

**Exercise 5.1** Locate the singular points and sketch the following curves in  $\mathbf{A}^2$  (assume  $\text{char } k \neq 2$ ). Which is which in Figure 4?

- (a)  $x^2 = x^4 + y^4$ ;
- (b)  $xy = x^6 + y^6$ ;
- (c)  $x^3 = y^2 + x^4 + y^4$ ;
- (d)  $x^2y + xy^2 = x^4 + y^4$ .

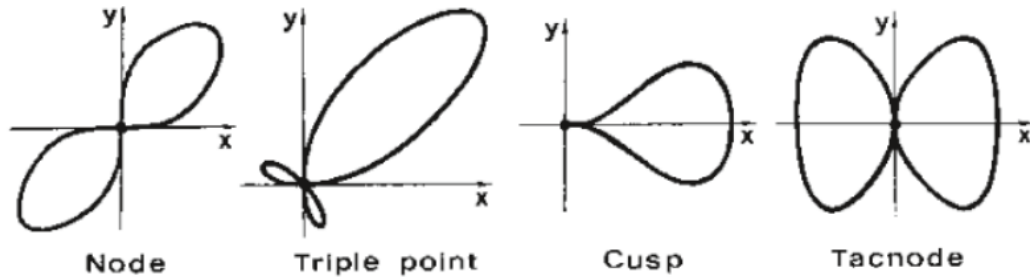


Figure 4. Singularities of plane curves.

By definition, these curves  $f = 0$  are singular on  $(x, y)$  if and only if  $\partial f / \partial x = \partial f / \partial y = 0$ ; by solving this equation we find that all of them have singular point  $(0, 0)$ .

- (a) There is an automorphism of  $k[[x, y]]$  sending  $x$  to  $x(1 - x^2)^{1/2}$ , hence around  $(0, 0)$  this curve looks like the curve  $x^2 = y^4$ . This is a tacnode.
- (b) Analogous to example 5.6.3 we have  $xy - x^6 - y^6 = (x - y^5 + \cdots)(y - x^5 + \cdots)$  so around  $(0, 0)$  this curve looks like  $xy = 0$ . This is a node.
- (c) We have an automorphism of  $k[[x, y]]$  sending  $y$  to  $y(1 + y^2)^{1/2}$  and  $x$  to  $x(1 - x)^{1/3}$ ; hence around  $(0, 0)$  this curve looks like  $y^2 = x^3$ . This is a cusp.
- (d) The polynomial  $x^2y + xy^2 - x^4 - y^4$  can be factorized to  $(x + p_2 + \cdots)(y + q_2 + \cdots)(x + y + p_2 + q_2 + \cdots)$  (see exercise 5.14 (c)), hence around  $(0, 0)$  this curve looks like  $xy(x + y) = 0$ . This is a triple point.

**Exercise 5.2** Locate the singular points and describe the singularities of the following surfaces in  $\mathbf{A}^3$  (assume  $\text{char } k \neq 2$ ). Which is which in Figure 5?

- (a)  $xy^2 = z^2$ ;
- (b)  $x^2 + y^2 = z^2$ ;
- (c)  $xy + x^3 + y^3 = 0$ .

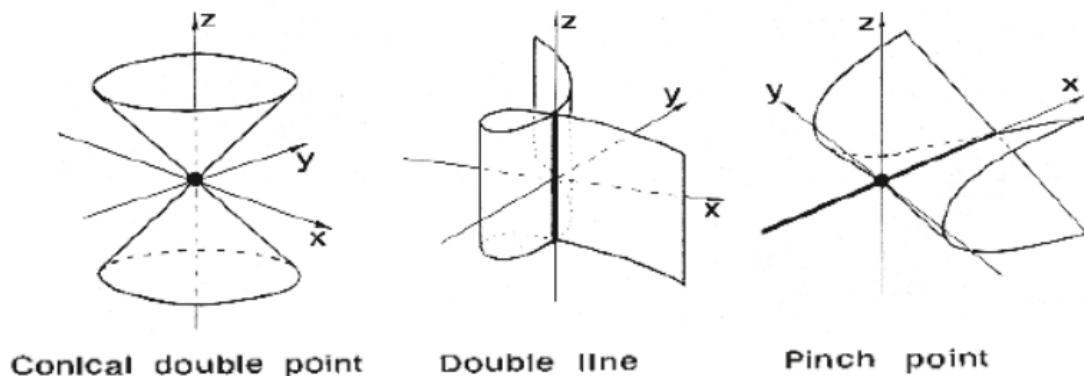


Figure 5. Surface singularities.

Again, the singular points has all of its partial derivatives 0.

- (a) Its singular points are on the line  $y = z = 0$ . For  $a \neq 0$  we know  $(x - a)y^2 - z^2$  can be factored as  $(-z + \sqrt{-ay} + \cdots)(z + \sqrt{-ay} + \cdots)$ , so the singularity at  $(a, 0, 0)$  is a double point (the intersection of two planes). On the other hand  $z^2 - xy^2$  isn't factorizable in  $k[[x, y, z]]$ , so  $(0, 0, 0)$  is a different singularity with the others. It is a pinch point.
- (b) It has only one singular point  $(0, 0, 0)$ , and it is a conical double point. It is different from a double point or a pinch point:  $x^2 + y^2 - z^2$  cannot be factorized, nor can it be written in the form  $fg^2 = h^2$  where  $f, g, h$  has no constant terms.
- (c) The singular points are on the line  $x = y = 0$ , and they are all double points as  $xy + x^3 + y^3$  is factorizable in  $k[[x, y, z]]$ . The line  $x = y = 0$  is a double line.

**Exercise 5.3** *Multiplicities.* Let  $Y \subseteq \mathbf{A}^2$  be a curve defined by the equation  $f(x, y) = 0$ . Let  $P = (a, b)$  be a point of  $\mathbf{A}^2$ . Make a linear change of coordinates so that  $P$  becomes the point  $(0, 0)$ . Then write  $f$  as a sum  $f = f_0 + f_1 + \cdots + f_d$ , where  $f_i$  is a homogeneous polynomial of degree  $i$  in  $x$  and  $y$ . Then we define the multiplicity of  $P$  on  $Y$ , denoted  $\mu_P(Y)$ , to be the least  $r$  such that  $f_r \neq 0$ . (Note that  $P \in Y \Leftrightarrow \mu_P(Y) > 0$ .) The linear factors of  $f_r$  are called the *tangent directions* at  $P$ .

- (a) Show that  $\mu_P(Y) = 1 \Leftrightarrow P$  is a nonsingular point of  $Y$ .
- (b) Find the multiplicity of each of the singular points in (Ex. 5.1) above.
- (a) As  $f(0, 0) = 0$  we necessarily have  $f_0 = 0$ . It is easy to see  $(\partial f / \partial x, \partial f / \partial y)(0, 0) = (\partial f_1 / \partial x, \partial f_1 / \partial y)(0, 0)$ . As  $f_1$  is linear, this matrix has rank 1 if and only if  $f_1 \neq 0$ , i.e.  $\mu_P(X) = 1$ .
- (b) In exercise 5.1, the node, cusp, and the tacnode have multiplicity 2, while the triple point has multiplicity 3.

**Exercise 5.4** *Intersection Multiplicity.* If  $Y, Z \subseteq \mathbf{A}^2$  are two distinct curves, given by equations  $f = 0, g = 0$ , and if  $P \in Y \cap Z$ , we define the *intersection multiplicity*  $(Y \cdot Z)_P$  of  $Y$  and  $Z$  at  $P$  to be the length of the  $\mathcal{O}_P$ -module  $\mathcal{O}_P / (f, g)$ .

- (a) Show that  $(Y \cdot Z)_P$  is finite, and  $(Y \cdot Z)_P \geq \mu_P(Y) \cdot \mu_P(Z)$ .
- (b) If  $P \in Y$ , show that for almost all lines  $L$  through  $P$  (i.e., all but a finite number),  $(L \cdot Y)_P = \mu_P(Y)$ .
- (c) If  $Y$  is a curve of degree  $d$  in  $\mathbf{P}^2$ , and if  $L$  is a line in  $\mathbf{P}^2$ ,  $L \neq Y$ , show that  $(L \cdot Y) = d$ . Here we define  $(L \cdot Y) = \sum (L \cdot Y)_P$  taken over all points  $P \in L \cap Y$ , where  $(L \cdot Y)_P$  is defined using a suitable affine cover of  $\mathbf{P}^2$ .

Without loss of generality we assume  $P = (0, 0)$ . Let  $r = \mu_P(Y)$  and  $s = \mu_P(Z)$ . We use  $f_r$  and  $g_s$  to denote the lowest nonzero homogeneous parts of  $f$  and  $g$ .

- (a) Here  $\mathcal{O}_P$  is the local ring of  $\mathbf{A}^2$  at  $P$ . As submodules of  $\mathcal{O}_P / (f, g)$  corresponds to submodules (ideals) of  $\mathcal{O}_P$  containing  $(f, g)$ , it suffices to prove that the ring  $\mathcal{O}_P / (f, g)$  is Noetherian and Artinian, or that it is Noetherian of dimension 0. Its prime ideals have at least height 2 (properly containing  $(f)$ ) but have height at most  $\dim \mathcal{O}_P = 2$ , hence every prime ideal is maximal, i.e.  $\dim \mathcal{O}_P / (f, g) = 0$ .  
A composition series  $0 \subset M_1 \subset \cdots \subset M_n = \mathcal{O}_P / (f, g)$  has subquotients isomorphic to  $\mathcal{O}_P / \mathfrak{m}$  for some maximal ideal of  $\mathcal{O}_P$ , hence the subquotients are all isomorphic to  $k$ ,

i.e.  $(Y \cdot Z)_P = \dim_k \mathcal{O}_P/(f, g)$ . In the polynomial ring  $k[x, y]$ , the homogeneous polynomials of degree  $n$  form a  $n + 1$  dimensional  $k$ -subspace  $S_n$ , and  $\dim(S_n \cap (f, g)) \leq \max\{0, n - r\} + \max\{0, n - s\} =: d_n$ . Therefore there are at least  $n + 1 - d_n$  linearly independent elements in  $S_n$  such that they are not  $k[x, y]$ -linear combinations of  $f_r$  and  $g_s$ , which gives  $n + 1 - d_n$  linearly independent elements in  $\mathcal{O}_P/(f, g)$ . Taking sum gives  $\dim_k \mathcal{O}_P/(f, g) \geq \sum_{d_n \leq n+1} (n + 1 - d_n) = rs$  by elementary algebra.

- (b) By a linear transformation we may assume the line is given by  $g : x = 0$ . By the analysis in (a) we find  $(L \cdot Y)_P = \dim_k \mathcal{O}_P/(f, g) = \dim_k k[x, y]_{(x, y)}/(f, x)$ . Denote  $h(y) = f(0, y)$ , then the latter ring is isomorphic to  $k[y]_{(y)}/(h)$ . Let the lowest degree term of  $h$  be of degree  $r'$ , then  $h(y)/y^{r'}$  is invertible in  $k[y]_{(y)}$ , hence  $k[y]_{(y)}/(h) \cong k[y]_{(y)}/(y^{r'}) \cong k[y]/(y^{r'})$ , which has dimension  $r'$  over  $k$ . But  $\mu_P(Y)$  is the lowest degree that occurred in  $f(x, y)$ ,  $k \neq \mu_P(Y)$  if and only if the lowest homogeneous part of  $f$  is a multiple of  $x$ . By undoing the linear transformation at the beginning, we see  $(L \cdot Y)_P \neq \mu_P(Y)$  if and only if  $L$  is a factor of  $f_r$ , which can only occur for a finite number of times.
- (c) By a linear transformation we may assume  $L : x = 0$  and that  $Y$  does not pass through  $(0, 1, 0)$ , therefore we may work on the affine plane  $z \neq 0$ . The curve is given by  $f(x, y) = 0$  where  $f$  is a degree  $d$  polynomial and contains the term  $y^d$  with a nonzero coefficient. Its intersection with  $L$  is given by solutions to  $f(0, y) = 0$ , and by the same analysis in (b) we find that the intersection multiplicity at a solution  $y_0$  is exactly its algebraic multiplicity, which sums to  $\deg_y f(0, y) = d$ . Hence all of  $(L \cdot Y)_P$  sums to  $d$ .

**Exercise 5.5** For every degree  $d > 0$ , and every  $p = 0$  or a prime number, give the equation of a nonsingular curve of degree  $d$  in  $\mathbf{P}^2$  over a field  $k$  of characteristic  $p$ .

By exercise 5.9 we shall only look for  $f$  where  $f = \partial f/\partial x = \partial f/\partial y = \partial f/\partial z = 0$  has no solution in  $\mathbf{P}^2$ . The easiest curve one can think of is the curve  $x^d + y^d + z^d = 0$  which works for  $p \nmid d$ . For  $3 \neq p \mid d$  we can choose the curve  $xy^{d-1} + yz^{d-1} + zx^{d-1} = 0$ , and for  $3 = p \mid d$  we choose the curve  $x^d + xy^{d-1} + yz^{d-1} + zx^{d-1} = 0$ . They all satisfy the condition above.

**Exercise 5.6** *Blowing Up Curve Singularities.*

- (a) Let  $Y$  be the cusp or node of (Ex. 5.1). Show that the curve  $\tilde{Y}$  obtained by blowing up  $Y$  at  $O = (0, 0)$  is nonsingular (cf. (4.9.1) and (Ex. 4.10)).
- (b) We define a *node* (also called *ordinary double point*) to be a double point (i.e., a point of multiplicity 2) of a plane curve with distinct tangent directions (Ex. 5.3). If  $P$  is a node on a plane curve  $Y$ , show that  $\varphi^{-1}(P)$  consists of two distinct nonsingular points on the blown-up curve  $\tilde{Y}$ . We say that “blowing up  $P$  resolves the singularity at  $P$ ”.
- (c) Let  $P \in Y$  be the tacnode of (Ex. 5.1). If  $\varphi : \tilde{Y} \rightarrow Y$  is the blowing-up at  $P$ , show that  $\varphi^{-1}(P)$  is a node. Using (b) we see that the tacnode can be resolved by two successive blowings-up.
- (d) Let  $Y$  be the plane curve  $y^3 = x^5$ , which has a “higher order cusp” at  $O$ . Show that  $O$  is a triple point; that blowing up  $O$  give rise to a double point (what kind?) and that one further blowing up resolves the singularity.

*Note:* We will see later (V, 3.8) that any singular point of a plane curve can be resolved by a finite sequence of successive blowings-up.

- (a) Example 4.9.1 blew up a node, while exercise 4.10 blew up a cusp. The results of the blowings-up are both nonsingular.
- (b)  $\tilde{Y}$  intersects with the exceptional curve  $E$  at the points corresponding to the tangent directions, which there are two.
- (c) The set  $\tilde{Y} \cup E$  is given by  $x^2 = x^4 + y^4, x = yt$  (where  $t \in \mathbf{A}^1$ ). By ruling out the other points on the exceptional curve, we see that the blow-up  $\tilde{Y}$  is defined by  $y^2(1 + t^4) = t^2$  and  $x = yt$ . The singularity at  $(0, 0, 0)$  is a node because it has tangent directions  $y = \pm t$ .
- (d)  $O$  is triple because the lowest degree of  $y^3 = x^5$  is 3. Blowing up  $O$  gives the curve  $y^3 = x^5, y = xu$ , which shows  $\tilde{Y}$  is given by  $u^3 = x^2, y = xu$ ,  $(0, 0, 0)$  as a double point (actually a cusp).

**Exercise 5.7** Let  $Y \subseteq \mathbf{P}^2$  be a nonsingular plane curve of degree  $> 1$ , defined by the equation  $f(x, y, z) = 0$ . Let  $X \subseteq \mathbf{A}^3$  be the affine variety defined by  $f$  (this is the cone over  $Y$ ; see (Ex. 2.10)). Let  $P$  be the point  $(0, 0, 0)$ , which is the *vertex* of the cone. Let  $\varphi: \tilde{X} \rightarrow X$  be the blowing-up of  $X$  at  $P$ .

- (a) Show that  $X$  has just one singular point, namely  $P$ .
  - (b) Show that  $\tilde{X}$  is nonsingular (cover it with open affines).
  - (c) Show that  $\varphi^{-1}(P)$  is isomorphic to  $Y$ .
- (a) Exercise 5.8 tells us that  $X$  is nonsingular at all points except  $P$ , and indeed  $P$  is singular.
- (b) The blown-up curve is given by  $f(1, s, t) = 0, y = xs, z = tx$  with coordinates  $(x, y, z, s, t)$  in  $\mathbf{A}^5 = \mathbf{A}^3 \times \{(r, s, t) : r \neq 0\} \subseteq \mathbf{A}^3 \times \mathbf{P}^2$ . We shall only verify

$$\text{rank} \begin{pmatrix} 0 & 0 & 0 & \frac{\partial f}{\partial y}(1, s, t) & \frac{\partial f}{\partial z}(1, s, t) \\ s & -1 & 0 & x & 0 \\ t & 0 & -1 & 0 & x \end{pmatrix} = 3$$

which is equivalent to saying that  $\partial f / \partial y, \partial f / \partial z$  cannot both vanish on  $(1, s, t)$ . This is because of Euler's lemma,  $\partial f / \partial x(1, s, t) + s \partial f / \partial y(1, s, t) + t \partial f / \partial z(1, s, t) = \deg f \cdot f$ , and  $f$  is nonsingular. The other open affines can be verified similarly.

- (c) In fact,  $\varphi^{-1}(P) = \{(P, x) : x \in \mathbf{P}^2, f(x) = 0\}$ , which is clearly isomorphic to  $Y$ .

**Exercise 5.8** Let  $Y \subseteq \mathbf{P}^n$  be a projective variety of dimension  $r$ . Let  $f_1, \dots, f_t \in S = k[x_0, \dots, x_n]$  be homogeneous polynomials which generate the ideal of  $Y$ . Let  $P \in Y$  be a point, with homogeneous coordinates  $P = (a_0, \dots, a_n)$ . Show that  $P$  is nonsingular on  $Y$  if and only if the rank of the matrix  $\|(\partial f_i / \partial x_j)(a_0, \dots, a_n)\|$  is  $n - r$ . [Hint: (a) Show that this rank is independent of the homogeneous coordinates chosen for  $P$ ; (b) pass to an open affine  $U_i \subseteq \mathbf{P}^n$  containing  $P$  and use the affine Jacobian matrix; (c) you will need Euler's lemma, which says that if  $f$  is a homogeneous polynomial of degree  $d$ , then  $\sum x_i(\partial f / \partial x_i) = d \cdot f$ .]

The partial derivatives are still homogeneous so this rank does not depend on the choice of homogeneous coordinates as the rows differ at most by a nonzero factor. Without loss of generality we assume  $a_0 \neq 0$  in the homogeneous coordinates of  $P$ , thus  $Y \cap \{x_0 \neq 0\}$  is an affine variety defined by  $f_i(1, x_1, \dots, x_n)$ . Hence the 'projective' Jacobian matrix is the 'affine' Jacobian matrix with another column consisting of  $(\partial f / \partial x_0)(1, a_1, \dots, a_n)$ . But Euler's lemma tells us that this column is a linear combination of the other columns (remember  $f(P) = 0$ ), hence the two Jacobian matrices have the same rank. The rest is clear.

**Exercise 5.9** Let  $f \in k[x, y, z]$  be a homogeneous polynomial, let  $Y = Z(f) \subseteq \mathbf{P}^2$  be the algebraic set defined by  $f$ , and suppose that for every  $P \in Y$ , at least one of  $(\partial f/\partial x)(P), (\partial f/\partial y)(P), (\partial f/\partial z)(P)$  is nonzero. Show that  $f$  is irreducible (and hence that  $Y$  is a nonsingular variety). [Hint: Use (Ex. 3.7).]

If  $f = gh$ , then  $g$  and  $h$  must both be homogeneous.  $Z(g)$  and  $Z(h)$  must intersect at some point  $P$ , but the three partial derivatives all vanish on  $P$ , which is not possible by assumption.

**Exercise 5.10** For a point  $P$  on a variety  $X$ , let  $\mathfrak{m}$  be the maximal ideal of the local ring  $\mathcal{O}_P$ . We define the *Zariski tangent space*  $T_P(X)$  of  $X$  at  $P$  to be the dual  $k$ -vector space of  $\mathfrak{m}/\mathfrak{m}^2$ .

- (a) For any point  $P \in X$ ,  $\dim T_P(X) \geq \dim X$ , with equality if and only if  $P$  is nonsingular.
  - (b) For any morphism  $\varphi : X \rightarrow Y$ , there is a natural induced  $k$ -linear map  $T_P(\varphi) : T_P(X) \rightarrow T_{\varphi(P)}(Y)$ .
  - (c) If  $\varphi$  is the vertical projection of the parabola  $x = y^2$  onto the  $x$ -axis, show that the induced map  $T_0(\varphi)$  of tangent spaces at the origin is the zero map.
- (a) Atiyah-Macdonald Corollary 11.15 with  $\dim T_P(X) = \dim_k \mathfrak{m}/\mathfrak{m}^2$ .
  - (b) A morphism induces a local map  $\mathcal{O}_{\varphi(P), Y} \rightarrow \mathcal{O}_{P, X}$ , which induces  $\mathfrak{m}_{\varphi(P)}/\mathfrak{m}_{\varphi(P)}^2 \rightarrow \mathfrak{m}_P/\mathfrak{m}_P^2$ , which induces the dual map  $T_P(X) \rightarrow T_{\varphi(P)}(Y)$ .
  - (c) The local ring of the  $x$ -axis is  $k[x]_{(x)}$  while the local ring of the parabola at  $(0, 0)$  is  $(k[x, y]/(x - y^2))_{(x, y)} \cong k[y]_{(y)}$ . The induced map on the local rings sends  $x$  to  $y^2$ , so the maximal ideal of  $k[x]_{(x)}$  gets mapped to the square of the maximal ideal in the latter, hence induces the zero map on  $\mathfrak{m}/\mathfrak{m}^2$ , whose dual map is still the zero map.

**Exercise 5.11** *The Elliptic Quartic Curve in  $\mathbf{P}^3$ .* Let  $Y$  be the algebraic set in  $\mathbf{P}^3$  defined by the equations  $x^2 - xz - yw = 0$  and  $yz - xw - zw = 0$ . Let  $P$  be the point  $(x, y, z, w) = (0, 0, 0, 1)$ , and let  $\varphi$  denote the projection from  $P$  to the plane  $w = 0$ . Show that  $\varphi$  induces an isomorphism of  $Y - P$  with the plane cubic curve  $y^2z - x^3 + xz^2 = 0$  minus the point  $(1, 0, -1)$ . Then show that  $Y$  is an irreducible nonsingular curve. It is called the *elliptic quartic curve* in  $\mathbf{P}^3$ . Since it is defined by two equations it is another example of a complete intersection (Ex. 2.17).

Let  $Z \subseteq \mathbf{P}^2$  be the curve defined by  $y^2z - x^3 + xz^2 = 0$ .  $\varphi$  induces a morphism  $Y - P \rightarrow \mathbf{P}^2 : (x, y, z, w) \mapsto (x, y, z)$  which has image contained in  $Z - \{(1, 0, -1)\}$ <sup>1</sup>. The inverse  $Z - \{(1, 0, -1)\} \rightarrow Y - P$  is given by  $(x, y, z) \mapsto (x, y, z, \frac{yz}{x+z})$ .

By exercise 5.8 it is easy to see that  $Y$  is nonsingular.  $Y - P$  is dense in  $Y$ , but it is isomorphic to  $Z - \{(1, 0, -1)\}$  which is irreducible, hence  $Y$  is irreducible.

**Exercise 5.12** *Quadric Hypersurfaces.* Assume  $\text{char } k \neq 2$ , and let  $f$  be a homogeneous polynomial of degree 2 in  $x_0, \dots, x_n$ .

- (a) Show that after a suitable linear change of variables,  $f$  can be brought into the form  $f = x_0^2 + \dots + x_r^2$  for some  $0 \leq r \leq n$ .
- (b) Show that  $f$  is irreducible if and only if  $r \geq 2$ .

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<sup>1</sup>In fact this need  $\text{char } k \neq 2$ , otherwise the point  $(1, 0, -1)$  is the same as  $(1, 0, 1)$ , which is in the image.

- (c) Assume  $r \geq 2$ , and let  $Q$  be the quadric hypersurface in  $\mathbf{P}^n$  defined by  $f$ . Show that the singular locus  $Z = \text{Sing } Q$  of  $Q$  is a linear variety (Ex. 2.11) of dimension  $n - r - 1$ . In particular,  $Q$  is nonsingular if and only if  $r = n$ .
- (a) This comes from linear algebra that a bilinear form is always similar to some  $x_0^2 + \cdots + x_r^2$ . Here the coefficients are absorbed into the square as  $k$  is algebraically closed.
- (b) When  $r = 1$  it can be factorized into  $(x_0 + \sqrt{-1}x_1)(x_0 - \sqrt{-1}x_1)$ . If  $r \geq 2$  and  $f$  is not irreducible, then it has to be factorized into two linear factors, plugging in  $x_3 = \cdots = x_r = 0$  we know that  $f = 2$  is reducible. But when  $r = 2$ ,  $f$  is irreducible by exercise 5.9.
- (c) By a linear transformation we assume  $f = x_0^2 + \cdots + x_r^2$ , then the singular locus  $Z = \{x_0 = \cdots = x_r = 0\} \subseteq \mathbf{P}^n$  which is a  $n - r - 1$ -dimensional linear variety.

**Exercise 5.13** It is a fact that any regular local ring is an integrally closed domain (Matsumura [2, Th. 36, p. 121]). Thus we see from (5.3) that any variety has a nonempty open subset of normal points (Ex. 3.17). In this exercise, show directly (without using (5.3)) that the set of nonnormal points of a variety is a proper closed subset (you will need the finiteness of integral closure: see (3.9A)).

We need a lemma: for an irreducible space  $X$  and its open subsets  $U$  and  $V$ , if a set  $S$  satisfies that  $S \cap U$  and  $S \cap V$  are closed sets in  $U$  and  $V$  respectively, then  $S \cap (U \cup V)$  is closed in  $U \cup V$ . This is because the condition gives  $S \cap U = \overline{S} \cap U$  and  $S \cap V = \overline{S} \cap V$ , so  $S \cap (U \cup V) = \overline{S} \cap (U \cup V)$  a closed set.

This lemma enables us to assume the variety is affine. Denote its coordinate ring  $A$ , and  $\mathfrak{m}_P$  for the maximal ideal of  $A$  corresponding to point  $P$ . As  $A$  modules we have  $\overline{A_{\mathfrak{m}_P}} = \overline{A}_{\mathfrak{m}_P}$ , where  $\overline{\phantom{x}}$  stands for integral closure; hence  $P$  is normal if and only if  $A_{\mathfrak{m}_P} = \overline{A}_{\mathfrak{m}_P}$ . By (3.9A) we have  $\overline{A} = A[h_1, \dots, h_n]$  with  $h_i \in \text{Frac } A$ , so  $P$  is normal  $\Leftrightarrow h_i \in A_{\mathfrak{m}_P}$  for all  $i$ .

Hence we shall only prove that the points  $P$  with  $h \notin A_{\mathfrak{m}_P}$  is a closed set. In fact, it is the zero set of  $\{f \in A : hf \in A\}$  (the possible denominators of  $h$ ). The union of these proper closed sets corresponding to  $h_i$  is still a proper closed set.

**Exercise 5.14** *Analytically Isomorphic Singularities.*

- (a) If  $P \in Y$  and  $Q \in Z$  are analytically isomorphic plane curve singularities, show that the multiplicities  $\mu_P(Y)$  and  $\mu_Q(Z)$  are the same (Ex. 5.3).
- (b) Generalize the example in the text (5.6.3) to show that if  $f = f_r + f_{r+1} + \cdots \in k[[x, y]]$ , and if the leading form  $f_r$  of  $f$  factors as  $f_r = g_s h_t$ , where  $g_s h_t$  are homogeneous of degrees  $s$  and  $t$  respectively, and have no common linear factor, then there are formal power series

$$\begin{aligned} g &= g_s + g_{s+1} + \cdots \\ h &= h_t + h_{t+1} + \cdots \end{aligned}$$

in  $k[[x, y]]$  such that  $f = gh$ .

- (c) Let  $Y$  be defined by the equation  $f(x, y) = 0$  in  $\mathbf{A}^2$ , and let  $P = (0, 0)$  be a point of multiplicity  $r$  on  $Y$ , so that when  $f$  is expanded as a polynomial in  $x$  and  $y$ , we have  $f = f_r + \text{higher terms}$ . We say that  $P$  is an *ordinary  $r$ -fold point* if  $f_r$  is a product of  $r$  *distinct* linear factors. Show that any two ordinary double points are analytically isomorphic. Ditto for ordinary triple points. But show that there is a one-parameter family of mutually nonisomorphic ordinary 4-fold points.

- (d) Assume  $\text{char } k \neq 2$ . Show that any double point of a plane curve is analytically isomorphic to the singularity at  $(0,0)$  of the curve  $y^2 = x^r$ , for a uniquely determined  $r \geq 2$ . If  $r = 2$  it is a node (Ex. 5.6). If  $r = 3$  we call it a *cuspid*; if  $r = 4$  a *tacnode*. See (V, 3.9.5) for further discussion.

- (a) If  $\mathfrak{m} = (x, y)$  is the maximal ideal of  $\widehat{\mathcal{O}}_P$ , then  $\mu_P(X)$  is the largest integer  $r$  such that  $\dim_k \widehat{\mathcal{O}}_P / \mathfrak{m}^r = \binom{r+1}{2}$ . Then the claim is clear.
- (b) We prove that if  $g_s$  and  $h_t$  have no common linear factors, then the ideal they generate contains all the homogeneous polynomials of degree  $s + t - 1$ . Let

$$g_s = \sum_{0 \leq i \leq s} a_i x^i y^{s-i}, h_t = \sum_{0 \leq j \leq t} b_j x^j y^{t-j}, p = \sum_{0 \leq i \leq t-1} v_i x^i y^{t-1-i}, q = \sum_{0 \leq j \leq s-1} v_{t+j} x^j y^{s-1-j}$$

then the equation  $g_s p + h_t q = \sum_{0 \leq k \leq s+t-1} c_k x^k y^{s+t-1-k}$  turns into the matrix equation

$$(v_0 \ v_1 \ \cdots \ v_{s+t-1}) \begin{pmatrix} a_0 & a_1 & a_2 & \cdots & a_s & & & \\ & a_0 & a_1 & a_2 & \cdots & a_s & & \\ & & \ddots & \ddots & \ddots & \ddots & \ddots & \\ & & & a_0 & a_1 & a_2 & \cdots & a_s \\ b_0 & b_1 & b_2 & \cdots & b_t & & & \\ & b_0 & b_1 & b_2 & \cdots & b_t & & \\ & & \ddots & \ddots & \ddots & \ddots & \ddots & \\ & & & b_0 & b_1 & b_2 & \cdots & b_t \end{pmatrix} = (c_0 \ c_1 \ \cdots \ c_{s+t-1})$$

the middle matrix is the Sylvester matrix of the polynomials  $g_s(1, y)$  and  $h_t(1, y)$ . The conditions ensure that these polynomials are coprime, so the Sylvester matrix is invertible, hence this equation always has a solution. Therefore from  $g_s$  and  $h_t$  we can produce any homogeneous polynomial of degree  $s + t - 1$  (and of course higher). Thus we can determine the wanted  $g_{s+1}, h_{t+1}, g_{s+2}, h_{t+2}, \dots$  step by step.

- (c) If  $P$  is an ordinary double point, then  $f = gh$  where  $g$  and  $h$  have different degree 1 parts. Therefore there is an automorphism of  $k[[x, y]]$  sending  $x$  to  $g$  and  $y$  to  $h$ , i.e. any ordinary double point is analytically isomorphic to the point  $(0,0)$  at the curve  $xy = 0$ . The same holds for triple ordinary points; we can write  $f$  as  $pqr$  where  $p, q, r$  have different degree 1 parts, therefore under an automorphism of  $k[[x, y]]$  we may assume  $f = xy(x + y) + f_4 + \dots$ , and we consider an automorphism

$$\begin{aligned} x &\mapsto x + g_2 + \cdots \\ y &\mapsto y + h_2 + \cdots \end{aligned}$$

such that  $xy(x + y) \mapsto f$ ; this automorphism exists because  $x^2 + 2xy, y^2 + 2xy$  are coprime, e.g. this says  $(y^2 + 2xy)g_2 + (x^2 + 2xy)h_2 = f_4$ , etc.

Denote  $f_a = xy(x + y)(x + ay)$ . For generic  $a_1$  and  $a_2$ , we prove that the 4-fold points  $(0,0,0)$  at  $f_{a_1}, f_{a_2}$  are not isomorphic. If so, consider an isomorphism from  $k[[x, y]]/(f_{a_1})$  to  $k[[x, y]]/(f_{a_2})$ , under it  $x, y$  maps to  $p, q \in k[[x, y]]$ . The power series  $p, q$  must generate the maximal ideal in  $k[[x, y]]$ , hence they have linearly independent degree 1 parts. We need  $pq(p + q)(p + a_1 q)$  to be a multiple of  $xy(x + y)(x + a_2 y)$ , which is impossible once we consider the degree 1 parts of  $p, q$  and do a simple casework (generic  $a_1, a_2$ ).

- (d) If the degree 2 part of  $f$  factors into two different linear factors, then it is an ordinary double point and is analytically isomorphic to  $y^2 = x^2$ . Otherwise we can assume  $f = y^2 + f_3 + f_4 + \cdots$ , where  $f_k$  is homogeneous polynomial in  $x, y$  of degree  $k$ . We can take out the part of  $f_k$  where the degree of  $y$  is  $\geq 2$  and write

$$f = y^2(1 + \cdots) + g_3(x) + g_2'(x)y + g_4(x) + g_3'(x)y + \cdots$$

The  $1 + \cdots$  part has a square root in  $k[[x, y]]$  so we assume  $f = y^2 + g_3(x) + g_2'(x)y + \cdots$ ; therefore we can further let  $y \mapsto y - 1/2(g_2'(x) + g_3'(x) + \cdots)$ , so that  $f = y^2 + h(x)$  where  $h$  is purely a polynomial in  $x$ . Taking  $r$  to be the lowest degree of  $h$ , there is an automorphism of  $k[[x, y]]$  taking  $y$  to  $y(h(x)/x^r)^{-1/2}$ , hence quotienting  $f$  is the same as quotienting  $y^2 - x^r$ .

If  $r < s$  and  $k[[x, y]]/(y^2 - x^r) \cong k[[x, y]]/(y^2 - x^s)$ , say under this isomorphism  $x, y$  gets mapped to  $p, q$ , then  $p, q$  have linearly independent degree 1 parts  $p_1, q_1$  and  $q^2 - p^r$  is a multiple of  $y^2 - x^s$ . Up to a constant factor, we assume

$$q^2 - p^r = (y^2 - x^s)(1 + h_1 + h_2 + \cdots), \quad q = y + q_2 + q_3 + \cdots$$

from this we easily deduce that  $y|q_n$  for  $2 \leq n \leq r-1$ , hence considering the  $r$ -th degree we have  $y|p_1^r$ , which is impossible. Uniqueness follows.

**Exercise 5.15** *Families of Plane Curves.* A homogeneous polynomial  $f$  of degree  $d$  in three variables  $x, y, z$  has  $\binom{d+2}{2}$  coefficients. Let these coefficients represent a point in  $\mathbf{P}^N$ , where  $N = \binom{d+2}{2} - 1 = \frac{1}{2}d(d+3)$ .

- (a) Show that this gives a correspondence between points of  $\mathbf{P}^N$  and algebraic sets in  $\mathbf{P}^2$  which can be defined by an equation of degree  $d$ . The correspondence is 1-1 except in some cases where  $f$  has a multiple factor.
  - (b) Show under this correspondence that the (irreducible) nonsingular curves of degree  $d$  correspond 1-1 to the points of a nonempty Zariski-open subset of  $\mathbf{P}^N$ . [Hints: (1) Use elimination theory (5.7A) applied to the homogeneous polynomials  $\partial f/\partial x_0, \dots, \partial f/\partial x_n$ ; (2) use the previous (Ex. 5.5, 5.8, 5.9) above.]
- (a) This is obviously a correspondence. For  $f$  that does not have a multiple factor, if a degree  $d$  polynomial  $g$  has  $Z(g) = Z(f)$ , then  $g \in \sqrt{(f)}$ , i.e.  $f|g^n$ ; splitting  $f$  into its irreducible factors, we immediately see  $f|g$ , hence  $f$  and  $g$  correspond to the same point in  $\mathbf{P}^N$ .
  - (b) Nonemptiness comes from exercise 5.5. By exercise 5.8, 5.9,  $f$  defines an irreducible nonsingular curve if and only if  $f$  and all the  $\partial f/\partial x_i$  do not have a common zero (different from  $(0, \dots, 0)$ ), by (5.7A) we see that the points in  $\mathbf{P}^N$  corresponding to an irreducible nonsingular curve is closed. Hence its complement is open. The correspondence is 1-1 as such  $f$  cannot have a multiple factor.