



Operating System: Chap1 Introduction

National Tsing Hua University
2021, Fall Semester



Outline

- What is an Operating System?
- Computer-System Organization
- HW Protection

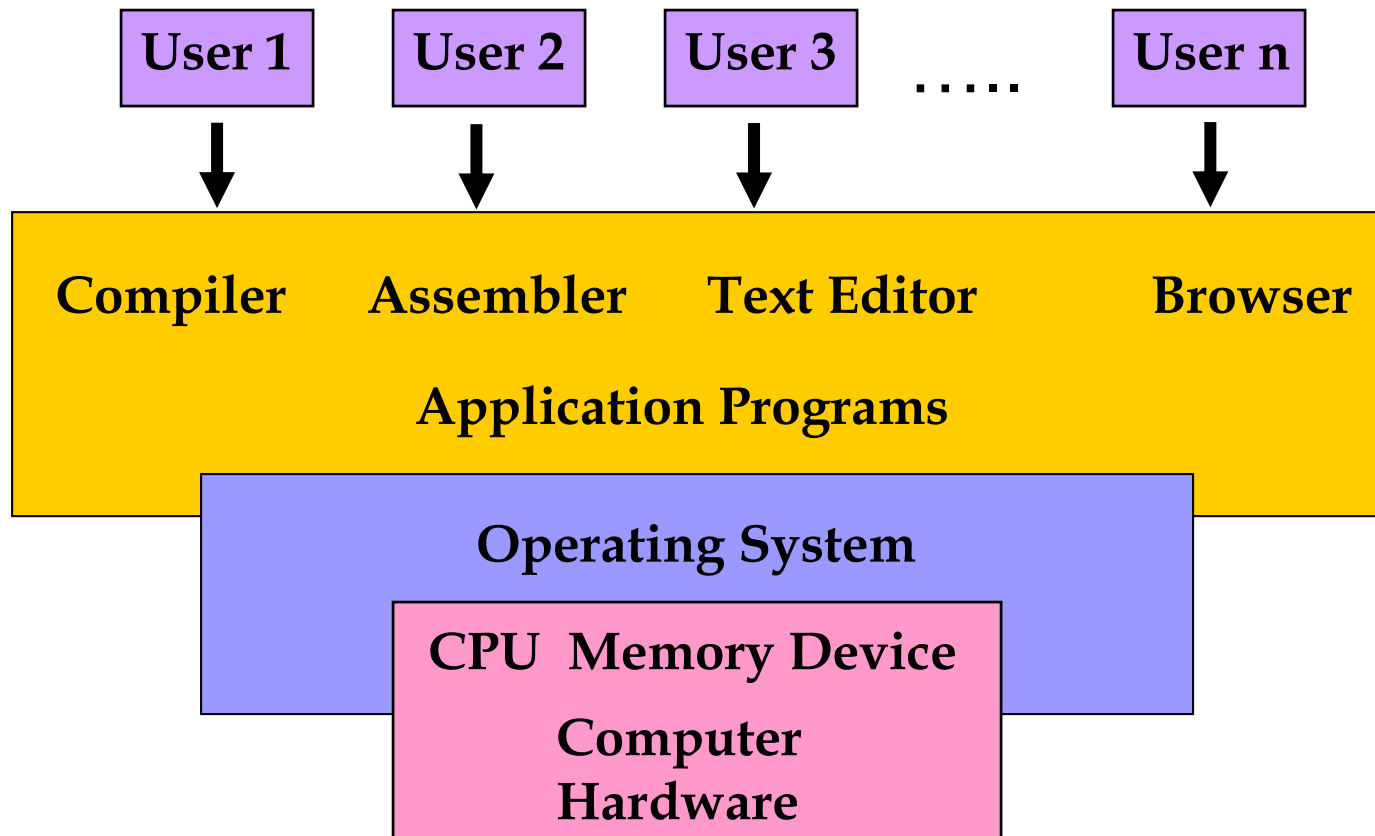


What is an Operating System

Computer System

■ Four components:

➤ Hardware, OS, Application, User

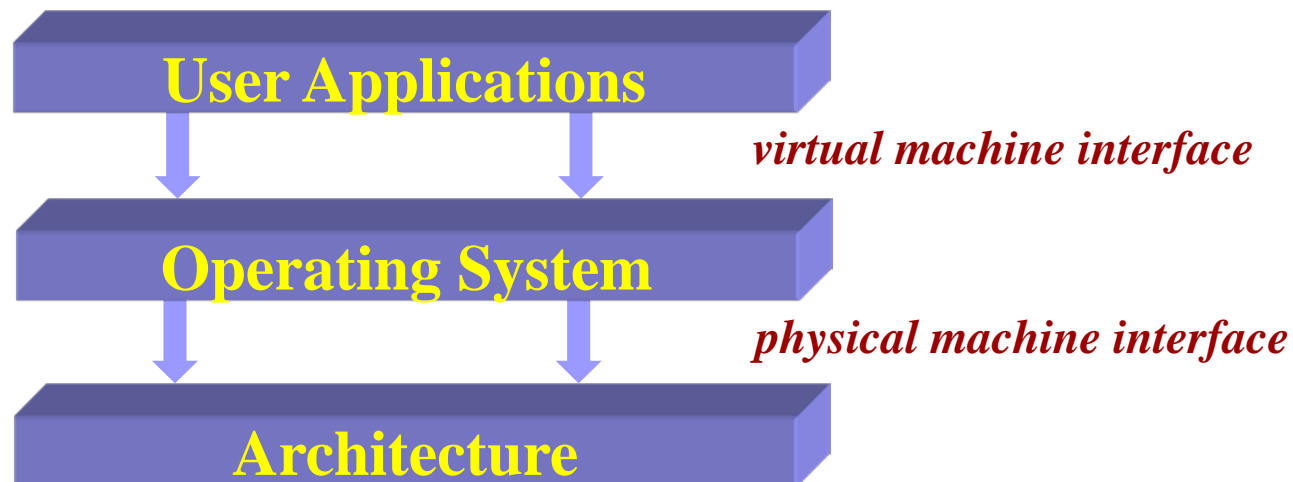


Computer System

- **User** — people, machines, other computers
- **Application** — define the ways in which the system resources are used to **solve the computing problems**
- **Operating System** — controls and coordinates the use of the **hardware/resources**
- **Hardware** — provides basic **computing resources** (CPU, memory, I/O devices)

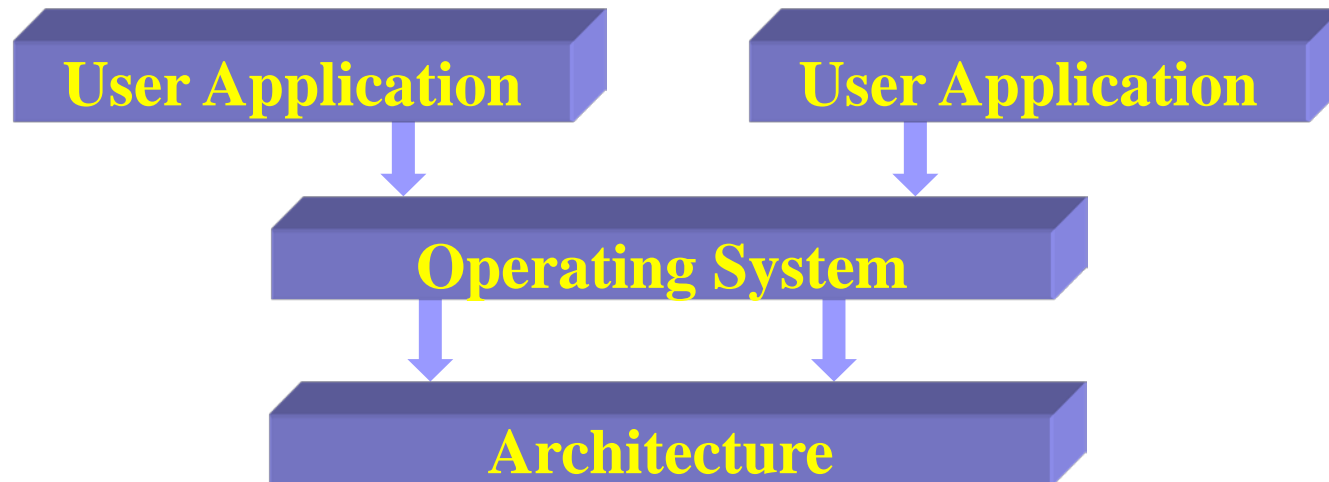
What is an Operating System?

- An operating system is the “*permanent*” software that **controls/abstracts hardware resources** for user applications

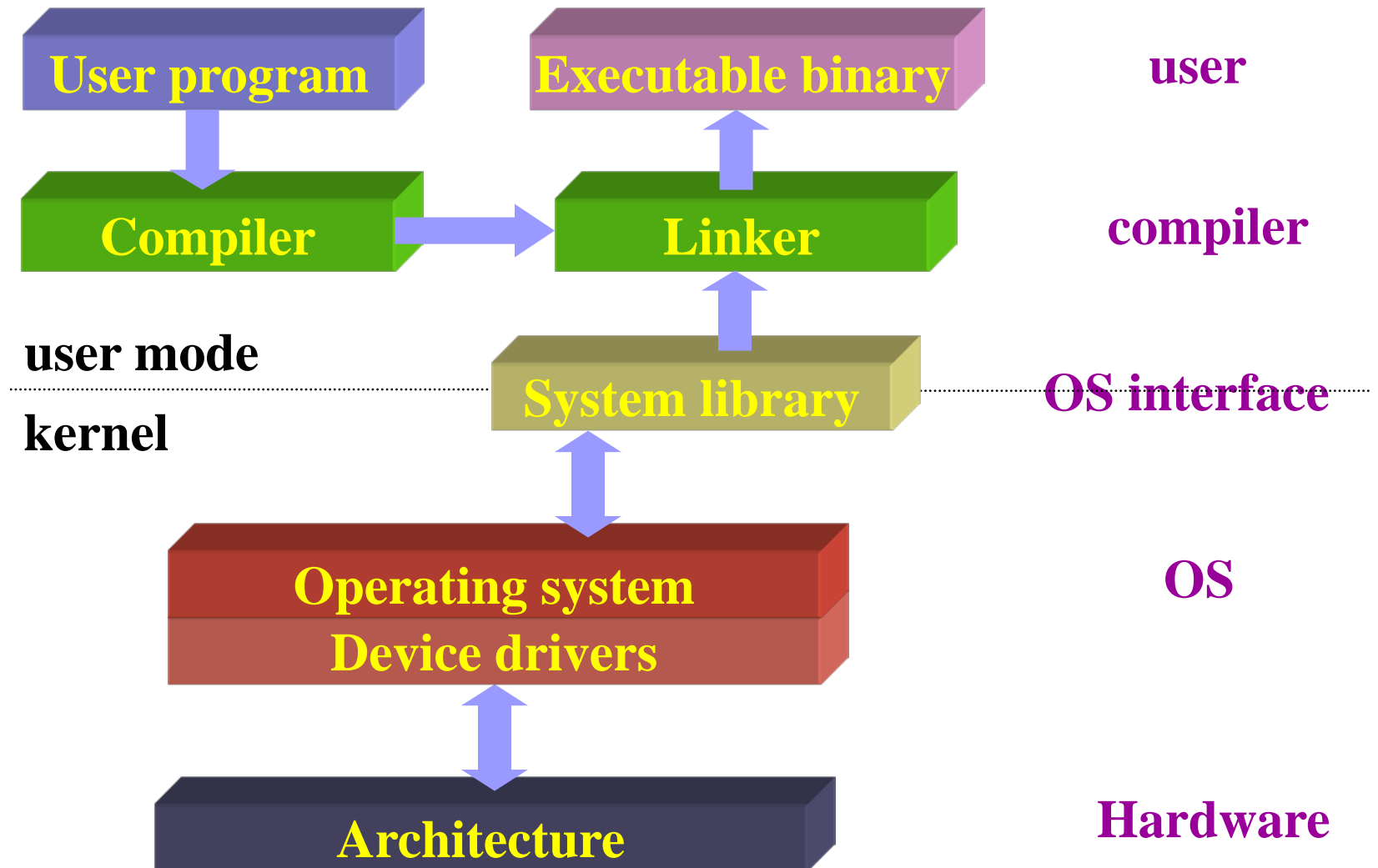


Multi-tasking Operating Systems

- Manages resources and processes to support different user applications
- Provides Applications Programming Interface (API) for user applications



General-Purpose Operating Systems



Definition of an Operating System

- **Resource allocator** – manages and allocates resources to ensure efficiency and fairness
- **Control program** – controls the execution of user programs and operations of I/O devices to prevent errors and improper use of computer
- **Kernel** – the one program running at all times (all else being system/application programs)
- ◆ No universally accepted definition

Goals of an Operating System

■ Convenience

- make computer system easy to use and compute
- In particular for small PC

■ Efficiency

- use computer hardware in an efficient manner
- Especially for large, shared, multiuser systems

◆ Two goals are sometimes **contradictory**

◆ In the past, efficiency is more important

Importance of an Operating System

- System API is the **only** interface between user applications and hardware
 - API are designed for general-purpose, not performance driven
- OS code cannot allow any bug
 - Any break (e.g. invalid access) causes reboot
- The owner of OS technology **controls** the software & hardware industry
- Operating systems and computer architecture influence each other

Modern Operating Systems

■ x86 platform

- Linux (CentOS, Redhat, openSUSE, Ubuntu, etc)
- Windows (Windows10, XP, 2000, etc)

■ PowerPC platform – Mac OS

■ Smartphone Mobile OS

- Android, iOS, Windows10 Mobile, Ubuntu Touch

■ Embedded OS

- Embedded Linux(Android, WebOS), Windows CE
- Raspberry Pi, Xbox, etc



Review Slides (1)

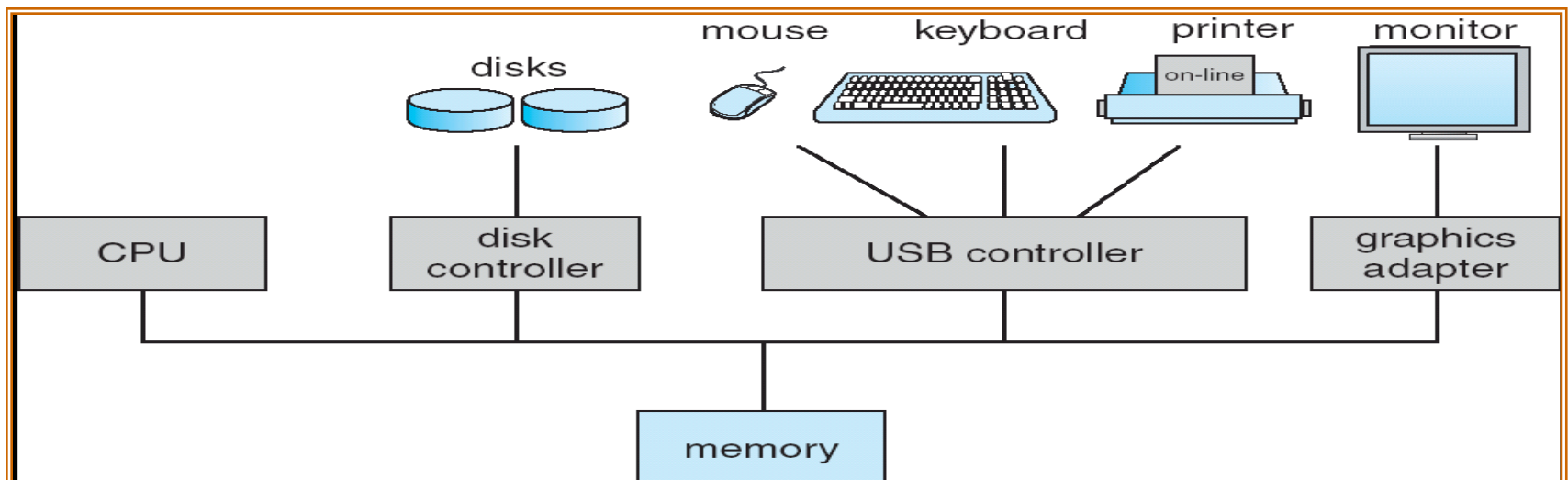
- Definition of OS?
- Goals of OS?
- Importance of OS?



Computer-System Organization

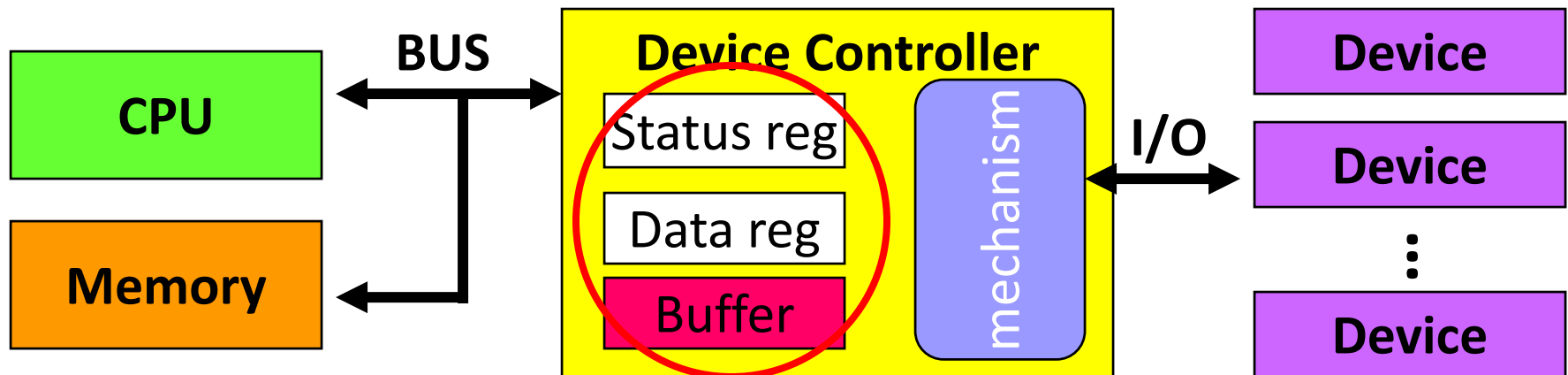
Computer-System Organization

- One or more CPUs, device controllers connect through **common bus** providing access to **shared memory**
- Goal: Concurrent execution of CPUs and devices competing for memory cycles



Computer-System Operations

- Each device controller is in charge of a particular device type
- Each device controller has a local buffer
- I/O is from the device to controller's local buffer
- CPU moves data from/to memory to/from local buffers in device controllers



Busy/wait output

■ Simplest way to program device

- Use instructions to test when device is ready

```
#define OUT_CHAR 0x1000 // device data register
#define OUT_STATUS 0x1001 // device status register

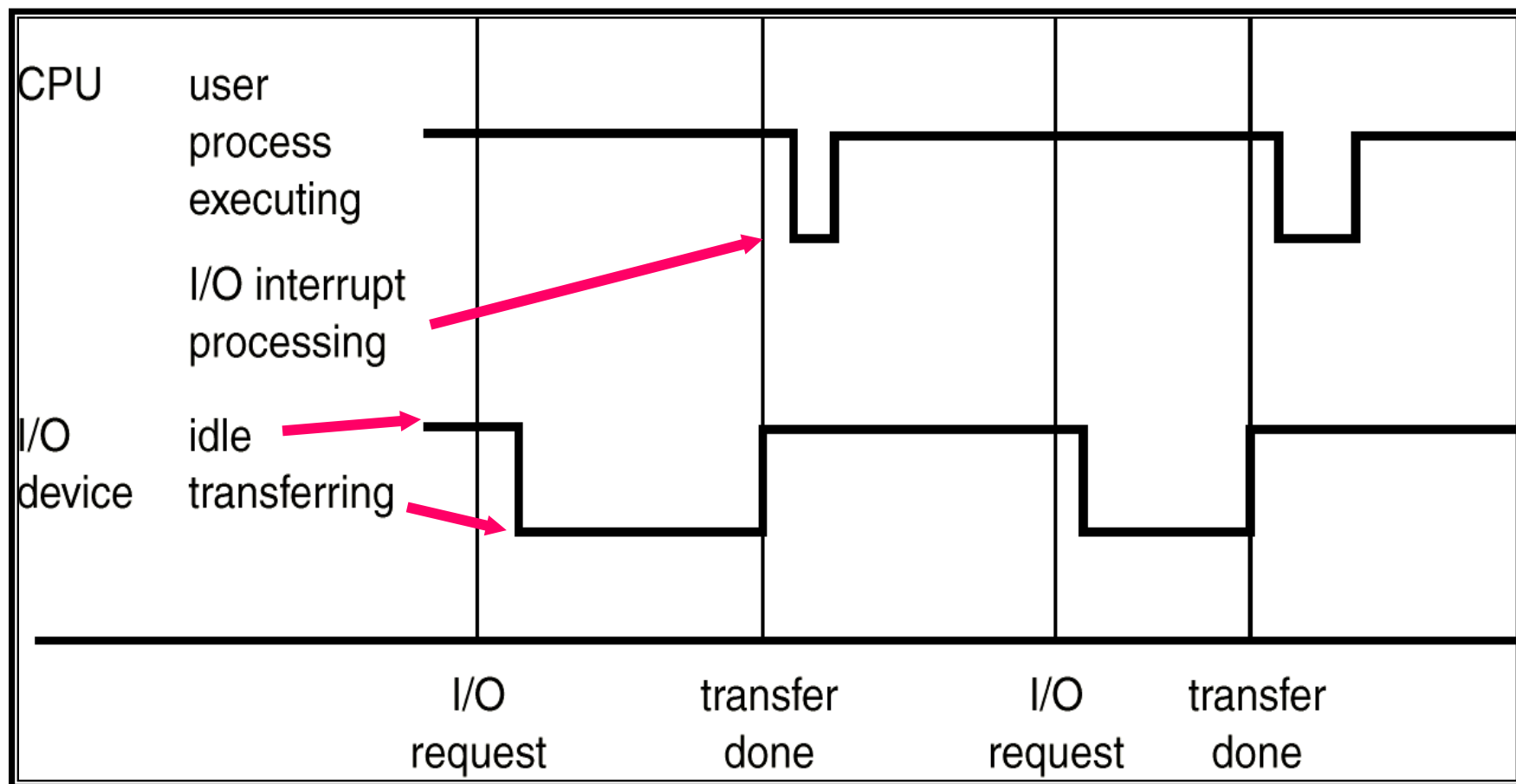
current_char = mystring;
while (*current_char != '\0' ) {
    poke(OUT_CHAR, *current_char);
    while (peek(OUT_STATUS) != 0); // busy waiting
    current_char++;
}
```

Interrupt I/O

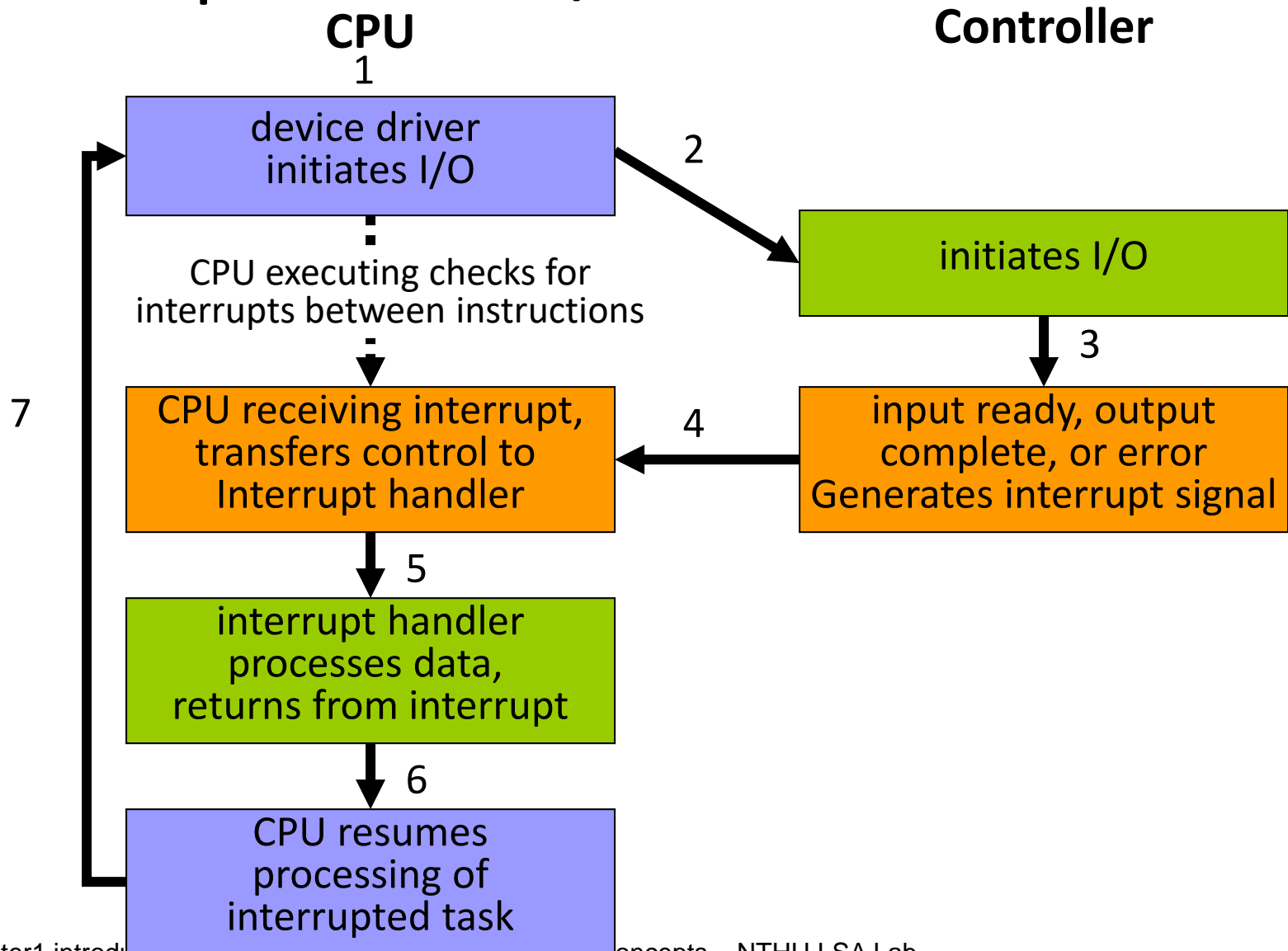
- Busy/wait is very inefficient
 - CPU can't do other work while testing device
 - Hard to do simultaneous I/O
- Interrupts allow a device to ***change the flow of control in the CPU***
 - Causes subroutine call to handle device

Interrupt I/O Timeline

■ Interrupt time line for I/O on a single process



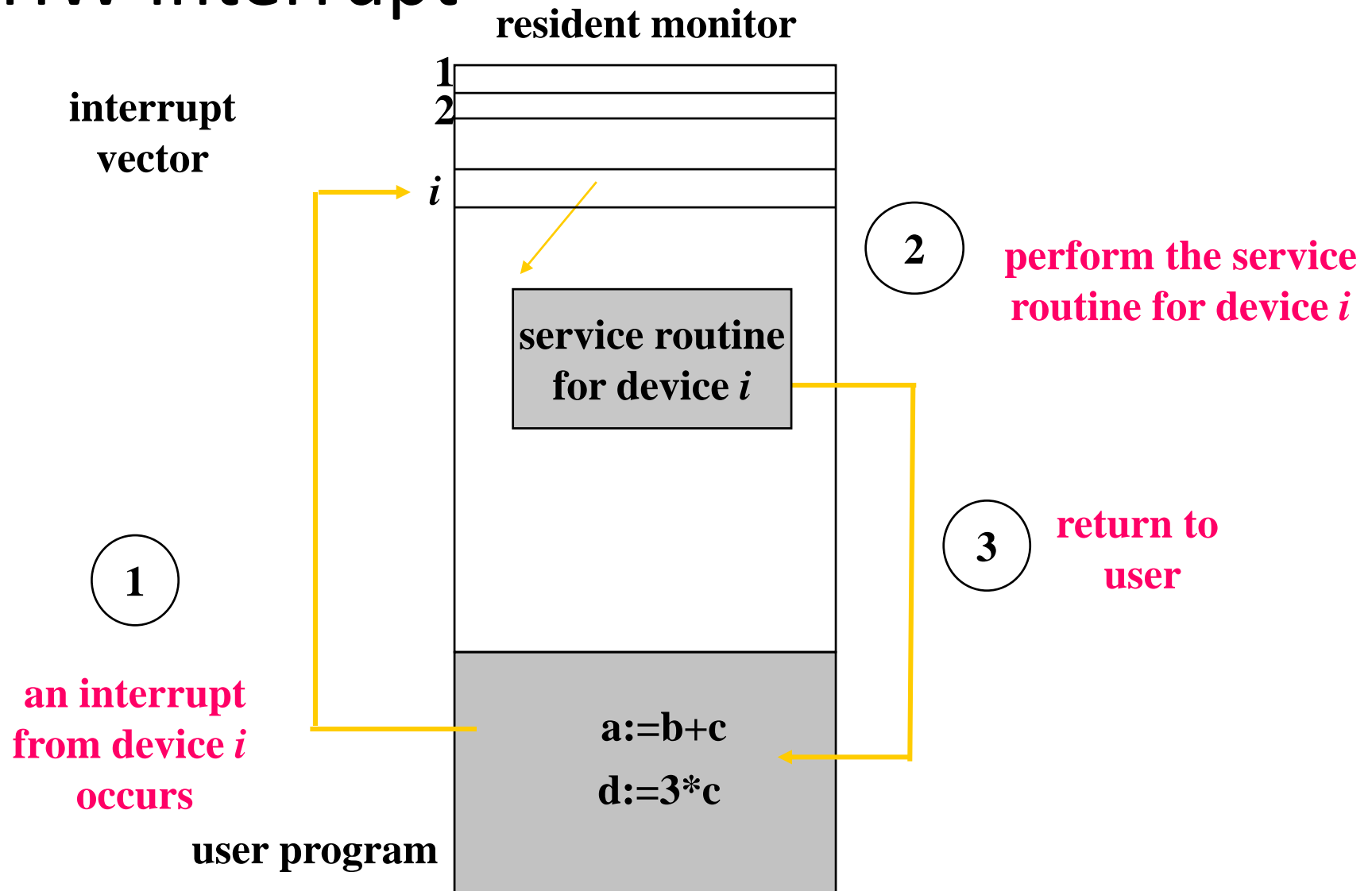
Interrupt-Driven I/O



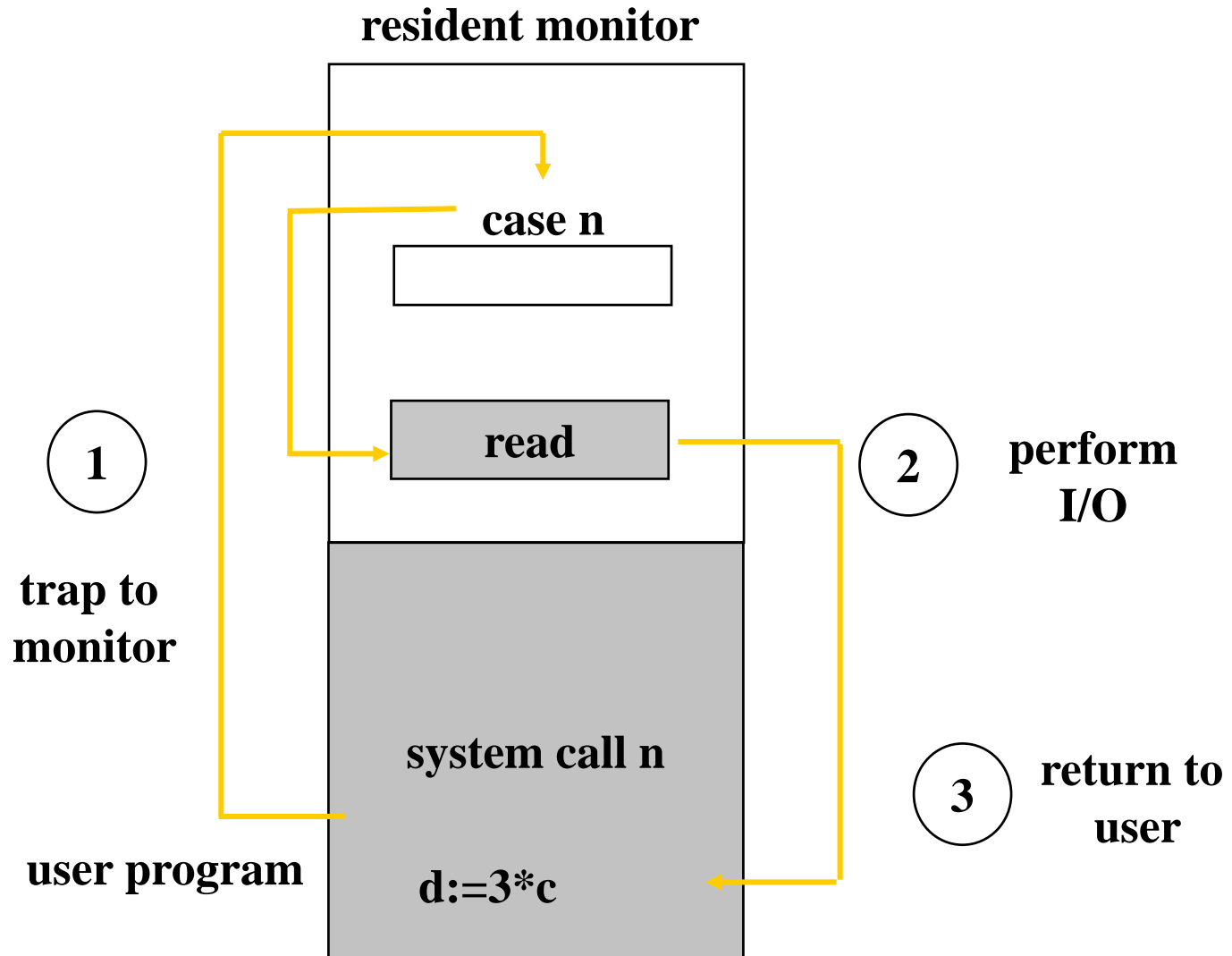
Interrupt

- Modern OS are interrupt driven
- The occurrence of an event is signaled by an interrupt from either hardware or software.
 - Hardware may trigger an interrupt at any time by sending a **signal** to CPU
 - Software may trigger an interrupt either by an **error** (division by zero or invalid memory access) or by a user request for an operating system **service (system call)**
- Software interrupt also called **trap**

HW Interrupt



SW Interrupt



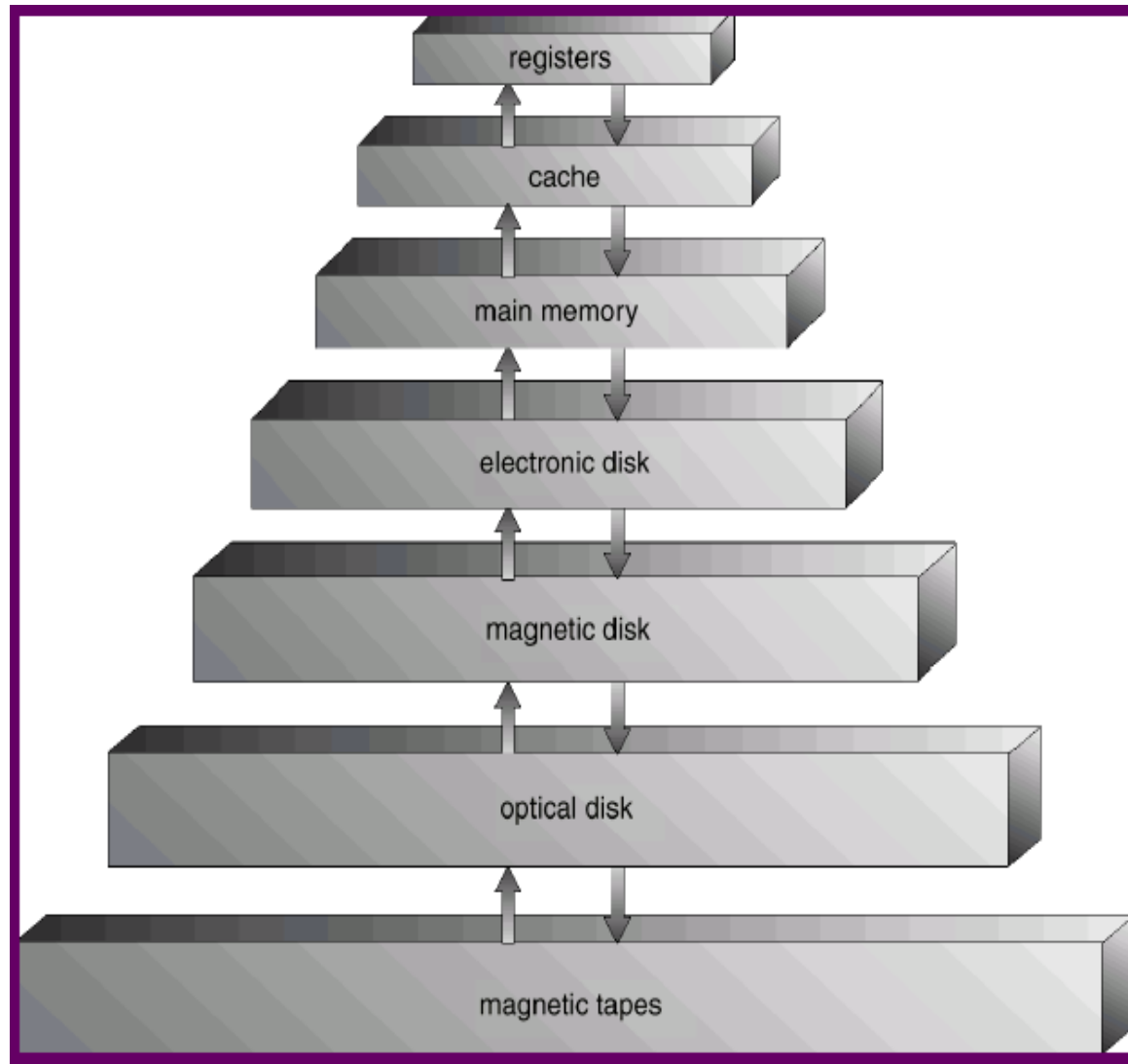
Common Functions of Interrupts

- Interrupt transfers control to the interrupt service routine generally, through the ***interrupt vector***, which contains the **addresses** (function pointer) of all the **service** (i.e. interrupt handler) routines
- Interrupt architecture must save the **address** of the **interrupted instruction**
- Most OS can only handle one interrupt at a time, so incoming interrupts are ***disabled*** while another interrupt is being processed

Review Slides (2)

- What is interrupt and how does it work?
- What is the difference between trap and interrupt?

Storage-Device Hierarchy



Storage-Device Hierarchy

- Storage systems organized in hierarchy.
 - Speed, Cost, Volatility
- **Main memory** – only large storage media that the CPU can access directly
 - RAM: Random Access Memory
- Secondary storage – extension of main memory that provides large nonvolatile storage capacity
 - Magnetic disk

RAM: Random-Access Memory

■ DRAM (Dynamic RAM):

- Need only **one transistor**
- Consume **less power**
- values must be periodically **refreshed**
- Access Speed: **$\geq 30\text{ns}$**

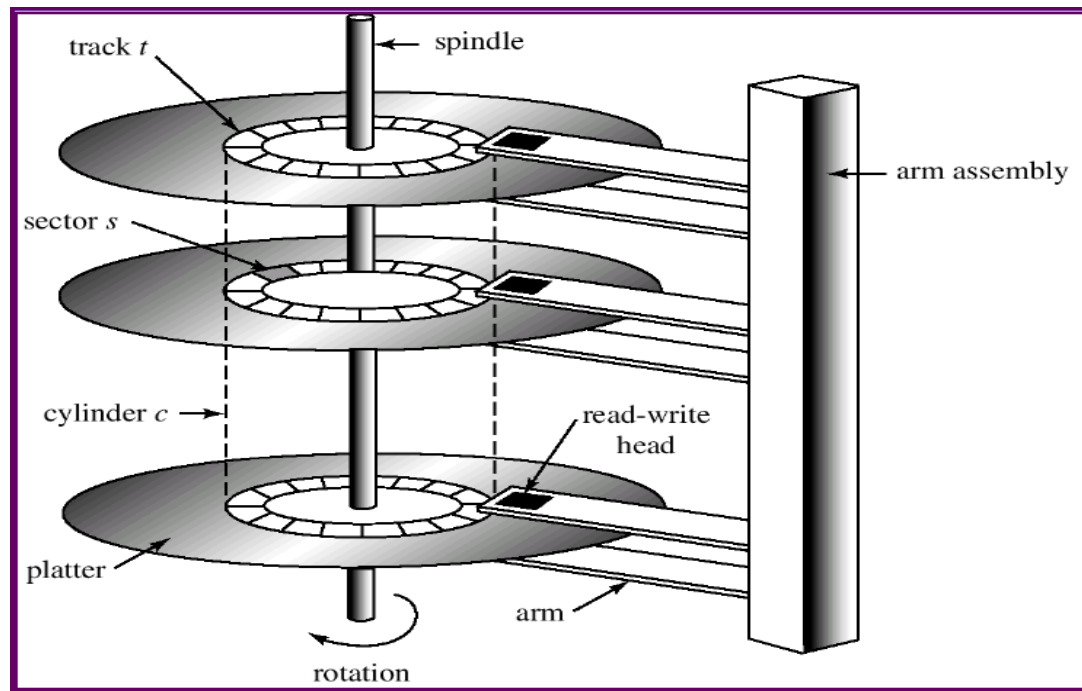
■ SRAM (Static RAM):

- Need **six transistors**
- Consume **more power**
- Access Speed: **$10\text{ns}\sim 30\text{ns}$**
- usage: **cache memory**

Disk Mechanism

■ Speed of magnetic disk

- *Transfer time = data size / transfer rate*
- *Positioning time (random access time)*
 - ◆ *seek time (cylinder) + rotational latency (sector)*

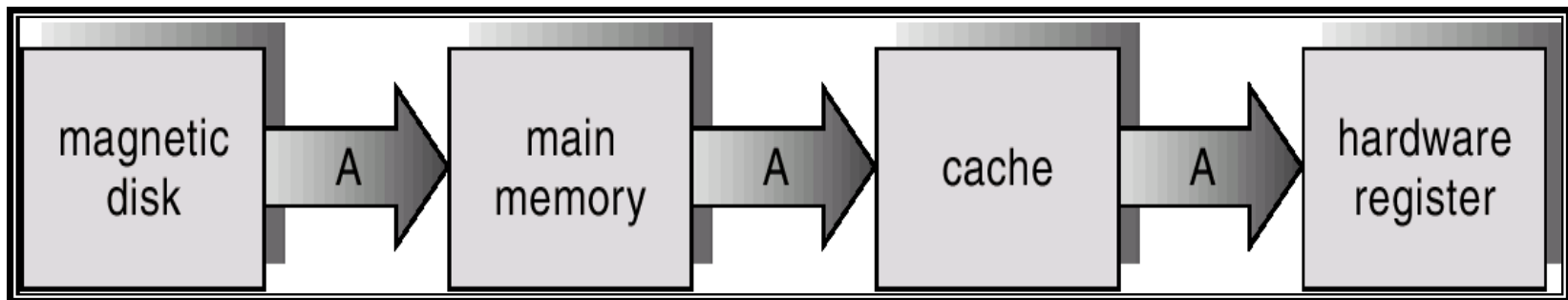


Performance of Various Levels of Storage

Level	1	2	3	4
Name	registers	cache	main memory	disk storage
Typical size	< 1 KB	> 16 MB	> 16 GB	> 100 GB
Implementation technology	custom memory with multiple ports, CMOS	on-chip or off-chip CMOS SRAM	CMOS DRAM	magnetic disk
Access time (ns)	0.25 – 0.5	0.5 – 25	80 – 250	5,000.000
Bandwidth (MB/sec)	20,000 – 100,000	5000 – 10,000	1000 – 5000	20 – 150
Managed by	compiler	hardware	operating system	operating system
Backed by	cache	main memory	disk	CD or tape

Caching

- Information in use copied from slower to faster storage temporarily
- Faster storage (cache) checked first to determine if information is there
 - If it is, information used directly from the cache (fast)
 - If not, data copied to cache and used there



Coherency and Consistency Issue

- The same data may appear in different levels

- Issue: Change the **copy in register** make it inconsistent with other copies

- Single task accessing:

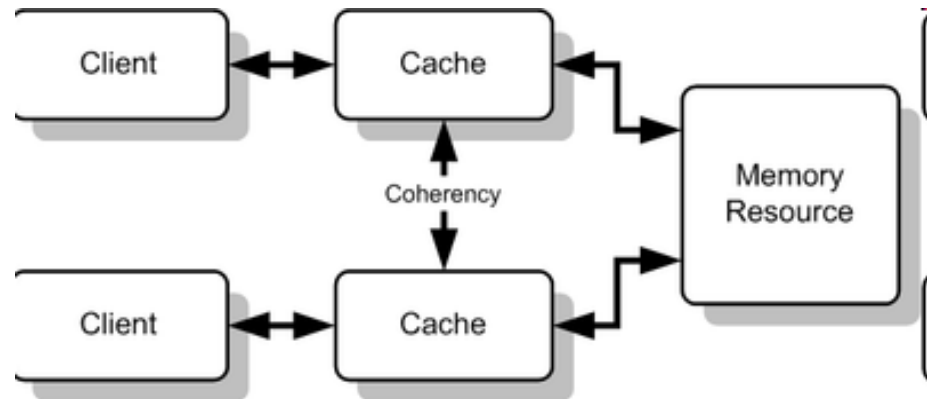
- No problem, always use the **Highest level** copy

- Multi-task accessing:

- Need to obtain the most recent value

- Distributed system:

- Difficult b.c. copies are on different computers



Review Slides (3)

- Why storage hierarchy?
- Caching? involved issues?



Hardware Protection:

Dual-Mode Operation

I/O Protection

Memory Protection

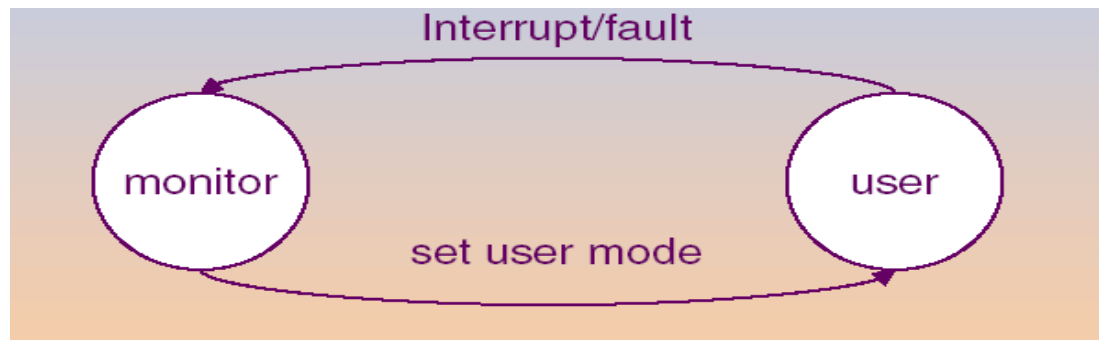
CPU Protection

Dual-Mode Operation

- What to protect?
 - Sharing system resources requires OS to ensure that an incorrect program cannot cause **other programs** to execute incorrectly
- Provide **hardware support** to differentiate between at least two modes of operations
 1. **User mode** – execution done on behalf of a user
 2. **Monitor mode** (also **kernel mode** or **system mode**) – execution done on behalf of **operating system**

Dual-Mode Operation (Cont'd)

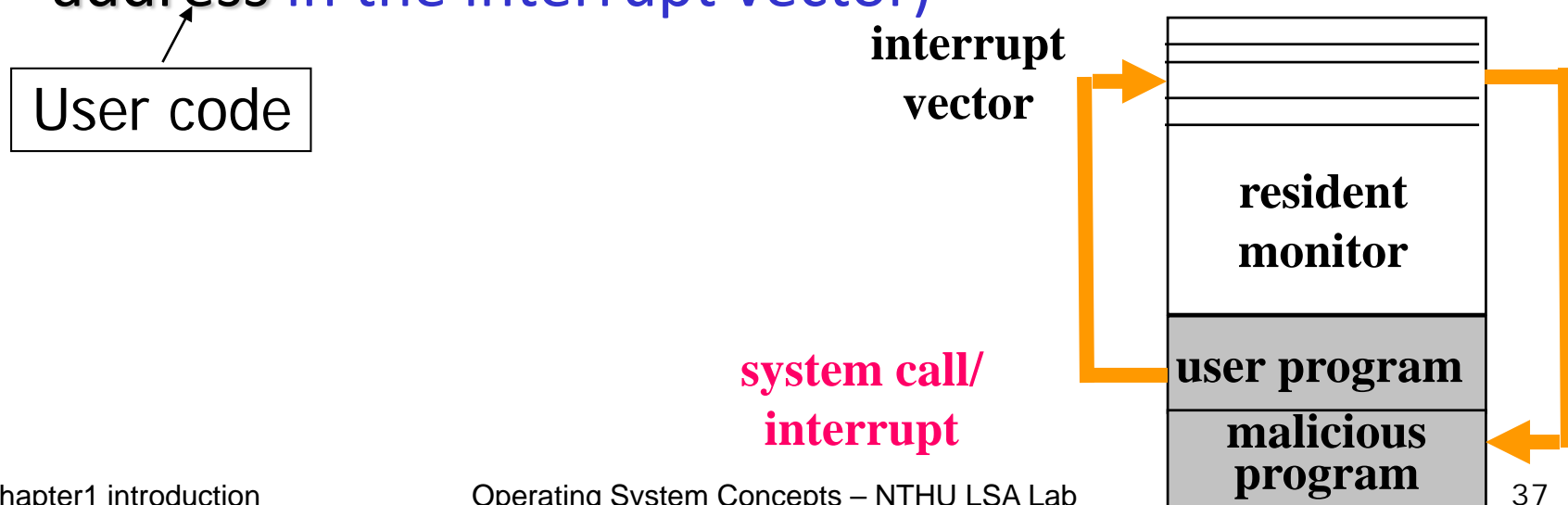
- Mode bit added to computer hardware to indicate the current mode: kernel (0) or user (1)
- When an interrupt/trap or fault occurs, hardware switches to monitor mode



- Privileged instructions
 - Executed only in monitor mode
 - Requested by users (system calls)

I/O Protection

- **All I/O instructions are privileged instructions**
 - Any I/O device is shared between users
- Must ensure that a user program could never gain control of the computer in monitor mode (*i.e.*, a user program that, as part of its execution, stores a **new address in the interrupt vector**)



Memory Protection

■ Protect

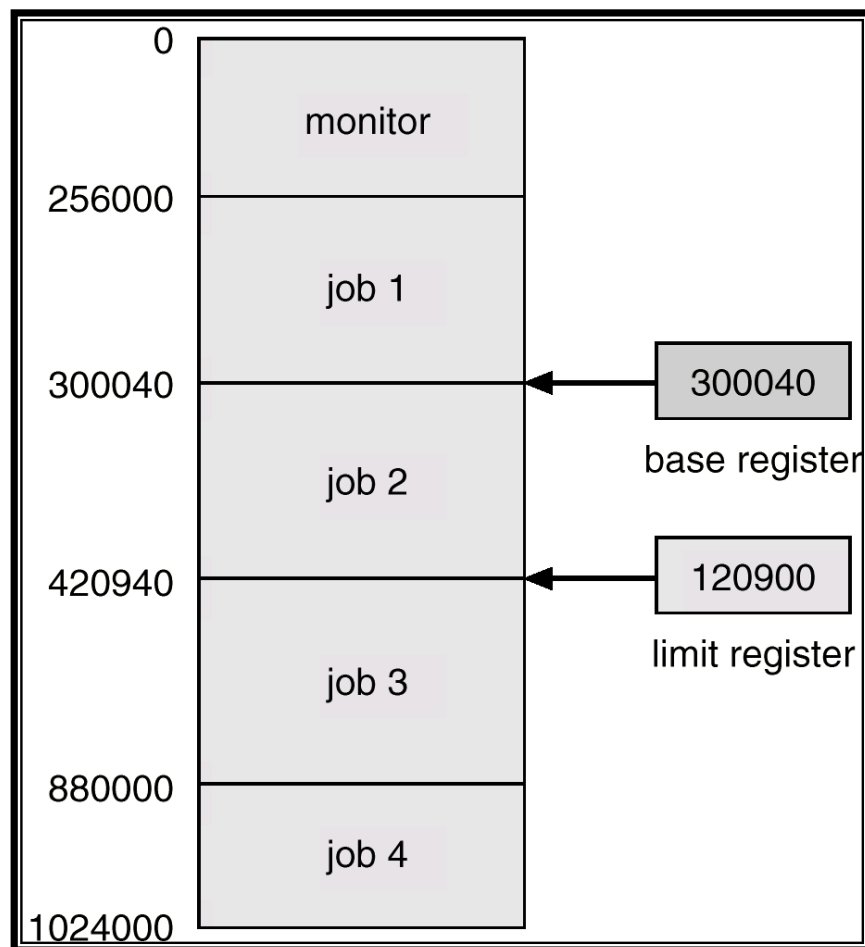
- Interrupt vector and the interrupt service routines
- Data access and over-write from other programs

■ HW support: two registers for legal address determination:

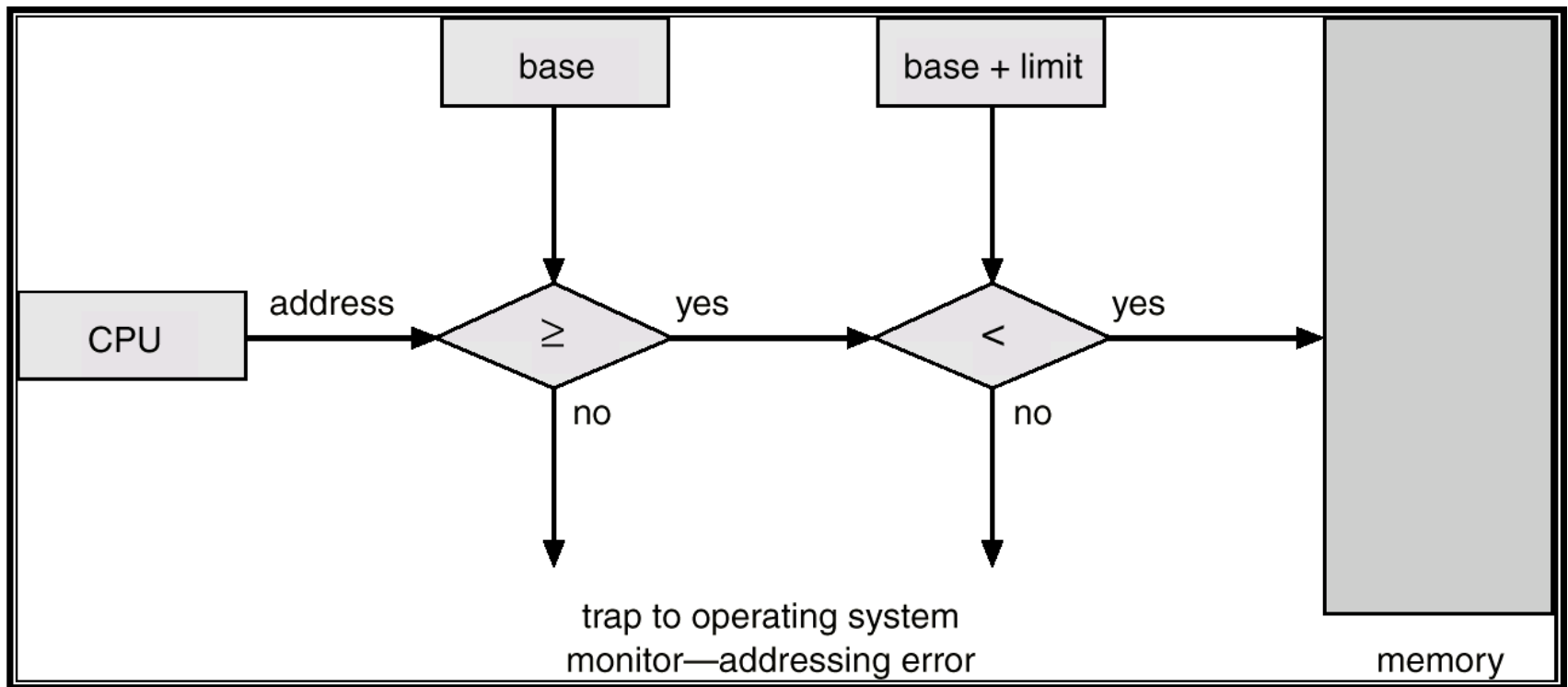
- **Base register** – holds the smallest legal physical memory address
- **Limit register** – contains the size of the range

■ Memory outside the defined range is protected

Use of Base and Limit Register



Hardware Address Protection



CPU Protection

- Prevent user program from not returning control
 - getting stuck in an infinite loop
 - not calling system services
- HW support: **Timer**—interrupts computer after specified period
 - Timer is decremented every clock tick
 - When timer reaches the value 0, an interrupt occurs
- Timer commonly used to implement **time sharing**
- **Load-timer** is a privileged instruction

Review Slides (4)

- Dual-mode Operation?
- CPU protection?
- Memory protection?

Reading Material & HW

■ Chap 1

■ Problem set

- 1.8: What is the purpose of interrupt? How does an interrupt differ from trap? Can traps be generated intentionally by a user program? If so, for what purpose?
- 1.10: some computer systems do not provide a privileged mode of operation in hardware. Is it possible to construct a secure operating system for these computer systems? Give arguments both that it is and that it is not possible.
- Why dual mode operation can protect system?