

DEPARTMENT OF COMPUTER SCIENCE

TDT4900 — MASTER'S THESIS

Matroids and fair allocation

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In matroid theory, we explore A structure with properties galore From bases to circuits It never discourages Mathematicians always wanting more

The axioms it holds are so grand And its applications vast and unplanned From optimization to graphs It can solve so many tasks Matroid theory, truly a wonderland

ChatGPT

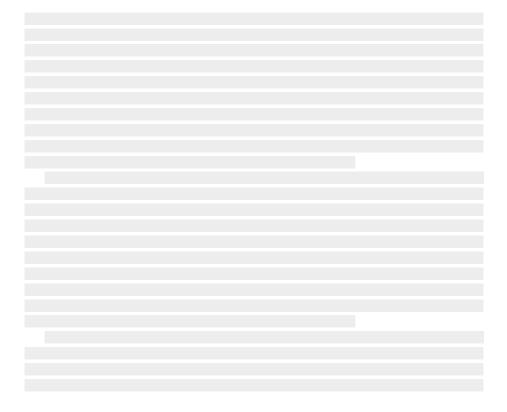
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Chapter 1

Introduction



Chapter 2

Background

Chapter 3

Random matroid generation

One goal for this project is to create the Julia library Matroids.jl, which will supply functionality for generating and interacting with random matroids. In the preparatory project delivered fall of 2022, I implemented Knuth's 1974 algorithm for the random generation of arbitrary matroids via the erection of closed sets [Knu75]. With this, I was able to randomly generate matroids of universe sizes $n \leq 12$, but for larger values of n my implementation was unbearably slow. In this chapter, after a brief foray into the required matroid theory, Knuth's method for random matroid construction will be described, along with the steps I have taken to speed up my initial, naïve implementation. The random generation of other specific types of matroids is discussed as well.

3.1 Preliminaries

3.1.1 Matroid theory

If a mathematical structure can be defined or axiomatized in multiple different, but not obviously equivalent, ways, the different definitions or axiomatizations of that structure make up a cryptomorphism. The many obtusely equivalent definitions of a matroid are a classic example of cryptomorphism, and belie the fact that the matroid is a generalization of concepts in many, seemingly disparate areas of mathematics.

First introduced by Hassler Whitney in 1935 [Whi35], in a seminal paper where he described two axioms for independence in the columns of a matrix, and defined any system obeying these axioms to be a "matroid". Whitney's

key insight was that this abstraction of "independence" is applicable to both matrices and graphs. As a result of this, the terms used in matroid theory are borrowed from analogous concepts in both graph theory and linear algebra. Matroids have also received attention from researchers in fair allocation, as their properties make them useful for modeling user preferences; for instance, matroid rank functions are a natural way of formally describing course allocation for students [Ben21].

Independent sets

Perhaps the most common way to define a matroid is in terms of its *independent* sets. An independence system is a pair (E,\mathcal{I}) , where E is the ground set of elements, $E \neq \emptyset$, and \mathcal{I} is the set of independent sets, $\mathcal{I} \subseteq 2^E$. A matroid is an independence system with the following properties:

- 1. The empty set is independent: $\emptyset \in \mathcal{I}$.
- 2. The hereditary property: if $A \subseteq B$ and $B \in \mathcal{I}$, then $A \in \mathcal{I}$.
- 3. The augmentation property: If $A, B \in \mathcal{I}$ and |A| > |B|, then there exists $e \in A$ such that $B \cup \{e\} \in S$.

In practice, the ground set E represents the universe of elements in play, and the independent sets of typically represent the legal combinations of these items. In the context of fair allocation, the independent sets represent the legal (in the case of matroid constraints) or desired (in the case of matroid utilities) bundles of items.

Rank

Given a matroid $\mathfrak{M}=(E,\mathcal{I})$, the matroid rank function (MRF) is a function $rank: 2^E \to \mathbb{Z}^+$ that gives the rank of a set $A \subseteq E$, which is defined to be the size of the largest independent subset of A. Formally,

$$rank(A) = \max\{|X| : X \subseteq A \text{ and } X \in \mathcal{I}\}.$$

Matroid rank functions are binary submodular. Binary because they have binary marginals, that is, $rank(A \cup \{e\}) - rank(A) \in \{0,1\}$, for all $A \subseteq 2^E$ and $e \in E$. Submodularity refers to rank functions' natural diminishing returns property, namely that for any two sets $X, Y \subseteq E$, we have

$$rank(X \cup Y) + rank(X \cap Y) \le rank(X) + rank(Y).$$

This diminishing returns property makes the rank function useful for modeling user preferences, and is a reason why matroids show up so often in economics and game theory (???).

Closed sets

We also need to establish the concept of the *closed sets* of a matroid. A closed set is a set whose cardinality is maximal for its rank. Equivalently to the definition given above, we can define a matroid as $\mathfrak{M} = (E, \mathcal{F})$, where \mathcal{F} is the set of closed sets of \mathfrak{M} , satisfying the following properties:

- 1. The set of all elements is closed: $E \in \mathcal{F}$
- 2. The intersection of two closed sets is a closed set: If $A,B\in\mathcal{F},$ then $A\cap B\in\mathcal{F}$
- 3. If $A \in \mathcal{F}$ and $a, b \in E \setminus A$, then b is a member of all sets in \mathcal{F} containing $A \cup \{a\}$ if and only if a is a member of all sets in \mathcal{F} containing $A \cup \{b\}$

3.1.2	Examples of matroid	5	
The fi	ree matroid		
1110 11			

The uniform matroid	
The different matroid	
The partition method	
The partition matroid	
The vector matroid	

The graphic matroid	

3.2 Knuth's matroid construction (KMC)

Knuth-Matroid (given in Algorithm 1) accepts the ground set E and a list of enlargements X, and produces the matroid $\mathfrak{M}=(E,\mathcal{F})$, such that for each set $X\in X[r]$, we have $X\in \mathcal{F}$, $\mathrm{rank}(X)=r$. The algorithm outputs the tuple (E,F) , where $\mathrm{F}=[F_0,\ldots,F_r]$, r being the final rank of \mathfrak{M} and F_i the family of closed sets of rank i. In the paper, Knuth shows that $\bigcup_{i=0}^r \mathrm{F}[r]=\mathcal{F}$, and so the algorithm produces a valid matroid represented by its closed sets.

The covers of a closed set A of rank r are the sets obtained by adding one more element from E to A. The algorithm proceeds in a bottom-up manner, starting with the single closed set of rank 0 (the empty set) and for each rank r+1 adds the covers of the closed sets of rank r. The covers are generated with the helper method Generate-Covers(F, r, E).

```
GENERATE-COVERS(F, r, E)
1 return \{A \cup \{a\} : A \in F[r], a \in E \setminus A\}
```

Given no enlargements (X = []), the resulting matroid is the free matroid over E. Arbitrary matroids can be generated by supplying different lists X. When enlarging, the sets in X[r+1] are simply added to F[r+1].

SUPERPOSE!(F[r+1], F[r]) ensures that the newly enlarged family of closed sets of rank r+1 is valid. If F_{r+1} contains two sets A, B whose intersection $A \cap B \not\subseteq C$ for any $C \in F_r$, replace A, B with $A \cup B$. Repeat until no two sets exist in F_{r+1} whose intersection is not contained within some set $C \in F_r$.

3.2.1 Randomized KMC

In the randomized version of KNUTH-MATROID, we generate matroids by applying a supplied number of random coarsening steps, instead of enlarging with

```
Superpose!(F_{r+1}, F_r)
     for A \in F_{r+1}
 1
             for B \in F_{r+1}
 2
                   flag \leftarrow \text{True}
 3
                    for C \in F_r
 4
 5
                          if A \cap B \subseteq C
                                 flag \leftarrow \text{False}
 6
 7
                    if flag = TRUE
 8
 9
                          F_{r+1} \leftarrow F_{r+1} \setminus \{A, B\}
                           F_{r+1} \leftarrow F_{r+1} \cup \{A \cup B\}
10
```

Algorithm 1 KNUTH-MATROID(E, X)

```
Input: The ground set of elements E, and a list of enlargements X.

Output: The list of closed sets of the resulting matroid grouped by rank,
```

 $F = [F_0, \dots, F_r]$, where F_i is the set of closed sets of rank i.

```
1 r = 0, F = [\{\emptyset\}]
  while TRUE
       Push!(F, Generate-Covers(F, r, E))
3
       F[r+1] = F[r+1] \cup X[r+1]
4
       Superpose!(F[r+1], F[r])
5
6
       if E \notin F[r+1]
            r \leftarrow r + 1
7
       else
8
9
            return (E, F)
```

supplied sets. This is done by applying Superpose! immediately after adding the covers, then choosing a random member A of F[r+1] and a random element $a \in E \setminus A$, replacing A with $A \cup \{a\}$ and finally reapplying Superpose!. The parameter $p = (p_1, p_2, \ldots)$ gives the number of such coarsening steps to be applied at each iteration of the algorithm.

The pseudocode given up to this point corresponds closely to the initial

Julia implementation, which can be found in Appendix B.1. It should already be clear that this brute force implementation leads to poor performance – for instance, the Superpose! method uses a triply nested for loop, which should be a candidate for significant improvement if possible. Section 3.2.2 describes the engineering work done to create a more performant implementation.

Algorithm 2 RANDOMIZED-KNUTH-MATROID(E, p)

```
Input: The ground set of elements E, and a list p = [p_1, p_2, ...], where p_r is the number of coarsening steps to apply at rank r in the construction.
```

Output: The list of closed sets of the resulting matroid grouped by rank, $F = [F_0, ..., F_r]$, where F_i is the set of closed sets of rank i.

```
1 r = 0, F = [\{\emptyset\}]
    while TRUE
         Push!(F, Generate-Covers(F, r, E))
 3
         SUPERPOSE!(F[r+1], F[r])
 4
 5
         if E \in F[r+1] return (E,F)
         while p[r] > 0
 6
 7
               A \leftarrow \text{a random set in } \mathbf{F}[r+1]
              a \leftarrow \text{a random element in } E \setminus A
 8
              replace A with A \cup \{a\} in F[r+1]
 9
              SUPERPOSE!(F[r+1], F[r])
10
              if E \in F[r+1] return (E,F)
11
              p[r] = p[r] - 1
12
         r = r + 1
13
```

3.2.2 Improving performance

When recreating Knuth's table of observed mean values for the randomly generated matroids, some of the latter configurations of n and $(p_1, p_2, ...)$ was unworkably slow, presumably due to my naïve implementation of the algorithm. Table 3.1 shows the performance of this first implementation.

Table 3.1: Performance of random_kmc_v1.

\overline{n}	(p_1,p_2,\ldots)	Trials	Time	GC Time	Bytes allocated
10	(0, 6, 0)	100	0.0689663	0.0106786	147.237 MiB
10	(0, 5, 1)	100	0.1197194	0.0170734	$251.144~\mathrm{MiB}$
10	(0, 5, 2)	100	0.0931822	0.0144022	$203.831~\mathrm{MiB}$
10	(0, 6, 1)	100	0.0597314	0.0094902	$132.460~\mathrm{MiB}$
10	(0, 4, 2)	100	0.1924601	0.0284532	$406.131~\mathrm{MiB}$
10	(0, 3, 3)	100	0.3196838	0.0463972	$678.206~\mathrm{MiB}$
10	(0, 0, 6)	100	1.1420602	0.1671325	$2.356~\mathrm{GiB}$
10	(0, 1, 1, 1)	100	2.9283978	0.3569357	$5.250~\mathrm{GiB}$
13	(0, 6, 0)	10	104.0171128	9.9214449	$161.523~\mathrm{GiB}$
13	(0, 6, 2)	10	11.4881308	1.3777947	$20.888~\mathrm{GiB}$
16	(6, 0, 0)	1	-	-	-

The performance was measured using Julia's $@timed^1$ macro, which returns the time it takes to execute a function call, how much of that time was spent in garbage collection and the number of bytes allocated. As is evident from the data, larger matroids are computationally quite demanding to compute with the current approach, and the time and space requirements scales exponentially with n. Can we do better? As it turns out, we can; after the improvements outlined in this section, we will be able to generate matroids over universes as large as n = 128 in a manner of seconds and megabytes.

Representing sets as binary numbers

The first improvement we will attempt is to represent our closed sets using one of Julia's Integer types of bit width at least n, instead of as a Set² of elements of E. Appendix B contains all the code referenced in this chapter; the Julia implementation at this point can be found in B.2.

¹https://docs.julialang.org/en/v1/base/base/#Base.@timed

²https://docs.julialang.org/en/v1/base/collections/#Base.Set

The idea is to define a family of closed sets of the same rank as $Set{UInt16}$. Using UInt16 we can support ground sets of size up to 16. Each 16-bit number represents a set in the family. For example, the set $\{2,5,7\}$ is represented by

$$164 = 0x00a4 = 0b000000010100100 = 2^7 + 2^5 + 2^2.$$

At either end we have $\emptyset \equiv 0$ x0000 and $E \equiv 0$ xffff (if n = 16). The elementary set operations we will need have simple implementations using bitwise operations.

Set operation	Bitwise operation
$A \cap B$	A AND B
$A \cup B$	A OR B
$A \setminus B$	A AND NOT B
$A \subseteq B$	$A ext{ AND } B = A$

We can now describe the bitwise versions of the required methods. The bitwise implementation of Generate-Covers finds all elements in $E \setminus A$ by finding each value $0 \le i < n$ for which A & 1 << i === 0, meaning that the set represented by 1 << i is not a subset of A. The bitwise implementation of Superpose! is unchanged apart from using the bitwise set operations described above.

The performance of random_kmc_v2 is shown in Table 3.2. It is clear that representing closed sets using binary numbers represents a substantial improvement – we are looking at performance increases of 100x-1000x across the board. Great stuff!

Sorted superpose

Can we improve the running time of the algorithm further? It is clear that SUPERPOSE! takes up a large portion of the compute time. In the worst case, when no enlargements have been made, F_{r+1} is the set of all r+1-sized subsets

Table 3.2: Performance of random_kmc_v2.

\overline{n}	(p_1,p_2,\ldots)	Trials	Time	GC Time	Bytes allocated
10	[0, 6, 0]	100	0.0010723	0.0001252	$1.998~\mathrm{MiB}$
10	[0, 5, 1]	100	0.0017543	0.0001431	$3.074~\mathrm{MiB}$
10	[0, 5, 2]	100	0.0008836	0.0001075	$2.072~\mathrm{MiB}$
10	[0, 6, 1]	100	0.0007294	6.73 e-5	$1.700~\mathrm{MiB}$
10	[0, 4, 2]	100	0.0020909	0.0001558	$3.889~\mathrm{MiB}$
10	[0, 3, 3]	100	0.0024636	0.0002139	$4.530~\mathrm{MiB}$
10	[0, 0, 6]	100	0.007082	0.0004801	$9.314~\mathrm{MiB}$
10	[0, 1, 1, 1]	100	0.0132477	0.0008307	17.806 MiB
13	[0, 6, 0]	10	0.042543	0.0014988	$31.964~\mathrm{MiB}$
13	[0, 6, 2]	10	0.0183313	0.0012176	$21.062~\mathrm{MiB}$
16	[0, 6, 0]	10	1.2102877	0.0146129	$450.052~\mathrm{MiB}$

of E, $|F_{r+1}| = \binom{n}{r+1}$. Comparing each $A, B \in F_{r+1}$ with each $C \in F_r$ in a triply nested for loop requires $\mathcal{O}(\binom{n}{r+1}^2\binom{n}{r})$ operations. In the worst case, no enlargements are made at all, and we build the free matroid in $\mathcal{O}(2^{3n})$ time (considering only the superpose step).

After larger closed sets have been added to F[r+1], Superpose! will cause sets to merge, so that only maximal dependent sets remain. Some sets will even simply disappear. In the case where $X = \{1,2\}$ was added by Generate-Covers, and the $Y = \{1,2,3\}$ was added manually as an enlargement, the smaller set will be fully subsumed in the bigger set, as $\{1,2\} \cap \{1,2,3\} = \{1,2\}$ (which is not a subset of any set in F[r]) and $\{1,2\} \cup \{1,2,3\} = \{1,2,3\}$. In this situation, Y would "eat" the covers $\{1,3\}$ and $\{2,3\}$ as well. This fact is reflected in the performance data – notice how much more space is demanded by the 10-element matroid with p = [0,0,6] than the one with p = [0,6,0] in any of the performance tables in this section. Making enlargements at earlier ranks will result in smaller matroids as more sets will get absorbed.

```
function sorted_bitwise_superpose!(F, F_prev)
As = sort!(collect(F), by = s -> length(bits_to_set(s)))
while length(As) !== 0
A = popfirst!(As)
```

```
for B in setdiff(F, A)
   if should_merge(A, B, F_prev)
   insert!(As, 1, A | B)
   setdiff!(F, [A, B])
   push!(F, A | B)
   break
   end
end
end
return F
```

Since the larger sets will absorb so many of the smaller sets (around $\binom{p}{r+1}$), where p is the size of the larger set and r+1 is the size of the smallest sets allowed to be added in a given iteration), might it be an idea to perform the superpose operation in descending order based on the size of the sets? This should result in fewer calls to Superpose!, as the bigger sets will remove the smaller sets that fully overlap with them in the early iterations, however, the repeated sorting of the sets might negate this performance gain. This is the idea behind sorted_bitwise_superpose!, which was used in random_kmc_v3. The full code can be found in Appendix B.2.

Unfortunately, as Table 3.3 shows, this implementation is a few times slower and more space demanding than the previous implementation. This is might be due to the fact that an ordered list is more space inefficient than the hashmap-based Set.

Iterative superpose

The worst-case $\mathcal{O}(\binom{n}{r+1}^2\binom{n}{r})$ runtime of SUPERPOSE! at step r is due to the fact that it takes in F after all covers and enlargements have been indiscriminately added to F[r+1] and then loops through to perform the superposition. Might there be something to gain by inserting new closed sets into the current family one at a time, and superposing on the fly?

Table 3.3: Performance of random_kmc_v3.

\overline{n}	(p_1,p_2,\ldots)	Trials	Time	GC Time	Bytes allocated
10	[0, 6, 0]	100	0.0023382	0.0001494	$4.042~\mathrm{MiB}$
10	[0, 5, 1]	100	0.001853	0.0001433	$4.383~\mathrm{MiB}$
10	[0, 5, 2]	100	0.0017845	0.0001341	$4.043~\mathrm{MiB}$
10	[0, 6, 1]	100	0.0015145	0.0001117	$3.397~\mathrm{MiB}$
10	[0, 4, 2]	100	0.0030704	0.0002125	$6.385~\mathrm{MiB}$
10	[0, 3, 3]	100	0.0037838	0.0002514	$7.018~\mathrm{MiB}$
10	[0, 0, 6]	100	0.008903	0.000557	14.159 MiB
10	[0, 1, 1, 1]	100	0.0142828	0.0008823	$21.838~\mathrm{MiB}$
13	[0, 6, 0]	10	0.0627633	0.002094	$51.492~\mathrm{MiB}$
13	[0, 6, 2]	10	0.0106478	0.0007704	$20.774~\mathrm{MiB}$
16	[0, 6, 0]	10	0.6070136	0.0095656	310.183 MiB

```
# Superpose (random_kmc_v4)
push!(F, Set()) # Add F[r+1].
while length(to_insert) > 0
A = pop!(to_insert)
push!(F[r+1], A)

for B in setdiff(F[r+1], A)

if should_merge(A, B, F[r])
   push!(to_insert, A | B)
   setdiff!(F[r+1], [A, B])
   push!(F[r+1], A | B)
end
end
end
```

In random_kmc_v4, the full code of which can be found in Appendix B.3, the covers and enlargements are not added directly to F[r+1], but to a temporary array to_insert. Each set A is then popped from to_insert one at a time, added to F[r+1] and compared with the other sets $B \in F[r+1] \setminus \{A\}$ and $C \in F[r]$ in the usual Superpose! manner. This results in fewer comparisons, as each set is only compared with the sets added before it; the first set is compared with no other sets, the second set with one other and the sets in F[r], and so on. The number of such comparisons is therefore given by the

triangular number $T_{\binom{n}{r+1}}$, and so we should have roughly halved the runtime at step r. It is worth noting that this implementation of SUPERPOSE! uses a subroutine should_merge that returns early when it finds one set $C \in F[r]$ such that $C \supseteq A \cap B$, so in practice it usually does not require $\binom{n}{r}$ comparisons in the innermost loop.

Table 3.4 shows that the iterative superpose was a meaningful improvement. For most input configurations, it is a few times faster and a few times less space demanding than random_kmc_v2.

Table 3.4: Performance of random_kmc_v4.

\overline{n}	(p_1, p_2, \ldots)	Trials	Time	GC Time	Bytes allocated
10	[0, 6, 0]	100	0.0014585	3.94e-5	724.635 KiB
10	[0, 5, 1]	100	0.0007192	9.39e-5	$659.729~\mathrm{KiB}$
10	[0, 5, 2]	100	0.0005943	3.53 e-5	$617.668~\mathrm{KiB}$
10	[0, 6, 1]	100	0.0003502	2.88e-5	$408.666~\mathrm{KiB}$
10	[0, 4, 2]	100	0.001013	5.36e-5	$887.618~\mathrm{KiB}$
10	[0, 3, 3]	100	0.0011847	5.03e-5	$1.003~\mathrm{MiB}$
10	[0, 0, 6]	100	0.0015756	9.7e-5	$1.066~\mathrm{MiB}$
10	[0, 1, 1, 1]	100	0.0046692	0.0001385	$2.455~\mathrm{MiB}$
13	[0, 6, 0]	10	0.0118201	0.0005486	$6.289~\mathrm{MiB}$
13	[0, 6, 2]	10	0.0075668	0.0002458	$4.666~\mathrm{MiB}$
16	[0, 6, 0]	10	0.2819294	0.0040792	$81.317~\mathrm{MiB}$
16	[0, 6, 1]	10	0.8268207	0.0070206	$154.451~\mathrm{MiB}$
16	[0, 0, 6]	10	95.1959596	0.0290183	$553.597~\mathrm{MiB}$

Rank table

While Superpose! is getting more efficient, it is still performing the same comparisons over and over again. Let's consider what we are really trying to achieve with this function, to see if we can't find a smarter way to go about it.

After adding the closed sets for a rank, SUPERPOSE! is run to maintain the closed set properties of the matroid (given in Section 3.1.1). These are maintained by ensuring that, for any two newly added sets $A, B \in F[r+1]$,

there exists $C \in F[r]$ such that $A \cap B \subseteq C$. Until this point, this has been done by checking if the intersection of each such A, B is contained in a set C of rank r. We remember that one of the properties of the closed sets of a matroid is that the intersection of two closed sets is itself a closed set. Therefore, we do not need to find a closed set C that contains $A \cap B$, since if A and B are indeed closed sets, their intersection will be equal to some closed set C of lesser rank. This insight leads us to the next improvement: if we keep track of all added closed sets in a rank table, then we can memoize SUPERPOSE! and replace the innermost loop with a constant time dictionary lookup.

```
# The rank table maps from the representation of a set to its assigned rank.
rank = Dict\{T, UInt8\}(0=>0)
# Superpose.
push!(F, Set()) # Add F[r+1].
while length(to_insert) > 0
 A = pop!(to_insert)
 push! (F[r+1], A)
 rank[A] = r
  for B in setdiff(F[r+1], A)
   if !haskey(rank, A&B) || rank[A&B] >= r
     # Update insert queue.
     push! (to_insert, A | B)
     # Update F[r+1].
      setdiff!(F[r+1], [A, B])
     push! (F[r+1], A | B)
      # Update rank table.
     rank[A|B] = r
     break
   end
  end
```

The full code for random_kmc_v5 can be found in Appendix B.4. Table 3.5 shows that implementing a rank table was an extremely significant improvement. For smaller matroids, it is around 5-10x faster, however it is for larger matroids that it truly outshines its predecessors – random_kmc_v5 is a whopping 13 000 times faster than random_kmc_v4 with n = 16, p = [0, 0, 6] as input.

Table 3.5: Performance of random_kmc_v5.

\overline{n}	(p_1,p_2,\ldots)	Trials	Time	GC Time	Bytes allocated
10	[0, 6, 0]	100	0.0001335	0.0	$138.966~\mathrm{KiB}$
10	[0, 5, 1]	100	0.0001436	0.0	$158.691~\mathrm{KiB}$
10	[0, 5, 2]	100	0.0001928	0.0	$167.487~\mathrm{KiB}$
10	[0, 6, 1]	100	0.0002204	0.0	$148.812~\mathrm{KiB}$
10	[0, 4, 2]	100	0.0001578	0.0	173.455 KiB
10	[0, 3, 3]	100	0.0001743	0.0	$202.566~\mathrm{KiB}$
10	[0, 0, 6]	100	0.0003433	0.0	$431.089~\mathrm{KiB}$
10	[0, 1, 1, 1]	100	0.0004987	0.0	$439.511~\mathrm{KiB}$
13	[0, 6, 0]	100	0.0004776	0.0	$422.431~\mathrm{KiB}$
13	[0, 6, 2]	100	0.0003469	0.0	$441.621~\mathrm{KiB}$
16	[0, 6, 0]	100	0.0009073	0.0	$1010.452~\mathrm{KiB}$
16	[0, 6, 1]	100	0.0007939	0.0	$997.022~\mathrm{KiB}$
16	[0, 0, 6]	100	0.0066951	0.0	$8.564~\mathrm{MiB}$
20	[0, 6, 0]	100	0.0030797	0.0	$4.042~\mathrm{MiB}$
20	[0, 6, 2]	10	0.0022849	0.0	$4.547~\mathrm{MiB}$
32	[0, 6, 2, 1]	10	0.0269912	0.0	$63.082~\mathrm{MiB}$

Non-redundant cover generation

Up to this point, our cover generation routine has not taken into account that any two sets of rank r will have at least one cover in common. To see this, consider a matroid-under-construction with n=10 where $A=\{1,2\}$ and $B=\{1,3\}$ are closed sets of rank 2. Currently, GENERATE-COVERS will happily generate the cover $C=\{1,2,3\}$ twice, once as the cover of A and subsequently as the cover of B. Throughout this analysis, we will assume the worst case scenario of no enlargements, as any enlargements will strictly lower the number of sets in play at a given rank. In this case, $|F[r]| = \binom{n}{r}$, and for each closed set A of rank r we are generating $|E \setminus A| = (n-r)$ covers, giving us a total of $\binom{n}{r}(n-r)$ covers generated at each rank r, including the duplicates. With no enlargements, we know that there are $\binom{n}{r+1}$ covers, and

$$(n-r)\binom{n}{r} = \frac{n!(n-r)!}{r!(n-r)!}$$

$$= \frac{n!}{r!(n-r-1)!}$$

$$= (r+1)\frac{n!}{(r+1)!(n-r-1)!}$$

$$= (r+1)\binom{n}{r+1}.$$

For each step r, we are generating r+1 times as many covers as we need to. Over the course of all steps $0 \le r \le n$, we are generating

$$\sum_{r=0}^{n} (r+1) = \sum_{r=1}^{n+1} r = T_{n+1}$$

times the actual number of covers, where $T_{n+1} = \frac{(n+1)(n+2)}{2}$ is the triangular number. In other words, if we find a way to generate each cover only once, we will have shaved off an n^2 factor from the asymptotic complexity of our implementation.

When generating covers, random_kmc_v6 improves upon the brute force cover generation described above by only adding the covers

$$\Big\{A \cup \{a\}: A \in \mathcal{F}[r], a \in E \setminus A, a \notin \bigcup \big\{B: B \in \mathcal{F}[r+1], A \subseteq B\big\}\Big\}.$$

In other words, we find the covers of A, that is, the sets obtained by adding one more element a from E to A, but we do not include any a that is to be found in another, already added, cover B that contains A. This solves the problem described above; the cover $\{1,2,3\} = B \cup \{2\}$ will not be generated, as $2 \in C$ and $B \subseteq C$. This is implemented in the following manner:

```
# Generate minimal closed sets for rank r+1 (random_kmc_v6)
for y in F[r] # y is a closed set of rank r.
   t = E - y # The set of elements not in y.
# Find all sets in F[r+1] that already contain y and remove excess elements
        from t.
for x in F[r+1]
   if (x & y == y) t &= ~x end
   if t == 0 break end
end
# Insert y ∪ a for all a ∈ t.
```

```
while t > 0
    x = y | (t&-t)
    add_set!(x, F, r, rank)
    t &= ~x
end
end
```

We have extracted the iterative superpose logic described above into its own function to allow it to be performed on a cover-per-cover basis:

```
function add_set!(x, F, r, rank)
  if x in F[r+1] return end
  for y in F[r+1]
   if haskey(rank, x&y) && rank[x&y]<r
     continue
   end

# x n y has rank > r, replace with x u y.
   setdiff!(F[r+1], y)
   return add_set!(x|y, F, r, rank)
end

push!(F[r+1], x)
  rank[x] = r
end
```

As such, F[r+1] is empty when the first cover $y \in F$ is generated, and all covers $\{y \cup \{a\} : a \in E \setminus y\}$ are added. For later sets y, we are comparing with the previously added covers, and dropping any element to be found in a cover x that fully includes y. This way, we avoid re-generating the cover x.

The full code for random_kmc_v6 can be found in Appendix B.5.

Table 3.6: Performance of random_kmc_v6.

\overline{n}	(p_1,p_2,\ldots)	Trials	Time	GC Time	Bytes allocated
10	[0, 6, 0]	100	0.000157	0.0	11.306 KiB
10	[0, 5, 1]	100	0.0001427	0.0	$12.257~\mathrm{KiB}$
10	[0, 5, 2]	100	0.000121	0.0	$11.568~\mathrm{KiB}$
10	[0, 6, 1]	100	8.61e-5	0.0	$10.447~\mathrm{KiB}$
10	[0, 4, 2]	100	0.0001237	0.0	$13.597~{ m KiB}$
10	[0, 3, 3]	100	0.0001233	0.0	$14.029~\mathrm{KiB}$
10	[0, 0, 6]	100	0.0002856	0.0	$15.414~\mathrm{KiB}$
10	[0, 1, 1, 1]	100	0.0001942	0.0	$14.446~\mathrm{KiB}$
13	[0, 6, 0]	100	0.0004483	0.0	$19.117~\mathrm{KiB}$
13	[0, 6, 2]	100	0.0004541	0.0	$18.957~\mathrm{KiB}$
16	[0, 6, 0]	10	0.0014919	0.0	$34.531~\mathrm{KiB}$
16	[0, 6, 1]	10	0.0014731	0.0	$36.016~\mathrm{KiB}$
16	[0, 0, 6]	10	0.0168858	0.0	$127.652~\mathrm{KiB}$
20	[0, 6, 0]	10	0.0061574	0.0	$81.573~\mathrm{KiB}$
20	[0, 6, 2]	10	0.0059717	0.0	$82.323~\mathrm{KiB}$
32	[0, 6, 2, 1]	10	0.1599507	0.0	$279.531~\mathrm{KiB}$
63	[0, 6, 4, 2, 1]	1	11.138914	0.0	$4.912~\mathrm{MiB}$
64	[0, 6, 4, 4, 2, 1]	1	12.508729	0.0	$4.912~\mathrm{MiB}$
128	[0, 6, 6, 4, 4, 2, 1]	1	1232.8570	0.0114583	$102.159~\mathrm{MiB}$

3.2.3 What do the generated matroids look like?

Observations

1. The average cardinality of the closed sets of a given rank is usually not very much higher than the rank. If the average cardinality were to stray much, all the sets merge instead and the sole closed set of that rank would become E. This might be a useful heuristic when finding the rank of a set.



3.2.4 Producing the rank function and independent sets

To build a general matroid library, we want to be able to access all properties of a generated matroid \mathfrak{M} . This would include:

- 1. the bases \mathcal{B} of \mathfrak{M} ,
- 2. the independent sets \mathcal{I} of \mathfrak{M} ,
- 3. the circuits \mathcal{C} of \mathfrak{M} ,
- 4. the closure function $cl: 2^E \to \mathcal{F}$, and
- 5. the rank function $rank: 2^E \to \mathbb{Z}^*$ of \mathfrak{M} .

In this section, I will first describe an extension of Knuth-Matroid that is also fully enumerates \mathcal{I} and \mathcal{C} for \mathfrak{M} when n is small enough. However, this approach does not scale well for larger values of n. For values of n up to 128, we will therefore restrict our attention to independent sets and the rank function, as these are the matroid properties that are relevant to our usecase of fair allocation.

Finding circuits and independent sets for smaller matroids

[Knu75] includes an ALGOL W [WH66] implementation that also generates the circuits and independent sets for the generated matroid. A later implementation in C called ERECTION.W can be found at [Knu03]. random_erection is an extension of random_kmc_v6 that finds $\mathcal I$ and $\mathcal C$ by pre-populating the rank table with all subsets of E. The full source code for random_erection can be found in Appendix XXX.

```
# Populate rank table with 100+cardinality for all subsets of E.
k=1; rank[0]=100;
while (k<=mask)
for i in 0:k-1 rank[k+i] = rank[i]+1 end
  k=k+k;
end</pre>
```

Covers are generated and sets inserted in the same manner as in $random_kmc_v6$. After all covers and enlargements have been inserted and superposed (meaning F[r+1] contains the closed sets of rank r+1), a new operation, $mark_independent_subsets!$ is called on each closed set.

A gene	eral
3.3	Other kinds of matroids
3.3.1	Uniform matroids

3.3.2	Graphic matroids

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3.3.3	Vector mat	troids		
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A library for fair allocation with matroids

A goal for this project is to introduce Matroids. Jl as a useful library for experimenting with fair allocation that require matroids. In the previous chapter, we explored how this library generates random matroids, however this is not very useful until we also have in place an API layer to allow fair allocation algorithms to interface with our matroids in a practical and efficient manner.

When matroids show up in the context of fair allocation, it is either in the guise of the agents' matroid rank valuations, or as matroid feasibility constraints. Matroid.jl should expose an API that makes it useful for both cases.

4.1 Matroid rank valuations

4.2 The matroid union algorithm

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4.3 Supporting universe sizes of n > 128

The larger the ground set, the closer we are to an instance of The cake-cutting problem. Typical fair allocation problems with indivisible items deal with less than 100 items.

3

4

In other words, the Integer cap of 128 bits is a reasonable upper limit on universe size for fair allocation problems. However, one could look into using packages that add larger fixed-width integer types¹. Matroids.jl supports arbitrary integer types.

¹See for instance BitIntegers.jl

Fair allocation with matroid rank utilities

We wish to study fair allocation in which the utility function of each agent is a matroid rank function. That is, the utility function for each agent $i \in [n]$ is the rank function for a matroid $\mathfrak{M}_i = ([m], \mathcal{I}_i)$.

$$S_i \in \mathcal{I}_i \iff v_i(S_i) = |S_i|.$$

5.1	Yankee Swap	

5.2	Barman and	Verma's	MMS a	algorithm	

Fair allocation under matroid constraints

6.1	Gourvès and algorithm	Monnot's	MMS	approximation

6.2	Biswas and Barman's algorithm der cardinality constraints	for	EF1	un-

6.3	Biswas and Barman's algorithm for EF1 under matroid constraints

Results



Conclusions and future work



Notes

- 1. Skrive mer om hvordan Set{Set{Integer}} lagres i minnet og fordelene med å gå over til Set{Integer}.
- $2.\,$ @Benchmarking. Histogrammer. Beskrive variansen i matroide-størrelse ifht input.
- 3. Referer til Spliddit og vanlige størrelser på fordelingsproblemer
- 4. Beskriv åssen man kan oppgi valgfri Integer-type

Bibliography

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Appendices

Appendix A

Sets as numbers – some useful tricks

Section 3.2.2 details a number of steps taken in order to build a performant Julia implementation of KNUTH-MATROID. Perhaps chief among these steps in terms of sheer performance gain compared to the initial, naïve implementation, was the transition from representing subsets of E as a Set of integers (or whatever type the elements of E might have), to representing them as a single integer, whose 1-bits denote which elements are in the set. This is possible as long as n is less than the widest numeric primitive (in off-the-shelf Julia, 128 bits). We reiterate the bitwise equivalents of the basic set operations in Table A.1. These bitwise

Table A.1: Set operations and their equivalent bitwise operations

Set operation	Bitwise equivalent
$A \cap B$	$A \wedge B$
$A \cup B$	$A \lor B$
$A \setminus B$	$A \wedge \neg B$
$A \subseteq B$	$A \wedge B = A$

equivalents allow us to perform the set operations in constant time (right???), resulting in significant performance increases. In the code snippets included throughout Section 3.2.2 and Appendix B, a number of "tricks" are performed

with bitwise operations whose workings and purpose might be a bit obtuse. This appendix came to be as I got to grips with working with sets in this manner.

A.1 How do I...

A.1.1 ...create a singleton set?

The left-shift operator (<<) can be used to set the *i*th bit to 1 and the others to 0. In general, $\{a\} = 1 << a$. This is used in an early version of GENERATE-COVERS:

A.1.2 ...find the smallest element of a set?

Using the two's complement of a set T, denoted by $-T = \neg T + 1$, we can find the smallest element with the operation $T \wedge -T$. This is used in the next trick.

A.1.3 ...enumerate all elements of a set one by one?

Using the previous trick, we can repeatedly pop the smallest element in the following manner:

This outputs all numbers from 1 to 0xff with a Hamming weight of 1.

Appendix B

Code snippets

B.1 random_kmc_v1

```
Generate the set F_{r+1} of all "covers" of the sets in F_r, given the ground
   set of elements E. Set-based.
function generate_covers_v1(Fr, E)
 Set([A \cup a for A \in Fr for a \in setdiff(E, A)])
 If F_{r+1} contains any two sets A, B whose intersection A \cap B is not
      contained in C for any C \in F_r, replace A, B \in F_{r+1} by the single set
      A \cup B. Repeat this operation until A \cap B \subseteq C for some C \in F_r whenever A
      and B are distinct members of F_{r+1}.
 F and F_old should be Family: A Set of Sets of some type.
 This is the first, Set-based implementation of this method.
function superpose_v1!(F, F_old)
 for A \in F
   for B ∈ F
      should_merge = true
     for C ∈ F_old
       if A \cap B \subseteq C
         should_merge = false
       end
      end
```

```
if should_merge
        setdiff!(F, [A, B])
         push! (F, A \cup B)
      end
    end
  end
 return F
end
....
First implementation of Knuth's random matroid construction through random "
     coarsening".
n is the size of the universe.
p is a list (p_1, p_2, ...), where p_r is the number of coarsening steps to apply at rank r in the construction. The first entry of p should usually be
      0, since adding closed sets of size > 1 at rank 1 is equivalent to
     shrinking E.
This uses the Set-based KMC methods.
function random_kmc_v1(n, p, T)::KnuthMatroid{Set{Integer}}
 E = Set([i \text{ for } i \text{ in } range(0, n-1)])
  # Step 1: Initialize.
 r = 1
  F = [family([])]
  pr = 0
  while true
    # Step 2: Generate covers.
    push!(F, generate_covers_v1(F[r], E))
    # Step 4: Superpose.
    superpose_v1!(F[r+1], F[r])
    # Step 5: Test for completion.
    \textbf{if} \ \mathbb{E} \ \in \ \mathbb{F} \, [\, r \! + \! 1 \, ]
     return KnuthMatroid{Set{Integer}}(n, F, [], Set(), Dict())
    end
    if r <= length(p)</pre>
     pr = p[r]
    end
    while pr > 0
      # Random closed set in F_{r+1} and element in E \setminus A.
      A = rand(F[r+1])
      a = rand(setdiff(E, A))
       \# Replace A with A \cup {a}.
      F[r+1] = setdiff(F[r+1], A) \cup Set([A \cup a])
       # Superpose again to account for coarsening step.
       superpose_v1!(F[r+1], F[r])
```

```
# Step 5: Test for completion.
if E ∈ F[r+1]
    return KnuthMatroid{Set{Integer}}(n, F, [], Set(), Dict())
end

pr -= 1
end

r += 1
end
end
```

B.2 random_kmc_v2 and random_kmc_v3

```
Generate the set F_{r+1} of all "covers" of the sets in F_r, given the size of
   the universe. Bit-based.
function generate_covers_v2(F_r, n)
 Set([A | 1 << i for A \in F_r for i in 0:n-1 if A & 1 << i === 0])
end
Returns whether the intersection of A and B is contained within
some C in F_prev.
function should_merge(A, B, F_prev)
 for C in F_prev
   if subseteq(A & B, C)
     return false
 end
 return true
end
If F contains any two sets A, B whose intersection A \cap B is not contained in C
    for any C \in F_prev, replace A, B \in F with the single set A \cup B. Repeat this
     operation until A \cap B \subseteq C for some C \in F_prev whenever A and B are
    distinct members of F.
This implementation represents the sets using bits.
function bitwise_superpose! (F, F_prev)
 As = copy(F)
 while length(As) !== 0
   A = pop!(As)
 for B in setdiff(F, A)
```

```
if should_merge(A, B, F_prev)
        push!(As, A | B)
         setdiff!(F, [A, B])
         push! (F, A | B)
        break
      end
    end
  end
  return F
end
function sorted_bitwise_superpose!(F, F_prev)
  As = sort!(collect(F), by = s -> length(bits_to_set(s)))
  while length(As) !== 0
    A = popfirst!(As)
    for B in setdiff(F, A)
      if should_merge(A, B, F_prev)
        insert! (As, 1, A | B) setdiff! (F, [A, B]) push! (F, A | B)
        break
      end
    end
  end
 return F
end
Bitwise implementation of Knuth's approach to random matroid generation through
    a number of random "coarsening" steps. Supply the generate_covers and superpose methods to study the effects of different implementations of
     these.
n is the size of the universe.
p is a list (p_1, p_2, \dots), where p_r is the number of coarsening steps to
     apply at rank r in the construction. The first entry of p should usually be
      0, since adding closed sets of size > 1 at rank 1 is equivalent to
     shrinking E.
function random_bitwise_kmc(generate_covers, superpose, n, p)::KnuthMatroid{Any}
 # Initialize.
 r = 1
 pr = 0
 F = [Set(0)]
 E = 2^n - 1 # The set of all elements in E.
  while true
    # Generate covers.
    push!(F, generate_covers(F[r], n))
    # Superpose.
    superpose (F[r+1], F[r])
    # Test for completion.
    if E \in F[r+1]
```

```
return KnuthMatroid{Any}(n, F, [], Set(), Dict())
    end
    # Apply coarsening.
if r <= length(p)</pre>
     pr = p[r]
    end
    while pr > 0
      \# Get random closed set A in F_{r+1} and element a in E - A.
      A = rand(F[r+1])
      a = random_element(E&~A)
      # Replace A with A U {a}.
     F[r+1] = setdiff(F[r+1], A) \cup Set([A | a])
      # Superpose again to account for coarsening step.
      superpose(F[r+1], F[r])
      # Step 5: Test for completion.
      \textbf{if} \ E \ \in \ F\,[\,r+1\,]
       return KnuthMatroid{Any}(n, F, [], Set(), Dict())
      end
     pr -= 1
    end
    r += 1
  end
end
Second implementation of random-KMC. This uses the bit-based KMC methods.
function random_kmc_v2(n, p, T=UInt16)
 return random_bitwise_kmc(generate_covers_v2, bitwise_superpose!, n, p)
end
Third implementation of random-KMC. This sorts the sets by size before
    superposing.
function random_kmc_v3(n, p, T=UInt16)
return random_bitwise_kmc(generate_covers_v2, sorted_bitwise_superpose!, n, p)
end
```

B.3 random_kmc_v4

```
This is an attempt at a smarter implementation than directly following the setup from Knuth's 1974 article. The superpose step is replaced by an insert
```

```
operation that inserts new closed sets into the family of current rank one
              at a time, superposing on the fly.
\textbf{function} \ \texttt{randomized\_knuth\_matroid\_construction\_v4} \ (\texttt{n, p, T=UInt16}):: \texttt{KnuthMatroid} \{ \texttt{knuthMatroid} \} \ \texttt{KnuthMatroid} \} \ \texttt{Matroid} = \texttt{Matroid\_knuth\_matroid\_construction\_v4} \ \texttt{Matroid\_knuth\_matroid\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_construction\_cons
      r = 1
     pr = 0
      F = [Set(0)]
      E = 2^n - 1 # The set of all elements in E.
              to_insert = generate_covers_v2(F[r], n)
             \# Apply coarsening to covers. 
 if r <= length(p) && E \notin to_insert \# No need to coarsen if E is added.
                    pr = p[r]
                      while pr > 0
                           A = rand(to_insert)
                            a = random_element(E - A)
                            to_insert = setdiff(to_insert, A) \cup [A | a]
                     end
               end
               # Superpose.
               push!(F, Set()) # Add F[r+1].
               while length(to_insert) > 0
                    A = pop!(to_insert)
                     push! (F[r+1], A)
                     for B in setdiff(F[r+1], A)
                             if should_merge(A, B, F[r])
                                   push!(to_insert, A | B)
                                      setdiff!(F[r+1], [A, B])
                                    push! (F[r+1], A | B)
                             end
                      end
              end
              \textbf{if} \ \texttt{E} \ \in \ \texttt{F[r+1]}
                    return KnuthMatroid{T}(n, F, [], Set(), Dict())
               end
             r += 1
      end
```

B.4 random_kmc_v5

```
ппп
```

```
Fifth implementation of random-KMC. This one uses a dictionary to keep track of
previously seen sets.
function random_kmc_v5(n, p, T=UInt16)::KnuthMatroid{T}
 r = 1
  pr = 0
  F::Vector{Set{T}} = [Set(T(0))]
  E = 2^n-1
  rank = Dict{T, UInt8}(0=>0) # The rank table maps from the representation of a
       set to its assigned rank.
  while true
    to_insert = generate_covers_v2(F[r], n)
    # Apply coarsening to covers.
    if r <= length(p)</pre>
      pr = p[r]
      while length(to_insert) > 0 && pr > 0 && E \notin to_insert \# No need to
          coarsen if E is added.
        A = rand(to_insert)
        a = random_element(E - A)
        to_insert = setdiff(to_insert, A) U [A | a]
        pr -= 1
      end
    end
    # Superpose.
    push!(F, Set()) # Add F[r+1].
    while length(to_insert) > 0
      A = pop!(to_insert)
      push! (F[r+1], A)
      rank[A] = r
      for B in setdiff(F[r+1], A)
        if !haskey(rank, A&B) || rank[A&B] >= r
          # Update insert queue.
          push! (to_insert, A | B)
          # Update F[r+1].
setdiff!(F[r+1], [A, B])
          push! (F[r+1], A | B)
          # Update rank table.
          rank[A|B] = r
          break
        end
      end
    end
    \textbf{if} \ \texttt{E} \ \in \ \texttt{F[r+1]}
     return KnuthMatroid{T}(n, F, [], Set(), rank)
    end
    r += 1
  end
end
```

B.5 random_kmc_v6

```
Sixth implementation of random-KMC, in which a rank table is used to keep track
     of set ranks, and the covers and enlargements are added one at a time,
     ensuring the matroid properties at all times.
function random_kmc_v6(n, p, T=UInt16)::KnuthMatroid{T}
 pr = 0
 F::Vector{Set{T}} = [Set(T(0))]
 E::T = BigInt(2)^n-1
  rank = Dict\{T, UInt8\}(0=>0)
  while E ∉ F[r]
   # Create empty set.
push!(F, Set())
    \# Generate minimal closed sets for rank r+1.
    for y in F[r] # y is a closed set of rank r.
     t = E - y \# The set of elements not in y.

\# Find all sets in F[r+1] that already contain y and remove excess
           elements from t.
      for x in F[r+1]
        if (x & y == y) t &= ~x end
      \# Insert y \cup a for all a \in t.
      while t > 0
        x = y | (t&-t)
        add_set!(x, F, r, rank)
        t &= ~x
      end
    end
    \textbf{if} \ \mathbb{E} \ \in \ \mathbb{F} \, [\, \texttt{r+1} \, ]
      break
    end
    if r <= length(p)</pre>
      # Apply coarsening.
      pr = p[r]
      while pr > 0 \&\& E \notin F[r+1]
        A = rand(F[r+1])
         t = E-A
        one_element_added::Vector{T} = []
        while t > 0
          x = A | (t&-t)
          push! (one_element_added, x)
           t &= ~x
        Acupa = rand(one_element_added)
        setdiff!(F[r+1], A)
        add_set!(Acupa, F, r, rank)
        pr -= 1
      end
```

```
end

r += 1
end

return KnuthMatroid{T}(n, F, [], Set(), rank)
end

function add_set!(x, F, r, rank)
    if x in F[r+1] return end
    for y in F[r+1]
    if haskey(rank, x&y) && rank[x&y]<r
        continue
    end

# x n y has rank > r, replace with x v y.
    setdiff!(F[r+1], y)
    return add_set!(x|y, F, r, rank)
end

push!(F[r+1], x)
    rank[x] = r
end
```