# Automata Homework 1

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# 1 Logic, Reasoning, Induction

1.1

1.1.1

$$p \to (q \lor r) \equiv \neg p \lor (q \lor r)$$
$$\equiv (\neg p \lor q) \lor r$$
$$\equiv \neg (p \land \neg q) \lor r$$
$$\equiv (p \land \neg q) \to r$$

1.1.2

$$\neg (p \lor q) \lor (\neg p \land q) \lor \equiv (\neg p \land \neg q) \lor (\neg p \land q) \lor (p \land q)$$
 (De Morgan)
$$\equiv \left[ \neg p \land (\neg q \lor q) \right] \lor (p \land q)$$
 (Distributive)
$$\equiv \left[ \neg p \land T \right] \lor (p \land q)$$

$$\equiv \neg p \lor (p \land q)$$

$$\equiv (\neg p \lor p) \land (\neg p \lor q)$$
 (Distributive)
$$\equiv T \land (\neg p \lor q)$$

$$\equiv \neg p \lor q$$

$$\equiv \neg (p \land \neg q)$$
 (De Morgan)

1.2

We are going to prove these statements both with contradiction and inference rules:

### 1.2.1

$$(p \to q) \implies (\neg q \to \neg p) \tag{1}$$

Proof with contradiction:

$$(p \to q) \land \neg (\neg q \to \neg p) \equiv (\neg p \lor q) \land \neg (q \lor \neg p)$$

$$\equiv (\neg p \lor q) \land (\neg q \land p)$$

$$\equiv \neg q \land p \land (\neg p \lor q) \qquad \text{(reorder)}$$

$$\equiv \neg q \land \left[ (p \land \neg p) \lor (p \land q) \right] \qquad \text{(Distributive)}$$

$$\equiv \neg q \land \left[ F \lor (p \land q) \right]$$

$$\equiv \neg q \land (p \land q)$$

$$\equiv p \land (\neg q \land q) \qquad \text{(Associative)}$$

$$\equiv p \land F$$

$$\equiv F$$

Thus we have a contradiction.

Proof with inference rules:

(1) 
$$\neg q$$
 Assumption

(2) 
$$| p \rightarrow q$$
 Premise

(3) 
$$|\neg p|$$
 Modus Tollens: 1, 2

(4) 
$$\neg q \rightarrow \neg p$$
  $\rightarrow$  introduction: 1-3

## 1.2.2

Porof by contradiction:

$$\begin{bmatrix}
(p \to q) \land (p \to \neg q)
\end{bmatrix} \implies \neg p \tag{3}$$

$$\begin{bmatrix}
(p \to q) \land (p \to \neg q)
\end{bmatrix} \land \neg (\neg p) \equiv \begin{bmatrix}
(\neg p \lor q) \land (\neg p \lor \neg q)
\end{bmatrix} \land p$$

$$\equiv \begin{bmatrix}
\neg p \lor (q \land \neg q)
\end{bmatrix} \land p$$

$$\equiv \begin{bmatrix}
\neg p \lor F
\end{bmatrix} \land p$$

$$\equiv \neg p \land p$$

$$\equiv F$$

$$\therefore \text{ Contradiction}$$

Proof with inference rules:

| (1) | p                           | Assumption               |
|-----|-----------------------------|--------------------------|
| (2) | $  p \rightarrow q$         | Premise                  |
| (3) | $\mid q$                    | Modus Ponens: 1, 2       |
| (4) | $\mid p  ightarrow \lnot q$ | Premise                  |
| (5) | $\mid \neg p$               | Modus Tollens: 3, 4      |
| (6) |                             | $\neg$ elimination: 1, 5 |
| (7) | $\lnot p$                   | ¬ introduction: 1-6      |

( $\perp$  means contradiction).

Note that we've used  $p \to q$  and  $p \to \neg q$  as premises for simplicity. If we don't consider them our direct premises, then we can use Simplification rule on  $(p \to q) \land (p \to \neg q)$  to get to them .

## 1.2.3

Proof by contradiction:

$$\left[ (p \lor q) \land (\neg p \lor r) \right] \implies (q \lor r) \tag{5}$$

Proof with inference rules:

| (1)  | $\neg (q \lor r)$        | Assumption                  |
|------|--------------------------|-----------------------------|
| (2)  | $  \neg q \wedge \neg r$ | De Morgan: 1                |
| (3)  | $  \neg q$               | Simplification: 2           |
| (4)  | $\mid p \lor q$          | Premise                     |
| (5)  | $\mid p$                 | Disjunctive Syllogism: 3, 4 |
| (6)  | $\mid \neg p \lor r$     | Premise                     |
| (7)  | $\mid \neg r$            | Simplification: 2           |
| (8)  | $\mid \neg p$            | Disjunctive Syllogism: 6, 7 |
| (9)  |                          | $\neg$ elimination: 5, 8    |
| (10) | $\neg\neg(q\vee r)$      | $\neg$ introduction:1-9     |
| (11) | $q \lor r$               | $\neg\neg$ elimination      |

# 1.3

## 1.3.1

If you write a truth table for both sides, you'll see that this equivalence does not hold. But rather we can only derive the following statement and we are going to prove that instead.

$$(p \to r_1) \land (r_1 \to r_2) \land \dots \land (r_n \to q) \implies p \to q$$
 (7)

It is easily proved using induction.

# Base Case:

$$(p \to r_1) \land (r_1 \to q) \implies p \to q$$
 (8)

| (1) | p                        | Assumption                      |
|-----|--------------------------|---------------------------------|
| (2) | $\mid p  ightarrow r_1$  | Premise                         |
| (3) | $\mid r_1$               | Modus Ponens: 1, 2              |
| (4) | $\mid r_1 \rightarrow q$ | Premise                         |
| (5) | $\mid q$                 | Modus Ponens: 3, 4              |
| (6) | p 	o q                   | $\rightarrow$ introduction: 1-5 |

**Induction Hypothesis:** Let's assume for n = k this equivalence holds. Then:

$$(p \to r_1) \land (r_1 \to r_2) \land \dots \land (r_k \to z) \implies p \to z$$
 (10)

**Induction Step:** We can show that it also holds for n = k + 1.

#### 1.3.2

We prove this equivalence with induction.

**Base Case:** for n = 1 it's obvious:

$$p = r_1$$
$$p \to q \equiv r_1 \to q$$

**Induction Hypothesis:** Let's assume for n = k this equivalence holds:

$$p_1 = r_1 \lor \dots \lor r_k$$
$$p_1 \to q \equiv (r_1 \to q) \land \dots \land (r_k \to q)$$

**Induction Step:** Now we now show that it holds for n = k + 1 as well:

$$p = \underbrace{r_1 \vee \ldots \vee r_k}_{p_1} \vee \underbrace{r_{k+1}}_{p_2} = p_1 \vee p_2$$

$$(r_1 \to q) \land \dots \land (r_k \to q) \land (r_{k+1} \to q) \equiv (p_1 \to q) \land (r_{k+1} \to q) \quad \text{(Induction Hypothesis)}$$

$$\equiv (p_1 \to q) \land (p_2 \to q)$$

$$\equiv (\neg p_1 \lor q) \land (\neg p_2 \lor q)$$

$$\equiv (\neg p_1 \land \neg p_2) \lor q \qquad \text{(Distributive law)}$$

$$\equiv \neg (p_1 \lor p_2) \lor q \qquad \text{(De Morgan)}$$

$$\equiv \neg p \lor q$$

$$\equiv p \to q \quad \therefore$$

# 2 Properties of sets, recursive definitions, countability and uncountability

### 2.1

Yes it is a equivalence relation:

- Reflexive:  $\forall x \in L$  we define u and v as:  $u = x, v = \epsilon$ . Therefore  $x = uv = vu \implies xRx$ .
- Symmetric: It's obvious! (you only need to swap u and v values).
- Transitive: Assume |x| = n = i + j and x is split into u and v at index i (i.e., |u| = i, |v| = j) and y = vu. And we know yRz; i.e., if we split y at some index and swap the resulting substrings, we get z. There is three possibilities for index at which y is split. If y is split at index k = j, then x = z and xRz by reflexiveness. If y is split at  $0 \le k < j$ , then one can rewrite x, y, and z as follow:  $y = abu, x = \underbrace{ua \quad b}_{u'}, z = \underbrace{b \quad ua}_{v'}$  (where |a| = k) and as you can see, you can convert x to z and vice versa, by swapping u' and v'. Thus xRz. You can show same thing in the case where split is done at index  $j \le k < n$  with a similar procedure. Hence R is transitive.

## 2.2

### 2.2.1

We name the mentioned set S and define S recursively:

- (1)  $a \in S$
- (2)  $\forall x, y \in S : x + y \in S$
- (3)  $\forall x, y \in S : x \times y \in S$
- $(4) \ \forall x \in S : (x) \in S$

Now we prove string  $(a + a \times (a + a))$  belongs to S by constructing it step by step with the above rules:

- 1.  $\xrightarrow{(1)} a \in S$
- $2. \xrightarrow{1,(2)} a + a \in S$
- 3.  $\xrightarrow{2,(4)}$   $(a+a) \in S$

$$4. \xrightarrow{1,3,(3)} a \times (a+a) \in S$$

5. 
$$\xrightarrow{1,4,(2)} a + a \times (a+a) \in S$$

6. 
$$\xrightarrow{5,(4)} (a + a \times (a + a)) \in S$$

(Numbers in parenthesis refer to rules defined above).

### 2.2.2

We name the mentioned set S and define S recursively:

- (1)  $\epsilon \in S$
- $(2) \ \forall x \in S: \ (x) \in S$
- (3)  $\forall x, y \in S : xy \in S$

Now we prove  $()(()) \in S$  by constructing it step by step:

1. 
$$\xrightarrow{(1)} \epsilon \in S$$

$$2. \xrightarrow{1,(2)} () \in S$$

$$3. \xrightarrow{2,(2)} (()) \in S$$

4. 
$$\xrightarrow{3,2,(3)}$$
 (())()  $\in S$ 

### 2.3

We assume disks in A do not have any overlap with each other.

You can find a point (x, y) at every disk such that  $x, y \in \mathbb{Q}$  (because every disk covers a range of real number in both axes, and you can find a rational number in every real range). We map every disk to one of its points with rational coordinates and since disks are disjoint, this mapping would be a one-to-one function. Thus we have mapped the set A to a subset of  $\mathbb{Q}^2$  and as we know,  $\mathbb{Q}$  and  $\mathbb{Q}^2$  are both countable sets. Thus  $|A| \leq |\mathbb{Q}^2|$  and A is countable.

# 2.4

In this case, A can be uncountable. Consider only set of circles with their center at origin and a radius of  $r \in (0,1]$ . There can be as many (non-intersecting) circles in this set as cardinality of (0,1] which we know is higher than |J| hence it is not countable.

# 3 Basics of Automata

## 3.1

We add a new state to the automata (s) and want to determine new transition function's output for state q and letter t (i.e.,  $\delta'(q,t)$ ). There is three cases:

- 1. If q is an accepting state in A and there is some state which goes to q with t, then in the new automata,  $\delta'(q,t) = s$ . For example, state 4 is an accepting state and state 2 goes to 4 by receiving letter b. So in the new automata,  $\delta'(4,b) = s$ .
- 2. If q is not in accepting states and if all states that have an edge to q, go to q with all receiving letters (except t), then  $\delta'(q,t)=s$ . For example,  $\delta'(2,a)=s$ . Because all of in-neighbors (neighbors with an incoming edge) of 2 (only 1) are connected to 2 with  $\Sigma \{a\} = b$ .
- 3. If it's non of above rules applied,  $\delta'$  acts similar to  $\delta$ .

By applying these rules, the new state machine would be as follow:

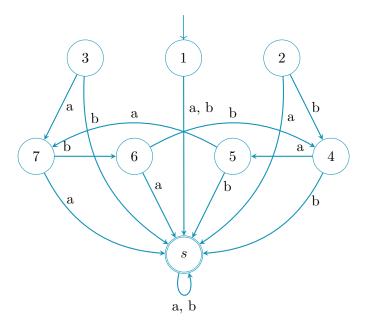


Figure 1: New automata (A')

Note that rule number 2 is vacuously true for states 1 and s; because they have no inneighbors in transition function  $\delta$ . So resulting state machine would be the same as a state machine with only states 1 and s with  $\delta'(1,a) = s$ ,  $\delta'(1,b) = s$ ,  $\delta'(s,a) = s$ ,  $\delta'(s,b) = s$  as its only edges.