# Synchronization in Linux

# Aakarsh Nair

# April 13, 2015

# Contents

1	Intr	roduction	<b>2</b>
2	Ker	rnel Preemption	2
3	Ker	rnel Synchronization Primitives	3
	3.1	Processor guarantees	3
	3.2	Memory Barrier	4
	3.3	Data Dependency Barrier	5
	3.4	Control Dependencies	6
	3.5	Explicit Kernel Barriers	6
		3.5.1 Compiler Barriers	6
		3.5.2 CPU Memory Barriers	7
		3.5.3 Memory barrier and 80x86	8
	3.6	Implicit Kernel Memory Barriers	9
		3.6.1 Acquiring Functions	9
		3.6.2 Interrupt Disabling Functions	10
	3.7	Atomic Operations	10
		3.7.1 Atomic Operations 80x86	11
	3.8	Spinlock	11
		3.8.1 spin_lock with kernel preemption	11
		3.8.2 spin lock (no kernel preemption)	12
		3.8.3 spin unlock	12
	3.9	Semaphores	12
	0.0	3.9.1 Releasing Semaphores	13
		3.9.2 Acquiring Semaphores	13
		o.o.2 Requiring Schiaphores	10
4	ME	SI Cache Coherency Protocol	<b>15</b>
	4.1	MESI Protocol messages	16
	4.2	MESI Transitions Table	16
	4.3	Summary and Acknowledgment	17

## 1 Introduction

Synchronization becomes necessary when the outcome of a computation depends on how two or more interleaved kernel control paths are nested. Critical regions are parts of code that must be executed by at most one kernel control path to completion before another kernel control path is allowed to execute it.

## 2 Kernel Preemption

Process running in Kernel Mode can be replaced by another process. The main reason for making a kernel preemptive is to reduce the dispatch latency of user mode processes (the time between when they become runnable and begin running). Process switches happens via the switch\_to\_macro.

Kernel premption is enabled and disabled via the prempt\_count in the thread\_info. A task is premptible if thread\_info()->preempt\_count is zero.

The prempt\_count is greater than zero if

- The kernel is executing in an interrupt service routine.
- kernel is executing a tasklet of a softirq
- kernel preemption has been explicitly disabled setting it to a positive value

The prempt\_count is an amalgamation of three separate counters meant to keep track number of times kernel preemption count as well as softirq and hardirq counts.

Bits	Description
0-7	Preemption counter (max=255)
8-15	Softirq counter (max=255)
16-27	Hardirq counter (max=4096)
28	PREEMPT_ACTIVE flag

Thus kernel is preempted only when its executing an exception handler and kernel preemption has not been explicitly disabled and the local CPU has local interrupts enabled.

Key Macors Dealing with preemption counter are given as

Bits	Description
<pre>preempt_count()</pre>	Select the preempt count from thread_info
<pre>preempt_disable()</pre>	Increase the value of the preemption counter.
<pre>preempt_enable_no_resched()</pre>	Decrease by one the value of the preemption counter
<pre>preempt_enable()</pre>	Decrease by one the value of preemption counter and call
	preempt_schedule() if TIF_NEED_RESCHED on thread_info is $\operatorname{set}$
get_cpu()	Similar to preempt_disable() but also returns the number of
	local CPU
put_cpu()	Same as preempt_enable()
<pre>put_cpu_no_resched()</pre>	Same as preempt_enable_no_resched()

## 3 Kernel Synchronization Primitives

The linux kernel provides several synchronization primitives these include

- Per-CPU variables Use duplicate data structures for each CPU
- Atomic Operations Atomically read-modify-write instruction to a counter
- Memory Barrier Avoid instruction reordering
- Spinlock Lock with a busy wait
- Semaphores Lock with blocking wait/sleep.
- Seglocks Lock based on access counter
- Local interrupt disabling Forbid interrupt handling on a single CPU
- Local softirq disabling Forbid deferrable function handling on a single CPU
- Read Copy Update Lock free access to shared data structures using pointers

Many of these synchronization constructs depend on implementation of atomic operations implemented at the chip level on CPUs. Thus are specific to the architecture they are executing on.

#### 3.1 Processor guarantees

- On a particular CPU dependent memory accesses happen in order of issuance.
- $\bullet$  Overlapping loads and stores within a particular CPU will appear to be ordered within a CPU

Things which cannot be assumed

- it must not be assumed that compilers will not reorder memory references not protected with ACCESS\_ONCE(). Without ACCESS\_ONCE() the compiler can perform transformations see memory barriers.
- it **must not** be assumed that independent loads and stored will be issued in any given order.
- it **must not** be assumed that overlapping memory accesses may be merged or discarded.

Things that we anti-guarantees (bad stuff will happen):

- Compilers will often generate code to modify bit fields using non atomic read-modify write sequences
- All fields in a given bit-field must be must be protected by one lock. Update of one field can be corrupted by another by compiler.
- Guarantees only apply to properly aligned and sized scalar variables. Same size as char, short,int and long.

## 3.2 Memory Barrier

Memory barriers impose perceived partial ordering over memory operations on either side of the barrier.

Memory barriers provide a way to instruct the compiler and CPU to restrict the order in which instructions are executed. Performance optimizations of compilers and CPUs play havoc with synchronization primitives. These include compiler reordering instructions to optimize register usage, CPUs executing instructions in parallel, reordering memory accesses.

Types of performance tricks memory barriers may protect against are

- Reordering and deferral of combination of memory operations
- Speculative loads
- Speculative branch prediction and caching

Memory barrier types include

• Write (or store) memory barrier

A write memory barrier gives guarantee that all STORE operations specified before the barrier will happen before all STORE operations STORE operations specified after the barrier. wrt. other components in the system.

A partial order on STORES only. Does not affect LOADS.

CPU can be viewed as performing a commit. All stores before the write barrier will occur before all stores after the write barrier.

Should not be paired with read or data dependency barrier.

#### • Data dependency barrier

Weaker form of read barrier. Two loads such that the second depends on result of first ( first load retrieves the address which to which second load is directed). Ensure the target of second load is updated before first load accessed

Partial ordering on inter-dependent loads only. No effect on independent loads or overlapping loads. Has no affect on stores.

A data dependency barrier issued by the CPU is thus a line in the sand such that for any loads preceding it, if that load touches one of a sequence of stores from another CPU then by the time the barrier completes (the line is crossed) the effect of all stores prior to that load will be perceptible by any loads issued after the data dependency barrier.

Thus a dependency barrier is an optimization to prevent the requirement for a full read (or load) barrier.

• Read (or load) memory barrier

A read load barrier is a data dependency barrier plus a guarantee that all LOAD operations specified before the barrier will appear to happen before all the LOAD operations specified after the barrier wrt other components in the system.

A partial ordering on loads only; no required effect on stores.

Imply data dependency barrier.

Should be paired with write barriers

• General Memory barrier

All LOAD and STORE operations before the barrier will happen before all LOAD and STORE operations specified after the barrier with respect to other components of the system.

A partial ordering over both loads and stores.

- Implicit Barriers
  - ACQUIRE operations
  - RELEASE operations

## 3.3 Data Dependency Barrier

To better understand need for data dependency barriers consider the following sequence of instructions:

While the write barrier will guarantee that all writes seen by both processors. After the barrier Q will be assigned either the address of B or the address of A depending on whether the update to P was seen by CPU2 or not.

D is assigned a dereference to Q. We thus expect the dereference to dereference A or B getting values of A=1 or B=4.

BUT, CPU 2's perception of P may be updated before its perception of B leading to the following situation.

```
| (Q = \&B) \text{ and } (D = 2)
```

The data dependency barrier forces the assignment of B to show up on processor 2 when Q is assigned a pointer to B. Thus the previous listing is transfored to the following :

```
\{ A == 1, B == 2, C = 3, P == &A, Q == &C \}
                                                                  2
CPU 1
                                                                  3
_____
                                                                  4
B = 4:
                                                                  5
<write barrier>
ACCESS_ONCE(P) = &B
CPU 2
                                                                  9
=========
                                                                  10
Q = ACCESS_ONCE(P);
                                                                  11
<data dependency barrier>
                                                                  12
```

## 3.4 Control Dependencies

Control dependencies are those which require a full read memory barrier where a data dependency barrier will simply not suffice.

```
q = ACCESS_ONCE(a);
if (q) {
    <data dependency barrier> /* BUG: No data dependency!!! */
    p = ACCESS_ONCE(b);
}
```

Here CPU may attempt to short circuit in an attempt to predict the branch outcome. Thus the load from b may appear to happen before the load from a.

The solution for this is to impose a read barrier to ensure that b is read While LOAD is speculated the STORES are NOT speculated. Thus the ordering semantics of following will not be affected.

```
q = ACCESS_ONCE(a);
if (q) {
    ACCESS_ONCE(b) = p;
}
```

The ACCESS\_ONCE directive is aimed at the compiler to prevent it from merging separate loads from 'a' and separate stores to 'b'.

## 3.5 Explicit Kernel Barriers

## 3.5.1 Compiler Barriers

Used primarily to prevent the compiler from reordering instructions the barrier () is a general barrier. The ACCESS\_ONCE() macro is a weaker form of the barrier

instruction which only affects instructions flagged by ACCESS\_ONCE().

Can be implemented using the

```
barrier() macro expanding to asm volatile"":::memory
```

Tells the compiler to insert empty assembly fragment. While the volatile keyword forbids the compiler from shuffling the instruction. The memory keyword forces the compiler to use memory locations instead of those stored in the register. The CPU can still mix assembly instruction

Effects of barrier():

- Prevent compiler from reordering accesses following barrier() with those preceding them them.
- Within loop, force compiler to load variables used in loop conditional on each pass through loop.

Effects of ACCESS\_ONCE(), prevent optimizations that though safe in single threaded code will break concurrent code.

Provide cache coherence for accesses from multiple CPUs to single variable. Prevent compiler for reordering loads and stores to same variable.

Thus

```
a[0] = ACCESS_ONCE(x);
a[1] = ACCESS_ONCE(x);
```

Will prevent a[1] from receiving older value of x than a[0].

Prevent merging successive loads

```
while (tmp = ACCESS_ONCE(a))
do_something_with(tmp);
1
```

Will prevent the compiler from optimizing the loop otherwise as

```
if (tmp = a)
    for (;;)
    do_something_with(tmp);
1
2
3
```

It is not necessary to use ACCESS\_ONCE() on variables marked volatile since it is implemented as a volatile cast.

It must be noted that compiler barriers  ${\it DO~NOT}$  directly affect the CPU, which may still reorder instructions.

## 3.5.2 CPU Memory Barriers

All memory barriers except data dependency barriers imply compiler barrier. SMP memory barriers are reduced to compiler barriers on uni-processor compiled systems.

Type	Mandatory	SMP Conditional
GENERAL	mb()	smb_mb()
WRITE	wmb()	smp_wmb()
READ	rmb()	smp_rmb()
DATA DEPENDENCY	read_barrier_depends()	<pre>smp_read_barrier_depends()</pre>

## 3.5.3 Memory barrier and 80x86

In 80x86 list of serializing instructions which act as memory barriers:

- $\bullet$  I/O port operations
- instructions with lock byte
- instructions affecting the IF flag in eflags register such as those instructions which write to registers
  - control registers (cli)
  - system registers (sti)
  - debug registers
- Some instructions introduced in Pentium 4
  - lfence read barriers
  - sfence write barries
  - mfence read write barriers
- Speial instructions iret terminating interrupt or exception handler

Read barriers maintain the serial order of read instructions, write barriers maintain serial order of write instructions.

Function/Macors	Description
mb()	Memory barrier for MP and UP
rmb()	Read memory barrier for MP and UP
wmb()	Write memory barrier for MP and UP
smp_mb()	Memory barrier for MP only
smp_rmb()	Read memory barrier for MP only
smp_wmb()	Write memory barrier for MP only

Macro expansions on 80x86

Function/Macors	Description	]
mb()	asm volatile("mfence":::"memory")	1
rmb()		1
	asm volatile ("lfence")	1
	or	2
	asm volatile ("lock;addl 0,0(%esp)":::"memory")	3
wmb()	barrier() Intel never reorders write memory access so we	1
	get only a compiler barrier here.	
smp_mb()	mb()	]
smp_rmb()	barrier() or rmb() depending on CONFIG_X86_PPRO_FENCE	
smp_wmb()	barrier()	]

## 3.6 Implicit Kernel Memory Barriers

Many locking and scheduling functions imply memory barriers. We consider the some of these implicit barriers.

## 3.6.1 Acquiring Functions

Following are examples of acquiring/release functions inside the kernel.

- Spin Locks
- Read/Write Spin locks
- Mutexes
- Semaphores
- Read/Write Semaphores
- RCU

## • ACQUIRE Implication

Post-ACQUIRE operations  $\it will$  be  $\it completed$  after after ACQUIRE completion.

Pre-ACQUIRE operations  $may\ be\ completed$  after ACQUIRE completion.

## • RELEASE Implications

Pre-RELEASE operations will be completed before RELEASE completion. Post-RELEASE operations may be completed before RELEASE completion.

## • ACQUIRE vs ACQUIRE Implication

All ACQUIRE operations will be completed before successive ACQUIRE operation .

## • ACQUIRE vs RELEASE Implication

All ACQUIRE operations issued before RELEASE operation will be completed before RELEASE operation.

## • Failed conditional ACQUIRE Implication

Failed lock operations don't imply any barrier.

## 3.6.2 Interrupt Disabling Functions

Functions disabling/enabling interrupts will only act as compiler barriers.

## 3.7 Atomic Operations

Many atomic operations imply full memory barriers and are heavily relied upon in the kernel.

Any atomic operation that modifies state in memory and returns information about the state implies SMP-conditional memory barrier. on each side of the operation. That is smp\_mb().

Some atomic operations that imply SMP general memory barrier are given in the table.

Atomic Operations	
xchg();	
cmpxchg();	
atomic_xchg();	atomic_long_xchg()
atomic_cmpxchg();	atomic_long_cmpxchg()
atomic_inc_return();	atomic_long_inc_return()
atomic_dec_return();	atomic_long_dec_return()
atomic_add_return();	atomic_long_add_return()
atomic_sub_return();	atomic_long_sub_return()
atomic_inc_and_test();	atomic_long_inc_and_test()
atomic_dec_and_test();	atomic_long_dec_and_test()
atomic_sub_and_test();	atomic_long_sub_and_test()
atomic_add_negative();	atomic_long_add_negative()
<pre>test_and_set_bit();</pre>	
<pre>test_and_clear_bit();</pre>	
<pre>test_and_change_bit();</pre>	

These operations of often used for implementing ACQUIRE and RELEASE operations and maintaining reference counters.

## 3.7.1 Atomic Operations 80x86

- Read-modify-write assembly instructions such as inc and dec that read data from memory and update it are atomic, provided stale data has not been read by another processor. This is the case in uniprocessor systems.
- Read-modify-write instructions whose opcode is prefixed by the *lock byte* (0xf0) are atomic on multiprocessor systems. The lock byte will lock access to the memory bus until the locking instruction finishes its operation.
- Instructions prefixed by the *rep* byte 0xf2,0xf3 which forces instructions to be repeated are not atomic since the CPU checks interrupts before each iteration.

## 3.8 Spinlock

Spinlock is implemented by the spinlock\_t which consists of two fields:

- **slock** Encodes the spinlock state. Value 1 corresponds to unlocked state, Negative values and 0 denote locked state.
- break\_lock Flag signaling that a process is busy waiting for the lock. Present on SMP systems with kernel preemption.

Some functions that initialize, acquire and releases spin locks are given in the table.

Function/Macors	Description
spin_lock_init()	Set spin lock to 1 (unlocked)
spin_lock()	Cycle until spinlock becomes 1(unlocked) then
	set it to 0 (locked)
spin_unlock()	Set the spin lock to 1 (unlocked)
spin_unlock_wait()	Wait until the spinlock becomes 1 (unlocked)
spin_is_locked()	Return 0 if the spinlock is set to 1(unlocked)
	; 1 otherwise
spin_trylock()	Set the spin lock to 0 (locked), and return 1 if
	the previous value of the lock was 1; 0 other-
	wise

#### 3.8.1 spin lock with kernel preemption

- Invoke precempt\_disable() disable kernel preemption
- Invoke \_raw\_spin\_trylock() atomic test and set on spinlock's slock field.

```
movb $0,%a1
xchgb %a1,slp->slock
```

xchg exchange atomically content of 8-bit %a1 with slp->slock. return 1 if old value was positive or 0 otherwise.

- If old value of spin lock was positive, we have acquired the spinlock.
- If failed then spin lock was not positive, invoke preempt\_enable() decrements the preeempt counter. which if it goes to zero will allow the process to be scheduled out,
- set the break\_lock field to one. Allow another process to release spin lock prematurely
- Execute the wait cycle

```
while(spin_is_locked(slp) && slp->break_lock) 1
cpu_relax(); // special pause instruction Pentium 4
```

• Jump back to step 1

## 3.8.2 spin lock (no kernel preemption)

The following tight busy wait is implemented. An atomic decrement of the spinlock is performed using the lock prefix on the decrement operation. A test is performed on sign flag if it is positive we continue with instruction 3. Otherwise tight loop at 2 is executed until the spinlock is positive. When spinlock attains positive value the execution will restart at label 1 where we will try to atomically decrement the spin lock.

#### 3.8.3 spin unlock

This releases an acquired spin lock. Executes the assembly instruction:

```
movb $1,slp->slock
```

Then invoking preempt\_enable(). on x86 the lock byte is not used since since write-only access in memory are atomically executed.

#### 3.9 Semaphores

Semaphores are an alternative mechanism to spinlock to implement critical sections in kernel control path, in that they do not allow a process to proceed unless the lock is open. But unlike spinlocks whenever a kernel control path tries to acquire a busy resource, the corresponding process is suspended. Thus semaphores should not be accessed from non-suspendable control paths like interrupt handlers and deferred functions.

The struct semaphore contains

#### • count

An atomic\_t value. A positive value(greater than 0) indicates the resource is free. Negative value indicates there is at least one process waiting on the resource.

• wait

A wait queue containing list of processes sleeping on the semaphore.

• sleepers

A flag indicating if there exists a process sleeping on semaphores.

Semaphores expected to be used enforce only single active control path in the critical region can be initialized using functions <code>init\_MUTEX()</code> and <code>init\_MUTEX\_LOCKED()</code> or <code>DECLARE\_MUTEX</code>, <code>DECLARE\_MUTEX\_LOCKED</code> for static allocation. The count in mutexes are not expected to exceed 1.

### 3.9.1 Releasing Semaphores

A process releases a semaphore by invoking up(). Which on x86 performs equivalent of

```
movl $sem->count, %ecx
lock; incl (%ecx)
jg 1f
                                                                         3
lea %ecx,%eax
                                                                         4
pushl %edx
                                                                         5
                                                                         6
pushl %ecx
                                                                         7
call __up
popl %ecx
                                                                         8
popl %edx
                                                                         10
```

Where \_\_up() is the C function:

```
__attribute__((regparm(3))) void __up(struct semaphore *sem) 1
{
    wake_up(&sem->wait);
}
```

The incrementing of the semaphore count and the test for semaphore count greater than 0 happens under atomic lock ensuring the consistency of the value is maintained across multiple processors. If count is greater than 0 then one of the list of waiting process is woken up.

#### 3.9.2 Acquiring Semaphores

Lock acquisition performs the equivalent of the following assembly instructions

```
      pushl %edx
      6

      pushl %ecx
      7

      call __down
      8

      popl %ecx
      9

      popl %edx
      10

      1:
      11
```

Where we decrement the semaphore count and test if the value is positive if not we have to queue the current process in the semaphore's wait list via the \_\_down function.

```
__attribute__((regparm(3)))    void __down(struct semaphore * sem)
                                                                        2
                                                                        3
 DECLARE_WAITQUEUE(wait, current);
 unsigned long flags;
                                                                        4
 current -> state = TASK_UNINTERRUPTIBLE;
                                                                        5
 spin_lock_irqsave(&sem->wait.lock, flags);
                                                                        7
 add_wait_queue_exclusive_locked(&sem->wait, &wait);
 sem ->sleepers++;
                                                                        8
 for (;;) {
                                                                        9
   if (!atomic_add_negative(sem->sleepers-1, &sem->count)) {
                                                                        10
     sem->sleepers = 0;
                                                                        11
                                                                        12
     break;
                                                                        13
   sem -> sleepers = 1;
                                                                        14
                                                                        15
   spin_unlock_irqrestore(&sem->wait.lock, flags);
                                                                        16
   schedule();
   spin_lock_irqsave(&sem->wait.lock, flags);
                                                                        17
   current -> state = TASK_UNINTERRUPTIBLE;
                                                                        18
                                                                        19
 remove_wait_queue_locked(&sem->wait, &wait);
                                                                        20
 wake_up_locked(&sem->wait);
                                                                        21
                                                                        22
 spin_unlock_irqrestore(&sem->wait.lock, flags);
 current -> state = TASK_RUNNING;
                                                                        23
                                                                        24
```

The decrement and test of the semaphore count happens under the lock instruction. If the count is negative the process is suspended and added to the semaphores wait queue.

Consider some common cases:

#### • MUTEX semaphore is open

(count == 1 && sleepers == 0)

We set the count to 0 acquiring the semaphore. Skip over the execution of the \_\_down(). This is the fastest path.

#### • MUTEX semaphore is closed, no sleeping process

```
(count == 0 && sleepers == 0)
```

We decrement the count(new value is -1) and invoke \_\_down() .

The atomic\_add\_negative adds the sem->sleepers-1 which is -1 to the sem->count (which if nothing has changed is -1). If we are lucky the lock may have opened in which case we simple break out of the sleepy loop.

Otherwise we set the sem->sleepers flag to true and schedule ourselves out allowing the loop to get rescheduled at a later point at which point we will again perform the atomic test for sleepers and exit if necessary.

# • MUTEX semaphore is closed with additional sleepers (count == -1, sleepers == 1)

When invoked while the semaphore already has a sleeper the \_\_down() will decrement the count to -2. Temporariy increment sleeper to 2. Check the count is still negative. If its negetive then we reset the sleepers flag to be the boolean 1.

However if the count is positive we will again clear the spleepers flag and break from waiting loop.

Semaphores thus are optimized for the case where they are generally found in open state.

- Not performing a jump in the up() if the semaphore wait queue is empty.
   Simply incrementing the semaphore count branching to continue execution.
- Not performing a jump if semaphore is open in the down(). Simply branching to continue execution.

# 4 MESI Cache Coherency Protocol

Cache-coherency protocols manage cache-line states to prevent inconsistent states or lost in multi-processor systems. Cache coherency protocols are fundamental to the implementation of atomic read-modify-write accross multiple processers each using its own internal cache while accessing shared memory resource.

MESI stats for "modified", "exclusive", "shared" and "invalid". Which represent the four states assigned to cache lines in this protocol.

Two bits are added to each cache line which represent the four states that a cache line can be in.

#### Modified

- Cache Line is present only on current CPU
- Cache Line has been modified
- Write back needs to be performed

#### • Exclusive

- Cache Line is present only in current CPU
- Cache Line is clean (matches main memory)

- Shared
  - Cache Line is present in multiple CPUs
  - Cache Line is Clean (matches main memory)
- Invalid

## 4.1 MESI Protocol messages

If the CPUs are on a single shared bus we only require the following messages on the bus.

- Read Content: physical address of cache line to be read.
- Read Response Response with data, Source: Memory or one of the other caches. If the other cache has data in modified state.
- Invalidate Content: Address of cache line to be invalidated. All other caches must invalidate cache line with this address.
- Invalidate Acknowledge From: CPU that has invalidated a cache line.
- Read Invalidate Content: Read cache line and take ownership of it getting the cache line removed from other processors. Combination of read and invalidate. Responses are read response and invalidate acknowledge
- Writeback content: Address of and data of write back to memory. This message might get snooped by other processors to mark cache lines as "modified"

## 4.2 MESI Transitions Table

We give a tabular description of transitions involved in the MESI protocol.

# Start End Descriptions  a Modified Exclusive Cache line is written back to memory but exclusive owner ship of and right to modify "writeback" message  b Exclusive Modified CPU writes to exclusive cache line. No message  c Modified Invalid CPU receives a "read invalidate" for a cache fied. It must invalidate the local copy, send an and a invalidate acknowledge  d Invalid Modified An atomic read-modify-write operation on a compression of the present in the cache. Transmits a "read in the cache. Transmits a "read in the cache."	CDII .
b Exclusive Modified CPU writes to exclusive cache line. No messa c Modified Invalid CPU receives a "read invalidate" for a cache fied.It must invalidate the local copy, send an and a invalidate acknowledge d Invalid Modified An atomic read-modify-write operation on a operation in the cache. Transmits a "read in	CPU retains
b Exclusive Modified CPU writes to exclusive cache line. No messa c Modified Invalid CPU receives a "read invalidate" for a cache fied. It must invalidate the local copy, send an and a invalidate acknowledge d Invalid Modified An atomic read-modify-write operation on a present in the cache. Transmits a "read in	it. Requires
c Modified Invalid CPU receives a "read invalidate" for a cache fied. It must invalidate the local copy, send an and a invalidate acknowledge d Invalid Modified An atomic read-modify-write operation on a present in the cache. Transmits a "read in	
fied.It must invalidate the local copy, send an and a invalidate acknowledge  d Invalid Modified An atomic read-modify-write operation on a compresent in the cache. Transmits a "read in	
d Invalid Modified An atomic read-modify-write operation on a present in the cache. Transmits a "read in	line it modi-
d Invalid Modified An atomic read-modify-write operation on a present in the cache. Transmits a "read in	read response
present in the cache. Transmits a "read in	
an ange and mate I meed mean ange! Court to the	
sponse and gets "read response". Cannot pro	oceed until all
CPUs reply with "invalidate acknowledge" re	
e Shared Modified CPU does read-modify write one data item to	
only. Transmits a "invalidate" message. Wait	for invalidate
acknowledge from all other CPUs.	
f Modified Shared Some other CPU reads from our cache line s	
this CPU. This CPU responds with a "read re	esponse" mes-
sage.	
g Exclusive Shared Some other CPU reads data in this cache line	
this CPU or from memory. If this CPU retain	
copy. This CPU will respond with a read r	response with
requested data.	
h Shared Exclusive This CPU is about to write to shared item.	Transmits on
invalidate message to other CPUs Waits for fu	
acknowledge" response. Or all other CPUs ha	
cache line making this CPU the sole owner.	id to drop the
i Exclusive Invalid Another CPU ran an atomic read-modify-writ	e in cache line
held by current CPU. Received a "read inva	
CPU will respond with a "read response" an	
acknowledge" message.	
j Invalid Exclusive CPU does a store to data not in cache. Tran	smits a "read
invalidate" message. The CPU must wait	
processors to "invalidate acknowledge". An e	
state will be "modified"	
k Invalid Shared The CPU loads item not in cache and complete	es a transition
on read a "read response"	
1 Shared Invalid Some other CPU does a store to data item.	On the recep-
tion of a "invalidate" message. Responds with	
acknowledge" message.	

# 4.3 Summary and Acknowledgment

Lot of the text is adaptation of Chapter 5 of Linux Kernel Programming by Bovett and the memory barrier documentation part of the Linux kernel by

David Howells and Paul E. McKenney. Any errors are entirely my own. For people looking for definitive and trustworthy accounts looking at these sources is recommended.

## References

- [1] IA-32 Intel Architecture Software Developer's Manual, Volume 3: System Programming Guide
  - Chapter 7.1: Locked Atomic Operations
  - Chapter 7.2: Memory Ordering
  - Chapter 7.4: Serializing Instructions
- [2] Unix Systems for Modern Architectures, Symmetric Multiprocessing and Caching for Kernel Programmers Chapter 13: Other Memory Models
- [3] MESI protocol http://en.wikipedia.org/wiki/MESI\_protocol
- [4] Cache Coherency Primer Fabian "ryg" Giesen https://fgiesen.wordpress.com/2014/07/07/cache-coherency/
- [5] Atomic Operations CSE 378 University of Washington
  http://courses.cs.washington.edu/courses/cse378/07au/lectures/L25-Atomic-Operations.pdf
- [6] Linux Kernel Memory Barriers
  https://www.kernel.org/doc/Documentation/memory-barriers.txt
- [7] Semantics and Behavior of Atomic and Bitmask Operations
  David S. Miller
  https://www.kernel.org/doc/Documentation/atomic\_ops.txt
- [8] Synchronization in Linux http://www.cs.columbia.edu/~junfeng/10sp-w4118/lectures/l11-synch-linux.pdf
- [9] Understanding the Linux Kernel Chapter 5 David Bovet and Marco Cesati
- [10] Structures and Design of Computers David E. Patterson and J.L. Hennessey
- [11] Why memory barriers?

  Paul McKay

  http://www.rdrop.com/users/paulmck/scalability/paper/whymb.2010.06.07c.pdf