

**Divide and Conquer:** Divide into disjoint subproblems, then combine

- Merge Sort: in  $O(n \log n)$
- Count inversion: by augmenting Merge Sort
- Closest Pair: by merging center with delta and 11 closest y points
- Karatsuba: By replacing  $x_1y_2 + x_2y_1$  with  $(x_1 + x_2)(y_1 + y_2) - x_1y_1 - x_2y_2$ ; evaluate polynomial at different points
- Strassen: Karatsuba for matrix multiplication

**Greedy:** Local optimal yields global optimal without memory; Prove optimality by contradiction or induction

- Interval Scheduling: Earliest finish time (replace non EFT by EFT)
- Interval Partitioning: Earliest start time (add one if not capable, argue optimal by depth is precisely the solution)
- Minimizing Lateness: Earliest deadline (argue removing inversion)
- Huffman (Lossless compression): Build prefix free tree by merging two smallest freqs (argue combining 2 smallest freqs remains optimal reversely)

**Dynamic Programming:** Greedy with memory; Optimal substructure and overlapping subproblems

Let  $OPT(i)$  be the optimal solution for the first  $i$  items

Let  $S(i)$  denote solution for the first  $i$  items

Bellman Equation:  $OPT(i) = \text{Base Case; Recursive Case}$

Solution:  $S(i) = \text{emptyset}$  for Base Case;  $S(i - 1)$  or augmenting depending on Bellman Equation

Top-down: When not all subproblems are needed

Bottom-up: When all subproblems are needed; Prevents recursive call overhead; free memory early

- Weighted Interval Scheduling: Sort by finish time;
- Knapsack: Any sort; 2D array with weight and items;  $O(nW)$
- Single Source Shortest Path: 2D array with ending node and number of edges;  $O(n^3)$
- Chain Matrix Product: 2D array with starting and ending matrix;  $O(n^3)$
- Edit Distance: 2D array with position of matching;  $O(nm)$  time and space
- Travelling Salesman: 2D array with remaining node set and starting node;  $O(n^2 2^n)$  with

space  $O(n 2^n)$

**Network Flow:** Max flow = Min cut; Augmenting path; Residual graph; Ford-Fulkerson

Source:  $s$ ; Sink:  $t$ ; Non-negative capacities  $c$ ; directed graph

s-t flow is  $f : E \rightarrow \mathbb{R}_{\geq 0}$ ;  $0 \leq f(e) \leq c(e)$ ; sum of incoming flow equals outgoing flow,  $f^{in}(v) = f^{out}(v)$

s-t cut  $(A, B)$  is:  $s \in A, t \in B$ ,  $A$  and  $B$  partition vertices

- Ford-Fulkerson: While residual has path. Let  $bottleneck(P)$  be the minimum capacity of edges in path  $P$  of residual graph, Augment flow  $f$  by sending  $bottleneck(P)$  flow along path  $P$ ;  $O((m + n)C)$ ;
- Edmonds-Karp: Ford-Fulkerson with BFS to find shortest path;  $O(nm^2)$

$v(f) := f^{in}(t) = f^{out}(s)$

$v(f) = f^{out}(A) - f^{in}(A)$  for all  $A$  (prove by summing each  $A$  in  $A$ , cancel in and out within  $A$ )

$Cap(A, B)$ : Sum of capacities of edges from  $A$  to  $B$ ;  $v(f) \leq Cap(A, B)$  for any st-cut  $(A, B)$  by above

- Max Flow Min Cut:  $v(f) = Cap(A, B)$  for some st-cut  $(A, B)$  (Prove by letting  $A$  be reachable nodes from  $s$  and  $B$  be remaining for final  $G_f$  graph of FF, then outgoing edges are saturated (equal to capacity), and entering edges have zero flow otherwise in  $A$ , so  $v(f) = f^{out}(A) = Cap(A, B)$ )

Integrality Theorem: If all capacities are integers, then there exists an integral max flow, same for variants.

For application of network flow, first show 1-1 correspondence between feasible solution and flow by double implication, then

- Bipartite Matching: For bipartite graph, connect  $s$  to left,  $t$  to right, all edges have capacity 1; Max flow is max matching;  $O(nm)$  (flow with value  $k$  corresponds to a matching with cardinality  $k$ )
- Hall's Marriage Theorem: Bipartite graph  $G$  has perfect matching iff  $|N(S)| \geq |S|$  for each  $S \subseteq U$  (edges with  $s$  or  $t$  have capacity 1, otherwise infinity, then cut must isolate  $s$  or  $t$ )
- Edge-Disjoint Paths (Find max num of edge-disjoint paths):(paths are flows by  $f(e) = 1$  if edge  $e$  is in a path otherwise 0, then with capacities 1, exists unique flow; reversely construct

paths by extracting edges from flow);

- Menger's Theorem: The maximum number of edge-disjoint paths from  $s$  to  $t$  is equal to the minimum number of edges (resp. vertices) whose removal disconnects  $s$  and  $t$
- Multiple sources and sinks: Use master source and sink to connect the sources and sinks (with capacities infinity)
- Circulation: Negative supply node  $v$  with value  $k$  sends  $d(v) = k$  more flow than it receives; positive demand node  $v$  with value  $k$  receives  $d(v) = k$  more flow than it sends; zero node is transshipment node; Connect supply nodes with  $s$ , demand nodes with  $t$ , then circulation exists iff max flow value equals the sum of supplies.
- Circulation with Lower Bounds: For lower bound  $k$  between  $u$  and  $v$ , add  $k$  to  $d(u)$  and  $-k$  to  $d(v)$ , subtract  $k$  from upper bound
- Survey Design: Connect  $s$  to each customer with bounds  $[c_i, c'_i]$ , connect each customer to each survey with  $[0, 1]$ , connect each survey to  $t$  with bounds  $[p_j, p'_j]$ , edge from  $s$  to  $t$  with  $[0, \infty]$  (so that all nodes  $d(v) = 0$ )
- Image Segmentation: Find min cut to segment image into two parts

**Linear Programming:** Inputs  $c \in \mathbb{R}^n, A \in \mathbb{R}^{m \times n}, b \in \mathbb{R}^m$  with  $n$  variables and  $m$  constraints

Goal is to minimize  $c^T x$  subject to  $Ax \leq b, x \geq 0$

Flip sign to change  $\geq$  to  $\leq$ , put both  $\geq$  and  $\leq$  to get equality, negate minimization problem to turn into maximization, replace  $x$  with  $x' - x''$  to be unconstrained

LP may be infeasible or unbounded, but optimal implies vertex optimal.

Slack form: minimize  $z = c^T x$  subject to  $s = b - Ax, x, s \geq 0$

- Simplex Algorithm: Start with a vertex  $v$  of the feasible region, while there is a neighbor  $v'$  of  $v$  with better objective value, move to  $v'$  (worst case exponential)
- Start with  $x = 0$  assuming  $b \geq 0$ , then increase a variable  $x$  with **positive** coefficient of  $z$  until it hits a constraint (substitute other non-basic or non-leading variables for constraints, then isolate  $x$  and substitute  $\geq 0$ ), replace that constraint line with  $x$  isolated (then treat this variable as a constraint), and replace all occurrences of  $x$  to the line
- repeat until no more entering variable (positive coefficients on  $z$  line)

Convert  $b \leq 0$  cases:

Start with  $Ax \leq b$ ; change to  $Ax + s = b$ ; flip signs s.t.  $A'x + s' = b'$  for  $b' \geq 0$ ; finally change to  $A'x + s' + z = b'$  with minimizing  $\sum_i z_i$ . If 0 is optimal then substitute back to get solution, otherwise infeasible

We operate the constraints (in different ways) to simulate the objective function to get an upper bound, this shows as a certificate of optimality of the solution

I.e. let  $y$  be the multipliers, have something like  $(y_1 + y_3)x_1 + (y_2 + y_3)x_2 \leq 200y_1 + 300y_2 + 400y_3$ , then make left as the objective function, minimize  $200y_1 + 300y_2 + 400y_3 = y^T b$  subject to  $y_1 + y_3 \geq 1, y_2 + y_3 \geq 6, y \geq 0$

This is called the dual LP ( $m$  variables and  $n$  constraints), of the primal LP (original)

Dual solution gives upper bound on primal solution

$c^T x = y^T b$  if one of primal or dual LP has solution.

**Complexity:** NP-complete: in NP and is NP-hard: reduce from a known NP-complete problem

**Approximation Algorithms:** approximation ratio for maximization is  $OPT(I)/ALG(I)$ , worst case  $\alpha = \max_I \{OPT(I)/ALG(I)\}$

For minimization, ratio  $ALG(I)/OPT(I)$

PTAS: polynomial time approximation scheme;  $\forall \epsilon > 0$ , exists  $(1+\epsilon)$ -approximation algorithm runs in polynomial time on size  $n$

FPTAS: Fully PTAS, runs in polynomial time on size  $n$  and  $1/\epsilon$

Greedy Makespan gives 4/3-1/3m-approximation (longest job first)

**Randomized algorithm:**  $RP : x \in A, 99\%, x \notin A, 0\%, BPP : x \in A, 99\%, x \notin A, 1\%, NP, x \in A > 0, x \notin A, 0$

**Master Theorem:** (O, Theta, Omega)

➤ **Theorem:** Let  $a \geq 1$  and  $b > 1$  be constants,  $f(n)$  be a function, and  $T(n)$  be defined on non-negative integers by the recurrence  $T(n) \leq a \cdot T\left(\frac{n}{b}\right) + f(n)$ , where  $n/b$  can be  $\left\lfloor \frac{n}{b} \right\rfloor$ . Let  $d = \log_b a$ . Then:

- If  $f(n) = O(n^{d-\epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = O(n^d)$ .
- If  $f(n) = O(n^d \log^k n)$  for some  $k \geq 0$ , then  $T(n) = O(n^d \log^{k+1} n)$ .
- If  $f(n) = O(n^{d+\epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = O(f(n))$ .

**Residual Graph:**

- Suppose the current flow is  $f$
- Define the residual graph  $G_f$  to be the following
  - The vertices are the same
  - For each edge  $e = (u, v)$  in  $G$ ,  $G_f$  has at most two edges
    - A forward edge  $e = (u, v)$  with capacity  $c(e) - f(e)$ 
      - How much additional flow can we send on  $e$
    - A backward edge  $e^{rev} = (v, u)$  with capacity  $f(e)$ 
      - How much we can reduce the flow on  $e$
  - Only add edges of capacity  $> 0$

