

Strongest Postconditions, Correctness Proofs

CS 536: Science of Programming, Spring 2018

Due Wed Mar 28, 11:59 pm

(No Late Assignments — Solution will be posted Thu Mar 29)

3/15: p.3; 3/19: pp. 2, 3; 3/24: pp.1-3; 3/29 solved

A. Instructions

- You can work together in groups of ≤ 4 . Submit your work on Blackboard. Submit one copy, under the name of one person in the group (doesn't matter who). Include the names and A-IDs of everyone in the group (including the submitter) inside that copy.

B. Why?

- $sp(p, S)$ is the most information available for the result of running S when p holds.
- To prove validity of correctness triples, we use a proof system with axioms for atomic statements and rules of inference for compound statements.
- A formal proof describes the reasons for believing that something is valid.
- Proof outlines are easier to use than proofs but give the same information.

C. Outcomes

After this homework, you should be able to

- Calculate the strongest postcondition of a loop-free program.
- Compare sp and wp approaches for proving simple programs.
- Generate instances of the partial correctness proof rules.
- Verify or complete a proof of correctness for triples using basic axioms and rules of inference.
- Expand partial proof outlines to full outlines and translate full outlines to proofs.

D. Problems [100 points total]

Part 1: Strongest Postconditions [40 points] [3/24]

1. **[12 = 4 * 3 points]** Calculate each of the following strongest postconditions. Do only syntactic calculations, not semantic manipulations. You can use the looser sense of \equiv from lecture 14.
 - a. $sp(n > 0, k := n; r := k)$
 - b. $sp(k+1 \leq n \wedge r = 2^k, k := k-1; r := r/2)$
 - c. $sp(i < j \wedge j-i \leq n, i := f(i+j); j := g(i*j))$
 - d. $sp(B(L, R), S)$ where $S \equiv \mathbf{if } x < b[M] \mathbf{ then } R := M \mathbf{ else } L := M \mathbf{ fi}$ and $B(L, R) \equiv 0 \leq L < M < R < \text{size}(b) \wedge b[L] \leq x < b[R]$
2. **[3 points]** Calculate $sp(T, y := x; \mathbf{if } x \geq 0 \mathbf{ then } x := x^2 \mathbf{ else } x := 0 \mathbf{ fi})$ and logically simplify the result. Show the result before and after simplification. If you like, you can use a $\max(u, v)$ function (= the larger of u and v).

3. [19 points total] Fill in the missing parts (indicated by ???) in the following rule uses.
- a. [9 = 3 * 3 points]
1. $\{???\} x := 2 * x \{x = 2^{(k+1)}\}$ _____ ???
 2. $\{x = 2^{(k+1)}\} ??? \{x = 2^k\}$ _____ ???
 3. $\{???\} x := 2 * x; k := k+1 \{x = 2^k\}$ _____ ???
- b. [5 = 2+2+1 points]
1. $\{x = 2^k\} x := x/2 \{???\}$ assignment
 2. $??? \rightarrow x = 2^{(k-1)}$ predicate logic
 3. $\{x = 2^k\} x := x/2 \{x = 2^{(k-1)}\}$ _____ ???
- c. [5 = 3+2 points]
1. $\{p \wedge b[m] \leq v\} L := m \{q\}$ assumption
 2. $\{p \wedge b[m] > v\} R := m \{q\}$ assumption
 3. $\{p\} ??? \{???\}$ conditional 1, 2
4. [6 points] Consider the triple $\{\mathbf{inv} \ x = 2^k \wedge k \leq n\} \mathbf{while} \ k < n \mathbf{do} \ x := x*2; k := k+1 \mathbf{od} \ \{p'\}$
- a. In order to prove the triple using the loop rule, what triple must we prove first?
 - b. If the triple is proved using the loop rule, what must the postcondition p' be?

Part 2: Proof Rules, Proofs, and Proof Outlines [60 points] [3/24]

For the first problem, we'll be completing a proof of partial correctness of

$$\{n \geq 0\} k := 0; s := k; r := s; \{\mathbf{inv} \ p\} \mathbf{while} \ k < n \mathbf{do} \ S_1 \mathbf{od} \ \{s = \text{sum}(n)\}$$

where $S_1 \equiv r := r+2*k+1; k := k+1; s := s+r$, the loop invariant $p \equiv 0 \leq k \leq n \wedge s = \text{sum}(k^2)$ [3/24]

$\wedge r = k^2$, and $\text{sum}(k^2)$ = the sum of $0^2 \dots k^2$ (if $k \geq 0$; if $k < 0$, then $\text{sum}(k^2) = 0$). The proof below is incomplete because most of the predicates are undefined and a few rule references haven't been filled in:

1. $\{n \geq 0\} k := 0 \{p_1\}$ Assignment
2. $\{p_1\} s := 0 \{p_2\}$ Assignment
3. $\{n \geq 0\} k := 0; s := 0 \{p_2\}$ [3/19] Sequence 1, 2
4. $\{p_2\} r := 0 \{p_3\}$ Assignment
5. $p_3 \rightarrow p$ Predicate logic
6. $\{n \geq 0\} S_0 \{p_3\}$ where $S_0 \equiv k := 0; s := 0; r := 0$ r_1 (see below) [3/19]
7. $\{n \geq 0\} S_0 \{p\}$ r_2
8. $\{p_4\} s := s+r \{p\}$ Assignment
9. $\{p_5\} k := k+1 \{p_4\}$ Assignment
10. $\{p_5\} k := k+1; s := s+r \{p\}$ Sequence 9, 8
11. $\{p_6\} r := r+2*k+1 \{p_5\}$ Assignment
12. $\{p_6\} S_1 \{p\}$ Sequence 11, 10
13. $p \wedge k < n \rightarrow p_6$ r_3
14. $\{p \wedge k < n\} S_1 \{p\}$ r_4
15. $\{\mathbf{inv} \ p\} W \{p \wedge k \geq n\}$ where $W \equiv \mathbf{while} \ k < n \mathbf{do} \ S_1 \mathbf{od}$ while, 14
16. $p \wedge k \geq n \rightarrow s = \text{sum}(n)$ Predicate logic
17. $\{\mathbf{inv} \ p\} W \{s = \text{sum}(n)\}$ Postcondition weakening, 14, 15
18. $\{n \geq 0\} S_0; W \{s = \text{sum}(n)\}$ Sequence, 7, 17

1. [20 = 10*2 points] For parts (a) – (f), give definitions for $p_1 - p_6$. Use substitution notation [3/15] ~~and give a list of~~ but list the results of carrying ~~out of~~ the substitutions.

(a) p_1 (b) p_2 (c) p_3 (d) p_4 (e) p_5 (f) p_6

For parts (g) – (j), give definitions for the rule references $r_1 - r_4$. Include the line numbers (e.g. in line 3 we used Sequence 1, 2, not just Sequence).

(g) r_1 (h) r_2 (i) r_3 (j) r_4 [3/19]

[3/24 - fix point value for Problems 2 – 4]

2. [7 points] Give the full proof outline that corresponds to lines 1 – 7 of the proof from Problem 1. (Hint: It has three statements and ~~5 conditions~~ four conditions.) Just use p_1, p_2 , etc., not their expansions.
3. [5 points] Give the full proof outline that corresponds to lines 8 – 14 of the proof from Problem 1. (Hint: It begins with $p \wedge k < n$ and ends with p .) Again, use p_1, p_2 , etc., not their expansions.
4. [4 points] Expand the minimal outline below into a full proof outline for partial correctness. Don't forget the implicit **else skip**.

{ T } if $y \geq 0$ then $x := \text{sqrt}(y)$ fi { $y \geq 0 \rightarrow x = \text{sqrt}(y)$ }

[3/24 - fix problem number]

5. [24 = 12 * 2 points] Expand the minimal outline below into a full proof outline for partial correctness by giving definitions for $p_0 - p_7$. Also list the three predicate logic obligations. Use substitution notation in your definitions (i.e., $p_{nbr} \equiv \text{predicate}[\text{expr}/\text{var}]$), and list the results of carrying out the substitutions.

{ p_0 } $x := 1$ { p_1 }; $k := 0$; { p_2 }

{ inv } $p \equiv 1 \leq x = 2^k \leq b[j]$

while $2 * x \leq b[j]$ do

{ p_3 }

{ p_4 }

$k := k + 1$

{ p_5 }

$x := 2 * x$

{ p_6 }

od { p_7 } { $q \equiv x = 2^k \leq b[j] < 2^{(k+1)}$ }

Solution to Homework 4 — Strongest Postconditions, Correctness Proofs**Part 1 (Strongest Postconditions)**

1. (Strongest postconditions)

$$\begin{aligned}
1a. \quad & sp(n > 0, k := n; r := k) \\
& \equiv sp(sp(n > 0, k := n), r := k) \\
& \equiv sp(n > 0 \wedge k = n, r := k) \\
& \equiv n > 0 \wedge k = n \wedge r = k \\
1b. \quad & sp(k+1 \leq n \wedge r = 2^k, k := k-1; r := r/2) \\
& \equiv sp(sp(k+1 \leq n \wedge r = 2^k, k := k-1), r := r/2) \\
& \equiv sp(k_0+1 \leq n \wedge r = 2^{k_0} \wedge k = k_0-1, r := r/2) \\
& \equiv k_0+1 \leq n \wedge r_0 = 2^{k_0} \wedge k = k_0-1 \wedge r = r_0/2 \\
1c. \quad & sp(i < j \wedge j-i \leq n, i := f(i+j); j := g(i*j)) \\
& \equiv sp(sp(i < j \wedge j-i < n, i := f(i+j)), j := g(i*j)) \\
& \equiv sp(i_0 < j \wedge j-i_0 \leq n \wedge i = f(i_0+j), j := g(i*j)) \\
& \equiv i_0 < j_0 \wedge j_0-i_0 \leq n \wedge i = f(i_0+j_0) \wedge j := g(i*j_0) \\
1d. \quad & sp(B(L, R), S) \\
& \equiv sp(B(L, R), \text{if } x < b[M] \text{ then } R := M \text{ else } L := M \text{ fi}) \\
& \equiv sp(L = L_0 \wedge R = R_0 \wedge B(L, R), \text{if } x < b[M] \text{ then } R := M \text{ else } L := M \text{ fi}) \\
& \equiv sp(L = L_0 \wedge R = R_0 \wedge B(L, R) \wedge x < b[M], R := M) \\
& \quad \vee sp(L = L_0 \wedge R = R_0 \wedge B(L, R) \wedge x \geq b[M], L := M) \\
& \equiv (L = L_0 \wedge B(L, R_0) \wedge x < b[M] \wedge R = M) \vee (R = R_0 \wedge B(L_0, R) \wedge x \geq b[M] \wedge L = M)
\end{aligned}$$

$$\begin{aligned}
2. \quad & sp(T, y := x; \text{if } x \geq 0 \text{ then } x := x^2 \text{ else } x := 0 \text{ fi}) \\
& \equiv sp(sp(T, y := x), \text{if } x \geq 0 \text{ then } x := x^2 \text{ else } x := 0 \text{ fi}) \\
& \equiv sp(y = x, \text{if } x \geq 0 \text{ then } x := x^2 \text{ else } x := 0 \text{ fi}) \\
& \equiv sp(y = x \wedge x = x_0, \text{if } x \geq 0 \text{ then } x := x^2 \text{ else } x := 0 \text{ fi}) \\
& \equiv sp(y = x \wedge x = x_0 \wedge x \geq 0, x := x^2) \vee sp(y = x \wedge x = x_0 \wedge x < 0, x := 0) \\
& \equiv (y = x_0 \wedge x_0 \geq 0 \wedge x = x_0^2) \vee (y = x_0 \wedge x_0 < 0 \wedge x = 0)
\end{aligned}$$

(If we continue with logical simplification)

$$\begin{aligned}
& \Leftrightarrow y = x_0 \wedge ((x_0 \geq 0 \wedge x = x_0^2) \vee (x_0^2 < 0 \wedge x = 0)) \\
& \Leftrightarrow y = x_0 \wedge x = \max(x_0, 0)^2
\end{aligned}$$

3. (Complete formal proof)

$$\begin{aligned}
3a. \quad & 1. \quad \{2*x = 2^{(k+1)}\} x := 2*x \{x = 2^{(k+1)}\} \quad \text{(Backward) Assignment} \\
& 2. \quad \{x = 2^{(k+1)}\} k := k+1 \{x = 2^k\} \quad \text{(Backward) Assignment} \\
& 3. \quad \{2*x = 2^{(k+1)}\} x := 2*x; k := k+1 \{x = 2^k\} \quad \text{Sequence 1,2}
\end{aligned}$$

- 3b.
- | | | |
|----|--|--------------------------|
| 1. | $\{x = 2^k\} x := x/2 \{x_0 = 2^k \wedge x = x_0/2\}$ | (Forward) Assignment |
| 2. | $x_0 = 2^k \wedge x = x_0/2 \rightarrow x = 2^{(k-1)}$ | Predicate logic |
| 3. | $\{x = 2^k\} x := x/2 \{x = 2^{(k-1)}\}$ | Postcondition weak. 1, 2 |
- 3c.
- | | | |
|----|---|------------------|
| 1. | $\{p \wedge b[m] \leq v\} L := m \{q\}$ | Assumption |
| 2. | $\{p \wedge b[m] > v\} R := m \{q\}$ | Assumption |
| 3. | $\{p\} \text{ if } b[m] \leq v \text{ then } L := m \text{ else } R := m \text{ fi } \{q\}$ | Conditional 1, 2 |
4. (Loop rule) The loop rule says that from $\{p \wedge B\} S \{p\}$ we can prove $\{\text{inv } p\} \text{ while } B \text{ do } S \text{ od } \{p \wedge \neg B\}$
- 4a. So to use the loop rule, we need to prove
- $$\{x = 2^k \wedge k \leq n \wedge k < n\} x := x*2; k := k+1 \{x = 2^k \wedge k \leq n\}$$
- 4b. The loop's postcondition must be $x = 2^k \wedge k \leq n \wedge k \geq n$

Part 2 (Correctness Proofs)

1. In the solution below, I've taken the proof and inserted the definitions of p_1, p_2 , etc., the first time they are used, if they're used multiple times. If you just wrote the definitions in a list, that's fine. The original question parts have been left black.

- | | | |
|-----|---|--|
| 1. | $\{n \geq 0\} k := 0 \{p_1 \equiv n \geq 0 \wedge k = 0\}$ | Assignment |
| 2. | $\{p_1\} s := 0 \{p_2 \equiv n \geq 0 \wedge k = 0 \wedge s = 0\}$ | Assignment |
| 3. | $\{n \geq 0\} k := 0; s := 0 \{p_2\}$ | Sequence 1, 2 |
| 4. | $\{p_2\} r := 0 \{p_3 \equiv n \geq 0 \wedge k = 0 \wedge s = 0 \wedge r = 0\}$ | Assignment |
| 5. | $p_3 \rightarrow p$ | Predicate logic |
| 6. | $\{n \geq 0\} S_0 \{p_3\}$ where $S_0 \equiv k := 0; s := 0; r := 0$ | $r_1 \equiv$ Sequence 3, 4 |
| 7. | $\{n \geq 0\} S_0 \{p\}$ | $r_2 \equiv$ Postcondition weakening 6, 5 |
| 8. | $\{p_4 \equiv p[s+r/s]\} s := s+r \{p\}$ | Assignment |
| 9. | $\{p_5 \equiv p_4[k+1/k]\} k := k+1 \{p_4\}$ | Assignment |
| 10. | $\{p_5\} k := k+1; s := s+r \{p\}$ | Sequence 9, 8 |
| 11. | $\{p_6 \equiv p_5[r+2*k+1/r]\} r := r+2*k+1 \{p_5\}$ | Assignment |
| 12. | $\{p_6\} S_1 \{p\}$ | Sequence 11, 10 |
| 13. | $p \wedge k < n \rightarrow p_6$ | $r_3 \equiv$ Predicate logic |
| 14. | $\{p \wedge k < n\} S_1 \{p\}$ | $r_4 \equiv$ Precondition Strengthening 13, 12 |
| | (recall $S_1 \equiv r := r+2*k+1; k := k+1; s := s+r$) | |
| 15. | $\{\text{inv } p\} W \{p \wedge k \geq n\}$ where $W \equiv \text{while } k < n \text{ do } S_1 \text{ od}$ | while, 14 |
| 16. | $p \wedge k \geq n \rightarrow s = \text{sum}(n)$ | Predicate logic |
| 17. | $\{\text{inv } p\} W \{s = \text{sum}(n)\}$ | Postcondition weakening, 14, 15 |
| 18. | $\{n \geq 0\} S_0; W \{s = \text{sum}(n)\}$ | Sequence, 7, 17 |

Substitutions:

(Recall $p \equiv 0 \leq k \leq n \wedge s = \text{sum}(k^2) \wedge r = k^2$)

$$p_4 \equiv p[s+r/s] \equiv 0 \leq k \leq n \wedge s+r = \text{sum}(k^2) \wedge r = k^2$$

$$p_5 \equiv p_4[k+1/k] \equiv 0 \leq k+1 \leq n \wedge s+r = \text{sum}((k+1)^2) \wedge r = (k+1)^2$$

$$p_6 \equiv p_5[r+2*k+1/r] \equiv 0 \leq k+1 \leq n \wedge s+r+2*k+1 = \text{sum}((k+1)^2) \wedge r+2*k+1 = (k+1)^2$$

2. (Full outline for lines 1 – 7 of proof)

```
{n ≥ 0} k := 0 {p1}; s := 0 {p2}; r := 0 {p3} {p}
```

3. (Full outline for lines 8 – 14 of proof)

```
{p ∧ k < n} {p6} r := r+2*k+1 {p5} k := k+1 {p4} s := s+r {p}
```

This wasn't asked for, but if you want an outline for the whole proof, we can combine the answers to Problems 2 and 3 and add in the **while** loop. (I've broken up some of the longer lines.)

```
{n ≥ 0} k := 0 {p1}; s := 0 {p2}; r := 0 {p3}
```

```
{inv p} while k < n do
```

```
  {p ∧ k < n}
```

```
  {p6} r := r+2*k+1
```

```
  {p5} k := k+1
```

```
  {p4} s := s+r {p}
```

```
od {p ∧ k ≥ n} {s = sum(n)}
```

4. (Expand minimal outline) Since this is the first time you're practicing this, I'll show some different possible correct answers. (There are also others, but I think these are the ones you are most likely to have found.)

First, here's a solution that uses *wp* throughout:

```
{T} {(y ≥ 0 → y ≥ 0 → sqrt(y) = sqrt(y)) ∧ (y < 0 → y ≥ 0 → x = sqrt(y))}
```

```
if y ≥ 0 then
```

```
  {y ≥ 0 → sqrt(y) = sqrt(y)} x := sqrt(y) {y ≥ 0 → x = sqrt(y)}
```

```
else
```

```
  {y ≥ 0 → x = sqrt(y)} skip {y ≥ 0 → x = sqrt(y)}
```

```
fi {y ≥ 0 → x = sqrt(y)}
```

The next solution uses *sp* throughout:

```
{T}
```

```
if y ≥ 0 then
```

```
  {y ≥ 0} x := sqrt(y) {y ≥ 0 ∧ x = sqrt(y)}
```

```
else
```

```
  {y < 0} skip {y < 0}
```

```
fi {y ≥ 0 ∧ x = sqrt(y) ∨ y < 0} {y ≥ 0 → x = sqrt(y)}
```

The third solution uses a mix of *wp* and *sp*: For the true branch, its *sp* precondition (the condition we'd use to calculate its *sp*) implies the branch's *wp*; for the false branch, its *sp* implies its *wp* postcondition.

```
{T}
```

```
if y ≥ 0 then
```

```
  {y ≥ 0} {y ≥ 0 ∧ x = sqrt(y) = sqrt(y)} x := sqrt(y) {y ≥ 0 ∧ x = sqrt(y)}
```

```
else
```

```
  {y < 0} skip {y < 0} {y ≥ 0 → x = sqrt(y)}
```

```
fi {y ≥ 0 → x = sqrt(y)}
```

5. (Expand minimal outline) Here's yet a different presentation style you might like

$\{p_0\}$	where $p_0 \equiv b[j] \geq 1$
$x := 1 \{p_1\};$	$p_1 \equiv b[j] \geq 1 \wedge x = 1$
$k := 0; \{p_2\}$	$p_2 \equiv b[j] \geq 1 \wedge x = 1 \wedge k = 0$
$\{\mathbf{inv} \ p \equiv 1 \leq x = 2^k \leq b[j]\}$	
while $2 * x \leq b[j]$ do	
$\{p_3\}$	$p_3 \equiv p \wedge 2 * x \leq b[j]$
$\{p_4\}$	$p_4 \equiv p_5[k+1/k]$
$k := k+1$	
$\{p_5\}$	$p_5 \equiv p[2 * x / x]$
$x := 2 * x$	
$\{p_6\}$	$p_6 \equiv p$
od $\{p_7\}$	$p_7 \equiv p \wedge 2 * x > b[j]$
$\{q \equiv x = 2^k \leq b[j] < 2^{(k+1)}\}$	

Predicate Logic obligations:

$p_2 \rightarrow p$, $p_3 \rightarrow p_4$, and $p_7 \rightarrow q$.

Substitutions: Since p_4 depends on p_5 , I'm listing them in reverse order (you didn't have to do that).

$p_5 \equiv p[2 * x / x] \equiv 1 \leq 2 * x = 2^k \leq b[j]$

$p_4 \equiv p_5[k+1/k] \equiv 1 \leq 2 * x = 2^{(k+1)} \leq b[j]$