

Definition of Minimum Edit Distance



How similar are two strings?

- Spell correction
 - The user typed "graffe"

Which is closest?

- graf
- graft
- grail
- giraffe

- Computational Biology
 - Align two sequences of nucleotides AGGCTATCACCTGACCTCCAGGCCGATGCCC TAGCTATCACGACCGCGGTCGATTTGCCCGAC
 - Resulting alignment:
 -AGGCTATCACCTGACCTCCAGGCCGA--TGCCC-- TAG-CTATCAC--GACCGC--GGTCGATTTGCCCGAC

 Also for Machine Translation, Information Extraction, Speech Recognition



Edit Distance

- The minimum edit distance between two strings
- Is the minimum number of editing operations
 - Insertion
 - Deletion
 - Substitution
- Needed to transform one into the other





• Two strings and their alignment:





- If each operation has cost of 1
 - Distance between these is 5
- If substitutions cost 2 (Levenshtein)
 - Distance between them is 8



Alignment in Computational Biology

Given a sequence of bases

AGGCTATCACCTGACCTCCAGGCCGATGCCC
TAGCTATCACGACCGCGGTCGATTTGCCCGAC

• An alignment:

```
-AGGCTATCACCTGACCTCCAGGCCGA--TGCCC---
TAG-CTATCAC--GACCGC--GGTCGATTTGCCCGAC
```

 Given two sequences, align each letter to a letter or gap

Dan Jurafsky

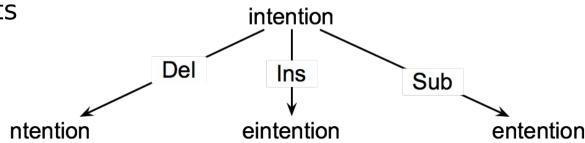
NLP

- Evaluating Machine Translation and speech recognition
- R Spokesman confirms senior government adviser was shot
- **H** Spokesman said the senior adviser was shot dead
- Named Entity Extraction and Entity Coreference
 - IBM Inc. announced today
 - IBM profits
 - Stanford President John Hennessy announced yesterday
 - for Stanford University President John Hennessy



How to find the Min Edit Distance?

- Searching for a path (sequence of edits) from the start string to the final string:
 - Initial state: the word we're transforming
 - Operators: insert, delete, substitute
 - Goal state: the word we're trying to get to
 - **Path cost**: what we want to minimize: the number of edits





Minimum Edit as Search

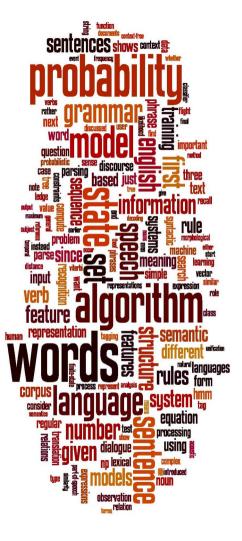
- But the space of all edit sequences is huge!
 - We can't afford to navigate naïvely
 - Lots of distinct paths wind up at the same state.
 - We don't have to keep track of all of them
 - Just the shortest path to each of those revisted states.



Defining Min Edit Distance

- For two strings
 - X of length n
 - Y of length *m*
- We define D(i,j)
 - the edit distance between X[1..i] and Y[1..j]
 - i.e., the first *i* characters of X and the first *j* characters of Y
 - The edit distance between X and Y is thus D(n,m)





Definition of Minimum Edit Distance





Computing Minimum Edit Distance



Dynamic Programming for Minimum Edit Distance

- **Dynamic programming**: A tabular computation of D(n,m)
- Solving problems by combining solutions to subproblems.
- Bottom-up
 - We compute D(i,j) for small i,j
 - And compute larger D(i,j) based on previously computed smaller values
 - i.e., compute D(i,j) for all i (0 < i < n) and j (0 < j < m)



Defining Min Edit Distance (Levenshtein)

Initialization

```
D(i,0) = i
D(0,j) = j
```

Recurrence Relation:

```
For each i = 1...M
                each j = 1...N
D(i,j) = min \begin{cases} D(i-1,j) + 1 \\ (i,j-1) + 1 \\ D(i-1,j-1) + 2; \begin{cases} if X(i) \neq Y(j) \\ 0; \end{cases}  o; if X(i) = Y(j)
             For each j = 1...N
```

Termination: D(N,M) is distance



The Edit Distance Table

| N | 9 | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 0 | 8 | | | | | | | | | |
| 1 | 7 | | | | | | | | | |
| Т | 6 | | | | | | | | | |
| N | 5 | | | | | | | | | |
| Е | 4 | | | | | | | | | |
| Т | 3 | | | | | | | | | |
| N | 2 | | | | | | | | | |
| 1 | 1 | | | | | | | | | |
| # | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| | # | E | X | E | С | U | Т | I | 0 | N |



The Edit Distance Table

| N | 9 | | | | | | | | | | | | | | | | |
|---|---|-----|---|---|---|---|---|---|---|---|--|--|--|--|--|--|--|
| 0 | 8 | | | | | | | | | | | | | | | | |
| T | 7 | D(i | $D(i,j) = \min \begin{cases} D(i-1,j) + 1 \\ D(i,j-1) + 1 \\ D(i-1,j-1) + 2; & \text{if } S_1(i) \neq S_2(j) \end{cases}$ | | | | | | | | | | | | | | |
| Т | 6 | | | | | | | | | | | | | | | | |
| N | 5 | | $D(i-1,j-1) + \begin{cases} 2; & \text{if } S_1(i) \neq S_2(j) \\ 0; & \text{if } S_1(i) = S_2(j) \end{cases}$ | | | | | | | | | | | | | | |
| Е | 4 | | / | | | | | | | | | | | | | | |
| Т | 3 | | | | | | | | | | | | | | | | |
| N | 2 | | | | | | | | | | | | | | | | |
| 1 | 1 | | | | | | | | | | | | | | | | |
| # | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | | | | | | |
| | # | Е | X | Е | С | U | Т | | 0 | N | | | | | | | |



Edit Distan

$$D(i,j) = \min \begin{cases} D(i-1,j) + 1 \\ D(i,j-1) + 1 \\ D(i-1,j-1) + \end{cases} \begin{cases} 2; & \text{if } S_1(i) \neq S_2(j) \\ 0; & \text{if } S_1(i) = S_2(j) \end{cases}$$

2; if
$$S_1(i) \neq S_2(j)$$

0; if $S_1(i) = S_2(j)$

| N | 9 | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 0 | 8 | | | | | | | | | |
| 1 | 7 | | | | | | | | | |
| Т | 6 | | | | | | | | | |
| N | 5 | | | | | | | | | |
| Е | 4 | | | | | | | | | |
| Т | 3 | | | | | | | | | |
| N | 2 | | | | | | | | | |
| 1 | 1 | | | | | | | | | |
| # | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| | # | Е | X | Е | С | U | Т | 1 | 0 | N |



The Edit Distance Table

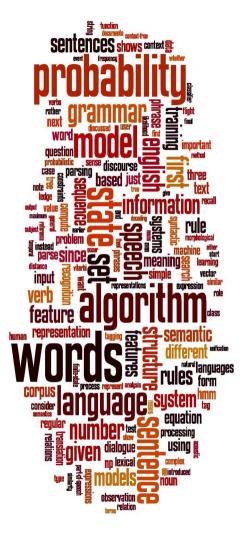
| N | 9 | 8 | 9 | 10 | 11 | 12 | 11 | 10 | 9 | 8 |
|---|---|---|---|----|----|----|----|----|----|----|
| 0 | 8 | 7 | 8 | 9 | 10 | 11 | 10 | 9 | 8 | 9 |
| 1 | 7 | 6 | 7 | 8 | 9 | 10 | 9 | 8 | 9 | 10 |
| Т | 6 | 5 | 6 | 7 | 8 | 9 | 8 | 9 | 10 | 11 |
| N | 5 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 10 |
| Е | 4 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 9 |
| Т | 3 | 4 | 5 | 6 | 7 | 8 | 7 | 8 | 9 | 8 |
| N | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 7 | 8 | 7 |
| 1 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 6 | 7 | 8 |
| # | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| | # | Е | X | Е | С | U | Т | 1 | 0 | N |





Computing Minimum Edit Distance





Backtrace for Computing Alignments



Computing alignments

- Edit distance isn't sufficient
 - We often need to align each character of the two strings to each other
- We do this by keeping a "backtrace"
- Every time we enter a cell, remember where we came from
- When we reach the end,
 - Trace back the path from the upper right corner to read off the alignment



Edit Distan

$$D(i,j) = \min \begin{cases} D(i-1,j) + 1 \\ D(i,j-1) + 1 \\ D(i-1,j-1) + \end{cases} \begin{cases} 2; & \text{if } S_1(i) \neq S_2(j) \\ 0; & \text{if } S_1(i) = S_2(j) \end{cases}$$

2; if
$$S_1(i) \neq S_2(j)$$

0; if $S_1(i) = S_2(j)$

| N | 9 | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 0 | 8 | | | | | | | | | |
| 1 | 7 | | | | | | | | | |
| Т | 6 | | | | | | | | | |
| N | 5 | | | | | | | | | |
| Е | 4 | | | | | | | | | |
| Т | 3 | | | | | | | | | |
| N | 2 | | | | | | | | | |
| 1 | 1 | | | | | | | | | |
| # | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| | # | Е | X | Е | С | U | Т | 1 | 0 | N |



MinEdit with Backtrace

| n | 9 | ↓ 8 | <u> </u> | <u>√</u> 10 | ∠←↓ 11 | <u>√</u> 12 | ↓ 11 | ↓ 10 | ↓9 | ∠8 | |
|---|---|---------------|----------------------|---------------------|---------------|----------------------|--------------|----------------|----------------|---------------|--|
| 0 | 8 | ↓ 7 | ∠ ←↓8 | ∠←↓ 9 | <u> </u> | <u> </u> | ↓ 10 | ↓9 | / 8 | ← 9 | |
| i | 7 | ↓ 6 | ∠←↓ 7 | ∠ ←↓8 | ∠ ←↓9 | ∠ ←↓ 10 | ↓9 | / 8 | ← 9 | ← 10 | |
| t | 6 | ↓ 5 | ∠←↓ 6 | ∠←↓ 7 | ∠ ←↓ 8 | ∠ ←↓9 | / 8 | ← 9 | ← 10 | ← ↓ 11 | |
| n | 5 | ↓ 4 | ∠ ←↓ 5 | ∠←↓ 6 | ∠←↓ 7 | ∠ ←↓ 8 | <u>/</u> ←↓9 | ∠ ←↓ 10 | ∠←↓ 11 | ∠ ↓ 10 | |
| e | 4 | ∠ 3 | ← 4 | ∠ ← 5 | ← 6 | ← 7 | ←↓ 8 | ∠ ←↓9 | ∠ ←↓ 10 | ↓9 | |
| t | 3 | ∠ ←↓4 | ∠ ←↓ 5 | ∠←↓ 6 | ∠←↓ 7 | ∠ ←↓ 8 | √ 7 | ←↓ 8 | ∠ ←↓9 | ↓8 | |
| n | 2 | ∠ ←↓ 3 | ∠ ←↓4 | ∠ ←↓ 5 | ∠←↓ 6 | ∠←↓ 7 | <u> </u> | ↓ 7 | ∠←↓ 8 | ∠ 7 | |
| i | 1 | <u> </u> | ∠ ←↓ 3 | ∠ ←↓ 4 | ∠←↓ 5 | ∠←↓ 6 | ∠←↓ 7 | ∠ 6 | ← 7 | ← 8 | |
| # | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | |
| | # | e | X | e | c | u | t | i | 0 | n | |

Dan Jurafsky

Audilly backliact to Mill **Edit Distance**

- Base conditions:

```
D(i,0) = i D(0,j) = j
```

D(N,M) is distance

Recurrence Relation:

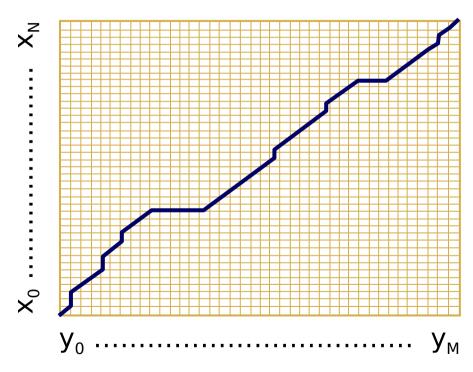
```
For each i = 1...M
     For each j = 1...N
```

 $D(i,j) = \min \begin{array}{c|cccc} D(i-1,j) + 1 & \text{deletion} \\ D(i,j-1) + 1 & \text{insertion} \\ D(i-1,j-1) + 2; & \text{if } X(i) \neq Y(j) \\ 0; & \text{if } X(i) = Y(j) \end{array}$

Termination:



The Distance Matrix



Every non-decreasing path

from (0,0) to (M, N)

corresponds to an alignment of the two sequences

An optimal alignment is composed of optimal subalignments

Slide adapted from Serafim





Result of Backtrace

• Two strings and their alignment:





Performance

• Time:

O(nm)

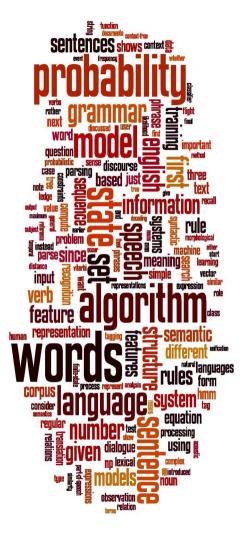
• Space:

O(nm)

Backtrace

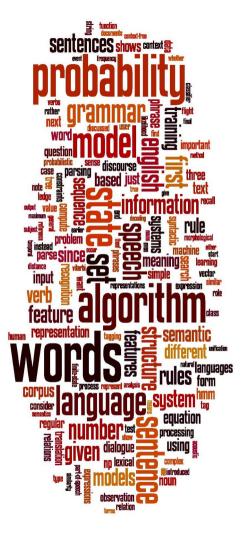
O(n+m)





Backtrace for Computing Alignments





Weighted Minimum Edit Distance





Weighted Edit Distance

- Why would we add weights to the computation?
 - Spell Correction: some letters are more likely to be mistyped than others
 - Biology: certain kinds of deletions or insertions are more likely than others

Dan Jurafsky Contusion matrix for spelling errors



sub[X, Y] = Substitution of X (incorrect) for Y (correct)

| X | | | | | | | -, - | , – | - Cu | 0 | | - Y | (co | rrect) |) | , . | | - '' | | , | | | | | | |
|---|-----|----|----|----|-----|---|------|-----|------|---|---|-----|-----|--------|----|-----|---|------|----|----|----|---|-----|---|----|---|
| | a | b | c | d | e | f | g | h | i | j | k | 1 | m | n | 0 | p | q | r | S | t | u | v | w | х | У | Z |
| a | 0 | 0 | 7 | 1 | 342 | 0 | 0 | 2 | 118 | 0 | 1 | 0 | 0 | 3 | 76 | 0 | 0 | 1 | 35 | 9 | 9 | 0 | 1 | 0 | 5 | Õ |
| b | 0 | 0 | 9 | 9 | 2 | 2 | 3 | 1 | 0 | 0 | 0 | 5 | 11 | 5 | 0 | 10 | 0 | 0 | 2 | 1 | 0 | 0 | 8 | 0 | 0 | 0 |
| С | 6 | 5 | 0 | 16 | 0 | 9 | 5 | 0 | 0 | 0 | 1 | 0 | 7 | 9 | 1 | 10 | 2 | 5 | 39 | 40 | 1 | 3 | 7 | 1 | 1 | 0 |
| d | 1 | 10 | 13 | 0 | 12 | 0 | 5 | 5 | 0 | 0 | 2 | 3 | 7 | 3 | 0 | 1 | 0 | 43 | 30 | 22 | 0 | 0 | 4 | 0 | 2 | 0 |
| е | 388 | 0 | 3 | 11 | 0 | 2 | 2 | 0 | 89 | 0 | 0 | 3 | 0 | 5 | 93 | 0 | 0 | 14 | 12 | 6 | 15 | 0 | 1 | 0 | 18 | 0 |
| f | 0 | 15 | 0 | 3 | 1 | 0 | 5 | 2 | 0 | 0 | 0 | 3 | 4 | 1 | 0 | 0 | 0 | 6 | 4 | 12 | 0 | 0 | 2 | 0 | 0 | 0 |
| g | 4 | 1 | 11 | 11 | 9 | 2 | 0 | 0 | 0 | 1 | 1 | 3 | 0 | 0 | 2 | 1 | 3 | 5 | 13 | 21 | 0 | 0 | 1 | 0 | 3 | 0 |
| h | 1 | 8 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 12 | 14 | 2 | 3 | 0 | 3 | 1 | 11 | 0 | 0 | 2 | 0 | 0 | 0 |
| i | 103 | 0 | 0 | 0 | 146 | 0 | 1 | 0 | 0 | 0 | 0 | 6 | 0 | 0 | 49 | 0 | 0 | 0 | 2 | 1 | 47 | 0 | 2 | 1 | 15 | 0 |
| j | 0 | 1 | 1 | 9 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| k | 1 | 2 | 8 | 4 | 1 | 1 | 2 | 5 | 0 | 0 | 0 | 0 | 5 | 0 | 2 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | . 4 | 0 | 0 | 3 |
| 1 | 2 | 10 | 1 | 4 | 0 | 4 | 5 | 6 | 13 | 0 | 1 | 0 | 0 | 14 | 2 | 5 | 0 | 11 | 10 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |
| m | 1 | 3 | 7 | 8 | 0 | 2 | 0 | 6 | 0 | 0 | 4 | 4 | 0 | 180 | 0 | 6 | 0 | 0 | 9 | 15 | 13 | 3 | 2 | 2 | 3 | 0 |
| n | 2 | 7 | 6 | 5 | 3 | 0 | 1 | 19 | 1 | 0 | 4 | 35 | 78 | 0 | 0 | 7 | 0 | 28 | 5 | 7 | 0 | 0 | 1 | 2 | 0 | 2 |
| 0 | 91 | 1 | 1 | 3 | 116 | 0 | 0 | 0 | 25 | 0 | 2 | 0 | 0 | 0 | 0 | 14 | 0 | 2 | 4 | 14 | 39 | 0 | 0 | 0 | 18 | 0 |
| p | 0 | 11 | 1 | 2 | 0 | 6 | 5 | 0 | 2 | 9 | 0 | 2 | 7 | 6 | 15 | 0 | 0 | 1 | 3 | 6 | 0 | 4 | 1 | 0 | 0 | 0 |
| q | 0 | 0 | 1 | 0 | 0 | 0 | 27 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| r | 0 | 14 | 0 | 30 | 12 | 2 | 2 | 8 | 2 | 0 | 5 | 8 | 4 | 20 | 1 | 14 | 0 | 0 | 12 | 22 | 4 | 0 | 0 | 1 | 0 | 0 |
| S | 11 | 8 | 27 | 33 | 35 | 4 | 0 | 1 | 0 | 1 | 0 | 27 | 0 | 6 | 1 | 7 | 0 | 14 | 0 | 15 | 0 | 0 | 5 | 3 | 20 | 1 |
| t | 3 | 4 | 9 | 42 | 7 | 5 | 19 | 5 | 0 | 1 | 0 | 14 | 9 | 5 | 5 | 6 | 0 | 11 | 37 | 0 | 0 | 2 | 19 | 0 | 7 | 6 |
| u | 20 | 0 | 0 | 0 | 44 | 0 | 0 | 0 | 64 | 0 | 0 | 0 | 0 | 2 | 43 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 2 | 0 | 8 | 0 |
| v | 0 | 0 | 7 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 8 | 3 | 0 | 0 | 0 | 0 | 0 | 0 |
| w | 2 | 2 | 1 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 7 | 0 | 6 | 3 | 3 | 1 | 0 | 0 | 0 | 0 | 0 |
| х | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| у | 0 | 0 | 2 | 0 | 15 | 0 | 1 | 7 | 15 | 0 | 0 | 0 | 2 | 0 | 6 | 1 | 0 | 7 | 36 | 8 | 5 | 0 | 0 | 1 | 0 | 0 |
| z | 0 | 0 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 5 | 0 | 0 | 0 | 0 | 2 | 21 | 3 | 0 | 0 | 0 | 0 | 3 | 0 |

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Weighted Min Edit Distance

• Initialization:

```
D(0,0) = 0

D(i,0) = D(i-1,0) + del[x(i)];   1 < i \le N

D(0,j) = D(0,j-1) + ins[y(j)];  1 < j \le M
```

• Recurrence Relation:

```
D(i,j) = \min \begin{cases} D(i-1,j) & + \text{ del}[x(i)] \\ D(i,j-1) & + \text{ ins}[y(j)] \\ D(i-1,j-1) & + \text{ sub}[x(i),y(j)] \end{cases}
```

• Termination:

D(N,M) is distance



Where did the name, dynamic programming, come from?

...The 1950s were not good years for mathematical research. [the] Secretary of Defense ...had a pathological fear and hatred of the word, research...

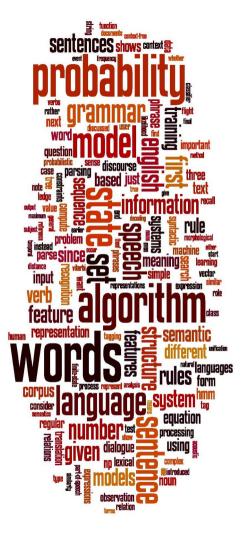
I decided therefore to use the word, "programming".

I wanted to get across the idea that this was dynamic, this was multistage... I thought, let's ... take a word that has an absolutely precise meaning, namely **dynamic**... it's impossible to use the word, **dynamic**, in a pejorative sense. Try thinking of some combination that will possibly give it a pejorative meaning. It's impossible.

Thus, I thought dynamic programming was a good name. It was something not even a Congressman could object to."

Richard Bellman, "Eye of the Hurricane: an autobiography" 1984.





Weighted Minimum Edit Distance