

# MASTERARBEIT / MASTER'S THESIS

Titel der Arbeit / Title of the Master's Thesis

## Container Based Execution Stack for Neural Networks

verfasst von / submitted by

## Benjamin Nussbaum, BSc

angestrebter akademischer Grad / in partial fulfilment of the requirements for the degree of

## Diplom-Ingenieur (Dipl.-Ing.)

Wien, 2018 / Vienna, 2018

Studienkennzahl lt. Studienblatt / 926

degree programme code as it appears on

the student record sheet

Studienrichtung lt. Studienblatt / Masterstudium Wirtschaftsinformatik

degree programme as it appears on

the student record sheet

**Betreut von / Supervisor** Univ.-Prof. Dipl.-Ing. Dr. Erich Schikuta

# **Erklärung / Declaration**

Hiermit versichere ich, dass ich die vorliegende Arbeit selbstständig verfasst und keine anderen als die angegebenen Quellen und Hilfsmittel benutzt habe, dass alle Stellen der Arbeit, die wörtlich oder sinngemäß aus anderen Quellen übernommen wurden, als solche kenntlich gemacht und dass die Arbeit in gleicher oder ähnlicher Form noch keiner Prüfungsbehörde vorgelegt wurde.

I declare that I have authored this thesis independently, that I have not used other than the declared sources / resources, and that I have explicitly marked all material which has been quoted either literally or by content from the used sources.

Ort, Datum Date Unterschrift Signature

# Abstract / Zusammenfassung

## **Abstract**

This thesis presents a **con**tainer **b**ased **ex**ecution stack for **n**eural **n**etworks (ConbexNN) using the Kubernetes container orchestration and a Java based microservice architecture, which is exposed to users and other systems via RESTful webservices. The whole workflow including importing, training and evaluating a neural network model, becomes possible by using this service oriented approach. This work is influenced by N2Sky, a framework for the exchange of neural network specific knowledge and aims to support ViNNSL, the Vienna Neural Network Specification Language. The execution stack runs on many common cloud platforms. Furthermore it is scalable and each component is extensible and interchangeable.

## Zusammenfassung

Diese Masterarbeit beschreibt einen Ausführungs-Stack für neuronale Netze (genannt ConbexNN), der unter Verwendung der Kubernes Container-Orchestrierung und einer Java basierten Microservice-Architektur, für Benutzer und Systeme via RESTful Webservices zugänglich gemacht wird. Der gesamte Arbeitsfluss, der Import, Training und Auswertung eines neuronalen Netzwerk-Modells beinhält, wird durch diese servicebasierte Architektur (SOA) unterstützt. Diese Arbeit ist von N2Sky, einem Framework zum Austausch spezifischen Wissens über neuronale Netze, beeinflusst und unterstützt ViNNSL, die Vienna Neural Network Specification Language. Der Ausführungs-Stack läuft auf vielen namhaften Cloud-Umgebungen, ist skalierbar und jede einzelne Komponente ist einfach erweiterbar und austauschbar.

1	Intr	oduct	ion	13		
	1.1	Proble	em Statement	14		
	1.2	Motiv	ation	14		
	1.3	Struct	ure	16		
	1.4	Relate	ed Work	16		
		1.4.1	ViNNSL	16		
		1.4.2	N2Sky	16		
2	Stat	te of th	ne Art	17		
	2.1	Conta	iners	17		
		2.1.1	Docker Containers	17		
	2.2	Micro	services	17		
	2.3	Conta	iner Orchestration Technologies	19		
		2.3.1	Kubernetes	19		
		2.3.2	Docker Swarm Mode	23		
		2.3.3	Comparison	25		
		2.3.4	Decision	26		
	2.4	4 Machine Learning				
	2.5	Neura	al Networks	27		
		2.5.1	Classification of Neural Networks	27		
		2.5.2	Backpropagation Networks	29		
		2.5.3	Neural Network Frameworks	29		
		2.5.4	Decision	39		
3	Rec	uirem	nents	41		
	3.1	-	ional Requirements	41		
		3.1.1	User Interface	42		

	3.2	Non-F	Functional Requirements	42
		3.2.1	Quality	42
		3.2.2	Technical	44
		3.2.3	Software	44
		3.2.4	Hardware	44
		3.2.5	Documentation	44
		3.2.6	Source Code	44
		3.2.7	Developer Environment	44
4	Spe	cificat	rion	45
	4.1	Use C	ase	45
		4.1.1	Use Case Descriptions	45
	4.2	Seque	nce Diagram	51
		4.2.1	Sequence of Training	51
	4.3	Data N	Model Design	52
		4.3.1	vinnsl-service	52
		4.3.2	storage-service	53
	4.4	Overv	riew Microservices	54
		4.4.1	Vinnsl Service (vinnsl-service)	56
		4.4.2	Worker Service (vinnsl-nn-worker)	56
		4.4.3	Storage Service (vinnsl-storage-service)	56
		4.4.4	Frontend UI (vinnsl-nn-ui)	56
	4.5	User I	nterface Design	57
	4.6	Servic	e Discovery and Load Balancing	57
		4.6.1	Kubernetes DNS-based Service Discovery	58
	4.7	Neura	ıl Network Objects State	60
5	Imp	olemei	ntation	63
	5.1	Source	e Code	63
	5.2	Releas	ses	64
	5.3	Frame	ework Dependencies	64
		5.3.1	Spring	64
		5.3.2	Swagger	65
		5.3.3	Fabric8	65
		534	Deeplearning4I	66

	5.4	4 Security		
	5.5	User I	nterface	66
		5.5.1	vinnsl-nn-ui (Frontend UI)	66
	5.6	Endpo	sints	66
		5.6.1	Additional Endpoints	67
	5.7	Class I	Diagrams	67
		5.7.1	vinnsl-service	67
		5.7.2	vinnsl-storage-service	69
		5.7.3	vinnsl-worker-service	69
		5.7.4	vinnsl-nn-ui	73
	5.8	Limita	tions	74
		5.8.1	Neural Network Design	74
		5.8.2	Parameters	74
6	Use	r Inter	rface	75
	6.1	vinnsl-	-nn-ui (Frontend UI)	75
		6.1.1	Architecture	75
		6.1.2	Features	75
		6.1.3	Limitations	77
7	API	Docu	mentation	79
-	7.1		-service	79
	,,,	7.1.1	Import a new ViNNSL XML Defintion	79
		7.1.2	List all Neural Networks	82
		7.1.3	Delete all Neural Networks	84
		7.1.4	Get Neural Network Object	84
		7.1.5	Remove Neural Network Object	89
		7.1.6	Add/Replace File of Neural Network	90
		7.1.7	Add/Replace ViNNSL Definition of Neural Network	91
		7.1.8	Add/Replace ViNNSL Instanceschema of Neural Network	94
		7.1.9	Add/Replace ViNNSL Resultschema of Neural Network	96
		7.1.10	Add/Replace ViNNSL Trainingresult of Neural Network	97
		7.1.11	Get Status of all Neural Networks	98
			Get Status of Neural Network	99
				100
				-00

		7.1.14	Get Deeplearning4J Transformation Object of Neural Network .	101
		7.1.15	Put Deeplearning4J Transformation Object of Neural Network	102
	7.2	vinnsl	-storage-service	103
		7.2.1	Handle File Upload from HTML Form	103
		7.2.2	List all Files	104
		7.2.3	Download File by Original Filename	105
		7.2.4	Download or Show File by FileID	105
		7.2.5	Delete File by FileID	106
		7.2.6	Get File Metadata by FileID	107
		7.2.7	Upload MultipartFile	108
		7.2.8	Upload File by URL	109
	7.3	vinnsl	-worker-service	110
		7.3.1	getWorkingQueue	110
		7.3.2	addToWorkingQueue	111
8	Dep	oloym		113
	8.1		Machine	113
	8.2	Googl	e Cloud Instance	114
	8.3	Amaz	on EKS	116
	8.4	Micros	soft AKS	117
^	<b>T</b> T	0		110
9		Cases		119
	9.1		assification Example	
		9.1.1	Dataset	
		9.1.2	Prerequisites	120
		9.1.3	Create the neural network	120
		9.1.4	Add ViNNSL Definition to the Neural Network	123
		9.1.5	Queue Network for Training	126
		9.1.6	Training	127
		9.1.7	Testing	128
		9.1.8	Evaluation Result	128
	9.2	Wine S	Score Classification	130
		9.2.1	Dataset	130
		9.2.2	Prerequisites	131
		9.2.3	Create the neural network	131

	Со	ntents	
9.2.4	Add ViNNSL Definition to the Neural Network	. 134	
9.2.5	Queue Network for Training	. 137	
9.2.6	Evaluation Result	. 137	
10 Future V	Vork	139	
10.1 ViNI	NSL Compatibility	. 139	
10.2 Integ	ration in N2Sky	. 139	
10.3 Neui	al Network Backends	. 139	
10.4 Graphical Neural Network Designer			
10.5 Depl	oy trained Models as Web Service	. 141	
10.6 Integ	rate into other Platforms	. 141	
10.6.	1 KNIME	. 141	
10.7 Full	featured Web Application	. 141	
11 Conclus	ion	143	
12 Acknow	ledgments	145	
List of Figu	res	147	

Bibliography

## 1 Introduction

This thesis presents a **con**tainer **b**ased **ex**ecution stack for **n**eural **n**etworks (ConbexNN) using the *Kubernetes*<sup>1</sup> container orchestration and a Java based microservice architecture, which is exposed to users and other systems via RESTful web services and a web frontend. The whole workflow including importing, training and evaluating a neural network model, becomes possible by using this service oriented approach (SOA). The presented stack runs on popular cloud platforms, like *Google Cloud Platform*<sup>2</sup>, *Amazon AWS*<sup>3</sup> and *Microsoft Azure*<sup>4</sup>. Furthermore it is scalable and each component is extensible and interchangeable. This work is influenced by N2Sky [SM13], a framework to exchange neural network specific knowledge and aims to support *ViNNSL*, the Vienna Neural Network Specification Language [Kop15] [BVSW08].

**Objectives**: The first objective is to specify functional and non-functional requirements for the neural network system. This is followed by the characteristics of the API and the implementation of microservices that later define the neural network composition as a collection of loosly coupled services.

The next step is to setup a *Kubernetes* cluster to create the basis for container orchestration.

Finally, the microservices are deployed to containers and combined in a cluster.

**Non-Objectives**: The prototype of ConbexNN does not fully implement the *ViNNSL* in version 2.0, as described in [Kop15] and provides limited data in-/output. Limitations are described in section 5.8.

<sup>1</sup> https://kubernetes.io

<sup>2</sup> https://cloud.google.com/kubernetes-engine

<sup>3</sup> https://aws.amazon.com/eks

<sup>4</sup> https://azure.microsoft.com/services/container-service

#### 1.1 Problem Statement

Getting started with machine learning and in particular with neural networks is not a trivial task. It is a complex field with a high entry barrier and most often requires programming skills and expertise in neural network frameworks. In most cases a complex setup is needed to train and evaluate networks, which is both, a processor-and memory-intense job. With cloud computing getting more and more affordable and powerful, it makes sense to shift these tasks into the cloud. There are already existing cloud platforms for machine learning, but to my present research all of them do not fulfil at least one of the following criteria:

- platform is open-source
- no programming skills required to define and train a neural network model
- can be deployed on-site and in the cloud to your choice
- components extensible and replaceable by developers
- provides a RESTful interface

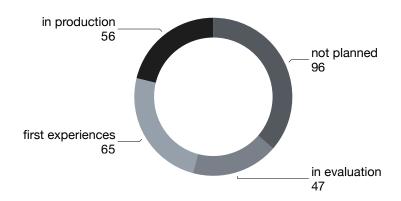
This thesis showcases an architecture, that achieves all of that.

## 1.2 Motivation

Machine learning has become a highly discussed topic in information technology in the past years and the trend is further increasing. It has become an essential part of everyday life when using search engines or speech recognition systems, like personal assistants. Self-learning algorithms in applications learn from the input of their users and decide which news an individual should read next, which song to listen to or which social media post should appear first. Messages are being analyzed and possible answers automatically predicted.

A recent Californian study shows that 6.5 million developers worldwide are currently involved in projects that use artificial intelligence techniques and another 5.8 million developers expect to implement these in near future [Eva17].

Machine learning is not just a research topic in the United States. Survey results of 264 companies in the DACH region show, that 56 of them already use that kind of



**Figure 1.1:** Distribution of machine learning of 264 companies in the DACH region [BB16]

technology in production. 47 companies are evaluating the use case and 65 already have initial experiences (see figure 1.1). It is seen by a fifth of the decision-makers as a core area to improve the competitiveness and profitability of companies in future. [BB16]

At the same time more and more companies shift their business logic from a monolithic design to microservices. Each service is dedicated to a single task that can be developed, deployed, replaced and scaled independently. Test results have shown that not only this architecture can help reduce infrastructure costs [VGO<sup>+</sup>16][VGC<sup>+</sup>15], but also reduces complexity of the code base and enables applications to dynamically adjust computing resources on demand [VGC<sup>+</sup>15].

The presented project combines these techniques and demonstrates ConbexNN, that is open-source and supported by common cloud providers. Developers can integrate their own solutions into the platform or exchange components ad libitum.

It also integrates with ViNNSL, a descriptive language that does not require programming skills to define, train and evaluate neural networks.

#### 1 Introduction

### 1.3 Structure

This thesis gives an introduction and comparison to state of the art technologies that support the microservice architecture pattern using container and container orchestration tools. This is followed by the acquaintance of Machine Learning (ML) and commonly used ML Frameworks. Featuring all these introduced technologies, requirements are defined for the implementation of ConbexNN. Main sections of this thesis are the specification, implementation and documentation following common practices. To demonstrate the operational purposes of ConbexNN, two use cases are presented.

Future work mentions ideas on how ConbexNN can be extended and integrated into other systems and the conclusion summarizes the motivation and archivements of the implemented neural network execution stack.

### 1.4 Related Work

#### **1.4.1 VINNSL**

The Vienna Neural Network Specification Language (*ViNNSL*) is a domain specific language developed by the University of Vienna to describe neural network objects and is designed as a communication framework in service-oriented architectures. It is based on XML and provides the schemas that allow the creation, training and evaluation of artificial neural networks. [BVSW08]

## 1.4.2 N2Sky

*N2Sky* is a cloud-based platform developed by the University of Vienna that follows the Neural Networks as a Service paradigm and provides an implementation example of *ViNNSL*. It is designed as virtual collaboration platform allowing to exchange neural network knowledge with a neural network community. The service delivers an interface to create and train neural network objects and subsequently share them with the community. [SM13][FAS18]

### 2.1 Containers

#### 2.1.1 Docker Containers

Containers enable software developers to deploy applications that are portable and consistent across different environments and providers [Bai15] by running isolated on top of the operating system's kernel [BRBA17]. As an organisation, Docker<sup>1</sup> has seen an increase of popularity very quickly, mainly because of its advantages compared to other solutions, which are speed, portability, scalability, rapid delivery and density [BRBA17].

Building a Docker container is fast, because images do not include a guest operating system. The container format itself is standardized, which means that developers just have to ensure that their application runs inside the container, which is then bundled into a single unit. The unit can be deployed on any Linux system as well as on various cloud environments and therefore scales easily. Not using a full operating system makes containers using less resources than virtual machines, which ensures higher workloads with greater density. [Joy15]

### 2.2 Microservices

The micoservice architecture pattern is a variant of a service-oriented architecture (SOA). An often cited definition originates from Martin Fowler and James Lewis:

<sup>1</sup> https://docker.com

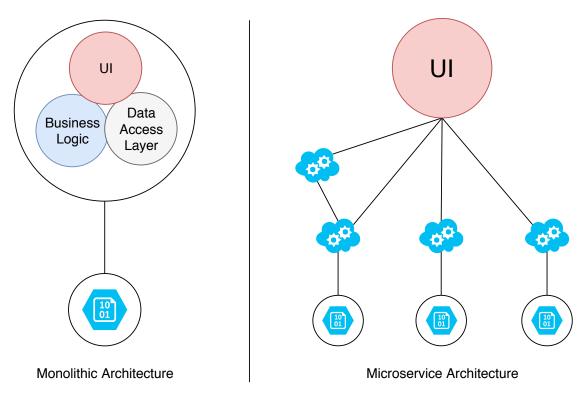


Figure 2.1: Monolithic Architecture vs. Microservice Architecture

In short, the microservice architectural style is an approach to developing a single application as a suite of small services, each running in its own process and communicating with lightweight mechanisms, often an HTTP resource API. These services are built around business capabilities and independently deployable by fully automated deployment machinery. There is a bare minimum of centralized management of these services, which may be written in different programming languages and use different data storage technologies. [LF14]

Figure 2.1 shows the architectural difference between the monolithic and microservice architecture. Monolithic applications bundle user interface, data access layer and business logic together as a single unit. In the microservice architecture each task has its own service. The user interface comprises information from multiple services.

## 2.3 Container Orchestration Technologies

As every single microservice runs as a container, we need a tool to manage, organise and replace these containers. Services should also be able to talk to each other and to be restarted if they fail. Services under heavy load should be scaled for better performance. To deal with these challenges container orchestration technologies come into place. According to a study from 2017 published by Portworx, Kubernetes is the most frequently used container orchestration tool in organizations, followed by Docker Swarm. [Por]

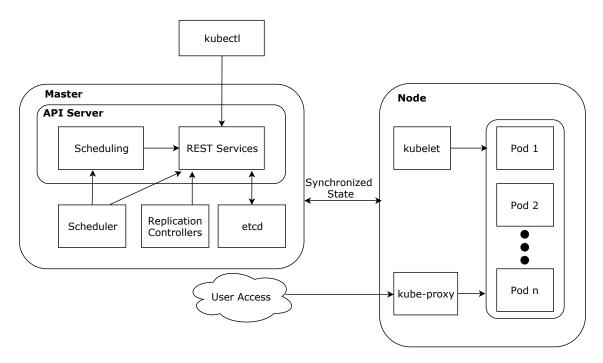
This section describes the architecture of the mentioned container orchestration technologies and compares them.

#### 2.3.1 Kubernetes

Kubernetes is the third container-management system (after Borg and Omega) developed by Google [BGO<sup>+</sup>16] for administering applications, that are provided in containers, in a cluster of nodes. Services that are responsible for controlling the cluster, are called master components [Ell16]. Figure 2.2 shows the Kubernetes core architecture, which includes the Master server, the nodes and the interaction between the components.

## **Master Components**

The master consists of the core API server, that provides information about the cluster and workload state and allows to define the desired state [Bai15]. The master server also takes care of scheduling and scaling workloads, cluster-wide networking and performs health checks [Ell16]. Workloads are managed in form of so-called pods, which are various containers that conclude the application stacks [Bai15].



**Figure 2.2:** Kubernetes core architecture [Bai15]

etcd Etcd is a key-value store, accessible by a HTTP/JSON API, which can be distributed across multiple nodes and is used by Kubernetes to store configuration data, which needs to be accessible across nodes deployed in the cluster. It is essential for service discovery and to describe the state of the cluster, among other things. [Ell16]

Etcd can also watch values for changes [Bai15].

**kube-apiserver** The API server acts as the main management point for the cluster and provides a RESTful interface for users and other services to configure workloads in the cluster. It is a bridge between other master components and responsible of maintaining health and spreading commands in the cluster. [Ell16]

**kube-scheduler** The scheduler keeps track of available and allocated resources on each specific node in the cluster. It has an overview of the infrastructure environment and needs to distribute workload to an acceptable node without exceeding the available resources. Therefore each workload has to declare its operating requirements. [Ell16]

**kube-controller-manager** The controller manager mainly operates different controllers that constantly check the shared state of the cluster in etcd via the apiserver [Kubb] and if the current state differs towards the desired state it takes compensating measures [Ell16].

One of the node controller's tasks is to react when nodes go offline or down. The replication controller makes sure that the defined number of desired pods is identical to the number of currently deployed pods in the cluster and scales applications up or down accordingly. The endpoints controller populates the endpoints to services [Kubb]

cloud-controller-manager Kubernetes supports different cloud infrastructure providers. As each cloud provider has different features, apis and capabilities, cloud controller managers act as an abstraction to the generic internal Kubernetes constructs. This has the advantage that the core Kubernetes code is not dependent on cloud-provider-specific code. [Kubb]

## **Node Components**

Servers that accomplish workloads are called nodes. Each workload is described as one or more container/s that has/have to be deployed. Node components run on every node in the cluster, providing the Kubernetes runtime environment [Kubb], that establishes networking and communicates with the master components. They also take care of deploying the necessary containers on a node and keep them running [Ell16]. Kubernetes requires a dedicated subnet for each node server and a supported container runtime [Kubb].

**kubelet** The kubelet is the primary agent running on each node in the cluster, responsible for running pods [Kubb]. It communicates with the API server to receive commands invoked by the scheduler. Interaction takes place with the etcd store to read and update configuration and state of the pod.

Pods are specified by the *PodSpec*, which defines the workload and parameters on how to run the containers [Ell16]. The kubelet process is responsible that the containers described in the specification are running and are healthy [Kubb].

**kube-proxy** The proxy service is in charge of forwarding requests of defined services to the correct containers. On a basic level, also load balancing is done by the proxy. [Bai15]

**Container Runtime** The container runtime is an implementation running containers. Currently Docker, rkt, runc and OpenContainer runtimes are supported. [Kubb]

**Pods** A pod is the smallest deployable unit in a cluster consisting of a group of one or more containers, which share network and storage. [Kubc]

#### Addons

**Cluster DNS** Cluster DNS server keeps track of running services in the cluster and updates DNS records accordingly. This allows an easy way of service discovery. Containers include this DNS server in their DNS lookups automatically – that way a service can resolve another service by its name. [Bai15]

**Ingress** Ingress handles the traffic from outside the cluster and forwards it to the correct service using the dns service acting as a proxy server. Currently there are two official implementations: ingress-gce and ingress-nginx. *Ingress* also provides basic load balancing. [Kuba]

**Dashboard** The dashboard is a web-based user interface that allows to manage *Kubernetes* clusters and applications running in the cluster [Kubb]. It also provides access to log messages in each pod.

#### Minikube

Minikube is a tool to run a single-node Kubernetes cluster locally on computers supporting various virtual machine drivers.

#### 2.3.2 Docker Swarm Mode

*Docker Swarm Mode* is the successor of *Docker Swarm* and implements a cluster management and orchestration tooling directly built into *Docker*<sup>2</sup> .

## Components

Docker hosts can run in swarm mode, a swarm consists of one or more hosts that act as managers and workers. Hosts can be managers, which means delegators of work, or workers, that run services, or both. [Doc]

Fig. 2.3 shows a simplified overview.

**Service** Services are definitions of tasks that will be executed on manager or worker nodes, specified by which container image to use and which commands to execute [Doc].

A service has attributes attached to it, that define its optimal state.

Services can be replicated, attached to storage and network resources and expose ports to the outside, defined by attributes. You can change the attributes during runtime, without restarting a service. [Doc]

**Task** A task is a running container itself which is assigned to the service. It is managed by the *swarm manager*. Manager nodes assign tasks to worker nodes, respecting the service scale [Doc].

<sup>2</sup> https://docker.com

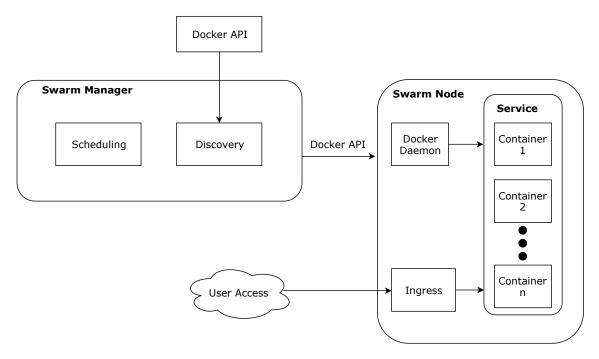


Figure 2.3: Docker Swarm Mode core architecture [Doc]

**Nodes** A node is a Docker instance that is a participant in the *swarm*. Nodes are typically distributed across multiple physical machines (in the cloud), but can also run on a single computer. [Doc]

Manager Nodes Manager nodes are responsible for deploying applications and dispatching tasks to worker nodes. Secondly one elected leader manager node supervises functions to maintain the desired state of the swarm (defined by the service). [Doc]

**Worker nodes** Worker nodes execute tasks from the manager nodes and notify them about the current state of its tasks.[Doc]

**Load balancing & DNS** Like Kubernetes, the swarm manager uses ingress to expose and load balance services.

An internal DNS component assigns each service a DNS entry automatically. [Doc]

#### **Announcements**

On October 17, 2017 at the conference *DockerCon*<sup>3</sup>, Docker announced that it would integrate Kubernetes into the Docker platform.

## 2.3.3 Comparison

## Community

The following table shows a comparison of publicly available metrics on *GitHub*, trying to represent community interest in the previously mentioned orchestrator softwares. Both projects are open-sourced and released under the *Apache-2.0*<sup>4</sup> license. These metrics were collected on June 21, 2018 and are rounded to the nearest ten. Comparing the numbers it can be assumed that the open-source community has currently a stronger interest in the Kubernetes project. In July 2018, Kubernetes won the *OSCON Most Impact Award* at the O'Reillys Open Source Conference [Boh].

	Kubernetes <sup>5</sup>	Docker Swarm Mode <sup>6</sup>
Contributors	1.700	165
Commits	66.820	3.530
Stars	37.830	5.150
Forks	13.220	1.060

#### **Feature Differentiation**

The handling of *Docker Swarm Mode* and Kubernetes is similar in many aspects, like load balancing with Ingress, service discovery via DNS, and the definition language YAML. Auto-scaling, which means increasing or decreasing running instances of a service as

<sup>3</sup> https://europe-2017.dockercon.com/

<sup>4</sup> http://www.apache.org/licenses/LICENSE-2.0

the load changes over time, is not directly available in *Docker Swarm Mode*, in contrast to Kubernetes.

Docker Swam Mode provides the possibility to mount local volumes or folders into a container. Kubernetes has two APIs available: Volumes and Persistent Volumes. Volumes are an abstraction with several different implementations for cloud storages (like AWS, Azure) and are bound to the lifecycle of a pod. Once a pod is removed, also the volume data is deleted. Persistent Volumens allow data to be persisted independently from a pod.

Both technologies provide an easy to install development environment. Kubernetes is available via the minikube package as well as in the newest version of Docker Community Edition. Docker Swarm Mode is also available via the Docker application.

#### 2.3.4 Decision

Taking into account the community size, the feature-richness and the out-of-the-box support by the major players Amazon AWS, Microsoft Azure and Google Cloud Engine, Kubernetes is the selected technology for ConbexNN.

## 2.4 Machine Learning

The term *machine learning* originates from a 1959 article by Arthur Samuel [Sam59] presenting a method how computers can learn to play a better game of checkers than human.

Today, as a research area within artificial intelligence, machine learning is generally known as the process that trains computers to improve performance specific tasks through exposure to data, rather than through explicit programming by using statistical techniques. It is used to conceive complex models that lead themselves to prediction.

There are different approaches to machine learning, like decision trees or predicition rules [MCM13]. This thesis focuses on neural networks.

### 2.5 Neural Networks

Neural networks, or more correctly artificial neural networks, are derived from the neural system of the human brain. Neurons are the basic element of the nervous system and can be divided into three essential features: the dendrites, the soma and the axon. The human brain consists of about 10 billon neurons, which communicate through a network of axons and synapses. Artificial neural networks try to imitate this connection. [Hau98]

#### 2.5.1 Classification of Neural Networks

This thesis focuses on Backpropagation networks, but to provide a general overview, Figure 2.4 shows a classification of neural networks by Haun [Hau98] divided into three levels based on connection type, neuronal behavior and learning methods. The first level classifies into feedback and feedforward networks. The second level into linear and non-linear networks, the third one into supervised and non-supervised networks.

#### Feedforward networks

Feedforward networks consist of connections in one direction only. Neurons are connected between different layers and normally spread from input to output through hidden layers. The output can be calculated from the input directly. Loops are not allowed [Hau98].

Linear and non-linear networks Linear networks use linear activation functions. The output of a neuron is bound directly to the value of activation function. Non-linear networks use non-linear activation functions, which means that the activation value is one, if the sum of all input values exceed a threshold value, otherwise it is zero. [Hau98]

A Perceptron network is for example a linear network.

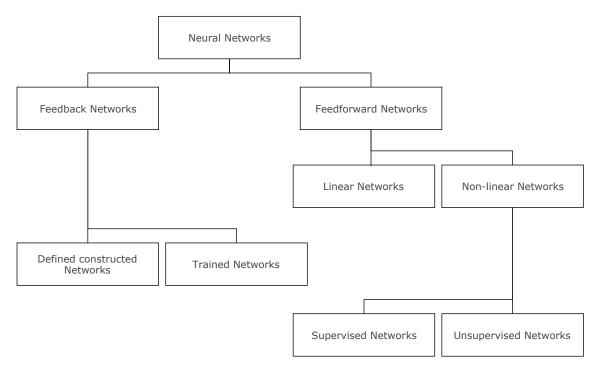


Figure 2.4: Classification of neural networks by Haun [Hau98]

Supervised and unsupervised networks Supervised networks compare its output values with the correct answer during training and continuously adapt input values to approximate both values. Unsupervised networks do not have such information and must learn through an inherent mechanism. It is presumed that impulses and reactions relate in a way that produces correct behaviour after the learning period is finished. [Hau98]

#### Feedback networks

Feedback networks are networks where neurons are also connected between different layers, but the output of a neuron can be connected to an input of another neuron. Output values are therefore dependant from previous input values of the neural network. [Hau98] Feedback networks are further grouped into defined constructed networks and trained networks. Defined constructed networks already have a defined structure when the data is presented, for example Hopfield networks. Trained networks can be trained with supervised and unsupervised training, for example the ART-1 (Adaptive Resonance Theory). [Hau98]

## 2.5.2 Backpropagation Networks

Backpropagation is not a network design per se, but a supervised learning algorithm. It is used for example in Multi-Layer-Perceptrons. The purpose is to change weights on hidden layers in the network, based on a calculated (net) output error, to improve network accuracy. [Frö]

An input vector is forward-propagated through all layers until the output layer, which is then compared to the desired output. This step results in the error values, using a loss function. In process of backpropagation the weights are then updated to minimize the loss function. The process is repeated until the net error is approximately zero. [Frö]

#### 2.5.3 Neural Network Frameworks

Neural network frameworks provide an abstraction and simplification to complex programming challenges [Mak18] regarding neural network models and the simulation of the training and evaluation processes. Developers are given helper functions to build their preferred network. Most frameworks also provide implementations of the backpropagation algorithm, activation functions and data structures to load training data into memory. Frameworks can also help to transform raw data, like images, into data that is more suitable to neural network training.

There are currently many popular neural network frameworks on the market. Google's TensorFlow is having the biggest impact in terms of contributions and community (see Comparison).

#### **TensorFlow**

TensorFlow<sup>7</sup> is an open-sourced framework, released under the Apache 2.0<sup>8</sup> license, that was developed by the *Google Brain Team* as successor to the proprietary software DistBelief, which was used for research on various use cases including unsupervised

<sup>7</sup> https://github.com/tensorflow/tensorflow

<sup>8</sup> http://www.apache.org/licenses/LICENSE-2.0

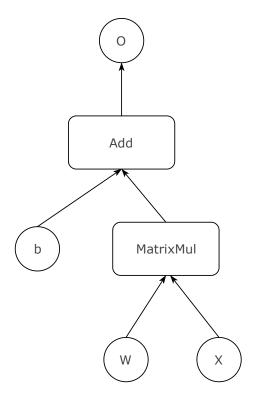


Figure 2.5: Tensor flow computation graph, adapted from [Dea15]

learning, image classification, object detection and many more. TensorFlow is an interface and implementation for machine learning algorithms, featuring support for a wide range of devices (from mobile phones to server hardware) and GPU cards. [Dea15]

According to Google's whitepaper [Dea15], in their implementation, a *tensor* is a typed, multidimensional array, that supports a variety of tensor element types. It can be seen as abstraction to scalars, vectors and matrices [RM17].

TensorFlow computations are expressed as directed dataflow graph, in which each node has zero or more inputs and outputs. Values, called tensors, flow along the edges of the graph. [Dea15]. Figure 2.5 shows a matrix multiplication of input *X* with a matrix *W*. Vector *b* is then added to this matrix terminating in output *O*.

These computations can be executed either on local or the distributed implementation, on a single or multiple devices [Dea15].

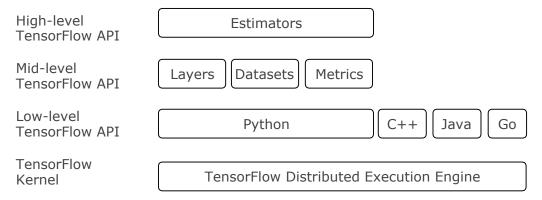


Figure 2.6: TensorFlow Programming Stack, adapted from [Tenb]

**TensorFlow Programming Stack** The TensorFlow programming stack consists of multiple API layers, as is illustrated in Figure 2.6. On the lowest level, the TensorFlow Kernel, is the distributed execution engine. The low-level APIs are implemented in different programming languages, including Python, C++, Java and Go. Currently only Python provides higher-level TensorFlow APIs.

The mid-level API provides access to layers, datasets and metrics, the high-level API adds estimators, which encapsulate training, evaluation and prediction of models [Tena].

**Implementation Example** For framework demonstration purposes, the source code classifying the Iris dataset from the first use case (see section 9.1) is implemented using TensorFlow. For better understanding of the TensorFlow syntax and functionality, this commented code example<sup>9</sup>, written by the TensorFlow authors, is pointed out.

In the first step the program features a parser for the Iris dataset and defines feature columns. The TensorFlow NetworkClassifier class is then instantiated building a neural network with two hidden layers of ten nodes each. The classifier offers a function for network training called train(), which is followed by evaluating the accuracy of the trained network in the final step.

# Copyright 2016 The TensorFlow Authors. All Rights Reserved.

<sup>#</sup> 

<sup>9</sup> https://github.com/tensorflow/models/blob/v1.9.0/samples/core/get\_started/premade\_estimator.py

```
Licensed under the Apache License, Version 2.0 (the "License");
  you may not use this file except in compliance with the License.
  You may obtain a copy of the License at
#
   http://www.apache.org/licenses/LICENSE-2.0
# Unless required by applicable law or agreed to in writing, software
# distributed under the License is distributed on an "AS IS" BASIS,
# WITHOUT WARRANTIES OR CONDITIONS OF ANY KIND, either express or implied.
# See the License for the specific language governing permissions and
# limitations under the License.
"""An Example of a DNNClassifier for the Iris dataset."""
from __future__ import absolute_import
from __future__ import division
from __future__ import print_function
import argparse
import tensorflow as tf
import iris_data
parser = argparse.ArgumentParser()
parser.add_argument('--batch_size', default=100, type=int, help='batch size')
parser.add_argument('--train_steps', default=1000, type=int,
                    help='number of training steps')
def main(argv):
    args = parser.parse_args(argv[1:])
    # Fetch the data
    (train_x, train_y), (test_x, test_y) = iris_data.load_data()
    # Feature columns describe how to use the input.
    my_feature_columns = []
```

```
for key in train_x.keys():
       my_feature_columns.append(tf.feature_column.numeric_column(key=key))
   # Build 2 hidden layer DNN with 10, 10 units respectively.
   classifier = tf.estimator.DNNClassifier(
        feature_columns=my_feature_columns,
        # Two hidden layers of 10 nodes each.
       hidden_units=[10, 10],
        # The model must choose between 3 classes.
       n_classes=3)
   # Train the Model.
   classifier.train(
        input_fn=lambda:iris_data.train_input_fn(train_x, train_y,
                                                 args.batch_size),
        steps=args.train_steps)
   # Evaluate the model.
   eval_result = classifier.evaluate(
        input_fn=lambda:iris_data.eval_input_fn(test_x, test_y,
                                                 args.batch_size))
   print('\nTest set accuracy: {accuracy:0.3f}\n'.format(**eval_result))
    [...]
if __name__ == '__main__':
   tf.logging.set_verbosity(tf.logging.INFO)
   tf.app.run(main)
```

## Deeplearning4J

Deeplearning4J is an open-source machine learning library by the Eclipse Foundation released under the Apache 2.0 license. It provides a set of components, to read from various data sources and build neural networks. Deeplearning4J provides support for CPU and GPU processing. [Met14]

For large processing, the framework supports Hadoop, a way of scaled data storing and processing across numerous computer servers [Met14].

**ND4J** The framework is built on top of ND4J, a numerical computing engine, which implements n-dimensional array objects (tensors) for Java [ND4a]. It is a library, that is optimized for GPU processing with a CUDA backend and supports all common operations to manipulate matrices [ND4a]. Parts of core are written in C++ to increase performance of numerical operations [ND4b].

**Main Features** The framework supports convolutional and recurrent nets and deep nets of various types. Furthermore it provides implementation of backpropagation and optimization algorithms, various activation- and loss functions as well as hyperparameters. [DL4a]

The user interface features a computation graph and visualization tools, further explained in section 9.1.6.

**Implementation Example** For framework demonstration purposes, the source code classifying the Iris dataset from the first use case (see section 9.1) is implemented using Deeplearning4J.

For better understanding of the Deeplearning4J syntax and functionality, this commented code example<sup>10</sup>, written by the Adam Gibson and released under Apache License Version 2.0, is pointed out.

<sup>10</sup> https://github.com/deeplearning4j/dl4j-examples/blob/master/dl4j-examples/src/main/java/org/deeplearning4j/examples/dataexamples/CSVExample.java

```
/**
 * @author Adam Gibson
*/
public class CSVExample {
    private static Logger log = LoggerFactory.getLogger(CSVExample.class);
    public static void main(String[] args) throws Exception {
        //First: get the dataset using the record reader. CSVRecordReader handles
        loading/parsing
        int numLinesToSkip = 0;
        char delimiter = ',';
        RecordReader recordReader = new CSVRecordReader(numLinesToSkip,delimiter);
        recordReader.initialize(new FileSplit(new
        ClassPathResource("iris.txt").getFile()));
        //Second: the RecordReaderDataSetIterator handles conversion to DataSet
        objects, ready for use in neural network
                                //5 values in each row of the iris.txt CSV:
        int labelIndex = 4;
        4 input features followed by an integer label (class) index.
        Labels are the 5th value (index 4) in each row
        int numClasses = 3:
                                //3 classes (types of iris flowers) in the
        iris data set.
        Classes have integer values 0, 1 or 2
        int batchSize = 150;  //Iris data set: 150 examples total. We are
        loading all of them into one DataSet (not recommended for large data sets)
        DataSetIterator iterator = new
        RecordReaderDataSetIterator(recordReader,batchSize,labelIndex,numClasses);
        DataSet allData = iterator.next();
        allData.shuffle();
        SplitTestAndTrain testAndTrain = allData.splitTestAndTrain(0.65);
        //Use 65% of data for training
```

```
DataSet trainingData = testAndTrain.getTrain();
DataSet testData = testAndTrain.getTest();
//We need to normalize our data. We'll use NormalizeStandardize (which
gives us mean 0, unit variance):
DataNormalization normalizer = new NormalizerStandardize();
normalizer.fit(trainingData);
                                        //Collect the statistics (mean/
                                                                  stdev)
from the training data. This does not modify the input data
normalizer.transform(trainingData); //Apply normalization to
                                      the training data
normalizer.transform(testData); //Apply normalization to
                                      the test data.
This is using statistics calculated from the *training* set
final int numInputs = 4;
int outputNum = 3;
long seed = 6;
log.info("Build model....");
MultiLayerConfiguration conf = new NeuralNetConfiguration.Builder()
    .seed(seed)
    .activation(Activation.TANH)
    .weightInit(WeightInit.XAVIER)
    .updater(new Sgd(0.1))
    .12(1e-4)
    .list()
    .layer(0, new DenseLayer.Builder().nIn(numInputs).nOut(3)
        .build())
    .layer(1, new DenseLayer.Builder().nIn(3).nOut(3)
        .build())
    .layer(2, new
    OutputLayer.Builder(LossFunctions.LossFunction.NEGATIVELOGLIKELIHOOD)
```

```
.activation(Activation.SOFTMAX)
                .nIn(3).nOut(outputNum).build())
            .backprop(true).pretrain(false)
            .build();
        //run the model
        MultiLayerNetwork model = new MultiLayerNetwork(conf);
        model.init();
        model.setListeners(new ScoreIterationListener(100));
        for(int i=0; i<1000; i++) {
            model.fit(trainingData);
        }
        //evaluate the model on the test set
        Evaluation eval = new Evaluation(3);
        INDArray output = model.output(testData.getFeatureMatrix());
        eval.eval(testData.getLabels(), output);
        log.info(eval.stats());
    }
}
```

### **Further Neural Network Frameworks**

There are several other popular neural network frameworks [Mak18], that should be mentioned here. The following table lists this projects including their website.

Framework Name	Projekt Website
Caffe	http://caffe.berkeleyvision.org
Microsoft Cognitive Toolkit/CNTK	https://www.microsoft.com/en-us/cognitive-toolkit
PyTorch	https://pytorch.org

#### 2 State of the Art

Framework Name	Projekt Website
Keras	https://keras.io/

### Comparison

Community Statistics (like *stars*, *contributors* and *forks*) of open-source projects hosted on the version control platform GitHub, increasingly influence the community and other developers. Every user on GitHub can show interest in a project by giving it a star, or copy the complete source code (a fork). Programmers that contributed code to a project are called contributors. In a blog article<sup>11</sup>, the founder of a machine learning framework called *Leaf* announced the suspension of the development. The announcement featured a screenshot that compared Leaf to TensorFlow by the amount of stars on GitHub.

TensorFlow is currently the leading framework in terms of stars and contributors. Backed by Google, TensorFlow is fully integrated into the Google Cloud and Android platform. It has also been adopted by several large companies, like IBM, Twitter and Airbus [Mak18]. Furthermore tech blogs rather report on TensorFlow than other frameworks. A search for TensorFlow on the popular tech blog *DZone.com* returns over 5.300 results, while Deeplearning4J got less than 500 (as of July 17, 2018). The following table compares the statistics of the two mentioned projects on GitHub.

	TensorFlow <sup>12</sup>	Deeplearning4J <sup>13</sup>
Contributors	1.500	225
Commits	36.450	23.260
Stars	105.120	9.320
Forks	65.410	4.350

<sup>11</sup> https://medium.com/@mjhirn/tensorflow-wins-89b78b29aafb

#### 2.5.4 Decision

ConbexNN will be implemented in the Java programming language. Deeplearning4J is based on C/C++ with a Java based library on top, while TensorFlow is based on Python. Deeplearning4J has a clear and understandable framework architecture, broad support for machine learning algorithms and data transformation. It further offers a model import tool for TensorFlow models. From the author's point of view, the source code of Deeplearning4J programs is cleaner and easier to interpret, as well as the transformation from the ViNNSL to Deeplearning4J is preferable to alternatives.

As part of future work it is desirable to implement a native TensorFlow worker service in addition to Deeplearning4J.

# 3 Requirements

This section defines functional and non-functional requirements for ConbexNN. The neural network execution stack focuses on two main target groups: data scientists and developers.

Data scientists use the provided services in a deployed environment (cloud or own computer) to develop and train their neural networks. The system should be easy to setup and no programming knowledge should be needed to get started.

Developers can extend the neural network stack with features or use the provided web services to implement their own custom solution.

### 3.1 Functional Requirements

Due to the fact that neural network training requires a lot of computing power, the main requirement is to design an architecture that can be executed in the cloud or on-site cluster hardware.

To enable developers to extend the application, it is designed as a platform that is opensourced and documented. The easy setup on local computers and the micro-services, that feature a clear structure and manageable code base, make it easier to get acquainted with the architecture.

The neural network platform should also offer a way to be extended or used by external applications and services, therefore a documented RESTful webservice is provided, that can be consumed by various clients.

#### 3 Requirements

#### 3.1.1 User Interface

The user interface shall be a web application that gives a quick overview of all neural networks and their training status. The frontend uses the RESTful API as backend source and does not cover the whole function range of the API.

### Mockup

Figure 3.1 shows a sketch of the user interface. On the left side the user can see a list of all created or imported neural networks. Next to the names of the networks, there is an icon representing the training status. In the detailed view on the right side, the title and id of the network is shown followed by an indicator when training is in progress. The visualisation of a neural network is divided into tabs.

The tabs "Description", "Definition", "Instance" and "Result" represent the eponymous ViNNSL Description XML file into a graphical tree view. When enough information is provided by ViNNSL XML files, the worker service performs a transformation into the internally used model representation of the *Deeplearning4J* Framework. The *Deeplearning4J* Tab shows the transformed object. In the "Files" tab, imported files of the storage services are listed and can be selected as training- or testset.

### 3.2 Non-Functional Requirements

### 3.2.1 Quality

ConbexNN shall comply with the following quality features:

- Standard RESTful API
- the user interface works on all common browsers and devices (responsive design)
- loading time of the user interface should be less than three seconds

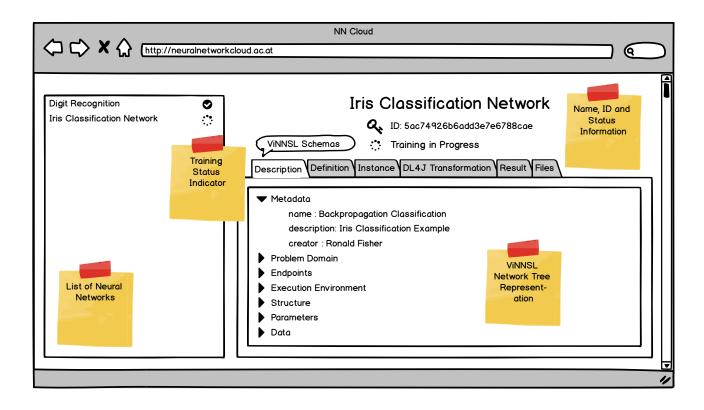


Figure 3.1: Mockup: User Interface of Frontend Service

### 3 Requirements

#### 3.2.2 Technical

#### 3.2.3 Software

- Kubernetes
- Docker
- Java Standard Platform
- Maven Plugin for Java

### 3.2.4 Hardware

 Kubernetes compatible hardware or Cloud account (Amazon Web Services, Google Cloud Engine)

### 3.2.5 Documentation

The documentation is provided in Section 7 or online on SwaggerHub<sup>1</sup>.

### 3.2.6 Source Code

The source code is released on GitHub <sup>2</sup>.

### 3.2.7 Developer Environment

Developers can use any Java Based development environment.

<sup>1</sup> https://app.swaggerhub.com/apis/a00908270/

<sup>2</sup> https://github.com/a00908270/

### 4.1 Use Case

Figure 4.1 shows the UML use case diagram.

# 4.1.1 Use Case Descriptions

Use Case	Import Neural Network
Description	An existing ViNNSL XML file with a neural network description is imported via the vinnsl web service into the database.
Priority	primary
Actors	Data Scientist
Preconditions	ViNNSL neural network XML description file
Postconditions	_
Normal Course of Events	* The actor sends a POST request to the ViNNSL web service including a XML body* The web service validates and imports the XML file and returns the HTTP status code 201 CREATED
Alternative Courses	* The post request is sent by an application or other service
Exceptions	If the validation fails or an error occurs, the web service returns the HTTP status code 500
Assumptions	Access to the vinnsl-service

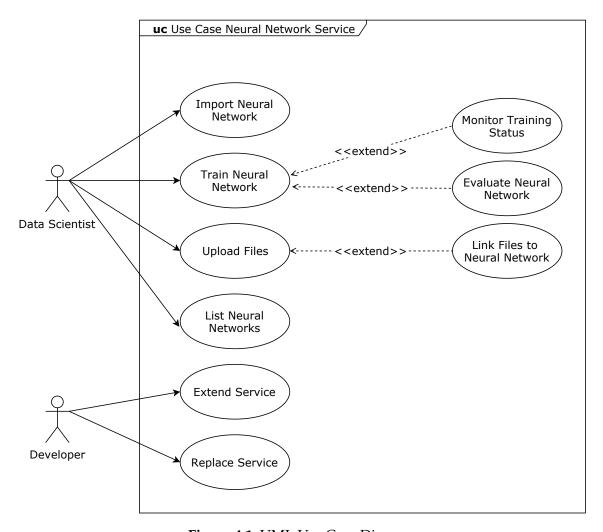


Figure 4.1: UML Use Case Diagram

Use Case	Train Neural Network
Description	An imported neural network is trained by passing the configuration over to the worker service.
Priority	primary
Actors	Data Scientist
Preconditions	Imported ViNNSL neural network XML description, definition and instance file
Postconditions	<del>_</del>
Normal Course of Events	* The actor sends a POST request to the working service including the identifier of the neural network that should be trained* The webservice validates the request, adds the network into the training queue and returns the HTTP status code 200.
Alternative Courses	* The post request is sent by an application or other service
Exceptions	If the validation fails or an error occurs, the webservice returns the HTTP statuscode 500
Assumptions	Access to the vinnsl-nn-worker
Extensions	* Monitor Training Status * Evaluate Neural Network
Use Case	Monitor Training Status
Description	The Data Scientist monitors the training status to evaluate the trained network afterwards.
Priority	secondary
Actors	Data Scientist
Preconditions	Training of neural network started
Postconditions	<del>_</del>

Use Case	Monitor Training Status
Normal Course of Events	* The actor sends a GET request to the status endpoint of the vinnsl service including the identifier of the neural network that is in progress.* The web service validates the request, and returns the training status along the HTTP
	status code 200.
Alternative Courses	* The post request is sent by an application or other service
Exceptions	If the validation fails or an error occurs, the web service returns the HTTP statuscode 500
Assumptions	Access to the vinnsl-service
Extensions	

Use Case	Evaluate Neural Network
Description	The Data Scientist evaluates the accuracy of the network after its training
Priority	primary
Actors	Data Scientist
Preconditions	Training of neural network successfully finished
Postconditions	<del>_</del>
Normal Course of Events	* The actor sends a GET request to the status endpoint of the vinnsl service including the identifier of the neural network that is finished.* The web service validates the request, and returns the ViNNSL XML file including the result scheme.
Alternative Courses	* The post request is sent by an application or other service
Exceptions	If the validation fails or an error occurs, the webservice returns the HTTP statuscode 500
Assumptions	Access to the vinnsl-service
Extensions	<del>_</del>

Use Case	Upload Files
Description	The Data Scientist uploads files, that are usable as datasets (f.ex. CSV files or pictures) to the storage service
Priority	primary
Actors	Data Scientist
Preconditions	_
Postconditions	_
Normal Course of Events	* The actor sends a POST request to the storage service endpoint containing a multipart file.* The web service validates the request, and returns the unique identifier of the file along the HTTP status code 200.
Alternative Courses	* The post request is sent by an application or other service* The file is uploaded with the provided HTML upload form provided by the storage service
Exceptions	If the upload fails or an error occurs, the web service returns the HTTP statuscode 500
Assumptions	Access to the vinnsl-storage-service
Extensions	_

Use Case	List Neural Networks
Description	Imported neural networks are listed
Priority	primary
Actors	Data Scientist
Preconditions	Imported ViNNSL neural network XML description file
Postconditions	_
Normal Course of Events	* The actor sends a GET request to the ViNNSL web service optionally including a neural network identifier* The web service validates and returns the XML file(s).
Alternative Courses	The request is sent by an application or other service

Use Case	List Neural Networks
Exceptions	If the validation fails or an error occurs, the web service returns the HTTP statuscode 500
Assumptions	Access to the vinnsl-service

Use Case	Extend Service
Description	An existing micro service can be extended by developers
Priority	secondary
Actors	Developer
Preconditions	source code and developer environment present
Postconditions	_
Normal Course of Events	* The developer downloads the source code and extends functionality of a micro service* The modified service is deployed into kubernetes
Alternative Courses	_
Exceptions	_
Assumptions	<del></del>

Use Case	Replace Service	
Description	An existing micro service can be replaced by developers	
Priority	secondary	
Actors	Developer	
Preconditions	source code and developer environment present	
Postconditions	_	
Normal Course of Events	* The developer writes a new implementation of an existing service respecting the API definition (see API Docuentation)* The service is deployed into kubernetes	
Alternative Courses		

Use Case	Replace Service	
Exceptions	_	
Assumptions	_	

### 4.2 Sequence Diagram

Figure 4.2 shows the sequence diagram of a neural network training process and which microservices are involved in the communication. The *vinnsl service* is the main communication hub that enables access to the neural network object and all of its data and also provides interfaces to update it. The *vinnsl storage service* most importantly stores necessary binary data used by the neural network objects. On one hand that are tables and pictures on the other hand the binary (trained) *Deeplearning4J* model. The *vinnsl worker service* has the role of training the neural network models.

### 4.2.1 Sequence of Training

New neural networks are created by sending a POST request including a XML ViNNSL network description in the request body. The *vinnsl service* creates a new neural network based on the definition and answers with the HTTP status code 201 (CREATED). The location header points to the URL where the created network can be retrieved. The URL contains the unique identifier. Using this identifier the next step is to add the ViNNSL definition XML file to the network. This is done via a POST request appending the id and the /definition endpoint. The XML file is placed in the request body. Resources that are required for the training (like the training set) need to be uploaded to the storage service, which returns a unique file id. Before the training can start, the training set needs to be linked to the neural network. This is possible with the /addfile endpoint.

Next, the network is marked for training by calling the worker service with its identifier. The worker service confirms that the training is queued. As soon as the training is finished, the worker service updates the neural network object with the re-

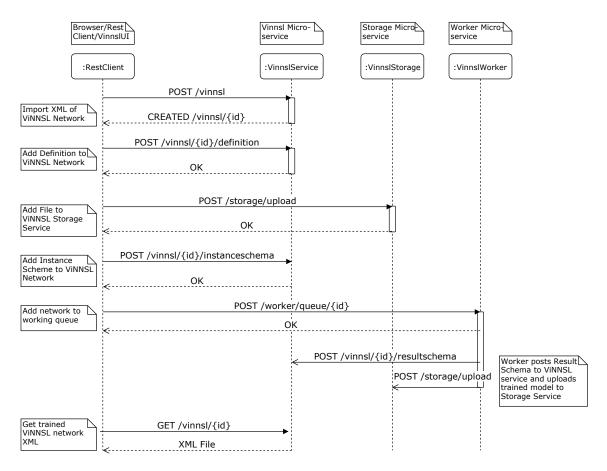


Figure 4.2: Training Sequence Diagram

sult schema and uploads the trained binary model to the storage service for retraining.

A simple GET request to the vinnsl service along with the identifier returns the current trained neural network model.

### 4.3 Data Model Design

#### 4.3.1 vinnsl-service

All neural network data managed by the vinnsl-service is stored in a documentedoriented database. The saved documents will internally be mapped to Java Classes. The main object is vinnsl.

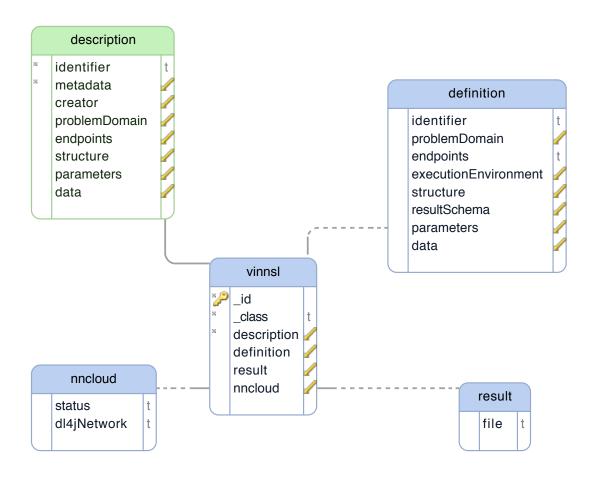


Figure 4.3: NoSQL Data Model

vinnsl is the primary object owning the \_id field that is unique. The nncloud property stores the status of the network and the representation of the transformed *Deeplearning4J* network. description, definition, instance, training and result represent the ViNNSL 2.0 Schema, generated from the provided XML Schema Definition files. See [Kop15] to get a listing and description on all provided properties of ViNNSL 2.0.

Figure 4.3 shows the data schema.

### 4.3.2 storage-service

The storage-service stores binary files and their metadata, either directly in the file system or inside a database. Each file needs to have a unique id, a filename, a content

type and an upload date.

Attribute field	Description
id	a unique file id that can be referred to (f.ex in vinnsl-service)
filename	the original filename when uploaded
content type	the MIME type standardized in RFC 6838 (f.ex text/plain)
upload date	date and time of original upload
metadata	a field for arbitrary additional information

Example of stored file:

```
{
    "_id" : ObjectId("5ab4e69c8f136a16bf81f093"),
    "filename" : "iris.txt",
    "aliases" : null,
    "chunkSize" : NumberLong(261120),
    "uploadDate" : ISODate("2018-03-23T11:35:56.700Z"),
    "length" : NumberLong(2700),
    "contentType" : "text/plain",
    "md5" : "f0e89bd71f7bb9e584e685aeb178a5aa"
}
```

### 4.4 Overview Microservices

ConbexNN consists of four main services that expose a RESTful API to users and two supporting services in charge of persisting data. Figure 4.4 displays an overview of the service architecture, including the exposed endpoints and storage backends.

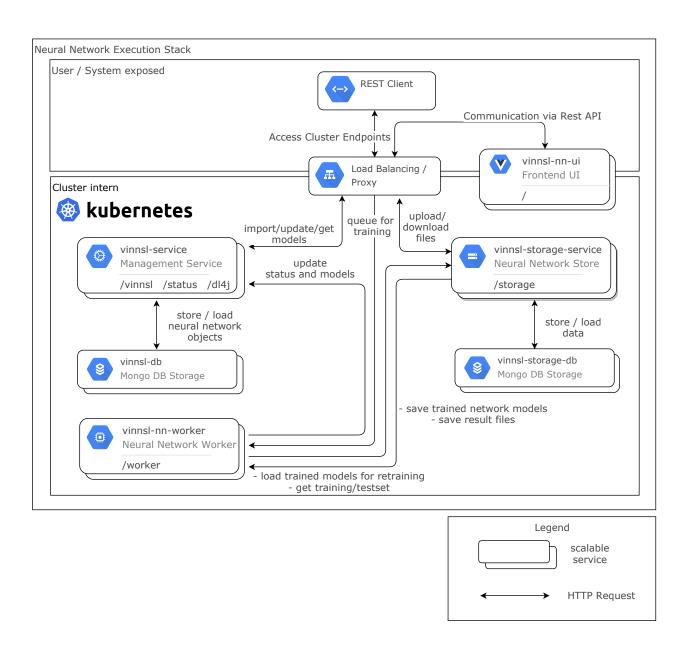


Figure 4.4: Architectural Overview of the Neural Network Stack

### 4.4.1 Vinnsl Service (vinnsl-service)

The vinnsl-service is responsible for handling the import, management and manipulation of neural network objects and their status. It maps the CRUD¹ operations to HTTP methods. A new neural network is created by sending a POST request to the /vinnsl endpoint containing a ViNNSL Definition XML as body. Sending a GET request to the /vinnsl route returns a JSON containing all ViNNSL neural network objects.

The vinnsl-service depends on the vinnsl-db service, which runs a MongoDB database to store the objects.

### 4.4.2 Worker Service (vinnsl-nn-worker)

The vinnsl-nn-worker implements a queue management for neural network training and transforms ViNNSL neural network models into *Deeplearning4J* models. It provides a wrapper of the *Deeplearning4J* platform, that handles the training or evaluation of the network.

### 4.4.3 Storage Service (vinnsl-storage-service)

Binary files, like trained network models, images or csv files are essential in the process of creating and training neural networks. File management is handled by the vinnsl-storage- service.

### 4.4.4 Frontend UI (vinnsl-nn-ui)

The Frontend UI is a web application that gives a brief overview of all neural network models, their training status and linked files.

<sup>1</sup> Create, Read, Update, Delete

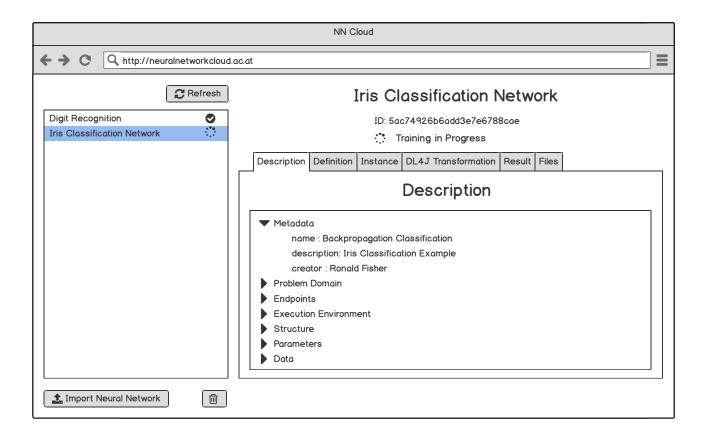


Figure 4.5: User Interface Design for vinnsl-nn-ui

## 4.5 User Interface Design

Based on the mockup in section 3.1.1, a user interface design has been created, that will later be implemented as a web application. Buttons to import or delete a neural network and to refresh the user interface have been added to the design.

Figure 4.5 shows the user interface design for the frontend web service.

### 4.6 Service Discovery and Load Balancing

Service Discovery is the process of finding a way to connect to a specific service. This applies within the cluster, which is typically firewalled from the internet. As Kubernetes allows services to be scaled, there is also a logic that knows and decides how network traffic is routed. This is called *Load Balancing*. Figure 4.6 shows an overview of the

microservices, their endpoint URL and the domain name service. External access to specific services is managed by *Ingress*.

### 4.6.1 Kubernetes DNS-based Service Discovery

kube-dns is the Kubernetes add-on that starts a pod with a DNS service and configures the kubelets to resolve DNS names over this service. It listens on port 53, the standard DNS port. Services in a cluster are assigned a *DNS A record* derived from their service metadata name specified in the *ServiceSpec*. [Kubd]

The following code snippet is an extract of the *ServiceSpec* for the vinnsl-service defining the metadata name:

```
{
  "kind": "Service",
  "apiVersion": "v1",
  "metadata": {
      "name": "vinnsl-service",
      ...
}
```

#### Structure of the Hostname

The full hostname record is composed of the zone, kind, namespace of the cluster and the metadata name of the service.

Name	Description
zone	the cluster domain (default using minikube: cluster.local)
kind	kind of pod (default for services: svc)
ns	namespace (default using minikube: default)
hostname	hostname from service metadata name

4.6 Service Discovery and Load Balancing

**Example** The vinnsl-service running on a local minikube cluster gets the following DNS record name: vinnsl-service.default.svc.cluster.local.

### **Service Discovery**

Using the Kubernetes DNS, a microservice instance (kubelet) can now lookup other services by using DNS Queries.

**Example** For example the tool nslookup can query the DNS service for the IP address of the vinnsl-service within the cluster.

/ # nslookup vinnsl-service

Server: 10.96.0.10

Address 1: 10.96.0.10 kube-dns.kube-system.svc.cluster.local

Name: vinnsl-service

Address 1: 10.102.84.122 vinnsl-service.default.svc.cluster.local

In this example the service is reachable at the IP address 10.102.84.122.

### **External Access and Load Balancing**

External access from outside the cluster to specific services is managed and provided through the *Ingress* API object. The associated implementation is called *Ingress controller* and is obligatory. Currently there are two official implementations: ingress-gce and ingress-nginx. [Kuba]

*Minikube* runs the ingress-nginx implementation as default and also provides basic load balancing by configuring a nginx <sup>2</sup> web server. Kubernetes configures nginx to use the *least-connected* load balancing mechanism, which means that the *next request is assigned to the server with the least number of active connections* [ngi].

<sup>2</sup> https://archive.ics.uci.edu/ml/datasets/iris

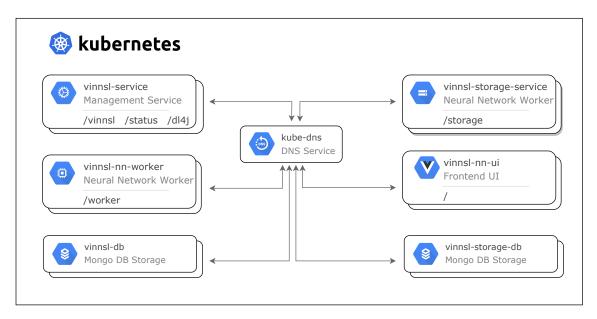
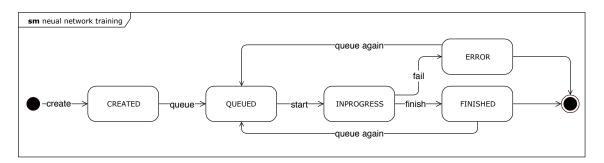


Figure 4.6: Service Discovery with kube-dns

### 4.7 Neural Network Objects State

The state of neural network objects is saved in the NnCloud object. When the object is instantiated, the default value is CREATED. When the network is queued, the worker service gathers all the necessary data from the vinnsl and vinnsl storage service and changes the state to QUEUED. During the network training, the worker changes the state to INPROGRESS. As soon as the training is finished, the worker service uploads the results and updated network state to the storage service and subsequently changes the state to FINISHED. Trained networks can be queued for retraining: in that case the state returns to QUEUED. If errors occur during the training process the state will be set to ERROR.

Figure 4.7 visualizes the state changes in a state machine.



**Figure 4.7:** State Machine of a Neural Network

# 5 Implementation

Following the specification, this section showcases an implementation of ConbexNN, using microservices glued together by *Kubernetes*. This represents the execution stack for neural networks. Backend components are realized with *Java* and the *Spring Boot* framework and expose a RESTful API. The processing and training of neural networks is done by the *Deeplearning4J* framework. Database and file storage are powered by *MongoDB*. The frontend service is implemented using *Vue.js* and the *Twitter Bootstrap* UI framework, visualizing and consuming backend services.

### 5.1 Source Code

The source code of the implemented microservices is released on *GitHub*. The following table gives an overview of available services and their corresponding repository.

Name	Repository Link	
vinnsl-service	https://github.com/a00908270/vinnsl-service	
vinnsl-nn-ui	https://github.com/a00908270/vinnsl-nn-ui	
vinnsl-storage-service	https://github.com/a00908270/vinnsl-storage-service	
vinnsl-nn-worker	https://github.com/a00908270/vinnsl-nn-worker	

The *ViNNSL* XSD schema, specified in [Kop15], including (generated) examples, is released on GitHub with permission from Dipl.-Ing. Thomas Kopica. JAXB class generation of the XML files is already included in the release with the intention of making it easier to include *ViNNSL* into new services.

#### 5 Implementation

Name	Repository Link
vinnsl-schema	https://github.com/a00908270/vinnsl-schema

### 5.2 Releases

Docker Contrainers ready for deployment in a *Kubernetes* cluster are released on *Docker-Hub*. The following table references the released repositories.

Name	Repository Link	
vinnsl-service	https://hub.docker.com/r/a00908270/vinnsl-service/	
vinnsl-nn-ui	https://hub.docker.com/r/a00908270/vinnsl-nn-ui/	
vinnsl-storage-service	https://hub.docker.com/r/a00908270/vinnsl-storage-service/	
vinnsl-nn-worker	https://hub.docker.com/r/a00908270/vinnsl-nn-worker/	

### 5.3 Framework Dependencies

All services are written in *Java* and built using the *Apache Maven* build automation and dependency management tool.

### 5.3.1 Spring

*Spring* is a *Java* framework consisting of many modules. Most importantly this project uses its feature so set up RestController instances that listen on specified endpoints.

Used in following services: vinnsl-service, vinnsl-nn-ui, vinnsl-storage-service, vinnsl-nn-worker

5.3 Framework Dependencies

**Spring Boot** 

Spring Boot is an extension to the framework that allows Java applications to run stand-

alone by embedding a web server directly into the application. [Spra]

Used in following services: vinnsl-service, vinnsl-nn-ui, vinnsl-storage-service,

vinnsl-nn-worker

Spring Data MongoDB

Spring Data provides an abstracted database access layer to MongoDB in form of a POJO

(Plain Old Java Object). [Sprb]

Used in following services: vinnsl-service, vinnsl-storage-service

5.3.2 Swagger

Swagger is used to generate a live documentation of all web service endpoints in this

project and allows to try out requests directly in the user interface.

Used in following services: vinnsl-service, vinnsl-storage-service, vinnsl-nn-worker

**5.3.3 Fabric8** 

Fabric8 packs the generated executables from the build process into a *Docker* container

that can run in a Kubernetes cluster.

Used in following services: vinnsl-service, vinnsl-nn-ui, vinnsl-storage-service,

vinnsl-nn-worker

65

#### 5 Implementation

### 5.3.4 Deeplearning4J

*Deeplearning4J* is used by the worker service to train and evaluate neural networks.

A detailed introduction to Deeplearning4J can be found in Section 2.5.3.

Used in following services: vinnsl-nn-worker

### 5.4 Security

*Ingress* supports HTTPS encrypted connections. Authentication or restrictions are not implemented in the prototype.

### 5.5 User Interface

### 5.5.1 vinnsl-nn-ui (Frontend UI)

The vinnsl-nn-ui is a single page application (SPA) that displays all neural networks and their details in a web based frontend. See section 6.

### 5.6 Endpoints

The following table gives an overview of the RESTful endpoints by different services. They are made available via *Ingress* outside the *Kubernetes* cluster.

Service Name	Exposed Endpoints
vinnsl-service	/vinnsl,/status,/dl4j
vinnsl-nn-ui	/
vinnsl-storage-service	/storage

Service Name	Exposed Endpoints
vinnsl-nn-worker	/worker

### 5.6.1 Additional Endpoints

Additional endpoints are used internally and are not directly exposed outside the *Kubernetes* cluster. They can be reached by using port forwarding to directly access the service in the cluster.

/health The health endpoints returns the status of the application. UP if the application is running as expected, DOWN if parts of the application fail (like lost connection to the database). *Kubernetes* and *Ingress* use this endpoint to detect disturbances in the application.

/swagger The API, provided by the services, is documented and *Swagger* provides a web interface to the documentation.

### 5.7 Class Diagrams

This section features class diagrams of the provided RESTful services. All of them, as mentioned, are based on Java *Spring Boot* and use the *Spring Boot Data* layer if connecting to a database.

#### 5.7.1 vinnsl-service

The *vinnsl service* is the main communication hub that enables access to the neural network objects and all of its data and provides interfaces to update it. The service connects to a *MongoDB* database where all its persisted data is stored via the *Spring Data* template. Fig. 5.1 shows the class diagram of the *vinnsl service*.

#### 5 Implementation

### VinnslServiceApplication

VinnslServiceApplication is the main class that initializes the *Spring Boot* configuration and *MongoDB* repository.

#### VinnslServiceController

VinnslServiceController is a *Spring* RestController implementing all Mappings for the endpoint /vinnsl. All required dependencies on *MongoDB* are injected by Spring Boot.

#### **NnStatusController**

NnStatusController provides methods to get the current training status of one or all individual neural network(s). Methods are exposed at the /status endpoint. Other services, like the *vinnsl worker service* can also update the status.

### Dl4JServiceController

D14JServiceController is a controller that allows manipulation of the *Deeplearning4J* property of a neural network using the /d14j endpoint.

#### Vinnsl

The *vinnsl* class is a *POJO*<sup>1</sup> representation of the *ViNNSL* XML structure and used across different services.

<sup>1</sup> Plain Old Java Object

#### **NnCloud**

The NnCloud class is an extension to Vinnsl, used to store the status and the *Deeplearning4J* representation of a neural network.

### 5.7.2 vinnsl-storage-service

The *vinnsl storage service* is a web service for storing and retrieving files in a *MongoDB* database. *GridFS*, which enables to store large data is activated. Figure 5.2 shows the class diagram.

### VinnslStorageApplication

VinnslStorageApplication is the main class that initializes the *Spring Boot* configuration and *MongoDB* repository.

### VinnslStorageController

VinnslStorageController makes retrieving and uploading files available via the /storage endpoint.

An HTML form that enables a Multipart file upload from a browser, which is handled by the handleFileUpload() method. Alternatively, instead of directly uploading a file, a *URL* can be passed as parameter via the handleRestFileUploadFromUrl. The storage service takes care of downloading and storing the file. The controller uses the *GridFS* template as an abstraction to the *MongoDB* database.

#### 5.7.3 vinnsl-worker-service

The *vinnsl worker service* is the component, which is used for training and evaluating neural networks, executing the *Deeplearning4J* framework. Figure 5.3 shows the class diagram.

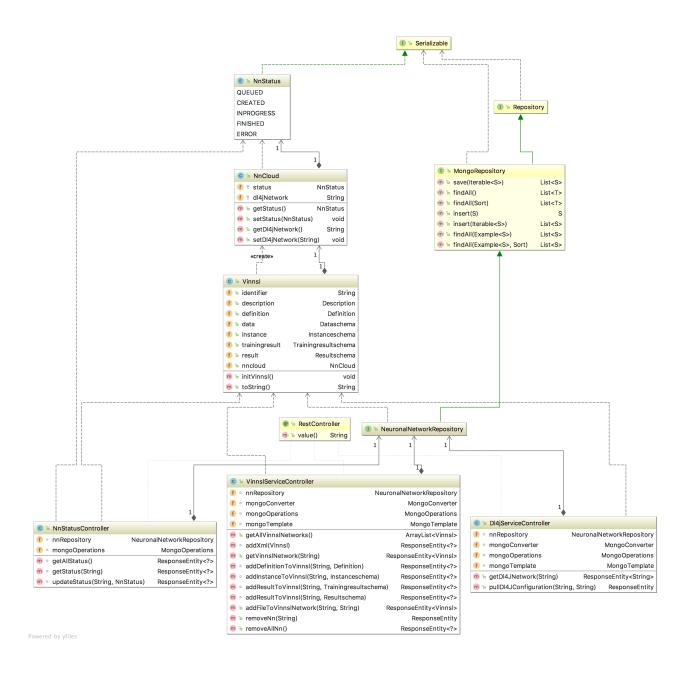


Figure 5.1: Class Diagram of vinnsl-service

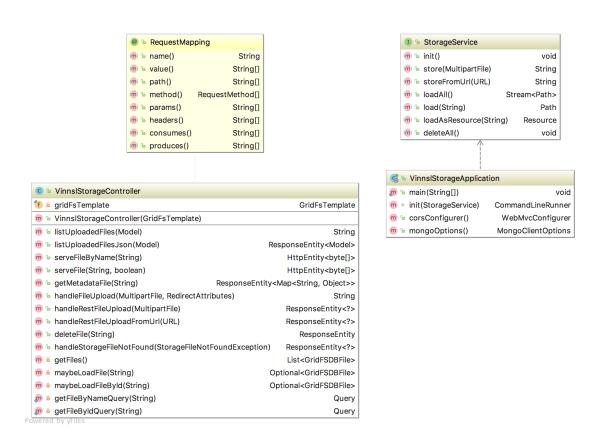


Figure 5.2: Class Diagram of vinnsl-storage-service

#### 5 Implementation

### MappingUtil / VinnslDL4JMapperImpl

The classes MappingUtil and VinnslDL4JMapperImpl are responsible for mapping a *Vinnsl* to a *DeepLearning4J* network that can be trained.

The mappings are done in the inner classes of the MappingUtil. VinnslDL4JMapperImpl initializes the necessary objects and calls the right methods to perform the mapping.

#### Worker Controller

WorkerController is a RestController that exposes the /worker/queue endpoint and can be used to schedule neural networks for training.

### WorkerQueue

WorkerQueue is the data structure that stores the identifiers of the queued networks in memory.

#### Worker

The worker class checks the WorkerQueue periodically and if not empty polls the first element. It fetches the associated *Vinnsl* network from the *vinnsl-service* and hands it over to the *Dl4JNetworkTrainer*. The service further sets the training status to INPROGRESS.

### Dl4JNetworkTrainer

The training is initiated by the Worker class. The *network trainer* fetches and parses the training data if necessary (for example *comma separed value* files) and initializes the MappingUtil. The transformed *Deeplearning4J* model contains the neural network structure and parameters, required for training and is attached to the *ViNNSL* model.

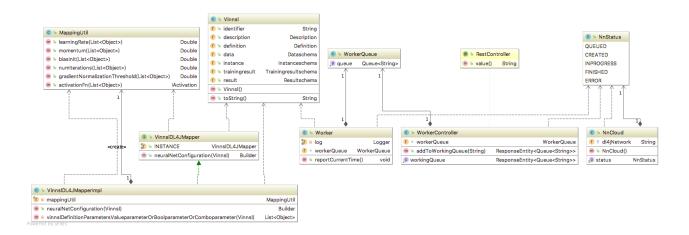


Figure 5.3: Class Diagram of vinnsl-worker-service

VinnslUi
vinnslList:Object
currentVinnslItem:Object
getStatus()
getDetailsById(id)
deleteById(id)
getFiles()
applyFile(id fileID)

Figure 5.4: VinnslUI Vue Class

Next the *Deeplearning4J* UI Server is initialized, which visualizes the training process. Test and training data is split and the training is started. After the training process is finished, the result is uploaded to the storage service.

#### 5.7.4 vinnsl-nn-ui

The frontend service consists of one single controller named VinnslUI. The getStatus() method retrieves all neural network ids and their status. This is stored in vinnslList. When selecting a neural network from the list, the neural network object is loaded by executing getDetailsById(). The response is stored in currentVinnslItem.

Figure 5.4 gives an overview of the used methods and stored variables.

### 5.8 Limitations

## 5.8.1 Neural Network Design

The prototyped ViNNSL to Deeplearning4J mapper currently supports only multi-layers and fully connected backpropagation networks.

### 5.8.2 Parameters

The ViNNSL to Deeplearning4J mapper currently supports the following parameters:

- 1. learningrate
- 2. momentum
- 3. biasInput
- 4. epochs
- 5. threshold
- 6. activationfunction

# **6** User Interface

### 6.1 vinnsl-nn-ui (Frontend UI)

The vinnsl-nn-ui is a single page application (SPA) that displays all neural networks and their details in a web based frontend. Figure 6.1 shows a screenshot of the user interface.

#### 6.1.1 Architecture

The web application is a Javascript based frontend, using the *Vue.js* and *Twitter Bootstrap* framework. The single main controller, called VinnslUI, provides methods to fetch a list of neural networks and their status. Additionally it queries for available files from the storage service and enables to connect them to a neural network.

#### 6.1.2 Features

#### List of Neural Networks

On the left side the user can see a list of all created or imported neural networks. Next to the names of the networks, there is a colored text stating the training status.

# VINNSL-NN-UI Status

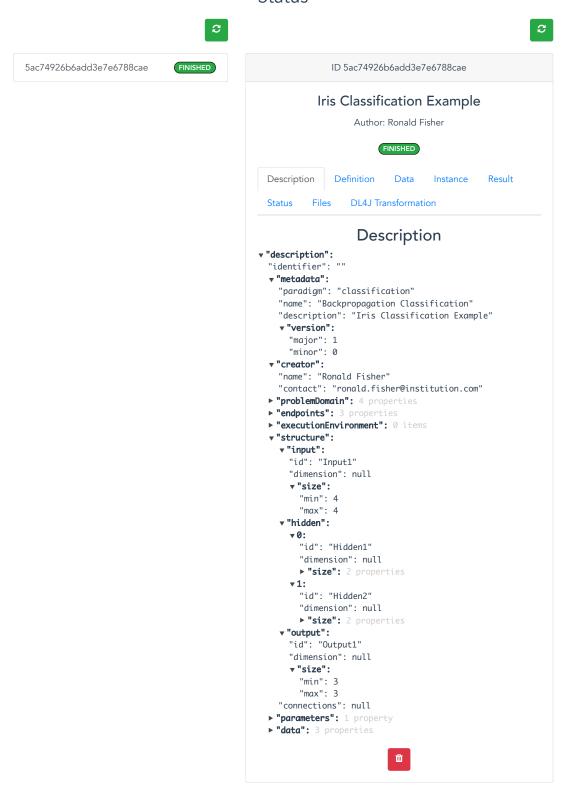


Figure 6.1: User Interface of ConbexNN

#### **Detail View**

In the detailed view on the right side, the title, id and author of the network. The visualisation of a neural network is divided into tabs.

The tabs "Description", "Definition", "Instance" and "Result" represent the eponymous ViNNSL Description XML file into a graphical tree view. When enough information is provided by ViNNSL XML files, the worker service performs a transformation into the internally used model representation of the *Deeplearning4J* Framework. The "DL4J Transformation" tab shows the transformed object.

**Assign training- and testset** In the "Files" tab, imported files of the storage services are listed and can be selected as training- or testset.

#### 6.1.3 Limitations

The user interface is read-only and designed to provide a graphical overview of neural networks, their data and training result. The actual creation and training of neural networks must be done via the RESTful API, as documented in section 7.

#### Base URL

http[s]://<clusterip>

## 7.1 vinnsl-service

# 7.1.1 Import a new ViNNSL XML Defintion

POST /vinnsl

### **Parameters**

Туре	Name	Description	Schema
Body	vinnsl required	vinnsl	Vinnsl

HTTP Code	Description	Schema
201	Created	No Content
500	Server Error	Error

#### **Consumes**

• application/xml

#### **Produces**

• \*/\*

### **Tags**

• vinnsl-service-controller

## **Example HTTP request**

#### Header

```
Content-Type: application/xml
```

#### **Body**

```
<creator>
  <name>Benjamin Nussbaum</name>
  <contact>nussbaum@institution.com</contact>
</creator>
cproblemDomain>
  cpropagationType type="feedforward">
    <learningType>supervised</learningType>
  </propagationType>
  <applicationField>Classification</applicationField>
  <networkType>Backpropagation</networkType>
  cproblemType>Classifiers</problemType>
</problemDomain>
<endpoints>
  <train>true</train>
  <retrain>true</retrain>
  <evaluate>true</evaluate>
</endpoints>
<structure>
   <input>
    <ID>Input1</ID>
    <size>
        <min>4</min>
        < max > 4 < / max >
    </size>
   </input>
   <hidden>
    <ID>Hidden1</ID>
    <size>
        <min>3</min>
        < max > 3 < / max >
    </size>
   </hidden>
   <hidden>
    <ID>Hidden2</ID>
    <size>
```

```
<min>3</min>
             < max > 3 < / max >
        </size>
       </hidden>
       <output>
         <ID>Output1</ID>
        <size>
             <min>3</min>
             < max > 3 < / max >
        </size>
       </output>
     </structure>
     <parameters/>
     <data>
        <description>iris txt file with 3 classifications,
        4 input vars</description>
        <tabledescription>no input as table possible</tabledescription>
        <filedescription>CSV file</filedescription>
     </data>
  </description>
</vinnsl>
```

## **Example HTTP response**

Statuscode: 201 CREATED

#### Header

Location: https://<baseURL>/vinnsl/5ade36bbd601800001206798

#### 7.1.2 List all Neural Networks

GET /vinnsl

## Responses

HTTP Code	Description	Schema
200	OK	< Vinnsl > array
404	Not Found	No Content
500	Server Error	Error

### **Produces**

ullet application/json

## **Tags**

• vinnsl-service-controller

# **Example HTTP Response**

... ]

### 7.1.3 Delete all Neural Networks

DELETE /vinnsl/deleteall

### Responses

HTTP Code	Description	Schema
200	ОК	object
204	No Content	No Content
500	Server Error	Error

### **Produces**

• application/json

## **Tags**

• vinnsl-service-controller

## 7.1.4 Get Neural Network Object

GET /vinnsl/{id}

### **Parameters**

Туре	Name	Description	Schema
Path	id required	id	string

### Responses

HTTP Code	Description	Schema
200	ОК	Vinnsl
404	Not Found	No Content

### **Produces**

- application/xml
- application/json

### **Tags**

• vinnsl-service-controller

## **Example HTTP response**

```
<version>
        <major>1</major>
        <minor>5</minor>
    </re>
</metadata>
<creator>
    <name>Autor 1</name>
    <contact>author1@institution.com</contact>
</creator>
oblemDomain>
    cpropagationType type="feedforward">
        <learningType>supervised</learningType>
    </propagationType>
    <applicationField>EMS</applicationField>
    <applicationField>Operations</applicationField>
    <applicationField>FaceRecoginition</applicationField>
    <networkType>Backpropagation</networkType>
    cproblemType>Classifiers</problemType>
</problemDomain>
<endpoints>
    <train>true</train>
    <retrain>true</retrain>
    <evaluate>true</evaluate>
</endpoints>
<structure>
    <input>
        <ID>Input1</ID>
        <dimension>
            <min>1</min>
            < max > 1 < / max >
        </dimension>
        <size>
            <min>960</min>
            < max > 960 < /max >
        </size>
```

```
</input>
        <hidden>
            <ID>Hidden1</ID>
            <dimension>
                <min>1</min>
                <max>1024</max>
            </dimension>
        </hidden>
        <output>
            <ID>Output1</ID>
            <dimension>
                <min>1</min>
                < max > 1 < / max >
            </dimension>
            <size>
                <min>1</min>
                < max > 1 < / max >
            </size>
        </output>
    </structure>
    <parameters/>
    <data>
        <description>Input are face images with 32x30 px</description>
        <tabledescription>no input as table possible</tabledescription>
        <filedescription>prepare the input as file by reading
        the image files</filedescription>
    </data>
</description>
<definition>
    <identifier></identifier>
    cproblemDomain>
        propagationType type="feedforward">
            <learningType>supervised</learningType>
        </propagationType>
        <applicationField>EMS</applicationField>
```

```
<applicationField>Operations</applicationField>
    <applicationField>FaceRecoginition</applicationField>
    <networkType>Backpropagation</networkType>
    cproblemType>Classifiers</problemType>
</problemDomain>
<endpoints></endpoints>
<executionEnvironment>
    <serial>true</serial>
</executionEnvironment>
<structure>
    <input>
        <ID>Input1</ID>
        <dimension>1</dimension>
        <size>960</size>
    </input>
    <hidden>
        <ID>Hidden1</ID>
        <dimension>1</dimension>
        <size>1024</size>
    </hidden>
    <output>
        <ID>Output1</ID>
        <dimension>1</dimension>
        <size>1</size>
    </output>
    <connections/>
</structure>
<resultSchema>
    <instance>true</instance>
    <training>true</training>
</resultSchema>
<parameters>
    <valueparameter name="learningrate">0.4</valueparameter>
    <valueparameter name="biasInput">1</valueparameter>
    <valueparameter name="biasHidden">1</valueparameter>
```

```
<valueparameter name="momentum">0.1</valueparameter>
            <comboparameter name="ativationfunction">sigmoid</comboparameter>
            <valueparameter name="threshold">0.00001</valueparameter>
            <comboparameter name="activationfunction">sigmoid</comboparameter>
        </parameters>
        <data>
            <description>Input are face images with 32x30 px</description>
            <dataSchemaID>iris.txt</dataSchemaID>
        </data>
    </definition>
    <data>
        <identifier>5ab4e69c8f136a16bf81f093</identifier>
        <data>
            <file>5ab4e69c8f136a16bf81f093</file>
        </data>
    </data>
</vinnsl>
```

### 7.1.5 Remove Neural Network Object

DELETE /vinnsl/{id}

#### **Parameters**

Туре	Name	Description	Schema
Path	id required	id	string

HTTP Code	Description	Schema
200	OK	ResponseEntity

HTTP Code	Description	Schema
204	No Content	No Content
500	Server Error	No Content

### **Produces**

• \*/\*

# **Tags**

• vinnsl-service-controller

# 7.1.6 Add/Replace File of Neural Network

PUT /vinnsl/{id}/addfile

## **Parameters**

Туре	Name	Description	Schema
Path	id required	id	string
Query	fileId required	fileId	string

HTTP Code	Description Schema	
200	ОК	Vinnsl
404	Not Found	No Content

HTTP Code	Description	Schema
500	Server Error	Error

#### **Consumes**

• application/json

### **Produces**

- application/xml
- application/json

## **Tags**

• vinnsl-service-controller

## 7.1.7 Add/Replace ViNNSL Definition of Neural Network

PUT /vinnsl/{id}/definition

#### **Parameters**

Туре	Name	Description	Schema
Path	id required	id	string
Body	def required	def	Definition

HTTP Code	Description	Schema
200	OK	Vinnsl
404	Not Found	No Content
500	Server Error	Error

#### **Consumes**

- application/xml
- application/json

### **Produces**

• \*/\*

### **Tags**

• vinnsl-service-controller

# **Example HTTP request**

#### Request body

```
<minor>0</minor>
  </re>
</metadata>
<creator>
  <name>Nussbaum</name>
</creator>
cproblemDomain>
  cpropagationType type="feedforward">
    <learningType>supervised</learningType>
  <applicationField>Classification</applicationField>
  <networkType>Backpropagation</networkType>
  cproblemType>Classifiers/problemType>
</problemDomain>
<endpoints>
  <train>true</train>
</endpoints>
<executionEnvironment>
    <serial>true</serial>
</executionEnvironment>
<structure>
   <input>
    <ID>Input1</ID>
    <size>4</size>
   </input>
   <hidden>
    <ID>Hidden1</ID>
    <size>3</size>
   </hidden>
   <hidden>
    <ID>Hidden2</ID>
    <size>3</size>
   </hidden>
   <output>
    <ID>Output1</ID>
```

```
<size>3</size>
   </output>
   <connections>
    <!--<fullconnected>
        <fromblock>Input1</fromblock>
        <toblock>Hidden1</toblock>
        <fromblock>Hidden1</fromblock>
        <toblock>Output1</toblock>
    </fullconnected>-->
   </connections>
 </structure>
 <resultSchema>
    <instance>true</instance>
    <training>true</training>
 </resultSchema>
 <parameters>
    <valueparameter name="learningrate">0.1</valueparameter>
    <comboparameter name="activationfunction">tanh</comboparameter>
    <valueparameter name="iterations">500</valueparameter>
    <valueparameter name="seed">6</valueparameter>
 </parameters>
 <data>
    <description>iris txt file with 3 classifications,
    4 input vars</description>
    <dataSchemaID>name/iris.txt</dataSchemaID>
 </data>
</definition>
```

## 7.1.8 Add/Replace ViNNSL Instanceschema of Neural Network

PUT /vinnsl/{id}/instanceschema

#### **Parameters**

Туре	Name	Description	Schema
Path	<b>id</b> required	id	string
Body	instance required	instance	Instanceschema

# Responses

HTTP Code	Description	Schema
200	OK	object
404	Not Found	No Content
500	Server Error	Error

### Consumes

- application/xml
- application/json

## **Produces**

• \*/\*

## **Tags**

• vinnsl-service-controller

# **Example HTTP request**

### Request body

<instanceschema>
</instanceschema>

# 7.1.9 Add/Replace ViNNSL Resultschema of Neural Network

PUT /vinnsl/{id}/resultschema

#### **Parameters**

Туре	Name	Description	Schema
Path	id required	id	string
Body	resultSchema required	resultSchema	Resultschema

## Responses

HTTP Code	Description	Schema
200	OK	object
404	Not Found	No Content
500	Server Error	Error

#### Consumes

- application/xml
- application/json

### **Produces**

• \*/\*

## **Tags**

• vinnsl-service-controller

## **Example HTTP request**

### Request body

<resultschema> </resultschema>

# 7.1.10 Add/Replace ViNNSL Trainingresult of Neural Network

PUT /vinnsl/{id}/trainingresult

#### **Parameters**

Туре	Name	Description	Schema
Path	id required	id	string
Body	trainingresult required	trainingresult	Trainingresultschema

HTTP Code	Description	Schema
200	OK	object
404	Not Found	No Content
500	Server Error	Error

### **Consumes**

- application/xml
- application/json

### **Produces**

• \*/\*

## **Tags**

• vinnsl-service-controller

## **Example HTTP request**

### Request body

```
<trainingresult>
</trainingresult>
```

## 7.1.11 Get Status of all Neural Networks

GET /status

HTTP Code	Description	Schema
200	OK	object
404	Not Found	No Content

### **Produces**

• application/json

## Tags

• nn-status-controller

# HTTP response example

### 7.1.12 Get Status of Neural Network

GET /status/{id}

### **Parameters**

Туре	Name	Description	Schema
Path	id required	id	string

HTTP Code	Description	Schema
200	ОК	object
404	Not Found	No Content

### **Produces**

• application/json

## **Tags**

• nn-status-controller

## 7.1.13 Set Status of a Neural Network

PUT /status/{id}/{status}

## **Parameters**

Туре	Name	Description Schema	
Path	id required	id	string enum (CREATED, QUEUED, INPROGRESS, FINISHED, ERROR)
Path	status required	status	

HTTP Code	Description	Schema
200	OK	object
404	Not Found	No Content
500	Server Error	Error

### **Consumes**

• application/json

### **Produces**

• application/json

## Tags

• nn-status-controller

# 7.1.14 Get Deeplearning4J Transformation Object of Neural Network

 ${\tt GET /dl4j/\{id\}}$ 

### **Parameters**

Туре	Name	Description	Schema
Path	id required	id	string

HTTP Code	Description	Schema
200	ОК	string
404	Not Found	No Content

### **Produces**

• application/json

### **Tags**

• dl4j-service-controller

# 7.1.15 Put Deeplearning4J Transformation Object of Neural Network

PUT /dl4j/{id}

### **Parameters**

Type	Name	Description	Schema
Path	id required	id	string
Body	dl4J required	dl4J	string

## Responses

HTTP Code	Description	Schema
200	OK	ResponseEntity
404	Not Found	No Content
500	Server Error	Error

#### Consumes

• application/json

### **Produces**

• application/json

## **Tags**

• dl-4j-service-controller

# 7.2 vinnsl-storage-service

# 7.2.1 Handle File Upload from HTML Form

POST /storage

### **Parameters**

Туре	Name	Description	Schema
FormData	file required	file	file

HTTP Code	Description	Schema
200	OK	string
201	Created	No Content
404	Not Found	No Content

### **Consumes**

• multipart/form-data

### **Produces**

• \\*/\*

## **Tags**

• vinnsl-storage-controller

## 7.2.2 List all Files

GET /storage

# Responses

HTTP Code	Description	Schema
200	ОК	Model
404	Not Found	No Content

## **Produces**

• application/json

# **Tags**

• vinnsl-storage-controller

## 7.2.3 Download File by Original Filename

The original filename is the name and extension at the time of the upload.

GET /storage/files/name/{filename}

### **Parameters**

Туре	Name	Description	Schema
Path	filename required	filename	string

## Responses

HTTP Code	Description	Schema
200	ОК	string (byte)
404	Not Found	No Content

#### **Produces**

• \\*/\*

## **Tags**

• vinnsl-storage-controller

# 7.2.4 Download or Show File by FileID

GET /storage/files/{fileId}

### **Parameters**

Туре	Name	Description	Schema
Path	fileId required	fileId	string
Query	download optional	download	boolean

## Responses

HTTP Code	Description	Schema
200	ОК	string (byte)
404	Not Found	No Content

### **Produces**

• \\*/\*

# **Tags**

• vinnsl-storage-controller

# 7.2.5 Delete File by FileID

DELETE /storage/files/{fileId}

## **Parameters**

Туре	Name	Description	Schema
Path	fileId required	fileId	string

## Responses

HTTP Code	Description	Schema
200	OK	ResponseEntity
204	No Content	No Content
403	Forbidden	No Content

## **Produces**

• \\*/\*

# Tags

• vinnsl-storage-controller

# 7.2.6 Get File Metadata by FileID

GET /storage/metadata/{fileId}

### **Parameters**

Туре	Name	Description	Schema
Path	fileId required	fileId	string

# Responses

HTTP Code	Description	Schema
200	ОК	< string, object > map
404	Not Found	No Content

## **Produces**

• \\*/\*

# **Tags**

• vinnsl-storage-controller

## 7.2.7 Upload MultipartFile

POST /storage/upload

### **Parameters**

Туре	Name	Description	Schema
FormData	file required	file	file

HTTP Code	Description	Schema
200	OK	object
201	Created	No Content

HTTP Code	Description	Schema
404	Not Found	No Content

### **Consumes**

• multipart/form-data

### **Produces**

• application/json

# **Tags**

• vinnsl-storage-controller

# 7.2.8 Upload File by URL

GET /storage/upload

### **Parameters**

Туре	Name	Description	Schema
Query	url required	url	string

# Responses

### 7 API Documentation

HTTP Code	Description	Schema
200	ОК	object
404	Not Found	No Content

### **Produces**

• application/json

# **Tags**

• vinnsl-storage-controller

# 7.3 vinnsl-worker-service

# 7.3.1 getWorkingQueue

GET /worker/queue

# Responses

HTTP Code	Description	Schema
200	OK	< string > array
401	Unauthorized	No Content
403	Forbidden	No Content
404	Not Found	No Content

### **Produces**

• \\*/\*

# **Tags**

• worker-controller

# 7.3.2 addToWorkingQueue

PUT /worker/queue/{id}

### **Parameters**

Туре	Name	Description	Schema
Path	id required	id	string

# Responses

HTTP Code	Description	Schema
200	OK	< string > array
201	Created	No Content
401	Unauthorized	No Content
403	Forbidden	No Content
404	Not Found	No Content

### 7 API Documentation

### **Consumes**

• application/json

# **Produces**

• application/json

# Tags

• worker-controller

# 8 Deployment

### 8.1 Local Machine

#### **Prerequisites**

- Install kubectl tool from: https://kubernetes.io/docs/tasks/tools/install-kubectl
- Install minikube tool from: https://github.com/kubernetes/minikube/releases
- git tool installed

Run minikube minikube start

Starts the minikube cluster

#### **Setting up** Clone the repository

```
git clone https://github.com/a00908270/vinnsl-nn-cloud.git
cd kubernetes_config/
```

#### Run Services in Cluster

```
# MongoDB for vinnsl-service
kubectl --context $CONTEXT create -f mongo.yaml
# Vinnsl Service
kubectl --context $CONTEXT create -f vinnsl-service.yaml
# MongoDB for vinnsl-storage-service
kubectl --context $CONTEXT create -f mongo-storage-service.yaml
```

#### 8 Deployment

```
# Vinnsl Storage Service
kubectl --context $CONTEXT create -f vinnsl-storage-service.yaml
# Vinnsl NN Worker Service
kubectl --context $CONTEXT create -f vinnsl-nn-worker.yaml
# Vinnsl Frontend UI Webapp
kubectl --context $CONTEXT create -f vinnsl-nn-ui.yaml
```

**Enable and Set Up Ingress** Sets up a proxy to make services available at the endpoint specified in the API Specification.

```
kubectl --context $CONTEXT apply -f ingress.yaml
```

## 8.2 Google Cloud Instance

This section describes how to deploy ConbexNN into a Kubernetes cluster in the Google Kubernetes Engine.

#### **Prerequisites**

- Google Account with activated billing or credits
- kubectl tool on local machine installed: (https://kubernetes.io/docs/tasks/tools/install-kubectl/#install-kubectl)
- gcloud SDK locally installed (https://cloud.google.com/sdk/downloads)

#### Create Cluster

```
gcloud beta container --project "nn-cloud-201314" clusters create "cluster-1" --zone "us-central1-a" --username "admin" --cluster-version "1.8.8-gke.0" --machine-type "n1-standard-1" --image-type "COS" --disk-size "15" --scopes "https://www.googleapis.com/auth/compute", "https://www.googleapis.com/auth/devstorage.read_only",
```

```
"https://www.googleapis.com/auth/logging.write",
"https://www.googleapis.com/auth/monitoring",
"https://www.googleapis.com/auth/service.ontrol",
"https://www.googleapis.com/auth/service.management.readonly",
"https://www.googleapis.com/auth/trace.append"
--num-nodes "4" --network "default" --enable-cloud-logging
--enable-cloud-monitoring --subnetwork "default" --addons
HorizontalPodAutoscaling, HttpLoadBalancing, KubernetesDashboard
```

**Clone the repository** Clone the vinnsl-nn-cloud project and swtich into the google-cloud folder.

```
git clone https://github.com/a00908270/vinnsl-nn-cloud.git
cd kubernetes_config/google-cloud/
```

#### Run Services in Cluster

```
# MongoDB for vinnsl-service
kubectl --context $CONTEXT create -f mongo_small.yaml
# Vinnsl Service
kubectl --context $CONTEXT create -f vinnsl-service.yaml
# MongoDB for vinnsl-storage-service
kubectl --context $CONTEXT create -f mongo-storage-service_small.yaml
# Vinnsl Storage Service
kubectl --context $CONTEXT create -f vinnsl-storage-service.yaml
# Vinnsl NN Worker Service
kubectl --context $CONTEXT create -f vinnsl-nn-worker.yaml
# Vinnsl Frontend UI Webapp
kubectl --context $CONTEXT create -f vinnsl-nn-ui.yaml
```

**Enable and Set Up Ingress** Sets up a proxy to make services available at the endpoint specified in the API Specification.

```
kubectl --context $CONTEXT apply -f ingress.yaml
```

### 8.3 Amazon EKS

This section describes how to deploy ConbexNN into a Kubernetes cluster in the *Amazon Elastic Container Service* (EKS).

#### Prerequisites

- AWS Account with activated billing or credits
- kubectl tool on local machine installed: (https://kubernetes.io/docs/tasks/tools/install-kubectl/#install-kubectl)

**Create Cluster** Log into the AWS Management Console<sup>1</sup> and select the Service *Elastic Container Service for Kubernetes*. Create a new cluster in the user interface. Configure *kubectl* for EKS using the current documentation<sup>2</sup>.

**Clone the repository** Clone the vinnsl-nn-cloud project and swtich into the google-cloud folder.

```
git clone https://github.com/a00908270/vinnsl-nn-cloud.git
cd kubernetes_config/aws-cloud/
```

#### Run Services in Cluster

```
# MongoDB for vinnsl-service
kubectl --context $CONTEXT create -f mongo_small.yaml
# Vinnsl Service
kubectl --context $CONTEXT create -f vinnsl-service.yaml
# MongoDB for vinnsl-storage-service
kubectl --context $CONTEXT create -f mongo-storage-service_small.yaml
# Vinnsl Storage Service
kubectl --context $CONTEXT create -f vinnsl-storage-service.yaml
```

<sup>1</sup> https://console.aws.amazon.com/eks

<sup>2</sup> https://docs.aws.amazon.com/eks/latest/userguide/configure-kubectl.html

```
# Vinnsl NN Worker Service
kubectl --context $CONTEXT create -f vinnsl-nn-worker.yaml
# Vinnsl Frontend UI Webapp
kubectl --context $CONTEXT create -f vinnsl-nn-ui.yaml
```

**Enable and Set Up Ingress** Sets up a proxy to make services available at the endpoint specified in the API Specification.

```
kubectl --context $CONTEXT apply -f ingress.yaml
```

#### 8.4 Microsoft AKS

This section describes how to deploy ConbexNN into a Kubernetes cluster in the *Azure Kubernetes Service* (AKS).

#### **Prerequisites**

- Microsoft Azure account with activated billing or credits
- kubectl tool on local machine installed: (https://kubernetes.io/docs/tasks/tools/install-kubectl/#install-kubectl)

**Create Cluster** Log into the AWS Management Console<sup>3</sup> and select the Service *Azure Kubernetes Service*. Create a new cluster in the user interface. Launch the *Cloud Shell* an run the following commands.

**Clone the repository** Clone the vinnsl-nn-cloud project and swtich into the google-cloud folder.

```
git clone https://github.com/a00908270/vinnsl-nn-cloud.git
cd kubernetes_config/azure-cloud/
```

<sup>3</sup> https://portal.azure.com

#### 8 Deployment

#### Run Services in Cluster

```
# MongoDB for vinnsl-service
kubectl --context $CONTEXT create -f mongo_small.yaml
# Vinnsl Service
kubectl --context $CONTEXT create -f vinnsl-service.yaml
# MongoDB for vinnsl-storage-service
kubectl --context $CONTEXT create -f mongo-storage-service_small.yaml
# Vinnsl Storage Service
kubectl --context $CONTEXT create -f vinnsl-storage-service.yaml
# Vinnsl NN Worker Service
kubectl --context $CONTEXT create -f vinnsl-nn-worker.yaml
# Vinnsl Frontend UI Webapp
kubectl --context $CONTEXT create -f vinnsl-nn-ui.yaml
```

**Enable and Set Up Ingress** Sets up a proxy to make services available at the endpoint specified in the API Specification.

```
kubectl --context $CONTEXT apply -f ingress.yaml
```

# 9 Use Cases

As a demonstration of the implemented ConbexNN, this thesis features two use cases with practical relevance.

## 9.1 Iris Classification Example

Ronald A. Fisher published 1936 in his paper *The use of multiple measurements in taxonomic problems* [Fis] a dataset that is known as the *Iris flower data set*.

The data set [Fis] features 50 examples of three Iris species: Iris setosa, Iris virginica and Iris versicolor. A table lists four measured features from each sample: the length and the width of the sepals and petals.

This use case shall showcase the use of the implemented prototype to create a neural network, train and evaluate it, using this dataset.

#### 9.1.1 Dataset

The dataset exists in the UCI Machine Learning Repository [DKT17] as a *CSV* (comma separated value) file<sup>1</sup> which will be used for training. The first example has a sepal length/width of 5.1cm/3.5cm, a petal length/width of 1.4cm/0.2cm and is an Iris setosa.

The first lines of the dataset explain the structure of the dataset. The columns are formatted for better readability. The species column is an enumerated value.

<sup>1</sup> https://archive.ics.uci.edu/ml/datasets/iris

Iris species
Iris setosa
Iris virginica
Iris versicolor

```
Sepal length, Sepal width, Petal length, Peta width, Iris species
5.1    , 3.5    , 1.4    , 0.2    , 0
4.9    , 3.0    , 1.4    , 0.2    , 0
<more lines>
```

### 9.1.2 Prerequisites

- Kubernetes Cluster running
- Services from the Neural Network Execution Stack deployed in cluster
- Hostname cluster.local resolves to Minikube instance

### 9.1.3 Create the neural network

### Request

```
<version>
    <major>1</major>
    <minor>0</minor>
  </re>
</metadata>
<creator>
  <name>Nussbaum</name>
</creator>
cproblemDomain>
  propagationType type="feedforward">
    <learningType>supervised</learningType>
  </propagationType>
  <applicationField>Classification</applicationField>
  <networkType>Backpropagation</networkType>
  cproblemType>Classifiers</problemType>
</problemDomain>
<endpoints>
  <train>true</train>
  <retrain>true</retrain>
  <evaluate>true</evaluate>
</endpoints>
<structure>
   <input>
    <ID>Input1</ID>
    <size>
        <min>4</min>
        < max > 4 < / max >
    </size>
   </input>
   <hidden>
    <ID>Hidden1</ID>
    <size>
        <min>3</min>
        < max > 3 < / max >
    </size>
```

```
</hidden>
       <hidden>
        <ID>Hidden2</ID>
        <size>
             <min>3</min>
             < max > 3 < / max >
        </size>
       </hidden>
       <output>
        <ID>Output1</ID>
        <size>
             <min>3</min>
             < max > 3 < / max >
        </size>
       </output>
     </structure>
     <parameters>
        <valueparameter>learningrate</valueparameter>
        <valueparameter>biasInput</valueparameter>
        <valueparameter>biasHidden</valueparameter>
        <valueparameter>momentum</valueparameter>
        <comboparameter>ativationfunction</valueparameter>
        <valueparameter>threshold</valueparameter>
     </parameters>
     <data>
        <description>iris txt file with 3 classifications,
        4 input vars</description>
        <tabledescription>no input as table possible</tabledescription>
        <filedescription>CSV file</filedescription>
     </data>
  </description>
</vinnsl>
```

9.1 Iris Classification Example

### Response

201 CREATED

Aside from the HTTP Status Code, we also get HTTP headers in the response. The one needed for further requests is named location. The value of this field is the URL of the network, that was created and can be used to get and update fields on the dataset.

In this example the following value is returned:

Header Name	Header Value
location	https://cluster.local/vinnsl/5b1811a046e0fb0001fa28cc

The id of the new dataset is 5b1811a046e0fb0001fa28cc. In the following requests the id is shortened as {id}.

#### 9.1.4 Add ViNNSL Definition to the Neural Network

The ViNNSL definition XML contains metadata like name and description of the network as well as the stucture of the neural network model. There is one input and one output layer defined. In between there are two hidden layers. It is also possible to specify additional parameters.

The activation function is set to tangens hyperbolicus, the learning rate is 0.1 and the training is limited to 500 iterations. A seed, set to 6, allows a reproducible training score.

### Request

POST https://cluster.local/vinnsl/{id}/definition

**BODY** 

123

```
<definition>
<identifier><!-- will be generated --></identifier>
<metadata>
  <paradigm>classification</paradigm>
  <name>Backpropagation Classification</name>
  <description>Iris Classification Example</description>
  <version>
    <major>1</major>
    <minor>0</minor>
  </re>
</metadata>
<creator>
  <name>Nussbaum</name>
</creator>
cproblemDomain>
  cpropagationType type="feedforward">
    <learningType>supervised</learningType>
  </propagationType>
  <applicationField>Classification</applicationField>
  <networkType>Backpropagation</networkType>
  cproblemType>Classifiers</problemType>
</problemDomain>
<endpoints>
  <train>true</train>
</endpoints>
<executionEnvironment>
    <serial>true</serial>
</executionEnvironment>
<structure>
   <input>
    <ID>Input1</ID>
    <size>4</size>
   </input>
   <hidden>
    <ID>Hidden1</ID>
```

```
<size>3</size>
   </hidden>
   <hidden>
    <ID>Hidden2</ID>
    <size>3</size>
   </hidden>
   <output>
    <ID>Output1</ID>
    <size>3</size>
   </output>
   <connections>
    <fullconnected>
        <fromblock>Input1</fromblock>
        <toblock>Hidden1</toblock>
        <fromblock>Hidden1</fromblock>
        <toblock>Output1</toblock>
    </fullconnected>
   </connections>
 </structure>
 <resultSchema>
    <instance>true</instance>
    <training>true</training>
 </resultSchema>
 <parameters>
    <valueparameter name="learningrate">0.1</valueparameter>
    <comboparameter name="activationfunction">tanh</comboparameter>
    <valueparameter name="iterations">500</valueparameter>
    <valueparameter name="seed">6</valueparameter>
 </parameters>
 <data>
    <description>iris txt file with 3 classifications,
    4 input vars</description>
    <dataSchemaID>name/iris.txt</dataSchemaID>
 </data>
</definition>
```

#### 9 Use Cases

₩ 8		) ObjectId("5b1811a046e0fb0001fa28cc")	{ 5 fields }	Object
		_id	ObjectId("5b1811a046e0fb0001fa28cc")	ObjectId
	** **	_class	at.ac.univie.a0908270.nncloud.db.Vinnsl	String
	< 3	description	{ 8 fields }	Object
1	₩ €3	definition	{ 8 fields }	Object
		identifier		String
	⊩	problemDomain	{ 4 fields }	Object
		== endpoints		String
	- ▶	executionEnvironment	{ 1 field }	Object
	₩	structure structure	{ 4 fields }	Object
		▶ ☑ input	{ 2 fields }	Object
		▶ □ hidden	[ 2 elements ]	Array
		▶ <sup>□</sup> output	{ 2 fields }	Object
		connections	{ O fields }	Object
	⊩	resultSchema      resultSchema     resultSchema      resultSchema     resultSchema     resultSchema     resultSchema     resultSchema     resultSchema     resultSchema     resultSchema     resultSchema     resultSchema     resultSchema     res	{ 2 fields }	Object
	▶	parameters	{ 1 field }	Object
	₩	🖸 data	{ 2 fields }	Object
		description	iris txt file with 3 classifications, 4 inpu	String
		dataSchemalD	name/iris.txt	String
1	W (3	nncloud	{ 1 field }	Object
		"" status	CREATED	String

Figure 9.1: Neural Network Datastructure visualized in the Robo3T<sup>2</sup> application

# Response

200 OK

Figure 9.1 shows a graphical visualisation of the neural network data structure, after adding the description and definition in *ViNNSL* XML. It is noticeable that *description* and *definition* have been transformed into objects. The status is initialized with the value CREATED.

## 9.1.5 Queue Network for Training

### Request

POST https://cluster.local/worker/queue/{id}

# Response

200 OK

<sup>2</sup> https://www.robomongo.org

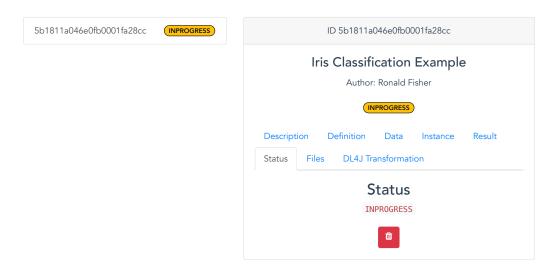


Figure 9.2: ViNNSL NN UI shows training in progress

### 9.1.6 Training

During the training it is possible to open the graphical user interface, called *DL4J Training UI* in a browser, that is provided with the *Deeplearning4J* package, to see the learning progress of the neural network.

https://cluster.local/train/overview

### **DL4J Training UI**

Figure 9.3 shows the network training of the Iris Classification. The overview tab provides general information about network and training.

- Top left: score vs iteration chart value of the loss function
- Top right: model and training information
- Bottom left: Ratio of parameters to updates (by layer) for all network weights vs. iteration
- Bottom right: Standard deviations (vs. time) of: updates, gradients and activations

[Dee]

#### 9 Use Cases

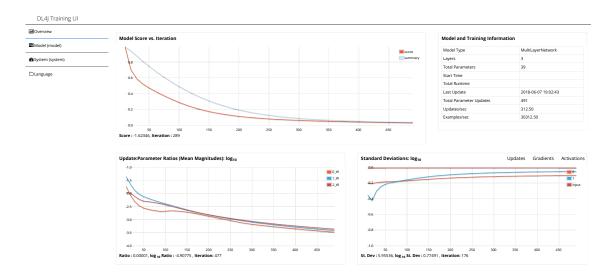


Figure 9.3: DL4J Training UI shows training progress of Iris Classification network

The second tab provides information about the neural network layers of the model. Information includes:

- Table of layer information
- Layer activations over time
- Histograms of parameters and updates
- Learning rate vs. time

[Dee]

### 9.1.7 Testing

Testing takes place automatically after training and evaluates the accuracy of the trained neural network. In this case 65 percent of the dataset is used for training and 35 percent for testing.

#### 9.1.8 Evaluation Result

As soon as the training and testing process is finished, a file with the testing report is ready on the storage server. Figure 9.4 clarifies the updated data structure of the neural network object. A result file with id 5b19972052faff0001cb6bbf was uploaded to the

▼ 🚨 (2) ObjectId	("5b1811a046e0fb0001fa28cc")	{ 6 fields }	Object
	,,	ObjectId("5b1811a046e0fb0001fa28cc")	ObjectId
class		at.ac.univie.a0908270.nncloud.db.Vinnsl	String
descripti	on	{ 8 fields }	Object
definition	1	{ 8 fields }	Object
▼ □ result		{ 1 field }	Object
"" file		5b195e01d601800001e9867f	String
▼ 🖾 nncloud		{ 2 fields }	Object
== status	5	FINISHED	String
"" dl4jN	etwork	$ \{ \ "backprop" : true, "backpropType" : "Standard", "c \\$	String

**Figure 9.4:** Neural Network Datastructure of the finished network visualized in the Robo3T<sup>3</sup> application

storage service. The status is changed to FINISHED and the transformed *Deeplearning4J* model representation is updated in the field *dl4jNetwork*.

In the *ViNNSL NN UI*, the result file can be viewed by switching to the *Data* tab and selecting *See File* under the headline *Result Data*.

#### [...]

```
Examples labeled as 0 classified by model as 0: 19 times Examples labeled as 1 classified by model as 1: 17 times Examples labeled as 1 classified by model as 2: 2 times Examples labeled as 2 classified by model as 2: 15 times
```

# of classes: 3

Accuracy: 0.9623
Precision: 0.9608
Recall: 0.9649
F1 Score: 0.9606

Precision, recall & F1: macro-averaged (equally weighted avg. of 3 classes)

By examining the result file, it can be noticed that the accuracy of the network was 96 percent. All *Iris setosa* and *Iris versicolor* from the testset were recognized correctly, two *Iris virginica* were incorrectly recognized as *Iris versicolor*.

<sup>3</sup> https://www.robomongo.org

#### 9.2 Wine Score Classification

The second use case shows that a very similar ViNNSL Network can be used on a different data set containing a large collection of wine reviews from a platform called *WineEnthusiast*<sup>4</sup>. The dataset's feature columns were reduced and the lines limited to twenty-thousand.

#### 9.2.1 Dataset

The dataset which will be used for training, contains 20.000 elements with two feature columns and two possible classes. The first feature column is the category of wine (red or white wine), the second is the price (numerical). There are two possible classes: the first class is applicable if the rating score, that has possible values between 0 and 100, is below 90. Otherwise the second class is applicable.

#### **Possible Classification**

Index	Review Rating Score
0	Review rating score <= 90
1	Review rating score > 90

### Wine Category

Index	Wine Category
0	Red wine
1	White wine

<sup>4</sup> https://www.winemag.com/?s=&drink\_type=wine

The first wine has a rating score higher than 90, is a red wine and costs 235 US-Dollars. The second wine is also red, has also a rating over 90, but costs only 65 US-Dollars.

The first lines of the dataset explain the structure of the dataset. The columns are formatted for better readability.

```
Rating Score Class, Category, Price (US$)

1 , 0 , 235

1 , 0 , 65

<more lines>
```

### 9.2.2 Prerequisites

- Kubernetes Cluster running
- Services from the Neural Network Execution Stack deployed in cluster
- Hostname cluster.local resolves to Minikube instance

#### 9.2.3 Create the neural network

POST https://cluster.local/vinnsl

# Request

**BODY** 

```
<version>
    <major>1</major>
    <minor>0</minor>
  </re>
</metadata>
<creator>
  <name>Nussbaum</name>
  <contact>nussbaum@institution.com</contact>
</creator>
cproblemDomain>
  cpropagationType type="feedforward">
    <learningType>supervised</learningType>
  </propagationType>
  <applicationField>Classification</applicationField>
  <networkType>Backpropagation</networkType>
  cproblemType>Classifiers</problemType>
</problemDomain>
<endpoints>
  <train>true</train>
  <retrain>true</retrain>
  <evaluate>true</evaluate>
</endpoints>
<structure>
   <input>
    <ID>Input1</ID>
    <size>
        <min>2</min>
        < max > 2 < / max >
    </size>
   </input>
   <hidden>
    <ID>Hidden1</ID>
    <size>
        <min>3</min>
        < max > 3 < / max >
```

```
</size>
       </hidden>
       <hidden>
        <ID>Hidden2</ID>
        <size>
            <min>3</min>
            < max > 3 < / max >
        </size>
       </hidden>
       <output>
        <ID>Output1</ID>
        <size>
            <min>2</min>
            < max > 2 < / max >
        </size>
       </output>
     </structure>
     <parameters>
        <valueparameter>learningrate</valueparameter>
        <valueparameter>biasInput</valueparameter>
        <valueparameter>biasHidden</valueparameter>
        <valueparameter>momentum</valueparameter>
        <comboparameter>ativationfunction</valueparameter>
        <valueparameter>threshold</valueparameter>
     </parameters>
     <data>
        <description>wine csv file with 2 classifications,
        2 input vars</description>
        <tabledescription>no input as table possible</tabledescription>
        <filedescription>CSV file</filedescription>
     </data>
  </description>
</vinnsl>
```

9 Use Cases

Response

201 CREATED

Aside from the HTTP Status Code, we also get HTTP headers in the response. The one needed for further requests is named location. The value of this field is the URL of the network, that was created and can be used to get and update fields on the dataset.

In this example the following value is returned:

Header Name	Header Value
location	https://cluster.local/vinnsl/5ac6a8796522050001dfff3b

The id of the new dataset is 5ac6a8796522050001dfff3b. In the following requests the id is shortened as {id}.

#### 9.2.4 Add ViNNSL Definition to the Neural Network

The ViNNSL definition XML contains metadata like name and description of the network as well as the stucture of the neural network model. There is one input and one output layer defined. In between there are two hidden layers. It is also possible to specify additional parameters.

The activation function is set to tangens hyperbolicus, the learning rate is 0.1 and the training is limited to 500 iterations. A seed, set to 6, allows a reproducible training score.

### Request

POST https://cluster.local/vinnsl/{id}/definition

**BODY** 

```
<definition>
<identifier><!-- will be generated --></identifier>
<metadata>
  <paradigm>classification</paradigm>
   <name>Backpropagation Classification</name>
   <description>Wine Classification Example</description>
  <version>
    <major>1</major>
    <minor>0</minor>
  </re>
</metadata>
<creator>
  <name>Nussbaum</name>
  <contact>nussbaum@institution.com</contact>
</creator>
cproblemDomain>
  cpropagationType type="feedforward">
    <learningType>supervised</learningType>
  <applicationField>Classification</applicationField>
  <networkType>Backpropagation</networkType>
  cproblemType>Classifiers</problemType>
</problemDomain>
<endpoints>
  <train>true</train>
</endpoints>
<executionEnvironment>
    <serial>true</serial>
</executionEnvironment>
<structure>
   <input>
    <ID>Input1</ID>
    <size>2</size>
   </input>
   <hidden>
```

#### 9 Use Cases

```
<ID>Hidden1</ID>
   <size>3</size>
  </hidden>
  <hidden>
   <ID>Hidden2</ID>
   <size>3</size>
  </hidden>
  <output>
   <ID>Output1</ID>
   <size>2</size>
  </output>
  <connections>
   <fullconnected>
       <fromblock>Input1</fromblock>
       <toblock>Hidden1</toblock>
       <fromblock>Hidden1</fromblock>
       <toblock>Output1</toblock>
   </fullconnected>
  </connections>
</structure>
<resultSchema>
   <instance>true</instance>
   <training>true</training>
</resultSchema>
<parameters>
   <valueparameter name="learningrate">0.1</valueparameter>
   <comboparameter name="activationfunction">tanh</comboparameter>
   <valueparameter name="iterations">500</valueparameter>
   <valueparameter name="seed">6</valueparameter>
</parameters>
<data>
   <description>wine csv file with 2 classifications,
   2 input vars</description>
   <dataSchemaID>name/wines.csv</dataSchemaID>
</data>
```

</definition>

### Response

200 OK

### 9.2.5 Queue Network for Training

### Request

```
POST https://cluster.local/worker/queue/{id}
```

### Response

200 OK

#### 9.2.6 Evaluation Result

As soon as the training and testing process is finished, a file with the testing report is ready on the storage server. A result file with id 5ac6a8796522050001dfff3b was uploaded to the storage service. The status is changed to FINISHED and the transformed *Deeplearning4J* model representation is updated in the field *dl4jNetwork*.

In the *ViNNSL NN UI*, the result file can be viewed by switching to the *Data* tab and selecting *See File* under the headline *Result Data*.

#### [...]

```
Examples labeled as 0 classified by model as 0: 4648 times Examples labeled as 0 classified by model as 1: 548 times Examples labeled as 1 classified by model as 0: 959 times Examples labeled as 1 classified by model as 1: 845 times
```

#### 9 Use Cases

-----Scores------

# of classes: 2

Accuracy: 0.7847
Precision: 0.7178
Recall: 0.6815
F1 Score: 0.6946

Precision, recall & F1: macro-averaged (equally weighted avg. of 3 classes)

By examining the result file, it can be noticed that the accuracy of the network was 78.5 percent. The network was pretty good at classifying the ratings soly based on wine category and price.

# 10 Future Work

The flexibility of the presented neural network stack opens up many opportunities for further work and integration into already existing frameworks and applications. This section points out a few ideas.

# 10.1 ViNNSL Compatibility

ViNNSL compatibility is limited in the current prototype of ConbexNN and could be fully implemented to be fully compatible with other systems. See section 5.8 for current limitations.

# 10.2 Integration in N2Sky

N2Sky features a graphical editor to design the neural network structure and training of the model, as seen in Figure 10.1. N2Sky also uses the ViNNSL language to model neural networks. It enables to run the training process in the neural network stack by using the provided API.

### 10.3 Neural Network Backends

Presently the *Deeplearning4J* platform undertakes the task of network training. ViNNSL XML files are transformed into a *Deeplearning4J* model before training. With manageable development effort the API could be extended to support direct import of *Deeplearning4J* 

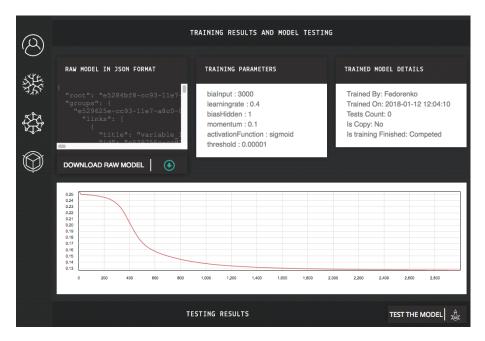


Figure 10.1: N2Sky Neural network evaluation process [FAS18]

models. Furthermore the Framework provides support for Keras<sup>1</sup>, a python framework that is fitted to run on top of TensorFlow, Microsoft Cognitive Toolkit and Theano [DL4b] [ker18]. By extending the API to enable an import of these frameworks, demand from other target audiences could be covered.

# 10.4 Graphical Neural Network Designer

The *ViNNSL* XML scheme could be used to design and validate *ViNNSL* networks in a graphical editor, presenting a drag&drop interface. Another possible function could be an integration of the neural network stack directly into the visual designer to import and train networks into the cluster without leaving the application.

<sup>1</sup> https://keras.io

## 10.5 Deploy trained Models as Web Service

After the training of a neural network model is finished, a useful functionality would be to expose the result for further predictions as a web service. Client applications could run their requests against the trained models to receive model predictions.

### 10.6 Integrate into other Platforms

There are neural network platforms on the market that could be integrated. According to a Gartner report from February 2018, *KNIME* is currently leading in the category "Data Science and Machine Learning Platforms" [Gar].

#### 10.6.1 KNIME

KNIME Analytics Platform<sup>2</sup> is open-source at its core<sup>3</sup> and already features a *Deeplearning4J* integration<sup>4</sup>. Figure 10.2 shows a screenshot of the application designing a Multi Layer Perceptron network and exporting it to a *Deeplearning4J* model. As the application is open-source and extensible, an option to export and train models using the presented execution stack could be added.

### 10.7 Full featured Web Application

The graphical interface of ConbexNN provides a quick overview over neural networks and their status, but does not cover all features specified in the RESTful API. It could be extended to behave like a fully featured web application that can be used as an alternative to the API. It could also provide a functionality to integrate plugins into the user interface.

<sup>2</sup> https://www.knime.com

<sup>3</sup> https://github.com/knime/knime-core

<sup>4</sup> https://github.com/knime/knime-dl4j

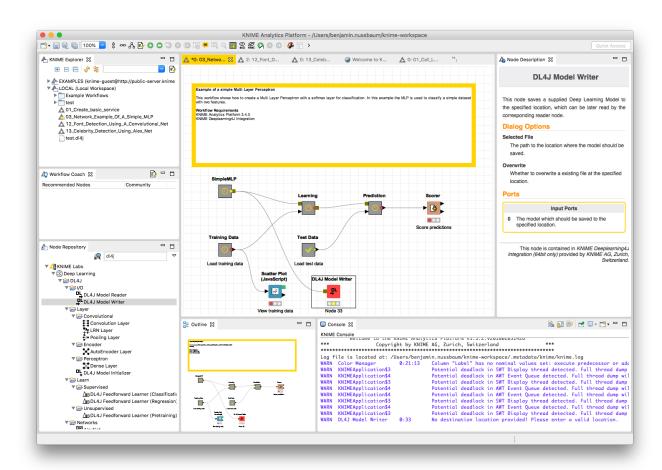


Figure 10.2: Screenshot of KNIME Analytics Platform using Deeplearning4J Integration

# 11 Conclusion

This thesis presented ConbexNN, an open-source execution stack for neural network simulation in an effective and efficient way using simple RESTful webservices fostering Kubernetes Cloud container orchestration and microservices. Using this technique it becomes possible to scale individual services easily and automatically according to current load. Each component is fully interchangeable, as long as the documented RESTful API is implemented. ConbexNN was demonstrated and evaluated on the Iris flower and a wine rating data set. Furthermore various ideas to integrate this solution into other neural network platforms, were given. It is easy to set-up on popular cloud platforms, like Amazon AWS, Google Cloud Engine and Microsoft Azure.

Using ViNNSL as domain specific modelling language, enables users to define neural networks without explicit programming skills.

# 12 Acknowledgments

I would like to thank my girlfriend and my whole family for the support and patience during the time I was occupied doing research, the long nights I was programming code, who were always pushing me forwards to achieve my goals.

Furthermore I would like to express my deepest appreciation to my supervisor and lecturer Mr. Univ.-Prof. DI Dr. Erich Schikuta for his ideas, support and input, which made this thesis possible.

# **List of Figures**

1.1	Distribution of machine learning of 264 companies in the DACH region	
	[BB16]	15
2.1	Monolithic Architecture vs. Microservice Architecture	18
2.2	Kubernetes core architecture [Bai15]	20
2.3	Docker Swarm Mode core architecture [Doc]	24
2.4	Classification of neural networks by Haun [Hau98]	28
2.5	Tensor flow computation graph, adapted from [Dea15]	30
2.6	TensorFlow Programming Stack, adapted from [Tenb]	31
3.1	Mockup: User Interface of Frontend Service	<b>4</b> 3
4.1	UML Use Case Diagram	46
4.2	Training Sequence Diagram	52
4.3	NoSQL Data Model	53
4.4	Architectural Overview of the Neural Network Stack	55
4.5	User Interface Design for vinnsl-nn-ui	57
4.6	Service Discovery with kube-dns	60
4.7	State Machine of a Neural Network	61
5.1	Class Diagram of vinnsl-service	70
5.2	Class Diagram of vinnsl-storage-service	71
5.3	Class Diagram of vinnsl-worker-service	<b>7</b> 3
5.4	VinnslUI Vue Class	<b>7</b> 3
6.1	User Interface of ConbexNN	76
9.1	Neural Network Datastructure visualized in the Robo3T application	126
9.2	ViNNSL NN UI shows training in progress	127

# List of Figures

9.3	DL4J Training UI shows training progress of Iris Classification network .	128
9.4	Neural Network Datastructure of the finished network visualized in the	
	Robo3T application	129
10.1	N2Sky Neural network evaluation process [FAS18]	140
10.2	Screenshot of KNIME Analytics Platform using Deeplearning4J Integration	142

# **Bibliography**

- [Bai15] Baier, Jonathan: Getting Started with Kubernetes. Packt Publishing, 2015
- [BB16] Björn Böttcher, Dr. Carlo V. Daniel Klemm K. Daniel Klemm: Machine Learning im Unternehmenseinsatz / Crisp Research AG. Version: 2016. https://www.unbelievable-machine.com/downloads/studie-machine-learning.pdf. 2016. Forschungsbericht
- [BGO<sup>+</sup>16] Burns, Brendan; Grant, Brian; Oppenheimer, David; Brewer, Eric; Wilkes, John: Borg, Omega, and Kubernetes. In: *Communications of the ACM* 59 (2016), apr, Nr. 5, 50–57. http://dx.doi.org/10.1145/2890784. DOI 10.1145/2890784
- [Boh] Bohn, Björn: OSCON: Kubernetes gewinnt den Preis in der Kategorie "Größte Auswirkung". https://www.heise.de/developer/meldung/OSCON-Kubernetes-gewinnt-den-Preis-in-der-Kategorie-Groesste-Au\swirkung-4116762.html, Retrieved: 2018-07-20
- [BRBA17] Bashari Rad, Babak; Bhatti, Harrison; Ahmadi, Mohammad: An Introduction to Docker and Analysis of its Performance. In: *IJCSNS International Journal of Computer Science and Network Security* 17 (2017), 03, Nr. 3, S. 228–235
- [BVSW08] Beran, P. P.; Vinek, E.; Schikuta, E.; Weishaupl, T.: ViNNSL the Vienna Neural Network Specification Language. In: 2008 IEEE International Joint Conference on Neural Networks (IEEE World Congress on Computational Intelligence), 2008. ISSN 2161–4393, S. 1872–1879
- [Dea15] Dean, Jeff: TensorFlow: Large-scale machine learning on heterogeneous systems. Nov 2015

#### Bibliography

- [Dee] Deeplearning4J: Visualize, Monitor and Debug Network Learning. https://deeplearning4j.org/visualization, Retrieved: 2018-06-08
- [DKT17] Dheeru, Dua; Karra Taniskidou, Efi: *UCI Machine Learning Repository*. http://archive.ics.uci.edu/ml. Version: 2017
- [DL4a] DL4J Authors, The: Features. https://deeplearning4j.org/features, Retrieved: 2018-07-20
- [DL4b] DL4J Authors, The: Importing Models From Keras to Deeplearning4j. https://deeplearning4j.org/model-import-keras, Retrieved: 2018-06-20
- [Doc] Docker: Swarm mode key concepts. https://docs.docker.com/engine/swarm/key-concepts/, Retrieved: 2018-06-18
- [Ell16] Ellingwood, Justin: An Introduction to Kubernetes DigitalOcean. Version: 2016. https://www.digitalocean.com/community/tutorials/an-introduction-to-kubernetes, Retrieved: 2018-05-08
- [Eva17] Evans Data Corporation: AI, ML, and Big Data Survey 2017, Vol.
  2. Version: 2017. https://evansdata.com/reports/viewRelease.php?
  reportID=37
- [FAS18] Fedorenko, Andrii; Adamenko, Aliaksandr; Schikuta, Erich: N2Sky A Neural Network Problem Solving Environment Fostering Virtual Resources. In: IJCNN 2018: International Joint Conference on Neural Networks, 2018
- [Fis] Fisher, Ronald A.: The Use Of Multiple Measurements In Taxonomic Problems. In: *Annals of Eugenics* 7, Nr. 2, 179-188. http://dx.doi.org/10.1111/j.1469-1809.1936.tb02137.x. DOI 10.1111/j.1469-1809.1936.tb02137.x
- [Frö] Fröhlich, Jochen: Neural Networks with Java Backpropagation. https://www.nnwj.de/backpropagation.html, Retrieved: 2018-07-20
- [Gar] Gartner: Magic Quadrant for Data Science and Machine-Learning Platforms
- [Hau98] Haun, M.: Simulation Neuronaler Netze: eine praxisorientierte Einführung; mit 23 Tabellen. expert-Verlag, 1998 (Reihe Technik). ISBN 9783816915447

- [Joy15] Joy, A. M.: Performance comparison between Linux containers and virtual machines. In: 2015 International Conference on Advances in Computer Engineering and Applications, 2015, S. 342–346
- [ker18] Keras: The Python Deep Learning library. https://keras.io. Version: 5 2018, Retrieved: 2018-06-10
- [Kop15] Kopica, Thomas: *Vienna Neural Network Specification Language 2.0*, Masterthesis, 2015
- [Kuba] Kubernetes Authors, The: *Ingress*. https://cloud.google.com/kubernetes-engine/docs/tutorials/http-balancer, Retrieved: 2018-05-31
- [Kubb] Kubernetes Authors, The: *Kubernetes Components*. https://kubernetes.io/docs/concepts/overview/components/, Retrieved: 2018-05-10
- [Kubc] Kubernetes Authors, The: *Kubernetes Concepts Pods*. https://kubernetes.io/docs/concepts/workloads/pods/pod/, Retrieved: 2018-05-12
- [Kubd] Kubernetes Authors, The: Kubernetes DNS-Based Service Discovery. https://github.com/kubernetes/dns/blob/master/docs/specification.md, Retrieved: 2018-05-31
- [LF14] Lewis, James; Fowler, Martin: *Microservices: a definition of this new architectural term.* 2014
- [Mak18] Makadia, Mitul: Top 8 Deep Learning Frameworks. In: DZone (2018), 03
- [MCM13] Michalski, R.S.; Carbonell, J.G.; Mitchell, T.M.: *Machine Learning: An Artificial Intelligence Approach*. Springer Berlin Heidelberg, 2013 (Symbolic Computation). https://books.google.at/books?id=-eqpCAAAQBAJ. ISBN 9783662124055
- [Met14] Metz, Cade: The Mission to Bring Google's AI to the Rest of the World. https://www.wired.com/2014/06/skymind-deep-learning/. Version: 2014, Retrieved: 2018-07-20
- [ND4a] ND4J Authors, The: *N-Dimensional Arrays for Java*. https://nd4j.org/index.html, Retrieved: 2018-07-20

#### Bibliography

- [ND4b] ND4J Authors, The: *Speed*. https://nd4j.org/benchmarking, Retrieved: 2018-07-20
- [ngi] nginx: Using nginx as HTTP load balancer. http://nginx.org/en/docs/http/load\_balancing.html, Retrieved: 2018-05-31
- [Por] Portworx: Portworx Annual Container Adoption Survey 2017
- [RM17] Raschka, S.; Mirjalili, V.: Machine Learning mit Python und Scikit-Learn und TensorFlow: Das umfassende Praxis-Handbuch für Data Science, Predictive Analytics und Deep Learning. mitp-Verlag, 2017 (mitp Professional). ISBN 9783958457355
- [Sam59] Samuel, Arthur L.: Some studies in machine learning using the game of Checkers. In: *IBM JOURNAL OF RESEARCH AND DEVELOPMENT* (1959), S. 71–105
- [SM13] Schikuta, Erich; Mann, Erwin: N2Sky Neural networks as services in the clouds. In: *The 2013 International Joint Conference on Neural Networks (IJCNN)*, IEEE, aug 2013. ISBN 978–1–4673–6129–3, 1-8
- [Spra] Spring: Spring Boot. https://spring.io/projects/spring-boot, Retrieved: 2018-06-03
- [Sprb] Spring: Spring Data MongoDB. https://projects.spring.io/spring-data-mongodb/, Retrieved: 2018-06-03
- [Tena] TensorFlow Authors, The: Estimators. https://www.tensorflow.org/versions/master/guide/estimators, Retrieved: 2018-07-15
- [Tenb] TensorFlow Authors, The: Getting Started for ML Beginners. https://www.tensorflow.org/versions/r1.5/get\_started/get\_started\_for\_beginners, Retrieved: 2018-07-15
- [VGC<sup>+</sup>15] Villamizar, M.; Garcés, O.; Castro, H.; Verano, M.; Salamanca, L.; Casallas, R.; Gil, S.: Evaluating the monolithic and the microservice architecture pattern to deploy web applications in the cloud. In: 2015 10th Computing Colombian Conference (10CCC), 2015, S. 583–590

[VGO+16] Villamizar, M.; Garcés, O.; Ochoa, L.; Castro, H.; Salamanca, L.; Verano, M.; Casallas, R.; Gil, S.; Valencia, C.; Zambrano, A.; Lang, M.: Infrastructure Cost Comparison of Running Web Applications in the Cloud Using AWS Lambda and Monolithic and Microservice Architectures. In: 2016 16th IEEE/ACM International Symposium on Cluster, Cloud and Grid Computing (CCGrid), 2016, S. 179–182