}(\phi_0.p_0)$ . By the uniqueness of  $S$ ,  $\phi_0.p_0 = p_0$ .  $p_0$  is fixed, since  $\phi_0$  is arbitrary.  $\square$

**Corollary 7.12** (Cartan's Torsion Theorem). *Suppose  $(M, g)$  is a complete, connected Riemannian manifold with nonpositive sectional curvature. Then  $\pi_1(M)$  is torsion-free.*

## 7.3 Preissman's Theorem

**Definition 7.13.** Suppose  $(M, g)$  is a complete Riemannian manifold and  $\phi : M \rightarrow M$  is an isometry. A geodesic  $\gamma : \mathbb{R} \rightarrow M$  is called an *axis* for  $\phi$  if  $\phi$  restricts to a nontrivial translation along  $\gamma$ , that is, if there is a nonzero constant  $c$  such that  $\phi(\gamma(t)) = \gamma(t + c)$  for all  $t \in \mathbb{R}$ . An isometry with no fixed points that has an axis is said to be *axial*.

**Lemma 7.14.** *Suppose  $(M, g)$  is a compact, connected Riemannian manifold, and  $\pi : \widetilde{M} \rightarrow M$  is its universal covering manifold endowed with the metric  $\tilde{g} = \pi^*g$ . Then every covering automorphism of  $\pi$  has an axis, which restricts to a lift of a closed geodesic in  $M$  that is the shortest admissible path in its free homotopy class.*

**Lemma 7.15.** *Suppose  $(M, g)$  is a Cartan-Hadamard manifold with strictly negative sectional curvature. If  $\phi : M \rightarrow M$  is an axial isometry, then its axis is unique up to reparametrization.*

**Theorem 7.16** (Preissman). *If  $(M, g)$  is a compact, connected Riemannian manifold with strictly negative sectional curvature, then every nontrivial abelian subgroup of  $\pi_1(M)$  is isomorphic to  $\mathbb{Z}$ .*

**Corollary 7.17.** *No product of positive-dimensional connected compact manifolds admits a metric of strictly negative sectional curvature.*

## 7.4 Theorems about Positive Curvature

**Theorem 7.18** (Myers). *Let  $(M, g)$  be a complete, connected Riemannian  $n$ -manifold, and suppose that there is a positive constant  $R$  such that the Ricci curvature of  $M$  satisfies  $Rc(v, v) \geq (n-1)/R^2$  for all unit vectors  $v$ . Then  $M$  is compact, with diameter less than or equal to  $\pi R$ , and its fundamental group is finite.*

**Corollary 7.19.** *Suppose  $(M, g)$  is a compact, connected Riemannian  $n$ -manifold whose Ricci tensor is positive definite everywhere. Then  $M$  has finite fundamental group.*

*Proof.* By condition, the unit tangent bundle of  $M$  is compact, and hence  $Rc(v, v) \geq c$  for all unit tangent vectors  $v$ . Apply 7.18.  $\square$

**Corollary 7.20.** *If  $(M, g)$  is a complete Einstein manifold with positive scalar curvature, then  $M$  is compact.*

**Theorem 7.21** (Cheng's Maximal Diameter Theorem). *Let  $(M, g)$  be a complete, connected Riemannian  $n$ -manifold, and suppose that there is a positive constant  $R$  such that the Ricci curvature of  $M$  satisfies  $Rc(v, v) \geq (n-1)/R^2$  for all unit vectors  $v$ . And  $\text{diam}(M) = \pi R$ . Then  $(M, g)$  is isometric to  $(\mathbb{S}^n(R), g_R^\circ)$ .*

**Theorem 7.22** (Synge). *Suppose  $(M, g)$  is a compact, connected Riemannian  $n$ -manifold with strictly positive sectional curvature.*

1. *If  $n$  is even and  $M$  is orientable, then  $M$  is simply connected.*
2. *If  $n$  is odd, then  $M$  is orientable.*