#### Final Year Project – Batch 1

# Thresholding Binarized Neural Network to Improve Accuracy in LLM training

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**Project Guide** 

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#### Introduction

Large Language Models are powerful models that are trained on large amount of data to perform human level task.

Ex: ChatGPT, Gemini Al

Transformers work as their backbone architecture. Transformers have become SOTA.

However their large model size and even larger runtime usages causes trouble in training and inference, which is why optimization is important.

Different techniques are used like mixed precision training, knowledge distillation, pruning quantization during training to reduce memory requirements, model size and increase speed on hardware for computations.

# Literature Survey

Paper Title	Methodology	Drawbacks
Train Large, Then Compress: Rethinking Model Size for Efficient Training and Inference of Transformers, [3]	Studies the impact of model size reduction.  Explains why faster convergence during training is helpful.  Explains the proper setting for epochs.	Only explains it through pruning and quantization.  Observation to different compression methods or model architectures needs further investigation.
Efficient Transformer Knowledge Distillation: A Performance Review [4]	Provides a detailed process of knowledge distillation on transformers. Proposes a new dataset explaining different performances and tradeoffs.	Performance degradation is noticed in one of the methods.  No explanation is provided, which requires further investigation.
Understanding INT4 Quantization for Transformer Models: Latency Speedup, Composability, and Failure Cases [5]	Explores the feasibility of INT4 quantization on weight and activations	Optimization method may not be suitable for all transformer models like decoder only, due to accuracy degradation.
Binarized Neural Networks: Training Deep Neural Networks with Weights and Activations Constrained to +1 or -1 [6]	Explores Binarization on neural network models,	Although it provides a better reduction on model size but we can notice huge accuracy degradation.
Understanding the difficulty of training deep feedforward neural networks. [7]	Proposes a new initialization technique "Xavier Initialization" for neural network training which keeps the variance of the outputs from each layer roughly equal.	Although it provides better results then other methods, it is not good for ReLU because it does not account for the non-zero mean output and sparsity of ReLU activations.

### Research Gaps

As we explore different optimization techniques,

We find that Quantization is quite effective and sometimes matches the performance of the baseline model.

Moving on to Binarization takes us a step further in optimization. Binarization offers even better compression than quantization, but it comes with a drawback of accuracy loss.

Our goal is to address this challenge and finds ways to maintain accuracy while benefiting from the compression advantages of Binarization.

### Problem Statement and Objectives

As we mentioned the gap and disadvantages in previous pages,

Therefore it is important to compress the model to lower it's hardware cost and accelerate the model training for faster learning.

We aim to solve the issue by compacting the data to train and infer on transformer using techniques like quantization and binarization.

First we explore different quantization techniques then we apply them on transformers to check sensitivity of different parts of transformer.

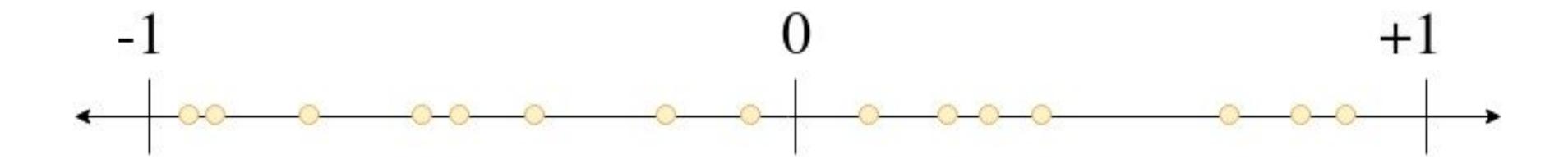
Finally we present a novel technique that is a compromise between quantization and binarization, achieving the best of both.

We are trying to achieve a trade off between quantization which compresses data to some extent, and binarization that compress data to extreme.

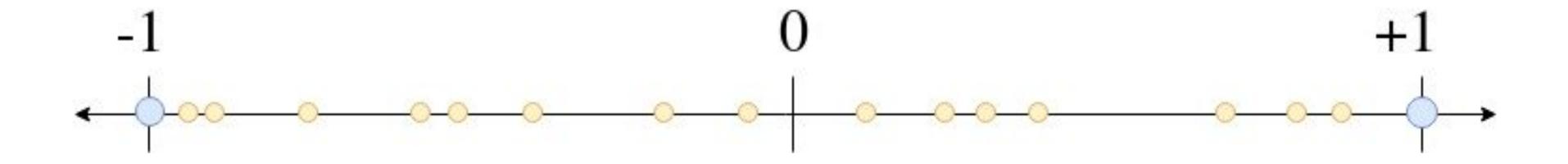
We propose a method that is more compressed than quantization and more accurate than binarized method.

"Instead of mapping weights to -1 and 1 like we do in binarized neural network, we use mean of weights from xavier initialisation to get more accurate representation of weights without losing much information."

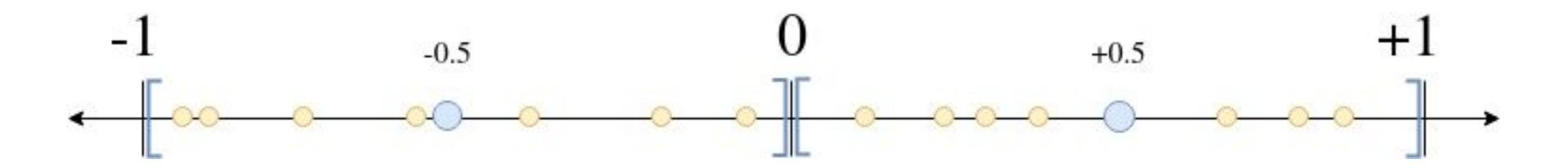
#### Normalized Data



#### Binarized Neural Network

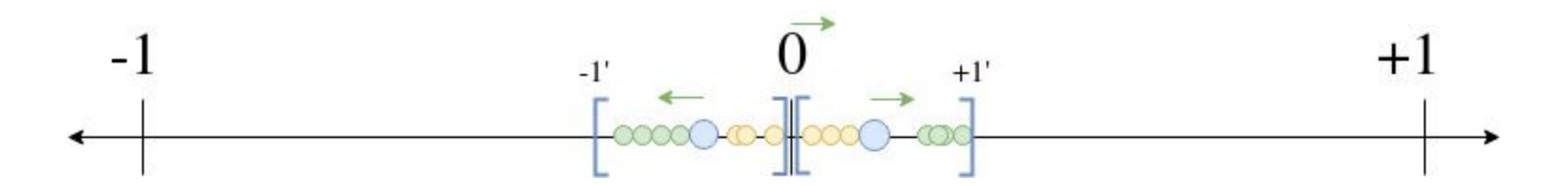


Minimizing Variance

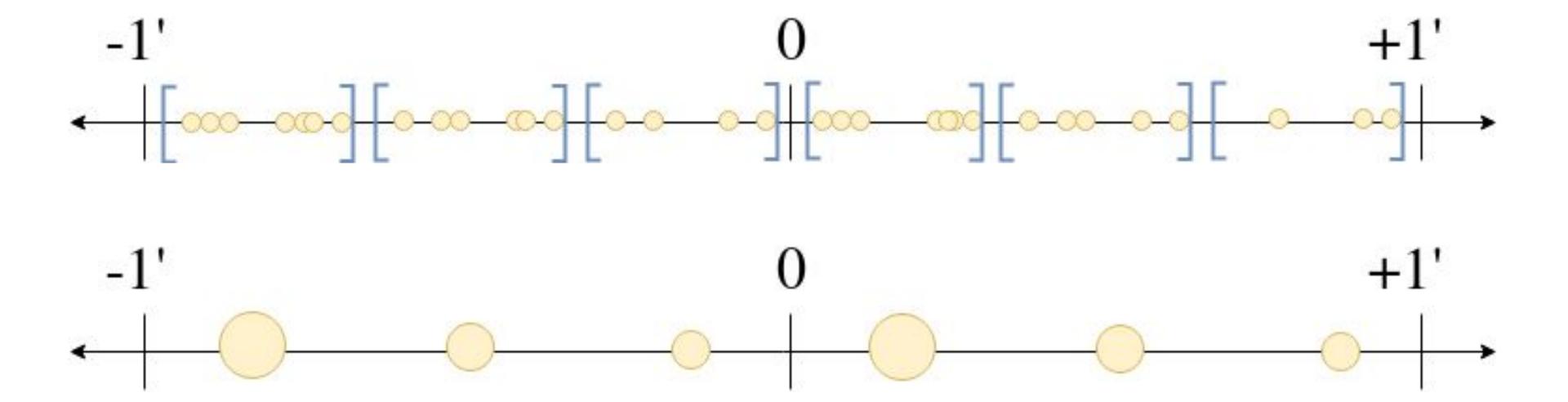


**Xavier Initialization** 

Thresholding



#### Bucketing



### Implementation Details

- ☐ Dataset: AG\_NEWS [8]
- ☐ Programming Language: Python
- ☐ Framework: PyTorch
- Library: Hugging face BERT Tokenizer [9]
- ☐ GPU Language: Cuda
- ☐ GPU: RTX 3060
- ☐ RAM: 16G, VRAM: 6G

We constructed a transformer model with two encoder layers for text classification task as our baseline.

For all models on all experiments, we maintained the same training setting and trained for 10 epochs for comparability.

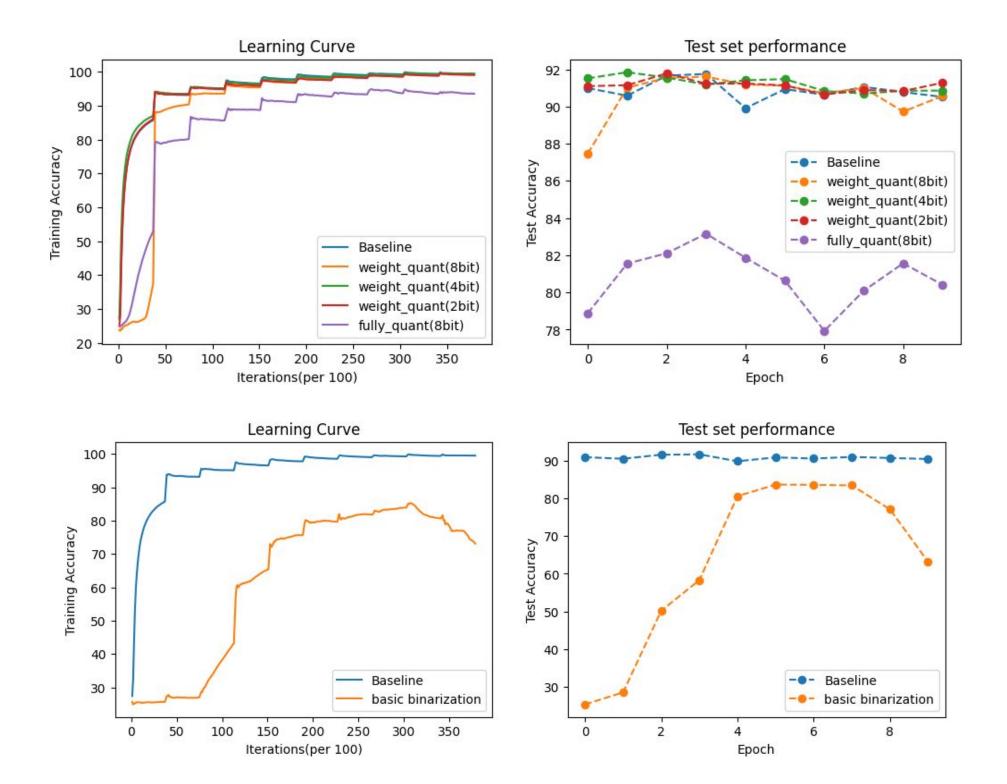
#### **Evaluation Metrics**

- 1. **Accuracy:** Measures how often a model predicts a correct outcome. Higher accuracy indicates to better performance.
- 2. **Model Size:** Storage which we get by multiplying the number of parameters with the precision bytes. Lower model size means reduced memory footprint and potentially faster inference times.
- **3. Reduction Ratio:** The base model size divided by the compressed model size. The higher the ratio, the better the compression.

$$Reduction \ Ratio = \frac{Original \ size - Compressed \ size}{Original \ size} \times 100\%$$

#### Performance under several cases

#### **Experiment 1:** Effectiveness of different quantization methods



Model	Accuracy	Model Size	Reduction Ratio
baseline	91.8	78.7M	1 E
8-bit quant	91.6	38.6M	50.95 %
4-bit quant	91.8	19.7M	74.96 %
2-bit quant	91.8	10.2M	87.03 %
fully quant	83.2	38.6M	50.95 %

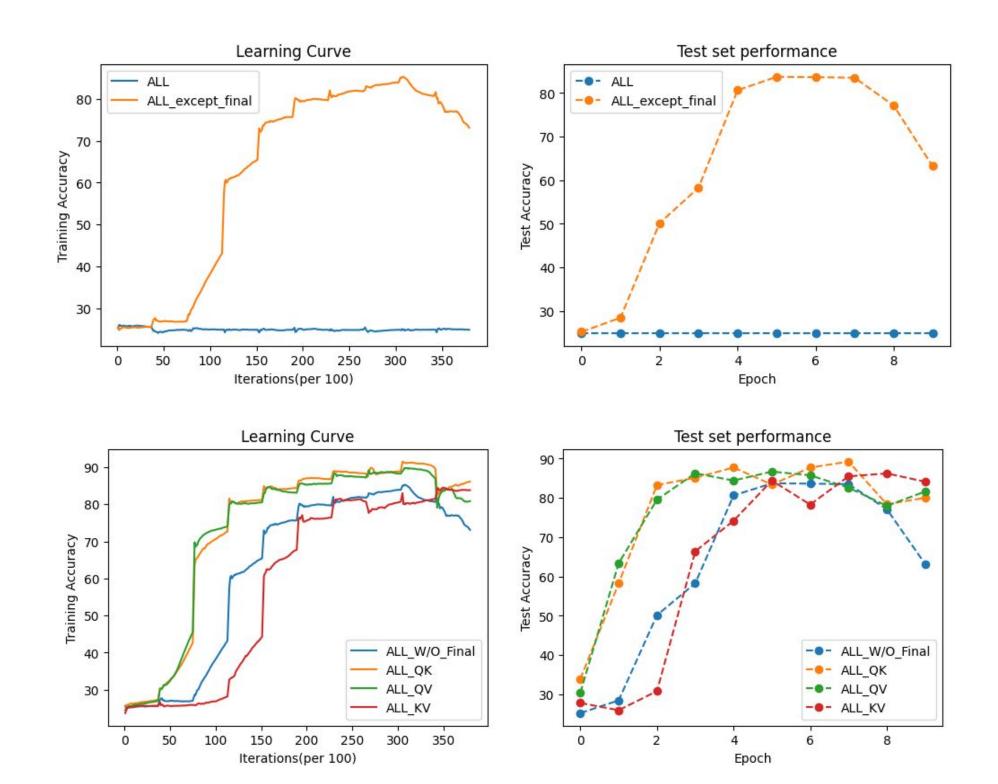
Table 1: Quantization Method

Model	Accuracy	Model Size	Reduction Ratio
baseline	91.8	78.7M	-
basic binarization	83.7	4.7M	94.03~%

Table 2: Binarization Method

#### Performance under several cases

#### **Experiment 2:** Sensitivity of different parts of transformer



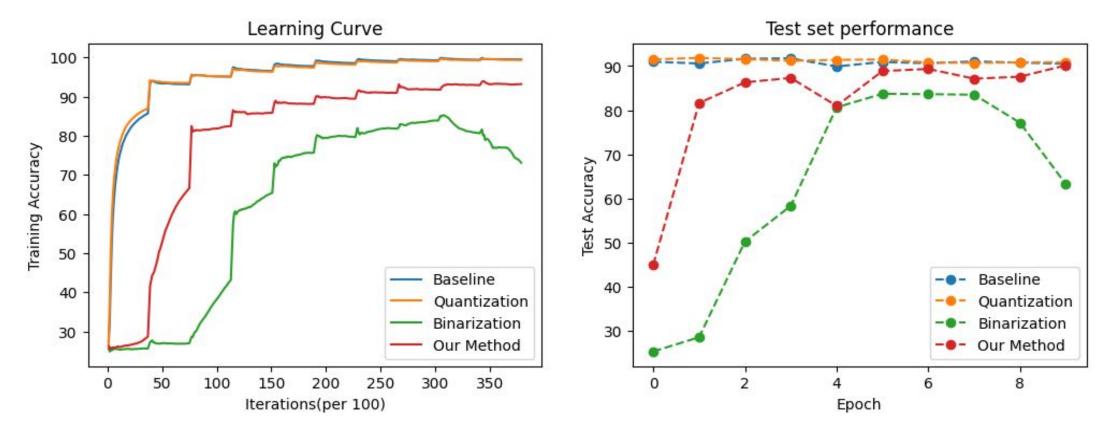
Model	Accuracy	Model Size	Reduction Ratio
all	25.0	4.7M	94.03~%
all except final	83.7	4.7M	94.03~%

Table 3: Effect of Final Layer

Model	Accuracy	Model Size	Reduction Ratio
all except final	83.7	4.7M	94.03~%
all (QK) except final	89.3	22.8M	71.03~%
all (QV) except final	86.7	22.8M	71.03~%
all (KV) except final	86.2	22.8M	71.03~%

Table 4: Effect of Binarized QK vs QKV

# Comparison with the existing solutions



We can see that our method -

- > Having an accuracy of 90.1 which is close to baseline.
- Having a lower model size than baseline.
- Having a higher reduction ratio.

Model	Accuracy	Model Size	Reduction Ratio
baseline	91.8	78.7M	
4 bit quant	91.8	19.7M	74.96 %
binarization	83.7	4.7M	94.03 %
Our method	90.1	4.7M	94.03 %

Table 5: Our Method vs Existing Optimization Methods

> Having better compression than Quantization and better accuracy than Binarization

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