# Polarized twist-three distributions $g_T$ and $h_L$ and the role of intrinsic transverse momentum

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# Abstract

In a nonstandard way we split up the polarized quark distributions  $g_T$  and  $h_L$  into their twist-two, quark-mass, and interaction-dependent parts, emphasizing the sensitivity to quark intrinsic transverse momentum. We show how to derive the Burkhardt-Cottingham sum rule in this approach and derive a similar sum rule for the chiral-odd distribution  $h_2$ . The effect of intrinsic transverse momentum in experimental observables is illustrated in the calculation of the  $\mathcal{O}(1/Q)$  double-spin asymmetry  $A_{LT}$  in Drell-Yan scattering.

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#### I. INTRODUCTION

Stimulated by recent [1] and planned [2] experiments with polarized electron and proton beams, spin-dependent distribution functions have received a lot of attention. Due to increasing accuracy, measurements of higher-twist distributions seem feasible in the near future. They are particularly interesting, since they contain valuable information on quark-gluon correlations. The goal of this paper is to analyze the role of quark transverse momentum in the twist-three distributions<sup>1</sup>  $g_T(x)$  and  $h_L(x)$ , or equivalently the linear combinations  $g_2(x) = g_T(x) - g_1(x)$  and  $h_2(x) = 2h_L(x) - 2h_1(x)$ .

At leading-order level, one may consider transverse-momentum-dependent distributions which can be measured in Drell-Yan (DY) scattering at small but fixed  $Q_T$  [3,4]. In deep inelastic lepton-hadron scattering (DIS) or in DY integrated over  $Q_T$  one measures the distributions integrated over transverse momentum; these are the twist-two unpolarized momentum distribution  $f_1(x)$  and two twist-two spin-dependent distributions, the helicity distribution  $g_1(x)$  and the transverse spin distribution  $h_1(x)$ . At leading order it does not matter what the transverse momentum dependence is; the observables (i.e., structure functions and asymmetries) do not change if one assumes zero transverse momentum [i.e.,  $\delta(\mathbf{k}_T^2)$ ] distributions. At subleading  $\mathcal{O}(1/Q)$ , the situation is less simple. As was demonstrated in Ref. [5], for instance, assuming free quarks without transverse momentum gives the non-physical result  $g_2(x) = 0$ . Thus, even though  $g_T$  and  $h_L$  do not depend explicitly on  $\mathbf{k}_T$ , assuming zero transverse momentum may considerably alter their shape (in x). We will make these statements more precise in due course.

First, let us recall some facts about the transverse spin-dependent distribution  $g_T(x)$  [5,6]. Using operator-product-expansion (OPE) techniques, one shows that  $g_T(x)$  can be decomposed in three pieces, a twist-two part depending on  $g_1(x)$ , also known as the Wandzura-Wilczek (WW) term [7], a quark-mass part depending on  $h_1(x)$  [8], and an explicitly interaction-dependent part. The latter is the most interesting, since it is sensitive to off-shellness and confinement effects. Instead of using the OPE, we rederive the different terms, using nonlocal matrix elements only. In this approach the transverse momentum of quarks is an essential ingredient. The nonlocal matrix element that fixes the interaction-dependent part contains two good quark fields and one transverse gluon field, so that it can be given a clear parton interpretation [9]. Of course, if moments are taken, one should recover the OPE local matrix elements. In this formalism it is also straightforward to derive the Burkhardt-Cottingham (BC) sum rule  $\int_0^1 dx \, g_2(x) = 0$  [10], although one must make assumptions. The status of the BC sum rule is less rigorous than, for instance, the Bjorken sum rule. It was claimed that in perturbation theory it is valid at least up to  $\mathcal{O}(\alpha_s)$  [11], although recently this claim was disputed [12].

The twist-three distribution function  $h_L(x)$  [13] is less well-known. It does not occur in

<sup>&</sup>lt;sup>1</sup>To avoid confusion, we emphasize that we are sometimes using names reserved for the structure functions measured in inclusive deep-inelastic lepton-hadron scattering for quark distributions. In fact, the distributions should be carrying a quark (or antiquark) flavor index, which is often suppressed to simplify the formulas. At the end the structure functions are obtained as a simple weighted sum over quark distributions.

the inclusive DIS cross section other than multiplied with a quark-mass factor (like  $h_1(x)$ , it is chirally odd). Nonetheless, one can analyze it using the OPE-based techniques [14]. Again one finds three parts; a twist-two part depending on  $h_1(x)$ , a quark-mass part depending on  $g_1(x)$ , and an interaction-dependent part. The interaction dependent part can also be given a parton interpretation [15]. Using the same techniques as for  $g_T$ , we derive within our approach these parts, expressing them in a finite number of nonlocal matrix elements. We derive a sum rule very similar to the BC sum rule, namely,  $\int_0^1 dx \, h_2(x) = 0$ .

In order to support our claim that explicit treatment of quark transverse momenta is important at twist-three, we consider  $Q_T$ -averaged polarized DY scattering. Jaffe and Ji derived an  $\mathcal{O}(1/Q)$  asymmetry,  $A_{LT}$ , for longitudinally-transversely polarized DY scattering [14]. In their calculation they assumed zero quark transverse momentum. Without this assumption we find modifications in the asymmetry. To estimate the difference between the two results we use bag-model distributions. The difference turns out to be considerable.

This paper has the following structure. In Sec. II, we analyze the content of  $g_T(x)$  and  $h_L(x)$ , using Lorentz symmetry, discrete symmetries and the QCD equations of motion. We decompose the distributions in the three different parts, and derive the sum rules. In Sec. III we calculate the polarized DIS hadron tensor, emphasising the role of transverse momentum. In Sec. IV, the more involved but essentially similar hadronic tensor for polarized DY scattering is considered, from which follows the double-spin asymmetry.

## II. ANALYSIS

In this section we decompose the polarized twist-three distributions  $g_T(x)$  and  $h_L(x)$  into their building blocks of different dynamical origin. Instead of using conventional OPE techniques, we work directly with the nonlocal quark-quark and quark-gluon-quark matrix elements. In the calculation of a specific cross section these matrix elements appear as the non-calculable parts in the diagrammatic expansion of the amplitude, i.e., those parts that connect hadron lines to quark or gluon lines.

## A. Correlation functions

First, we will expand the quark-quark and quark-gluon-quark correlation functions as needed up to  $\mathcal{O}(1/Q)$ , using hermiticity, as well as Lorentz invariance, parity invariance, and time-reversal invariance.

The correlation function describing a quark of flavor a in a spin-1/2 hadron A, is defined by [16]

$$(\Phi_{a/A})_{\alpha\beta}(PS;k) = \int \frac{d^4x}{(2\pi)^4} e^{ik\cdot x} \langle PS|\overline{\psi}_{\beta}^{(a)}(0)\psi_{\alpha}^{(a)}(x)|PS\rangle, \tag{2.1}$$

diagrammatically represented by the blob in Fig. 1. Here P is the hadron momentum vector  $(P^2 = M^2)$ , and S is its spin vector  $(P \cdot S = 0, \text{ and } S^2 = -1)$ . In lightcone variables (for an arbitrary four-vector  $p = [p^-, p^+, \mathbf{p}_T]$ , with  $p^{\pm} \equiv (p^0 \pm p^3)/\sqrt{2}$ ) they read

$$P = \left[ \frac{M^2}{2P^+}, P^+, \mathbf{0}_T \right], \tag{2.2}$$

$$S = \left[ -\lambda \frac{M}{2P^{+}}, \lambda \frac{P^{+}}{M}, \mathbf{S}_{T} \right], \tag{2.3}$$

where  $\lambda$  is the helicity, and  $\mathbf{S}_T = (S^1, S^2)$  is the transverse polarization. Note:  $\lambda^2 + \mathbf{S}_T^2 = -S^2 = 1$ . The quark momentum is written as

$$k = \left[ \frac{k^2 + \mathbf{k}_T^2}{2xP^+}, xP^+, \mathbf{k}_T \right], \tag{2.4}$$

with the lightcone momentum fraction  $x = k^+/P^+$ .

An important step in our analysis is the expansion of the correlation function in terms of all possible Dirac matrices multiplied by scalar functions depending on  $k \cdot P$  and  $k^2$ , and vanishing when these variables become larger than a characteristic hadronic scale  $\Lambda^2$ . Using the demands of hermiticity, Lorentz, parity, and time-reversal invariance, one finds [3,4]

$$M^{3} \Phi(PS; k) = a_{1} \mathbf{1} + (a_{2}/M) \mathcal{P} + (a_{3}/M) \mathcal{k} + a_{4} \gamma_{5} \mathcal{S} + (a_{5}/M) \gamma_{5} [\mathcal{P}, \mathcal{S}] + (a_{6}/M) \gamma_{5} [\mathcal{k}, \mathcal{S}] + (a_{7}/M^{2}) (k \cdot S) \gamma_{5} \mathcal{P} + (a_{8}/M^{2}) (k \cdot S) \gamma_{5} \mathcal{k} + (a_{9}/M^{3}) (k \cdot S) \gamma_{5} [\mathcal{P}, \mathcal{k}], \quad (2.5)$$

where the dimensionless amplitudes  $a_i(\sigma,\tau)$  are real functions of the scalar variables

$$\sigma \equiv \frac{2k \cdot P}{M^2} = \frac{2k^- P^+}{M^2} + x,\tag{2.6}$$

$$\tau \equiv \frac{k^2}{M^2} = x \frac{2k^- P^+}{M^2} - \frac{\mathbf{k}_T^2}{M^2}.$$
 (2.7)

Since the relevant object in deep inelastic processes is the integral over  $k^-$  of  $\Phi(PS; k)$ , it is convenient to define the projections (suppressing flavor and hadron labels)

$$\Phi[\Gamma](x, \mathbf{k}_T) \equiv \frac{1}{2} \int dk^- \operatorname{Tr} \left[ \Gamma \Phi(k) \right] 
= \frac{1}{2} \int \frac{dx^-}{2\pi} \frac{d^2 \mathbf{x}_T}{(2\pi)^2} \exp[i(xP^+x^- - \mathbf{k}_T \cdot \mathbf{x}_T)] \langle PS | \overline{\psi}(0) \Gamma \psi(0, x^-, \mathbf{x}_T) | PS \rangle, \quad (2.8)$$

with  $\Gamma$  taken from the complete set  $\{1, i\gamma_5, \gamma^{\mu}, \gamma^{\mu}\gamma_5, i\sigma^{\mu\nu}\gamma_5\}$ . Consider for instance the projection for  $\Gamma = \gamma^+$ :

$$\Phi[\gamma^{+}] = \frac{1}{M^2} \int d\sigma d\tau \, \delta(\tau - x\sigma + x^2 + \frac{\mathbf{k}_T^2}{M^2}) \left[ a_2(\sigma, \tau) + x a_3(\sigma, \tau) \right], \tag{2.9}$$

where we inserted the expansion of the quark correlation function in terms of amplitudes, Eq. (2.5). Clearly, it is an  $\mathcal{O}(1)$  function,  $f_1$ , depending on x and  $k_T$ . Similarly, for  $\Gamma = 1$  one has

$$\Phi[1] = \frac{M}{P^+} \frac{1}{M^2} \int d\sigma d\tau \, \delta(\tau - x\sigma + x^2 + \frac{\mathbf{k}_T^2}{M^2}) \, a_1(\sigma, \tau), \tag{2.10}$$

which is again an  $\mathcal{O}(1)$  function, e, of x and  $k_T^2$ , but multiplied by a factor  $M/P^+$ . In deep inelastic processes, characterized by a large momentum scale Q, this factor will give rise to an M/Q suppression. The following projections are leading (here and in the rest of the paper, the indices i and j are transverse, i.e., taking the values 1, 2):

$$\Phi[\gamma^+] = f_1(x, \boldsymbol{k}_T^2), \tag{2.11a}$$

$$\Phi[\gamma^+ \gamma_5] = g_{1L}(x, \boldsymbol{k}_T^2) \lambda + g_{1T}(x, \boldsymbol{k}_T^2) \frac{\boldsymbol{k}_T \cdot \boldsymbol{S}_T}{M}, \tag{2.11b}$$

$$\Phi[i\sigma^{i+}\gamma_5] = h_{1T}(x, \boldsymbol{k}_T^2)\boldsymbol{S}_T^i + \left[h_{1L}^{\perp}(x, \boldsymbol{k}_T^2)\lambda + h_{1T}^{\perp}(x, \boldsymbol{k}_T^2)\frac{\boldsymbol{k}_T \cdot \boldsymbol{S}_T}{M}\right] \frac{\boldsymbol{k}_T^i}{M}, \tag{2.11c}$$

where we have defined

$$f_1(x, \mathbf{k}_T^2) = \frac{1}{M^2} \int d\sigma d\tau \, \delta(\tau - x\sigma + x^2 + \frac{\mathbf{k}_T^2}{M^2}) \left[ a_2 + xa_3 \right],$$
 (2.12a)

$$g_{1L}(x, \mathbf{k}_T^2) = \frac{1}{M^2} \int d\sigma d\tau \, \delta(\tau - x\sigma + x^2 + \frac{\mathbf{k}_T^2}{M^2}) \left[ a_4 + (\frac{1}{2}\sigma - x)(a_7 + xa_8) \right], \qquad (2.12b)$$

$$g_{1T}(x, \mathbf{k}_T^2) = \frac{1}{M^2} \int d\sigma d\tau \, \delta(\tau - x\sigma + x^2 + \frac{\mathbf{k}_T^2}{M^2}) \left[ -a_7 - xa_8 \right], \tag{2.12c}$$

$$h_{1T}(x, \mathbf{k}_T^2) = \frac{1}{M^2} \int d\sigma d\tau \, \delta(\tau - x\sigma + x^2 + \frac{\mathbf{k}_T^2}{M^2}) \left[ -2a_5 - 2xa_6 \right], \tag{2.12d}$$

$$h_{1L}^{\perp}(x, \mathbf{k}_T^2) = \frac{1}{M^2} \int d\sigma d\tau \, \delta(\tau - x\sigma + x^2 + \frac{\mathbf{k}_T^2}{M^2}) \left[ 2a_6 - 2(\frac{1}{2}\sigma - x)a_9 \right], \tag{2.12e}$$

$$h_{1T}^{\perp}(x, \mathbf{k}_T^2) = \frac{1}{M^2} \int d\sigma d\tau \, \delta(\tau - x\sigma + x^2 + \frac{\mathbf{k}_T^2}{M^2}) \, [2a_9] \,. \tag{2.12f}$$

These leading transverse momentum distributions can be directly observed in DY at measured  $Q_T$  [4]. For DIS or  $Q_T$ -averaged DY one needs only specific  $\mathbf{k}_T$ -integrals of Eqs. (2.11). Particularly, the ones occurring at leading order in 1/Q are

$$\int d^2 \mathbf{k}_T \,\Phi[\gamma^+] = \int d^2 \mathbf{k}_T \,f_1(x, \mathbf{k}_T^2) \equiv f_1(x), \tag{2.13a}$$

$$\int d^2 \mathbf{k}_T \, \Phi[\gamma^+ \gamma_5] = \lambda \int d^2 \mathbf{k}_T \, g_{1L}(x, \mathbf{k}_T^2) \equiv \lambda \, g_1(x), \tag{2.13b}$$

$$\int d^2 \mathbf{k}_T \, \Phi[i\sigma^{i+}\gamma_5] = \mathbf{S}_T^i \int d^2 \mathbf{k}_T \left[ h_{1T}(x, \mathbf{k}_T^2) + \frac{\mathbf{k}_T^2}{2M^2} h_{1T}^{\perp}(x, \mathbf{k}_T^2) \right] \equiv \mathbf{S}_T^i \, h_1(x). \tag{2.13c}$$

Note that  $g_{1T}$  and  $h_{1L}^{\perp}$  vanish because their  $\mathbf{k}_T$ -structure is odd (under the operation  $\mathbf{k}_T \to -\mathbf{k}_T$ ). We recognize the longitudinal momentum, helicity, and transverse spin distributions. Expressed in terms of the amplitudes, with the help of Eqs. (2.12), they are

$$f_1(x) = \pi \int d\sigma d\tau \,\theta(x\sigma - \tau - x^2) \left[ a_2 + xa_3 \right], \qquad (2.14a)$$

$$g_1(x) = \pi \int d\sigma d\tau \, \theta(x\sigma - \tau - x^2) \left[ a_4 + (\frac{1}{2}\sigma - x)(a_7 + xa_8) \right],$$
 (2.14b)

$$h_1(x) = \pi \int d\sigma d\tau \,\theta(x\sigma - \tau - x^2) \left[ -2a_5 - 2xa_6 + (x\sigma - \tau - x^2)a_9 \right].$$
 (2.14c)

The  $\theta$ -function together with the spectral condition  $(P-k)^2 \geq 0$  leads to an integration area as illustrated in Fig. 3. Note that for x=1 the integration area becomes zero and consequently the distributions vanish.

For the subleading projections, we will need only the integrals over  $\mathbf{k}_T$ . Three Dirac projections remain [14],

$$\frac{P^+}{M} \int d^2 \mathbf{k}_T \, \Phi[\mathbf{1}] = e(x), \tag{2.15a}$$

$$\frac{P^+}{M} \int d^2 \mathbf{k}_T \, \Phi[\gamma^i \gamma_5] = \mathbf{S}_T^i \, g_T(x), \qquad (2.15b)$$

$$\frac{P^+}{M} \int d^2 \mathbf{k}_T \, \Phi[i\sigma^{+-}\gamma_5] = \lambda \, h_L(x). \tag{2.15c}$$

These twist-three x-dependent distributions in terms of the amplitudes read

$$e(x) = \pi \int d\sigma d\tau \,\theta(x\sigma - \tau - x^2) \,[a_1], \qquad (2.16a)$$

$$g_T(x) = \pi \int d\sigma d\tau \,\theta(x\sigma - \tau - x^2) \left[ a_4 - \frac{1}{2}(x\sigma - \tau - x^2)a_8 \right], \tag{2.16b}$$

$$h_L(x) = \pi \int d\sigma d\tau \,\theta(x\sigma - \tau - x^2) \left[ -2a_5 - \sigma a_6 + 2(\frac{1}{2}\sigma - x)^2 a_9 \right].$$
 (2.16c)

The chirally odd distribution e(x) contributes at  $\mathcal{O}(1/Q^2)$  in unpolarized Drell-Yan scattering [14]. The polarized distributions  $g_T(x)$  and  $h_L(x)$  will be analyzed further in the next subsections. In summary, the quark correlation function where the nonlocality is pure lightlike (and in the —-direction) can be parametrized up to and including twist three as

$$2 \int d^{2}\mathbf{k}_{T} dk^{-} \Phi(k) = f_{1}(x) \gamma^{-} + \lambda g_{1}(x) \gamma_{5} \gamma^{-} + \mathbf{S}_{T}^{i} h_{1}(x) i \gamma_{5} \sigma^{-}_{i}$$

$$+ \frac{M}{P^{+}} \left[ e(x) \mathbf{1} + \mathbf{S}_{T}^{i} g_{T}(x) \gamma_{5} \gamma_{i} + \lambda h_{L}(x) i \gamma_{5} \sigma^{+-} \right]$$
+ higher twist. (2.17)

At  $\mathcal{O}(1/Q)$ , another type of correlation function needs to be considered, namely, the quark-gluon-quark matrix element

$$(\mathcal{M}_{a/A})^{i}_{\alpha\beta}(PS;k,p) = \int \frac{d^{4}x}{(2\pi)^{4}} \frac{d^{4}x'}{(2\pi)^{4}} e^{ik\cdot x} e^{i(p-k)\cdot x'} \langle PS|\overline{\psi}^{(a)}_{\beta}(0)gA^{i}_{T}(x')\psi^{(a)}_{\alpha}(x)|PS\rangle, \quad (2.18)$$

pictorially represented in Fig. 2. The demands of hermiticity, parity, and time-reversal invariance lead to the constraints

$$\begin{aligned}
\left[\mathcal{M}^{i}(PS;k,p)\right]^{\dagger} &= \gamma^{0} \,\mathcal{M}^{i}(PS;p,k) \,\gamma^{0} & [\text{Hermiticity}] \\
\mathcal{M}^{i}(PS;k,p) &= \gamma^{0} \,\mathcal{M}_{i}(\bar{P} - \bar{S};\bar{k},\bar{p}) \,\gamma^{0} & [\text{Parity}] \\
\left[\mathcal{M}^{i}(PS;k,p)\right]^{*} &= \gamma_{5} C \,\mathcal{M}_{i}(\bar{P}\bar{S};\bar{k},\bar{p}) \,C^{\dagger}\gamma_{5} & [\text{Time reversal}]
\end{aligned} \tag{2.19}$$

where  $C = i\gamma^2\gamma^0$  is the charge conjugation matrix, and  $\bar{k}^{\mu} = k_{\mu}$ . In deep inelastic processes, at least on the tree level, one is sensitive to the projections

$$\mathcal{M}^{i}[\Gamma](x, \boldsymbol{k}_{T}) \equiv \frac{1}{2} \int dk^{-} d^{4}p \operatorname{Tr} \left[ \Gamma \mathcal{M}^{i}(k, p) \right]$$

$$= \frac{1}{2} \int \frac{dx^{-}}{2\pi} \frac{d^{2}\boldsymbol{x}_{T}}{(2\pi)^{2}} \exp[i(xP^{+}x^{-} - \boldsymbol{k}_{T} \cdot \boldsymbol{x}_{T})] \langle PS|\overline{\psi}(0)gA_{T}^{i}(0)\Gamma\psi(0, x^{-}, \boldsymbol{x}_{T})|PS\rangle, \quad (2.20)$$

specifically, at the  $\mathcal{O}(1/Q)$  accuracy level, to the leading ones with  $\Gamma = \gamma^+$ ,  $\gamma^+\gamma_5$ , or  $i\sigma^{i+}\gamma_5$ . If  $Q_T$  is not measured, then at  $\mathcal{O}(1/Q)$  one may also integrate over  $\mathbf{k}_T$ . Using the parity and time-reversal constraints of Eq. (2.19), we define the following real quark-gluon-quark distributions:

$$S_T^i \tilde{g}_T(x) \equiv \frac{1}{2xM} \int d^2 \mathbf{k}_T \, \mathcal{M}_j[g_T^{ij}\gamma^+ \gamma_5 + i\epsilon_T^{ij}\gamma^+] + \text{h.c.}$$

$$= \frac{1}{4xM} \int \frac{dx^-}{2\pi} e^{ixP^+x^-} \langle PS|\overline{\psi}(0)gA_{Tj}(0)[g_T^{ij}\gamma^+ \gamma_5 + i\epsilon_T^{ij}\gamma^+]\psi(x^-)|PS\rangle + \text{h.c.}, \quad (2.21)$$

$$\lambda \, \tilde{h}_L(x) \equiv \frac{1}{2xM} \int d^2 \mathbf{k}_T \, \mathcal{M}^i[g_{Tij} \, i\sigma^{j+}\gamma_5] + \text{h.c.}$$

$$= \frac{1}{4xM} \int \frac{dx^-}{2\pi} e^{ixP^+x^-} \langle PS|\overline{\psi}(0)gA_T^i(0)[g_{Tij} \, i\sigma^{j+}\gamma_5]\psi(x^-)|PS\rangle + \text{h.c.}, \quad (2.22)$$

where  $\psi(x^{-})$  denotes  $\psi(0, x^{-}, \mathbf{0}_{T})$  and we have used the tensors

$$g_T^{\mu\nu} \equiv g^{\mu\nu} - n_+^{\mu} n_-^{\nu} - n_+^{\nu} n_-^{\mu}, \tag{2.23}$$

$$\epsilon_T^{\mu\nu} \equiv \epsilon^{\mu\nu}{}_{\rho\sigma} n_+^{\rho} n_-^{\sigma}. \tag{2.24}$$

The lightlike vector  $n_+$  and  $n_-$  have only a non-zero + and - component, respectively, such that  $n_+ \cdot n_- = 1$ . The reason for the suggestive nomenclature of  $\tilde{g}_T(x)$  and  $\tilde{h}_L(x)$  will become clear later.

# B. Decomposition of $g_T(x)$

The first important step in the splitting up of  $g_T(x)$  into its constituent parts is the implementation of the QCD equations of motion for the quark fields  $i \mathcal{D} \psi = m \psi$  [17]. In lightcone language [18] this implies the elimination of the 'bad' field  $\psi_- \equiv \Lambda_- \psi = (\gamma^+ \gamma^-/2) \psi$  occurring in  $g_T(x)$  [Eq. (2.15b)] in favor of the 'good' fields  $\psi_+ \equiv \Lambda_+ \psi = (\gamma^- \gamma^+/2) \psi$  and  $A_T$ . Explicitly one has

$$0 = \int \frac{d^4x}{(2\pi)^4} e^{ik \cdot x} \langle PS | \overline{\psi}(0) [i \mathcal{D}(0) - m] i \sigma^{i+} \gamma_5 \psi(x) | PS \rangle$$
 (2.25)

$$= \int \frac{d^4x}{(2\pi)^4} e^{ik\cdot x} \langle PS|\overline{\psi}(0) \left[ \not k + g \not A(0) - m \right] i\sigma^{i+} \gamma_5 \psi(x) |PS\rangle$$
 (2.26)

$$= \int \frac{d^4x}{(2\pi)^4} e^{ik\cdot x} \langle PS|\overline{\psi}(0) \left[ k^+ \gamma^- + \left( \mathbf{k}_T^j + gA_T^j(0) \right) \gamma_j - m \right] i\sigma^{i+} \gamma_5 \psi(x) |PS\rangle, \tag{2.27}$$

where translational invariance of the hadron states is used and the lightcone gauge  $A^+ = 0$  is chosen. Performing the integral  $\frac{1}{2} \int dk^-$ , one obtains

$$k^{+}\Phi[\gamma^{i}\gamma_{5}] + ik^{+}\epsilon_{T}^{ij}\Phi[\gamma_{j}]$$

$$= k_{T}^{i}\Phi[\gamma^{+}\gamma_{5}] + i\epsilon_{T}^{ij}k_{Tj}\Phi[\gamma^{+}] + m\Phi[i\sigma^{i+}\gamma_{5}] + \mathcal{M}_{j}[g_{T}^{ij}\gamma^{+}\gamma_{5} + i\epsilon_{T}^{ij}\gamma^{+}], \qquad (2.28)$$

using the definitions (2.8) and (2.20). Finally, upon taking the real part<sup>2</sup>, integrating over  $\mathbf{k}_T$ , and using the parametrizations for the projections given in Eqs. (2.11b), (2.13c), (2.15b), and (2.21), one gets

$$g_T(x) = \int d^2 \mathbf{k}_T \frac{\mathbf{k}_T^2}{2M^2} \frac{g_{1T}(x, \mathbf{k}_T^2)}{x} + \frac{m}{M} \frac{h_1(x)}{x} + \tilde{g}_T(x).$$
 (2.29)

This result will be used in the next sections, when we will calculate DIS and DY structure functions. We make a few remarks. First, at  $\mathcal{O}(1/Q)$  the transverse covariant derivative inevitably introduces a sensitivity to the transverse-momentum-dependence comprised in the first term on the lefthandside of Eq. (2.29), even if transverse momentum is not observed directly. Secondly, this term contains the functions  $g_{1T}$ , to which one is not sensitive at leading order if transverse momentum is not observed [see Eq. (2.13b)]. If this distribution is proportional to a delta function  $\delta(\mathbf{k}_T^2)$ , the integral vanishes. So if one assumes zero transverse momentum, the difference between  $g_T(x)$  and  $\tilde{g}_T(x)$  is of order m/M. In general, however, this cannot be assumed.

It is possible to re-express the above relation in terms of the  $k_T$ -integrated distributions only. For this one needs to use the expressions in terms of the amplitudes  $a_i(\sigma, \tau)$  given in the preceding subsection and the following relation for a linear combination of amplitudes  $\mathcal{F}(x, \sigma, \tau)$ :

$$\int \frac{d^{2}\mathbf{k}_{T}}{M^{2}} \frac{\mathbf{k}_{T}^{2}}{M^{2}} \int d\sigma d\tau \, \delta(\tau - x\sigma + x^{2} + \frac{\mathbf{k}_{T}^{2}}{M^{2}}) \mathcal{F}(x, \sigma, \tau) =$$

$$-\pi \int_{x}^{1} dy \int d\sigma d\tau \, \theta(y\sigma - \tau - y^{2}) \left[ (\sigma - 2y)\mathcal{F}(y, \sigma, \tau) + (y\sigma - \tau - y^{2}) \frac{\partial \mathcal{F}}{\partial y}(y, \sigma, \tau) \right], \quad (2.30)$$

which is most easily proven by differentiating both sides with respect to x and using the fact that the integration area vanishes at x = 1. Applying it to the term containing  $g_{1T}(x, \mathbf{k}_T^2)$  in Eq. (2.29), using its expansion in amplitudes Eq. (2.12c), this gives after some algebra

$$g_T(x) = \int_x^1 dy \, \frac{g_1(y)}{y} + \frac{m}{M} \left[ \frac{h_1(x)}{x} - \int_x^1 dy \, \frac{h_1(y)}{y^2} \right] + \tilde{g}_T(x) - \int_x^1 dy \, \frac{\tilde{g}_T(y)}{y}. \tag{2.31}$$

The first term was derived by Wandzura and Wilczek in [7]. The quark-mass terms are chirally even, since both m and  $h_1(x)$  are chirally odd. The last two explicitly interaction-dependent terms only need the nonlocal matrix element  $\tilde{g}_T(x)$ , defined in Eq. (2.21).

With the relation (2.31), one easily checks that

$$\int_0^1 dx \, g_2(x) = \int_0^1 dx \, \left[ g_T(x) - g_1(x) \right] = \int_0^1 dx \, G(x) - \int_0^1 dx \int_x^1 \frac{dy}{y} G(y), \tag{2.32}$$

with  $G(x) \equiv -g_1(x) + (m/M)[h_1(x)/x] + \tilde{g}_T(x)$ . Provided that in Eq. (2.32) one may interchange the order of integrations, this amounts to the Burkhardt-Cottingham sum rule  $\int_0^1 dx \, g_2(x) = 0$  [10]. For more details concerning its validity, see Refs. [6,5,19].

<sup>&</sup>lt;sup>2</sup>Note that  $\Phi[\Gamma]$  is real if  $\Gamma$  is taken from the set  $\{1, i\gamma_5, \gamma^{\mu}, \gamma^{\mu}\gamma_5, i\sigma^{\mu\nu}\gamma_5\}$ .

In Ref. [5] Jaffe and Ji calculated the contributions of different dynamical origin in  $g_T(x)$  for a massless quark in a nucleon bag. In Fig. 4 their results are plotted. Also plotted is  $\tilde{g}_T(x)$  [the authors call this function U(x)]. Note that, as we argued before, the role of transverse momentum is fairly important, because else  $g_T(x)$  would equal  $\tilde{g}_T(x)$ , as follows from Eq. (2.29) with a  $\delta(\mathbf{k}_T^2)$  dependence and m=0. Nonzero transverse momentum is an important feature for a confined quark. Note finally that the BC sum rule in the bag reads  $\int_{-\infty}^{\infty} dx \, g_2(x) = 0$ , because violation of translational invariance leads to a support between  $-\infty$  and  $\infty$ . See also Fig. 5.

# C. Decomposition of $h_L(x)$

The twist-three distribution  $h_L(x)$  [13] can be treated in a similar fashion. This time, implementing the QCD equations of motion, one must consider the matrix element

$$\langle PS|\overline{\psi}(0)[i\mathcal{D}(0) - m]\gamma^{+}\gamma_{5}\psi(x)|PS\rangle = 0.$$
(2.33)

This leads to the relation

$$h_L(x) = -\int d^2 \mathbf{k}_T \frac{\mathbf{k}_T^2}{M^2} \frac{h_{1L}^{\perp}(x, \mathbf{k}_T^2)}{x} + \frac{m}{M} \frac{g_1(x)}{x} + \tilde{h}_L(x),$$
 (2.34)

involving the transverse momentum distribution  $h_{1L}^{\perp}(x, \mathbf{k}_T^2)$  to which one is not sensitive at leading order [Eq. (2.13c)]. The  $\mathbf{k}_T$ -integral can be re-expressed as an x-integral by means of the relation (2.30) using the amplitude expansion Eq. (2.12e). We obtain for the decomposition of  $h_L(x)$ ,

$$h_L(x) = 2x \int_x^1 dy \, \frac{h_1(y)}{y^2} + \frac{m}{M} \left[ \frac{g_1(x)}{x} - 2x \int_x^1 dy \, \frac{g_1(y)}{y^3} \right] + \tilde{h}_L(x) - 2x \int_x^1 dy \, \frac{\tilde{h}_L(y)}{y^2}. \quad (2.35)$$

The compact expression of the interaction-dependent part in terms of  $\tilde{h}_L(x)$ , defined in Eq. (2.22), may be a practical one for investigating models. Relation (2.35) was also derived by Jaffe and Ji<sup>3</sup> using OPE techniques [14].

With the above relation in hand, we consider

$$\int_0^1 dx \, h_2(x) = 2 \int_0^1 dx \, \left[ h_L(x) - h_1(x) \right] = \int_0^1 dx \, H(x) - \int_0^1 dx \, 2x \int_x^1 \frac{dy}{y^2} H(y), \qquad (2.36)$$

with  $H(x)/2 \equiv -h_1(x) + (m/M)[g_1(x)/x] + \tilde{h}_L(x)$ . Provided that the order of x- and y-integration of the second term may be interchanged, we find the sum rule  $\int_0^1 dx \, h_2(x) = 0$ .

<sup>&</sup>lt;sup>3</sup>We find a discrepancy of a factor of 2 in the third terms on the righthandsides of Eq. (2.35) and Eq. (56) of Ref. [14]. The lower integration limit and the power in the integrand in the latter case are obviously misprints, Cf. Eq. (51) of the same paper. During their calculation, Jaffe and Ji also introduce a function  $\tilde{h}_L(x)$ , which is not the same as ours. Because in their case it is an auxiliary function, which doesn't occur anymore later, and as good names are scarce, we considered it safe to re-use the name.

The validity of this sum rule, of which no reference is known to us, crucially depends on the small-x behavior of the function H(x).

In Ref. [14] the different contributions to  $h_L(x)$  were calculated for a massless quark in the bag. Their results are plotted in Fig. 6. A comparison with the bag-model  $\tilde{h}_L(x)$  in the same figure shows that the zero-quark-mass zero-transverse-momentum relation  $h_L(x) = \tilde{h}_L(x)$ , following from Eq. (2.34), is severely violated. Remarkably,  $h_2(x) = 2g_2(x)$  in the bag (see Fig. 5), and it satisfies the above sum rule, provided that one extends the integration region to the full line  $(-\infty, \infty)$ .

#### III. POLARIZED DIS

In the following two sections we address the question how the different distributions of the preceding section occur in the physical observables. In this section the polarized deep inelastic cross section through order 1/Q [20] is calculated as an illustration of the more complicated DY calculation. The diagrammatical method by Ellis, Furmański, and Petronzio [21] is used.

First, let us discuss the kinematics. The target hadron has momentum and spin vectors, given in lightcone representation in Eqs. (2.2) and (2.3). The incoming virtual photon is assumed to have momentum q such that  $-q^2 \equiv Q^2$  is large, but  $x_{bj} \equiv Q^2/2P \cdot q$  is constant, and can be chosen<sup>4</sup>

$$q \approx \left[\frac{Q^2}{2x_{bj}P^+}, -x_{bj}P^+, \mathbf{0}_T\right]. \tag{3.1}$$

Instead of working with explicit vectors P and q, it is often more convenient to express them in terms of the lightlike vectors  $n_+$  and  $n_-$ , defined as

$$n_{+} = [0, \kappa, \mathbf{0}_{T}] \approx \frac{\sqrt{2}}{Q} x_{bj} P, \tag{3.2a}$$

$$n_{-} = \left[\frac{1}{\kappa}, 0, \mathbf{0}_{T}\right] \approx \frac{\sqrt{2}}{Q} (q + x_{bj}P), \tag{3.2b}$$

where the parameter  $\kappa = \sqrt{2} x_{bi} P^+/Q$ .

Consider the quark Born diagram of Fig. 7 (the antiquark diagrams can be obtained by crossing). It stands for

$$2M W_B^{\mu\nu} = \sum_a e_a^2 \int d^4k \, \delta \left( (k+q)^2 - m^2 \right) \text{Tr} \left[ \Phi_a(k) \, \gamma^\mu \left( \not k + \not q + m \right) \gamma^\nu \right]. \tag{3.3}$$

Where the sum runs over quark flavors a with charge  $e_a$  in units of e. Using the lightcone representations for k and q, it is easily checked that

$$\delta((k+q)^2 - m^2) \approx \frac{\delta(k^+ + q^+)}{2q^-},$$
 (3.4)

<sup>&</sup>lt;sup>4</sup>Here and in the following we will denote by ' $\approx$ ' that we have neglected  $\mathcal{O}(1/Q^2)$  contributions.

equating x to  $x_{bi}$ . Furthermore one can write

$$\not k + \not q + m \approx q^- \gamma^+ + k_T^i \gamma_i + m. \tag{3.5}$$

Note that the only  $k^-$ -dependence of the integrand in Eq. (3.3) resides in  $\Phi(k)$ , hence indeed we are sensitive to  $\int dk^- \Phi(k)$ . From explicit calculation (or from dimensional arguments) the  $\mathcal{O}(Q^0)$  result is obtained from the first term in Eq. (3.5) resulting in an expression that only contains the leading projections  $\Phi[\Gamma]$  of Eqs. (2.11) integrated over  $\mathbf{k}_T$ . Therefore, at leading order, one is sensitive to the (chirally even) distributions  $f_1(x)$  and  $g_1(x)$ , defined in Eqs. (2.13). In fact,

$$2M W_{B0}^{\mu\nu} = \sum_{a} e_a^2 \left[ -g_T^{\mu\nu} f_1^a(x_{bj}) + i\epsilon_T^{\mu\nu} g_1^a(x_{bj}) \right], \tag{3.6}$$

where the transverse tensors are defined in Eqs. (2.23) and (2.24). This leading order result is electromagnetically current conserving, that is  $q_{\mu}W_{\rm B0}^{\mu\nu}=0$ .

Turning to the  $\mathcal{O}(1/Q)$  contributions, we find that they either come from the first term in Eq. (3.5) combined with the subleading projections, or from the combination of the last two terms in Eq. (3.5) and the leading projections. For the former combinations the same argument holds concerning the  $\mathbf{k}_T$ -integral, hence we only need the x-dependent distributions of Eqs. (2.15). For the latter combinations the argument goes for the m-terms, but the second term of Eq. (3.5) selects the transverse momentum distributions that multiply a  $\mathbf{k}_T$ -odd structure, i.e.,  $g_{1T}(x, \mathbf{k}_T^2)$  and  $h_{1L}^{\perp}(x, \mathbf{k}_T^2)$ . Thus, after performing the trace and the integrations, we end up with the  $\mathcal{O}(1/Q)$ -part of the Born diagram

$$2M W_{B1}^{\mu\nu} = \frac{M}{Q} \sum_{a} e_{a}^{2} \left\{ \sqrt{2} i \epsilon^{\mu\nu}{}_{\rho\sigma} S_{T}^{\rho} n_{-}^{\sigma} x_{bj} g_{T}^{a}(x_{bj}) - \sqrt{2} i \epsilon^{\mu\nu}{}_{\rho\sigma} S_{T}^{\rho} n_{+}^{\sigma} \left[ \int d^{2} \mathbf{k}_{T} \frac{\mathbf{k}_{T}^{2}}{2M^{2}} g_{1T}^{a}(x_{bj}, \mathbf{k}_{T}^{2}) + \frac{m}{M} h_{1}^{a}(x_{bj}) \right] \right\},$$
(3.7)

where  $S_T^{\mu} \equiv g_T^{\mu\nu} S_{\nu}$ . We obtain a more physical picture if we eliminate  $g_T(x)$  by means of the relation (2.29) found in the preceding section, so that the result contains only distributions which are matrix elements of the independent 'good' fields  $\psi_+$  and  $A_T$ . We get

$$2M W_{B1}^{\mu\nu} = \frac{M}{Q} \sum_{a} e_{a}^{2} \left\{ 2i \epsilon^{\mu\nu}{}_{\rho\sigma} S_{T}^{\rho} \hat{q}^{\sigma} \left[ \int d^{2} \boldsymbol{k}_{T} \frac{\boldsymbol{k}_{T}^{2}}{2M^{2}} g_{1T}^{a}(x_{bj}, \boldsymbol{k}_{T}^{2}) + \frac{m}{M} h_{1}^{a}(x_{bj}) \right] + \sqrt{2} i \epsilon^{\mu\nu}{}_{\rho\sigma} S_{T}^{\rho} n_{-}^{\sigma} x_{bj} \tilde{g}_{T}^{a}(x_{bj}) \right\},$$
(3.8)

where  $\hat{q} \equiv q/Q \approx (n_- - n_+)/\sqrt{2}$ . Note that the first two terms are current conserving in the sense that they give 0 if contracted with q. This is as expected, since for the theory without interactions, i.e., without gluons, one has  $\tilde{g}_T(x) = 0$ , and the Born diagram is the complete result. In QCD, however, one must include the gluon diagrams of Fig. 8 which contribute at  $\mathcal{O}(1/Q)$  as well. Written out in full, they are

$$2M W_{\text{gluon}}^{\mu\nu} = \sum_{a} e_{a}^{2} \int d^{4}k \, d^{4}p \, \delta \left( (k+q)^{2} - m^{2} \right)$$

$$\times \left\{ \text{Tr} \left[ \mathcal{M}_{a}^{i}(k,p) \, \gamma^{\mu} \, \frac{i}{\not p + \not q - m} \, i \gamma_{i} \left( \not k + \not q + m \right) \gamma^{\nu} \right] \right.$$

$$\left. + \text{Tr} \left[ \mathcal{M}_{a}^{i}(p,k) \, \gamma^{\mu} \left( \not k + \not q + m \right) i \gamma_{i} \, \frac{i}{\not p + \not q - m} \, \gamma^{\nu} \right] \right\}. \tag{3.9}$$

The delta function can be approximated as in Eq. (3.4). The extra parton momentum again has  $p^2, \mathbf{p}_T^2 \ll Q^2$ . At  $\mathcal{O}(1/Q)$  accuracy, the polarization sum may be replaced by  $q^-\gamma^+$ . Realizing that  $\gamma^+\gamma_i\gamma^+=0$ , one can effectively use for the hard propagator

$$\frac{1}{\not p + \not q - m} \to \frac{\gamma^{-}}{2q^{-}} = \frac{\not n_{+}}{Q\sqrt{2}}.$$
 (3.10)

Since this does not depend on p anymore, the corresponding integral works on the  $\mathcal{M}$  directly and we are sensitive to the projections (2.20) or the complex conjugates of them (using the hermiticity condition (2.19) to exchange the order of the arguments). Particularly, in leading order, one has only the projections involving  $\gamma^+$ ,  $\gamma^+\gamma_5$ , or  $i\sigma^{i+}\gamma_5$ . Also, in leading order, one only needs the  $\mathbf{k}_T$ -integrated projections. Finally, it turns out that exactly the combination (2.21), parametrized by  $\tilde{g}_T(x)$ , occurs;

$$2M W_{\text{gluon}}^{\mu\nu} = -\frac{M}{Q} \sum_{a} e_a^2 \sqrt{2} i \epsilon^{\mu\nu}{}_{\rho\sigma} S_T^{\rho} n_+^{\sigma} x_{bj} \tilde{g}_T^a(x_{bj}) + \mathcal{O}\left(\frac{1}{Q^2}\right). \tag{3.11}$$

As expected, this combines with the Born diagram (3.8), such that the total  $\mathcal{O}(1/Q)$ -result

$$2M(W_{B1}^{\mu\nu} + W_{gluon}^{\mu\nu}) \approx \frac{2M}{Q} i \epsilon^{\mu\nu}{}_{\rho\sigma} S_T^{\rho} \hat{q}^{\sigma}$$

$$\times \sum_{a} e_a^2 \left[ \int d^2 \mathbf{k}_T \frac{\mathbf{k}_T^2}{2M^2} g_{1T}^a(x_{bj}, \mathbf{k}_T^2) + \frac{m}{M} h_1^a(x_{bj}) + x_{bj} \tilde{g}_T^a(x_{bj}) \right]$$

$$= \frac{2x_{bj} M}{Q} i \epsilon^{\mu\nu}{}_{\rho\sigma} S_T^{\rho} \hat{q}^{\sigma} \sum_{a} e_a^2 g_T^a(x_{bj}),$$
(3.12)

is current conserving. Observe that the final result can be expressed solely in terms of the distributions  $g_T^a(x) = g_1^a(x) + g_2^a(x)$  It will turn out that the DY-case is not that simple. The flavor sums in the zeroth order result Eq. (3.6) and the first order result Eq. (3.13) may be extended to include antiquarks, provided that one replaces  $f^a \to f^{\bar{a}}$ , and  $g^a \to -g^{\bar{a}}$ , in accordance with charge conjugation invariance. Using the standard decomposition in structure functions one sees that  $F_1(x_{bj}) = (1/2) \sum_a e_a^2 \left[ f_1^a(x_{bj}) + f_1^{\bar{a}}(x_{bj}) \right]$ , and  $g_i(x_{bj}) = (1/2) \sum_a e_a^2 \left[ g_i^a(x_{bj}) - g_i^{\bar{a}}(x_{bj}) \right]$  with i = 1, 2.

# IV. POLARIZED DY

## A. Kinematics

The DY hadron tensor is a bit more involved, since it contains two hadronic blobs. The principle steps, however, resemble closely those of the preceding section. First, some kinematical preliminary remarks. In the Drell-Yan process or massive-dilepton production,  $A + B \rightarrow \ell + \bar{\ell} + X$ , the two spin- $\frac{1}{2}$  hadrons have momenta  $P_A$  and  $P_B$ , respectively, and are assumed to be pure spin states. That is, their spin vectors satisfy  $S_A^2 = S_B^2 = -1$ . The measured lepton pair with momenta  $k_1$  and  $k_2$  has total momentum  $q = k_1 + k_2$ . The relevant lightcone-coordinate representations are listed below,

$$P_A = \left[ \frac{M_A^2}{2P_A^+}, P_A^+, \mathbf{0}_T \right], \tag{4.1}$$

$$P_B = \left[ P_B^-, \frac{M_B^2}{2P_B^-}, \mathbf{0}_T \right], \tag{4.2}$$

$$S_A = \left[ -\lambda_A \frac{M_A}{2P_A^+}, \lambda_A \frac{P_A^+}{M_A}, \mathbf{S}_{AT} \right], \tag{4.3}$$

$$S_B = \left[ \lambda_B \frac{P_B^-}{M_B}, -\lambda_B \frac{M_B}{2P_B^-}, \mathbf{S}_{BT} \right], \tag{4.4}$$

$$q = \left[ x_B P_B^-, x_A P_A^+, \mathbf{q}_T \right]. \tag{4.5}$$

We work in the Drell-Yan limit where  $q^2 \equiv Q^2$  and  $s = (P_A + P_B)^2$  become large with fixed ratio  $\tau = Q^2/s$ . Also we consider only dileptons with transverse momentum  $Q_T^2 \equiv q_T^2 \lesssim \Lambda^2$ . We again define lightlike vectors  $n_+$  and  $n_-$  as in Eqs. (3.2), with  $\kappa = \sqrt{2} \, x_A P_A^+/Q \approx Q/(\sqrt{2} \, x_B P_B^-)$ . The transverse tensors  $g_T^{\mu\nu}$  and  $\epsilon_T^{\mu\nu}$  are defined in terms of them as before [Eqs. (2.23) and (2.24)]. Important is to note the precise meaning of transverse here. A transverse vector  $a_T^{\mu} \equiv g_T^{\mu\nu} a_{\nu}$  has both  $a_T \cdot P_A = 0$  and  $a_T \cdot P_B = 0$ . In general the photon does have transverse components.

The dominant elementary process underlying the reaction is the annihilation of a quark (antiquark) of hadron A by an antiquark (quark) of hadron B into a massive photon of momentum q which subsequently decays into the lepton pair. The cross section can be written as

$$\frac{d\sigma}{d^4qd\Omega} = \frac{\alpha^2}{2s\,Q^4} L_{\mu\nu} W^{\mu\nu},\tag{4.6}$$

where the hadron tensor

$$W^{\mu\nu}(q; P_A S_A; P_B S_B) = \int \frac{d^4x}{(2\pi)^4} e^{iq \cdot x} \langle P_A S_A; P_B S_B | [J^{\mu}(0), J^{\nu}(x)] | P_A S_A; P_B S_B \rangle.$$
(4.7)

is contracted with the lepton tensor

$$L^{\mu\nu} = 2 k_1^{\mu} k_2^{\nu} + 2 k_2^{\mu} k_1^{\nu} - Q^2 g^{\mu\nu}. \tag{4.8}$$

Since the latter is symmetric, we will henceforth discard the antisymmetric part of the DY hadron tensor. One can rewrite the lepton tensor as  $L^{\mu\nu} = -Q^2(g^{\mu\nu} - \hat{q}^{\mu}\hat{q}^{\nu} + \hat{l}^{\mu}\hat{l}^{\nu})$ , where  $\hat{l} \equiv (k_1 - k_2)/Q$ , satisfying  $\hat{l} \cdot \hat{q} = 0$  and  $\hat{l}^2 = -1$ , defines the lepton axis. The angles  $\theta$  and  $\phi$  are those of the lepton axis measured in a particular dimuon rest frame  $\mathcal{O}'$  defined by Collins and Soper [22]. The polar angle is defined with respect to

$$Z^{\mu} \equiv \frac{P_B \cdot q}{P_B \cdot P_A} P_A^{\mu} - \frac{P_A \cdot q}{P_A \cdot P_B} P_B^{\mu}, \tag{4.9}$$

or rather its normalized version  $\hat{z}^{\mu} = Z^{\mu}/\sqrt{-Z^2}$ . Note that  $q \cdot Z = 0$  and that Z does not have transverse components. The azimuthal angle is fixed with respect to  $q_{\perp} \equiv q_T - (q_T \cdot \hat{q})\hat{q}$ , with  $\hat{q}^{\mu} = q^{\mu}/Q$ . The four-vector  $q_{\perp}$  is an example of what we call a *perpendicular* vector [4]. Defining

$$g_{\perp}^{\mu\nu} \equiv g^{\mu\nu} - \hat{q}^{\mu}\hat{q}^{\nu} + \hat{z}^{\mu}\hat{z}^{\nu}, \tag{4.10}$$

a perpendicular four-vector  $a^{\mu}_{\perp} \equiv g^{\mu\nu}_{\perp} a_{T\nu}$  satisfies  $a_{\perp} \cdot \hat{q} = a_{\perp} \cdot \hat{z} = 0$ . For completeness we introduce

$$\epsilon_{\perp}^{\mu\nu} \equiv \epsilon^{\mu\nu}{}_{\rho\sigma} \hat{z}^{\rho} \hat{q}^{\sigma}. \tag{4.11}$$

The difference between 'transverse' and 'perpendicular' is of  $\mathcal{O}(1/Q)$ ,

$$g_T^{\mu\nu} \approx g_{\perp}^{\mu\nu} + \frac{(\hat{q}^{\mu}q_{\perp}^{\nu} + \hat{q}^{\nu}q_{\perp}^{\mu})}{Q},$$
 (4.12)

$$\epsilon_T^{\mu\nu} \approx \epsilon_\perp^{\mu\nu} - \frac{\epsilon^{\mu\nu}{\rho\sigma} \hat{z}^\rho q_\perp^\sigma}{Q},$$
(4.13)

$$n_{+} \approx \frac{1}{\sqrt{2}} \left( \hat{q} + \hat{z} - \frac{q_{\perp}}{Q} \right), \tag{4.14}$$

$$n_{-} \approx \frac{1}{\sqrt{2}} \left( \hat{q} - \hat{z} - \frac{q_{\perp}}{Q} \right), \tag{4.15}$$

$$a_T \approx a_\perp + \frac{a_\perp \cdot q_\perp}{O} \,\hat{q},$$
 (4.16)

$$a_T \cdot b_T \approx a_\perp \cdot b_\perp,$$
 (4.17)

provided that  $a_T \cdot q_T$ ,  $b_T \cdot q_T = \mathcal{O}(1)$ .

#### B. Hadron tensor

We first calculate the DY quark Born diagram of Fig. 9. It gives

$$W_{\rm B}^{\mu\nu} = \frac{1}{3} \sum_{a,b} \delta_{b\bar{a}} e_a^2 \int d^4 k_a \, d^4 k_b \, \delta^4(k_a + k_b - q) \, \text{Tr} \left[ \Phi_a(k_a) \, \gamma^\mu \, \Phi_b(k_b) \, \gamma^\nu \right], \tag{4.18}$$

where the antiquark correlation function  $\Phi_{\bar{a}}$  is defined as in (2.1), but with  $\psi^{(a)} \leftrightarrow \overline{\psi}^{(a)}$ . The factor 1/3 is a color factor. The quark and antiquark momentum vectors read in lightcone coordinates

$$k_a = \left[ \frac{k_a^2 + \mathbf{k}_{aT}^2}{2x_a P_A^+}, x_a P_A^+, \mathbf{k}_{aT} \right], \tag{4.19}$$

$$k_b = \left[ x_b P_B^-, \frac{k_b^2 + \mathbf{k}_{bT}^2}{2x_b P_B^-}, \mathbf{k}_{bT} \right], \tag{4.20}$$

and we assume that  $k_a^2$ ,  $k_{aT}^2$ ,  $k_b^2$ , and  $k_{bT}^2$  all are of  $\mathcal{O}(1)$ . Since then  $k_b^+ \ll k_a^+$  and  $k_a^- \ll k_b^-$ , one can approximate the delta function,

$$\delta^{4}(k_{a} + k_{b} - q) \approx \delta(k_{a}^{+} - q^{+})\delta(k_{b}^{-} - q^{-})\delta^{2}(\mathbf{k}_{aT} + \mathbf{k}_{bT} - \mathbf{q}_{T}), \tag{4.21}$$

and Eq. (4.18) reduces to

$$W_{\rm B}^{\mu\nu} \approx \frac{1}{3} \sum_{a,b} \delta_{b\bar{a}} e_a^2 \int d^2 \mathbf{k}_{aT} d^2 \mathbf{k}_{bT} \, \delta^2 (\mathbf{k}_{aT} + \mathbf{k}_{bT} - \mathbf{q}_T)$$

$$\times \text{Tr} \left[ \left( \int dk_a^- \Phi_a(k_a) \right) \gamma^{\mu} \left( \int dk_b^+ \Phi_b(k_b) \right) \gamma^{\nu} \right], \tag{4.22}$$

rendering  $x_a = x_A$  and  $x_b = x_B$ . The leading order result comes from inserting projections, Eqs. (2.11), and the corresponding ones for hadron B, which can be obtained from the former by replacing  $+ \leftrightarrow -$ ,  $f^a \to f^{\bar{a}}$ ,  $g^a \to -g^{\bar{a}}$ , and  $h^a \to h^{\bar{a}}$  [4]. One finds

$$W_{\rm B}^{\mu\nu} = -\frac{1}{3} \sum_{a,b} \delta_{b\bar{a}} e_a^2 \int d^2 \mathbf{k}_{aT} d^2 \mathbf{k}_{bT} \, \delta^2 (\mathbf{k}_{aT} + \mathbf{k}_{bT} - \mathbf{q}_T)$$

$$\times \left\{ \left[ \Phi_a [\gamma^+] \, \Phi_b [\gamma^-] + \Phi_a [\gamma^+ \gamma_5] \, \Phi_b [\gamma^- \gamma_5] \right] g_T^{\mu\nu} + \Phi_a [i\sigma^{i+}\gamma_5] \, \Phi_b [i\sigma^{j-}\gamma_5] \left( g_{Ti}^{\{\mu} g_T^{\nu\}}_j - g_{Tij} g_T^{\mu\nu} \right) \right\} + \dots, \tag{4.23}$$

This expression still has the full dependence on Q,  $x_A$ ,  $x_B$ , and  $Q_T$ . If one does not measure  $Q_T$ , one has to take the  $q_T$ -integral of the cross section (4.6). In the dimuon rest frame  $\mathcal{O}'$ , the lepton axis vector  $\hat{l}$  has only spatial components, and does not depend on  $q_T$  anymore. Neither does  $\hat{q}$ , so the  $q_T$ -integral may be pulled through the lepton tensor to work on  $W^{\mu\nu}$  directly. We will call the result after integration  $\overline{W}^{\mu\nu}$ . In the frame  $\mathcal{O}'$ , the components of  $P_A$  and  $P_B$  (or alternatively  $n_+$  and  $n_-$ ) have a  $q_T$ -dependence. However, the vector Z does not.

Returning to Eq. (4.23), we may in leading order replace  $g_T^{\mu\nu}$  by  $g_{\perp}^{\mu\nu}$  according to Eq. (4.12). Integrating over  $\mathbf{q}_T$  in the dimuon rest frame  $\mathcal{O}'$ , the  $g_{\perp}$ 's may be pulled outside the integral, since they are built from  $\hat{q}$  and  $\hat{z}$  [Eq. (4.10)]. Now the only  $\mathbf{q}_T$ -dependence resides in the delta-function, which is subsequently cancelled. The transverse momentum integrals then work on their corresponding projections directly, hence we may use the parametrizations (2.13). The result is the zeroth-order DY hadron tensor [3]

$$\overline{W}_{B0}^{\mu\nu} = -\frac{1}{3} \sum_{a} e_{a}^{2} \left\{ \left[ f_{1}^{a}(x_{A}) f_{1}^{\bar{a}}(x_{B}) - \lambda_{A} \lambda_{B} g_{1}^{a}(x_{A}) g_{1}^{\bar{a}}(x_{B}) \right] g_{\perp}^{\mu\nu} + h_{1}^{a}(x_{A}) h_{1}^{\bar{a}}(x_{B}) \left[ S_{A\perp}^{\{\mu} S_{B\perp}^{\nu\}} - (S_{A\perp} \cdot S_{B\perp}) g_{\perp}^{\mu\nu} \right] \right\},$$

$$(4.24)$$

where we used the symmetrization of indices,  $S_{A\perp}^{\{\mu}S_{B\perp}^{\nu\}} = S_{A\perp}^{\mu}S_{B\perp}^{\nu} + S_{A\perp}^{\nu}S_{B\perp}^{\mu}$ .

Since in this paper we are interested in subleading order, we must find the  $\mathcal{O}(1/Q)$  content of Eq. (4.23). The corrections that we neglected above by replacing  $g_T^{\mu\nu}$  by  $g_{\perp}^{\mu\nu}$  are

$$W_{\text{B1-1}}^{\mu\nu} = -\frac{1}{3Q} \sum_{a,b} \delta_{b\bar{a}} e_a^2 \int d^2 \mathbf{k}_{aT} d^2 \mathbf{k}_{bT} \, \delta^2 (\mathbf{k}_{aT} + \mathbf{k}_{bT} - \mathbf{q}_T)$$

$$\times \left\{ \left[ \Phi_a [\gamma^+] \, \Phi_b [\gamma^-] + \Phi_a [\gamma^+ \gamma_5] \, \Phi_b [\gamma^- \gamma_5] \right] \hat{q}^{\{\mu} q_{\perp}^{\nu\}} \right.$$

$$\left. + \Phi_a [i\sigma^{i+} \gamma_5] \, \Phi_b [i\sigma^{j-} \gamma_5] \hat{q}^{\{\mu} \left( g_{\perp i}^{\nu\}} q_{\perp j} + g_{\perp j}^{\nu\}} q_{\perp i} - g_{Tij} q_{\perp}^{\nu\}} \right) \right\}. \tag{4.25}$$

Integrating over  $q_T$  in the frame  $\mathcal{O}'$ , we may pull  $\hat{q}$  outside the integral. Aside from the delta function, the integrand is then linear in  $q_T$ , selecting  $k_T$ -odd structures in the projections, as can be seen from the resulting expression

$$\overline{W}_{\text{B1-1}}^{\mu\nu} = \frac{1}{3Q} \sum_{a} e_{a}^{2} \left\{ \lambda_{A} \, \hat{q}^{\{\mu} S_{B\perp}^{\nu\}} \, g_{1}^{a}(x_{A}) \left[ \int d^{2} \mathbf{k}_{T} \frac{\mathbf{k}_{T}^{2}}{2M_{B}} g_{1T}^{\bar{a}}(x_{B}, \mathbf{k}_{T}^{2}) \right] \right. \\
\left. + \lambda_{A} \, \hat{q}^{\{\mu} S_{B\perp}^{\nu\}} \, \left[ \int d^{2} \mathbf{k}_{T} \frac{\mathbf{k}_{T}^{2}}{M_{A}} h_{1L}^{a\perp}(x_{A}, \mathbf{k}_{T}^{2}) \right] h_{1}^{\bar{a}}(x_{B}) \right. \\
\left. + \lambda_{B} \, \hat{q}^{\{\mu} S_{A\perp}^{\nu\}} \, \left[ \int d^{2} \mathbf{k}_{T} \frac{\mathbf{k}_{T}^{2}}{2M_{A}} g_{1T}^{a}(x_{A}, \mathbf{k}_{T}^{2}) \right] g_{1}^{\bar{a}}(x_{B}) \right. \\
\left. + \lambda_{B} \, \hat{q}^{\{\mu} S_{A\perp}^{\nu\}} \, h_{1}^{a}(x_{A}) \left[ \int d^{2} \mathbf{k}_{T} \frac{\mathbf{k}_{T}^{2}}{M_{B}} h_{1L}^{\bar{a}\perp}(x_{B}, \mathbf{k}_{T}^{2}) \right] \right\}. \tag{4.26}$$

In addition, Eq. (4.22) contains contributions which are convolutions of a leading and subleading projection. The corresponding Lorentz tensors do not contain explicit  $q_T$ -dependence in the photon rest frame, so that the delta function vanishes and the  $k_{aT}$ - and  $k_{bT}$ -integrals work on the corresponding projections directly. Using Eqs. (2.13) and (2.15), we get

$$\overline{W}_{B1-2}^{\mu\nu} = \frac{1}{3Q} \sum_{a} e_{a}^{2} \left\{ -M_{B} \lambda_{A} (\hat{q} + \hat{z})^{\{\mu} S_{B\perp}^{\nu\}} g_{1}^{a}(x_{A}) x_{B} g_{T}^{\bar{a}}(x_{B}) \right. \\
\left. + M_{A} \lambda_{A} (\hat{q} - \hat{z})^{\{\mu} S_{B\perp}^{\nu\}} x_{A} h_{L}^{a}(x_{A}) h_{1}^{\bar{a}}(x_{B}) \right. \\
\left. - M_{A} \lambda_{B} (\hat{q} - \hat{z})^{\{\mu} S_{A\perp}^{\nu\}} x_{A} g_{T}^{a}(x_{A}) g_{1}^{\bar{a}}(x_{B}) \right. \\
\left. + M_{B} \lambda_{B} (\hat{q} + \hat{z})^{\{\mu} S_{A\perp}^{\nu\}} h_{1}^{a}(x_{A}) x_{B} h_{L}^{\bar{a}}(x_{B}) \right\}. \tag{4.27}$$

If we eliminate  $g_T(x)$  and  $h_L(x)$  using relations (2.29) and (2.34), respectively, like in the preceding section, we obtain  $\overline{W}_{\rm B1}^{\mu\nu} = \overline{W}_{\rm B1-1}^{\mu\nu} + \overline{W}_{\rm B1-2}^{\mu\nu}$ ,

$$\overline{W}_{B1}^{\mu\nu} = \frac{1}{3Q} \sum_{a} e_{a}^{2} \left\{ -\lambda_{A} \hat{z}^{\{\mu} S_{B\perp}^{\nu\}} g_{1}^{a}(x_{A}) \left[ \int d^{2} \mathbf{k}_{T} \frac{\mathbf{k}_{T}^{2}}{2M_{B}} g_{1T}^{\bar{a}}(x_{B}, \mathbf{k}_{T}^{2}) \right] \right. \\
\left. + \lambda_{A} \hat{z}^{\{\mu} S_{B\perp}^{\nu\}} \left[ \int d^{2} \mathbf{k}_{T} \frac{\mathbf{k}_{T}^{2}}{M_{A}} h_{1L}^{a\perp}(x_{A}, \mathbf{k}_{T}^{2}) \right] h_{1}^{\bar{a}}(x_{B}) \right. \\
\left. + \lambda_{B} \hat{z}^{\{\mu} S_{A\perp}^{\nu\}} \left[ \int d^{2} \mathbf{k}_{T} \frac{\mathbf{k}_{T}^{2}}{2M_{A}} g_{1T}^{a}(x_{A}, \mathbf{k}_{T}^{2}) \right] g_{1}^{\bar{a}}(x_{B}) \right. \\
\left. - \lambda_{B} \hat{z}^{\{\mu} S_{A\perp}^{\nu\}} h_{1}^{a}(x_{A}) \left[ \int d^{2} \mathbf{k}_{T} \frac{\mathbf{k}_{T}^{2}}{M_{B}} h_{1L}^{\bar{a}\perp}(x_{B}, \mathbf{k}_{T}^{2}) \right] \right. \\
\left. + 2m \left[ \lambda_{B} \hat{z}^{\{\mu} S_{A\perp}^{\nu\}} h_{1}^{a}(x_{A}) g_{1}^{\bar{a}}(x_{B}) - \lambda_{A} \hat{z}^{\{\mu} S_{B\perp}^{\nu\}} g_{1}^{a}(x_{A}) h_{1}^{\bar{a}}(x_{B}) \right] \right. \\
\left. - M_{B} \lambda_{A} (\hat{q} + \hat{z})^{\{\mu} S_{B\perp}^{\nu\}} g_{1}^{a}(x_{A}) x_{B} \tilde{g}_{T}^{\bar{a}}(x_{B}) \right. \\
\left. + M_{A} \lambda_{A} (\hat{q} - \hat{z})^{\{\mu} S_{B\perp}^{\nu\}} x_{A} \tilde{h}_{L}^{a}(x_{A}) h_{1}^{\bar{a}}(x_{B}) \right. \\
\left. - M_{A} \lambda_{B} (\hat{q} - \hat{z})^{\{\mu} S_{A\perp}^{\nu\}} x_{A} \tilde{g}_{T}^{a}(x_{A}) g_{1}^{\bar{a}}(x_{B}) \right. \\
\left. + M_{B} \lambda_{B} (\hat{q} + \hat{z})^{\{\mu} S_{A\perp}^{\nu\}} h_{1}^{a}(x_{A}) x_{B} \tilde{h}_{L}^{\bar{a}}(x_{B}) \right\}. \tag{4.28}$$

As expected, the  $\hat{q}$ -terms that violate current conservation only come multiplied with the explicitly interaction-dependent matrix elements  $\tilde{g}_T(x)$  and  $\tilde{h}_L(x)$ . In QCD, one must include the gluon diagrams of Fig. 10. Consider first diagrams (a) and (b) where the transverse gluon is inserted in hadron A,

$$W_{\text{gluon-}A}^{\mu\nu} = \frac{1}{3} \sum_{a,b} \delta_{b\bar{a}} e_a^2 \int d^4k_a \, d^4k_b \, d^4p \, \delta^4(k_a + k_b - q)$$

$$\times \left\{ \text{Tr} \left[ \mathcal{M}_a^i(k_a, p) \, \gamma^\mu \, \frac{i}{\not p - \not q - m} \, i \gamma_i \, \Phi_b(k_b) \, \gamma^\nu \right] \right.$$

$$\left. + \text{Tr} \left[ \mathcal{M}_a^i(p, k_a) \, \gamma^\mu \, \Phi_b(k_b) \, i \gamma_i \, \frac{i}{\not p - \not q - m} \, \gamma^\nu \right] \right\}. \tag{4.29}$$

The delta function can be approximated as in Eq. (4.21). Since the leading projections  $\Phi_b[\Gamma]$  are multiplied by an overall  $\gamma^+$ , and since  $\gamma^+\gamma^i\gamma^+=0$ , the hard propagator effectively reduces to

$$\frac{1}{\not p - \not q - m} \rightarrow -\frac{\not n_+}{Q\sqrt{2}}.\tag{4.30}$$

Therefore, the *p*-integral can be pulled through the trace to work directly on the quark-gluonquark correlations. Using the hermiticity condition (2.19) to relate  $\mathcal{M}(p, k_a)$  to  $\mathcal{M}(k_a, p)$ , one is sensitive to the projections (2.20) with  $\Gamma = \gamma^+$ ,  $\gamma_5 \gamma^+$ , or  $i\sigma^{i+}\gamma_5$ , or their hermitian conjugates. Working out the details, one find that to  $\mathcal{O}(1/Q)$  accuracy the Lorentz structure of the expression (4.29) in the frame  $\mathcal{O}'$  has no  $q_T$ -dependence, allowing us to use  $k_T$ -averaged correlation functions in Eqs (2.21) and (2.22). The result is

$$\overline{W}_{\text{gluon-}A}^{\mu\nu} \approx \frac{1}{3Q} \sum_{a} e_{a}^{2} \left\{ -M_{A} \lambda_{A} (\hat{q} + \hat{z})^{\{\mu} S_{B\perp}^{\nu\}} x_{A} \tilde{h}_{L}^{a}(x_{A}) h_{1}^{\bar{a}}(x_{B}) + M_{A} \lambda_{B} (\hat{q} + \hat{z})^{\{\mu} S_{A\perp}^{\nu\}} x_{A} \tilde{g}_{T}^{a}(x_{A}) g_{1}^{\bar{a}}(x_{B}) \right\}.$$

$$(4.31)$$

In the same way we find for the diagrams 10(c) and 10(d) with a transverse gluon insertion in hadron B:

$$\overline{W}_{\text{gluon-}B}^{\mu\nu} \approx \frac{1}{3Q} \sum_{a} e_{a}^{2} \Big\{ M_{B} \lambda_{A} (\hat{q} - \hat{z})^{\{\mu} S_{B\perp}^{\nu\}} g_{1}^{a}(x_{A}) x_{B} \tilde{g}_{T}^{\bar{a}}(x_{B}) \\ -M_{B} \lambda_{B} (\hat{q} - \hat{z})^{\{\mu} S_{A\perp}^{\nu\}} h_{1}^{a}(x_{A}) x_{B} \tilde{h}_{L}^{\bar{a}}(x_{B}) \Big\}.$$

$$(4.32)$$

The antiquark diagrams can be obtained from the corresponding quark diagrams by replacing  $m \to -m$ ,  $e^a \to -e^{\bar{a}}$ ,  $f^a \to f^{\bar{a}}$ ,  $g^a \to -g^{\bar{a}}$ , and  $h^a \to h^{\bar{a}}$ . However, the quark results are invariant under this operation, so one can use them, extending the flavor sum to include antiquarks.

The total  $\mathcal{O}(1/Q)$  polarized DY hadron tensor contains no current non-conserving terms anymore. It can be written in terms of two structure functions,

$$\overline{W}_{B1}^{\mu\nu} + \overline{W}_{gluon-A}^{\mu\nu} + \overline{W}_{gluon-B}^{\mu\nu} = \lambda_A \hat{z}^{\{\mu} S_{B\perp}^{\nu\}} \overline{U}_{2,1}^{LT} - \lambda_B \hat{z}^{\{\mu} S_{A\perp}^{\nu\}} \overline{U}_{2,1}^{TL}, \tag{4.33}$$

with

$$\overline{U}_{2,1}^{LT} = -\frac{1}{3Q} \sum_{a} e_{a}^{2} \left\{ g_{1}^{a}(x_{A}) \left[ \int d^{2} \boldsymbol{k}_{T} \frac{\boldsymbol{k}_{T}^{2}}{2M_{B}} g_{1T}^{\bar{a}}(x_{B}, \boldsymbol{k}_{T}^{2}) + 2M_{B} x_{B} \tilde{g}_{T}^{\bar{a}}(x_{B}) \right] + \left[ -\int d^{2} \boldsymbol{k}_{T} \frac{\boldsymbol{k}_{T}^{2}}{M_{A}} h_{1L}^{a\perp}(x_{A}, \boldsymbol{k}_{T}^{2}) + 2M_{A} x_{A} \tilde{h}_{L}^{a}(x_{A}) \right] h_{1}^{\bar{a}}(x_{B}) + 2m g_{1}^{a}(x_{A}) h_{1}^{\bar{a}}(x_{B}) \right\},$$
(4.34)

where the sum runs over quark and antiquark flavors. The other structure function,  $\overline{U}_{2,1}^{TL}$ , can be obtained from Eq. (4.34) by replacing  $A \leftrightarrow B$ . It is easily shown that they cannot be written in terms of  $g_T(x)$  and  $h_L(x)$  only. However, using Eqs. (2.29) and (2.34), one can simultaneously eliminate the explicit  $k_T$ -integrals and quark-mass term;

$$\overline{U}_{2,1}^{LT} = -\frac{1}{3Q} \sum_{a} e_{a}^{2} \left\{ M_{B} g_{1}^{a}(x_{A}) x_{B} \left[ g_{T}^{\bar{a}}(x_{B}) + \tilde{g}_{T}^{\bar{a}}(x_{B}) \right] + M_{A} x_{A} \left[ h_{L}^{a}(x_{A}) + \tilde{h}_{L}^{a}(x_{A}) \right] h_{1}^{\bar{a}}(x_{B}) \right\}.$$
(4.35)

# C. Discussion

Having derived the DY hadron tensor, it is straightforward to calculate the cross section and double-spin asymmetries after contracting the leptonic tensor, written in terms of the angles  $\theta$  and  $\phi$ , with the hadronic tensor in Eq. (4.33). The only nonzero  $\mathcal{O}(1/Q)$  contributions come from TL or LT scattering, of which we only consider the latter; the former simply follows by replacing  $A \leftrightarrow B$ . The result is

$$\frac{d\sigma(\lambda_A, \mathbf{S}_{BT})}{dx_A dx_B d\Omega} = \frac{\alpha^2}{4Q^2} \left[ (1 + \cos^2 \theta) \, \overline{W}_T + \lambda_A \sin 2\theta \cos(\phi - \phi_B) \, \overline{U}_{2,1}^{LT} \right],\tag{4.36}$$

neglecting  $\mathcal{O}(1/Q^2)$  contributions. Here  $\overline{W}_T = (1/3) \sum_a e_a^2 f_1^a(x_A) f_1^{\bar{a}}(x_B)$  is the leading-order unpolarized structure function. As transverse momentum has been integrated over, this result depends only on the relative azimuthal angle,  $\phi - \phi_B$ , between the lepton scattering plane and the transverse polarization vector. The asymmetry following from Eq. (4.36) reads

$$A_{LT} = \frac{\sigma(\lambda_A, \mathbf{S}_{BT}) - \sigma(\lambda_A, -\mathbf{S}_{BT})}{\sigma(\lambda_A, \mathbf{S}_{BT}) + \sigma(\lambda_A, -\mathbf{S}_{BT})} = \lambda_A \frac{\sin 2\theta \cos(\phi - \phi_B)}{1 + \cos^2 \theta} \frac{\overline{U}_{2,1}^{LT}}{\overline{W}_T}.$$
 (4.37)

Taking  $\lambda_A = -1$ ,  $\phi_B = 0$ , and writing out the structure functions, this becomes

$$A_{LT} = \frac{\sin 2\theta \cos \phi}{1 + \cos^2 \theta} \frac{1}{Q} \times \frac{\sum_a e_a^2 \left\{ M_B g_1^a(x_A) x_B \left[ g_T^{\bar{a}}(x_B) + \tilde{g}_T^{\bar{a}}(x_B) \right] + M_A x_A \left[ h_L^a(x_A) + \tilde{h}_L^a(x_A) \right] h_1^{\bar{a}}(x_B) \right\}}{\sum_a e_a^2 f_1^a(x_A) f_1^{\bar{a}}(x_B)}.$$
(4.38)

We emphasize again that Eq. (4.38) is the general result for the  $\mathcal{O}(1/Q)$  LT-asymmetry. No assumptions have been made for the  $\mathbf{k}_T$ -dependence of the distributions. If we assume  $\delta(\mathbf{k}_T^2)$  transverse momentum distributions and m=0, the relations (2.29) and (2.34) reduce to  $g_T(x) = \tilde{g}_T(x)$  and  $h_L(x) = \tilde{h}_L(x)$ , respectively. Inserting these into Eq. (4.38), we arrive at the result derived by Jaffe and Ji in Ref. [14] (taking  $M_A = M_B = M$ ).

The bag model gives an estimate for the quark distributions. Using the calculations of Refs. [5,14] (see Sec. II), we plot in Fig. 11 the  $(x_A, x_B)$ -dependent part (without the angular dependence and the factor M/Q) of the asymmetry (4.38). It turns out that the zero-transverse-momentum zero-m approximation is of roughly the same form, but shifted upwards. This can also be seen from the cut along the line  $x_A = x_B$ , depicted in Fig. 12.

Clearly, the two results differ considerably. This could have been foreseen from the observation, made in Sec. II, that the zero-transverse-momentum zero-m relations  $g_T(x) = \tilde{g}_T(x)$  and  $h_L(x) = \tilde{h}_L(x)$  in the bag are severely violated.

In sum, we have carefully analyzed the twist-three polarized distributions  $g_T(x)$  and  $h_L(x)$  as to their dynamical content in a nonstandard (i.e., non-OPE) way. They are sensitive to nonzero intrinsic transverse momentum, as is also clear from the analysis of the physical processes; polarized deep-inelastic and Drell-Yan scattering at  $\mathcal{O}(1/Q)$ . For the latter process we found that the LT-asymmetry, in addition to  $g_T(x)$  and  $h_L(x)$ , contains the quark-gluon-quark correlations  $\tilde{g}_T(x)$  and  $\tilde{h}_L(x)$ .

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## **FIGURES**

- FIG. 1. The blob representing the quark-quark correlation function  $\Phi_{\alpha\beta}(PS;k)$ .
  - FIG. 2. The quark-gluon-quark correlation function  $\mathcal{M}_{\alpha\beta}^{i}(PS;k,p)$ .
- FIG. 3. The physical area in the  $\sigma\tau$ -plane for x=1/2. The lower boundary is given by the line  $\tau=\sigma-1$ , the upper by  $\tau=x\sigma-x^2$ .
- FIG. 4. Distribution function  $g_T(x)$  for a massless quark in the bag (solid line), its twist-two Wandzura-Wilczek part  $\int_x^1 dy [g_1(y)/y]$  (dotted) and its interaction-dependent part  $\tilde{g}_T(x) \int_x^1 dy [\tilde{g}_T(y)/y]$  (dashed) [5]. The dot-dashed line is  $\tilde{g}_T(x)$  which diverges like 1/x for  $x \to 0$ .
  - FIG. 5.  $h_2$  (solid curve) in the bag is twice  $g_2$  (dashed).
- FIG. 6.  $h_L(x)$  for a massless quark in the bag (solid line), its twist-two part  $2x \int_x^1 dy [h_1(y)/y^2]$  (dotted), and its interaction-dependent part  $\tilde{h}_L(x) 2x \int_x^1 dy [\tilde{h}_L(y)/y^2]$  (dashed) [14]. The distribution  $\tilde{h}_L(x)$  (dot-dashed) diverges like 1/x for  $x \to 0$ .
  - FIG. 7. The quark Born diagram for DIS.
  - FIG. 8. Gluon diagrams contributing to  $\mathcal{O}(1/Q)$  DIS.
    - FIG. 9. DY quark Born diagram.
  - FIG. 10. DY quark diagrams with one transverse-gluon insertion.
  - FIG. 11.  $(x_A, x_B)$ -dependent part of  $A_{LT}$  [Eq. (4.38)] in the bag.
- FIG. 12. The bag-model  $(x_A, x_B)$ -dependent part of  $A_{LT}$  for  $x_A = x_B$  (solid), and its zero-transverse-momentum approximation (dashed).

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