

## A APPENDIX

In this section, we show some details which are not provided in the submission due to space limitation, including detailed derivation proofs and reasons of some claims.

### A.1 Analysis of the vote replacement

We analyze that the vote replacement [39], which is used in our algorithm framework in the experiment, has a high probability of capturing hot items to show that it is a good eviction algorithm.

Let the events  $R_i$ ,  $S_i$ , and  $C_i$  denote that the bucket records the item  $e_i$ , the item  $e_i$  stays in the bucket, and the bucket captures the item  $e_i$ , respectively.

**THEOREM 4.** *For any eviction strategy, the probability of  $m$  buckets recording the hot item  $e_i$  is*

$$Pr(R_i) = 1 - [1 - Pr(C_i \cdot S_i)]^m \quad (6)$$

**PROOF.** Suppose there is one bucket. When this bucket fails to record item  $e_i$ , we get  $Pr(fail) = 1 - Pr(C_i \cdot S_i)$ . Since each bucket is independent, the probability that all  $m$  buckets fail to get item  $e_i$  is  $Pr(none) = Pr(fail)^m$ . Therefore, we get  $Pr(R_i) = 1 - Pr(none) = 1 - [1 - Pr(C_i \cdot S_i)]^m$   $\square$

To get  $Pr(C_i \cdot S_i)$ , we firstly analyze the probability that a bucket captures the items  $e_i$  and the items  $e_i$  successfully stays in the bucket. Let  $p_{e_i}$  denote the probability of item  $e_i$  appearing.

**THEOREM 5.** *Assume that there are  $t$  items to be processed,  $f_{e_i}$  is the frequency of item  $e_i$ , and  $\lambda$  is a parameter of the vote replacement. The probability that item  $e_i$  stays in the bucket after being captured by the bucket:*

$$Pr(S_i|C_i) = \sum_{k=1}^q \sum_{l=0}^{t-k} \binom{q}{k} p_{e_i}^k (1 - p_{e_i})^{q-k} * \binom{t-k}{l} (1 - p_{e_i})^l p_{e_i}^{t-k-l} * I(\lambda k > l) \quad (7)$$

where  $q = \min(t, f_{e_i})$ , and  $I(\lambda k > l)$  is an indicator function that is 1 when  $\lambda k > l$  and 0 otherwise.

**PROOF.** For the vote replacement strategy, after the bucket captures the item  $e_i$ ,  $V_t$  records the frequency of the item  $e_i$ ,  $V_s$  records the frequency of other items except for item  $e_i$ . The item  $e_i$  stays in the bucket until  $\lambda V_t \leq V_s$ . Thus,  $Pr(S_i|C_i) = Pr(\lambda V_t > V_s) = \sum_{k=1}^q \sum_{l=0}^{t-k} Pr(V_t = k) * Pr(V_s = l) * I(\lambda k > l)$ , where  $q = \min(t, f_{e_i})$ ,  $I(\lambda k > l)$  is an indicator function that is 1 when  $\lambda k > l$  and 0 otherwise. Since the number of times the item  $e_i$  appears follows a binomial distribution  $B(t, p_{e_i})$ , we get the equation 7.  $\square$

Next, we analyze the probability that a bucket captures item  $e_i$ .

**THEOREM 6.** *Assume that there are  $t$  items to be processed, and  $N$  is the number of distinct items. The probability of the bucket capturing item  $e_i$ :*

$$Pr(C_i) = p_{e_i} + (1 - p_{e_i}) \left( \sum_{j=0, j \neq i}^N p_j Pr(e_i \text{ evicts } e_j) \right) \quad (8)$$

If the item  $e_j$  is in the bucket, after processing  $t$  items,

$$Pr(e_i \text{ evicts } e_j) = p_{e_i} \sum_{k=1}^q \sum_{l=0}^{t-k} \binom{q}{k} p_{e_j}^k (1 - p_{e_j})^{q-k} * \binom{t-1-k}{l} (1 - p_{e_j})^l p_{e_j}^{t-1-k-l} * I(\lambda k \leq l + 1) \quad (9)$$

where  $q = \min(t - 1, f_{e_j})$ , and  $I(\lambda k \leq l + 1)$  is an indicator function that is 1 when  $\lambda k \leq l + 1$  and 0 otherwise.

**PROOF.** There are two situations for capturing item  $e_i$ .

(1) The empty bucket captures the item  $e_i$ . Since the probability of an item being stored in an empty bucket is independent and affected by its occurrence probability,  $Pr(\text{empty bucket captures } e_i) = p_{e_i}$ .

(2) Another item occupies the bucket and item  $e_i$  evicts that item. If there is the item  $e_j$  in the bucket, based on the vote replacement, we get  $Pr(\text{item } e_i \text{ evicts item } e_j) = Pr(\lambda V_t \leq V_s | \text{the last one is } e_i) * Pr(\text{the last one is } e_i)$ . Based on Theorem 5, we can infer and get the equation 9.

Thus, we get  $Pr(C_i) = Pr(\text{empty bucket captures } e_i) + Pr(\text{empty bucket fails to capture } e_i) * \sum_{j=0, j \neq i}^N Pr(e_j \text{ occupies the bucket}) * Pr(e_i \text{ evicts } e_j) = p_{e_i} + (1 - p_{e_i}) \sum_{j=0, j \neq i}^N p_{e_j} Pr(e_i \text{ evicts } e_j)$ .  $\square$

Based on Theorem 5 and Theorem 6, we can get  $Pr(C_i \cdot S_i) = Pr(S_i|C_i) * Pr(C_i)$ . Then, according to Theorem 4, we get the probability of capturing hot items using the vote replacement. Since  $Pr(R_i)$  increases monotonically as  $Pr(C_i \cdot S_i)$  increases, and the higher  $p_{e_i}$  is, the higher  $Pr(C_i \cdot S_i)$  is, the vote replacement has a high probability of capturing hot items.

### A.2 Setting of $T_x$

The setting of  $T_x$  can be determined theoretically. In the section 5, we have done the experiment on the impact of  $T_x$  on accuracy and the results show that setting  $T_x$  to a value within the range of 0.5 to 0.7 of  $w_1$  can achieve high accuracy. In other comparative experiments, we set  $T_x$  to  $0.6321w_1$ , which can be obtained theoretically. In this section, we introduce the theory for determining the setting of  $T_x$ .

Let event  $A_i$  and  $U$  denotes the value of the  $i$ -th counter in layer  $L_1$  of cold part is 0, and the number of counters whose value is 0.

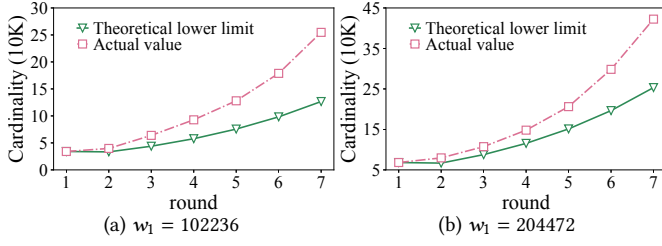
**THEOREM 7.** *Suppose  $p$  is the current sampling rate, and  $n$  denotes the number of items to be processed. The expected threshold  $T_x$  is*

$$E(T_x) = w_1 (1 - e^{-\frac{k_1 p n}{w_1}}) \quad (10)$$

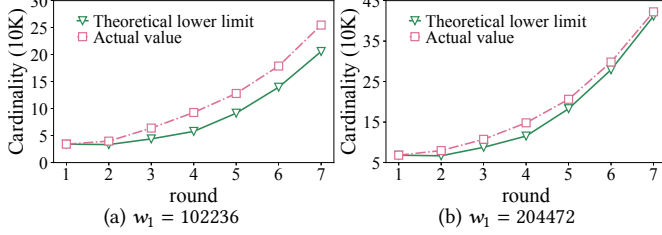
where there are  $k_1$  hash functions and  $w_1$  counters in layer  $L_1$ .

**PROOF.** After  $n$  items are processed,  $Pr(A_i) = (1 - \frac{1}{w_1})^{k_1 p n}$ . Since each counter is independent,  $E(U) = \sum_{j=1}^{w_1} Pr(A_j) = w_1 (1 - \frac{1}{w_1})^{k_1 p n} = w_1 (1 - \frac{1}{w_1})^{w_1 \frac{k_1 p n}{w_1}}$ . When both  $w_1$  and  $n$  go to infinity, we get  $E(U) \approx w_1 e^{-\frac{k_1 p n}{w_1}}$ . Thus,  $E(T_x) = w_1 - E(U) = w_1 (1 - e^{-\frac{k_1 p n}{w_1}})$ .  $\square$

Since  $n \gg w_1$  and  $k_1 p n$  is the largest when  $p = 1$ , based on Theorem 7, we can get  $E(T_x) \leq w_1 (1 - e^{-1}) \leq 0.6321w_1$ . Therefore, we set  $T_x$  to  $0.6321w_1$  in our experiments.



**Figure 18: Theoretical value of Theorem 1 vs. its real value in practices.**



**Figure 19: Theoretical value of Theorem 1 vs. its real value in practices, after bridging the gap.**

### A.3 Experiments of Theorem 1

We have proven the minimum number of distinct items (cardinality) needed in each round in Theorem 1, and We run experiments on

Theorem 1 to show its correctness. Figure 18 shows the theoretical lower limit and the actual number of distinct items recorded in each round. The actual value is close to the theoretical value before the 4-th round, but the gap between them increases after that round. This gap is caused by  $Pr(A_{i-1}^{=2})$  and  $Pr(A_{i-1}^{=3})$  which are ignored when we calculate  $Pr(A_i^{=1})$  in Theorem 1. Therefore, we give a complementary equation 11 to make up for the gap, addressing the issue caused by the ignored probabilities.

Let  $\Gamma(j, n_i, p_i) = \binom{kn_i p_i}{j} \frac{1}{w_1^j} (1 - \frac{1}{w_1})^{kn_i p_i - j}$ , we can get  $Pr(A_i^{=2,3}) = Pr(A_{i-1}^{\leq 1}) \sum_{j=2}^3 \Gamma(j, n_i, p_i) + Pr(A_{i-1}^{=2,3}) \sum_{j=1}^2 \Gamma(j, n_i, p_i) + Pr(A_{i-1}^{=4,5}) \sum_{j=0}^1 \Gamma(j, n_i, p_i) + Pr(A_{i-1}^{=6,7}) \Gamma(0, n_i, p_i)$ . When  $n_i$  and  $p_i$  are fixed,  $\Gamma(j, n_i, p_i)$  monotonically increases on the interval  $j \in [0, \frac{n_i}{2}]$  and monotonically decreases on the interval  $j \in [\frac{n_i}{2}, n_i]$ . When both  $w_1$  and  $n_i$  go to infinity, we get

$$Pr(A_i^{=2,3}) \geq Pr(A_{i-1}^{\leq 1}) \sum_{j=2}^3 \Gamma(j, n_i, p_i) + Pr(A_{i-1}^{=2,3}) \sum_{j=1}^2 \Gamma(j, n_i, p_i) \quad (11)$$

Based on equation 11, we re-run the experiments and figure 19 shows the theoretical number of distinct items recorded in each round, as well as the actual number. After adding the  $Pr(A_{i-1}^{=2,3})$ , the theoretical value is close to the actual value per round.