

# Chapter 22: Elementary Graph Algorithms II

# About this lecture

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- Depth First Search
  - DFS Tree and DFS Forest
- Properties of DFS
  - Parenthesis theorem (very important)
  - White-path theorem (very useful)

# Depth First Search (DFS)


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- An alternative algorithm to find all vertices reachable from a particular **source vertex  $s$**
- Idea:
  - Explore a branch as far as possible before exploring another branch
- Easily done by recursion or stack

# The DFS Algorithm

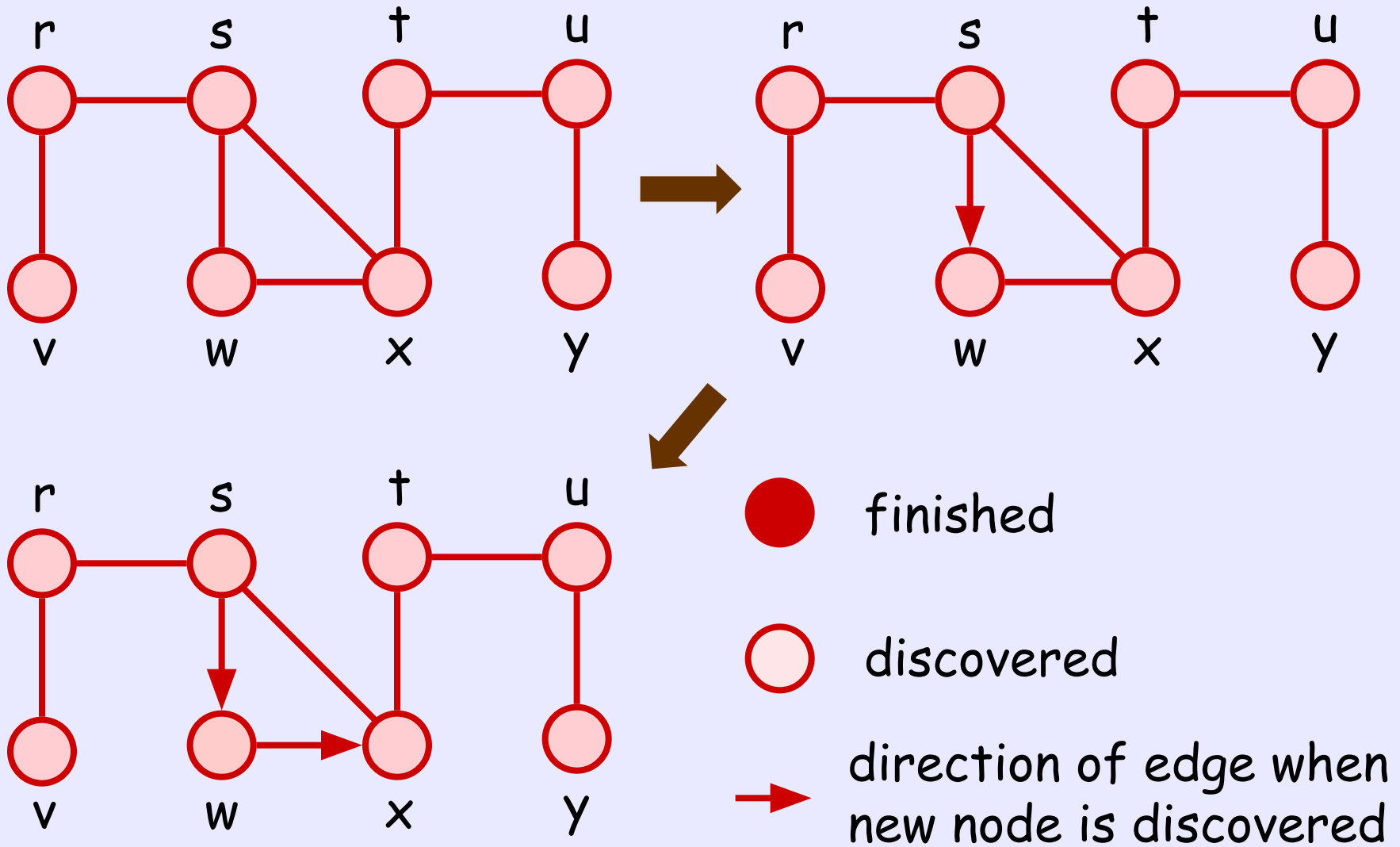
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```
DFS(u)
{  Mark u as discovered ;
   while (u has unvisited neighbor v)
       DFS(v);
   Mark u as finished /*visited*/;
}
```

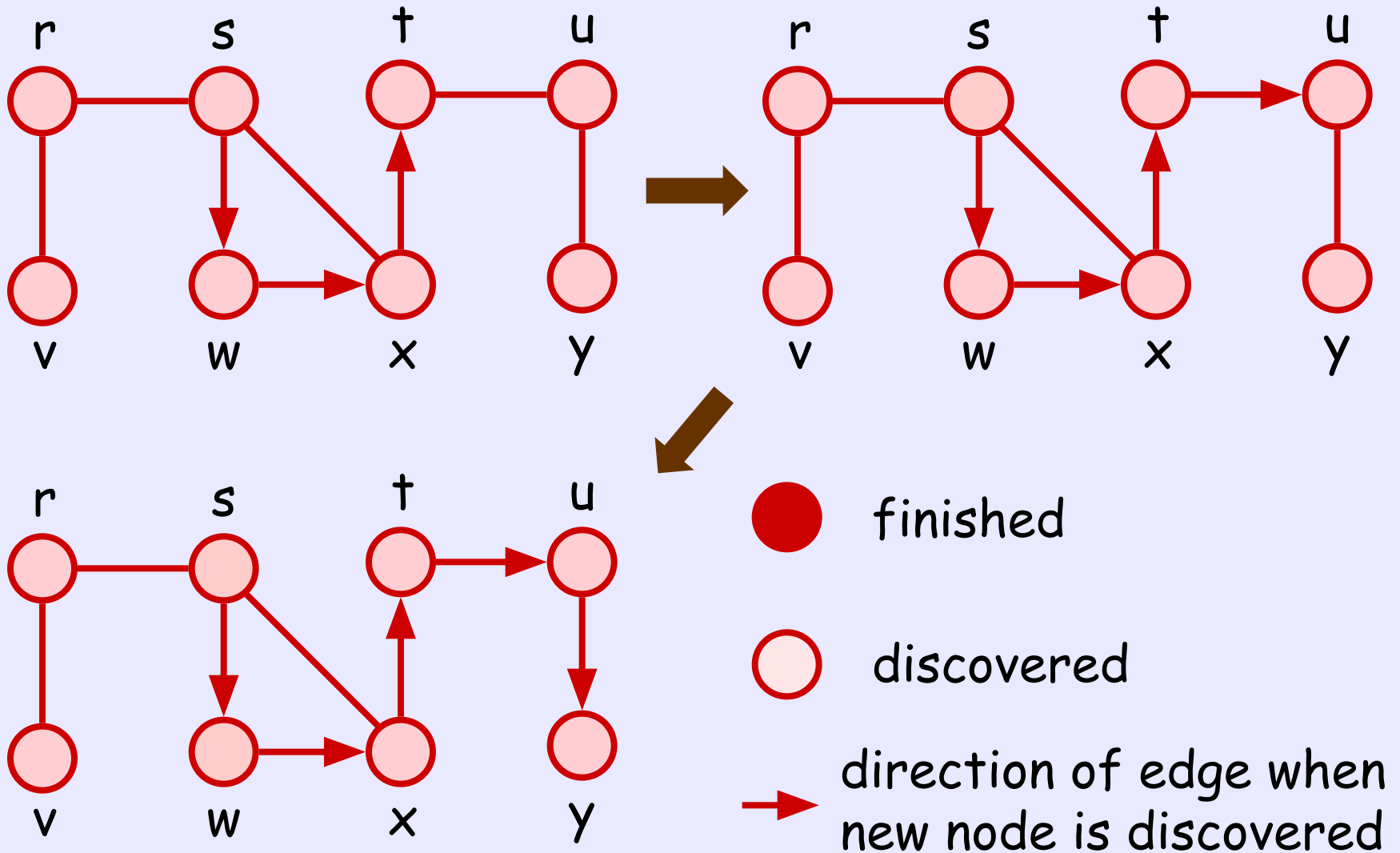


The while-loop explores a branch as far as possible before the next branch

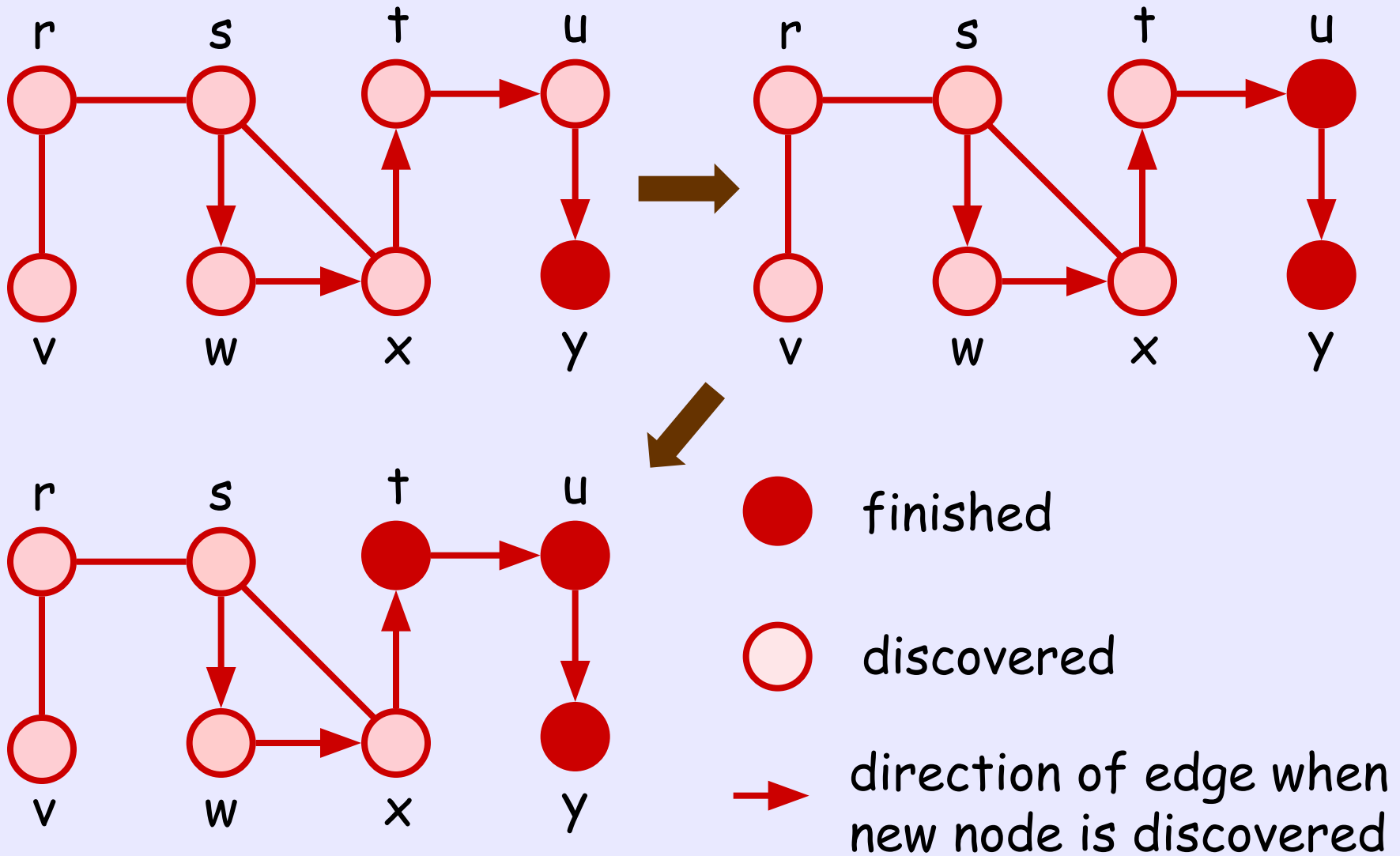
# Example ( $s$ = source)



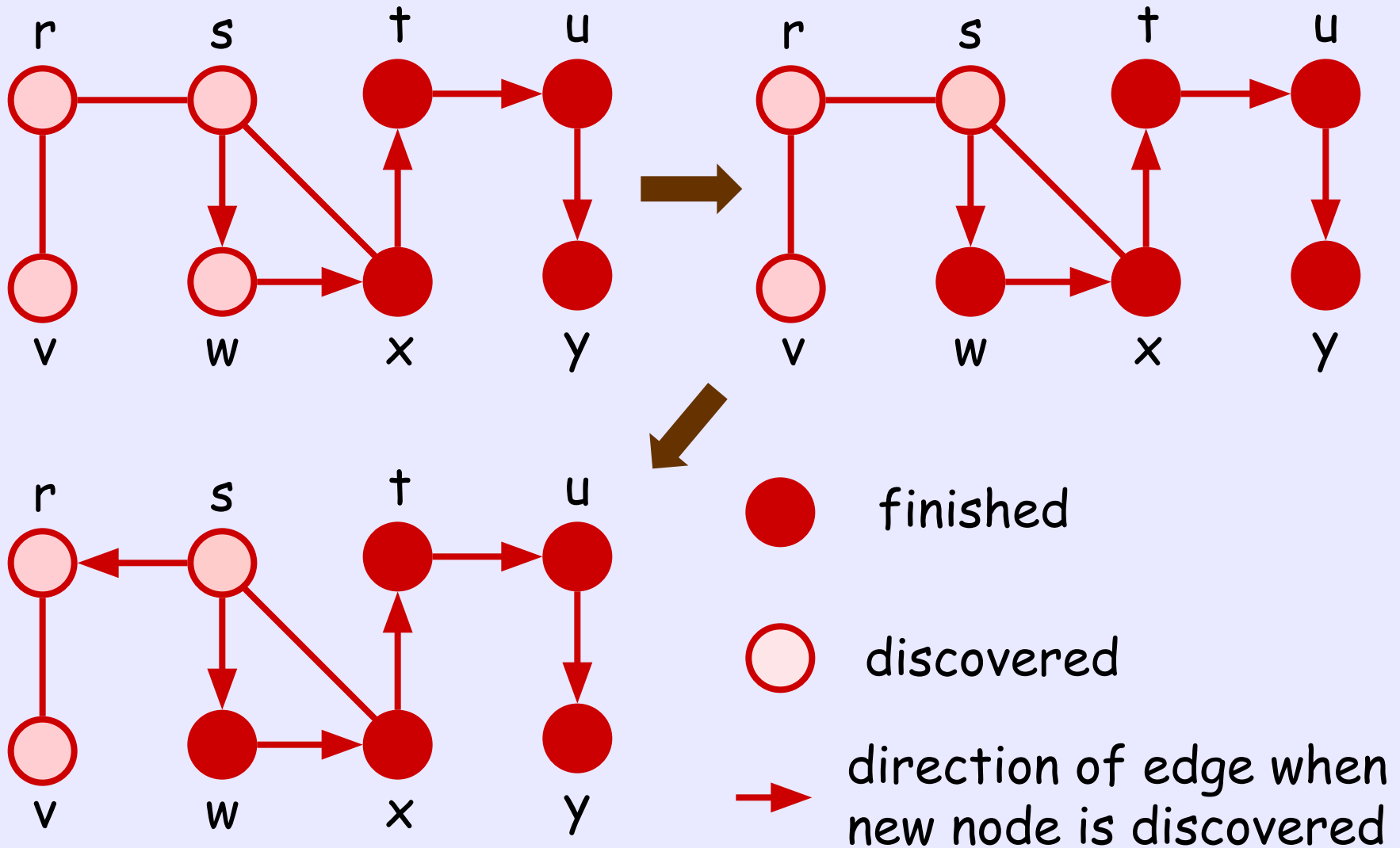
# Example ( $s$ = source)



# Example ( $s$ = source)

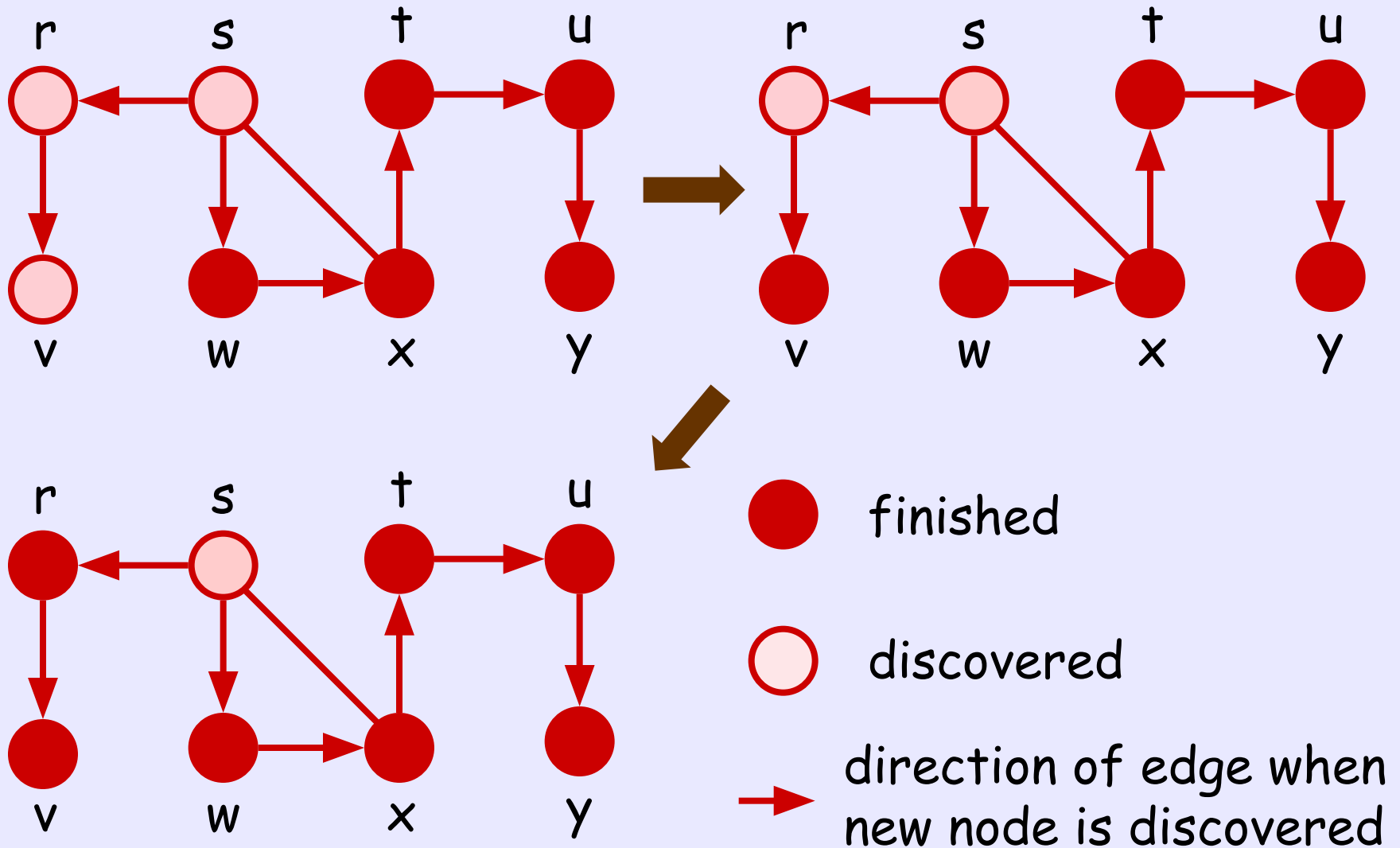


# Example ( $s$ = source)

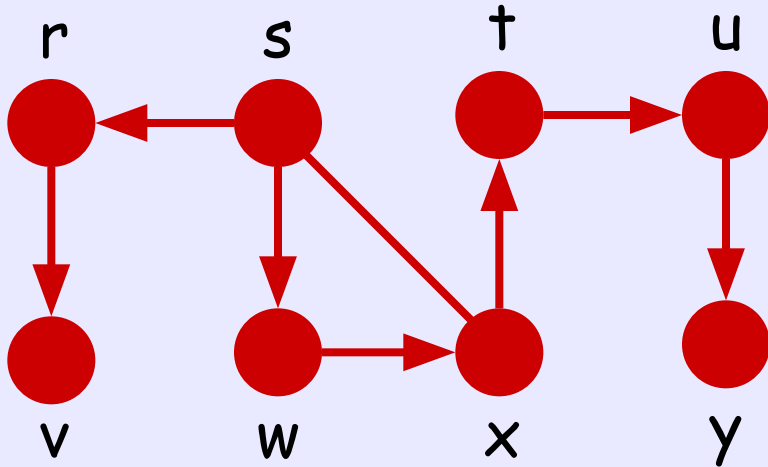




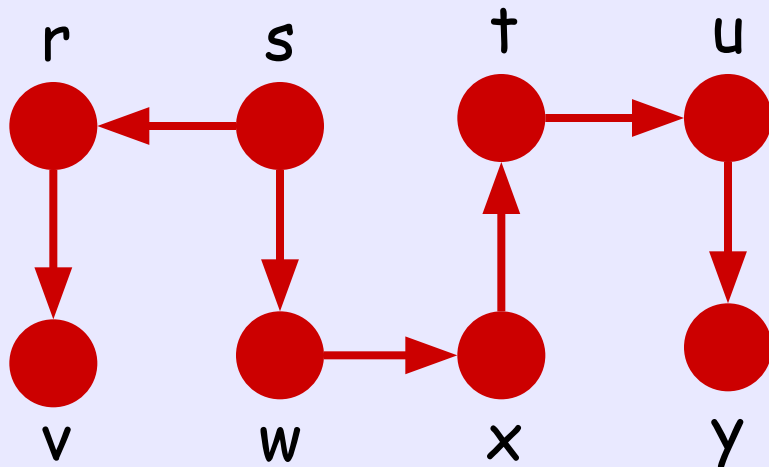
# Example ( $s$ = source)



# Example ( $s$ = source)



Done when  $s$  is finished



The directed edges form a tree that contains all nodes **reachable** from  $s$

Called **DFS tree** of  $s$

# Generalization

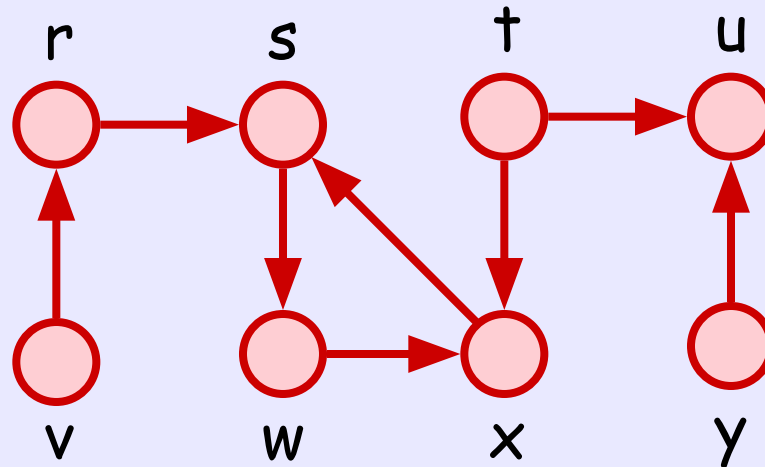
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- Just like BFS, DFS may not visit all the vertices of the input graph  $G$ , because :
  - $G$  may be disconnected
  - $G$  may be directed, and there is no directed path from  $s$  to some vertex
- In most application of DFS (as a subroutine) , once DFS tree of  $s$  is obtained, we will continue to apply DFS algorithm on any unvisited vertices ...

# Generalization (Example)

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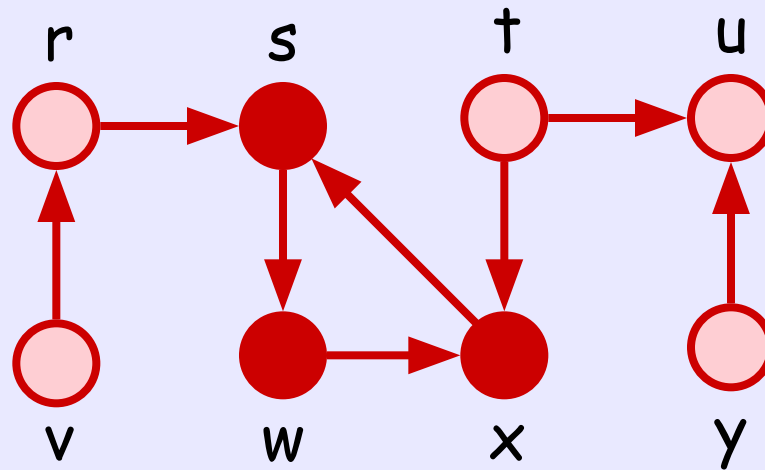
Suppose the input graph is directed



# Generalization (Example)

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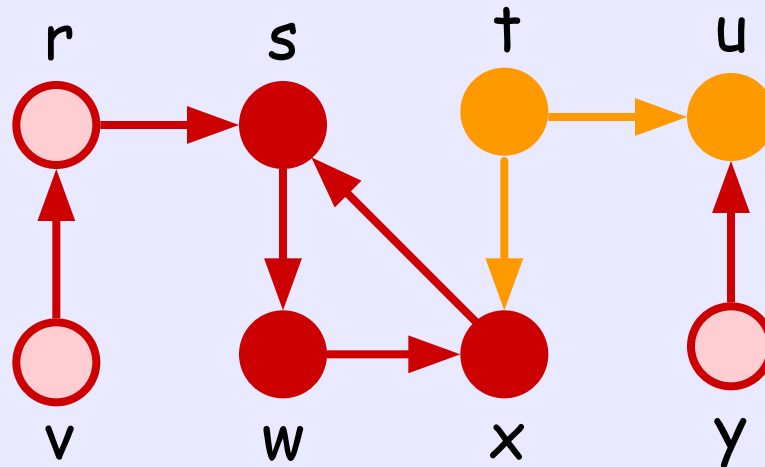
1. After applying DFS on  $s$



# Generalization (Example)

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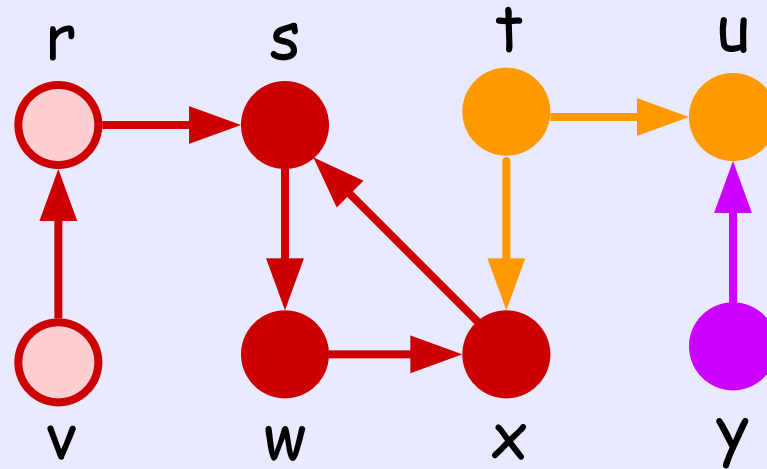
2. Then, after applying DFS on  $t$



# Generalization (Example)

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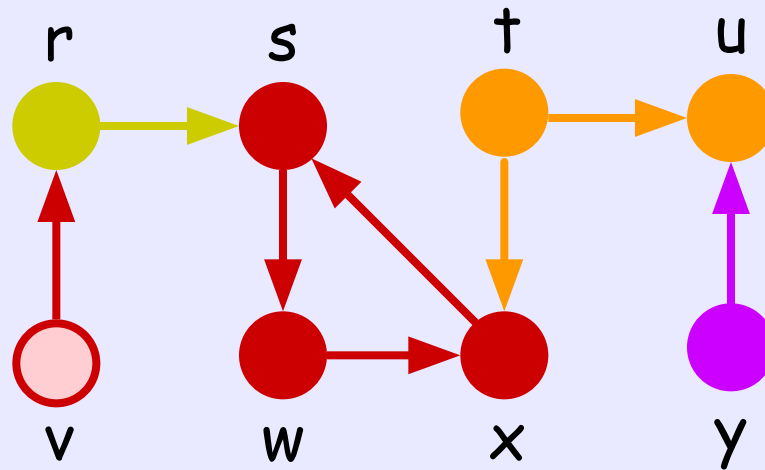
3. Then, after applying DFS on **y**



# Generalization (Example)

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4. Then, after applying DFS on **r**

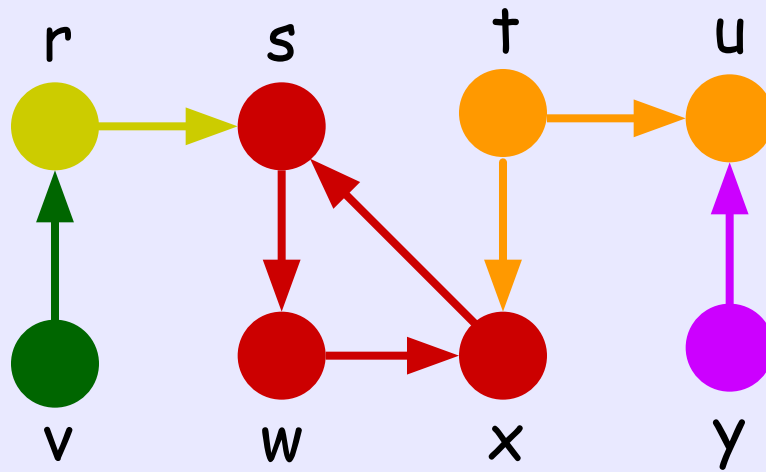




# Generalization (Example)

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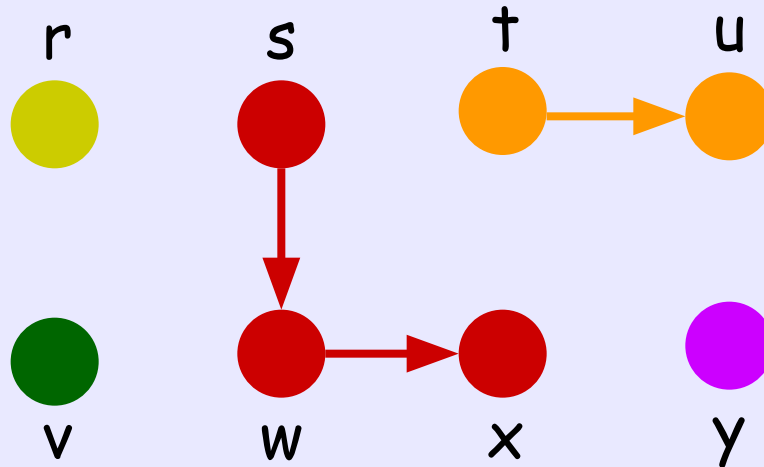
5. Then, after applying DFS on  $v$



# Generalization (Example)

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Result : a collection of rooted trees  
called **DFS forest**



# Performance

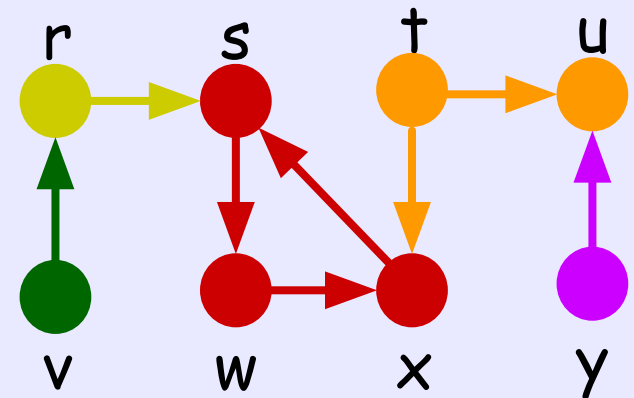
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- Since no vertex is discovered twice, and each edge is visited at most twice (why?)  
□ Total time:  $O(|V|+|E|)$
- As mentioned, apart from recursion, we can also perform DFS using a LIFO stack (Do you know how?)

# Who will be in the same tree ?

- Because we can only explore branches in an unvisited node
  - $\text{DFS}(u)$  may not contain all nodes reachable by  $u$  in its  $\text{DFS}$  tree

E.g, in the previous run,  
 $v$  can reach  $r, s, w, x$   
but  $v$ 's tree does not contain any of them



Can we determine who will be in the same tree ?

# Who will be in the same tree ?

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- Yes, we will soon show that by **white-path theorem**, we can determine who will be in the same tree as **v** at the time when **DFS** is performed on **v**
- Before that, we will define the **discovery time** and **finishing time** for each node, and show interesting properties of them

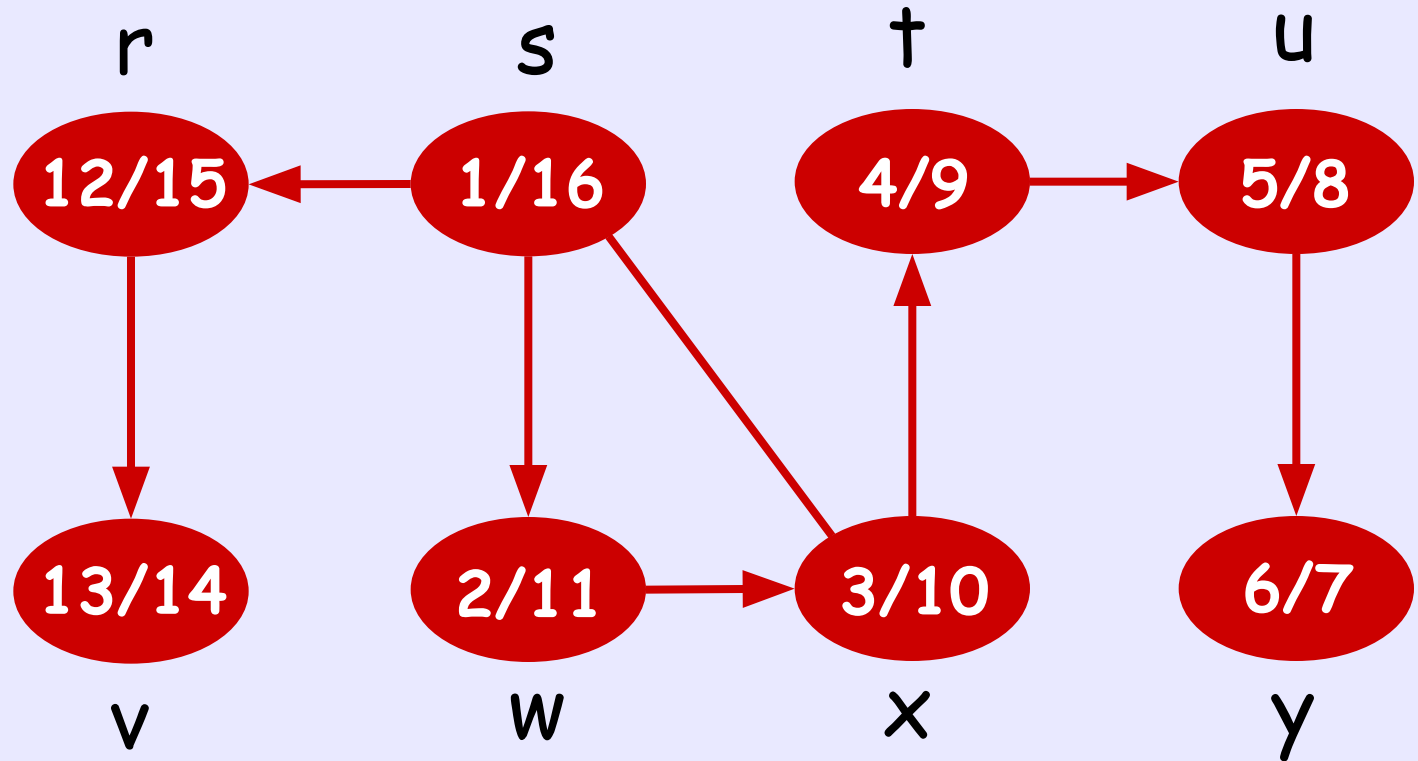
# Discovery and Finishing Times

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- When the DFS algorithm is run, let us consider a **global time** such that the time increases one unit :
  - when a node is **discovered**, or
  - when a node is **finished**  
(i.e., finished exploring all unvisited neighbors)
- Each node **u** records :  
 $d(u)$  = the time when **u** is **discovered**, and  
 $f(u)$  = the time when **u** is **finished**

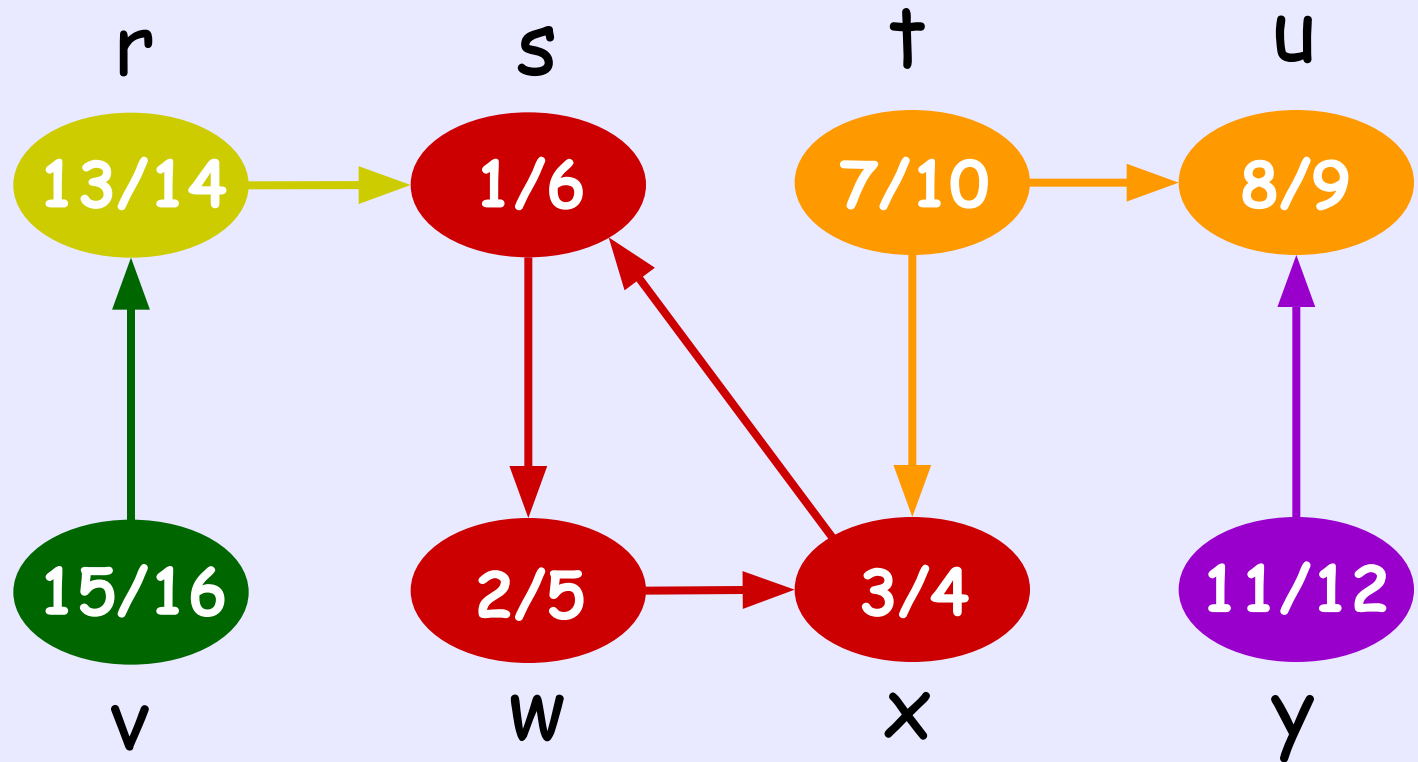
# Discovery and Finishing Times

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In our first example  
(undirected graph)

# Discovery and Finishing Times



In our second example  
(directed graph)



# Nice Properties

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- Lemma: For any node  $u$ ,  $d(u) < f(u)$
- Lemma: For nodes  $u$  and  $v$ ,  
 $d(u)$ ,  $d(v)$ ,  $f(u)$ ,  $f(v)$  are all distinct
- Theorem (Parenthesis Theorem):  
Let  $u$  and  $v$  be two nodes with  $d(u) < d(v)$   
Then, either
  1.  $d(u) < d(v) < f(v) < f(u)$  [contain], or
  2.  $d(u) < f(u) < d(v) < f(v)$  [disjoint]

# Proof of Parenthesis Theorem

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- Consider the time when  $v$  is discovered
- Since  $u$  is discovered before  $v$ , there are two cases concerning the status of  $u$  :
  - Case 1: ( $u$  is not finished)  
This implies  $v$  is a descendant of  $u$   
 $\square f(v) < f(u)$  (why?)
  - Case 2: ( $u$  is finished)  
 $\square f(u) < d(v)$

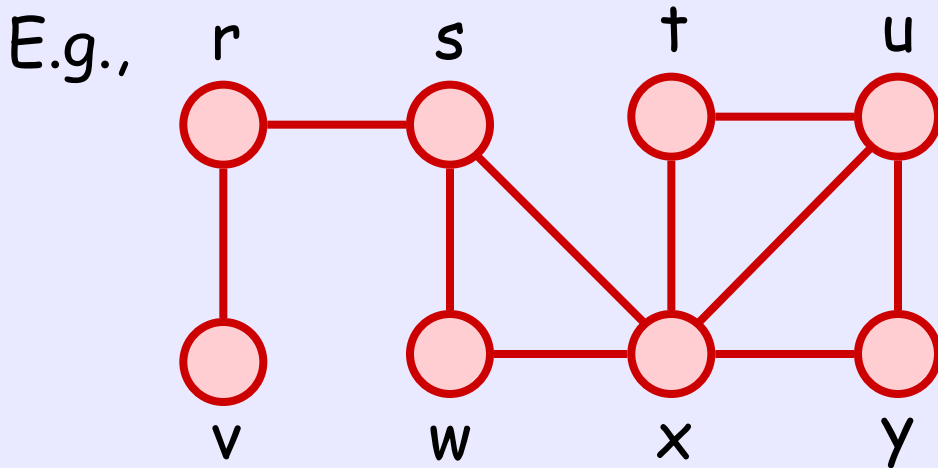
# Corollary

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- Corollary:  
     $v$  is a (proper) descendant of  $u$   
    if and only if  
     $d(u) < d(v) < f(v) < f(u)$
- Proof:  $v$  is a (proper) descendant of  $u$   
     $\Leftrightarrow d(u) < d(v)$  and  $f(v) < f(u)$   
     $\Leftrightarrow d(u) < d(v) < f(v) < f(u)$

# White-Path Theorem

- Theorem: In a **DF** forest of a graph  $G = (V, E)$ , vertex  $v$  is a descendant of vertex  $u$  **if and only if** at the time  $d(u)$  that the search discovers  $u$ , there is a path from  $u$  to  $v$  consisting entirely of white nodes (**unvisited nodes**) only



# Proof (Part 1)

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- $\Rightarrow$  Suppose that  $v$  is a descendant of  $u$   
Let  $P = (u, w_1, w_2, \dots, w_k, v)$  be the directed path from  $u$  to  $v$  in DFS tree of  $u$   
Then, apart from  $u$ , each node on  $P$  must be discovered after  $u$ 
  - They are all unvisited by the time we perform DFS on  $u$
  - Thus, at this time, there exists a path from  $u$  to  $v$  with unvisited nodes only

# Proof (Part 2)

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- So, every descendant of  $u$  is reachable from  $u$  with **unvisited nodes only**
  - To complete the proof, it remains to show the **converse ( $\Leftarrow$ )** :
    - ✓ Any node reachable from  $u$  with **unvisited nodes only** becomes  $u$  's descendant is also true
- (We shall prove this by contradiction)

# Proof (Part 2)

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- Suppose on contrary the converse is false
- Then, there exists some  $v$ , reachable from  $u$  with **unvisited nodes only**, does not become  $u$ 's descendant
  - If more than one choice of  $v$ , let  $v$  be one such vertex closest to  $u$

$$\square \quad d(u) < f(u) < d(v) < f(v) \quad \dots \text{EQ.1}$$

# Proof (Part 2)

- Let  $P = (u, w_1, w_2, \dots, w_k, v)$  be any path from  $u$  to  $v$  using **unvisited nodes only**
- By our choice of  $v$  (closest one), all  $w_1, w_2, \dots, w_k$  become  $u$ 's descendants

- This implies:

$$d(u) \leq d(w_k) < f(w_k) \leq f(u)$$

Handle special case:  
when  $u = w_k$

- Combining with EQ.1, we have

$$d(w_k) < f(w_k) < d(v) < f(v)$$



# Proof (Part 2)

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- However, since there is an edge (no matter undirected or directed) from  $w_k$  to  $v$ , if  $d(w_k) < d(v)$ , then we must have

$$d(v) < f(w_k) \quad \dots \text{(why??)}$$

- Consequently, it contradicts with :

$$d(w_k) < \underline{f(w_k)} < d(v) < f(v)$$

□ Proof completes

# Classification of Tree Edges

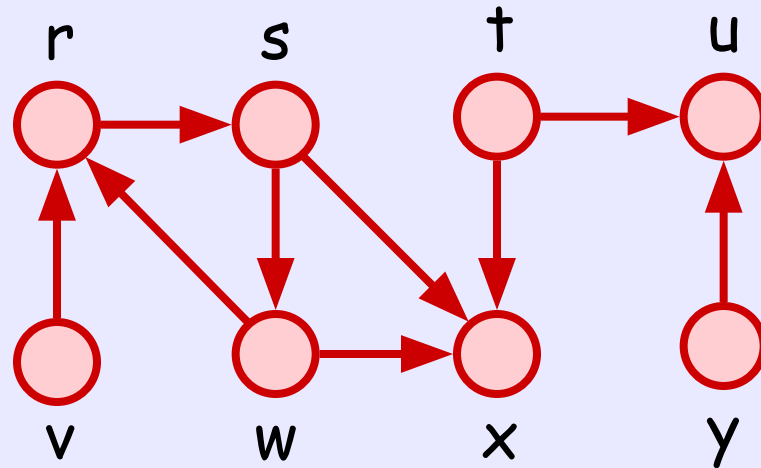
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- After a DFS process, we can classify the edges of a graph into four types :
  1. **Tree** : Edges in the DFS forest
  2. **Back** : From descendant to ancestor when explored (include self loop)
  3. **Forward** : From ancestor to descendant when explored (exclude tree edge)
  4. **Cross** : Others (no ancestor-descendant relation)

# Example

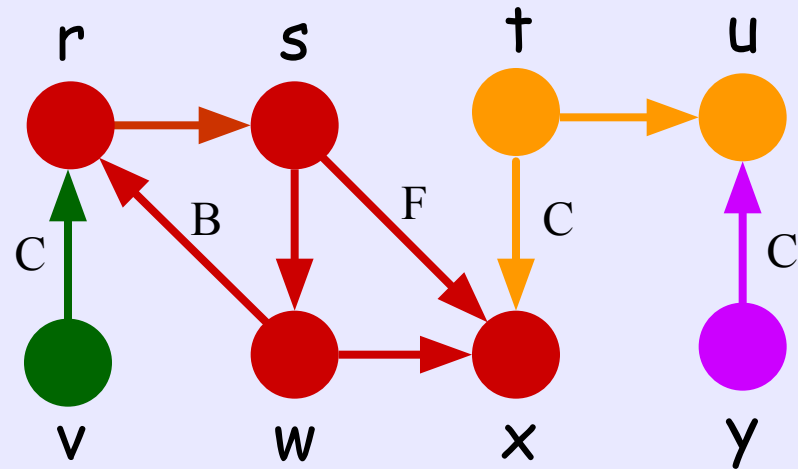
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Suppose the input graph is directed



# Example

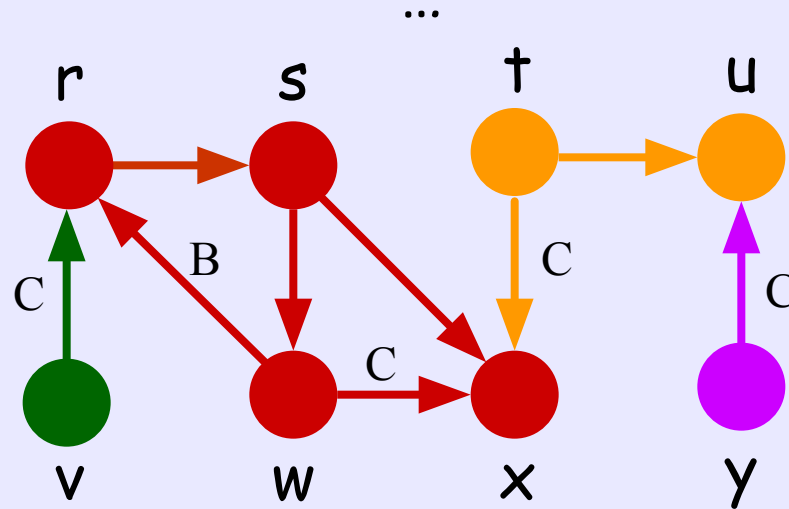
Suppose this is the DFS forest obtained



Can you classify the type of each edge ?

# Example

Suppose the DFS forest is different now

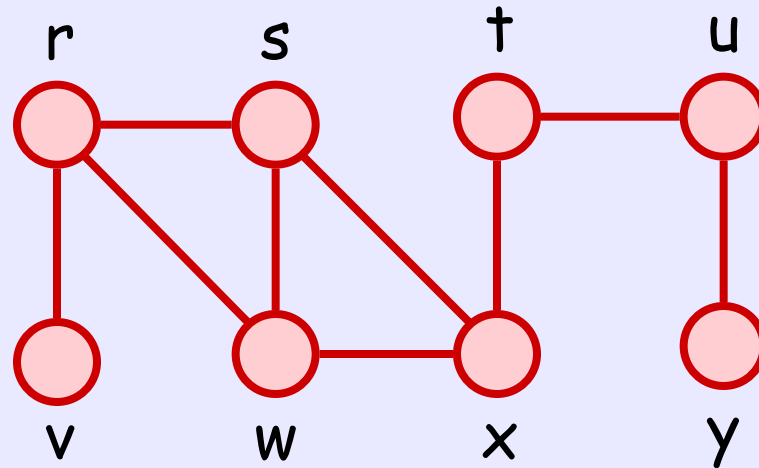


Can you classify the type of each edge ?

# Example

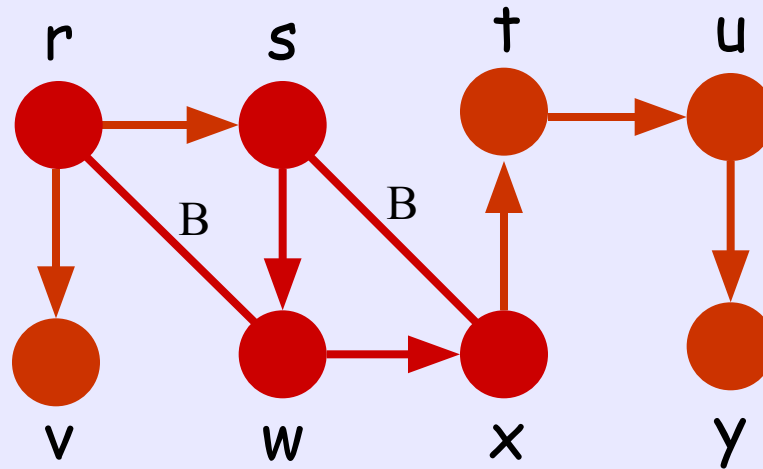
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Suppose the input graph is undirected



# Example

Suppose this is the DFS forest obtained



Can you classify the type of each edge ?

# Edges in Undirected Graph

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- Theorem: After DFS of an undirected graph, every edge is either a tree edge or a back edge
- Proof: Let  $(u,v)$  be an edge. Suppose  $u$  is discovered first. Then,  $v$  will become  $u$ 's descendent (white-path) so that  $f(v) < f(u)$ 
  - If  $u$  discovers  $v$  □  $(u,v)$  is tree edge
  - Else,  $(u,v)$  is explored after  $v$  discovered  
Then,  $(u,v)$  must be explored from  $v$   
because  $f(v) < f(u)$  □  $(u,v)$  is back edge



# Practice at Home

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- Exercise: 22.3-5, 22.3-8, 22.3-9, 22.3-11