

# Speech representation learning and the emergence of Textless NLP research

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**Meta, Fundamental AI Research (FAIR)**

# Outline

- 3 waves of speech representation learning
- SOTA Speech SSL methods
- Generative Spoken LMs
- Privacy-preserving Speech SSL

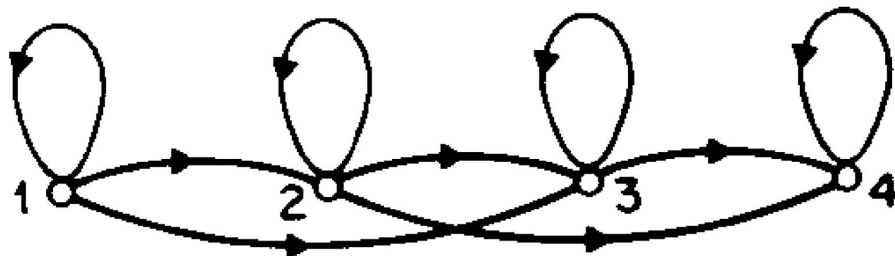
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## 3 waves of speech representation learning

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# 1<sup>st</sup> Wave: Clustering and Mixture Models

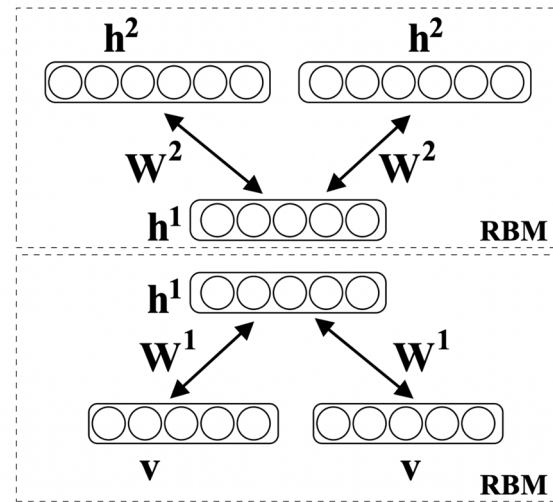
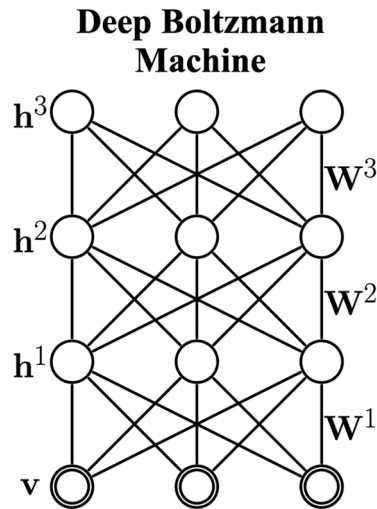
- Early works used simple clustering methods
- Gaussian Mixture Models / Hidden Markov models (GMMs/HMMs)
- Extracting features from generative models



From Rabiner 1989

## 2<sup>nd</sup> Wave: Stacked Neural Models

- Neural models have higher capacity for modeling inputs.
- Techniques include:
  - Restricted Boltzmann Machines (RBM)
  - Denoising AutoEncoders (DAE)
  - Noise Contrastive Estimation (NCE)
  - Sparse coding.
- Higher capacity networks achieved by building 'deep' networks with multiple layers of representations.

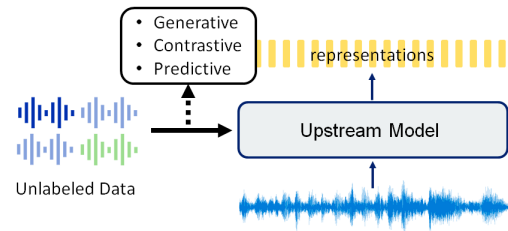


From Salakhutdinov and Hinton 2009

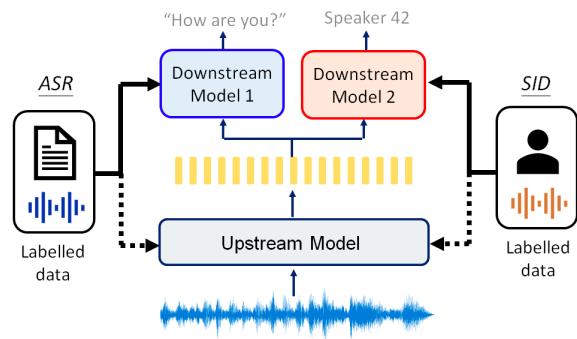
# 3<sup>rd</sup> Wave: Learning Through Pre-text Task Optimization

- Learn networks to map the input to desired representations by solving a *pre-text task*, with the following characteristics:
  - All layers are trained end-to-end to optimize a single pre-text task
  - Deep networks with many layers are used
  - The representation model is evaluated on many tasks
- The third wave looks at designing a pre-text task, which allows the model to efficiently use knowledge from unlabeled data.
  - Generate an object from partial information
  - Use previous tokens in the sentence to predict the next token
  - Contrastive learning

Phase 1: Pre-train



Phase 2: Downstream



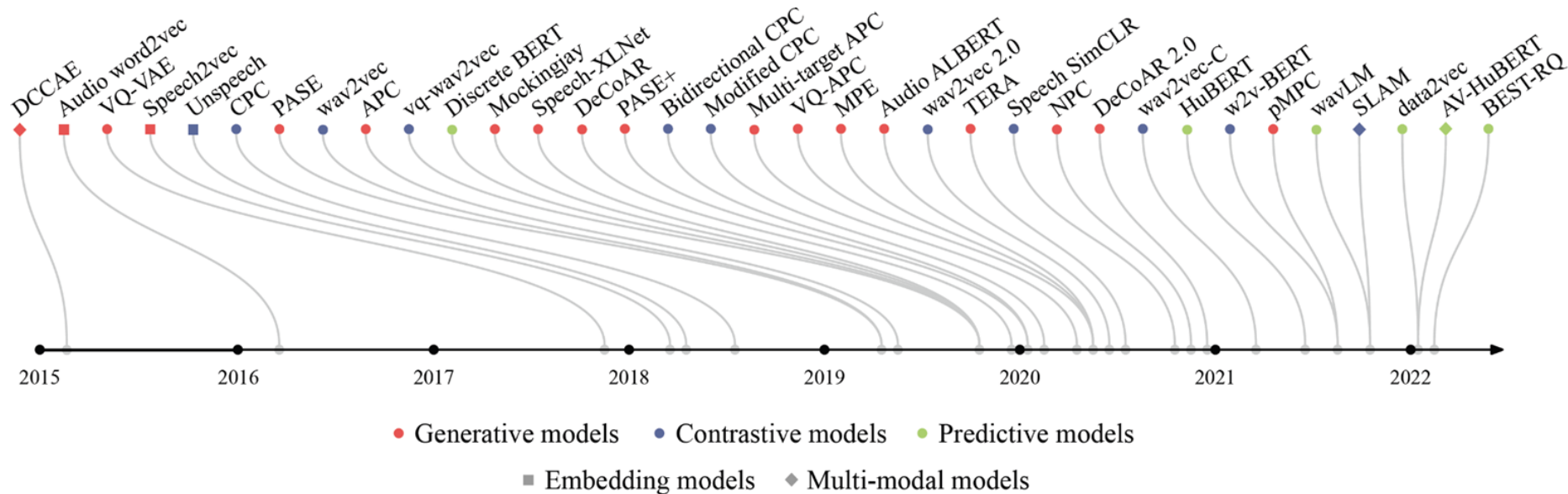
From Mohamed et. al 2022

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# Self-Supervised Speech Representations

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# Speech representation learning methods





# Speech representation learning methods

## **Contrastive approaches**

# Speech representation learning methods

**Contrastive  
approaches**

**Predictive  
approaches**

# Speech representation learning methods

**Contrastive  
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**Predictive  
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**Generative  
approaches**

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**wav2vec 2.0**

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# wav2vec 2.0

- The first approach to show significant improvements for low-resource ASR.

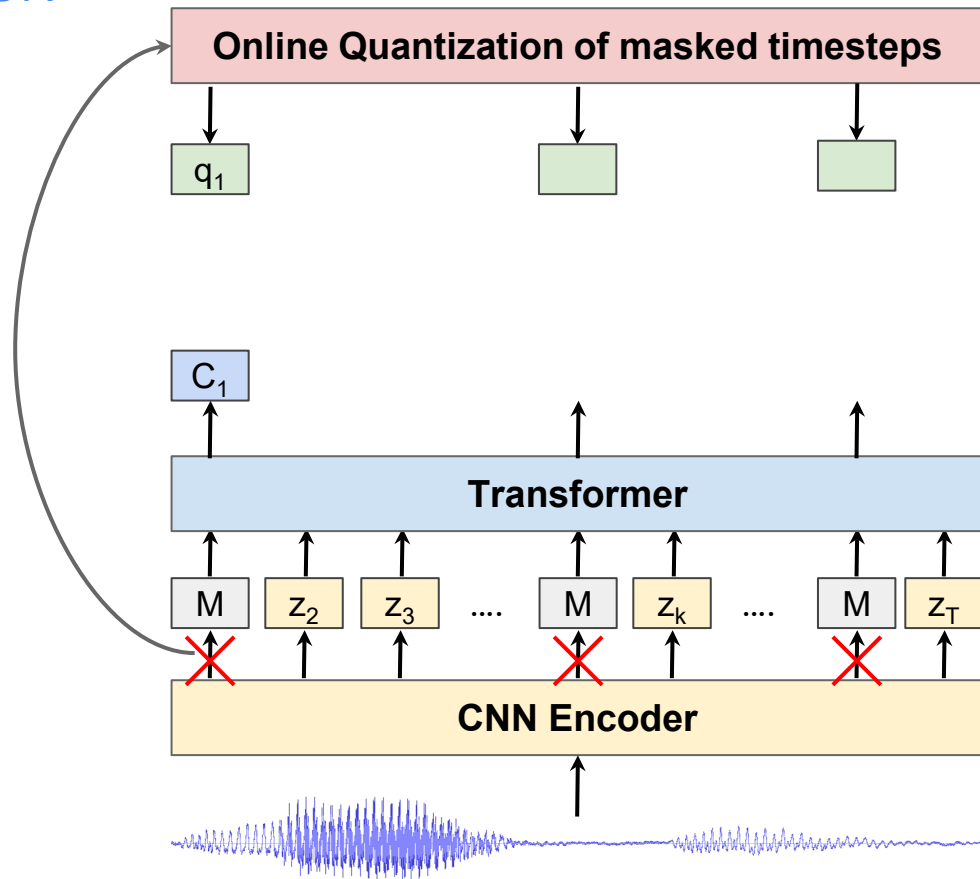
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- The first approach to show significant improvements for low-resource ASR.
- Impressive results on multilingual representations.
- Strong performance on a wide range of downstream speech tasks.

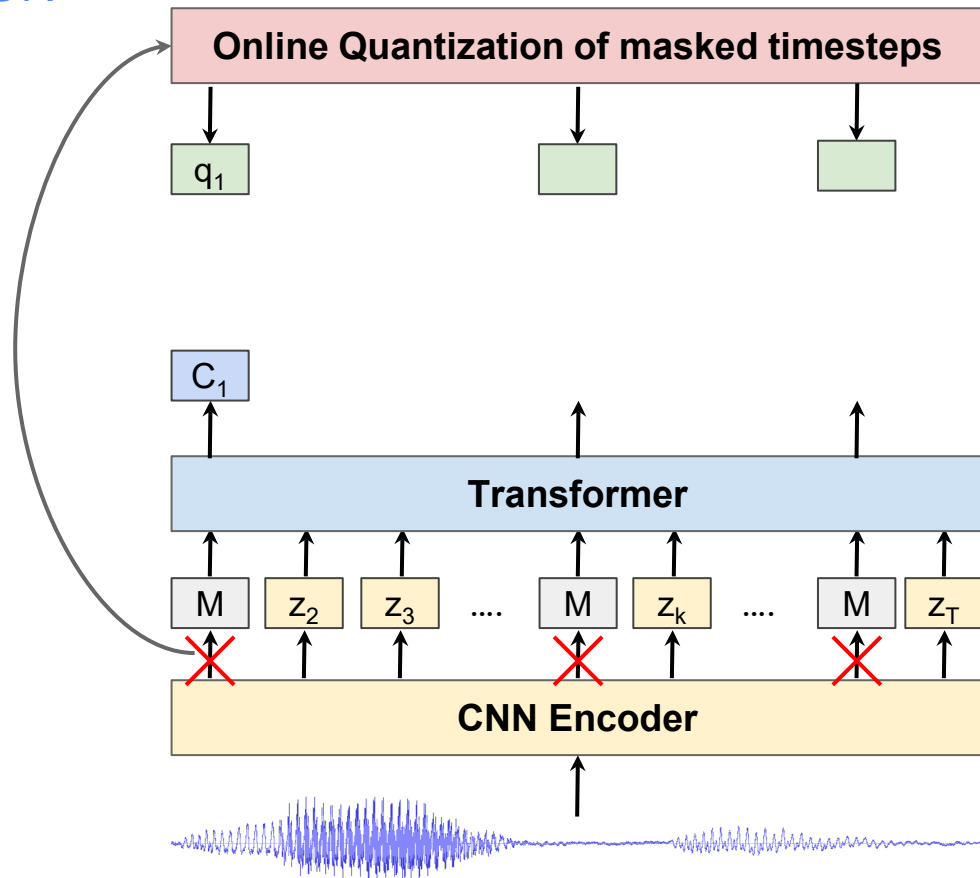
# wav2vec 2.0: The pretext task





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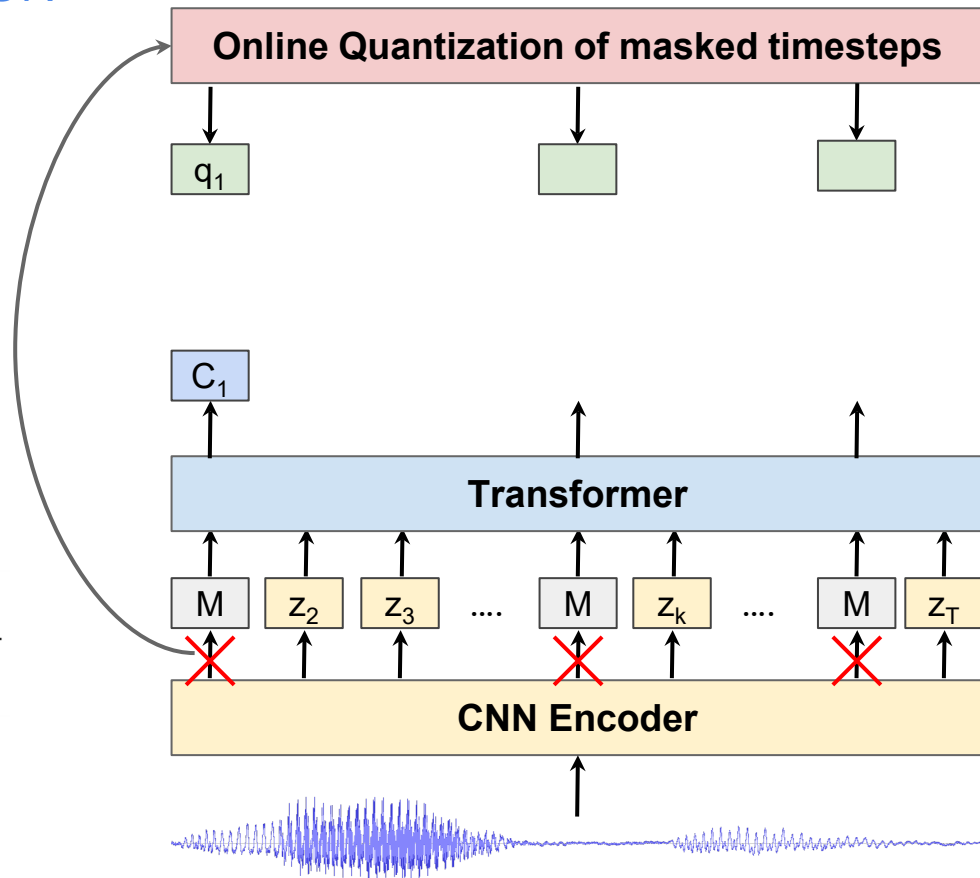
- The goal is to maximize the similarity between the learned contextual representation and the quantized input features at the same position.



# wav2vec 2.0: The pretext task

- The goal is to maximize the similarity between the learned contextual representation and the quantized input features at the same position.

$$\mathcal{L}_m = -\log \frac{\exp(\text{sim}(\mathbf{c}_t, \mathbf{q}_t)/\kappa)}{\sum_{\tilde{\mathbf{q}} \sim \mathbf{Q}_t} \exp(\text{sim}(\mathbf{c}_t, \tilde{\mathbf{q}})/\kappa)}$$



# wav2vec 2.0: Results

- The first approach to get into single-digit WER on Librispeech test-other using only 10 mins of labels.

Model	Unlabeled data	LM	dev		test	
			clean	other	clean	other
<b>10 min labeled</b>						
Discrete BERT [4]	LS-960	4-gram	15.7	24.1	16.3	25.2
BASE	LS-960	4-gram	8.9	15.7	9.1	15.6
		Transf.	6.6	13.2	6.9	12.9
LARGE	LS-960	Transf.	6.6	10.6	6.8	10.8
	LV-60k	Transf.	4.6	7.9	4.8	8.2

## wav2vec 2.0: Results

- It is the first self-supervised approach to produce competitive results compared to semi-supervised learning approaches.

# wav2vec 2.0: Results

- It is the comp

Model	Unlabeled data	LM	dev		test	
			clean	other	clean	other
<b>Supervised</b>						
CTC Transf [51]	-	CLM+Transf.	2.20	4.94	2.47	5.45
S2S Transf. [51]	-	CLM+Transf.	2.10	4.79	2.33	5.17
Transf. Transducer [60]	-	Transf.	-	-	2.0	4.6
ContextNet [17]	-	LSTM	1.9	3.9	1.9	4.1
Conformer [15]	-	LSTM	2.1	4.3	1.9	3.9
<b>Semi-supervised</b>						
CTC Transf. + PL [51]	LV-60k	CLM+Transf.	2.10	4.79	2.33	4.54
S2S Transf. + PL [51]	LV-60k	CLM+Transf.	2.00	3.65	2.09	4.11
Iter. pseudo-labeling [58]	LV-60k	4-gram+Transf.	1.85	3.26	2.10	4.01
Noisy student [42]	LV-60k	LSTM	1.6	3.4	1.7	3.4
<b>This work</b>						
LARGE - from scratch	-	Transf.	1.7	4.3	2.1	4.6
BASE	LS-960	Transf.	1.8	4.7	2.1	4.8
LARGE	LS-960	Transf.	1.7	3.9	2.0	4.1
	LV-60k	Transf.	1.6	3.0	1.8	3.3

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## Hidden Unit BERT (HuBERT)

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# HuBERT

- A simple method to apply BERT style representation learning for speech.

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- Matched or beat the SOTA on ASR while being the best for many speech tasks.

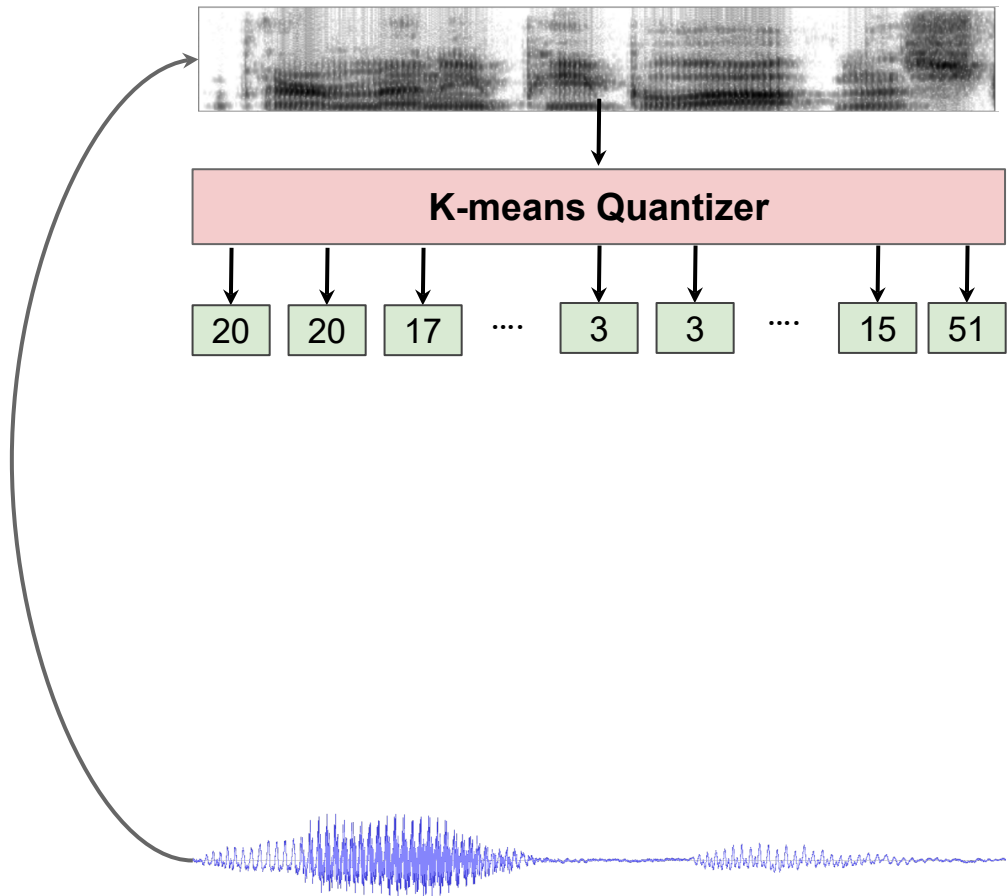


# HuBERT

- A simple method to apply BERT style representation learning for speech.
- Matched or beat the SOTA on ASR while being the best for many speech tasks.
- With its high-quality discrete units, HuBERT facilitated Textless NLP research.

# HuBERT: The pretext task

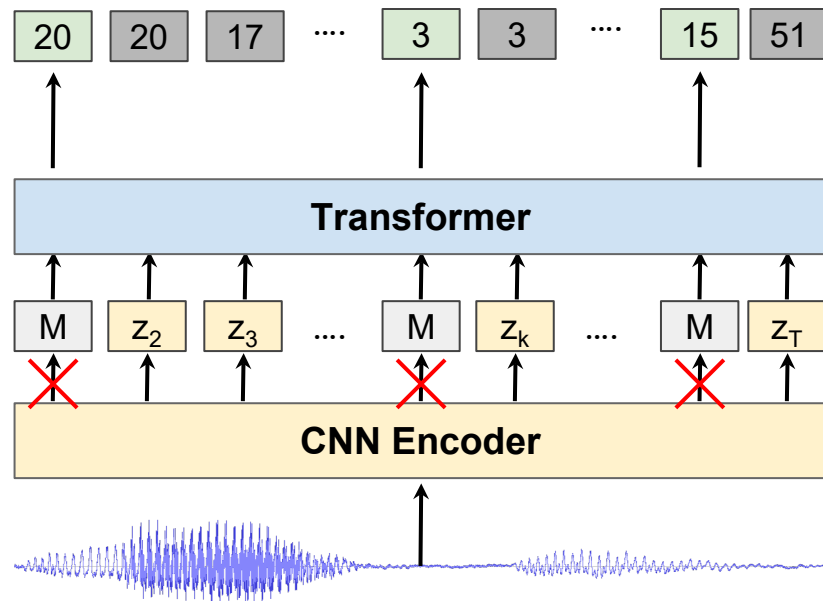
- The K-means quantizer produces frame-level labels.



# HuBERT: The pretext task

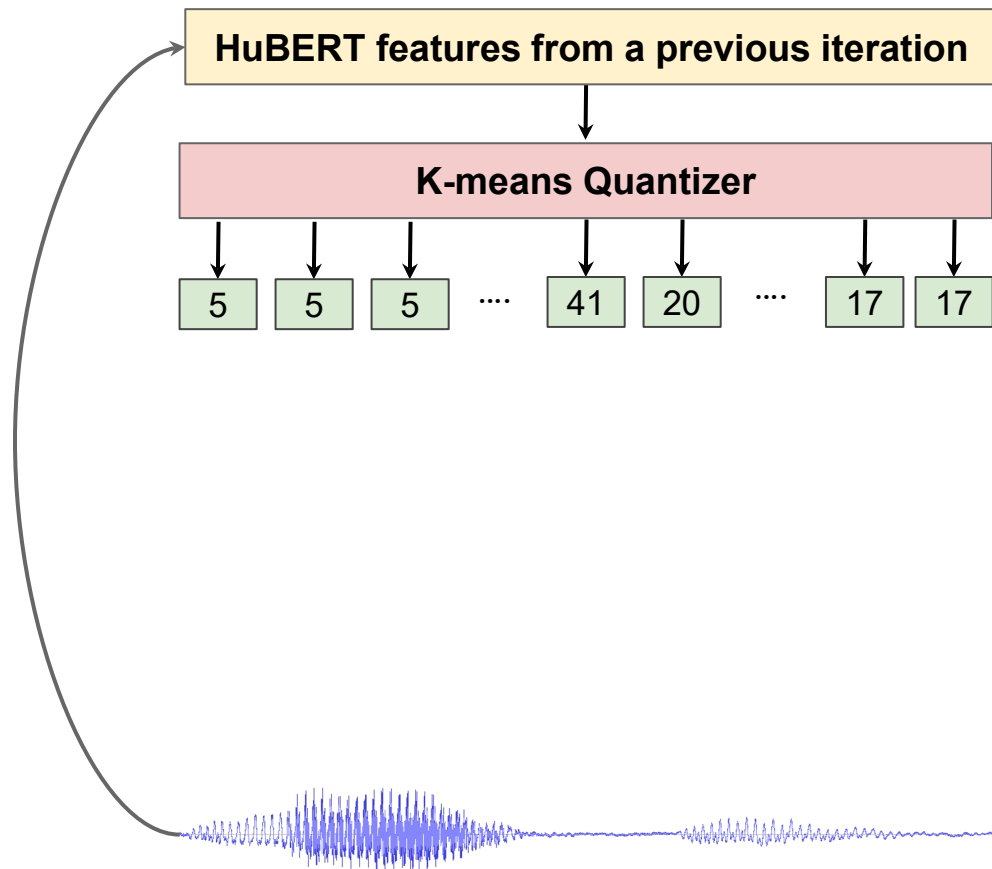
- Although the frame labels are imperfect, their consistency is more important!
- The model is trained using masked prediction:

$$\mathcal{L}_m = \sum_{t \in M} -\log p(y_t | X)$$



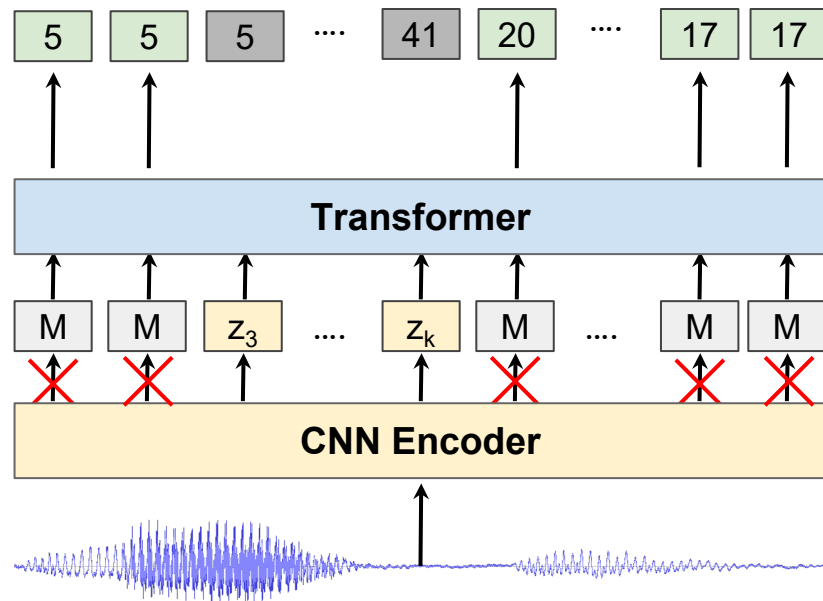
# HuBERT: The pretext task

- Then the process can be repeated using learned HuBERT features from a previous iteration.



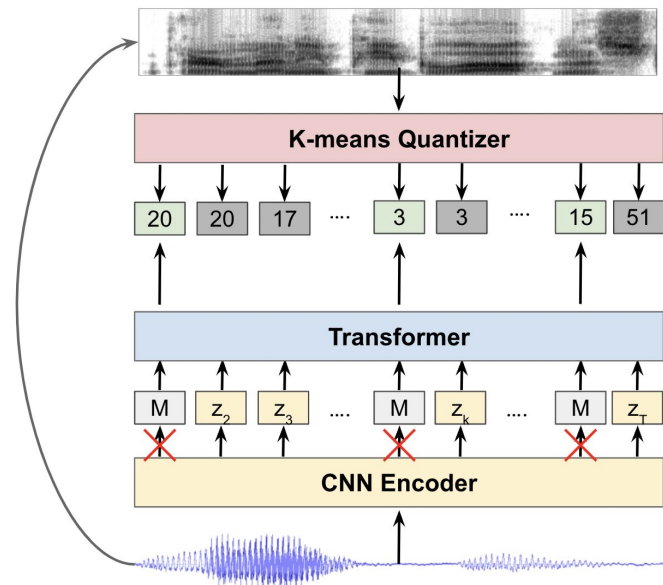
# HuBERT: The pretext task

- Then the process can be repeated using learned HuBERT features from a previous iteration.



# HuBERT: Implementation details

- A small codebook size, e.g., 50, 100, is used for the initial training iteration to focus on phonetic differences rather than speaker and style.
- For the subsequent two iterations, layers 6 and 9 of the base architecture (12 layers) are used for the clustering steps. They found empirically to contain higher quality features over many speech tasks.



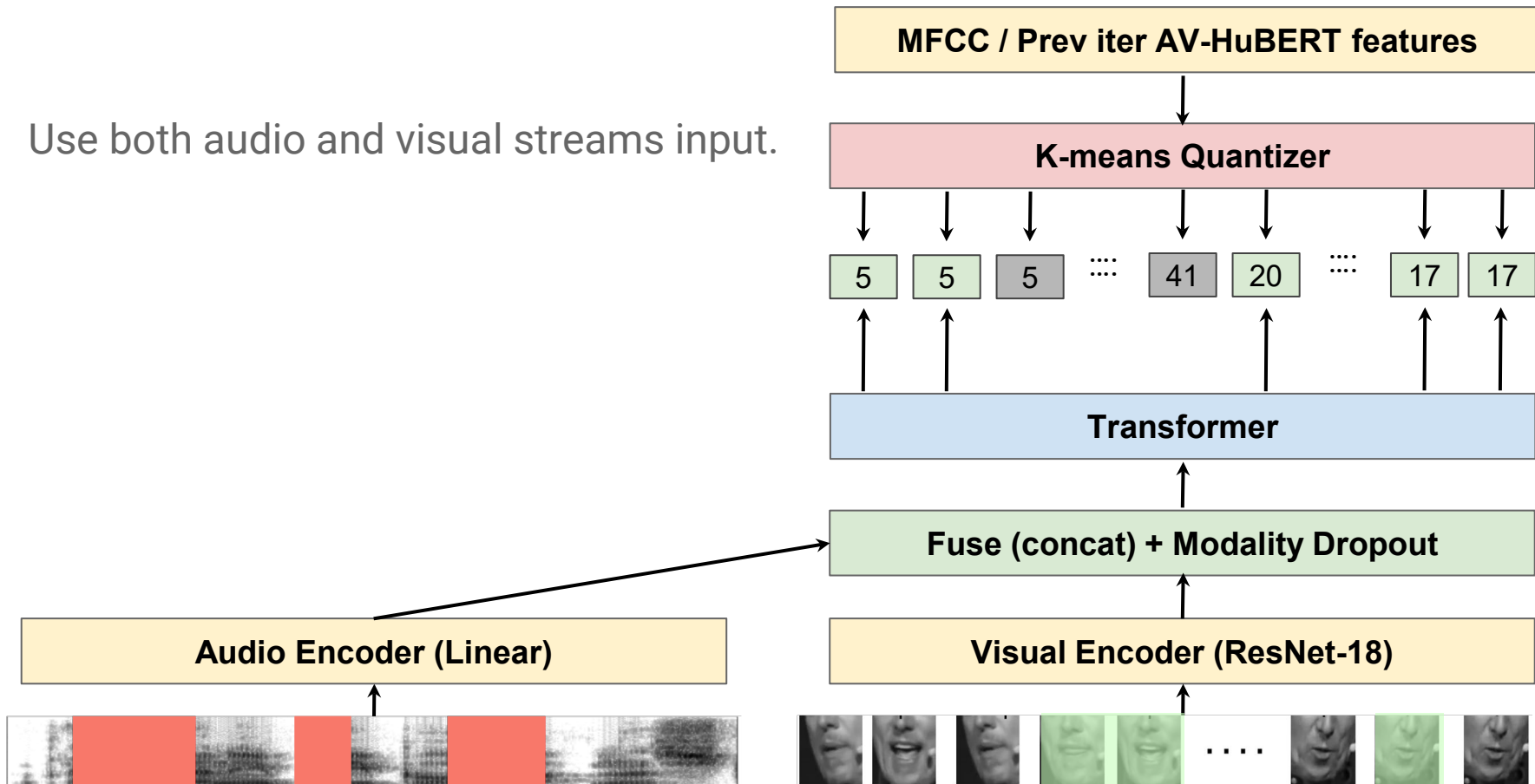
# HuBERT: Results

- Matched or beat the SOTA on ASR.
- The best representations for multiple downstream tasks (time of submission).
- The basis for WavLM, the current best system from Microsoft.

	PR	KS	IC	SID	ER	ASR (WER)		QbE	SF		ASV	SD
	PER ↓	Acc ↑	Acc ↑	Acc ↑	Acc ↑	w/o ↓	w/ LM ↓	MTWV ↑	F1 ↑	CER ↓	EER ↓	DER ↓
FBANK	82.01	8.63	9.10	8.5E-4	35.39	23.18	15.21	0.0058	69.64	52.94	9.56	10.05
PASE+ [16]	58.95	82.54	29.82	37.99	57.86	24.92	16.61	0.0072	62.14	60.17	11.61	8.68
APC [7]	42.21	91.01	74.69	60.42	59.33	21.61	15.09	0.0310	70.46	50.89	8.56	10.53
VQ-APC [32]	41.49	91.11	74.48	60.15	59.66	21.72	15.37	0.0251	68.53	52.91	8.72	10.45
NPC [33]	43.69	88.96	69.44	55.92	59.08	20.94	14.69	0.0246	72.79	48.44	9.4	9.34
Mockingjay [8]	70.84	83.67	34.33	32.29	50.28	23.72	15.94	6.6E-04	61.59	58.89	11.66	10.54
TERA [9]	49.17	89.48	57.90	57.57	56.27	18.45	12.44	0.0013	67.50	54.17	15.89	9.96
modified CPC [34]	42.54	91.88	64.09	39.63	60.96	20.02	13.57	0.0326	71.19	49.91	12.86	10.38
wav2vec [12]	32.24	95.59	84.92	56.56	59.79	16.40	11.30	0.0485	76.37	43.71	7.99	9.9
vq-wav2vec [13]	34.24	93.38	85.68	38.80	58.24	18.70	12.69	0.0410	77.68	41.54	10.38	9.93
wav2vec 2.0 Base [14]	5.56	96.23	92.35	75.18	63.43	9.57	6.32	0.0233	88.30	24.77	6.02	6.08
wav2vec 2.0 Large [14]	4.75	<b>96.66</b>	95.28	86.14	65.64	3.75	3.10	0.0489	86.94	27.80	5.65	<b>5.62</b>
HuBERT Base [35]	5.05	96.30	98.34	81.42	64.92	6.74	4.93	<b>0.0736</b>	88.53	25.20	<b>5.11</b>	5.88
HuBERT Large [35]	<b>3.28</b>	95.29	<b>98.76</b>	<b>90.33</b>	<b>67.62</b>	<b>3.67</b>	<b>2.91</b>	0.0353	<b>89.81</b>	<b>21.76</b>	5.98	5.75

# AV-HuBERT: Audio-Visual ASR

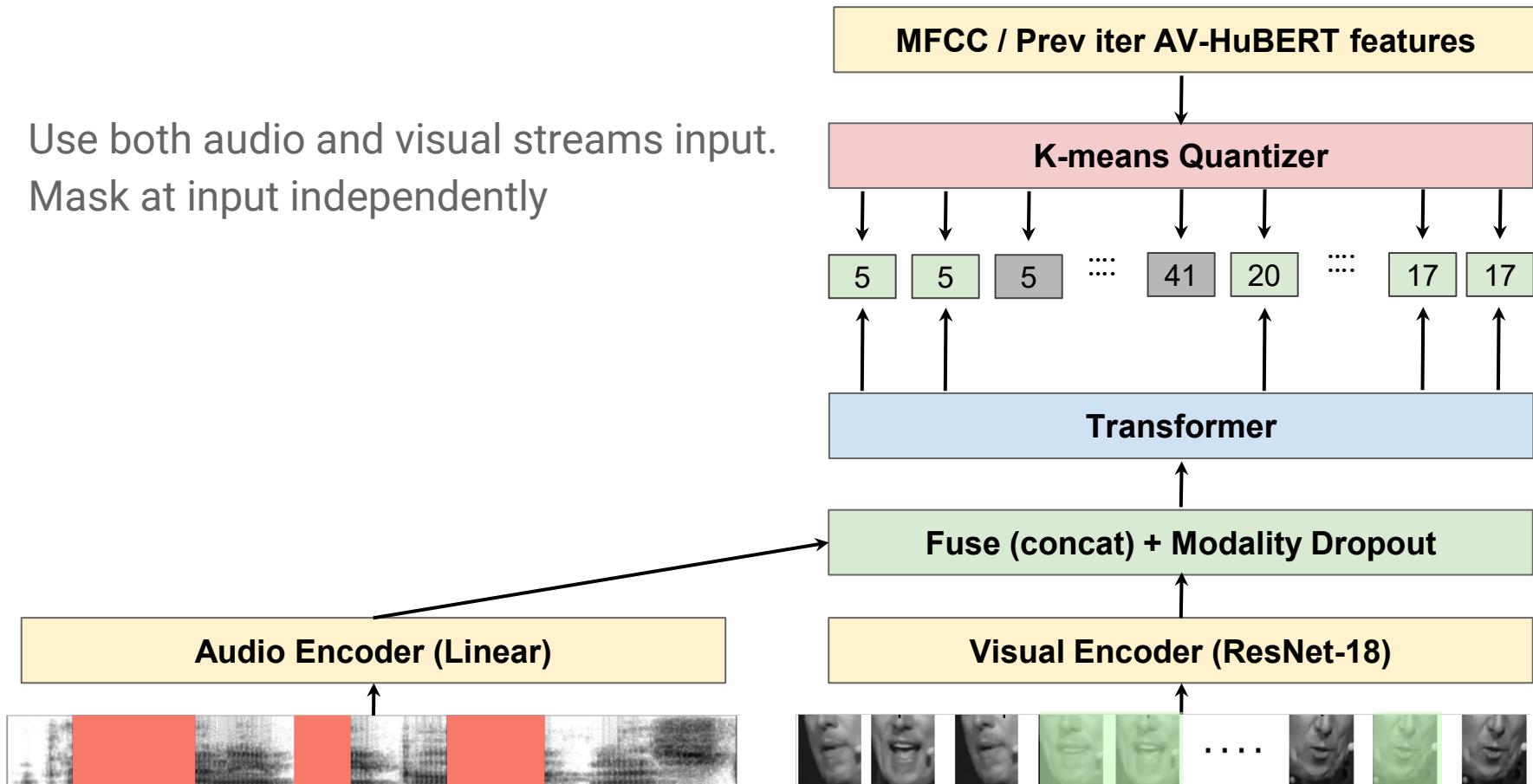
- Use both audio and visual streams input.





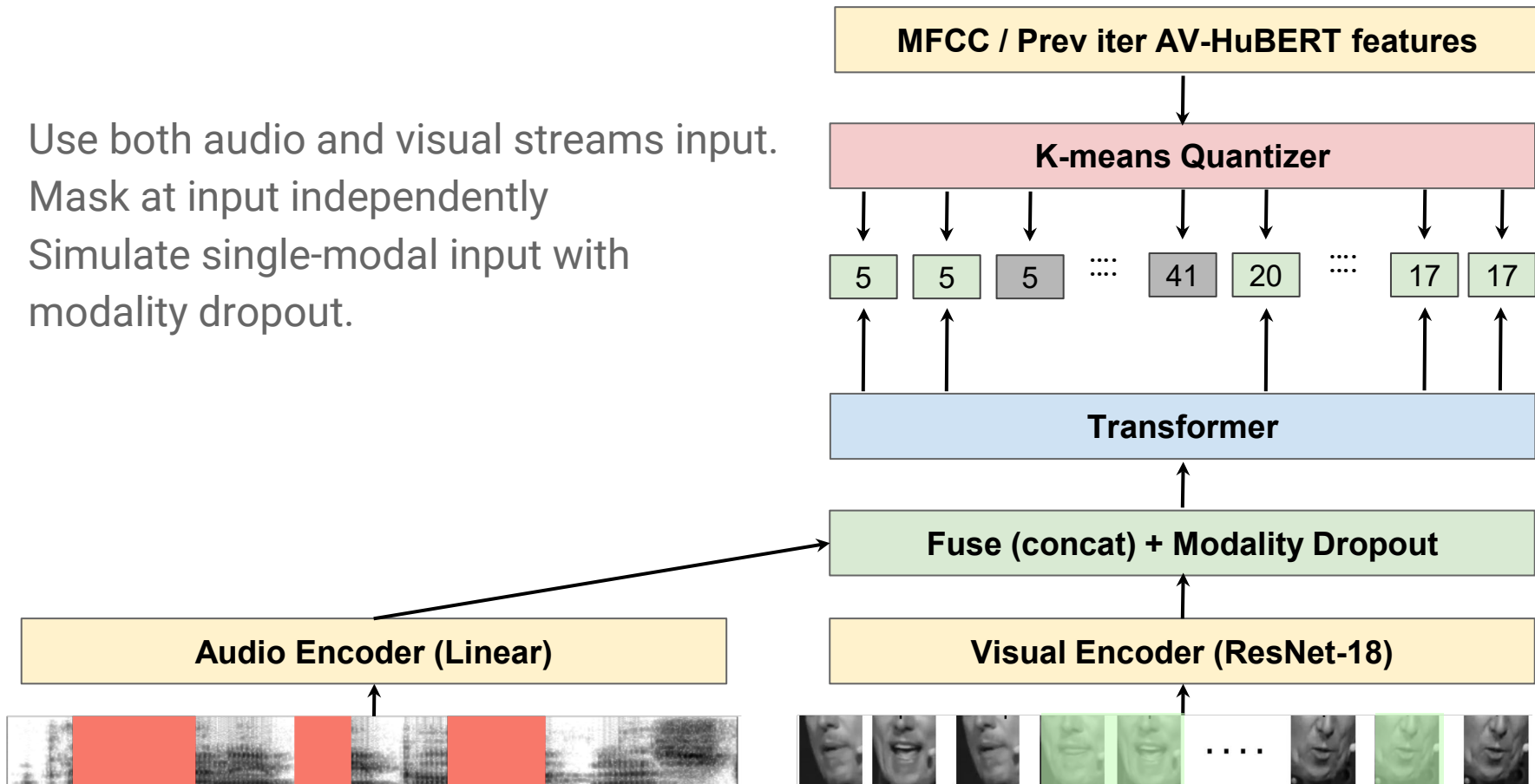
# AV-HuBERT: Audio-Visual ASR

- Use both audio and visual streams input.
- Mask at input independently



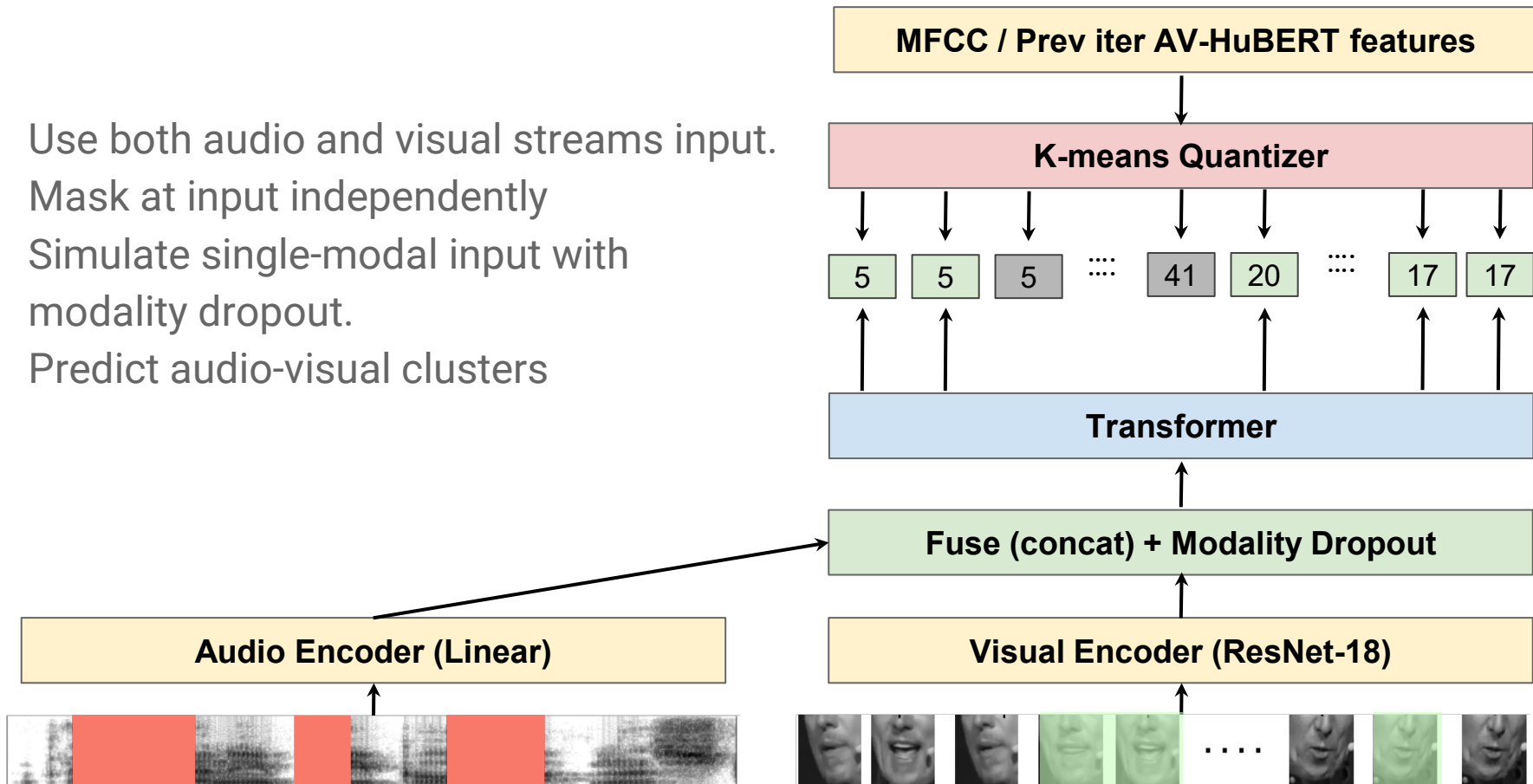
# AV-HuBERT: Audio-Visual ASR

- Use both audio and visual streams input.
- Mask at input independently
- Simulate single-modal input with modality dropout.

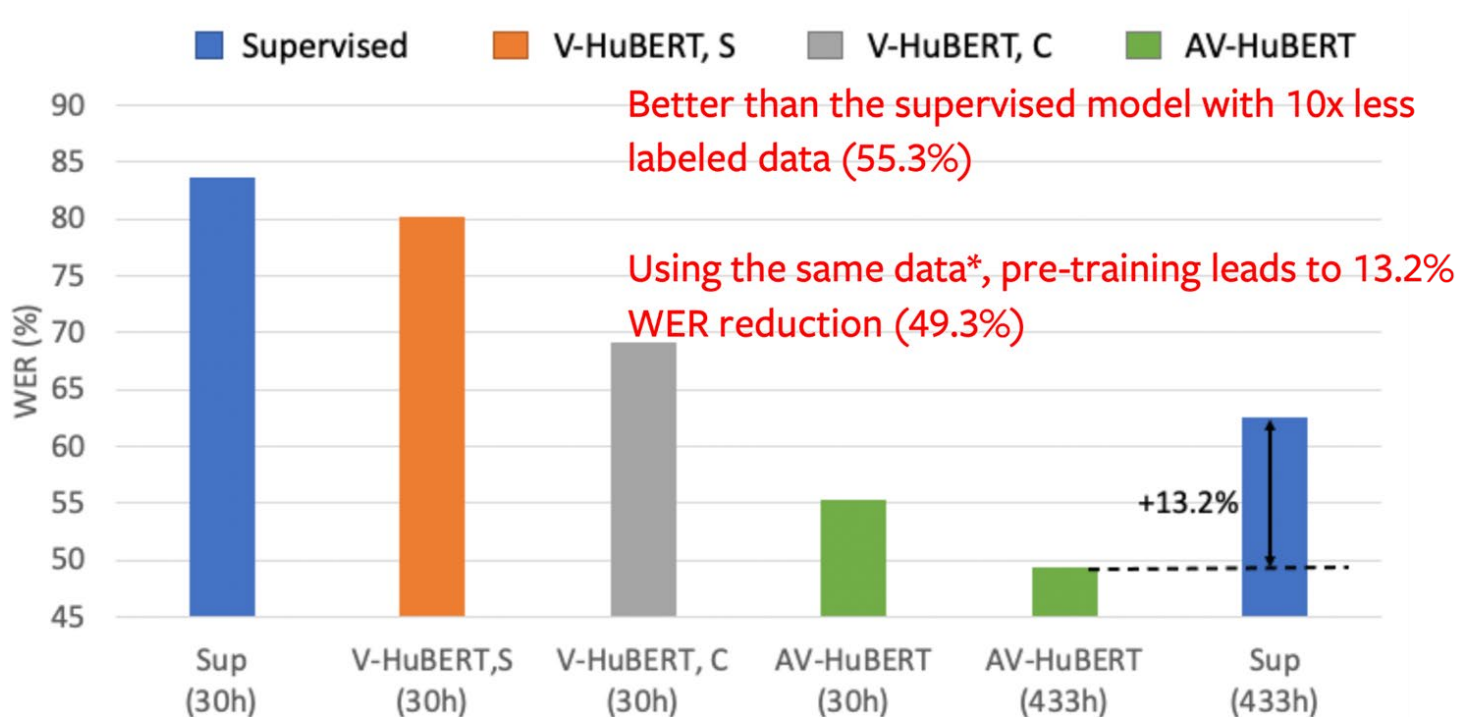


# AV-HuBERT: Audio-Visual ASR

- Use both audio and visual streams input.
- Mask at input independently
- Simulate single-modal input with modality dropout.
- Predict audio-visual clusters



# AV-HuBERT: Results



# AV-HuBERT: Insights

- Going directly to predict text labels from visual input is NOT effective

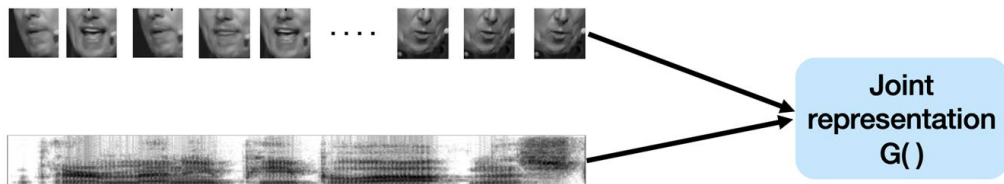


# AV-HuBERT: Insights

- Going directly to predict text labels from visual input is NOT effective



- Constraining the network into a joint audio-visual space first leads to much more effective representations.



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# Textless NLP

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# Getting closer to humans

## **Supervised ASR**

- Paired Text-audio
- Lexicon



# Getting closer to humans

## **Supervised ASR**

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## **Unsupervised ASR**

- Unpaired Text-audio
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# Getting closer to humans

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## **Textless NLP**

- Just audio!

# Textless NLP: Motivations

- Babies learn their first language through spoken interaction (without text).

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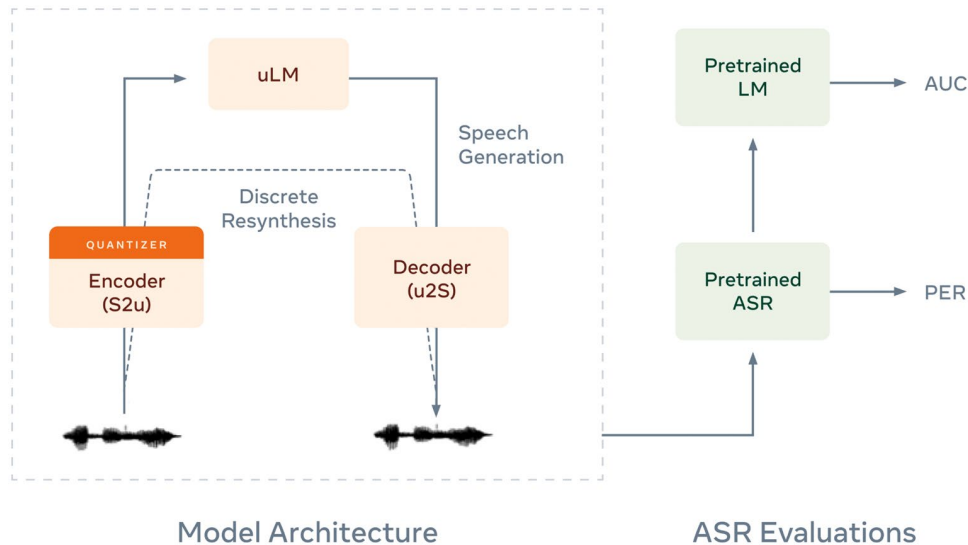
- Babies learn their first language through spoken interaction (without text).
- Speech processing methods leave out spoken-only dialects and languages, e.g., Swiss German, Igbo, and Egyptian Arabic.
- Limited work on modeling natural spoken cues while learning representations, e.g. hesitation, laughter, interruptions.

# Textless NLP: Applications

- Generative Spoken Language Modeling (GSLM)
- Expressive speech modeling and generation.
- Speech resynthesis, compression.
- Spoken Dialogue Modeling
- Speaker Conversion
- Emotion Conversion
- Speech-to-speech translation
- ....

# Textless NLP: GSLM

- GSLM learns jointly the acoustic and linguistic characteristics of a language from raw audio only.

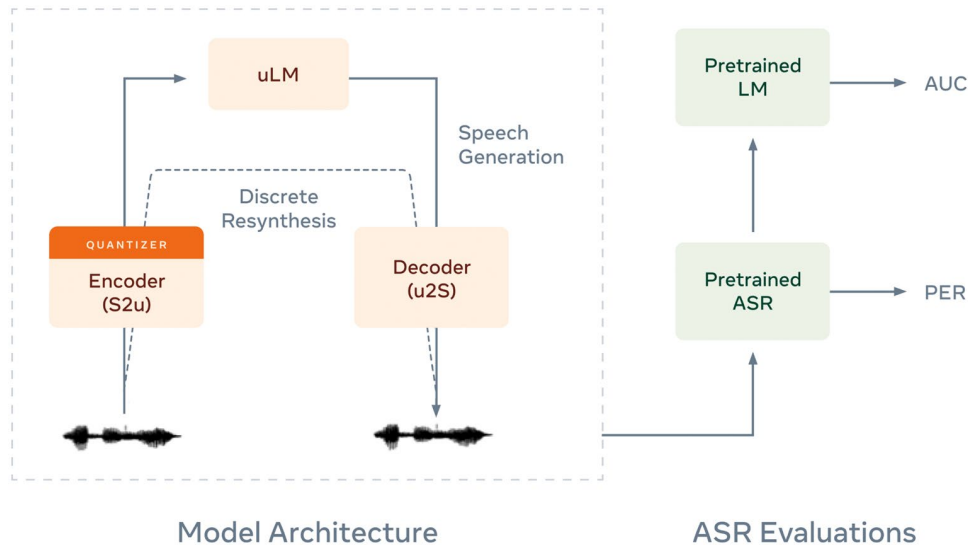


# Textless NLP: GSLM

- GSLM learns jointly the acoustic and linguistic characteristics of a language from raw audio only.

- GSLM evaluation metrics should be:

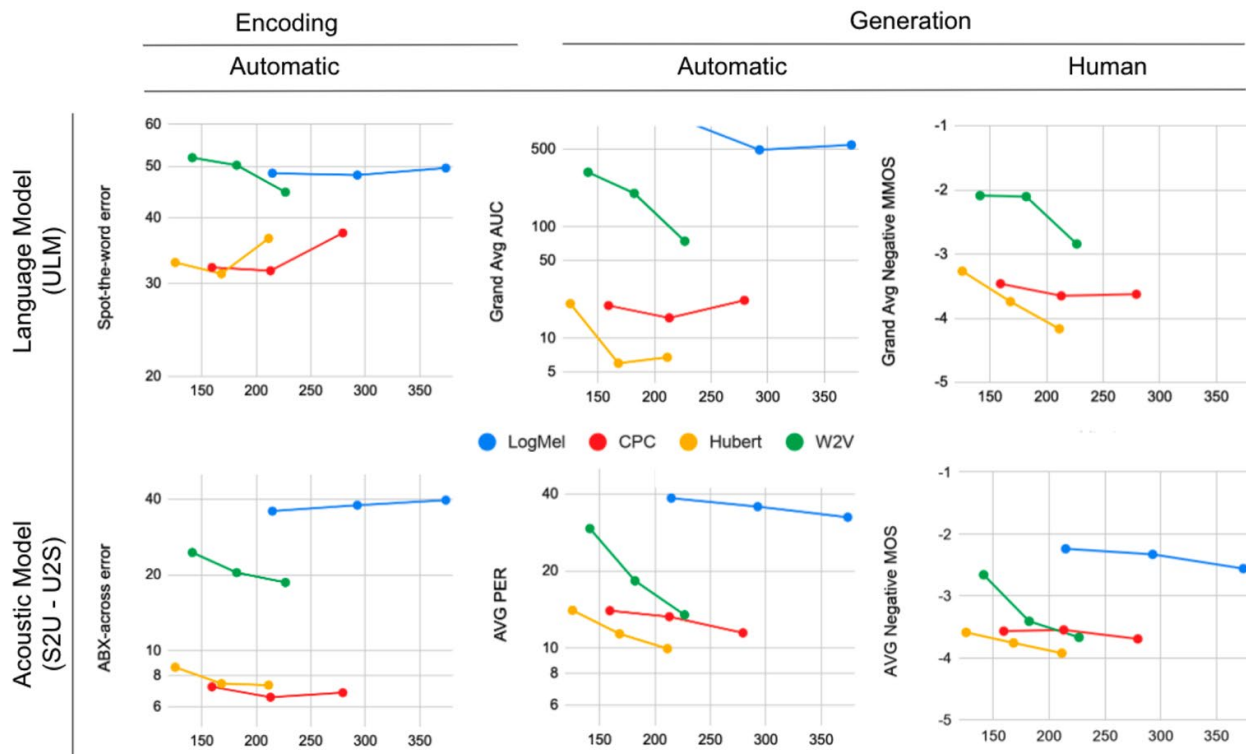
1. Independent of the learned discrete unit.
2. Evaluate the intelligibility, diversity, and meaningfulness of the generated content.





# Textless NLP: GSLM Results

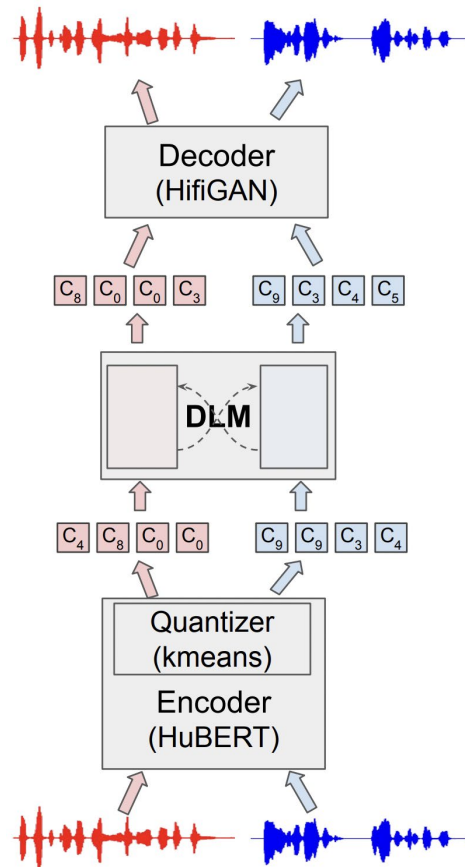
- Generated content is as good as character-based LM+TTS



# Textless NLP: Dialogue Generation

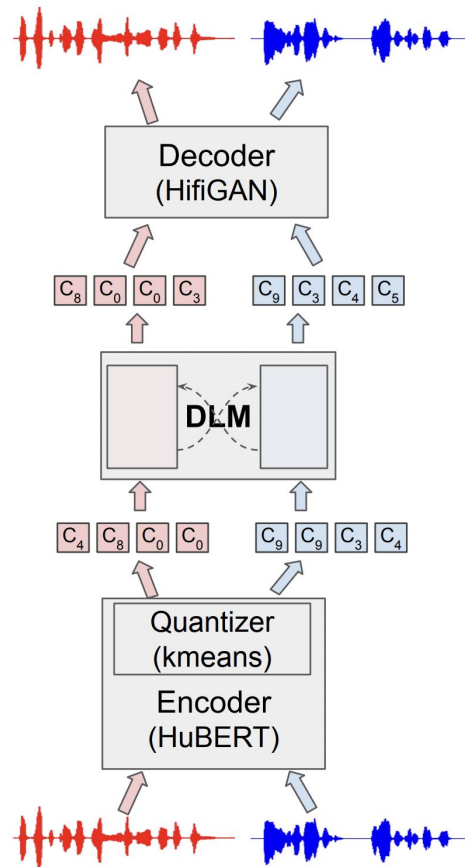
# Textless NLP: Dialogue Generation

- GSLM was also extended to model and generate multi turn dialogues of Fisher data.



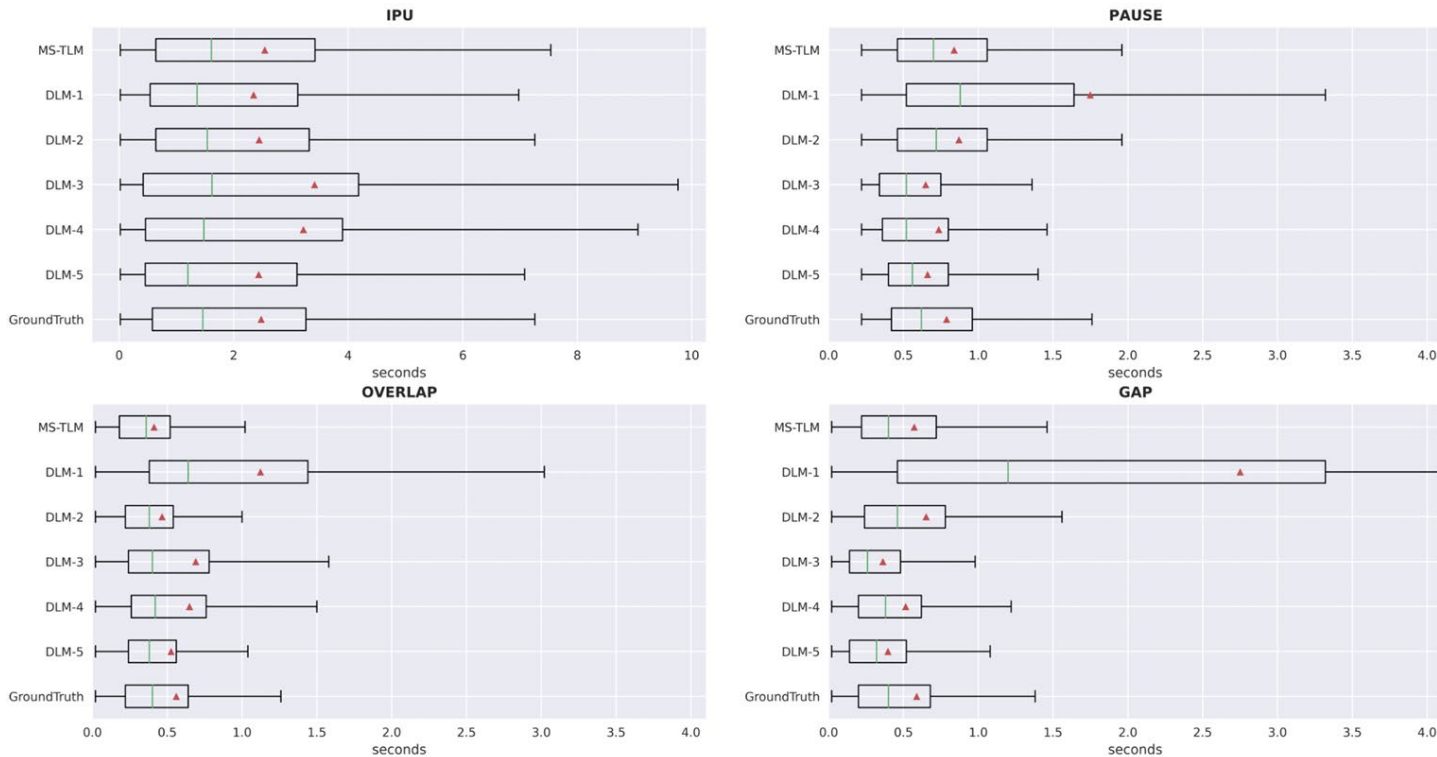
# Textless NLP: Dialogue Generation

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# Textless NLP: Dialogue Generation Results

- The model learns to mimic the stats of human-human communication



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# Privacy-preserving Speech Representation Learning

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# Privacy-preservation + lifelong learning

- Can models keep training on device without communication at all with servers?
- Can we build representation models that improves for certain household without degrading for visitors?

# LibriContinual for lifelong representation learning

- **LibriContinual** is a new open-source benchmark to test our technology abilities.
- It contains 118 speakers from Librivox.

Subset	#hrs/spkr	#utts/spkr
train-10min	$0.17 \pm 0.001$	$114 \pm 28$
train-30min	$0.50 \pm 0.001$	$337 \pm 81$
train-1hr	$1.00 \pm 0.001$	$677 \pm 163$
train-2hr	$2.00 \pm 0.001$	$1356 \pm 322$
train-5hr	$5.00 \pm 0.003$	$3387 \pm 806$
train-10hr	$10.00 \pm 0.005$	$6772 \pm 1608$
valid	$3.13 \pm 1.86$	$2125 \pm 1406$
test	$2.66 \pm 1.15$	$1880 \pm 1101$



# Binary HuBERT for optimized processing

- First step for more optimized training on device.
- Tested two different methods for binarizing HuBERT models

Base Model	Quant	Precision	SUPERB Tasks									Storage (MBs)↓	FLOPs (Gs)↓	QuantOPs (GBits)↓	Runtime (Est. x)↓
			ASR↓	KS↑	SF↑	PR↓	QbE↑	IC↑	ASV↓	SD↓	ER↑				
HuBERT (+FastConv[17])	–	fp16	6.42	96.59	0.88	5.41	7.36	97.15	5.11	6.20	64.92	189.14	153.14	0.00	1.38
	–	fp16	<b>7.06</b>	96.62	0.89	6.05	6.91	97.28	5.30	6.32	65.00	<b>184.42</b>	110.79	0.00	<b>1.00</b>
	SqWQ[2]	w8	9.69	96.88	0.88	7.30	6.19	96.65	5.88	6.52	62.83	99.65	82.24	1898.44	<b>1.00</b>
		w4	9.98	96.59	0.88	8.03	5.86	96.26	6.06	6.73	62.79	57.19	82.24	1054.69	0.89
		w2	12.56	94.22	0.86	11.79	5.27	94.02	6.31	7.12	62.38	35.95	82.24	632.81	0.83
		w1	25.37	85.07	0.73	41.77	4.74	64.88	18.23	11.26	54.40	25.34	82.24	421.88	0.80
	BiT-L[1] (Linear Only)	w8a8	7.03	96.85	0.88	6.22	6.36	98.23	5.54	6.36	65.94	99.49	82.29	1898.44	1.00
		w4a4	8.58	96.56	0.88	7.15	6.40	96.10	5.55	6.26	64.12	57.02	82.29	527.34	0.81
		w2a2	10.80	95.88	0.86	8.79	5.62	97.47	5.68	6.55	63.49	35.79	82.29	158.20	0.76
		w1a1	12.23	94.94	0.86	10.49	5.99	96.49	6.55	6.87	63.06	25.17	82.29	52.73	0.75
	BiT-LA[1] (Linear +Attention)	w8a8	7.07	97.21	0.89	6.30	6.40	98.10	5.56	6.24	65.77	99.54	11.82	3868.56	0.63
		w4a4	9.35	96.62	0.88	7.76	6.37	96.92	5.75	6.09	66.58	57.08	11.82	1074.60	0.25
		w2a2	12.68	95.07	0.85	12.56	5.23	95.02	7.40	6.94	63.00	35.84	11.82	322.38	0.15
		w1a1	<b>15.96</b>	93.83	0.78	22.96	5.63	93.01	6.83	7.62	61.68	<b>25.23</b>	11.82	107.46	<b>0.12</b>
DistillHuBERT[5]	–	fp16	13.37	95.98	0.83	16.27	5.11	94.99	8.55	6.19	63.02	46.98	80.34	0.00	0.73

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**Questions?**

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