

1. Consider the following iterative algorithm that finds the length of the longest increasing subsequence in the array $A[1..n]$.

```

1  $L[1] \leftarrow 1$ 
2 for  $i \leftarrow 2$  to  $n$  do
3    $L[i] \leftarrow 1$ 
4   for  $j \leftarrow 1$  to  $i - 1$  do
5     if  $(A[j] < A[i])$  and  $(L[j] \geq L[i])$  then  $L[i] \leftarrow L[j] + 1$ 
6  $m \leftarrow L[n]$ 
7 for  $i \leftarrow 2$  to  $n - 1$  do
8   if  $L[i] > m$  then  $m \leftarrow L[i]$ 

```

(a) Give a precise statement of what it means for this algorithm to be partially correct.

Precondition: n is a positive integer, and $A[1..n]$ is an array with elements from a totally ordered domain.

Postcondition: The array $A[1..n]$ is unchanged, and m is assigned with a positive integer which represents the length of the longest increasing subsequence in $A[1..n]$.

Partially correct: If n is a positive integer n , $A[1..n]$ is an array with elements from a totally ordered domain, and the algorithm is executed and terminated, then $A[1..n]$ is unchanged, and m is assigned with a positive integer which represents the length of the longest increasing subsequence in $A[1..n]$.

(b) Prove that this algorithm is partially correct.

We will use L1, L2 to denote line 1, line 2, and so on.

We also assumed the line numbers of the pseudo-code are fixed (L6, L7, L8 instead of L7, L8, L9).

Proof of Question 1(b).

Assume n is a positive integer, $A[1..n]$ is an array with elements from a totally ordered domain, and the algorithm is executed and terminated.

Since there are no assignments to $A[1..n]$ in the algorithm, $A[1..n]$ is unchanged.

For $l \in \mathbb{N}$. Let $Q(l)$ = “immediately after the l^{th} iteration, $L[l + 1]$ contains the length of the longest increasing (finite) subsequence in $A[1..(l + 1)]$ that ends with the term $A[l + 1]$ ”; and let $P(l)$ = “If the for-loop from line 2 to line 5 is executed at least l times, then $Q(l)$.”

Lemma 1. $\forall l \in \mathbb{N}. P(l)$.

Proof of Lemma 1 by strong induction.

Let $l \in \mathbb{N}$ be arbitrary;

Assume $\forall k \in \mathbb{N}. (k < l) \text{ IMPLIES } P(k)$.

Assume the for-loop from line 2 to line 5 is executed at least l times.

Case 1. $l = 0$.

Then trivially the length of the longest increasing subsequence in $A[1..1]$ is 1, and we assigned $L[1] = 1$ on L1. Thus $Q(0)$ holds.

For Case 1 $Q(l)$.

Case 2. $l = 1$.

Then for the first iteration, $i = 2$; on L3 $L[2]$ is assigned with 1; on L4 since j is from 1 to $2 - 1 = 1$, we only execute L5 once where $j = 1$, and there are 2 subcases due to $L[1] = 1 \geq 1 = L[2]$:

Subcase (1): $A[j] < A[i]$

Then on L5 $L[2]$ is assigned with $L[1] + 1 = 2$, after this we end this iteration, and now

$L[2] = 2$ is indeed the length of the longest increasing subsequence in $A[1..2]$.

Thus $Q(l)$ holds for subcase 2.1.

Subcase (2): $A[j] \geq A[i]$.

Then no assignment on L5 has been made. After this we end this iteration, and now $L[2] = 1$ is indeed the length of the longest increasing subsequence in $A[1..2]$ since $A[j] = A[1] \geq A[2] = A[i]$.

Thus $Q(l)$ holds for subcase 2.2.

For all subcases of Case 2 we have shown $Q(l)$ holds, so for Case 2 $Q(l)$.

Case 3. $l \geq 2$.

We first assign $L[l] = 1$ on L3.

Now, let $S = \{p \in [l] \mid A[p] < A[l]\}$, and let $S' = \{L[p] \mid p \in S\}$.

Subcase (1): $S = \emptyset$.

Then since for all $p \in [l].\text{NOT}(A[p] < A[l])$, no assignment on L5 has been made, and $L[l+1] = 1$ is indeed the length of the longest increasing subsequence in $A[1..(l+1)]$ with the last term $A[l+1]$ (all previous terms are at least $A[l+1]$).

Thus $Q(l)$ holds for subcase 3.1.

Subcase (2): $S \neq \emptyset$.

Then by construction this implies $S' \neq \emptyset$.

$i = l + 1$ on L2.

Since S' is a finite non-empty subset of \mathbb{Z}^+ , we are allowed to construct $q = \max S'$.

Then $q \in S'$, by construction there exists $p' \in S$ such that $q = L[p']$, we instantiate such p' .

By inductive hypothesis (specialization and modus ponens), q is the length of the longest increasing subsequence in $A[1..p']$ that ends with the term $A[p']$. We instantiate such subsequence as $\{s_o\}_{o=1}^q$. Moreover, because $q = \max S'$, this means q is the length of the longest increasing subsequence in $A[1..l]$ that ends with a term less than $A[l]$.

Now, we claim the subsequence $\{s_o\}_{o=1}^q \circ \{A[l+1]\}$ is the longest increasing subsequence in $A[1..(l+1)]$ that ends with the term $A[l+1]$. Indeed, firstly for a subsequence to be both increasing and ends with $A[l+1]$, the term before $A[l+1]$ must be less than $A[l+1]$, and by A8 $\{s_o\}_{o=1}^q \circ \{A[l+1]\}$ is increasing since $\{s_o\}_{o=1}^q$ is increasing and $s_q = A[p'] < A[l+1]$ by definition of S . Secondly, obviously the concatenation shows the subsequence ends with $A[l+1]$. Lastly, $\{s_o\}_{o=1}^q$ being the longest increasing subsequence in $A[1..l]$ implies $\{s_o\}_{o=1}^q \circ \{A[l+1]\}$ is the longest increasing subsequence in $A[1..(l+1)]$ that ends with the term $A[l+1]$.

Therefore, as long as we show $L[l+1] = q + 1$, we can conclude $Q(l)$ holds.

To this end, since $1 \leq p' \leq l = i - 1$, for the for-loop from L4 to L5, right after the iteration where $j = p'$, since $A[p'] < A[l]$, and $L[p'] \geq L[l]$ due to our construction of q , on L5 $L[l+1]$ is assigned with $L[p'] + 1 = q + 1$. And after this iteration, consider 2 cases:

1. If $A[j] < A[l]$, then since $L[x] \leq L[p'] = L[l+1]$ for all $x \in S'$ by construction of q , no assignment on L5 has been made.
2. If $A[j] \geq A[l]$, then no assignment on L5 has been made.

For both subcases 3.2.1 and 3.2.2, we have shown that immediately after the l^{th} iteration, $L[l+1] = q + 1$ is indeed the length of the longest increasing subsequence in $A[1..(l+1)]$ that ends with the term $A[l+1]$. Thus $Q(l)$ holds for subcase 3.2.

For all subcases of Case 3 we have shown $Q(l)$ holds, so for Case 3 $Q(l)$.

For all cases we have shown $Q(l)$ holds, so $Q(l)$.

By direct proof, $P(l)$.

By strong induction, $\forall l \in \mathbb{N}. P(l)$. QED.

Now, since there are no assignments to i and j within their for-loop respectively, and so only finitely many for-loop iterations has performed thus the for loop from L2 to L5 eventually terminates. Now we are on L6.

Case 1. $n = 1$.

Then we assign m with $L[n] = L[1] = 1$ and the algorithm terminates. Thus m is assigned with a positive integer which represents the length of the longest increasing subsequence in $A[1..n]$.

For Case 1 m is assigned with a positive integer which represents the length of the longest increasing subsequence in $A[1..n]$.

Case 2. $n \geq 2$.

From L6 to L8, we assign m with the largest value in $L[2..n]$, since $L[n] \geq L[1]$, such m is also the largest value in $L[1..n]$. Since by Lemma 1 $L[y]$ is the length of the longest increasing subsequence in $A[1..y]$ ending with $A[y]$ for all $y \in [n]$, so the maximum of $L[1..y]$ is indeed the length of the longest increasing subsequence in $A[1..n]$.

For Case 2 m is assigned with a positive integer which represents the length of the longest increasing subsequence in $A[1..n]$.

For all cases m is assigned with a positive integer which represents the length of the longest increasing subsequence in $A[1..n]$, thus by proof of conjunction $A[1..n]$ is unchanged, and m is assigned with a positive integer which represents the length of the longest increasing subsequence in $A[1..n]$.

By direct proof, the algorithm is partially correct. QED.

2. For each $k \in \mathbb{Z}^+$, let $X_k = \{x \in \{0, 1\}^* : \text{NOT}(\exists y \in \{0, 1\}^k. (x = y \cdot y))\}$

and consider the NFA $N_k = (Q, \{0, 1\}, \delta, q_0, F)$, where:

$Q = \{q_i : 0 \leq i \leq 2k + 1\} \cup \{p_i : 0 \leq i \leq k - 1\} \cup \{z_i : 0 \leq i \leq k - 1\}$,

$F = \{q_i : 0 \leq i \leq 2k - 1\} \cup \{q_{2k+1}\}$,

$\delta(q_i, 0) = \{q_{i+1}, z_0\}$ for $0 \leq i \leq k - 1$,

$\delta(q_i, 1) = \{q_{i+1}, p_0\}$ for $0 \leq i \leq k - 1$,

$\delta(q_i, 0) = \delta(q_i, 1) = \{q_{i+1}\}$ for $k \leq i \leq 2k$,

$\delta(q_{2k+1}, 0) = \delta(q_{2k+1}, 1) = \{q_{2k+1}\}$,

$\delta(z_i, 0) = \delta(z_i, 1) = \{z_{i+1}\}$ for $0 \leq i \leq k - 2$,

$\delta(z_{k-1}, 1) = \{q_{2k+1}\}$,

$\delta(z_{k-1}, 0) = \emptyset$,

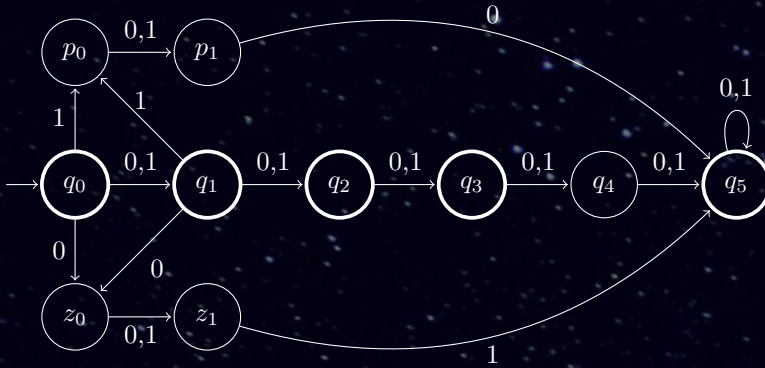
$\delta(p_i, 0) = \delta(p_i, 1) = \{p_{i+1}\}$ for $0 \leq i \leq k - 2$,

$\delta(p_{k-1}, 0) = \{q_{2k+1}\}$,

$\delta(p_{k-1}, 1) = \emptyset$, and

$\delta(q, \lambda) = \emptyset$ for all $q \in Q$.

Here is a drawing for N_2 :



(a) For each state $q \in Q$, describe the set of strings $w \in \{0,1\}^*$ such that $q \in \delta^*(q_0, w)$. Your descriptions should not mention δ .

Description. Let $k \in \mathbb{Z}^+$ be arbitrary. By definition of δ^* , it is equivalent to describe for each $q \in Q$, what strings $w \in \{0,1\}^*$ will lead to state q from the initial state q_0 . We will prove our description in part (b).

Now, if $q = q_i$ for some $i \in [2k] \cup \{0\}$ (we instantiate such i), then q can be reached from q_0 by a string $w \in \{0,1\}^*$ if and only if w is a string with length i .

If $q = q_{2k+1}$, there are 2 cases that the string will reach q_{2k+1} : First, any string $w \in \{0,1\}^*$ with length at least $2k+1$ will reach q_{2k+1} ; Second, if $w \in \{0,1\}^*$ has length at least $k+1$, and there exists 2 letters $a \in w$, $b \in w$ such that $a \neq b$ and they are $k-1$ letters apart, then w will reach q_{2k+1} (by “ \in ” we mean a is one of the letters of the string w , etc).

Let $\omega = \{w \in \{0,1\}^* \mid w \text{ has length at most } k-1\}$.

For p_0 , if $w = w' \cdot 1$ for some $w' \in \omega$, then w will reach p_0 ; For p_i with $i \in [k-1]$, if $w = w' \cdot 1 \cdot w''$ for some $w' \in \omega$ and $w'' \in \{0,1\}^i$, then w will reach p_i .

Similarly, for z_0 , if $w = w' \cdot 0$ for some $w' \in \omega$, then w will reach z_0 ; For z_i with $i \in [k-1]$, if $w = w' \cdot 0 \cdot w''$ for some $w' \in \omega$ and $w'' \in \{0,1\}^i$, then w will reach z_i .

(b) Prove that $L(N_k) = X_k$ for all $k \in \mathbb{Z}^+$.

Proof of Question 2(b).

Let $k \in \mathbb{Z}^+$ be arbitrary.

Let $w \in \{0,1\}^*$ be arbitrary.

Consider 3 cases of the length of w : $|w| < 2k$, $|w| = 2k$, $|w| > 2k$.

Case 1. $|w| < 2k$.

Since $\delta(q_i, 0) = \{q_{i+1}, z_0\}$ and $\delta(q_i, 1) = \{q_{i+1}, p_0\}$ for $0 \leq i \leq k-1$, and $\delta(q_i, 0) = \delta(q_i, 1) = \{q_{i+1}\}$ for $k \leq i \leq 2k$, from these we have $q_{i+1} \in \delta(q_i, \alpha)$ for $0 \leq i \leq 2k$ where $\alpha \in \{0,1\}$.