

# ECS 189G-001

## Deep Learning

Winter 2023

### Course Project: Stage 3 Report

#### Team Information

Enter Your Team Name Here (delete the extra rows if your team has less than 4 students)		
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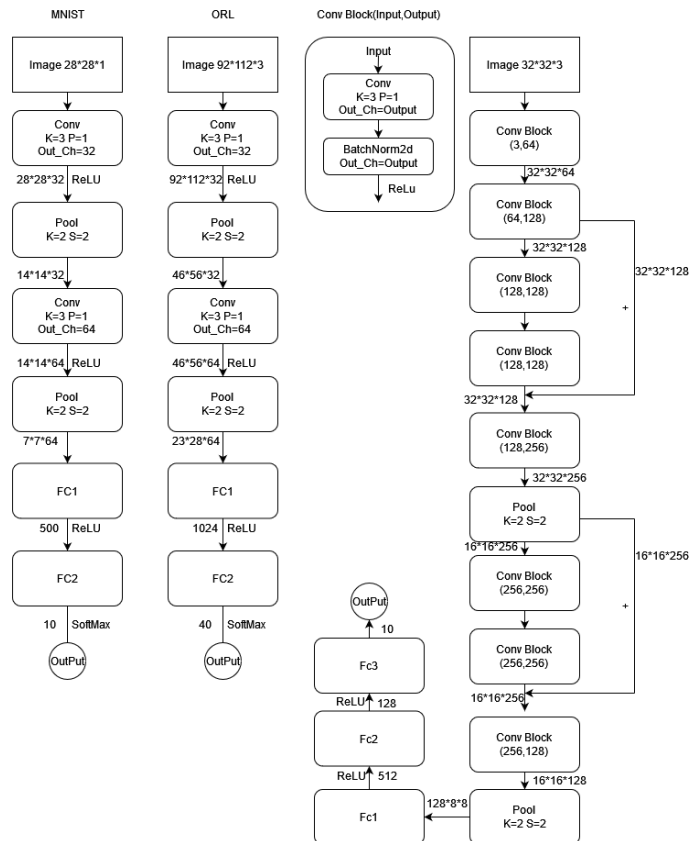
## Section 1: Task Description

In this project, we need to train three different image datasets. These three datasets are ORL, CIFAR, and MNIST, each with different feature and label quantities. We need to use CNN models to train them and try to improve the test accuracy.

## Section 2: Model Description

[Link](#)

## Section 3: Experiment Settings



### 3.1 Dataset Description

**MNIST dataset:** MNIST is a dataset of handwritten digits used for training and testing image processing and machine learning models. It consists of a training set of 60,000 images and a test set of 10,000 images. Each image is a grayscale 28 x 28 pixel image of a handwritten digit.

**ORL dataset:** ORL is a face dataset that contains grayscale images of 40 subjects. Each subject has 10 images with different facial expressions, lighting conditions, and facial details. The images are 92 x 112 pixels in size. The test set size is 40 and the training set size is 360.

**CIFAR dataset:** CIFAR is a collection of datasets that contain color images of different objects. The CIFAR-10 dataset contains 60,000 images of 10 classes, with 6,000 images per class. The test set size is 10000 and the training set size is 50000.

### 3.2 Detailed Experimental Setups

#### **MNIST:**

**Fold:**10

**Depth:** 2Conv, 2Pool, 2Fc

**Layer dimensions:** 28\*28\*1 -> 28\*28\*32(Conv1) -> 14\*14\*32(Pool1)->14\*14\*64(Conv2)  
-> 7\*7\*64(Pool2) -> 500(Fc1) -> 10(Fc2)

**Learning rate:** 5e-3

**Epoch:** 10 each fold

**Batch:** Mini-Batch(40)

**Loss function:** CrossEntropy

Because these three data sets are multi-classification problems, I chose to use CrossEntropy for all three.

**Optimizer:** ASGD

#### **ORL:**

**Depth:** 2Conv, 2Pool, 2Fc

**Layer dimensions:** 112\*92\*3 -> 112\*92\*32(Conv1) -> 56\*46\*32(Pool1)->56\*46\*64(Conv2)  
-> 28\*23\*64(Pool2) -> 1024(Fc1) -> 40(Fc2)

**Learning rate:** 1e-3

**Epoch:** 60

**Batch:** Full-Batch(Because the entire test set is not very large, although the picture is about 16 times larger than MNIST, the entire test set has only 360 pictures. So I choose to use Full-Batch for training.)

**Loss function:** CrossEntropy

**Optimizer:** ASGD

#### **CIFAR:**

**Depth:** 4Conv, 2ResBlock, 2Pool, 3Fc

**Layer dimensions:** 32\*32\*3 -> 32\*32\*64(Conv1) -> 32\*32\*128(Conv2)->32\*32\*128(ResBlock)  
-> 32\*32\*256(Conv3) -> 16\*16\*256(Pool1) -> 16\*16\*256(ResBlock) -> 16\*16\*128(Conv4)  
-> 8\*8\*128(Pool2) -> 512(Fc1) -> 128(Fc2) -> 10(Fc3)

**Learning rate:** 1e-3

**Epoch:** 10

**Batch:** Mini-Batch(400)

**Loss function:** CrossEntropy

**Optimizer:** Adam

### 3.3 Evaluation Metrics

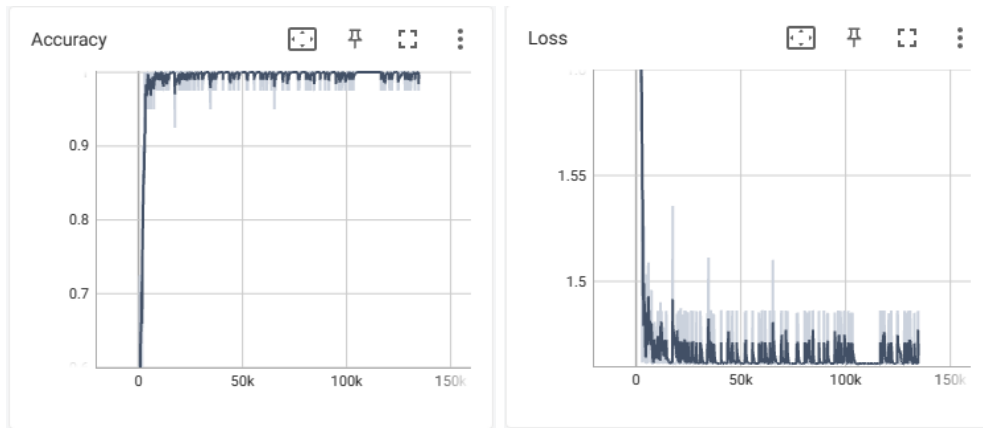
In the experiment, we will use Accuracy, Precision, Recall, F1 score as our evaluation metrics.

### 3.4 Source Code

[https://drive.google.com/file/d/1KeonFM476KTnA79wV2krMAJ6a\\_p5VI6a/view](https://drive.google.com/file/d/1KeonFM476KTnA79wV2krMAJ6a_p5VI6a/view)

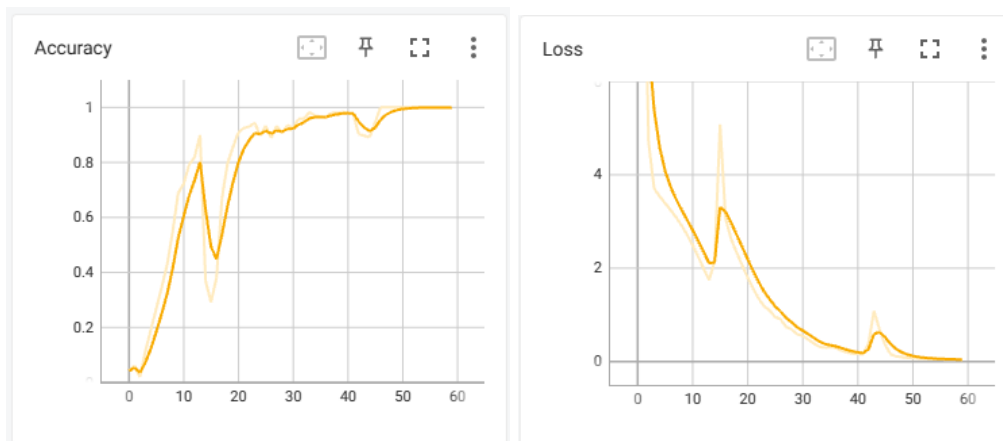
### 3.5 Training Convergence Plot

#### MNIST



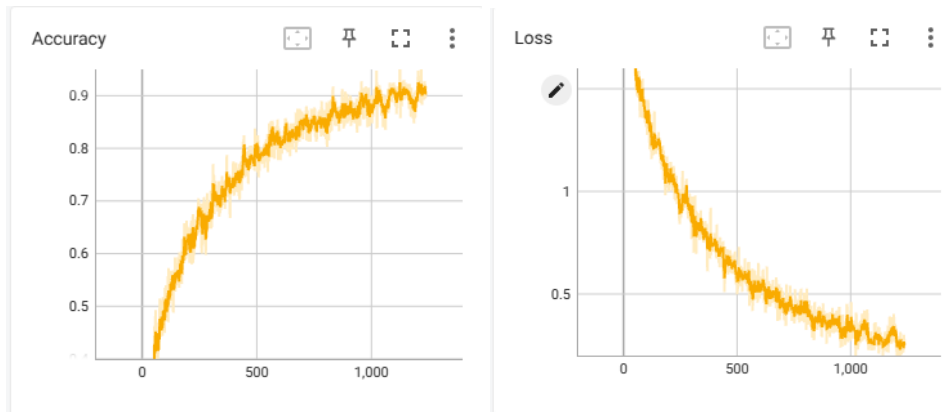
The X axis is the number of batch.

#### ORL



Although the final accuracy in the training set can reach 100%, in the test set, it can only reach 92.5% accuracy.

#### CIFAR



It can be clearly seen here that the accuracy rate and loss are gradually converging.

### 3.6 Model Performance

#### MNIST

##### Traning Set

Accuracy-Score: 0.9984074074074074

Precision-Score: 0.9984074798298332

Recall-Score: 0.9984074074074074

F1-Score: 0.9984072918018304

##### Testing Set

Accuracy-Score: 0.9919

Precision-Score: 0.9919160992460553

Recall-Score: 0.9919

F1-Score: 0.9918981890849163

It can be clearly seen that the accuracy is much better than using MLP training. It is not necessary to use a very complex model architecture to achieve an accuracy of 99.17%.

#### ORL

##### Training Set

Accuracy-Score: 1.0

Precision-Score: 1.0

Recall-Score: 1.0

F1-Score: 1.0

##### Testing Set

Accuracy-Score: 0.95

Precision-Score: 0.925

Recall-Score: 0.95

F1-Score: 0.9333333333333332

#### CIFAR

##### Training Set

Accuracy-Score: 0.91388

Precision-Score: 0.9163360911330813

Recall-Score: 0.91388

F1-Score: 0.9136757284105399

##### Testing Set

Accuracy-Score: 0.8186

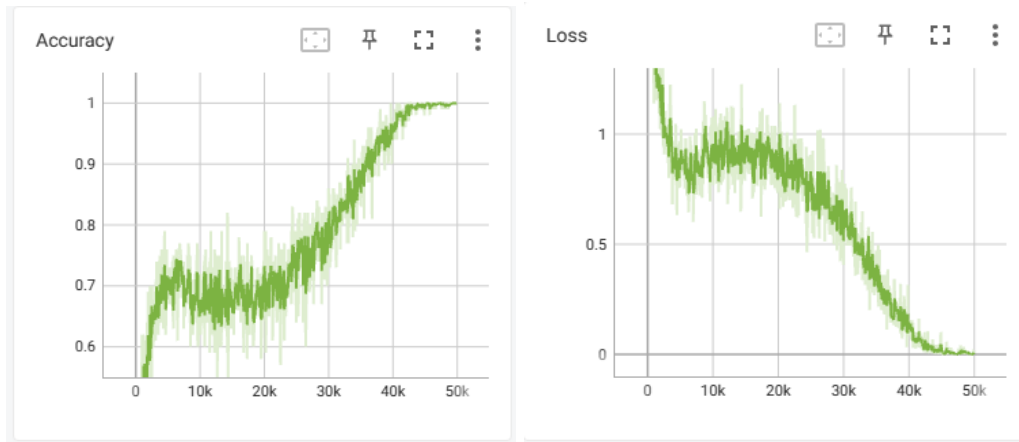
Precision-Score: 0.822742265196997

Recall-Score: 0.8186

F1-Score: 0.8178063447554501

### 3.7 Ablation Studies

1. Since MNIST and ORL are relatively simple, I found a relatively good answer without changing too many model structures and parameters. So I spend a lot of time training CIFAR.



After training for 100 epochs, I got this result. Seems fine, but it's overfitting. Even though I used ResNet in this model, the final test set accuracy was only 60%.

I used 6 convolutional layers, 5 pooling layers, and 3 Res in this model test.

**Therefore, the conclusion this time tells me that in order to prevent overfitting, I need to add a verification set to the training for verification to observe whether the training accuracy has been overfitted, which can avoid wasting time on training an overfitting model .**

2.

This is a good example

Epoch: 19 Test\_Accuracy: 0.5914750000000002 Valid\_Accuracy: 0.548

Epoch: 23 Test\_Accuracy: 0.6309500000000002 Valid\_Accuracy: 0.5518999999999998

Epoch: 26 Test\_Accuracy: 0.653375 Valid\_Accuracy: 0.5554000000000001

Epoch: 27 Test\_Accuracy: 0.6648999999999998 Valid\_Accuracy: 0.5548

The accuracy of the verification set has been unable to increase, so I stopped this training to modify the model.

3.

I found that although I used VGG16, there was still no way to make Valid\_Accuracy reach 65%. Until I found the problem, tensor.reshape cannot be used in such model training. Instead, transpose the nparray input dimension before converting the nparray to tensor. After improving this problem, the following results were obtained.

Epoch: 46 Test\_Accuracy: 0.962540322580645 Valid\_Accuracy: 0.79

4.

```
self.conv1 = conv_block(3, 64)
self.conv2 = conv_block(64, 128, pool=True)
self.res1 = nn.Sequential(conv_block(128, 128), conv_block(128, 128)).cuda()

self.conv3 = conv_block(128, 256, pool=True)
self.conv4 = conv_block(256, 512, pool=True)
self.res2 = nn.Sequential(conv_block(512, 512), conv_block(512, 512)).cuda()

self.classifier = nn.Sequential(nn.MaxPool2d(4).cuda(),
                                nn.Flatten().cuda(),
                                nn.Linear(512, 10).cuda())
```

This structure allowed me to get a good result of Accuracy-Score: 0.8304. This is what I made with reference to other people's structures, so I put this structure here.