

Hoare Triples; Weakest Preconditions; Substitution

CS 536: Science of Programming, Spring 2018

Due Wed Mar 7, 11:59 pm

3/4: p.1; 3/14: p.5

A. Instructions

- You can work together in groups of ≤ 4 . Submit your work on Blackboard. Submit one copy, under the name of one person in the group (doesn't matter who). Include the names and A-IDs of everyone in the group (including the submitter) inside that copy.

B. Why?

- Correctness triples are how we write a program with its specification.
- Weakest preconditions give the most general precondition that a program needs.

C. Outcomes

After this homework, you should be able to

- Identify the properties that connect satisfaction of partial and total correctness triples with satisfaction of preconditions and postconditions and with the denotational semantics of programs.
- Be able to weaken or strengthen the conditions of a triple while maintaining validity.
- Be able to calculate the wp of a simple loop-free program.

D. Problems [100 points total]

Part 1: Hoare Triples [50 points]

For all these questions, write a short answer, at most a paragraph. As the default, assume $\sigma \in \Sigma$ and S might cause an error ($\perp \in M(S, \sigma)$ is possible). Remember that $\Sigma_{\perp} = \Sigma \cup \{\perp\}$ = the set of all states, with \perp added; and if $\Sigma_0 \subseteq \Sigma_{\perp}$ (i.e, Σ_0 is a set of states possibly including \perp), then

- $\Sigma_0 \models q$ and $\Sigma_0 \models \neg q$ can't happen simultaneously.
- If $\perp \in \Sigma_0$ then $\Sigma_0 \not\models q$ and $\Sigma_0 \not\models \neg q$ simultaneously.
- If Σ_0 contains more than one member, then it's possible for $\Sigma_0 \not\models q$ and $\Sigma_0 \not\models \neg q$ to hold simultaneously.

- [3 points] Why are $\models \{p\} S \{q\}$ and $\models_{tot} \{p\} S \{q\}$ equivalent if S cannot cause an error?
- [3 points] If $\sigma \models_{tot} \{p\} S \{\top\}$ and $M(S, \sigma) \not\subseteq \Sigma$, can we conclude anything about σ ?
- [4 = 2 * 2 points] [3/4] Can $\sigma \not\models_{tot} \{p\} S \{q\}$ and $\sigma \not\models_{tot} \{p\} S \{\neg q\}$ occur simultaneously? *
~~Can $M(S, \sigma) \not\models_{tot} \{p\} S \{q\}$ and $M(S, \sigma) \not\models_{tot} \{p\} S \{\neg q\}$ to occur simultaneously~~
 - When S is deterministic?
 - When S is nondeterministic but always halts?

* (Note this question reduces to “Can $M(S, \sigma) \not\models q$ and $M(S, \sigma) \not\models \neg q$ occur simultaneously?”)

Problems 4 - 10 are all written briefly as “Is X sufficient for Y or not- Y (or neither)?” There are three possibilities:

- X implies Y (i.e., X is sufficient for Y). Explain why Y must hold.
 - X implies not- Y (i.e., X is sufficient for not- Y). Explain why not- Y must hold.
 - X implies neither (i.e., X is sufficient for neither). Explain why both $(X$ and $Y)$ and $(X$ and not- $Y)$ are possible.
4. [3 points] Is $\sigma \models \{p\} S \{q\}$ sufficient for $\sigma \models p$ or $\sigma \models \neg p$?
 5. [3 points] Is $\sigma \not\models \{p\} S \{q\}$ sufficient for $\sigma \models$ or $\not\models \{p\} S \{\neg q\}$?
 6. [8 = 4 * 2 points] Is $\sigma \not\models \{p\} S \{q\}$ sufficient for
 - a. $\sigma \models p$ or $\sigma \models \neg p$?
 - b. $M(S, \sigma) \subseteq \Sigma$ or $\not\subseteq \Sigma$?
 - c. $M(S, \sigma) \models q$ or $\not\models q$?
 - d. $M(S, \sigma) \models \neg q$ or $\not\models \neg q$?
 7. [6 = 3 * 2 points] Are $\sigma \models p$ and $\sigma \models \{p\} S \{q\}$ together sufficient for
 - a. $M(S, \sigma) \subseteq \Sigma$ or $\not\subseteq \Sigma$?
 - b. $M(S, \sigma) \models q$ or $\not\models q$?
 - c. $M(S, \sigma) \models \neg q$ or $\not\models \neg q$?
 8. [6 = 3 * 2 points] Are $\sigma \models p$ and $\sigma \models_{tot} \{p\} S \{q\}$ together sufficient for
 - a. $M(S, \sigma) \subseteq \Sigma$ or $\not\subseteq \Sigma$?
 - b. $M(S, \sigma) \models q$ or $\not\models q$?
 - c. $M(S, \sigma) \models \neg q$ or $\not\models \neg q$?
 9. [8 = 4 * 2 points] Is $\sigma \not\models_{tot} \{p\} S \{q\}$ sufficient for
 - a. $M(S, \sigma) \subseteq \Sigma$ or $\not\subseteq \Sigma$?
 - b. $M(S, \sigma) \models q$ or $\not\models q$?
 - c. $M(S, \sigma) \models \neg q$ or $\not\models \neg q$?
 - d. $\sigma \models$ or $\not\models \{p\} S \{\neg q\}$?
 10. [6 = 3 * 2 points] Are $\sigma \models \{p\} S \{q\}$ and $\sigma \not\models_{tot} \{p\} S \{q\}$ together sufficient for
 - a. $M(S, \sigma) \subseteq \Sigma$ or $\not\subseteq \Sigma$?
 - b. $M(S, \sigma) \models q$ or $\not\models q$?
 - c. $M(S, \sigma) \models \neg q$ or $\not\models \neg q$?

Part 2: Weakest Preconditions; Substitutions [50 points]

1. [4 points] Assume $p_0 \rightarrow p_1, p_1 \rightarrow p_2, q_0 \rightarrow q_1$, and $q_1 \rightarrow q_2$ are valid. If $\{p_1\} S \{q_1\}$ is valid, then which of the following triples can we argue are valid using pre-/post-condition weakening/strengthening? [Fun fact: The answer is the same for partial and total correctness!]

- a. $\{p_0\} S \{q_0\}$
- b. $\{p_2\} S \{q_0\}$
- c. $\{p_0\} S \{q_2\}$
- d. $\{p_2\} S \{q_2\}$

For Problems 2 – 7, do syntactic calculations of wp or substitution but (unless you're asked to), don't do any arithmetic or logical simplifications. E.g., $(x+x \geq 2)[1/x] \equiv 1+1 \geq 2$ is completely correct. (Continuing with $1+1 \geq 2 \Leftrightarrow 2 \geq 2 \Leftrightarrow T$ uses logical simplification; continuing with $1+1 \geq 2 \equiv T$ is wrong because it's false.) See the solutions for the wp activity questions for the level of detail to give for your answers.

2. [6 points] Calculate $wp(\mathbf{if} \ b[M] \leq v \ \mathbf{then} \ L := M \ \mathbf{else} \ R := M \ \mathbf{fi}, L < R \wedge b[L] \leq v < b[R])$.
3. [6 points] Calculate $wp(\mathbf{if} \ \mathbf{even}(x) \ \mathbf{then} \ x := x+y; y := y+z \ \mathbf{fi}, 0 \leq x < n \wedge y = z*(n+x))$.
4. [6 points] Calculate $wp(i := i-j; s := s+i, 0 \leq i \leq n \wedge s = g(i, n))$.
5. [6 points] Consider the triple $\{p\} \ j := j-i; i := i+k \ \{i \leq j \wedge j-i < n\}$
 - a. Calculate the wp of the statement and postcondition.
 - b. Use logical simplification to get something equivalent but simpler to use for the precondition p .

[†] I.e., does it imply $\sigma \models \{p\} S \{\neg q\}$ or $\sigma \not\models \{p\} S \{\neg q\}$?

6. [6 points] Repeat the previous problem on $\{p\}$ $j := i * j; k := j + i * k \{0 < i < j < k\}$.
7. [14 points total] Let $p \equiv x * y < f(z) \vee \forall x. x \geq a \rightarrow \exists y. x \div y > y - a - z$. Calculate the following:
- a. [4 points] $p[y - z / x]$. b. [4 points] $p[y + z / y]$ c. [6 points] $p[x + y / a][y - z / x]$

Solution to Homework 3 — Hoare Triples; Strength; Weakest Preconditions

Spring 2018

Part 1 (Hoare Triples)

These four properties are used below (and remember, we've assumed $\sigma \in \Sigma$):

- (i) $\sigma \models \{p\} S \{q\}$ iff $\sigma \models \neg p$ or $M(S, \sigma) \not\subseteq \Sigma$ or $M(S, \sigma) \models q$.
- (ii) $\sigma \models_{tot} \{p\} S \{q\}$ iff $\sigma \models \neg p$ or $((M(S, \sigma) \subseteq \Sigma \text{ and } M(S, \sigma) \models q)$
- (iii) $\sigma \not\models \{p\} S \{q\}$ iff $\sigma \models p$ and $(M(S, \sigma) \subseteq \Sigma \text{ and } M(S, \sigma) \not\models q)$
- (iv) $\sigma \not\models_{tot} \{p\} S \{q\}$ iff $\sigma \models p$ and $(M(S, \sigma) \not\subseteq \Sigma \text{ or } (M(S, \sigma) \subseteq \Sigma \text{ and } M(S, \sigma) \not\models q))$

1. If S can't cause an error, then $M(S, \sigma) \subseteq \Sigma$, so (i) and (ii) are both $\Leftrightarrow (\sigma \models \neg p \text{ or } M(S, \sigma) \models q)$, so partial and total correctness of $\{p\} S \{q\}$ are identical.
2. If $M(S, \sigma) \not\subseteq \Sigma$, then $\perp \in M(S, \sigma)$, so $M(S, \sigma) \not\models q$. By (ii), $\sigma \models_{tot} \{p\} S \{T\}$ means $(\sigma \models \neg p \text{ or } M(S, \sigma) \models q)$. Since $M(S, \sigma) \not\models q$, we must have $\sigma \models \neg p$.
3. (Can $M(S, \sigma) \not\models_{tot} \{p\} S \{q\}$ and $\not\models_{tot} \{p\} S \{\neg q\}$ simultaneously?)
 - a. If S is deterministic then $M(S, \sigma) = \{\tau\} \subseteq \Sigma_{\perp}$. We need $\tau = \perp$: if $\tau \in \Sigma$ then either $\{\tau\} \models q$ or $\{\tau\} \models \neg q$, which implies that $M(S, \sigma)$ satisfies (under total correctness) either $\{p\} S \{q\}$ or $\{p\} S \{\neg q\}$.
 - b. If S is nondeterministic but always halts then $M(S, \sigma) = \{\tau_1, \tau_2\} \subseteq \Sigma$. If τ_1 and τ_2 both $\models q$ then $M(S, \sigma) \models_{tot} \{p\} S \{q\}$. Similarly, if they both $\models \neg q$, then together $M(S, \sigma) \models_{tot} \{p\} S \{\neg q\}$. We need one of τ_1 and τ_2 to satisfy q and one to satisfy $\neg q$ so that the pair together satisfies neither q nor $\neg q$.
4. By (i), $\sigma \models \{p\} S \{q\}$ implies neither $\sigma \models p$ nor $\sigma \models \neg p$.
5. (Is $\sigma \not\models \{p\} S \{q\}$ sufficient for $\sigma \models$ or $\not\models \{p\} S \{\neg q\}$?) By (iii), $\sigma \not\models \{p\} S \{q\}$ implies $\sigma \models p$ and $(M(S, \sigma) \subseteq \Sigma \text{ and } M(S, \sigma) \not\models q)$. (Case 1) If $M(S, \sigma)$ has just one state, say τ , then $\tau \not\models q$ implies $\tau \models \neg q$, so $\sigma \models \{p\} S \{\neg q\}$. (Case 2) If $M(S, \sigma)$ has multiple states, then for it to $\not\models q$, it must have at least one member that $\models \neg q$. If every state in $M(S, \sigma) \models \neg q$, then $\sigma \models \{p\} S \{\neg q\}$; if some state in $M(S, \sigma) \models q$, then $M(S, \sigma) \not\models q$, so $\sigma \not\models \{p\} S \{\neg q\}$, so our condition is sufficient for neither.
6. By (iii), $\sigma \not\models \{p\} S \{q\}$ implies (a) $\sigma \models p$, (b) $M(S, \sigma) \subseteq \Sigma$, and (c) $M(S, \sigma) \not\models q$. (d) both $M(S, \sigma) \models$ and $M(S, \sigma) \not\models \neg q$ are possible if $M(S, \sigma)$ has more than one state. If $M(S, \sigma) = \{\tau\}$ for some τ , then $M(S, \sigma) \not\models q$ iff $M(S, \sigma) \models \neg q$ because $\tau \neq \perp$ by assumption.
7. By (i), if $\sigma \models p$ and $\sigma \models \{p\} S \{q\}$, then we have two possible situations:
 - (a) $M(S, \sigma) \not\subseteq \Sigma$, which implies (b) $M(S, \sigma) \not\models q$ and (d) $M(S, \sigma) \not\models \neg q$
 - or (a) $M(S, \sigma) \subseteq \Sigma$, so (b) $M(S, \sigma) \models q$, so (d) $M(S, \sigma) \not\models \neg q$
8. By (ii), if $\sigma \models p$ and $\sigma \models_{tot} \{p\} S \{q\}$, then (a) $M(S, \sigma) \subseteq \Sigma$, (b) $M(S, \sigma) \models q$, and (c) $M(S, \sigma) \not\models \neg q$.
9. By (iv), $\sigma \not\models_{tot} \{p\} S \{q\}$ implies $\sigma \models p$ and either
 - (a) $M(S, \sigma) \not\subseteq \Sigma$, which implies (b) $M(S, \sigma) \not\models q$ and (d) $M(S, \sigma) \not\models \neg q$
 - or (a) $M(S, \sigma) \subseteq \Sigma$ and (b) $M(S, \sigma) \not\models q$. In this case, $M(S, \sigma) \models$ and $\not\models \neg q$ are both possible, so our condition is (c) sufficient for neither $M(S, \sigma) \models \neg q$ nor $M(S, \sigma) \not\models \neg q$.
10. (Assume $\sigma \models \{p\} S \{q\}$ and $\sigma \not\models_{tot} \{p\} S \{q\}$ both hold)

By (i), $\sigma \models \{p\} S \{q\}$ implies $\sigma \models \neg p$ or $M(S, \sigma) \not\subseteq \Sigma$ or $(M(S, \sigma) \subseteq \Sigma \text{ and } M(S, \sigma) \models q)$.

By (iv) $\sigma \not\models_{tot} \{p\} S \{q\}$ implies $\sigma \models p$ and $(M(S, \sigma) \not\subseteq \Sigma \text{ or } (M(S, \sigma) \subseteq \Sigma \text{ and } M(S, \sigma) \not\models q))$

We can't have $M(S, \sigma) \subseteq \Sigma$, because then $M(S, \sigma) \models$ and $\not\models q$ simultaneously. So we know (a) $M(S, \sigma) \not\subseteq \Sigma$ (i.e., S can cause an error) and therefore (b) $M(S, \sigma) \not\models q$ and $\sigma \models p$ and (c) $M(S, \sigma) \not\models \neg q$. (We also know $\sigma \models p$, but that wasn't asked about.)

Part 2 (Strength; Weakest Preconditions)

- (Weakening and strengthening conditions) We can always strengthen preconditions and weaken postconditions, so we can replace p_1 by p_0 because $p_0 \rightarrow p_1$, and we can replace q_1 by q_2 because $q_1 \rightarrow q_2$. Only (c) below can be justified using strengthening and weakening.

- $\{p_0\} S \{q_0\}$: Precondition strengthening is ok; postcondition strengthening isn't ok.
- $\{p_2\} S \{q_0\}$: Precondition weakening isn't ok; neither is postcondition strengthening.
- $\{p_0\} S \{q_2\}$: Precondition strengthening and postcondition weakening are both ok.
- $\{p_2\} S \{q_2\}$: Precondition weakening isn't ok; postcondition weakening is ok.

Note: Even though the triples (a), (b), and (d) aren't provable by weakening or strengthening, they might still be valid for other reasons.

- Let $S \equiv \mathbf{if} \ b[M] \leq v \ \mathbf{then} \ L := M \ \mathbf{else} \ R := M \ \mathbf{fi}$.

Let $q \equiv L < R \wedge b[L] \leq v < b[R]$

Let $w_1 \equiv wp(L := M, q) \equiv M < R \wedge b[M] \leq v < b[R] \wedge M < R$

Let $w_2 \equiv wp(R := M, q) \equiv L < M \wedge b[L] \leq v < b[M]$

Then $wp(S, q) \equiv (b[M] \leq v \rightarrow w_1) \wedge (b[M] > v \rightarrow w_2)$

$$\equiv (b[M] \leq v \rightarrow M < R \wedge b[M] \leq v < b[R]) \wedge (b[M] > v \rightarrow L < M \wedge b[L] \leq v < b[M]) \quad \ddagger$$

[3/14 - $S_1 \equiv x+y$, not $x-y$]

- Let $S_1 \equiv x := x+y$, $S_2 \equiv y := y+z$, and $q \equiv 0 \leq x < n \wedge y = z*(n+x)$.

First, we can calculate $wp(S_2, q)$

$$\equiv wp(y := y+z, 0 \leq x < n \wedge y = z*(n+x))$$

$$\equiv 0 \leq x < n \wedge y+z = z*(n+x)$$

Then we can calculate $wp(S_1 ; S_2, q)$

$$\equiv wp(S_1, wp(S_2, q))$$

$$\equiv wp(x := x+y, 0 \leq x < n \wedge y+z = z*(n+x))$$

$$\equiv 0 \leq x+y < n \wedge y+z = z*(n+(x+y))$$

Then $wp(\mathbf{if} \ \mathbf{even}(x) \ \mathbf{then} \ S_1 ; S_2 \ \mathbf{fi}, q)$

$$\equiv wp(\mathbf{if} \ \mathbf{even}(x) \ \mathbf{then} \ S_1 ; S_2 \ \mathbf{else} \ \mathbf{skip} \ \mathbf{fi}, q)$$

$$\equiv (\mathbf{even}(x) \rightarrow wp(S_1 ; S_2, q)) \wedge (\mathbf{odd}(x) \rightarrow wp(\mathbf{skip}, q))$$

$$\equiv (\mathbf{even}(x) \rightarrow 0 \leq x+y < n \wedge y+z = z*(n+(x+y))) \wedge (\mathbf{odd}(x) \rightarrow q)$$

- $wp(i := i-j ; s := s+i, 0 \leq i \leq n \wedge s = g(i, n))$
 $\equiv wp(i := i-j, wp(s := s+i, 0 \leq i \leq n \wedge s = g(i, n)))$

[‡] I think it's safe now to treat $\neg(e_1 < e_2) \equiv e_1 \geq e_2$, or $\neg \mathbf{odd}(x) \equiv \mathbf{even}(x)$ or $p \wedge \mathbf{T} \equiv p$.

$$\begin{aligned} &\equiv wp(i := i-j, 0 \leq i \leq n \wedge s+i = g(i, n)) \\ &\equiv 0 \leq i-j \leq n \wedge s+i-j = g(i-j, n) \end{aligned}$$

5. $wp(j := j-i; i := i+k, i \leq j \wedge j-i < n)$
 $\equiv wp(j := j-i, wp(i := i+k, i \leq j \wedge j-i < n))$
 $\equiv wp(j := j-i, i+k \leq j \wedge j-(i+k) < n)$
 $\equiv i+k \leq j-i \wedge j-i-(i+k) < n$
 $\Leftrightarrow 2*i+k \leq j \wedge j < n+i+(i+k)$
 $\Leftrightarrow 2*i+k \leq j < n+2*i+k$
 $\Leftrightarrow 0 \leq j < n$
6. $wp(j := i*j; k := j+i*k, 0 < i < j < k)$
 $\equiv wp(j := i*j, wp(k := j+i*k, 0 < i < j < k))$
 $\equiv wp(j := i*j, 0 < i < j < j+i*k)$
 $\equiv 0 < i < i*j < i*j+i*k$
 $\Leftrightarrow 0 < i \wedge i < i*j < i*j+i*k$ (splitting apart the chained tests)
 $\Leftrightarrow 0 < i \wedge 1 < j < j+k$ (dividing the right predicate by i)
 $\Leftrightarrow i > 0 \wedge j > 1 \wedge k > 0$
 $\Leftrightarrow 0 < k$ [and info about j]

7. (Substitutions involving $p \equiv x*y < f(z) \vee \forall x. x \geq a \rightarrow \exists y. x \div y > y-a-z$)

7a. $p[y-z/x] \equiv (x*y < f(z) \vee \forall x. x \geq a \rightarrow \exists y. x \div y > y-a-z)[y-z/x]$
 $\equiv (x*y < f(z))[y-z/x] \vee (\forall x. x \geq a \rightarrow \exists y. x \div y > y-a-z)[y-z/x]$
 $\equiv (y-z)*y < f(z) \vee \forall x. x \geq a \rightarrow \exists y. x \div y > y-a-z$ [the $\forall x$ shields x]

7b. $p[y+z/y] \equiv (x*y < f(z) \vee \forall x. x \geq a \rightarrow \exists y. x \div y > y-a-z)[y+z/y]$
 $\equiv (x*y < f(z))[y+z/y] \vee (\forall x. x \geq a \rightarrow \exists y. x \div y > y-a-z)[y+z/y]$
 $\equiv x*(y+z) < f(z) \vee \forall x. x \geq a \rightarrow \exists y. x \div y > y-a-z$ [the $\exists y$ shields y]

7c. To calculate $p[x+y/a][y-z/x]$, we'll first calculate $p_1 \equiv p[x+y/a]$, then we'll calculate $p_1[y-z/x]$

$$\begin{aligned} p_1 &\equiv p[x+y/a] \equiv (x*y < f(z) \vee \forall x. x \geq a \rightarrow \exists y. x \div y > y-a-z)[x+y/a] \\ &\equiv (x*y < f(z))[x+y/a] \vee \forall x. x \geq a \rightarrow \exists y. x \div y > y-a-z[x+y/a] \\ &\equiv (x*y < f(z)) \vee (\forall x. x \geq a \rightarrow \exists y. x \div y > y-a-z)[x+y/a] \\ &\equiv x*y < f(z) \vee \forall v. (x \geq a \rightarrow \exists y. x \div y > y-a-z)[v/x][x+y/a] && \text{(renaming } x \text{ to } v) \\ &\equiv x*y < f(z) \vee \forall v. (v \geq a \rightarrow \exists y. v \div y > y-a-z)[x+y/a] \\ &\equiv x*y < f(z) \vee \forall v. v \geq x+y \rightarrow (\exists y. v \div y > y-a-z)[x+y/a] \\ &\equiv x*y < f(z) \vee \forall v. v \geq x+y \rightarrow \exists w. (v \div y > y-a-z)[w/y] [x+y/a] && \text{(renaming } y \text{ to } w) \\ &\equiv x*y < f(z) \vee \forall v. v \geq x+y \rightarrow \exists w. (v \div w > w-a-z) [x+y/a] \\ &\equiv x*y < f(z) \vee \forall v. v \geq x+y \rightarrow \exists w. v \div w > w-(x+y)-z \end{aligned}$$

Then $p[x+y/a][y-z/x]$

$$\equiv p_1[y-z/x]$$

$$\begin{aligned}
 & \equiv (x * y < f(z) \vee \forall v. v \geq x + y \rightarrow \exists w. v \div w > w - (x + y) - z) [y - z / x] \\
 & \equiv (y - z) * y < f(z) \vee \forall v. v \geq (y - z) + y \rightarrow \exists w. v \div w > w - ((y - z) + y) - z
 \end{aligned}$$