

Problem 1.a. Use the following encodings. Assume that the array index start from 1.

(0) **ROOT**() = index of root node = 1

(1) **CHILD**(i, j) = index of j th children of node $i = d(i - 1) + j + 1$, where $1 \leq j \leq d$

(2) **PARENT**(i) = index of parent of node $i = \lfloor \frac{i-2}{d} + 1 \rfloor$

Problem 1.b. $\Theta(\log_d n)$

Problem 1.c. It takes $\Theta(d \log_d n)$. See the following procedures.

```

1: procedure MAXHEAPIFY( $A, i, n$ )
2:    $max\_idx := i$ 
3:   for  $j = 1$  to  $d$  do
4:      $child\_idx = \text{CHILD}(i, j)$ 
5:     if  $child\_idx \leq n$  and  $A[child\_idx] > A[max]$  then
6:        $max\_idx := j$ 
7:     end if
8:   end for
9:   if  $max\_idx \neq i$  then
10:     $A[i], A[max\_idx] := A[max\_idx], A[i]$ 
11:    MAXHEAPIFY( $A, max\_idx, n$ )
12:   end if
13: end procedure
14: procedure EXTRACTMAX( $A, n$ )
15:    $max := A[1]; A[1] := A[n]$ 
16:   MAXHEAPIFY( $A, 1, n - 1$ )
17:   return  $A$ 
18: end procedure

```

It takes $\Theta(d)$ times to execute from line 4 to line 8. Also, since the depth of the heap is $\Theta(\log_d n)$, the line 11 could be executed at most $\Theta(\log_d n)$ times.

Problem 1.d. It takes $\Theta(\log_d n)$. See the following procedure and problem 1.e.

```

1: procedure INSERT( $A, key, n$ )
2:    $A[n + 1] := -\infty$ ; INCREASEKEY( $A, n, key$ )
3: end procedure

```

Problem 1.e. It takes $\Theta(\log_d n)$. See the following procedure.

```

1: procedure INCREASEKEY( $A, i, k$ )
2:   if  $key < A[i]$  then
3:     error new key is smaller than current key
4:   end if
5:    $A[i] = key$ 
6:   while  $i > 1$  and  $A[\text{PARENT}(i)] < A[i]$  do
7:      $A[i], A[\text{PARENT}(i)] := A[\text{PARENT}(i)], A[i]; i := \text{PARENT}(i)$ 
8:   end while
9: end procedure

```

Since the depth of the heap is $\Theta(\log_d n)$, the while loop could be executed at most $\Theta(\log_d n)$ times.

Problem 2. For convinence, let **PART** as **PARTITION**, **QUICK** as **QUICKSORT**, **TRQ** as **TAIL-RECURSIVE-QUICKSORT**

Problem 2.a. r returns since line 4 is always executed. The following procedure is the modification.

```

1: procedure PARTITION( $A, p, r$ )
2:    $x := A[r]$ 
3:    $i := p - 1; i' = p - 1$ 
4:   for  $k = p$  to  $r - 1$  do
5:     if  $A[k] < x$  then
6:        $i := i + 1; i' = i' + 1$ 
7:        $A[k], A[i] := A[i], A[k]$ 
8:     else if  $A[k] = x$  then
9:        $i' = i' + 1$ 
10:       $A[k], A[i'] := A[i'], A[k]$ 
11:    end if
12:  end for
13:   $A[i' + 1], A[r] := A[r], A[i' + 1]$ 
14:  return  $\lfloor (i + i')/2 \rfloor + 1$ 
15: end procedure

```

(1) Obviously, when $A[p \dots r]$ have the same value, only line 9 to 10 are executed, so the procedure returns $\lfloor (p - 1 + r - 1)/2 \rfloor + 1 = \lfloor (p + r)/2 \rfloor$

(2) For correctness, claim that the following statement: $(\forall y \in A[p \dots i], y < A[r]) \wedge (\forall y \in A[(i + 1) \dots i'], y = A[r]) \wedge (\forall y \in A[(i' + 1) \dots k], y > A[r])$. Use induction on k . When $k = p$, the claim is obviously satisfied. Let the claim is satisfied when $k = \alpha - 1$ ($\alpha < r - 1$)

(i) If $A[\alpha] < x$, i and i' are increased by 1, $A[i]$ and $A[\alpha]$ are swapped, so $A[i] < x$, $A[\alpha] > x$ and others will be unchanged.

(ii) If $A[\alpha] = x$, i' is increased by 1, $A[i']$ and $A[\alpha]$ are swapped, so $A[i'] = x$, $A[\alpha] > x$ and others will be unchanged.

(iii) If $A[\alpha] > x$, nothing are changed.

(3) Also, since $A[(i + 1) \dots i']$ are all equal to $A[r]$, select any element between them does not change its semantics in the return statement.

(4) \therefore the modified **PART** is correct.

Problem 2.b. Since the **PART** method select the last element as a pivot, it always returns p , which makes **QUICK**(A, p, r) recursively calls only **QUICK**($A, p + 1, r$). So, the recurrence relation becomes $T(n) = T(n - 1) + \Theta(n)$ and $T(n) = \Theta(n^2)$.

Problem 2.c. **QUICK** does the followings: (i) check $p < r$, (ii) call **PART**(A, p, r), (iii) call **QUICK**($A, p, q - 1$), (iv) call **QUICK**($A, q + 1, r$). Since (iv) is the last operation of **QUICK**, it can be replaced by sequence from (i) to (iv), where p is replaced by $q + 1$. Therefore, without changing of its semantics, we can put step (i) to (iii) in a while loop,

which its condition is same as (i), and update $p := q + 1$ after each iteration. Then it becomes **TRQ**.

Problem 2.d. Let $A = [1, 2, \dots, n]$

- (1) **PART**(A, p, r) always returns r
- (2) **TRQ**(A, p, r) always call **TRQ**($A, p, r - 1$)
- (3) \therefore the stack will be filled from **TRQ**($A, 1, n$) to **TRQ**($A, 1, 1$)

Problem 2.e. See the following procedure.

```
1: procedure TRQ( $A, p, r$ )
2:   while  $p < r$  do
3:      $q = \text{PARTITION}(A, p, r)$ 
4:     if  $q - p \geq r - q$  then
5:       TRQ( $A, q + 1, r$ );  $r := q - 1$ 
6:     else
7:       TRQ( $A, p, q - 1$ );  $p := q + 1$ 
8:     end if
9:   end while
10: end procedure
```

In problem 2.d, the depth of the stack was $\Theta(n)$ because the value of $r - p$ reduces only one. To achieve $\Theta(\lg n)$ stack depth, it needs to call **TRQ** with new r' and p' values which $r' - p' \leq (r - p)/2$. Therefore, modified **TRQ** should not choose the left partition, but choose the small partition between left and right.

The running time is not effected since the semantics is actually same.